

## Aggregated Reportable Short Positions of Specified Shares as of 04/01/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	10,160,586	\$ 764,584,097
2	CLP HOLDINGS	21,495,537	\$ 1,910,953,239
3	HK & CHINA GAS	167,951,128	\$ 2,734,244,364
4	WHARF HOLDINGS	32,291,875	\$ 660,368,844
5	HSBC HOLDINGS	110,496,530	\$ 7,110,451,706
6	POWER ASSETS	20,917,833	\$ 1,147,343,140
8	PCCW	45,505,396	\$ 205,229,336
10	HANG LUNG GROUP	5,442,185	\$ 107,537,576
11	HANG SENG BANK	9,498,735	\$ 1,593,887,733
12	HENDERSON LAND	18,526,258	\$ 753,092,388
14	HYSAN DEV	2,859,810	\$ 107,385,866
16	SHK PPT	14,675,252	\$ 1,722,874,585
17	NEW WORLD DEV	88,690,571	\$ 947,215,298
19	SWIRE PACIFIC A	16,784,471	\$ 1,372,969,728
20	WHEELLOCK	5,885,047	\$ 265,709,872
23	BANK OF E ASIA	19,329,122	\$ 479,362,226
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	80,980,385	\$ 3,935,646,711
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	6,378,000	\$ 3,093,330
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	5,757,285	\$ 19,574,769
41	GREAT EAGLE H	160,768	\$ 5,385,728
43	C.P. POKPHAND	0	\$ 0
45	HK&S HOTELS	3,192,063	\$ 35,176,534
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	261,500	\$ 6,759,775
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	1,010,418	\$ 34,808,900
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	0	\$ 0
66	MTR CORPORATION	42,057,141	\$ 1,732,754,209
69	SHANGRI-LA ASIA	18,540,213	\$ 204,683,952
71	MIRAMAR HOTEL	0	\$ 0
75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0
81	CH OVS G OCEANS	25,923,713	\$ 65,846,231

## Aggregated Reportable Short Positions of Specified Shares as of 04/01/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83	SINO LAND	34,321,738	\$ 490,114,419
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	0	\$ 0
95	LVGEM CHINA	3,582,286	\$ 7,809,383
101	HANG LUNG PPT	29,819,686	\$ 449,680,865
105	ASSO INT HOTELS	0	\$ 0
106	LANDSEA GREEN	0	\$ 0
107	SICHUAN EXPRESS	2,580,000	\$ 6,063,000
116	CHOW SANG SANG	0	\$ 0
119	POLY PROPERTY	4,306,319	\$ 10,679,671
120	COSMOPOL INT'L	0	\$ 0
123	YUEXIU PROPERTY	57,982,184	\$ 82,334,701
127	CHINESE EST H	5,688,213	\$ 47,894,753
129	ASIA STANDARD	274,000	\$ 369,900
133	CHINA MERCHANTS	76,000	\$ 706,800
135	KUNLUN ENERGY	394,839,479	\$ 3,304,806,439
136	HENGTEN NET	1,217,406,749	\$ 282,438,366
142	FIRST PACIFIC	27,451,284	\$ 86,471,545
144	CHINA MER PORT	52,625,652	\$ 747,284,258
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD HLDG	6,361,463	\$ 131,364,211
151	WANT WANT CHINA	450,821,141	\$ 2,569,680,504
152	SHENZHEN INT'L	13,863,268	\$ 202,126,447
158	MELBOURNE ENT	0	\$ 0
161	AVIC IHL	2,754,000	\$ 11,539,260
163	EMPEROR INT'L	0	\$ 0
165	CHINA EB LTD	5,497,783	\$ 74,220,071
168	TSINGTAO BREW	19,866,802	\$ 602,957,441
171	SILVER GRANT	29,382,000	\$ 44,073,000
