

**Aggregated Reportable Short Positions of Specified Shares as of 12/10/2018**

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	13,068,260	\$ 1,062,449,538
2	CLP HOLDINGS	24,134,820	\$ 2,122,657,419
3	HK & CHINA GAS	200,051,134	\$ 2,964,757,806
4	WHARF HOLDINGS	38,469,133	\$ 737,837,971
5	HSBC HOLDINGS	111,418,250	\$ 7,359,175,413
6	POWER ASSETS	16,435,217	\$ 872,710,023
8	PCCW	48,640,004	\$ 208,179,217
10	HANG LUNG GROUP	9,923,975	\$ 194,708,390
11	HANG SENG BANK	10,614,864	\$ 2,171,801,174
12	HENDERSON LAND	20,773,087	\$ 775,874,799
14	HYSAN DEV	3,324,582	\$ 124,838,054
16	SHK PPT	19,533,697	\$ 2,045,178,076
17	NEW WORLD DEV	85,039,570	\$ 843,592,534
19	SWIRE PACIFIC A	19,294,472	\$ 1,578,287,810
20	WHEELLOCK	3,675,890	\$ 155,857,736
23	BANK OF E ASIA	25,308,853	\$ 671,950,047
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	78,159,336	\$ 3,567,973,688
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	8,093,211	\$ 4,451,266
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	2,030,833	\$ 7,473,465
41	GREAT EAGLE H	608,356	\$ 21,779,145
43	C.P. POKPHAND	0	\$ 0
44	HAECO	320,400	\$ 22,860,540
45	HK&S HOTELS	3,009,500	\$ 32,382,220
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	161,892	\$ 4,160,624
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	853,000	\$ 20,813,200
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	0	\$ 0
66	MTR CORPORATION	40,125,612	\$ 1,572,923,990
69	SHANGRI-LA ASIA	18,996,412	\$ 204,021,465
71	MIRAMAR HOTEL	0	\$ 0
75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	199,007	\$ 847,770

**Aggregated Reportable Short Positions of Specified Shares as of 12/10/2018**

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
81	CH OVS G OCEANS	46,002,676	\$ 109,946,396
83	SINO LAND	48,222,455	\$ 592,171,747
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	0	\$ 0
95	LVGEM CHINA	4,386,286	\$ 10,263,909
101	HANG LUNG PPT	35,502,567	\$ 511,947,016
102	SUMMIT ASCENT	26,122,706	\$ 25,861,479
105	ASSO INT HOTELS	0	\$ 0
106	LANDSEA GREEN	0	\$ 0
107	SICHUAN EXPRESS	3,748,000	\$ 8,770,320
116	CHOW SANG SANG	0	\$ 0
119	POLY PROPERTY	13,074,189	\$ 31,378,054
120	COSMOPOL INT'L	0	\$ 0
121	C.P. LOTUS	0	\$ 0
123	YUEXIU PROPERTY	80,308,665	\$ 101,992,005
127	CHINESE EST H	5,944,213	\$ 46,662,072
129	ASIA STANDARD	408,000	\$ 612,000
133	CHINA MERCHANTS	34,000	\$ 321,300
135	KUNLUN ENERGY	327,930,106	\$ 2,836,595,417
136	HENG TEN NET	1,188,704,126	\$ 332,837,155
142	FIRST PACIFIC	44,389,627	\$ 169,568,375
144	CHINA MER PORT	47,434,020	\$ 642,256,631
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD HLDG	8,127,316	\$ 192,617,389
151	WANT WANT CHINA	546,104,510	\$ 3,123,717,797
152	SHENZHEN INT'L	14,244,432	\$ 213,951,369
158	MELBOURNE ENT	0	\$ 0
161	AVIC IHL	4,182,000	\$ 16,226,160
163	EMPEROR INT'L	0	\$ 0
165	CHINA EB LTD	5,678,473	\$ 72,343,746
168	TSINGTAO BREW	22,858,158	\$ 774,891,556
169	WANDA HOTEL DEV	0	\$ 0
171	SILVER GRANT	28,691,600	\$ 45,906,560
173	K. WAH INT'L	19,646,519	\$ 66,208,769
175	GEELY AUTO	146,829,809	\$ 2,067,363,711
177	JIANGSU EXPRESS	6,471,576	\$ 62,062,414
178	SA SA INT'L	28,911,655	\$ 103,503,725
179	JOHNSON ELEC H	1,644,803	\$ 31,086,777
182	CONCORD NE	31,830,494	\$ 9,708,301
189	DONGYUE GROUP	13,682,247	\$ 68,000,768
191	LAI SUN INT'L	0	\$ 0

**Aggregated Reportable Short Positions of Specified Shares as of 12/10/2018**

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
194	LIU CHONG HING	0	\$ 0
196	HONGHUA GROUP	45,926,499	\$ 23,881,779
198	SMI HOLDINGS	27,473,239	\$ 64,287,379
200	MELCO INT'L DEV	28,295,006	\$ 418,200,189
207	JOY CITY PPT	0	\$ 0
215	HUTCHTEL HK	84,310,947	\$ 249,560,403
220	U-PRESID CHINA	55,917,013	\$ 444,540,253
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	1,250,000	\$ 1,625,000
232	AVIC INT'L	191,304,101	\$ 35,582,563
235	CHINA STRATEGIC	91,570,000	\$ 5,768,910
241	ALI HEALTH	218,846,193	\$ 1,372,165,630
242	SHUN TAK HOLD	19,261,384	\$ 48,153,460
245	CN MINSHENG FIN	33,955,743	\$ 9,507,608
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	670,000	\$ 6,633,000
256	CITYCHAMP	4,828,000	\$ 7,773,080
257	CHINA EB INT'L	202,787,383	\$ 1,328,257,359
258	TOMSON GROUP	0	\$ 0
261	CCT LAND	0	\$ 0
265	ORIENT VICTORY	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	44,661,731	\$ 516,289,610
268	KINGDEE INT'L	257,253,476	\$ 1,821,354,610
270	GUANGDONG INV	16,544,666	\$ 236,588,724
272	SHUI ON LAND	95,115,967	\$ 154,087,867
273	MASON GP HOLD	38,616,884	\$ 4,827,111
285	BYD ELECTRONIC	87,313,586	\$ 815,508,893
286	CS HEALTH	4,130,000	\$ 2,767,100
288	WH GROUP	131,908,365	\$ 787,492,939
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	47,831,268	\$ 1,365,582,701
293	CATHAY PAC AIR	23,282,693	\$ 256,575,277
295	KONG SUN HOLD	0	\$ 0
297	SINOFERT	110,919,099	\$ 99,827,189
303	VTECH HOLDINGS	1,092,867	\$ 94,205,135
308	CHINA TRAVEL HK	40,664,072	\$ 91,494,162
315	SMARTONE TELE	9,741,276	\$ 100,140,317
316	OOIL	302,436	\$ 14,547,172
317	COMEC	7,099,005	\$ 39,257,498
321	TEXWINCA HOLD	16,010,309	\$ 47,070,308

