



Aggregated Reportable Short Positions of Specified Shares as of 28/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	12,163,747	\$ 1,097,169,979
2	CLP HOLDINGS	20,813,166	\$ 1,907,526,664
3	HK & CHINA GAS	177,389,801	\$ 2,756,637,508
4	WHARF HOLDINGS	37,406,083	\$ 796,749,568
5	HSBC HOLDINGS	67,774,667	\$ 4,690,006,956
6	POWER ASSETS	14,280,279	\$ 778,275,206
8	PCCW	51,346,957	\$ 234,142,124
10	HANG LUNG GROUP	6,274,975	\$ 130,519,480
11	HANG SENG BANK	8,799,320	\$ 1,870,735,432
12	HENDERSON LAND	18,664,390	\$ 734,443,747
14	HYSAN DEV	2,549,137	\$ 100,818,368
16	SHK PPT	17,023,572	\$ 1,940,687,208
17	NEW WORLD DEV	80,862,640	\$ 863,612,995
19	SWIRE PACIFIC A	19,100,621	\$ 1,637,878,251
20	WHEELLOCK	2,411,890	\$ 113,238,236
23	BANK OF E ASIA	27,047,361	\$ 789,782,941
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	73,718,470	\$ 3,660,122,036
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	8,174,000	\$ 5,149,620
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	1,512,833	\$ 6,232,872
41	GREAT EAGLE H	536,869	\$ 20,991,578
43	C.P. POKPHAND	0	\$ 0
44	HAECO	198,000	\$ 13,820,400
45	HK&S HOTELS	2,923,452	\$ 32,041,034
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	244,490	\$ 6,699,026
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	743,918	\$ 19,155,889
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	0	\$ 0
66	MTR CORPORATION	43,617,448	\$ 1,797,038,858
69	SHANGRI-LA ASIA	18,970,293	\$ 220,814,211
71	MIRAMAR HOTEL	0	\$ 0
75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 28/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
81	CH OVS G OCEANS	44,678,383	\$ 112,589,525
83	SINO LAND	41,974,091	\$ 563,292,301
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	0	\$ 0
95	LVGEM CHINA	4,394,286	\$ 10,722,058
101	HANG LUNG PPT	31,792,975	\$ 486,432,518
102	SUMMIT ASCENT	28,380,706	\$ 22,420,758
105	ASSO INT HOTELS	0	\$ 0
106	LANDSEA GREEN	0	\$ 0
107	SICHUAN EXPRESS	4,576,081	\$ 11,074,116
116	CHOW SANG SANG	0	\$ 0
119	POLY PROPERTY	11,813,389	\$ 32,604,954
120	COSMOPOL INT'L	0	\$ 0
121	C.P. LOTUS	0	\$ 0
123	YUEXIU PROPERTY	62,350,965	\$ 87,291,351
127	CHINESE EST H	5,553,213	\$ 50,201,046
129	ASIA STANDARD	306,000	\$ 495,720
133	CHINA MERCHANTS	34,000	\$ 347,480
135	KUNLUN ENERGY	312,513,430	\$ 2,846,997,347
136	HENGTEN NET	1,235,691,295	\$ 364,528,932
142	FIRST PACIFIC	37,856,126	\$ 146,124,646
144	CHINA MER PORT	41,840,942	\$ 626,777,311
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD HLDG	7,167,059	\$ 183,118,357
151	WANT WANT CHINA	565,328,907	\$ 3,725,517,497
152	SHENZHEN INT'L	14,954,667	\$ 241,667,419
158	MELBOURNE ENT	0	\$ 0
161	AVIC IHL	4,204,000	\$ 17,488,640
163	EMPEROR INT'L	0	\$ 0
165	CHINA EB LTD	5,401,276	\$ 75,725,890
168	TSINGTAO BREW	25,423,529	\$ 935,585,867
169	WANDA HOTEL DEV	0	\$ 0
171	SILVER GRANT	23,450,000	\$ 41,037,500
173	K. WAH INT'L	14,100,414	\$ 52,312,536
175	GEELY AUTO	127,349,853	\$ 1,986,657,707
177	JIANGSU EXPRESS	4,138,849	\$ 41,554,044
178	SA SA INT'L	23,498,768	\$ 101,984,653
179	JOHNSON ELEC H	1,606,803	\$ 35,510,346
182	CONCORD NE	35,290,494	\$ 11,116,506
189	DONGYUE GROUP	12,145,166	\$ 65,583,896
191	LAI SUN INT'L	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 28/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
194	LIU CHONG HING	0	\$ 0
196	HONGHUA GROUP	43,206,337	\$ 28,516,182
198	SMI HOLDINGS	27,473,239	\$ 64,287,379
200	MELCO INT'L DEV	24,020,443	\$ 375,679,729
207	JOY CITY PPT	0	\$ 0
215	HUTCHTEL HK	87,248,947	\$ 269,599,246
220	U-PRESID CHINA	49,891,022	\$ 416,590,034
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	1,322,000	\$ 1,771,480
232	AVIC INT'L	178,226,101	\$ 39,566,194
235	CHINA STRATEGIC	113,520,000	\$ 8,059,920
241	ALI HEALTH	217,535,738	\$ 1,668,499,110
242	SHUN TAK HOLD	15,403,384	\$ 42,051,238
245	CN MINSHENG FIN	34,985,743	\$ 11,195,438
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	732,000	\$ 7,832,400
256	CITYCHAMP	5,010,000	\$ 8,416,800
257	CHINA EB INT'L	183,369,547	\$ 1,239,578,138
258	TOMSON GROUP	0	\$ 0
261	CCT LAND	0	\$ 0
265	ORIENT VICTORY	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	29,917,407	\$ 348,836,966
268	KINGDEE INT'L	242,061,243	\$ 2,062,361,790
270	GUANGDONG INV	10,683,866	\$ 148,505,737
272	SHUI ON LAND	84,540,841	\$ 153,864,331
273	MASON GP HOLD	41,436,884	\$ 5,925,474
285	BYD ELECTRONIC	81,767,248	\$ 941,958,697
286	CS HEALTH	2,144,000	\$ 1,715,200
288	WH GROUP	68,742,171	\$ 378,769,362
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	36,893,935	\$ 1,160,314,256
293	CATHAY PAC AIR	24,038,693	\$ 283,656,577
295	KONG SUN HOLD	0	\$ 0
297	SINOFERT	108,541,099	\$ 109,626,510
303	VTECH HOLDINGS	1,232,544	\$ 111,421,978
308	CHINA TRAVEL HK	40,622,072	\$ 102,367,621
315	SMARTONE TELE	11,502,540	\$ 119,856,467
316	OOIL	302,436	\$ 15,545,210
317	COMEC	7,239,005	\$ 47,053,533
321	TEXWINCA HOLD	16,646,309	\$ 51,270,632



