

**Aggregated Reportable Short Positions of Specified Shares as of 09/11/2018**

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	13,332,054	\$ 1,053,232,266
2	CLP HOLDINGS	27,088,115	\$ 2,321,451,456
3	HK & CHINA GAS	208,639,099	\$ 3,092,031,447
4	WHARF HOLDINGS	39,761,148	\$ 819,079,649
5	HSBC HOLDINGS	129,520,063	\$ 8,373,472,073
6	POWER ASSETS	17,698,740	\$ 925,644,102
8	PCCW	51,708,728	\$ 222,864,618
10	HANG LUNG GROUP	11,079,306	\$ 218,705,500
11	HANG SENG BANK	12,766,485	\$ 2,271,157,682
12	HENDERSON LAND	17,830,530	\$ 665,970,296
14	HYSAN DEV	3,621,747	\$ 135,634,425
16	SHK PPT	18,613,149	\$ 1,972,993,794
17	NEW WORLD DEV	87,557,834	\$ 896,592,220
19	SWIRE PACIFIC A	20,145,490	\$ 1,677,112,043
20	WHEELLOCK	5,156,260	\$ 221,719,180
23	BANK OF E ASIA	19,553,962	\$ 501,559,125
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	71,112,305	\$ 3,050,717,885
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	5,352,000	\$ 3,104,160
32	CROSS-HAR(HOLD)	89,000	\$ 1,162,340
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	3,827,852	\$ 14,431,002
41	GREAT EAGLE H	1,060,385	\$ 37,378,571
43	C.P. POKPHAND	0	\$ 0
44	HAECO	0	\$ 0
45	HK&S HOTELS	2,812,182	\$ 30,652,784
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	271,000	\$ 7,100,200
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	936,903	\$ 23,282,040
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	0	\$ 0
66	MTR CORPORATION	35,996,770	\$ 1,378,676,291
69	SHANGRI-LA ASIA	18,886,030	\$ 208,879,492
71	MIRAMAR HOTEL	0	\$ 0
75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	199,007	\$ 861,700

### Aggregated Reportable Short Positions of Specified Shares as of 09/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
81	CH OVS G OCEANS	35,994,170	\$ 94,664,667
83	SINO LAND	61,105,660	\$ 757,710,184
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	0	\$ 0
95	LVGEM CHINA	4,358,286	\$ 9,544,646
101	HANG LUNG PPT	36,025,801	\$ 528,858,759
105	ASSO INT HOTELS	0	\$ 0
106	LANDSEA GREEN	0	\$ 0
107	SICHUAN EXPRESS	2,448,000	\$ 5,752,800
116	CHOW SANG SANG	0	\$ 0
119	POLY PROPERTY	8,279,266	\$ 19,870,238
120	COSMOPOL INT'L	0	\$ 0
123	YUEXIU PROPERTY	80,284,425	\$ 105,975,441
127	CHINESE EST H	5,597,713	\$ 41,591,008
129	ASIA STANDARD	304,000	\$ 443,840
133	CHINA MERCHANTS	34,000	\$ 317,220
135	KUNLUN ENERGY	383,029,902	\$ 3,807,317,226
136	HENGTEN NET	1,141,552,875	\$ 313,927,041
142	FIRST PACIFIC	38,021,033	\$ 138,396,560
144	CHINA MER PORT	50,374,423	\$ 700,204,480
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD HLDG	6,847,673	\$ 147,224,970
151	WANT WANT CHINA	521,649,724	\$ 2,884,722,974
152	SHENZHEN INT'L	12,496,265	\$ 187,194,050
158	MELBOURNE ENT	0	\$ 0
161	AVIC IHL	3,564,000	\$ 14,042,160
163	EMPEROR INT'L	0	\$ 0
165	CHINA EB LTD	4,834,247	\$ 67,969,513
168	TSINGTAO BREW	21,919,775	\$ 693,760,879
171	SILVER GRANT	25,886,000	\$ 46,853,660
173	K. WAH INT'L	31,557,489	\$ 111,082,361
175	GEELY AUTO	191,481,101	\$ 2,787,964,831
177	JIANGSU EXPRESS	5,408,265	\$ 56,786,783
178	SA SA INT'L	40,675,328	\$ 134,635,336
179	JOHNSON ELEC H	1,905,303	\$ 33,571,439
182	CONCORD NE	20,090,494	\$ 6,428,958
189	DONGYUE GROUP	9,713,477	\$ 43,516,377
194	LIU CHONG HING	203,756	\$ 2,359,494
196	HONGHUA GROUP	51,678,249	\$ 27,389,472
198	SMI HOLDINGS	27,682,839	\$ 64,777,843
200	MELCO INT'L DEV	29,652,900	\$ 386,673,816

## Aggregated Reportable Short Positions of Specified Shares as of 09/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
207	JOY CITY PPT	0	\$ 0
215	HUTCHTEL HK	80,948,947	\$ 235,561,436
220	U-PRESID CHINA	56,322,993	\$ 411,157,849
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	1,877,159	\$ 2,365,220
241	ALI HEALTH	193,263,080	\$ 1,397,292,068
242	SHUN TAK HOLD	19,703,384	\$ 48,864,392
245	CN MINSHENG FIN	20,605,743	\$ 5,110,224
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	458,000	\$ 4,442,600
256	CITYCHAMP	4,918,000	\$ 7,917,980
257	CHINA EB INT'L	206,967,244	\$ 1,407,377,259
258	TOMSON GROUP	0	\$ 0
261	CCT LAND	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	73,180,785	\$ 886,951,114
268	KINGDEE INT'L	231,243,773	\$ 1,646,455,664
270	GUANGDONG INV	6,940,832	\$ 98,559,814
272	SHUI ON LAND	74,588,430	\$ 126,800,331
273	MASON GP HOLD	33,936,884	\$ 5,158,406
285	BYD ELECTRONIC	71,091,671	\$ 733,666,045
286	CS HEALTH	4,856,000	\$ 3,399,200
288	WH GROUP	126,959,327	\$ 774,451,895
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	58,843,851	\$ 1,606,437,132
293	CATHAY PAC AIR	18,416,693	\$ 198,531,951
297	SINOFERT	106,627,099	\$ 93,831,847
303	VTECH HOLDINGS	945,876	\$ 85,365,309
308	CHINA TRAVEL HK	43,818,805	\$ 95,963,183
315	SMARTONE TELE	5,515,384	\$ 55,815,686
317	COMEC	6,790,505	\$ 37,279,872
321	TEXWINCA HOLD	11,750,263	\$ 32,548,229
322	TINGYI	99,070,044	\$ 1,238,375,550
323	MAANSHAN IRON	169,699,519	\$ 721,222,956
327	PAX GLOBAL	46,715,315	\$ 176,583,891
329	OCI INTL	0	\$ 0
330	ESPRIT HOLDINGS	135,819,866	\$ 256,699,547
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	6,902,185	\$ 25,192,975
337	GREENLAND HK	4,408,825	\$ 8,288,591
338	SHANGHAI PEICHEM	89,960,602	\$ 319,360,137