173	K. WAH INT'L	24,472,334	\$ 92,505,423
175	GEELY AUTO	201,954,379	\$ 2,399,218,023
177	JIANGSU EXPRESS	6,326,919	\$ 67,444,957
178	SA SA INT'L	56,151,786	\$ 157,786,519
179	JOHNSON ELEC H	2,466,628	\$ 39,219,385
182	CONCORD NE	16,380,494	\$ 5,077,953
189	DONGYUE GROUP	8,915,396	\$ 35,839,892
194	LIU CHONG HING	0	\$ 0
196	HONGHUA GROUP	57,240,899	\$ 25,758,405
198	SMI HOLDINGS	27,678,039	\$ 64,766,611
200	MELCO INT'L DEV	32,499,894	\$ 508,948,340
207	JOY CITY PPT	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 04/01/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
215	HUTCHTEL HK	26,859,259	\$ 81,652,147
220	U-PRESID CHINA	49,728,078	\$ 312,292,330
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	1,671,159	\$ 2,239,353
241	ALI HEALTH	204,801,026	\$ 1,239,046,207
242	SHUN TAK HOLD	20,093,158	\$ 48,826,374
245	CN MINSHENG FIN	7,685,496	\$ 1,490,986
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	434,000	\$ 3,754,100
256	CITYCHAMP	2,966,000	\$ 4,893,900
257	CHINA EB INT'L	201,980,728	\$ 1,421,944,325
258	TOMSON GROUP	0	\$ 0
261	CCT LAND	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	80,652,757	\$ 996,868,077
268	KINGDEE INT'L	234,672,896	\$ 1,431,504,666
270	GUANGDONG INV	10,628,693	\$ 162,406,429
272	SHUI ON LAND	60,160,984	\$ 101,672,063
273	MASON GP HOLD	38,016,884	\$ 4,942,195
285	BYD ELECTRONIC	56,061,607	\$ 513,524,320
286	CS HEALTH	6,562,000	\$ 4,002,820
288	WH GROUP	131,702,731	\$ 770,460,976
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	50,739,797	\$ 1,235,514,057
293	CATHAY PAC AIR	16,302,081	\$ 178,996,849
297	SINOFERT	78,976,281	\$ 67,919,602
303	VTECH HOLDINGS	2,358,019	\$ 150,205,810
308	CHINA TRAVEL HK	48,864,000	\$ 101,637,120
315	SMARTONE TELE	4,609,999	\$ 38,862,292
317	COMEC	5,672,578	\$ 30,007,938
321	TEXWINCA HOLD	6,618,261	\$ 17,339,844
322	TINGYI	112,141,938	\$ 1,069,834,089
323	MAANSHAN IRON	223,546,483	\$ 742,174,324
327	PAX GLOBAL	36,933,315	\$ 108,953,279
329	OCI INTL	0	\$ 0
330	ESPRIT HOLDINGS	119,889,912	\$ 184,630,464
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	7,078,185	\$ 22,579,410
337	GREENLAND HK	2,045,000	\$ 3,844,600
338	SHANGHAI PEICHEM	85,081,698	\$ 290,128,590
341	CAFE DE CORAL H	1,505,109	\$ 28,898,093

## Aggregated Reportable Short Positions of Specified Shares as of 04/01/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
342	NEWOCEAN ENERGY	40,207,220	\$ 102,528,411
345	VITASOY INT'L	2,641,926	\$ 75,294,891
347	ANGANG STEEL	122,603,417	\$ 642,441,905
354	CHINASOFT INT'L	107,941,393	\$ 383,191,945
358	JIANGXI COPPER	44,470,262	\$ 399,342,953
363	SHANGHAI IND H	2,341,005	\$ 35,770,556
365	UNIS HOLDINGS	0	\$ 0
367	CHUANG'S INT'L	0	\$ 0
368	SINOTRANS SHIP	11,395,391	\$ 30,653,602
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	364,129,826	\$ 1,427,388,918