**Aggregated Reportable Short Positions of Specified Shares as of 12/10/2018**

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
322	TINGYI	102,998,058	\$ 1,351,334,521
323	MAANSHAN IRON	88,312,386	\$ 374,444,517
327	PAX GLOBAL	55,130,315	\$ 195,712,618
329	OCI INTL	0	\$ 0
330	ESPRIT HOLDINGS	140,307,093	\$ 284,823,399
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	7,110,292	\$ 25,810,360
337	GREENLAND HK	8,548,225	\$ 16,925,486
338	SHANGHAI PECEM	94,369,464	\$ 361,435,047
340	TONGGUAN GOLD	0	\$ 0
341	CAFE DE CORAL H	3,628,137	\$ 61,678,329
342	NEWOCEAN ENERGY	41,292,972	\$ 105,710,008
345	VITASOY INT'L	4,849,584	\$ 121,239,600
347	ANGANG STEEL	153,019,225	\$ 1,025,228,808
354	CHINASOFT INT'L	87,891,906	\$ 368,267,086
358	JIANGXI COPPER	54,836,105	\$ 466,106,893
363	SHANGHAI IND H	672,368	\$ 10,838,572
365	UNIS HOLDINGS	0	\$ 0
367	CHUANG'S INT'L	0	\$ 0
368	SINOTRANS SHIP	37,849,292	\$ 97,651,173
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	495,653,407	\$ 1,913,222,151
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
378	FDG KINETIC	13,632,000	\$ 1,376,832
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	85,597,953	\$ 1,840,355,990
386	SINOPEC CORP	260,849,508	\$ 1,776,385,149
388	HKEX	23,182,401	\$ 4,659,662,601
390	CHINA RAILWAY	94,463,514	\$ 702,808,544
392	BEIJING ENT	8,153,717	\$ 347,348,344
400	COGOBUY	20,555,562	\$ 54,266,684
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	114,031,194	\$ 307,884,224
412	CHINA SDHS FIN	0	\$ 0
416	BANKOFJINZHOU	0	\$ 0
419	HUAYI TENCENT	112,228,194	\$ 22,333,411
425	MINTH GROUP	77,154,872	\$ 2,121,758,980
433	NORTH MINING	217,903,290	\$ 8,062,422
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	59,144,867	\$ 33,712,574

## Aggregated Reportable Short Positions of Specified Shares as of 12/10/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
440	DAH SING	0	\$ 0
445	CIMC-TIANDA	0	\$ 0
451	GCL NEWENERGY	192,350,240	\$ 48,087,560
460	SIHUAN PHARM	150,014,347	\$ 237,022,668
467	UNITEDENERGY GP	0	\$ 0
468	GAPACK	860,000	\$ 4,128,000
480	HKR INT'L	624,676	\$ 2,467,470
486	RUSAL	0	\$ 0
488	LAI SUN DEV	369,646	\$ 3,955,212
489	DONGFENG GROUP	27,890,829	\$ 215,038,292
493	GOME RETAIL	1,668,131,750	\$ 1,217,736,178
494	LI & FUNG	490,483,203	\$ 824,011,781
496	KASEN	1,723,000	\$ 5,530,830
497	CSI PROPERTIES	9,530,000	\$ 3,144,900
500	FRONTIER SER	0	\$ 0
506	CHINA FOODS	27,338,209	\$ 98,417,552
508	DINGYI GP INV	42,156,430	\$ 21,078,215
511	TVB	12,802,643	\$ 248,371,274
512	CHINAGRANDPHARM	3,428,000	\$ 14,226,200
517	COSCO SHIP INTL	484,000	\$ 1,316,480
519	APPLIED DEV	849,454	\$ 509,672
520	XIABUXIABU	18,834,700	\$ 208,688,476
521	CWT INT'L	8,690,000	\$ 1,529,440
522	ASM PACIFIC	21,032,061	\$ 1,625,778,315
525	GUANGSHEN RAIL	5,916,900	\$ 18,283,221
526	LISI GP HOLD	0	\$ 0
535	GEMDALE PPT	99,834,000	\$ 67,887,120
538	AJISEN (CHINA)	8,978,120	\$ 27,832,172
546	FUFENG GROUP	84,494,636	\$ 275,452,513
547	DIGITAL DOMAIN	487,955,340	\$ 48,795,534
548	SHENZHENEXPRESS	2,510,672	\$ 18,051,732
551	YUE YUEN IND	30,063,873	\$ 635,850,914
552	CHINACOMSERVICE	9,902,043	\$ 65,056,423
563	SH IND URBAN	19,812,000	\$ 23,378,160
570	TRAD CHI MED	36,792,738	\$ 170,350,377
572	FUTURE WORLD FH	43,162,699	\$ 2,805,575
576	ZHEJIANGEXPRESS	11,514,856	\$ 75,307,158
579	JNCEC	14,241,058	\$ 22,073,640
580	SUN.KING ELEC	3,598,000	\$ 4,065,740
581	CHINA ORIENTAL	5,654,000	\$ 33,924,000
586	CONCH VENTURE	25,890,412	\$ 636,904,135