**Aggregated Reportable Short Positions of Specified Shares as of 09/11/2018**

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
341	CAFE DE CORAL H	4,256,137	\$ 71,843,593
342	NEWOCEAN ENERGY	37,341,644	\$ 108,290,768
345	VITASOY INT'L	4,647,584	\$ 127,808,560
347	ANGANG STEEL	167,533,432	\$ 1,137,552,003
354	CHINASOFT INT'L	107,259,906	\$ 488,032,572
358	JIANGXI COPPER	45,949,988	\$ 423,199,389
363	SHANGHAI IND H	1,443,414	\$ 23,902,936
365	UNIS HOLDINGS	0	\$ 0
367	CHUANG'S INT'L	0	\$ 0
368	SINOTRANS SHIP	31,621,891	\$ 82,216,917
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	499,710,440	\$ 2,113,775,161
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
378	FDG KINETIC	9,086,000	\$ 935,858
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	99,375,501	\$ 2,573,825,476
386	SINOPEC CORP	350,643,355	\$ 2,352,816,912
388	HKEX	25,770,191	\$ 5,705,520,287
390	CHINA RAILWAY	108,485,121	\$ 801,705,044
392	BEIJING ENT	9,601,659	\$ 432,554,738
400	COGOBUY	18,944,000	\$ 54,748,160
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	105,253,705	\$ 292,605,300
412	CHINA SDHS FIN	0	\$ 0
416	BANKOFJINZHOU	0	\$ 0
419	HUAYI TENCENT	87,208,194	\$ 16,220,724
425	MINTH GROUP	73,064,705	\$ 1,859,496,742
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	50,769,092	\$ 32,999,910
440	DAH SING	0	\$ 0
445	CIMC-TIANDA	0	\$ 0
451	GCL NEWENERGY	162,058,000	\$ 45,376,240
460	SIHUAN PHARM	195,588,373	\$ 326,632,583
467	UNITEDENERGY GP	0	\$ 0
468	GAPACK	474,000	\$ 2,398,440
472	NEW SILKROAD	0	\$ 0
480	HKR INT'L	383,876	\$ 1,458,729
486	RUSAL	0	\$ 0
488	LAI SUN DEV	174,512	\$ 2,184,890
489	DONGFENG GROUP	32,911,484	\$ 252,431,082

## Aggregated Reportable Short Positions of Specified Shares as of 09/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
493	GOME RETAIL	1,538,459,666	\$ 1,184,613,943
494	LI & FUNG	530,516,322	\$ 875,351,931
496	KASEN	1,676,000	\$ 5,866,000
497	CSI PROPERTIES	7,940,000	\$ 2,382,000
500	FRONTIER SER	0	\$ 0
506	CHINA FOODS	24,232,352	\$ 90,871,320
508	DINGYI GP INV	42,497,680	\$ 22,523,770
511	TVB	11,985,285	\$ 205,907,196
512	CHINAGRANDPHARM	6,232,000	\$ 25,488,880
517	COSCO SHIP INTL	484,000	\$ 1,316,480
519	APPLIED DEV	849,454	\$ 484,189
520	XIABUXIABU	27,171,200	\$ 297,252,928
522	ASM PACIFIC	19,543,829	\$ 1,464,809,984
525	GUANGSHEN RAIL	11,103,440	\$ 32,311,010
526	LISI GP HOLD	0	\$ 0
530	GOLDIN FIN HOLD	0	\$ 0
535	GEMDALE PPT	96,190,000	\$ 69,256,800
538	AJISEN (CHINA)	8,946,120	\$ 22,454,761
546	FUFENG GROUP	82,169,636	\$ 290,058,815
547	DIGITAL DOMAIN	425,245,340	\$ 45,926,497
548	SHENZHENEXPRESS	4,217,172	\$ 30,911,871
551	YUE YUEN IND	23,507,828	\$ 538,329,261
552	CHINACOMSERVICE	10,762,043	\$ 67,478,010
563	SH IND URBAN	18,836,000	\$ 24,298,440
570	TRAD CHI MED	48,911,494	\$ 249,448,619
576	ZHEJIANGEXPRESS	10,062,315	\$ 61,581,368
579	JNCEC	11,186,000	\$ 16,443,420
580	SUN.KING ELEC	3,030,000	\$ 3,272,400
581	CHINA ORIENTAL	6,086,000	\$ 39,559,000
586	CONCH VENTURE	19,446,476	\$ 467,687,748
588	BEIJING N STAR	5,084,000	\$ 11,083,120
590	LUK FOOK HOLD	2,831,390	\$ 74,182,418
593	DREAMEAST	0	\$ 0
596	INSPUR INT'L	0	\$ 0
598	SINOTRANS	24,155,824	\$ 72,950,588
603	CHINA OIL & GAS	11,967,936	\$ 6,582,365
604	SHENZHEN INVEST	229,687,996	\$ 555,844,950
605	C FIN SERVICES	0	\$ 0
606	CHINA AGRI	34,541,439	\$ 92,225,642
607	FULLSHARE	966,953,836	\$ 2,968,548,277
622	ENERCHINA HOLD	9,836,861	\$ 3,738,007