Aggregated Reportable Short Positions of Specified Shares as of 28/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
322	TINGYI	111,317,857	\$ 1,600,750,784
323	MAANSHAN IRON	67,948,697	\$ 285,384,527
327	PAX GLOBAL	57,428,125	\$ 228,563,938
329	OCI INTL	0	\$ 0
330	ESPRIT HOLDINGS	141,555,622	\$ 266,124,569
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	5,872,185	\$ 24,663,177
337	GREENLAND HK	8,264,225	\$ 19,999,425
338	SHANGHAI PEICHEM	85,081,318	\$ 406,688,700
340	TONGGUAN GOLD	0	\$ 0
341	CAFE DE CORAL H	3,899,907	\$ 69,808,335
342	NEWOCEAN ENERGY	38,534,972	\$ 93,639,982
345	VITASOY INT'L	3,093,584	\$ 82,598,693
347	ANGANG STEEL	184,289,181	\$ 1,290,024,267
354	CHINASOFT INT'L	89,235,534	\$ 465,809,487
358	JIANGXI COPPER	53,030,900	\$ 484,172,117
363	SHANGHAI IND H	222,368	\$ 3,855,861
365	UNIS HOLDINGS	0	\$ 0
367	CHUANG'S INT'L	0	\$ 0
368	SINOTRANS SHIP	50,653,500	\$ 130,686,030
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	501,680,962	\$ 2,092,009,612
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
378	FDG KINETIC	14,392,000	\$ 1,827,784
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	91,876,311	\$ 2,035,060,289
386	SINOPEC CORP	361,989,864	\$ 2,838,000,534
388	HKEX	19,815,211	\$ 4,438,607,264
390	CHINA RAILWAY	95,178,241	\$ 738,583,150
392	BEIJING ENT	6,605,113	\$ 289,964,461
400	COGOBUY	20,522,562	\$ 60,336,332
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	115,435,694	\$ 350,924,510
412	CHINA SDHS FIN	0	\$ 0
416	BANKOFJINZHOU	0	\$ 0
419	HUAYI TENCENT	124,858,194	\$ 36,833,167
425	MINTH GROUP	77,284,982	\$ 2,496,304,919
433	NORTH MINING	225,913,290	\$ 8,358,792
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	59,360,867	\$ 39,771,781



Aggregated Reportable Short Positions of Specified Shares as of 28/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
440	DAH SING	431,600	\$ 21,644,740
445	CIMC-TIANDA	0	\$ 0
451	GCL NEWENERGY	188,136,240	\$ 52,678,147
460	SIHUAN PHARM	149,175,267	\$ 238,680,427
467	UNITEDENERGY GP	0	\$ 0
468	GAPACK	750,000	\$ 3,742,500
480	HKR INT'L	945,476	\$ 3,592,809
486	RUSAL	0	\$ 0
488	LAI SUN DEV	394,546	\$ 4,505,715
489	DONGFENG GROUP	28,923,144	\$ 233,120,541
493	GOME RETAIL	1,658,406,174	\$ 1,326,724,939
494	LI & FUNG	450,382,118	\$ 788,168,707
496	KASEN	375,000	\$ 1,275,000
497	CSI PROPERTIES	9,530,000	\$ 3,478,450
500	FRONTIER SER	0	\$ 0
506	CHINA FOODS	25,371,770	\$ 100,979,645
508	DINGYI GP INV	39,481,430	\$ 22,109,601
511	TVB	13,465,843	\$ 299,615,007
512	CHINAGRANDPHARM	1,696,000	\$ 8,598,720
517	COSCO SHIP INTL	484,000	\$ 1,369,720
519	APPLIED DEV	849,454	\$ 552,145
520	XIABUXIABU	13,058,881	\$ 152,788,908
521	CWT INT'L	14,034,000	\$ 2,736,630
522	ASM PACIFIC	23,439,473	\$ 1,868,125,998
525	GUANGSHEN RAIL	6,216,900	\$ 21,759,150
526	LISI GP HOLD	0	\$ 0
535	GEMDALE PPT	102,512,000	\$ 72,783,520
538	AJISEN (CHINA)	9,042,120	\$ 29,115,626
546	FUFENG GROUP	85,244,636	\$ 324,782,063
547	DIGITAL DOMAIN	522,505,340	\$ 55,908,071
548	SHENZHENEXPRESS	2,674,000	\$ 21,017,640
551	YUE YUEN IND	30,034,336	\$ 653,246,808
552	CHINACOMSERVICE	15,416,000	\$ 111,149,360
563	SH IND URBAN	19,682,000	\$ 25,783,420
570	TRAD CHI MED	52,238,506	\$ 277,908,852
572	FUTURE WORLD FH	48,950,699	\$ 4,258,711
576	ZHEJIANGEXPRESS	12,908,494	\$ 84,034,296
579	JNCEC	15,629,058	\$ 24,693,912
580	SUN.KING ELEC	3,424,000	\$ 4,725,120
581	CHINA ORIENTAL	3,382,000	\$ 21,374,240
586	CONCH VENTURE	26,595,660	\$ 726,061,518