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
378	FDG KINETIC	5,389,419	\$ 533,552
383	CHINA MED&HCARE	3,530,000	\$ 674,230
384	CHINA GAS HOLD	115,353,223	\$ 3,004,951,459
386	SINOPEC CORP	303,821,483	\$ 1,713,553,164
388	HKEX	25,834,964	\$ 5,864,536,828
390	CHINA RAILWAY	114,717,151	\$ 814,491,772
392	BEIJING ENT	5,585,118	\$ 223,404,720
400	COGOBUY	16,796,699	\$ 43,671,417
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	79,488,766	\$ 213,029,893
412	CHINA SDHS FIN	0	\$ 0
416	BANKOFJINZHOU	0	\$ 0
419	HUAYI TENCENT	79,448,194	\$ 14,936,260
425	MINTH GROUP	77,321,240	\$ 1,905,968,566
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	42,689,867	\$ 22,198,731
440	DAH SING	93,600	\$ 3,495,960
445	CIMC-TIANDA	0	\$ 0
451	GCL NEWENERGY	150,158,000	\$ 44,296,610
460	SIHUAN PHARM	191,404,540	\$ 252,653,993
467	UNITEDENERGY GP	13,209,562	\$ 14,266,327
468	GAPACK	397,000	\$ 1,814,290
472	NEW SILKROAD	0	\$ 0
480	HKR INT'L	0	\$ 0
486	RUSAL	0	\$ 0
488	LAI SUN DEV	153,812	\$ 1,981,099
489	DONGFENG GROUP	43,663,257	\$ 308,699,227
493	GOME RETAIL	1,586,769,720	\$ 1,015,532,621

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
494	LI & FUNG	258,663,031	\$ 320,742,158
496	KASEN	2,067,000	\$ 6,779,760
497	CSI PROPERTIES	6,720,000	\$ 2,116,800
500	FRONTIER SER	0	\$ 0
506	CHINA FOODS	16,732,000	\$ 45,845,680
508	DINGYI GP INV	36,422,680	\$ 19,668,247
511	TVB	10,248,825	\$ 148,812,939
512	CHINAGRANDPHARM	8,952,000	\$ 29,989,200
517	COSCO SHIP INTL	484,000	\$ 1,306,800
519	APPLIED DEV	784,454	\$ 392,227
520	XIABUXIABU	29,572,413	\$ 322,930,750
522	ASM PACIFIC	20,441,241	\$ 1,455,416,359
525	GUANGSHEN RAIL	10,515,440	\$ 30,810,239
526	LISI GP HOLD	0	\$ 0
530	GOLDIN FIN HOLD	0	\$ 0
535	GEMDALE PPT	90,329,766	\$ 65,037,432
538	AJISEN (CHINA)	9,175,120	\$ 19,818,259
546	FUFENG GROUP	73,967,436	\$ 251,489,282
547	DIGITAL DOMAIN	378,035,340	\$ 38,559,605
548	SHENZHENEXPRESS	4,320,000	\$ 37,497,600
551	YUE YUEN IND	21,257,360	\$ 537,811,208
552	CHINACOMSERVICE	11,941,967	\$ 76,309,169
563	SH IND URBAN	12,798,000	\$ 15,997,500
570	TRAD CHI MED	35,802,897	\$ 161,471,065
576	ZHEJIANGEXPRESS	8,283,908	\$ 55,999,218
579	JNCEC	10,226,000	\$ 18,509,060
580	SUN.KING ELEC	2,566,000	\$ 2,848,260
581	CHINA ORIENTAL	27,851,432	\$ 121,432,244
586	CONCH VENTURE	24,184,681	\$ 535,690,684
588	BEIJING N STAR	1,394,000	\$ 2,885,580
590	LUK FOOK HOLD	8,752,629	\$ 199,122,310
593	DREAMEAST	0	\$ 0
596	INSPUR INT'L	0	\$ 0
598	SINOTRANS	26,561,074	\$ 88,979,598
603	CHINA OIL & GAS	11,299,936	\$ 5,762,967
604	SHENZHEN INVEST	195,341,905	\$ 490,308,182
605	C FIN SERVICES	0	\$ 0
606	CHINA AGRI	30,471,069	\$ 81,053,044
607	FULLSHARE	995,985,084	\$ 1,424,258,670
622	ENERCHINA HOLD	9,755,861	\$ 4,877,931
627	FULLSUN INT'L	0	\$ 0