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
627	FULLSUN INT'L	0	\$ 0
631	SANY INT'L	3,356,000	\$ 7,685,240
636	KERRY LOG NET	588,032	\$ 7,397,443
639	SHOUGANG RES	28,466,863	\$ 47,824,330
650	IDG ENERGY INV	0	\$ 0
656	FOSUN INTL	29,846,682	\$ 357,563,250
658	C TRANSMISSION	32,251,256	\$ 263,815,274
659	NWS HOLDINGS	2,033,314	\$ 31,597,700
662	ASIA FINANCIAL	0	\$ 0
665	HAITONG INT'L	135,127,786	\$ 351,332,244
669	TECHTRONIC IND	22,515,462	\$ 923,133,942
670	CHINA EAST AIR	61,026,623	\$ 291,096,992
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	79,933,120	\$ 14,707,694
683	KERRY PPT	5,231,316	\$ 131,567,597
686	PANDA GREEN	82,276,992	\$ 26,328,637
687	HKICIM GROUP	4,696,000	\$ 10,988,640
688	CHINA OVERSEAS	75,519,053	\$ 1,903,080,136
689	EPI (HOLDINGS)	0	\$ 0
694	BEIJING AIRPORT	36,412,954	\$ 320,433,995
696	TRAVELSKY TECH	17,884,506	\$ 338,374,854
697	SHOUGANG INT'L	21,626,661	\$ 4,109,066
698	TONGDA GROUP	195,676,076	\$ 191,762,554
699	CAR INC	75,019,114	\$ 456,116,213
700	TENCENT	74,194,675	\$ 20,715,153,260
707	ATV HOLDINGS	14,880,000	\$ 4,166,400
708	EVERG HEALTH	7,980,000	\$ 94,164,000
709	GIORDANO INT'L	7,178,970	\$ 25,557,133
710	BOE VARITRONIX	2,110,033	\$ 4,198,966
715	CHINA OCEANWIDE	4,142,000	\$ 1,573,960
716	SINGAMAS CONT	13,643,049	\$ 16,917,381
717	EMPEROR CAPITAL	11,130,974	\$ 4,118,460
721	C FIN INT INV	17,883,738	\$ 3,558,864
728	CHINA TELECOM	288,732,675	\$ 1,105,846,145
729	FDG EVEHICLES	456,150,147	\$ 37,860,462
732	TRULY INT'L	56,342,072	\$ 66,483,645
735	CP CLEAN ENERGY	714,500	\$ 1,693,365
743	ASIA CEMENT CH	0	\$ 0
746	L & M CHEMICAL	0	\$ 0
751	SKYWORTHDIGITAL	135,999,368	\$ 254,318,818
752	PICO FAR EAST	408,000	\$ 1,150,560

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753	AIR CHINA	53,966,515	\$ 385,320,917
754	HOPSON DEV HOLD	2,219,355	\$ 13,693,420
755	SHANGHAI ZENDAI	0	\$ 0
762	CHINA UNICOM	86,217,804	\$ 725,091,732
763	ZTE	42,512,001	\$ 646,182,415
772	CHINA LIT	25,242,491	\$ 1,080,378,615
775	CKLIFE SCIENCES	5,013,975	\$ 2,030,660
777	NETDRAGON	6,912,225	\$ 94,973,972
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	380,268,136	\$ 169,219,321
788	CHINA TOWER	393,359,233	\$ 456,296,710
798	CEOVU	0	\$ 0
799	IGG	14,651,470	\$ 128,786,421
806	VALUE PARTNERS	29,268,899	\$ 172,101,126
807	SIICENVIRONMENT	4,333,900	\$ 6,934,240
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	3,940,764	\$ 19,625,005
813	SHIMAO PROPERTY	18,753,174	\$ 319,929,148
815	CHI SILVER GP	24,779,956	\$ 23,540,958
816	HUADIAN FUXIN	6,772,552	\$ 9,820,200
817	CHINA JINMAO	149,393,136	\$ 507,936,662
818	HI SUN TECH	12,191,767	\$ 11,947,932
819	TIANNENG POWER	47,738,666	\$ 315,552,582
823	LINK REIT	29,217,641	\$ 2,103,670,152
826	TIANGONG INT'L	41,676,400	\$ 67,099,004
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	1,978,000	\$ 5,736,200
836	CHINA RES POWER	21,541,256	\$ 298,561,808
839	CHINA EDU GROUP	17,648,152	\$ 192,011,894
853	MICROPORT	12,253,541	\$ 127,926,968
855	CHINA WATER	34,024,467	\$ 260,627,417
856	VSTECs	994,000	\$ 3,816,960
857	PETROCHINA	156,321,113	\$ 923,857,778
860	WE SOLUTIONS	42,121,900	\$ 22,745,826
861	DC HOLDINGS	28,748,479	\$ 109,819,190
867	CMS	9,664,114	\$ 96,641,140
868	XINYI GLASS	67,136,263	\$ 561,930,521
874	BAIYUNSHAN PH	1,383,900	\$ 42,762,510
877	O-NET TECH GP	15,094,610	\$ 60,076,548
880	SJM HOLDINGS	127,121,559	\$ 825,018,918
881	ZHONGSHENG HLDG	74,318,113	\$ 1,108,826,246