Aggregated Reportable Short Positions of Specified Shares as of 28/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
588	BEIJING N STAR	6,166,000	\$ 14,428,440
590	LUK FOOK HOLD	4,810,725	\$ 131,092,256
598	SINOTRANS	19,136,298	\$ 61,044,791
603	CHINA OIL & GAS	11,887,936	\$ 6,776,124
604	SHENZHEN INVEST	261,797,717	\$ 654,494,293
605	C FIN SERVICES	0	\$ 0
606	CHINA AGRI	36,943,240	\$ 111,568,585
607	FULLSHARE	987,052,996	\$ 3,711,319,265
613	YUGANG INT'L	0	\$ 0
622	ENERCHINA HOLD	9,766,861	\$ 4,053,247
627	FULLSUN INT'L	0	\$ 0
636	KERRY LOG NET	552,532	\$ 7,216,068
639	SHOUGANG RES	30,773,151	\$ 53,545,283
650	IDG ENERGY INV	0	\$ 0
656	FOSUN INTL	22,750,320	\$ 313,954,416
658	C TRANSMISSION	28,378,910	\$ 255,410,190
659	NWS HOLDINGS	1,219,422	\$ 18,876,653
662	ASIA FINANCIAL	0	\$ 0
665	HAITONG INT'L	127,177,607	\$ 348,466,643
669	TECHTRONIC IND	8,904,738	\$ 445,236,900
670	CHINA EAST AIR	77,021,537	\$ 386,648,116
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	96,083,120	\$ 17,967,543
683	KERRY PPT	5,197,826	\$ 138,002,280
686	PANDA GREEN	80,443,993	\$ 27,753,178
687	HKICIM GROUP	685,197	\$ 1,240,207
688	CHINA OVERSEAS	51,833,301	\$ 1,269,915,875
693	TAN CHONG INT'L	0	\$ 0
694	BEIJING AIRPORT	60,354,061	\$ 573,967,120
696	TRAVELSKY TECH	18,414,322	\$ 374,731,453
697	SHOUGANG INT'L	32,942,910	\$ 6,325,039
698	TONGDA GROUP	178,537,210	\$ 205,317,792
699	CAR INC	66,260,491	\$ 410,152,439
700	TENCENT	85,614,058	\$ 27,670,463,546
707	ATV HOLDINGS	15,652,000	\$ 4,147,780
708	EVERG HEALTH	11,366,274	\$ 108,093,266
709	GIORDANO INT'L	7,194,970	\$ 28,276,232
710	BOE VARITRONIX	3,298,845	\$ 8,576,997
715	CHINA OCEANWIDE	5,158,000	\$ 1,934,250
716	SINGAMAS CONT	10,578,281	\$ 12,482,372
717	EMPEROR CAPITAL	10,806,974	\$ 4,268,755



Aggregated Reportable Short Positions of Specified Shares as of 28/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
721	C FIN INT INV	21,764,658	\$ 4,135,285
728	CHINA TELECOM	76,719,851	\$ 298,440,220
729	FDG EVEHICLES	486,590,623	\$ 47,199,290
732	TRULY INT'L	57,209,612	\$ 74,944,592
735	CP CLEAN ENERGY	609,500	\$ 1,730,980
743	ASIA CEMENT CH	346,000	\$ 2,792,220
746	L & M CHEMICAL	0	\$ 0
751	SKYWORTHDIGITAL	79,491,815	\$ 175,676,911
752	PICO FAR EAST	412,000	\$ 1,268,960
753	AIR CHINA	70,174,106	\$ 530,516,241
754	HOPSON DEV HOLD	2,046,002	\$ 13,626,373
755	SHANGHAI ZENDAI	0	\$ 0
762	CHINA UNICOM	144,599,249	\$ 1,333,205,076
763	ZTE	52,628,030	\$ 754,685,950
772	CHINA LIT	19,988,630	\$ 983,440,596
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	8,574,225	\$ 140,445,806
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	358,766,132	\$ 147,094,114
788	CHINA TOWER	702,891,227	\$ 801,295,999
798	CEOVU	0	\$ 0
799	IGG	23,196,470	\$ 226,165,583
806	VALUE PARTNERS	32,521,571	\$ 202,609,387
807	SIICENVIRONMENT	2,949,400	\$ 5,456,390
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	5,666,764	\$ 30,147,184
813	SHIMAO PROPERTY	19,934,076	\$ 389,113,164
815	CHI SILVER GP	24,203,956	\$ 27,108,431
816	HUADIAN FUXIN	8,644,345	\$ 13,658,065
817	CHINA JINMAO	166,563,092	\$ 592,964,608
818	HI SUN TECH	9,054,191	\$ 9,778,526
819	TIANNENG POWER	45,144,426	\$ 311,947,984
823	LINK REIT	21,669,183	\$ 1,669,610,550
826	TIANGONG INT'L	28,400,400	\$ 49,416,696
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	1,876,000	\$ 5,965,680
836	CHINA RES POWER	9,615,017	\$ 133,071,835
839	CHINA EDU GROUP	18,561,000	\$ 205,284,660
845	GLORIOUS PPT H	16,048,646	\$ 6,499,702
853	MICROPORT	11,653,760	\$ 120,732,954
855	CHINA WATER	29,070,712	\$ 254,950,144