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
631	SANY INT'L	1,780,000	\$ 4,592,400
636	KERRY LOG NET	3,534,338	\$ 40,220,766
639	SHOUGANG RES	31,605,729	\$ 48,988,880
650	IDG ENERGY INV	0	\$ 0
656	FOSUN INTL	37,090,606	\$ 399,836,733
658	C TRANSMISSION	29,829,576	\$ 166,747,330
659	NWS HOLDINGS	1,927,098	\$ 30,717,942
662	ASIA FINANCIAL	0	\$ 0
665	HAITONG INT'L	138,827,268	\$ 340,126,807
669	TECHTRONIC IND	20,132,453	\$ 833,483,554
670	CHINA EAST AIR	41,930,049	\$ 171,913,201
678	GENTING HK	6,067,000	\$ 8,918,490
680	NAN HAI CORP	76,733,120	\$ 12,430,765
683	KERRY PPT	9,678,151	\$ 262,277,892
686	PANDA GREEN	87,004,992	\$ 40,022,296
687	HKICIM GROUP	4,520,000	\$ 10,848,000
688	CHINA OVERSEAS	48,272,911	\$ 1,279,232,142
689	EPI (HOLDINGS)	6,195,000	\$ 2,385,075
694	BEIJING AIRPORT	39,733,318	\$ 268,199,897
696	TRAVELSKY TECH	16,539,579	\$ 326,491,289
697	SHOUGANG INT'L	11,802,661	\$ 2,218,900
698	TONGDA GROUP	156,042,864	\$ 121,713,434
699	CAR INC	94,003,843	\$ 534,881,867
700	TENCENT	91,641,231	\$ 28,463,766,349
707	ATV HOLDINGS	14,140,000	\$ 3,888,500
708	EVERG HEALTH	5,980,000	\$ 83,002,400
709	GIORDANO INT'L	8,100,970	\$ 30,216,618
710	BOE VARITRONIX	1,378,033	\$ 2,962,771
715	CHINA OCEANWIDE	0	\$ 0
716	SINGAMAS CONT	17,774,177	\$ 18,840,628
717	EMPEROR CAPITAL	10,464,974	\$ 3,662,741
721	C FIN INT INV	15,513,738	\$ 2,792,473
728	CHINA TELECOM	211,228,588	\$ 825,903,779
729	FDG EVEHICLES	422,755,147	\$ 23,251,533
732	TRULY INT'L	53,086,446	\$ 47,246,937
735	CP CLEAN ENERGY	817,500	\$ 1,978,350
743	ASIA CEMENT CH	0	\$ 0
746	L & M CHEMICAL	0	\$ 0
751	SKYWORTHDIGITAL	67,091,835	\$ 114,056,120
752	PICO FAR EAST	400,000	\$ 1,136,000
753	AIR CHINA	49,838,675	\$ 310,993,332

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754	HOPSON DEV HOLD	1,635,355	\$ 10,384,504
755	SHANGHAI ZENDAI	0	\$ 0
762	CHINA UNICOM	77,195,266	\$ 642,264,613
763	ZTE	32,672,485	\$ 461,988,938
772	CHINA LIT	31,852,636	\$ 1,157,843,319
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	5,265,725	\$ 62,135,555
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	432,398,136	\$ 153,501,338
788	CHINA TOWER	859,848,227	\$ 1,238,181,447
798	CEOVU	6,900,000	\$ 2,829,000
799	IGG	12,330,180	\$ 124,288,214
806	VALUE PARTNERS	29,000,524	\$ 151,672,741
807	SIICENVIRONMENT	2,960,800	\$ 4,766,888
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	1,979,764	\$ 9,879,022
813	SHIMAO PROPERTY	27,966,498	\$ 558,211,300
815	CHI SILVER GP	22,809,956	\$ 18,247,965
816	HUADIAN FUXIN	9,954,000	\$ 18,116,280
817	CHINA JINMAO	111,457,453	\$ 378,955,340
818	HI SUN TECH	12,721,918	\$ 13,358,014
819	TIANNENG POWER	52,183,736	\$ 356,414,917
823	LINK REIT	27,072,528	\$ 2,191,521,142
826	TIANGONG INT'L	31,504,400	\$ 55,132,700
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	4,781,000	\$ 13,769,280