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
588	BEIJING N STAR	6,370,000	\$ 12,931,100
590	LUK FOOK HOLD	4,677,725	\$ 117,410,898
598	SINOTRANS	19,884,298	\$ 58,658,679
603	CHINA OIL & GAS	12,007,936	\$ 6,724,444
604	SHENZHEN INVEST	247,616,963	\$ 534,852,640
605	C FIN SERVICES	0	\$ 0
606	CHINA AGRI	37,051,240	\$ 98,556,298
607	FULLSHARE	988,125,996	\$ 3,201,528,227
613	YUGANG INT'L	0	\$ 0
622	ENERCHINA HOLD	9,845,861	\$ 3,839,886
627	FULLSUN INT'L	0	\$ 0
636	KERRY LOG NET	543,532	\$ 6,870,244
639	SHOUGANG RES	28,159,151	\$ 45,899,416
650	IDG ENERGY INV	0	\$ 0
656	FOSUN INTL	27,828,152	\$ 331,711,572
658	C TRANSMISSION	32,572,910	\$ 247,228,387
659	NWS HOLDINGS	1,536,131	\$ 22,734,739
662	ASIA FINANCIAL	0	\$ 0
665	HAITONG INT'L	123,919,112	\$ 287,492,340
669	TECHTRONIC IND	13,715,744	\$ 610,350,608
670	CHINA EAST AIR	61,732,650	\$ 266,685,048
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	89,133,120	\$ 16,667,893
683	KERRY PPT	6,139,826	\$ 153,802,641
686	PANDA GREEN	83,983,992	\$ 23,935,438
687	HKICIM GROUP	939,407	\$ 1,531,233
688	CHINA OVERSEAS	68,606,526	\$ 1,591,671,403
693	TAN CHONG INT'L	0	\$ 0
694	BEIJING AIRPORT	47,850,984	\$ 400,512,736
696	TRAVELSKY TECH	17,509,963	\$ 308,175,349
697	SHOUGANG INT'L	32,774,910	\$ 5,702,834
698	TONGDA GROUP	184,556,813	\$ 193,784,654
699	CAR INC	69,887,222	\$ 429,806,415
700	TENCENT	79,943,052	\$ 23,055,576,197
707	ATV HOLDINGS	16,126,000	\$ 4,112,130
708	EVERG HEALTH	13,311,274	\$ 118,337,226
709	GIORDANO INT'L	7,172,970	\$ 27,042,097
710	BOE VARITRONIX	3,034,845	\$ 7,222,931
715	CHINA OCEANWIDE	5,002,000	\$ 1,850,740
716	SINGAMAS CONT	12,080,281	\$ 13,529,915
717	EMPEROR CAPITAL	10,476,974	\$ 3,719,326

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
721	C FIN INT INV	21,174,658	\$ 4,044,360
728	CHINA TELECOM	125,603,525	\$ 497,389,959
729	FDG EVEHICLES	463,149,520	\$ 34,273,064
732	TRULY INT'L	60,039,341	\$ 67,244,062
735	CP CLEAN ENERGY	768,000	\$ 1,866,240
743	ASIA CEMENT CH	0	\$ 0
746	L & M CHEMICAL	0	\$ 0
751	SKYWORTHDIGITAL	101,220,220	\$ 207,501,451
752	PICO FAR EAST	408,000	\$ 1,248,480
753	AIR CHINA	70,988,630	\$ 474,204,048
754	HOPSON DEV HOLD	1,350,647	\$ 7,806,740
755	SHANGHAI ZENDAI	0	\$ 0
762	CHINA UNICOM	123,639,991	\$ 1,091,741,121
763	ZTE	50,986,810	\$ 592,466,732
772	CHINA LIT	22,500,085	\$ 978,753,698
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	7,603,268	\$ 100,971,399
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	358,163,136	\$ 132,520,360
788	CHINA TOWER	654,359,327	\$ 759,056,819
798	CEOVU	0	\$ 0
799	IGG	22,660,481	\$ 199,638,838
806	VALUE PARTNERS	33,648,571	\$ 194,488,740
807	SIICENVIRONMENT	2,954,200	\$ 4,992,598
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	6,633,145	\$ 32,701,405
813	SHIMAO PROPERTY	11,326,930	\$ 178,965,494
815	CHI SILVER GP	24,927,956	\$ 24,927,956
816	HUADIAN FUXIN	8,442,345	\$ 12,663,518
817	CHINA JINMAO	154,759,941	\$ 484,398,615
818	HI SUN TECH	10,855,455	\$ 9,878,464
819	TIANNENG POWER	46,144,426	\$ 291,171,328
823	LINK REIT	27,090,384	\$ 1,949,153,129
826	TIANGONG INT'L	31,972,400	\$ 51,155,840
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	1,928,000	\$ 5,784,000
836	CHINA RES POWER	10,263,626	\$ 134,658,773
839	CHINA EDU GROUP	18,035,000	\$ 165,561,300
845	GLORIOUS PPT H	15,702,646	\$ 5,809,979
853	MICROPORT	12,895,536	\$ 122,507,592
855	CHINA WATER	31,394,712	\$ 225,100,085