Aggregated Reportable Short Positions of Specified Shares as of 28/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
856	VSTECs	466,476	\$ 1,912,552
857	PETROCHINA	183,917,921	\$ 1,166,039,619
860	WE SOLUTIONS	55,089,900	\$ 55,089,900
861	DC HOLDINGS	33,384,262	\$ 139,880,058
862	VISION VALUES	0	\$ 0
867	CMS	12,590,501	\$ 136,984,651
868	XINYI GLASS	59,601,506	\$ 589,458,894
874	BAIYUNSHAN PH	1,402,400	\$ 39,126,960
877	O-NET TECH GP	24,268,532	\$ 98,287,555
880	SJM HOLDINGS	155,018,415	\$ 1,122,333,325
881	ZHONGSHENG HLDG	79,638,195	\$ 1,517,903,997
882	TIANJIN DEV	3,873,000	\$ 10,960,590
883	CNOOC	142,923,527	\$ 2,215,314,669
884	CIFI HOLD GP	36,941,738	\$ 132,990,257
887	EMPEROR WATCH&J	1,510,000	\$ 468,100
895	DONGJIANG ENV	3,662,855	\$ 33,551,752
902	HUANENG POWER	126,102,274	\$ 649,426,711
906	COFCO PACKAGING	52,173,610	\$ 154,433,886
914	CONCH CEMENT	148,227,240	\$ 7,003,737,090
916	CHINA LONGYUAN	57,796,082	\$ 380,298,220
921	HISENSE KELON	12,134,506	\$ 86,033,648
928	LIFE HEALTHCARE	0	\$ 0
931	CHINA LNG	97,829,863	\$ 113,482,641
934	SINOPEC KANTONS	30,338,799	\$ 105,882,409
939	CCB	449,297,567	\$ 3,073,195,358
941	CHINA MOBILE	59,883,656	\$ 4,620,024,060
950	LEE'S PHARM	4,573,239	\$ 30,594,969
951	CHAOWEI POWER	38,673,116	\$ 133,035,519
952	TONGHAI FIN	0	\$ 0
956	CHINA SUNTIEN	1,949,094	\$ 4,249,025
958	HN RENEWABLES	62,139,100	\$ 144,784,103
960	LONGFOR GROUP	16,492,496	\$ 333,148,419
966	CHINA TAIPING	18,408,560	\$ 505,314,972
968	XINYI SOLAR	337,846,334	\$ 814,209,665
973	L'OCCITANE	7,632,626	\$ 107,314,722
976	CHIHO ENV	5,852,049	\$ 17,790,229
978	CHI MER LAND	3,336,000	\$ 3,869,760
981	SMIC	462,473,979	\$ 3,903,280,383
989	GROUND INTL DEV	7,960,000	\$ 6,288,400
991	DATANG POWER	50,383,017	\$ 99,758,374
992	LENOVO GROUP	701,520,243	\$ 4,012,695,790



Aggregated Reportable Short Positions of Specified Shares as of 28/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
995	ANHUIEXPRESSWAY	1,167,737	\$ 5,546,751
996	CARNIVAL GROUP	233,047,422	\$ 51,969,575
998	CITIC BANK	128,452,991	\$ 643,549,485
999	I.T	0	\$ 0
1003	HUANXI MEDIA	3,200,000	\$ 5,408,000
1004	C SMARTERENERGY	2,179,495	\$ 1,874,366
1028	C.BANNER	9,456,000	\$ 5,200,800
1030	FUTURE LAND	78,116,323	\$ 396,049,758
1031	KINGSTON FIN	13,762,000	\$ 28,624,960
1033	SINOPEC SSC	60,031,460	\$ 64,233,662
1038	CKI HOLDINGS	21,858,451	\$ 1,355,223,962
1044	HENGAN INT'L	52,127,295	\$ 3,763,590,699
1045	APT SATELLITE	0	\$ 0
1051	G-RESOURCES	99,345,523	\$ 6,556,805
1052	YUEXIUTRANSPORT	21,494,698	\$ 133,482,075
1055	CHINA SOUTH AIR	107,519,013	\$ 538,670,255
1060	ALI PICTURES	1,282,209,337	\$ 1,371,963,991
1061	ESSEX BIO-TECH	0	\$ 0
1065	TIANJIN CAPITAL	8,204,000	\$ 25,432,400
1066	WEIGAO GROUP	20,532,699	\$ 158,717,763
1068	YURUN FOOD	16,342,498	\$ 12,910,573
1070	TCL ELECTRONICS	4,646,416	\$ 17,284,668
1071	HUADIAN POWER	59,965,739	\$ 183,495,161
1072	DONGFANG ELEC	7,827,811	\$ 36,242,765
1076	IMPERIAL PAC	68,800,000	\$ 4,472,000
1083	TOWNGAS CHINA	8,877,431	\$ 60,810,402
1086	GOODBABY INTL	36,164,105	\$ 113,193,649
1088	CHINA SHENHUA	17,584,064	\$ 314,403,064
1089	LEYOU TECH H	12,675,000	\$ 32,321,250
1093	CSPC PHARMA	72,353,630	\$ 1,202,517,331
1098	ROAD KING INFRA	201,000	\$ 2,701,440
1099	SINOPHARM	37,938,743	\$ 1,453,053,857
1106	SINO HAIJING	0	\$ 0
1107	MODERN LAND	1,736,000	\$ 2,031,120
1109	CHINA RES LAND	45,463,902	\$ 1,245,710,915
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	5,323,750	\$ 250,216,250
1113	CK ASSET	19,526,688	\$ 1,147,192,920
1114	BRILLIANCE CHI	355,092,059	\$ 4,495,465,467
1115	TIBET WATER	22,590,141	\$ 55,797,648
1117	CH MODERN D	34,828,626	\$ 37,963,202