836	CHINA RES POWER	23,585,916	\$ 357,090,768
839	CHINA EDU GROUP	22,062,458	\$ 202,974,614
853	MICROPORT	45,008,845	\$ 299,758,908
855	CHINA WATER	35,602,821	\$ 291,943,132
856	VSTPCS	1,796,000	\$ 6,268,040
857	PETROCHINA	158,885,022	\$ 775,358,907
860	WE SOLUTIONS	31,321,676	\$ 14,877,796
861	DC HOLDINGS	23,059,479	\$ 80,477,582
867	CMS	11,793,897	\$ 82,675,218
868	XINYI GLASS	57,323,161	\$ 467,756,994
874	BAIYUNSHAN PH	1,755,500	\$ 47,574,050
877	O-NET TECH GP	13,552,610	\$ 48,518,344
880	SJM HOLDINGS	108,602,579	\$ 762,390,105
881	ZHONGSHENG HLDG	66,418,759	\$ 977,684,132
882	TIANJIN DEV	2,870,000	\$ 7,749,000

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
883	CNOOC	120,690,322	\$ 1,457,939,090
884	CIFI HOLD GP	65,153,071	\$ 263,869,938
895	DONGJIANG ENV	3,068,130	\$ 25,496,160
902	HUANENG POWER	170,360,111	\$ 827,950,139
906	COFCO PACKAGING	48,769,951	\$ 171,182,528
914	CONCH CEMENT	126,931,881	\$ 4,531,468,152
916	CHINA LONGYUAN	30,561,958	\$ 158,310,942
921	HISENSE HA	7,955,100	\$ 45,900,927
931	CHINA LNG	40,245,662	\$ 46,684,968
934	SINOPEC KANTONS	26,637,049	\$ 90,832,337
939	CCB	1,291,263,997	\$ 8,277,002,221
941	CHINA MOBILE	80,466,964	\$ 6,179,862,835
950	LEE'S PHARM	5,364,739	\$ 29,988,891
951	CHAOWEI POWER	22,807,251	\$ 72,070,913
952	TONGHAI FIN	0	\$ 0
956	CHINA SUNTIEN	1,731,000	\$ 3,375,450
958	HN RENEWABLES	41,202,264	\$ 81,580,483
960	LONGFOR GROUP	14,096,280	\$ 322,804,812
966	CHINA TAIPING	12,423,364	\$ 251,573,121
968	XINYI SOLAR	242,009,530	\$ 658,265,922
973	L'OCCITANE	6,628,322	\$ 96,640,935
976	CHIHO ENV	4,508,049	\$ 8,565,293
978	CHI MER LAND	1,372,000	\$ 1,468,040
981	SMIC	520,377,587	\$ 3,283,582,574
989	GROUND INTL DEV	20,120,000	\$ 7,846,800
991	DATANG POWER	56,816,223	\$ 103,405,526
992	LENOVO GROUP	472,329,151	\$ 2,456,111,585
995	ANHUIEXPRESSWAY	580,000	\$ 2,639,000
996	CARNIVAL GROUP	162,987,605	\$ 21,514,364
998	CITIC BANK	145,516,217	\$ 676,650,409
999	I.T	0	\$ 0
1003	HUANXI MEDIA	1,690,000	\$ 2,771,600
1004	C SMARTERENERGY	2,179,495	\$ 1,591,031
1030	FUTURE LAND	129,893,736	\$ 685,838,926
1031	KINGSTON FIN	5,290,000	\$ 9,839,400
1033	SINOPEC SSC	39,371,960	\$ 24,016,896
1038	CKI HOLDINGS	13,071,839	\$ 777,774,421
1044	HENGAN INT'L	55,546,837	\$ 3,182,833,760
1045	APT SATELLITE	0	\$ 0
1051	G-RESOURCES	53,853,461	\$ 2,638,820
1052	YUEXIUTRANSPORT	29,572,990	\$ 170,340,422



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1055	CHINA SOUTH AIR	112,037,375	\$ 517,612,673
1060	ALI PICTURES	999,591,014	\$ 1,259,484,678
1061	ESSEX BIO-TECH	1,090,481	\$ 4,340,114
1065	TIANJIN CAPITAL	7,242,000	\$ 21,291,480
1066	WEIGAO GROUP	24,901,015	\$ 148,659,060
1068	YURUN FOOD	11,239,025	\$ 7,305,366
1070	TCL ELECTRONICS	4,306,112	\$ 12,487,725
1071	HUADIAN POWER	61,512,205	\$ 209,756,619