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
856	VSTECs	856,000	\$ 3,321,280
857	PETROCHINA	230,366,010	\$ 1,372,981,420
860	WE SOLUTIONS	54,309,900	\$ 42,361,722
861	DC HOLDINGS	31,687,371	\$ 121,045,757
862	VISION VALUES	0	\$ 0
867	CMS	8,873,433	\$ 80,748,240
868	XINYI GLASS	61,423,758	\$ 565,098,574
874	BAIYUNSHAN PH	2,296,400	\$ 57,869,280
877	O-NET TECH GP	16,851,610	\$ 56,621,410
880	SJM HOLDINGS	140,362,103	\$ 947,444,195
881	ZHONGSHENG HLDG	78,022,340	\$ 1,292,049,950
882	TIANJIN DEV	3,959,000	\$ 10,808,070
883	CNOOC	151,848,256	\$ 2,201,799,712
884	CIFI HOLD GP	65,812,963	\$ 211,917,741
887	EMPEROR WATCH&J	1,510,000	\$ 468,100
895	DONGJIANG ENV	3,383,651	\$ 27,881,284
902	HUANENG POWER	137,826,959	\$ 639,517,090
906	COFCO PACKAGING	56,067,074	\$ 151,381,100
914	CONCH CEMENT	148,436,677	\$ 6,657,384,963
916	CHINA LONGYUAN	49,315,121	\$ 291,452,365
921	HISENSE KELON	11,966,682	\$ 77,663,766
928	LIFE HEALTHCARE	0	\$ 0
931	CHINA LNG	98,875,863	\$ 105,797,173
934	SINOPEC KANTONS	30,978,799	\$ 97,583,217
939	CCB	408,323,568	\$ 2,535,689,357
941	CHINA MOBILE	83,616,147	\$ 6,522,059,466
950	LEE'S PHARM	5,166,739	\$ 29,502,080
951	CHAOWEI POWER	36,066,063	\$ 117,936,026
952	TONGHAI FIN	0	\$ 0
956	CHINA SUNTIEN	3,001,000	\$ 5,821,940
958	HN RENEWABLES	73,253,347	\$ 156,762,163
960	LONGFOR GROUP	12,809,643	\$ 222,631,595
966	CHINA TAIPING	16,053,872	\$ 407,768,349
968	XINYI SOLAR	351,165,726	\$ 804,169,513
973	L'OCCITANE	7,284,363	\$ 100,087,148
976	CHIHO ENV	5,326,049	\$ 15,179,240
978	CHI MER LAND	3,314,000	\$ 3,446,560
981	SMIC	497,066,207	\$ 3,568,935,366
989	GROUND INTL DEV	9,665,000	\$ 8,795,150
991	DATANG POWER	50,223,017	\$ 89,899,200
992	LENOVO GROUP	640,576,028	\$ 3,049,141,893

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
995	ANHUIEXPRESSWAY	1,107,737	\$ 4,940,507
996	CARNIVAL GROUP	235,823,422	\$ 48,107,978
998	CITIC BANK	142,606,629	\$ 681,659,687
999	I.T	0	\$ 0
1003	HUANXI MEDIA	3,380,000	\$ 5,408,000
1004	C SMARTERENERGY	2,179,495	\$ 1,765,391
1028	C.BANNER	9,761,000	\$ 5,368,550
1030	FUTURE LAND	97,996,522	\$ 430,204,732
1031	KINGSTON FIN	11,403,790	\$ 21,097,012
1033	SINOPEC SSC	59,947,460	\$ 56,350,612
1038	CKI HOLDINGS	18,814,477	\$ 1,147,683,097
1044	HENGAN INT'L	58,924,860	\$ 3,815,384,685
1045	APT SATELLITE	0	\$ 0
1051	G-RESOURCES	88,323,523	\$ 4,946,117
1052	YUEXIUTRANSPORT	17,362,698	\$ 103,134,426
1055	CHINA SOUTH AIR	111,333,996	\$ 479,849,523
1060	ALI PICTURES	1,243,419,337	\$ 1,243,419,337
1061	ESSEX BIO-TECH	450,481	\$ 2,319,977
1065	TIANJIN CAPITAL	7,868,000	\$ 22,581,160
1066	WEIGAO GROUP	25,001,904	\$ 173,013,176
1068	YURUN FOOD	15,209,498	\$ 10,494,554
1070	TCL ELECTRONICS	4,931,399	\$ 16,618,815
1071	HUADIAN POWER	64,367,900	\$ 179,586,441
1072	DONGFANG ELEC	8,439,211	\$ 34,600,765
1076	IMPERIAL PAC	68,800,000	\$ 4,403,200
1083	TOWNGAS CHINA	8,319,883	\$ 46,008,953
1086	GOODBABY INTL	41,053,359	\$ 109,201,935
1088	CHINA SHENHUA	29,391,308	\$ 543,151,372
1089	LEYOU TECH H	18,915,976	\$ 37,642,792
1093	CSPC PHARMA	70,003,018	\$ 1,092,047,081
1098	ROAD KING INFRA	178,000	\$ 2,192,960
1099	SINOPHARM	36,202,052	\$ 1,319,564,795
1106	SINO HAIJING	0	\$ 0
1107	MODERN LAND	1,720,000	\$ 1,840,400
1109	CHINA RES LAND	52,222,965	\$ 1,336,907,904
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	5,496,000	\$ 237,427,200
1113	CK ASSET	20,127,646	\$ 1,099,975,854
1114	BRILLIANCE CHI	335,672,940	\$ 2,651,816,226
1115	TIBET WATER	21,596,141	\$ 47,727,472
1117	CH MODERN D	28,874,242	\$ 29,162,984