Aggregated Reportable Short Positions of Specified Shares as of 28/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1122	QINGLING MOTORS	578,000	\$ 1,248,480
1128	WYNN MACAU	74,975,081	\$ 1,349,551,458
1129	WATER INDUSTRY	14,032,000	\$ 18,943,200
1131	AGRITRADE RES	59,735,000	\$ 102,744,200
1133	HARBIN ELECTRIC	24,711,532	\$ 60,790,369
1137	HKTV	6,572,000	\$ 16,495,720
1138	COSCO SHIP ENGY	88,582,234	\$ 344,584,890
1140	OP FINANCIAL	8,540,000	\$ 23,399,600
1141	CMBC CAPITAL	529,969,319	\$ 206,688,034
1157	ZOOMLION	46,206,459	\$ 140,005,571
1166	SOLARTECH INT'L	28,000,000	\$ 26,600,000
1168	SINOLINK HOLD	29,684,000	\$ 19,888,280
1169	HAIER ELEC	19,423,377	\$ 412,746,761
1171	YANZHOU COAL	26,751,956	\$ 242,640,241
1176	ZHUGUANG HOLD	7,622,000	\$ 11,509,220
1177	SINO BIOPHARM	227,263,111	\$ 1,659,020,710
1186	CHINA RAIL CONS	88,668,534	\$ 936,339,719
1188	HYBRID KINETIC	35,495,950	\$ 2,697,692
1193	CHINA RES GAS	36,269,574	\$ 1,155,185,932
1196	REALORD GROUP	2,942,000	\$ 12,768,280
1199	COSCO SHIP PORT	50,190,568	\$ 432,140,790
1205	CITIC RESOURCES	44,190,837	\$ 34,026,944
1208	MMG	123,793,148	\$ 501,362,249
1211	BYD COMPANY	141,368,872	\$ 7,944,930,606
1212	LIFESTYLE INT'L	1,082,922	\$ 16,633,682
1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1223	SYMPHONY HOLD	1,450,000	\$ 1,551,500
1224	C C LAND	5,630,000	\$ 10,922,200
1230	YASHILI INT'L	11,646,924	\$ 17,120,978
1233	TIMES CHINA	2,826,953	\$ 23,633,327
1234	CHINA LILANG	842,235	\$ 6,165,160
1238	POWERLONG	2,841,000	\$ 9,432,120
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	241,667,312	\$ 34,075,091
1252	CHINA TIANRUI	0	\$ 0
1253	GREENLAND BROAD	8,271,734	\$ 6,203,801
1257	CEB GREENTECH	7,419,363	\$ 49,561,345
1258	CHINFMINING	0	\$ 0
1266	XIWANG STEEL	4,967,000	\$ 7,897,530
1268	MEIDONG AUTO	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 28/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1270	LANGHAM-SS	600,000	\$ 1,734,000
1282	CHINA GOLDJOY	40,192,000	\$ 18,086,400
1288	ABC	215,771,239	\$ 828,561,558
1293	GRAND BAOXIN	21,843,294	\$ 50,458,009
1297	SINOSOFT TECH	7,832,735	\$ 18,406,927
1299	AIA	128,157,809	\$ 8,958,230,849
1300	TRIGIANT	0	\$ 0
1302	LIFETECH SCI	33,228,273	\$ 64,130,567
1308	SITC	582,000	\$ 3,678,240
1310	HKBN	3,760,000	\$ 50,459,200
1313	CHINARES CEMENT	39,641,975	\$ 361,138,392
1316	NEXTEER	21,893,093	\$ 271,474,353
1317	MAPLELEAF EDU	40,032,519	\$ 164,133,328
1330	DYNAGREEN ENV	18,616,517	\$ 57,711,203
1333	CHINA ZHONGWANG	118,508,551	\$ 453,887,750
1336	NCI	13,333,661	\$ 500,678,971
1337	RAZER	96,555,862	\$ 168,972,759
1339	PICC GROUP	118,542,960	\$ 417,271,219
1347	HUA HONG SEMI	15,751,209	\$ 265,565,384
1359	CHINA CINDA	303,852,246	\$ 601,627,447
1360	MEGAEXPO HLDG	2,243,323	\$ 5,025,044
1361	361 DEGREES	25,387,700	\$ 50,521,523
1363	CTEG	160,543,774	\$ 146,094,834
1368	XTEP INT'L	14,902,690	\$ 67,509,186
1375	CC SECURITIES	20,159,384	\$ 39,713,986
1378	CHINAHONGQIAO	61,752,813	\$ 321,114,628
1381	CANVEST ENV	17,381,252	\$ 70,741,696
1382	PACIFICTEXTILES	12,433,355	\$ 82,930,478
1383	SUNCITY GROUP	7,660,000	\$ 10,264,400
1385	SHANGHAI FUDAN	266,000	\$ 2,154,600
1387	RENHE COMM	58,622,181	\$ 15,534,878
1398	ICBC	265,531,309	\$ 1,518,839,087
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	7,616,000	\$ 12,414,080
1448	FU SHOU YUAN	17,794,899	\$ 108,726,833
1456	GUOLIAN SEC	0	\$ 0
1458	ZHOU HEI YA	78,677,318	\$ 368,996,621
1462	GOLD-FIN HLDG	8,738,476	\$ 15,554,487
1476	HENGTOU SEC	0	\$ 0
1478	Q TECH	28,236,000	\$ 142,874,160
1508	CHINA RE	128,183,612	\$ 198,684,599



Aggregated Reportable Short Positions of Specified Shares as of 28/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1513	LIVZON PHARMA	1,671,634	\$ 44,966,955
1515	CRPHOENIXHEALTH	21,194,556	\$ 150,905,239
1518	NC HEALTHCARE	112,500	\$ 843,750
1528	RS MACALLINE	1,430,313	\$ 10,741,651
1530	3SBIO	67,349,978	\$ 886,325,710
1548	GENSCRIPT BIO	76,491,556	\$ 1,011,218,370
1551	GRCB	0	\$ 0
1558	HEC PHARM	3,076,800	\$ 100,149,840
1569	MINSHENG EDU	1,242,000	\$ 2,049,300
1571	XIN POINT HOLD	0	\$ 0
1573	CH UNIENERGY	152,000	\$ 2,200,960
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	17,491,440	\$ 311,347,632
1585	YADEA	9,446,000	\$ 25,598,660
1599	UCD	1,803,000	\$ 5,823,690
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	9,548,426	\$ 10,789,721
1617	NANFANG COMM	0	\$ 0
1618	MCC	40,022,923	\$ 88,050,431
1622	REDCO GROUP	13,599,957	\$ 54,127,829
1628	YUZHOU PPT	29,841,746	\$ 94,896,752
1636	CMRU	2,176,000	\$ 9,704,960
1638	KAISA GROUP	18,378,745	\$ 46,130,650
1651	TSUGAMI CHINA	0	\$ 0
1658	PSBC	1,064,832,480	\$ 5,249,624,126
1660	ZHAOBANGJI PPT	336,000	\$ 4,119,360
1666	TONG REN TANG	12,424,966	\$ 142,390,110
1668	CHINASOUTHCITY	26,052,800	\$ 33,347,584
1673	HUAZHANG TECH	0	\$ 0
1680	MACAU LEGEND	15,909,507	\$ 22,591,500
1681	CONSUN PHARMA	6,781,214	\$ 42,314,775
1686	SUNEVISION	2,873,217	\$ 15,141,854
1696	SISRAM MED	0	\$ 0
1697	SDITC	0	\$ 0
1700	SPRINGLAND	0	\$ 0
1717	AUSNUTRIA	12,472,091	\$ 106,012,774
1720	PUTIAN COMM	504,000	\$ 1,280,160
1727	HEBEI CONS	681,000	\$ 2,873,820
1728	ZHENGTONGAUTO	207,853,035	\$ 1,068,364,600
1766	CRRRC	65,652,844	\$ 469,417,835