1072	DONGFANG ELEC	7,018,678	\$ 32,847,413
1076	IMPERIAL PAC	68,800,000	\$ 3,646,400
1083	TOWNGAS CHINA	7,234,802	\$ 40,804,283
1086	GOODBABY INTL	33,503,295	\$ 80,072,875
1088	CHINA SHENHUA	30,046,141	\$ 513,789,011
1089	LEYOU TECH H	23,615,985	\$ 49,829,728
1093	CSPC PHARMA	181,026,818	\$ 2,005,777,143
1098	ROAD KING INFRA	0	\$ 0
1099	SINOPHARM	20,472,205	\$ 636,685,576
1106	SINO HAIJING	44,880,000	\$ 5,834,400
1107	MODERN LAND	590,000	\$ 572,300
1109	CHINA RES LAND	37,277,283	\$ 1,108,999,169
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	8,560,284	\$ 353,967,743
1113	CK ASSET	20,564,954	\$ 1,210,247,543
1114	BRILLIANCE CHI	369,780,768	\$ 2,126,239,416
1115	TIBET WATER	20,819,141	\$ 56,003,489
1117	CH MODERN D	19,738,813	\$ 14,606,722
1122	QINGLING MOTORS	578,000	\$ 1,132,880
1128	WYNN MACAU	71,100,369	\$ 1,180,266,125
1129	WATER INDUSTRY	8,572,000	\$ 15,343,880
1131	AGRITRADE RES	82,060,000	\$ 100,933,800
1133	HARBIN ELECTRIC	18,539,001	\$ 73,970,614
1138	COSCO SHIP ENGY	73,587,960	\$ 281,841,887
1140	OP FINANCIAL	8,560,000	\$ 21,400,000
1141	CMBC CAPITAL	439,839,319	\$ 147,346,172
1157	ZOOMLION	21,885,054	\$ 61,278,151
1166	SOLARTECH INT'L	17,660,000	\$ 1,395,140
1168	SINOLINK HOLD	17,278,000	\$ 8,811,780
1169	HAIER ELEC	20,107,877	\$ 380,038,875
1171	YANZHOU COAL	31,345,335	\$ 192,460,357
1176	ZHUGUANG HOLD	13,274,000	\$ 15,796,060
1177	SINO BIOPHARM	250,802,657	\$ 1,259,029,338

## Aggregated Reportable Short Positions of Specified Shares as of 04/01/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1186	CHINA RAIL CONS	167,576,768	\$ 1,813,180,630
1188	HYBRID KINETIC	16,608,330	\$ 963,283
1193	CHINA RES GAS	37,736,611	\$ 1,143,419,313
1194	MUNSUN CAPITAL	0	\$ 0
1196	REALORD GROUP	0	\$ 0
1199	COSCO SHIP PORT	33,098,627	\$ 254,197,455
1205	CITIC RESOURCES	29,882,837	\$ 18,527,359
1208	MMG	98,223,814	\$ 310,387,252
1211	BYD COMPANY	122,334,228	\$ 5,774,175,562
1212	LIFESTYLE INT'L	8,175,167	\$ 93,033,400
1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1223	SYMPHONY HOLD	1,980,000	\$ 2,019,600
1224	C C LAND	5,225,199	\$ 9,509,862
1230	YASHILI INT'L	11,135,924	\$ 15,144,857
1233	TIMES CHINA	3,767,000	\$ 31,266,100
1234	CHINA LILANG	918,254	\$ 6,179,849
1238	POWERLONG	2,475,000	\$ 7,746,750
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	162,291,446	\$ 18,176,642
1252	CHINA TIANRUI	0	\$ 0
1257	CEB GREENTECH	14,169,244	\$ 78,214,227
1258	CHINFMINING	0	\$ 0
1266	XIWANG STEEL	7,547,000	\$ 10,188,450
1270	LANGHAM-SS	600,000	\$ 1,740,000
1282	CHINA GOLDJOY	51,476,199	\$ 20,075,718
1288	ABC	247,719,030	\$ 849,676,273
1293	GRAND BAOXIN	53,981,072	\$ 116,599,116
1297	SINOSOFT TECH	6,050,035	\$ 12,221,071
1299	AIA	138,288,657	\$ 8,774,415,287
1302	LIFETECH SCI	28,390,090	\$ 42,585,135
1308	SITC	2,586,042	\$ 17,921,271