## Aggregated Reportable Short Positions of Specified Shares as of 12/10/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1122	QINGLING MOTORS	578,000	\$ 1,202,240
1128	WYNN MACAU	73,479,313	\$ 1,256,496,252
1129	WATER INDUSTRY	14,040,000	\$ 18,252,000
1131	AGRITRADE RES	66,635,000	\$ 107,948,700
1133	HARBIN ELECTRIC	25,437,532	\$ 57,743,198
1137	HKTV	6,845,000	\$ 15,606,600
1138	COSCO SHIP ENGY	91,020,234	\$ 380,464,578
1140	OP FINANCIAL	9,140,000	\$ 24,678,000
1141	CMBC CAPITAL	546,589,319	\$ 191,306,262
1157	ZOOMLION	36,642,725	\$ 98,568,930
1166	SOLARTECH INT'L	29,120,000	\$ 27,664,000
1168	SINOLINK HOLD	28,862,000	\$ 17,605,820
1169	HAIER ELEC	14,408,130	\$ 264,533,267
1171	YANZHOU COAL	30,697,995	\$ 271,063,296
1176	ZHUGUANG HOLD	13,592,000	\$ 19,164,720
1177	SINO BIOPHARM	201,252,112	\$ 1,310,151,249
1186	CHINA RAIL CONS	102,190,801	\$ 1,066,871,962
1188	HYBRID KINETIC	32,187,950	\$ 2,188,781
1193	CHINA RES GAS	39,834,858	\$ 1,224,921,884
1196	REALORD GROUP	3,436,000	\$ 14,603,000
1199	COSCO SHIP PORT	43,307,509	\$ 361,617,700
1205	CITIC RESOURCES	44,776,837	\$ 30,448,249
1208	MMG	135,293,148	\$ 445,114,457
1211	BYD COMPANY	140,348,055	\$ 7,024,420,153
1212	LIFESTYLE INT'L	1,214,667	\$ 17,904,192
1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1223	SYMPHONY HOLD	1,450,000	\$ 1,493,500
1224	C C LAND	4,282,000	\$ 8,092,980
1230	YASHILI INT'L	11,594,924	\$ 16,928,589
1233	TIMES CHINA	2,449,000	\$ 16,849,120
1234	CHINA LILANG	810,235	\$ 5,509,598
1238	POWERLONG	3,066,000	\$ 8,830,080
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	221,747,312	\$ 26,166,183
1252	CHINA TIANRUI	0	\$ 0
1253	GREENLAND BROAD	8,271,734	\$ 6,038,366
1257	CEB GREENTECH	7,837,455	\$ 46,789,606
1258	CHINFMING	0	\$ 0
1266	XIWANG STEEL	4,320,000	\$ 6,652,800
1268	MEIDONG AUTO	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 12/10/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1270	LANGHAM-SS	600,000	\$ 1,680,000
1282	CHINA GOLDJOY	39,204,000	\$ 13,525,380
1288	ABC	254,674,790	\$ 904,095,505
1293	GRAND BAOXIN	34,355,652	\$ 62,870,843
1297	SINOSOFT TECH	7,807,735	\$ 15,771,625
1299	AIA	127,476,760	\$ 8,024,662,042
1300	TRIGIANT	0	\$ 0
1302	LIFETECH SCI	32,630,673	\$ 56,124,758
1308	SITC	690,748	\$ 4,317,175
1310	HKBN	3,549,000	\$ 44,930,340
1313	CHINARES CEMENT	56,798,334	\$ 472,562,139
1316	NEXTEER	17,056,399	\$ 179,774,445
1317	MAPLELEAF EDU	45,658,242	\$ 151,585,363
1330	DYNAGREEN ENV	17,842,375	\$ 49,423,379
1333	CHINA ZHONGWANG	117,630,951	\$ 397,592,614
1336	NCI	14,014,793	\$ 518,547,341
1337	RAZER	110,609,213	\$ 159,277,267
1339	PICC GROUP	96,142,836	\$ 336,499,926
1347	HUA HONG SEMI	18,441,779	\$ 264,823,946
1359	CHINA CINDA	320,033,402	\$ 598,462,462
1360	MEGAEXPO HLDG	2,243,323	\$ 5,406,408
1361	361 DEGREES	24,159,700	\$ 44,212,251
1363	CTEG	155,771,774	\$ 123,059,701
1368	XTEP INT'L	14,891,190	\$ 58,820,201
1375	CC SECURITIES	20,642,583	\$ 35,505,243
1378	CHINAHONGQIAO	63,776,313	\$ 353,320,774
1381	CANVEST ENV	17,030,252	\$ 72,378,571
1382	PACIFICTEXTILES	12,528,355	\$ 91,081,141
1383	SUNCITY GROUP	9,426,225	\$ 9,426,225
1385	SHANGHAI FUDAN	353,425	\$ 2,827,400
1387	RENHE COMM	42,522,181	\$ 10,588,023
1398	ICBC	315,728,857	\$ 1,663,891,076
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	8,440,000	\$ 12,491,200
1448	FU SHOU YUAN	21,689,899	\$ 124,933,818
1456	GUOLIAN SEC	0	\$ 0
1458	ZHOU HEI YA	75,994,818	\$ 321,458,080
1462	GOLD-FIN HLDG	8,768,476	\$ 15,607,887
1476	HENGTOU SEC	0	\$ 0
1478	Q TECH	29,326,000	\$ 131,380,480
1508	CHINA RE	126,832,238	\$ 176,296,811

## Aggregated Reportable Short Positions of Specified Shares as of 12/10/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1513	LIVZON PHARMA	1,727,596	\$ 40,684,886
1515	CRPHOENIXHEALTH	22,007,556	\$ 139,747,981
1518	NC HEALTHCARE	112,500	\$ 765,000
1528	RS MACALLINE	3,516,074	\$ 23,522,535
1530	3SBIO	57,424,068	\$ 656,931,338
1548	GENSCRIPT BIO	71,906,377	\$ 852,809,631
1551	GRCB	0	\$ 0
1558	HEC PHARM	1,711,494	\$ 52,200,567
1569	MINSHENG EDU	876,000	\$ 1,200,120
1571	XIN POINT HOLD	0	\$ 0
1573	CH UNIENERGY	152,000	\$ 2,079,360
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	22,317,000	\$ 375,818,280
1585	YADEA	15,198,000	\$ 36,779,160
1599	UCD	1,803,000	\$ 5,102,490
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	8,510,426	\$ 9,446,573
1617	NANFANG COMM	0	\$ 0
1618	MCC	41,307,859	\$ 84,681,111
1622	REDCO GROUP	16,127,134	\$ 59,509,124
1628	YUZHOU PPT	28,918,746	\$ 79,237,364
1636	CMRU	2,096,000	\$ 9,327,200
1638	KAISA GROUP	35,332,192	\$ 81,264,042
1651	TSUGAMI CHINA	0	\$ 0
1658	PSBC	1,065,083,727	\$ 5,037,846,029
1660	ZHAOBANGJI PPT	256,000	\$ 2,816,000
1666	TONG REN TANG	12,838,165	\$ 136,341,312
1668	CHINASOUTHCITY	30,852,800	\$ 35,789,248
1673	HUAZHANG TECH	0	\$ 0
1680	MACAU LEGEND	12,152,507	\$ 16,770,460
1681	CONSUN PHARMA	8,147,214	\$ 44,157,900
1686	SUNEVISION	3,294,824	\$ 15,419,776
1696	SISRAM MED	0	\$ 0
1697	SDITC	0	\$ 0
1700	SPRINGLAND	0	\$ 0
1717	AUSNUTRIA	13,337,316	\$ 98,162,646
1720	PUTIAN COMM	228,000	\$ 535,800
1727	HEBEI CONS	786,500	\$ 3,279,705
1728	ZHENGTONGAUTO	201,074,839	\$ 928,965,756
1766	CRRC	69,734,565	\$ 481,168,499