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882	TIANJIN DEV	3,968,000	\$ 10,396,160
883	CNOOC	156,179,570	\$ 2,114,671,378
884	CIFI HOLD GP	40,109,185	\$ 133,162,494
895	DONGJIANG ENV	3,146,126	\$ 25,609,466
902	HUANENG POWER	160,798,748	\$ 736,458,266
906	COFCO PACKAGING	56,504,951	\$ 161,039,110
914	CONCH CEMENT	127,635,656	\$ 5,296,879,724
916	CHINA LONGYUAN	36,233,684	\$ 225,373,514
921	HISENSE HA	10,431,393	\$ 59,876,196
931	CHINA LNG	90,785,863	\$ 109,850,894
934	SINOPEC KANTONS	27,776,799	\$ 90,274,597
939	CCB	468,322,565	\$ 3,011,314,093
941	CHINA MOBILE	82,942,196	\$ 6,046,486,088
950	LEE'S PHARM	5,792,739	\$ 36,030,837
951	CHAOWEI POWER	30,453,809	\$ 100,497,570
952	TONGHAI FIN	0	\$ 0
956	CHINA SUNTIEN	3,345,000	\$ 7,225,200
958	HN RENEWABLES	58,375,547	\$ 137,182,535
960	LONGFOR GROUP	18,355,900	\$ 373,542,565
966	CHINA TAIPING	8,273,201	\$ 219,653,487
968	XINYI SOLAR	304,401,103	\$ 882,763,199
973	L'OCCITANE	6,489,863	\$ 103,708,011
976	CHIHO ENV	5,152,049	\$ 13,086,204
978	CHI MER LAND	2,166,000	\$ 2,274,300
981	SMIC	568,948,522	\$ 3,612,823,115
989	GROUND INTL DEV	9,485,000	\$ 6,354,950
991	DATANG POWER	55,322,511	\$ 99,580,520
992	LENOVO GROUP	558,759,127	\$ 3,240,802,937
995	ANHUIEXPRESSWAY	802,582	\$ 3,796,213
996	CARNIVAL GROUP	189,745,767	\$ 25,995,170
998	CITIC BANK	151,817,466	\$ 736,314,710
999	I.T	0	\$ 0
1003	HUANXI MEDIA	2,610,000	\$ 4,176,000
1004	C SMARTERENERGY	2,179,495	\$ 1,612,826
1030	FUTURE LAND	106,304,730	\$ 484,749,569
1031	KINGSTON FIN	6,440,000	\$ 11,849,600
1033	SINOPEC SSC	51,941,960	\$ 44,670,086
1038	CKI HOLDINGS	16,263,436	\$ 934,334,398
1044	HENGAN INT'L	60,691,947	\$ 3,814,488,869
1045	APT SATELLITE	0	\$ 0
1051	G-RESOURCES	76,211,869	\$ 3,963,017



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1052	YUEXIUTRANSPORT	21,422,124	\$ 130,674,956
1055	CHINA SOUTH AIR	126,573,669	\$ 593,630,508
1060	ALI PICTURES	1,098,109,192	\$ 1,163,995,744
1061	ESSEX BIO-TECH	874,481	\$ 4,862,114
1065	TIANJIN CAPITAL	7,390,000	\$ 22,022,200
1066	WEIGAO GROUP	21,670,386	\$ 161,227,672
1068	YURUN FOOD	12,806,570	\$ 8,324,271
1070	TCL ELECTRONICS	4,317,021	\$ 14,289,340
1071	HUADIAN POWER	56,247,136	\$ 174,366,122
1072	DONGFANG ELEC	8,195,013	\$ 36,140,007
1076	IMPERIAL PAC	68,800,000	\$ 4,128,000
1083	TOWNGAS CHINA	8,409,907	\$ 47,095,479
1086	GOODBABY INTL	40,316,025	\$ 116,916,473
1088	CHINA SHENHUA	36,874,566	\$ 685,866,928
1089	LEYOU TECH H	25,145,985	\$ 51,046,350
1093	CSPC PHARMA	95,204,033	\$ 1,742,233,804
1098	ROAD KING INFRA	0	\$ 0
1099	SINOPHARM	27,578,334	\$ 1,079,691,776
1106	SINO HAIJING	8,200,000	\$ 2,091,000
1107	MODERN LAND	590,000	\$ 619,500
1109	CHINA RES LAND	47,306,130	\$ 1,284,361,430
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	7,783,950	\$ 356,115,713
1113	CK ASSET	20,550,939	\$ 1,094,337,502
1114	BRILLIANCE CHI	362,747,802	\$ 2,459,430,098
1115	TIBET WATER	19,965,141	\$ 45,121,219
1117	CH MODERN D	23,147,492	\$ 22,916,017
1122	QINGLING MOTORS	578,000	\$ 1,190,680
1128	WYNN MACAU	88,150,041	\$ 1,424,504,663
1129	WATER INDUSTRY	13,456,000	\$ 15,339,840
1131	AGRITRADE RES	69,714,288	\$ 115,028,575
1133	HARBIN ELECTRIC	23,872,532	\$ 59,442,605
1138	COSCO SHIP ENGY	85,361,351	\$ 361,932,128
1140	OP FINANCIAL	8,468,000	\$ 20,915,960
1141	CMBC CAPITAL	480,909,319	\$ 144,272,796
1157	ZOOMLION	31,075,434	\$ 86,700,461
1166	SOLARTECH INT'L	25,620,000	\$ 3,509,940
1168	SINOLINK HOLD	23,000,000	\$ 13,570,000
1169	HAIER ELEC	11,376,948	\$ 195,228,428
1171	YANZHOU COAL	24,796,677	\$ 186,471,011
1176	ZHUGUANG HOLD	15,638,000	\$ 22,518,720