1310	HKBN	2,613,000	\$ 31,408,260
1313	CHINARES CEMENT	95,991,760	\$ 648,904,298
1316	NEXTEER	19,718,118	\$ 204,674,065
1317	MAPLELEAF EDU	59,273,302	\$ 193,230,965
1330	DYNAGREEN ENV	12,974,495	\$ 40,739,914
1333	CHINA ZHONGWANG	107,194,200	\$ 373,035,816
1336	NCI	13,742,055	\$ 417,758,472
1337	RAZER	117,701,048	\$ 129,471,153
1339	PICC GROUP	141,733,629	\$ 430,870,232

## Aggregated Reportable Short Positions of Specified Shares as of 04/01/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1347	HUA HONG SEMI	29,385,570	\$ 397,880,618
1359	CHINA CINDA	279,510,399	\$ 536,659,966
1360	MEGAEXPO HLDG	680,000	\$ 2,420,800
1361	361 DEGREES	18,889,000	\$ 31,733,520
1363	CTEG	81,709,774	\$ 24,921,481
1368	XTEP INT'L	6,406,690	\$ 27,805,035
1375	CC SECURITIES	16,536,583	\$ 28,442,923
1378	CHINAHONGQIAO	88,128,810	\$ 367,497,138
1381	CANVEST ENV	19,602,355	\$ 80,957,726
1382	PACIFICTEXTILES	3,362,769	\$ 22,564,180
1383	SUNCITY GROUP	3,910,000	\$ 4,535,600
1385	SHANGHAI FUDAN	269,425	\$ 1,980,274
1387	RENHE COMM	64,581,726	\$ 17,437,066
1398	ICBC	503,068,998	\$ 2,776,940,869
1426	SPRING REIT	0	\$ 0
1448	FU SHOU YUAN	20,541,899	\$ 107,844,970
1458	ZHOU HEI YA	47,236,265	\$ 160,603,301
1462	GOLD-FIN HLDG	6,450,000	\$ 3,225,000
1475	NISSIN FOODS	0	\$ 0
1478	Q TECH	17,128,540	\$ 74,509,149
1508	CHINA RE	77,624,758	\$ 123,423,365
1513	LIVZON PHARMA	1,723,235	\$ 36,963,391
1515	CR MEDICAL	17,989,802	\$ 87,250,540
1518	NC HEALTHCARE	0	\$ 0
1528	RS MACALLINE	1,247,400	\$ 8,519,742
1530	3SBIO	53,196,135	\$ 484,084,829
1548	GENSCRIPT BIO	75,836,258	\$ 811,447,961
1551	GRCB	0	\$ 0
1558	HEC PHARM	7,707,400	\$ 207,714,430
1566	CHINA ANIMATION	5,447,274	\$ 14,108,440
1568	SUNDART HLDGS	0	\$ 0
1569	MINSHENG EDU	0	\$ 0
1571	XIN POINT HOLD	0	\$ 0
1573	CH UNIENERGY	0	\$ 0
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	27,616,950	\$ 511,465,914
1585	YADEA	15,274,782	\$ 44,144,120
1599	UCD	87,000	\$ 250,560
1600	TIAN LUN GAS	0	\$ 0
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 04/01/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1610	COFCO MEAT	5,090,692	\$ 7,177,876
1617	NANFANG COMM	0	\$ 0
1618	MCC	34,559,936	\$ 64,627,080
1622	REDCO GROUP	15,532,493	\$ 67,411,020
1628	YUZHOU PPT	26,829,015	\$ 83,706,527
1636	CMRU	963,730	\$ 4,172,951
1638	KAISA GROUP	44,338,158	\$ 102,421,145
1658	PSBC	1,032,769,315	\$ 4,327,303,430
1660	ZHAOBANGJI PPT	0	\$ 0
1666	TONG REN TANG	17,829,813	\$ 178,119,832
1668	CHINASOUTHCITY	19,172,800	\$ 20,898,352
1680	MACAU LEGEND	7,515,000	\$ 10,445,850
1681	CONSUN PHARMA	5,175,435	\$ 24,324,545
1686	SUNEVISION	3,592,661	\$ 16,598,094
1697	SDITC	0	\$ 0
1700	SPRINGLAND	813,000	\$ 1,219,500
1717	AUSNUTRIA	9,408,316	\$ 76,771,859
1727	HEBEI CONS	620,000	\$ 2,368,400
1728	ZHENGTONGAUTO	179,699,180	\$ 722,390,704