## Aggregated Reportable Short Positions of Specified Shares as of 12/10/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1776	GF SEC	37,398,814	\$ 338,459,267
1777	FANTASIA	13,037,300	\$ 10,429,840
1778	COLOUR LIFE	13,521,605	\$ 52,193,395
1788	GUOTAI JUNAN I	285,566,633	\$ 354,102,625
1789	AK MEDICAL	7,931,118	\$ 39,417,656
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	44,713,381	\$ 352,788,576
1803	BJ SPORTS & ENT	4,157,500	\$ 10,061,150
1810	XIAOMI-W	188,087,887	\$ 2,456,427,804
1811	CGN NEW ENERGY	2,068,000	\$ 2,316,160
1812	CHENMING PAPER	8,312,500	\$ 37,073,750
1813	KWG GROUP	45,912,086	\$ 255,271,198
1816	CGN POWER	676,108,794	\$ 1,210,234,741
1818	ZHAOJIN MINING	95,145,758	\$ 637,476,579
1828	DCH HOLDINGS	1,606,000	\$ 4,384,380
1829	CMEC	12,528,326	\$ 44,726,124
1836	STELLA HOLDINGS	8,612,669	\$ 62,872,484
1848	CALC	229,000	\$ 1,827,420
1862	JINGRUI HLDGS	5,621,000	\$ 12,028,940
1866	CHINA XLX FERT	0	\$ 0
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	9,239,222	\$ 141,729,665
1883	CITIC TELECOM	2,778,259	\$ 6,834,517
1888	KB LAMINATES	20,292,567	\$ 132,510,463
1898	CHINA COAL	32,612,409	\$ 106,316,453
1899	XINGDA INT'L	14,106,556	\$ 31,316,554
1908	C&D INTL GROUP	2,175,000	\$ 13,920,000
1910	SAMSONITE	43,224,171	\$ 1,058,992,190
1913	PRADA	9,075,733	\$ 272,271,990
1918	SUNAC	208,772,630	\$ 4,582,559,229
1919	COSCO SHIP HOLD	164,517,939	\$ 486,973,099
1928	SANDS CHINA LTD	109,777,765	\$ 3,770,866,228
1929	CHOW TAI FOOK	6,605,200	\$ 50,397,676
1958	BAIC MOTOR	60,881,989	\$ 325,718,641
1963	BCQ	6,866,709	\$ 30,144,853
1966	CHINA SCE GROUP	5,234,000	\$ 14,288,820
1970	IMAX CHINA	6,131,624	\$ 113,189,779
1972	SWIREPROPERTIES	7,777,204	\$ 208,817,927
1980	TIANGE	7,151,596	\$ 28,463,352
1986	TSAKER CHEM	737,000	\$ 3,869,250

## Aggregated Reportable Short Positions of Specified Shares as of 12/10/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1988	MINSHENG BANK	254,837,118	\$ 1,399,055,778
1997	WHARF REIC	19,017,731	\$ 926,163,500
1999	MAN WAH HLDGS	81,783,049	\$ 359,845,416
2001	NEW HIGHER EDU	15,699,479	\$ 66,722,786
2005	SSY GROUP	29,780,861	\$ 197,744,917
2006	JINJIANG HOTELS	7,694,000	\$ 14,618,600
2007	COUNTRY GARDEN	321,840,124	\$ 2,722,767,449
2008	PHOENIX TV	7,049,947	\$ 4,441,467
2009	BBMG	216,692,108	\$ 502,725,691
2014	OZNER WATER	43,712,000	\$ 78,244,480
2016	CZBANK	0	\$ 0
2018	AAC TECH	62,910,434	\$ 4,529,551,248
2020	ANTA SPORTS	25,523,255	\$ 809,087,184
2038	FIH	160,067,597	\$ 115,248,670
2039	CIMC	13,117,489	\$ 96,413,544
2066	SHENGJINGBANK	600,000	\$ 2,094,000
2098	ZALL SMARTCOM	7,535,651	\$ 42,275,002
2099	CHINAGOLDINTL	1,034,500	\$ 11,338,120
2111	BEST PACIFIC	9,386,871	\$ 21,026,591
2118	TIAN SHAN DEV	0	\$ 0
2128	CHINA LESSO	5,693,000	\$ 22,828,930
2136	LIFESTYLE CHI	13,355,267	\$ 37,795,406
2139	BANK OF GANSU	0	\$ 0
2186	LUYE PHARMA	200,886,199	\$ 1,205,317,194
2196	FOSUN PHARMA	25,655,203	\$ 691,407,721
2198	CHINA SANJIANG	1,441,000	\$ 2,853,180
2199	REGINA MIRACLE	13,937,684	\$ 65,785,868
2202	CHINA VANKE	31,830,273	\$ 738,462,334
2208	GOLDWIND	8,285,369	\$ 56,920,485
2222	NVC LIGHTING	0	\$ 0
2232	CRYSTAL INTL	13,668,500	\$ 67,659,075
2233	WESTCHINACEMENT	16,354,506	\$ 20,933,768
2236	WISON ENGRG	868,000	\$ 920,080
2238	GAC GROUP	126,771,226	\$ 921,626,813
2255	HAICHANG HLDG	4,965,000	\$ 7,497,150
2268	YOUYUAN HLDGS	10,024,039	\$ 25,661,540
2269	WUXI BIO	33,652,128	\$ 2,187,388,320
2280	HC GROUP	29,702,848	\$ 134,553,901
2282	MGM CHINA	93,036,933	\$ 1,153,657,969
2283	TK GROUP HLDG	584,000	\$ 2,336,000
2298	COSMO LADY	19,818,664	\$ 67,383,458