## Aggregated Reportable Short Positions of Specified Shares as of 09/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1177	SINO BIOPHARM	179,042,025	\$ 1,412,641,577
1186	CHINA RAIL CONS	124,986,098	\$ 1,289,856,531
1188	HYBRID KINETIC	31,961,950	\$ 1,693,983
1193	CHINA RES GAS	41,726,283	\$ 1,264,306,375
1194	MUNSUN CAPITAL	0	\$ 0
1196	REALORD GROUP	2,600,000	\$ 12,090,000
1199	COSCO SHIP PORT	38,735,125	\$ 296,711,058
1205	CITIC RESOURCES	38,672,837	\$ 25,910,801
1208	MMG	120,540,752	\$ 413,454,779
1211	BYD COMPANY	139,978,895	\$ 7,460,875,104
1212	LIFESTYLE INT'L	2,701,670	\$ 36,904,812
1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1223	SYMPHONY HOLD	1,450,000	\$ 1,464,500
1224	C C LAND	6,550,000	\$ 12,314,000
1230	YASHILI INT'L	11,346,924	\$ 15,318,347
1233	TIMES CHINA	2,163,000	\$ 14,924,700
1234	CHINA LILANG	0	\$ 0
1238	POWERLONG	3,200,000	\$ 9,184,000
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	170,231,446	\$ 18,044,533
1252	CHINA TIANRUI	0	\$ 0
1257	CEB GREENTECH	9,917,217	\$ 60,594,196
1258	CHINFMINING	0	\$ 0
1266	XIWANG STEEL	4,464,600	\$ 7,188,006
1270	LANGHAM-SS	1,297,000	\$ 3,670,510
1282	CHINA GOLDJOY	25,516,000	\$ 9,440,920
1288	ABC	254,570,233	\$ 890,995,816
1293	GRAND BAOXIN	41,851,898	\$ 69,055,632
1297	SINOSOFT TECH	6,881,635	\$ 15,827,761
1299	AIA	144,593,254	\$ 9,058,767,363
1302	LIFETECH SCI	27,976,698	\$ 48,959,222
1308	SITC	0	\$ 0
1310	HKBN	3,600,500	\$ 40,325,600
1313	CHINARES CEMENT	69,362,666	\$ 499,411,195
1316	NEXTEER	15,783,493	\$ 182,457,179
1317	MAPLELEAF EDU	43,086,970	\$ 180,103,535
1330	DYNAGREEN ENV	15,477,375	\$ 46,277,351
1333	CHINA ZHONGWANG	116,564,706	\$ 400,982,589
1336	NCI	19,449,546	\$ 714,770,816
1337	RAZER	106,159,584	\$ 134,822,672

## Aggregated Reportable Short Positions of Specified Shares as of 09/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1339	PICC GROUP	191,868,904	\$ 652,354,274
1347	HUA HONG SEMI	17,061,610	\$ 279,127,940
1359	CHINA CINDA	277,714,099	\$ 544,319,634
1360	MEGAEXPO HLDG	880,000	\$ 3,168,000
1361	361 DEGREES	19,820,500	\$ 36,866,130
1363	CTEG	83,551,774	\$ 29,243,121
1368	XTEP INT'L	15,030,690	\$ 68,389,640
1375	CC SECURITIES	19,134,583	\$ 36,738,399
1378	CHINAHONGQIAO	56,024,313	\$ 287,404,726
1381	CANVEST ENV	18,348,729	\$ 77,064,662
1382	PACIFICTEXTILES	6,494,355	\$ 50,980,687
1383	SUNCITY GROUP	7,807,500	\$ 7,260,975
1385	SHANGHAI FUDAN	393,425	\$ 3,470,009
1387	RENHE COMM	48,632,181	\$ 13,617,011
1398	ICBC	287,664,509	\$ 1,536,128,478
1426	SPRING REIT	0	\$ 0
1448	FU SHOU YUAN	23,630,899	\$ 145,802,647
1458	ZHOU HEI YA	51,022,818	\$ 207,152,641
1462	GOLD-FIN HLDG	9,342,476	\$ 16,349,333
1475	NISSIN FOODS	0	\$ 0
1478	Q TECH	23,763,721	\$ 101,708,726
1508	CHINA RE	111,957,265	\$ 164,577,180
1513	LIVZON PHARMA	2,354,711	\$ 59,809,659
1515	CR MEDICAL	19,204,302	\$ 109,080,435
1518	NC HEALTHCARE	112,500	\$ 750,375
1528	RS MACALLINE	1,532,200	\$ 11,231,026
1530	3SBIO	58,292,213	\$ 753,135,392
1548	GENSCRIPT BIO	59,549,049	\$ 764,609,789
1551	GRCB	0	\$ 0
1558	HEC PHARM	3,828,800	\$ 106,440,640
1566	CHINA ANIMATION	7,502,274	\$ 20,106,094
1568	SUNDART HLDGS	0	\$ 0
1569	MINSHENG EDU	0	\$ 0
1571	XIN POINT HOLD	0	\$ 0
1573	CH UNIENERGY	180,000	\$ 2,268,000
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	25,944,982	\$ 534,466,629
1585	YADEA	17,402,000	\$ 43,156,960
1599	UCD	701,000	\$ 1,675,390
1600	TIAN LUN GAS	0	\$ 0
1606	CDB LEASING	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 09/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	5,717,001	\$ 6,517,381
1617	NANFANG COMM	0	\$ 0
1618	MCC	37,835,695	\$ 73,022,891
1622	REDCO GROUP	19,040,813	\$ 67,023,662
1628	YUZHOU PPT	24,271,900	\$ 69,174,915
1636	CMRU	2,720,000	\$ 11,832,000
1638	KAISA GROUP	43,202,947	\$ 87,269,953
1658	PSBC	1,036,814,526	\$ 4,955,973,434
1660	ZHAOBANGJI PPT	456,000	\$ 4,924,800
1666	TONG REN TANG	13,031,919	\$ 143,611,747
1668	CHINASOUTHCITY	26,439,748	\$ 31,727,698
1680	MACAU LEGEND	8,736,000	\$ 11,880,960
1681	CONSUN PHARMA	5,770,435	\$ 30,583,306
1686	SUNEVISION	4,516,051	\$ 20,322,230
1697	SDITC	0	\$ 0
1700	SPRINGLAND	749,000	\$ 1,190,910
1717	AUSNUTRIA	11,890,091	\$ 107,129,720
1727	HEBEI CONS	286,500	\$ 1,231,950
1728	ZHENGTONGAUTO	213,271,939	\$ 789,106,174
1766	CRRC	79,609,204	\$ 564,429,256
1773	TIANLI EDU	0	\$ 0
1776	GF SEC	42,374,505	\$ 456,797,164
1777	FANTASIA	12,475,500	\$ 10,728,930
1778	COLOUR LIFE	14,450,605	\$ 58,235,938
1787	SD GOLD	0	\$ 0
1788	GUOTAI JUNAN I	227,796,936	\$ 314,359,772
1789	AK MEDICAL	5,839,118	\$ 30,421,805
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	48,130,858	\$ 358,093,584
1803	BJ SPORTS & ENT	4,070,000	\$ 10,948,300
1806	HUIFU PAYMENT	0	\$ 0
1810	XIAOMI-W	201,482,716	\$ 2,659,571,851
1811	CGN NEW ENERGY	1,274,000	\$ 1,337,700
1812	CHENMING PAPER	6,773,500	\$ 32,241,860
1813	KWG GROUP	51,470,495	\$ 312,940,610
1816	CGN POWER	568,468,303	\$ 1,097,143,825
1818	ZHAOJIN MINING	91,974,622	\$ 628,186,668
1828	DCH HOLDINGS	1,581,000	\$ 4,458,420
1829	CMEC	9,273,473	\$ 36,073,810