Aggregated Reportable Short Positions of Specified Shares as of 28/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1776	GF SEC	38,754,446	\$ 389,094,638
1777	FANTASIA	15,794,300	\$ 15,162,528
1778	COLOUR LIFE	11,178,605	\$ 50,415,509
1788	GUOTAI JUNAN I	309,472,931	\$ 423,977,915
1789	AK MEDICAL	7,745,118	\$ 41,668,735
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	46,373,633	\$ 370,989,064
1803	BJ SPORTS & ENT	3,872,500	\$ 9,797,425
1810	XIAOMI-W	177,526,594	\$ 2,751,662,207
1811	CGN NEW ENERGY	1,832,000	\$ 2,363,280
1812	CHENMING PAPER	8,729,750	\$ 41,553,610
1813	KWG GROUP	45,680,220	\$ 327,070,375
1816	CGN POWER	695,498,802	\$ 1,293,627,772
1818	ZHAOJIN MINING	92,401,592	\$ 559,953,648
1828	DCH HOLDINGS	1,504,998	\$ 4,590,244
1829	CMEC	14,443,326	\$ 55,317,939
1836	STELLA HOLDINGS	8,346,669	\$ 58,343,216
1848	CALC	579,000	\$ 4,915,710
1862	JINGRUI HLDGS	3,192,000	\$ 7,980,000
1866	CHINA XLX FERT	0	\$ 0
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	10,494,658	\$ 182,816,942
1883	CITIC TELECOM	2,427,259	\$ 6,480,782
1888	KB LAMINATES	19,572,571	\$ 135,833,643
1898	CHINA COAL	48,542,415	\$ 160,189,970
1899	XINGDA INT'L	13,156,058	\$ 29,864,252
1908	C&D INTL GROUP	1,610,000	\$ 11,076,800
1910	SAMSONITE	41,671,132	\$ 1,208,462,828
1913	PRADA	8,560,433	\$ 321,016,238
1918	SUNAC	193,138,333	\$ 4,654,633,825
1919	COSCO SHIP HOLD	169,538,244	\$ 550,999,293
1928	SANDS CHINA LTD	104,358,873	\$ 3,699,522,048
1929	CHOW TAI FOOK	0	\$ 0
1958	BAIC MOTOR	57,980,908	\$ 363,540,293
1963	BCQ	5,644,209	\$ 26,527,782
1966	CHINA SCE GROUP	3,656,000	\$ 11,041,120
1970	IMAX CHINA	6,584,811	\$ 131,169,435
1972	SWIREPROPERTIES	10,516,485	\$ 311,813,780
1980	TIANGE	5,504,000	\$ 26,639,360
1986	TSAKER CHEM	471,000	\$ 2,533,980



Aggregated Reportable Short Positions of Specified Shares as of 28/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1988	MINSHENG BANK	279,137,215	\$ 1,621,787,219
1997	WHARF REIC	17,240,953	\$ 870,668,127
1999	MAN WAH HLDGS	86,687,878	\$ 406,566,148
2001	NEW HIGHER EDU	13,018,372	\$ 70,169,025
2005	SSY GROUP	27,598,861	\$ 208,647,389
2006	JINJIANG HOTELS	7,702,000	\$ 16,944,400
2007	COUNTRY GARDEN	317,759,188	\$ 3,136,283,186
2008	PHOENIX TV	7,405,947	\$ 5,036,044
2009	BBMG	216,484,278	\$ 580,177,865
2014	OZNER WATER	43,784,000	\$ 79,249,040
2016	CZBANK	0	\$ 0
2018	AAC TECH	58,040,214	\$ 4,718,669,398
2020	ANTA SPORTS	26,299,234	\$ 987,536,237
2038	FIH	145,744,696	\$ 131,170,226
2039	CIMC	7,803,598	\$ 64,769,863
2066	SHENGJINGBANK	600,000	\$ 2,244,000
2098	ZALL SMARTCOM	7,535,651	\$ 44,384,984
2099	CHINAGOLDINTL	1,111,400	\$ 12,558,820
2111	BEST PACIFIC	9,670,871	\$ 25,240,973
2118	TIAN SHAN DEV	0	\$ 0
2128	CHINA LESSO	4,841,000	\$ 21,494,040
2136	LIFESTYLE CHI	13,100,767	\$ 41,660,439
2139	BANK OF GANSU	0	\$ 0
2186	LUYE PHARMA	207,200,067	\$ 1,454,544,470
2196	FOSUN PHARMA	39,014,775	\$ 1,203,605,809
2198	CHINA SANJIANG	1,435,000	\$ 2,999,150
2199	REGINA MIRACLE	13,994,684	\$ 74,871,559
2202	CHINA VANKE	48,482,530	\$ 1,255,697,527
2208	GOLDWIND	10,305,611	\$ 86,670,189
2222	NVC LIGHTING	0	\$ 0
2232	CRYSTAL INTL	17,088,000	\$ 87,832,320
2233	WESTCHINACEMENT	19,191,256	\$ 28,211,146
2236	WISON ENGRG	868,000	\$ 902,720
2238	GAC GROUP	131,446,044	\$ 1,139,637,201
2255	HAICHANG HLDG	4,979,000	\$ 8,314,930
2268	YOUYUAN HLDGS	10,241,039	\$ 28,470,088
2269	WUXI BIO	29,359,132	\$ 2,323,775,298
2280	HC GROUP	29,068,636	\$ 158,424,066
2282	MGM CHINA	82,895,540	\$ 1,027,904,696
2283	TK GROUP HLDG	726,000	\$ 3,586,440
2298	COSMO LADY	20,049,664	\$ 68,770,348