## Aggregated Reportable Short Positions of Specified Shares as of 12/10/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2299	BILLION IND	0	\$ 0
2303	HENGXING GOLD	376,000	\$ 3,019,280
2313	SHENZHOU INTL	15,759,885	\$ 1,367,170,024
2314	LEE & MAN PAPER	27,186,512	\$ 183,508,956
2318	PING AN	1,247,697,931	\$ 91,955,337,515
2319	MENGNU DAIRY	53,823,345	\$ 1,237,936,935
2322	NOBLE CENTURY	0	\$ 0
2328	PICC P&C	74,238,802	\$ 667,406,830
2329	GUORUI PPT	7,458,268	\$ 14,618,205
2331	LI NING	38,413,355	\$ 259,290,146
2333	GREATWALL MOTOR	628,925,998	\$ 3,037,712,570
2338	WEICHAI POWER	222,890,060	\$ 1,916,854,516
2342	COMBA	48,663,019	\$ 53,042,691
2343	PACIFIC BASIN	166,993,898	\$ 300,589,016
2348	DAWNRAYS PHARMA	0	\$ 0
2355	BAOYE GROUP	0	\$ 0
2356	DAHSHING BANKING	1,185,882	\$ 17,551,054
2357	AVICHINA	136,826,594	\$ 629,402,332
2362	JINCHUAN INTL	38,395,000	\$ 29,564,150
2378	PRU	0	\$ 0
2380	CHINA POWER	229,468,453	\$ 364,854,840
2382	SUNNY OPTICAL	38,315,374	\$ 3,024,998,777
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	10,694,274	\$ 85,981,963
2388	BOC HONG KONG	44,721,644	\$ 1,536,188,471
2389	BJ ENT M&H	27,667,608	\$ 9,406,987
2393	YESTAR HEALTH	0	\$ 0
2588	BOC AVIATION	5,582,076	\$ 313,712,671
2600	CHALCO	300,662,653	\$ 956,107,237
2601	CPIC	112,153,198	\$ 3,179,543,163
2607	SH PHARMA	15,974,804	\$ 278,600,582
2608	SUNSHINE 100	1,223,000	\$ 4,243,810
2611	GTJA	16,137,233	\$ 253,354,558
2628	CHINA LIFE	82,069,327	\$ 1,405,026,878
2638	HKELECTRIC-SS	150,564,383	\$ 1,153,323,174
2662	CAMSING INTL	4,565,767	\$ 27,166,314
2666	UNI MEDICAL	52,445,788	\$ 293,696,413
2669	CHINA OVS PPT	23,131,817	\$ 45,338,361
2678	TEXHONG TEXTILE	452,500	\$ 4,751,250
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	15,488,219	\$ 1,005,959,824

### Aggregated Reportable Short Positions of Specified Shares as of 12/10/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2689	ND PAPER	52,700,380	\$ 411,062,964
2698	WEIQIAO TEXTILE	339,500	\$ 906,465
2727	SH ELECTRIC	215,337,260	\$ 549,110,013
2768	JIAYUAN INTL	54,261,142	\$ 767,252,548
2777	R&F PROPERTIES	56,956,957	\$ 698,292,293
2778	CHAMPION REIT	8,379,000	\$ 44,157,330
2779	CH XINHUA EDU	1,783,000	\$ 3,779,960
2799	CHINA HUARONG	551,032,570	\$ 798,997,227
2800	TRACKER FUND	70,559,100	\$ 1,883,927,970
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PREMIA BEDROCK	0	\$ 0
2805	VANGUARDASXJP	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMUNGC DRAGON	0	\$ 0
2813	CAM CTPB BOND	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	153,657,779	\$ 1,926,868,549
2823	ISHARES A50	84,875,245	\$ 1,040,570,504
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	6,961,650	\$ 725,403,930
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	14,704,978	\$ 30,145,205
2866	COSCO SHIP DEV	177,783,840	\$ 161,783,294
2868	BJ CAPITAL LAND	13,140,320	\$ 33,507,816
2869	GREENTOWN SER	54,235,992	\$ 286,908,398
2877	SHINEWAY PHARM	1,284,451	\$ 12,972,955

## Aggregated Reportable Short Positions of Specified Shares as of 12/10/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2880	DALIAN PORT	13,106,800	\$ 12,844,664
2883	CHINA OILFIELD	110,652,468	\$ 854,237,053
2888	STANCHART	0	\$ 0
2899	ZIJIN MINING	119,732,763	\$ 347,225,013
3007	TRFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X TRMSCIRUSSIA	0	\$ 0
3036	TRMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	TRMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3065	TRMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VANGUARDAXJHY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0

**Aggregated Reportable Short Positions of Specified Shares as of 12/10/2018**

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3101	VANGUARDDDVEUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3126	VANGUARDJAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VANGUARDSP500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3150	HGI CSI500	0	\$ 0
3155	ISHARESE50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0
3169	VANGUARDCHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3173	PREMIA NEW ECON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3181	PREMIAASIATEC	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 12/10/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3182	WISE NEW ECON50	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3188	CAM CSI300	56,772,969	\$ 2,083,567,962
3197	CAM MSCI AINC	0	\$ 0
3199	CSOP 5YCGBOND	0	\$ 0
3301	RONSHINECHINA	14,210,620	\$ 124,200,819
3306	JNBY	3,935,000	\$ 46,905,200
3308	GOLDEN EAGLE	1,457,000	\$ 12,253,370
3309	C-MER EYE	2,946,000	\$ 10,870,740
3311	CHINA STATE CON	181,123,795	\$ 1,405,520,649
3319	A-LIVING	4,060,701	\$ 41,256,722
3320	CHINARES PHARMA	31,373,373	\$ 360,793,790
3323	CNBM	173,036,727	\$ 1,064,175,871
3328	BANKCOMM	110,519,724	\$ 610,068,876
3331	VINDA INT'L	0	\$ 0
3333	EVERGRANDE	309,937,315	\$ 6,369,211,823
3337	ANTON OILFIELD	25,589,540	\$ 29,427,971
3339	LONKING	11,392,400	\$ 24,037,964
3358	BESTWAY GLOBAL	0	\$ 0
3360	FE HORIZON	28,177,221	\$ 198,649,408
3369	QHD PORT	750,500	\$ 1,260,840
3377	SINO-OCEAN GP	19,176,558	\$ 56,570,846
3378	XIAMEN PORT	1,222,000	\$ 1,283,100
3380	LOGAN PPT	53,388,355	\$ 406,285,382
3382	TIANJINPORT DEV	2,092,000	\$ 1,757,280
3383	AGILE GROUP	87,850,153	\$ 803,828,900
3393	WASION HOLDINGS	5,243,737	\$ 19,664,014
3396	LEGENDHOLDING	6,631,897	\$ 139,933,027
3606	FUYAO GLASS	20,601,530	\$ 540,790,163
3613	TONGRENTANGCM	405,000	\$ 5,888,700
3618	CQRC BANK	56,509,886	\$ 228,865,038
3633	ZHONGYU GAS	3,396,299	\$ 18,985,311
3636	POLY CULTURE	346,614	\$ 3,174,984
3639	YIDA CHINA	0	\$ 0
3669	YONGDA AUTO	52,939,433	\$ 340,929,949
3690	MEITUAN-W	62,467,200	\$ 3,704,304,960
3698	HUIZHANG BANK	0	\$ 0
3709	KORADIOR	0	\$ 0
3799	DALI FOODS	107,377,301	\$ 586,280,063
3800	GCL-POLY ENERGY	1,252,389,452	\$ 607,408,884
3808	SINOTRUK	191,311,434	\$ 2,678,360,076