## Aggregated Reportable Short Positions of Specified Shares as of 09/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1833	PA GOODDOCTOR	11,392,394	\$ 423,227,437
1836	STELLA HOLDINGS	6,083,169	\$ 48,178,698
1848	CALC	225,500	\$ 1,837,825
1862	JINGRUI HLDGS	7,041,000	\$ 13,729,950
1866	CHINA XLX FERT	0	\$ 0
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	7,654,424	\$ 123,542,403
1883	CITIC TELECOM	2,544,259	\$ 6,615,073
1888	KB LAMINATES	19,751,419	\$ 128,384,224
1898	CHINA COAL	39,895,936	\$ 139,635,776
1899	XINGDA INT'L	16,134,896	\$ 35,980,818
1910	SAMSONITE	42,249,447	\$ 1,003,424,366
1913	PRADA	8,465,002	\$ 241,252,557
1916	JIANGXI BANK	0	\$ 0
1918	SUNAC	198,148,381	\$ 4,289,912,449
1919	COSCO SHIP HOLD	162,686,890	\$ 473,418,850
1928	SANDS CHINA LTD	112,661,306	\$ 3,571,363,400
1929	CHOW TAI FOOK	8,240,400	\$ 58,177,224
1958	BAIC MOTOR	42,769,280	\$ 188,184,832
1963	BCQ	5,369,709	\$ 24,217,388
1966	CHINA SCE GROUP	6,963,673	\$ 18,314,460
1970	IMAX CHINA	6,669,442	\$ 120,049,956
1972	SWIREPROPERTIES	5,460,204	\$ 153,158,722
1980	TIANGE	6,060,596	\$ 25,393,897
1986	TSAKER CHEM	335,000	\$ 1,976,500
1988	MINSHENG BANK	258,009,897	\$ 1,470,656,413
1997	WHARF REIC	22,536,902	\$ 1,065,995,465
1999	MAN WAH HLDGS	81,631,726	\$ 312,649,511
2001	NEW HIGHER EDU	16,885,241	\$ 73,957,356
2005	SSY GROUP	29,535,193	\$ 228,897,746
2006	JINJIANG HOTELS	5,516,000	\$ 10,480,400
2007	COUNTRY GARDEN	335,178,802	\$ 2,912,703,789
2009	BBMG	213,330,064	\$ 479,992,644
2014	OZNER WATER	43,644,000	\$ 78,559,200
2016	CZBANK	0	\$ 0
2018	AAC TECH	68,778,202	\$ 3,775,923,290
2020	ANTA SPORTS	34,830,729	\$ 1,199,918,614
2038	FIH	165,393,371	\$ 124,045,028
2039	CIMC	22,205,250	\$ 159,655,748
2066	SHENGJINGBANK	600,000	\$ 2,100,000
2083	NATURE HOME	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 09/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2098	ZALL SMARTCOM	4,871,000	\$ 28,349,220
2099	CHINAGOLDINTL	731,100	\$ 7,881,258
2118	TIAN SHAN DEV	0	\$ 0
2128	CHINA LESSO	3,332,411	\$ 13,362,968
2136	LIFESTYLE CHI	13,882,106	\$ 42,618,065
2139	BANK OF GANSU	0	\$ 0
2186	LUYE PHARMA	194,193,797	\$ 1,182,640,224
2196	FOSUN PHARMA	27,497,846	\$ 727,318,027
2198	CHINA SANJIANG	1,736,200	\$ 3,420,314
2199	REGINA MIRACLE	12,536,817	\$ 57,418,622
2202	CHINA VANKE	51,823,616	\$ 1,308,546,304
2208	GOLDWIND	11,288,673	\$ 79,133,598
2222	NVC LIGHTING	0	\$ 0
2232	CRYSTAL INTL	10,110,442	\$ 39,430,724
2233	WESTCHINACEMENT	15,836,756	\$ 19,004,107
2238	GAC GROUP	139,829,901	\$ 1,107,452,816
2255	HAICHANG HLDG	4,806,000	\$ 6,199,740
2268	YOUYUAN HLDGS	13,514,919	\$ 33,246,701
2269	WUXI BIO	32,523,796	\$ 2,066,887,236
2280	HC GROUP	27,951,948	\$ 136,405,506
2282	MGM CHINA	99,932,159	\$ 1,167,207,617
2283	TK GROUP HLDG	246,000	\$ 1,116,840
2298	COSMO LADY	19,113,664	\$ 60,399,178
2299	BILLION IND	0	\$ 0
2303	HENGXING GOLD	262,000	\$ 1,613,920
2313	SHENZHOU INTL	18,226,331	\$ 1,679,556,402
2314	LEE & MAN PAPER	33,988,213	\$ 222,282,913
2318	PING AN	1,262,537,927	\$ 97,404,801,068
2319	MENGNIU DAIRY	68,930,943	\$ 1,688,808,104
2322	NOBLE CENTURY	0	\$ 0
2328	PICC P&C	100,620,823	\$ 807,985,209
2329	GUORUI PPT	12,055,268	\$ 23,025,562
2331	LI NING	56,118,649	\$ 450,632,751
2333	GREATWALL MOTOR	641,324,304	\$ 2,969,331,528
2338	WEICHAJ POWER	180,198,303	\$ 1,486,636,000
2342	COMBA	47,954,248	\$ 55,147,385
2343	PACIFIC BASIN	166,359,516	\$ 302,774,319
2348	DAWNRAYS PHARMA	422,000	\$ 746,940
2356	DAHSHING BANKING	1,173,482	\$ 17,226,716
2357	AVICHINA	127,798,919	\$ 693,948,130
2362	JINCHUAN INTL	31,403,995	\$ 22,296,836