Aggregated Reportable Short Positions of Specified Shares as of 28/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2299	BILLION IND	0	\$ 0
2303	HENGXING GOLD	400,000	\$ 3,272,000
2313	SHENZHOU INTL	17,656,750	\$ 1,772,737,700
2314	LEE & MAN PAPER	28,627,544	\$ 207,835,969
2318	PING AN	1,339,411,137	\$ 106,483,185,392
2319	MENGNU DAIRY	62,325,096	\$ 1,623,568,751
2322	NOBLE CENTURY	0	\$ 0
2328	PICC P&C	75,017,687	\$ 693,163,428
2329	GUORUI PPT	6,579,268	\$ 13,421,707
2331	LI NING	40,596,314	\$ 300,412,724
2333	GREATWALL MOTOR	642,487,741	\$ 3,206,013,828
2338	WEICHAI POWER	253,266,550	\$ 2,456,685,535
2342	COMBA	45,982,868	\$ 56,558,928
2343	PACIFIC BASIN	153,830,059	\$ 286,123,910
2348	DAWNRAYS PHARMA	0	\$ 0
2355	BAOYE GROUP	0	\$ 0
2356	DAHSHING BANKING	1,195,482	\$ 18,816,887
2357	AVICHINA	137,364,663	\$ 708,801,661
2362	JINCHUAN INTL	35,767,000	\$ 37,913,020
2378	PRU	0	\$ 0
2380	CHINA POWER	225,622,122	\$ 392,582,492
2382	SUNNY OPTICAL	37,360,989	\$ 3,373,697,307
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	12,555,774	\$ 112,248,620
2388	BOC HONG KONG	45,676,662	\$ 1,699,171,826
2389	BJ ENT M&H	28,956,897	\$ 10,424,483
2393	YESTAR HEALTH	0	\$ 0
2588	BOC AVIATION	6,035,676	\$ 366,365,533
2600	CHALCO	349,482,609	\$ 1,216,199,479
2601	CPIC	113,352,662	\$ 3,423,250,392
2607	SH PHARMA	17,597,395	\$ 344,205,046
2608	SUNSHINE 100	1,020,000	\$ 3,213,000
2611	GTJA	15,459,233	\$ 248,893,651
2628	CHINA LIFE	74,900,571	\$ 1,331,732,152
2638	HKELECTRIC-SS	168,039,371	\$ 1,327,511,031
2662	CAMSING INTL	3,155,920	\$ 21,365,578
2666	UNI MEDICAL	48,857,945	\$ 293,636,249
2669	CHINA OVS PPT	21,103,293	\$ 47,482,409
2678	TEXHONG TEXTILE	395,500	\$ 4,635,260
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	12,737,346	\$ 866,139,528



Aggregated Reportable Short Positions of Specified Shares as of 28/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2689	ND PAPER	60,625,075	\$ 512,888,135
2698	WEIQIAO TEXTILE	260,500	\$ 718,980
2727	SH ELECTRIC	222,711,460	\$ 621,364,973
2768	JIAYUAN INTL	43,755,599	\$ 586,325,027
2777	R&F PROPERTIES	45,937,765	\$ 660,585,061
2778	CHAMPION REIT	8,372,000	\$ 45,878,560
2779	CH XINHUA EDU	2,000,000	\$ 4,880,000
2799	CHINA HUARONG	503,992,713	\$ 725,749,507
2800	TRACKER FUND	12,146,000	\$ 347,982,900
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PREMIA BEDROCK	0	\$ 0
2805	VANGUARDASXJP	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMUNGC DRAGON	0	\$ 0
2813	CAM CTPB BOND	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	153,297,485	\$ 2,044,988,450
2823	ISHARES A50	82,210,545	\$ 1,075,313,929
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	6,760,091	\$ 753,750,147
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	12,180,478	\$ 31,303,828
2866	COSCO SHIP DEV	163,513,047	\$ 173,323,830
2868	BJ CAPITAL LAND	11,680,320	\$ 33,522,518
2869	GREENTOWN SER	57,319,992	\$ 359,969,550
2877	SHINEWAY PHARM	1,425,921	\$ 15,257,355



Aggregated Reportable Short Positions of Specified Shares as of 28/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2880	DALIAN PORT	15,112,800	\$ 14,810,544
2883	CHINA OILFIELD	108,913,684	\$ 924,677,177
2888	STANCHART	488,640	\$ 31,761,600
2899	ZIJIN MINING	118,737,473	\$ 357,399,794
3007	TRFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X TRMSCIRUSSIA	0	\$ 0
3036	TRMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	TRMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3065	TRMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VANGUARDAXJHY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 28/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3101	VANGUARDDDVEUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3126	VANGUARDJAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VANGUARDSP500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3150	HGI CSI500	0	\$ 0
3155	ISHARESE50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0
3169	VANGUARDCHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3173	PREMIA NEW ECON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3181	PREMIAASIATEC	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 28/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3182	WISE NEW ECON50	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3188	CAM CSI300	55,154,425	\$ 2,200,661,558
3197	CAM MSCI AINC	0	\$ 0
3199	CSOP 5YCGBOND	0	\$ 0
3301	RONSHINECHINA	13,587,120	\$ 124,458,019
3306	JNBY	4,650,500	\$ 64,548,940
3308	GOLDEN EAGLE	1,432,000	\$ 12,186,320
3309	C-MER EYE	1,952,000	\$ 8,452,160
3311	CHINA STATE CON	179,492,282	\$ 1,484,401,172
3319	A-LIVING	4,811,201	\$ 58,504,204
3320	CHINARES PHARMA	30,626,809	\$ 380,384,968
3323	CNBM	179,405,130	\$ 1,246,865,654
3328	BANKCOMM	100,102,017	\$ 587,598,840
3331	VINDA INT'L	275,000	\$ 3,696,000
3333	EVERGRANDE	299,782,072	\$ 6,580,216,480
3337	ANTON OILFIELD	40,125,540	\$ 52,163,202
3339	LONKING	10,310,400	\$ 26,085,312
3358	BESTWAY GLOBAL	0	\$ 0
3360	FE HORIZON	26,444,166	\$ 197,009,037
3369	QHD PORT	774,000	\$ 1,369,980
3377	SINO-OCEAN GP	23,149,051	\$ 79,864,226
3378	XIAMEN PORT	1,222,000	\$ 1,356,420
3380	LOGAN PPT	60,016,648	\$ 530,547,168
3382	TIANJINPORT DEV	1,326,000	\$ 1,180,140
3383	AGILE GROUP	68,689,184	\$ 759,702,375
3393	WASION HOLDINGS	4,942,671	\$ 19,572,977
3396	LEGENDHOLDING	5,606,947	\$ 134,566,728
3606	FUYAO GLASS	20,329,969	\$ 578,387,618
3613	TONGRENTANGCM	321,000	\$ 5,065,380
3618	CQRC BANK	56,719,914	\$ 242,761,232
3633	ZHONGYU GAS	3,042,299	\$ 21,478,631
3636	POLY CULTURE	326,014	\$ 3,221,018
3639	YIDA CHINA	0	\$ 0
3669	YONGDA AUTO	61,048,080	\$ 429,168,002
3690	MEITUAN-W	25,779,200	\$ 1,772,320,000
3698	HUIZHANG BANK	0	\$ 0
3709	KORADIOR	0	\$ 0
3799	DALI FOODS	100,057,557	\$ 563,324,046
3800	GCL-POLY ENERGY	1,228,217,545	\$ 675,519,650
3808	SINOTRUK	202,987,221	\$ 3,458,902,246