### Aggregated Reportable Short Positions of Specified Shares as of 12/10/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3813	POU SHENG INT'L	49,468,445	\$ 75,686,721
3818	CHINA DONGXIANG	52,015,116	\$ 62,418,139
3836	HARMONY AUTO	50,167,500	\$ 156,522,600
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	27,953,000	\$ 122,993,200
3888	KINGSOFT	76,246,133	\$ 965,276,044
3898	CRRC TIMES ELEC	25,193,716	\$ 1,040,500,471
3899	CIMC ENRIC	12,740,000	\$ 87,523,800
3900	GREENTOWN CHINA	22,724,901	\$ 123,168,963
3908	CICC	97,067,370	\$ 1,162,867,093
3918	NAGACORP	2,663,186	\$ 19,734,208
3933	UNITED LAB	172,285,898	\$ 1,023,378,234
3948	YITAI COAL	0	\$ 0
3958	DFZQ	13,502,400	\$ 62,921,184
3968	CM BANK	112,328,639	\$ 3,285,612,691
3969	CHINA CRSC	59,110,650	\$ 312,104,232
3983	CHINA BLUECHEM	8,826,800	\$ 25,332,916
3988	BANK OF CHINA	221,653,975	\$ 733,674,657
3989	CAPITAL ENV	0	\$ 0
3993	CMOC	359,190,544	\$ 1,023,693,050
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	34,451,399	\$ 35,829,455
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	117,465,847	\$ 1,421,336,749
6060	ZA ONLINE	36,431,955	\$ 996,413,969
6066	CSC	12,749,000	\$ 52,270,900
6068	WISDOM EDU INTL	13,768,480	\$ 47,501,256
6088	FIT HON TENG	9,914,963	\$ 33,314,276
6098	CG SERVICES	42,997,267	\$ 496,188,461
6099	CMSC	6,775,883	\$ 56,849,658
6116	LA CHAPELLE	522,000	\$ 3,627,900
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6158	ZHENRO PPT	850,637	\$ 3,776,828
6168	CHINA U-TON	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 12/10/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6169	YUHUA EDU	31,474,863	\$ 105,755,540
6178	EB SECURITIES	2,153,200	\$ 13,328,308
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	4,701,000	\$ 24,022,110
6808	SUNART RETAIL	161,128,722	\$ 1,577,450,188
6818	CEB BANK	85,462,355	\$ 282,880,395
6823	HKT-SS	16,045,250	\$ 175,535,035
6826	HAOHAI BIOTEC	23,800	\$ 1,047,200
6828	BG BLUE SKY	37,786,339	\$ 20,782,486
6837	HAITONG SEC	138,377,794	\$ 921,596,108
6858	HONMAGOLF	1,088,000	\$ 6,941,440
6868	TENFU	0	\$ 0
6869	YOFC	19,379,753	\$ 395,346,961
6881	CGS	394,091,752	\$ 1,339,911,957
6886	HTSC	65,409,880	\$ 705,118,506
6889	DYNAM JAPAN	0	\$ 0
7200	FL2 CSOP HSI	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8032	VIVA CHINA	8,254,976	\$ 7,181,829
8047	CHINAOCEAN FISH	0	\$ 0
8057	MADISON HLDG	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 12/10/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
8078	HMV DIGIT CHINA	0	\$ 0
8083	CHINA YOUZAN	290,669,820	\$ 142,428,212
8137	HONBRIDGE	21,550,624	\$ 16,378,474
8158	CRMI	44,762,748	\$ 3,849,596
8207	CHONGSING HLDGS	655,172,737	\$ 245,689,776
8265	CHINA TRUSTFUL	2,112,000	\$ 4,097,280
8279	AGTECH HOLDINGS	9,667,423	\$ 4,785,374
8293	SINGASIA HLDG	0	\$ 0
8407	CISI FIN	0	\$ 0
8469	SHENGYE CAPITAL	206,000	\$ 1,363,720
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARES MSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9085	VANGUARDAXJHY-U	0	\$ 0
9101	VANGUARD DVEUR-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9126	VANGUARD JAPAN-U	0	\$ 0
9140	VANGUARD SP500-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESESE50-U	0	\$ 0
9167	ICBCCSOPCHINA-U	0	\$ 0
9169	VANGUARD CHINA-U	0	\$ 0
9170	ISHARESKS200-U	0	\$ 0
9181	PREMIA ASIATEC-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9199	CSOP 5YCGBOND-U	0	\$ 0
9805	VANGUARD ASXJP-U	0	\$ 0
9810	PREMIA EMASEAN-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
82805	VANGUARD ASXJP-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82813	CAM CTPB BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HSCEI ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 12/10/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83085	VANGUARDAXJHY-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83101	VANGUARDDEUR-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83126	VANGUARDJAPAN-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83132	C-SHS CSI HLC-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83140	VANGUARDSP500-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83169	VANGUARDCHINA-R	0	\$ 0
83170	ISHARESXS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 12/10/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP 5YCGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0