## Aggregated Reportable Short Positions of Specified Shares as of 09/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2378	PRU	0	\$ 0
2380	CHINA POWER	243,438,211	\$ 389,501,138
2382	SUNNY OPTICAL	50,080,804	\$ 3,620,842,129
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	11,632,032	\$ 85,960,716
2388	BOC HONG KONG	45,179,078	\$ 1,312,452,216
2389	BJ ENT M&H	23,155,608	\$ 7,525,573
2393	YESTAR HEALTH	0	\$ 0
2399	FORDOO	0	\$ 0
2588	BOC AVIATION	6,767,488	\$ 405,034,157
2600	CHALCO	263,375,447	\$ 787,492,587
2601	CPIC	120,939,203	\$ 3,688,645,692
2607	SH PHARMA	11,203,720	\$ 207,044,746
2608	SUNSHINE 100	1,197,000	\$ 4,548,600
2611	GTJA	14,426,630	\$ 223,612,765
2628	CHINA LIFE	94,309,487	\$ 1,535,358,448
2633	JACOBSON PHARMA	0	\$ 0
2638	HKELECTRIC-SS	144,754,950	\$ 1,076,976,828
2662	CAMSING INTL	6,065,042	\$ 36,936,106
2666	UNI MEDICAL	49,942,125	\$ 304,646,963
2669	CHINA OVS PPT	25,486,828	\$ 51,228,524
2678	TEXHONG TEXTILE	235,500	\$ 2,213,700
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	17,415,839	\$ 1,197,338,931
2689	ND PAPER	57,948,830	\$ 434,036,737
2698	WEIQIAO TEXTILE	321,500	\$ 819,825
2727	SH ELECTRIC	193,040,176	\$ 517,347,672
2768	JIAYUAN INTL	54,163,133	\$ 797,281,318
2777	R&F PROPERTIES	61,879,147	\$ 720,273,271
2778	CHAMPION REIT	14,392,457	\$ 75,992,173
2779	CH XINHUA EDU	1,453,000	\$ 3,487,200
2799	CHINA HUARONG	524,161,472	\$ 754,792,520
2800	TRACKER FUND	34,949,500	\$ 899,949,625
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PREMIA BEDROCK	0	\$ 0
2805	VANGUARDASXJP	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMUNGCDRAGON	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 09/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2813	CAM CTPB BOND	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	152,595,499	\$ 1,886,080,368
2823	ISHARES A50	91,257,338	\$ 1,102,388,643
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	4,733,597	\$ 501,761,282
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	17,298,000	\$ 38,228,580
2866	COSCO SHIP DEV	219,141,250	\$ 186,270,063
2868	BJ CAPITAL LAND	13,007,687	\$ 37,201,985
2869	GREENTOWN SER	53,599,293	\$ 291,580,154
2877	SHINEWAY PHARM	6,980,748	\$ 69,807,480
2880	DALIAN PORT	8,149,600	\$ 8,557,080
2883	CHINA OILFIELD	145,051,720	\$ 1,095,140,486
2888	STANCHART	956,118	\$ 55,359,232
2899	ZIJIN MINING	115,335,380	\$ 337,932,663
3007	TRFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X TRMSCIRUSSIA	0	\$ 0
3036	TRMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0



## Aggregated Reportable Short Positions of Specified Shares as of 09/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3043	TRMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3065	TRMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VANGUARDAXJHY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VANGUARDDDVEUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3126	VANGUARDJAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 09/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3137	CSOP CES A80	0	\$ 0
3140	VANGUARDSP500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3150	HGI CSI500	0	\$ 0
3155	ISHARESE50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3165	BMO EU QLTQ HDG	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0
3169	VANGUARDCHINA	0	\$ 0
3170	ISHARESKS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3173	PREMIA NEW ECON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3181	PREMIAASIA TEC	0	\$ 0
3182	WISE NEW ECON50	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3188	CAM CSI300	54,286,225	\$ 1,986,875,835
3197	CAM MSCI AINC	0	\$ 0
3199	CSOP 5Y CGBOND	0	\$ 0
3301	RONSHINECHINA	12,224,120	\$ 107,083,291
3306	JNBY	3,755,500	\$ 53,553,430
3308	GOLDEN EAGLE	1,454,000	\$ 12,649,800
3309	C-MER EYE	3,738,000	\$ 20,783,280
3311	CHINA STATE CON	176,256,094	\$ 992,321,809
3319	A-LIVING	5,261,484	\$ 55,245,582
3320	CHINARES PHARMA	27,897,306	\$ 331,977,941
3323	CNBM	175,933,325	\$ 1,078,471,282
3328	BANKCOMM	104,801,715	\$ 623,570,204
3331	VINDA INT'L	1,761,685	\$ 20,506,013
3333	EVERGRANDE	318,389,326	\$ 5,947,512,610
3337	ANTON OILFIELD	31,555,500	\$ 35,026,605