Aggregated Reportable Short Positions of Specified Shares as of 28/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3813	POU SHENG INT'L	49,380,445	\$ 77,033,494
3818	CHINA DONGXIANG	49,436,502	\$ 64,761,818
3836	HARMONY AUTO	51,606,149	\$ 168,236,046
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	28,420,000	\$ 150,626,000
3888	KINGSOFT	82,614,466	\$ 1,232,607,833
3898	CRRC TIMES ELEC	27,170,049	\$ 1,214,501,190
3899	CIMC ENRIC	13,688,000	\$ 111,694,080
3900	GREENTOWN CHINA	33,388,401	\$ 244,069,211
3908	CICC	97,202,800	\$ 1,405,552,488
3918	NAGACORP	1,973,071	\$ 16,179,182
3933	UNITED LAB	180,620,794	\$ 1,253,508,310
3948	YITAI COAL	0	\$ 0
3958	DFZQ	11,818,000	\$ 59,326,360
3968	CM BANK	104,616,689	\$ 3,326,810,710
3969	CHINA CRSC	58,603,581	\$ 324,663,839
3983	CHINA BLUECHEM	10,196,800	\$ 32,323,856
3988	BANK OF CHINA	120,439,559	\$ 419,129,665
3989	CAPITAL ENV	0	\$ 0
3993	CMOC	357,092,959	\$ 1,171,264,906
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	27,747,399	\$ 30,522,139
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	118,431,462	\$ 1,648,565,951
6060	ZA ONLINE	32,593,275	\$ 1,041,355,136
6066	CSC	10,067,500	\$ 45,807,125
6068	WISDOM EDU INTL	16,176,000	\$ 66,806,880
6088	FIT HON TENG	6,952,000	\$ 26,904,240
6098	CG SERVICES	37,653,146	\$ 500,786,842
6099	CMSC	6,528,885	\$ 61,632,674
6116	LA CHAPELLE	504,600	\$ 3,718,902
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6158	ZHENRO PPT	0	\$ 0
6168	CHINA U-TON	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 28/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6169	YUHUA EDU	32,456,000	\$ 122,683,680
6178	EB SECURITIES	1,812,600	\$ 12,597,570
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	3,939,000	\$ 21,861,450
6808	SUNART RETAIL	158,271,278	\$ 1,611,201,610
6818	CEB BANK	79,643,573	\$ 276,363,198
6823	HKT-SS	15,395,987	\$ 165,660,820
6826	HAOHAI BIOTEC	18,200	\$ 972,790
6828	BG BLUE SKY	25,099,022	\$ 13,804,462
6837	HAITONG SEC	129,634,829	\$ 922,999,982
6858	HONMAGOLF	192,500	\$ 1,424,500
6868	TENFU	0	\$ 0
6869	YOFC	19,058,200	\$ 464,067,170
6881	CGS	378,994,250	\$ 1,383,329,013
6886	HTSC	54,620,553	\$ 612,842,605
6889	DYNAM JAPAN	0	\$ 0
7200	FL2 CSOP HSI	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8032	VIVA CHINA	8,286,976	\$ 7,458,278
8047	CHINAOCEAN FISH	0	\$ 0
8057	MADISON HLDG	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 28/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
8078	HMV DIGIT CHINA	0	\$ 0
8083	CHINA YOUZAN	239,085,871	\$ 167,360,110
8137	HONBRIDGE	21,782,624	\$ 20,040,014
8158	CRMI	49,207,748	\$ 5,412,852
8207	CHONGSING HLDGS	649,248,737	\$ 272,684,470
8265	CHINA TRUSTFUL	1,528,000	\$ 3,438,000
8279	AGTECH HOLDINGS	9,264,000	\$ 5,558,400
8293	SINGASIA HLDG	0	\$ 0
8407	CISI FIN	0	\$ 0
8469	SHENGYE CAPITAL	186,000	\$ 1,307,580
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARES MSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9085	VANGUARDAXJHY-U	0	\$ 0
9101	VANGUARD DVEUR-U	0	\$ 0
9115	ISHARES HSI-U	0	\$ 0
9126	VANGUARD JAPAN-U	0	\$ 0
9140	VANGUARD SP500-U	0	\$ 0
9146	ISHARES DAX-U	0	\$ 0
9155	ISHARES SES50-U	0	\$ 0
9167	ICBCC SOP CHINA-U	0	\$ 0
9169	VANGUARD CHINA-U	0	\$ 0
9170	ISHARES KES200-U	0	\$ 0
9181	PREMIA ASIATEC-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9199	CSOP 5YCGBOND-U	0	\$ 0
9805	VANGUARD ASXJP-U	0	\$ 0
9810	PREMIA EMASEAN-U	0	\$ 0
9834	ISHARES ND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARES CSI300-U	0	\$ 0
9847	ISHARES SFS100-U	0	\$ 0
82805	VANGUARD ASXJP-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82813	CAM CTPB BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HSCEI ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 28/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83085	VANGUARDAXJHY-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83101	VANGUARDDDVEUR-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83126	VANGUARDJAPAN-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83132	C-SHS CSI HLC-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83140	VANGUARDSP500-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83169	VANGUARDCHINA-R	0	\$ 0
83170	ISHARESXS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 28/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP 5YCGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0