## Aggregated Reportable Short Positions of Specified Shares as of 09/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3339	LONKING	16,495,400	\$ 34,145,478
3358	BESTWAY GLOBAL	0	\$ 0
3360	FE HORIZON	28,793,635	\$ 214,800,517
3369	QHD PORT	719,500	\$ 1,244,735
3377	SINO-OCEAN GP	20,866,448	\$ 67,815,956
3380	LOGAN PPT	48,844,542	\$ 383,918,100
3382	TIANJINPORT DEV	1,292,000	\$ 1,059,440
3383	AGILE GROUP	91,052,142	\$ 829,485,014
3393	WASION HOLDINGS	4,695,737	\$ 19,064,692
3396	LEGENDHOLDING	6,425,299	\$ 141,999,108
3606	FUYAO GLASS	19,516,310	\$ 479,125,411
3613	TONGRENTANGCM	233,000	\$ 3,355,200
3618	CQRC BANK	51,773,690	\$ 226,251,025
3633	ZHONGYU GAS	4,524,997	\$ 25,204,233
3639	YIDA CHINA	0	\$ 0
3669	YONGDA AUTO	59,588,797	\$ 271,129,026
3690	MEITUAN-W	81,495,438	\$ 4,588,193,159
3698	HUIZHANG BANK	0	\$ 0
3700	INKE	0	\$ 0
3709	KORADIOR	0	\$ 0
3799	DALI FOODS	96,691,329	\$ 533,736,136
3800	GCL-POLY ENERGY	1,292,256,994	\$ 723,663,917
3808	SINOTRUK	194,393,910	\$ 2,313,287,529
3813	POU SHENG INT'L	44,257,445	\$ 65,943,593
3818	CHINA DONGXIANG	53,782,279	\$ 65,076,558
3836	HARMONY AUTO	52,328,000	\$ 156,984,000
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	28,811,000	\$ 129,649,500
3888	KINGSOFT	73,183,576	\$ 860,638,854
3898	CRRC TIMES ELEC	24,342,966	\$ 1,033,358,907
3899	CIMC ENRIC	11,462,044	\$ 75,420,250
3900	GREENTOWN CHINA	18,444,285	\$ 106,054,639
3908	CICC	92,379,660	\$ 1,274,839,308
3918	NAGACORP	3,666,000	\$ 26,651,820
3933	UNITED LAB	154,816,494	\$ 899,483,830
3948	YITAI COAL	0	\$ 0
3958	DFZQ	10,835,583	\$ 56,886,811
3968	CM BANK	163,255,943	\$ 5,183,376,190
3969	CHINA CRSC	51,117,809	\$ 268,879,675
3983	CHINA BLUECHEM	8,620,800	\$ 23,620,992
3988	BANK OF CHINA	157,231,021	\$ 526,723,920

## Aggregated Reportable Short Positions of Specified Shares as of 09/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3993	CMOC	278,536,910	\$ 913,601,065
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	30,049,399	\$ 39,665,207
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	121,862,556	\$ 1,737,760,049
6060	ZA ONLINE	31,130,104	\$ 902,773,016
6066	CSC	11,582,203	\$ 53,393,956
6068	WISDOM EDU INTL	7,874,480	\$ 31,891,644
6088	FIT HON TENG	8,943,963	\$ 31,661,629
6098	CG SERVICES	36,021,824	\$ 396,240,064
6099	CMSC	4,801,738	\$ 47,633,241
6100	TALENT LIEPIN	0	\$ 0
6116	LA CHAPELLE	472,400	\$ 3,401,280
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6158	ZHENRO PPT	968,000	\$ 4,675,440
6168	CHINA U-TON	0	\$ 0
6169	YUHUA EDU	28,708,000	\$ 107,655,000
6178	EB SECURITIES	1,872,800	\$ 13,109,600
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	2,805,021	\$ 12,145,741
6808	SUNART RETAIL	159,417,271	\$ 1,509,681,556
6818	CEB BANK	84,761,760	\$ 288,189,984
6823	HKT-SS	15,257,119	\$ 164,166,600
6826	HAOHAI BIOTEC	20,600	\$ 882,710
6828	BG BLUE SKY	51,430,339	\$ 24,943,714
6837	HAITONG SEC	164,254,865	\$ 1,312,396,371
6858	HONMAGOLF	1,399,000	\$ 9,862,950
6868	TENFU	0	\$ 0
6869	YOFC	18,585,853	\$ 388,444,328
6878	DIFFER GROUP	0	\$ 0
6881	CGS	380,557,492	\$ 1,507,007,668
6886	HTSC	61,234,292	\$ 796,045,796
6889	DYNAM JAPAN	0	\$ 0
7200	FL2 CSOP HSI	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 09/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8032	VIVA CHINA	6,350,976	\$ 5,271,310
8047	CHINAOCEAN FISH	0	\$ 0
8057	MADISON HLDG	0	\$ 0
8078	HMV DIGIT CHINA	0	\$ 0
8083	CHINA YOUZAN	226,389,820	\$ 110,931,012
8137	HONBRIDGE	18,138,624	\$ 15,780,603
8207	CHONGSING HLDGS	635,720,065	\$ 225,680,623
8265	CHINA TRUSTFUL	2,144,000	\$ 4,438,080
8279	AGTECH HOLDINGS	9,191,423	\$ 3,814,441
8283	ZHENG LI HLDG	0	\$ 0
8293	SINGASIA HLDG	0	\$ 0
8407	CISI FIN	0	\$ 0
8469	SHENGYE CAPITAL	179,407	\$ 1,151,793
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARES MSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9085	VANGUARDAXJHY-U	0	\$ 0
9101	VANGUARD DVEUR-U	0	\$ 0
9115	ISHARES HSI-U	0	\$ 0
9126	VANGUARD JAPAN-U	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 09/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9140	VANGUARDSP500-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESES50-U	0	\$ 0
9167	ICBCCSOPCHINA-U	0	\$ 0
9169	VANGUARDCHINA-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9181	PREMIAASIATEC-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9199	CSOP 5YCGBOND-U	0	\$ 0
9805	VANGUARDASXJP-U	0	\$ 0
9810	PREMIAEMASEAN-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
82805	VANGUARDASXJP-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82813	CAM CTPB BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HSCEI ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83085	VANGUARDAXJHY-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83101	VANGUARDDDVEUR-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 09/11/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83126	VANGUARDJAPAN-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83132	C-SHS CSI HLC-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83140	VANGUARDSP500-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83169	VANGUARDCHINA-R	0	\$ 0
83170	ISHARESXS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP 5YCGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0