1766	CRRC	72,623,236	\$ 565,008,776
1773	TIANLI EDU	0	\$ 0
1776	GF SEC	36,239,566	\$ 391,387,313
1777	FANTASIA	18,133,500	\$ 16,320,150
1778	COLOUR LIFE	15,281,543	\$ 60,820,541
1787	SD GOLD	0	\$ 0
1788	GUOTAI JUNAN I	208,155,739	\$ 264,357,789
1789	AK MEDICAL	6,167,118	\$ 25,840,224
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	39,529,462	\$ 288,565,073
1803	BJ SPORTS & ENT	4,240,000	\$ 11,405,600
1806	HUIFU PAYMENT	472,400	\$ 1,431,372
1810	XIAOMI-W	325,329,618	\$ 3,890,942,231
1811	CGN NEW ENERGY	0	\$ 0
1812	CHENMING PAPER	6,732,750	\$ 29,152,808
1813	KWG GROUP	52,847,087	\$ 351,433,129
1816	CGN POWER	483,161,937	\$ 884,186,345
1818	ZHAOJIN MINING	66,312,352	\$ 542,435,039
1828	DCH HOLDINGS	1,659,000	\$ 4,429,530
1829	CMEC	7,213,706	\$ 27,051,398
1833	PA GOODDOCTOR	22,943,200	\$ 611,436,280

## Aggregated Reportable Short Positions of Specified Shares as of 04/01/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1836	STELLA HOLDINGS	5,586,121	\$ 55,693,626
1848	CALC	1,826,000	\$ 14,334,100
1862	JINGRUI HLDGS	4,476,000	\$ 9,712,920
1866	CHINA XLX FERT	0	\$ 0
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	12,839,342	\$ 190,535,835
1883	CITIC TELECOM	2,481,259	\$ 6,947,525
1888	KB LAMINATES	18,336,855	\$ 113,505,132
1898	CHINA COAL	32,701,757	\$ 100,067,376
1899	XINGDA INT'L	15,184,312	\$ 34,012,859
1910	SAMSONITE	31,475,239	\$ 681,438,924
1913	PRADA	6,816,085	\$ 162,563,627
1916	JIANGXI BANK	0	\$ 0
1918	SUNAC	187,380,259	\$ 4,515,864,242
1919	COSCO SHIP HOLD	152,635,395	\$ 454,853,477
1928	SANDS CHINA LTD	121,076,035	\$ 4,056,047,173
1929	CHOW TAI FOOK	18,648,350	\$ 116,365,704
1958	BAIC MOTOR	93,105,944	\$ 385,458,608
1963	BCQ	4,448,309	\$ 19,750,492
1966	CHINA SCE GROUP	9,039,673	\$ 25,582,275
1970	IMAX CHINA	7,215,269	\$ 142,718,021
1972	SWIREPROPERTIES	6,419,344	\$ 179,741,632
1980	TIANGE	3,771,596	\$ 11,390,220
1986	TSAKER CHEM	365,000	\$ 1,635,200
1988	MINSHENG BANK	253,860,497	\$ 1,373,385,289
1997	WHARF REIC	16,799,189	\$ 771,922,735
1999	MAN WAH HLDGS	95,657,069	\$ 278,362,071
2001	NEW HIGHER EDU	15,618,091	\$ 52,632,967
2005	SSY GROUP	31,644,029	\$ 171,194,197
2006	JINJIANG HOTELS	3,540,000	\$ 6,690,600
2007	COUNTRY GARDEN	478,682,128	\$ 4,336,860,080
2009	BBMG	208,038,078	\$ 482,648,341
2014	OZNER WATER	43,021,000	\$ 75,286,750
2016	CZBANK	0	\$ 0
2018	AAC TECH	75,146,537	\$ 3,062,221,383
2020	ANTA SPORTS	46,574,001	\$ 1,616,117,835
2038	FIH	193,377,849	\$ 156,636,058
2039	CIMC	833,629	\$ 6,160,518
2066	SHENGJINGBANK	0	\$ 0
2083	NATURE HOME	328,000	\$ 485,440
2098	ZALL SMARTCOM	4,867,000	\$ 19,906,030

## Aggregated Reportable Short Positions of Specified Shares as of 04/01/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2099	CHINAGOLDINTL	399,800	\$ 3,678,160
2118	TIAN SHAN DEV	0	\$ 0
2128	CHINA LESSO	683,411	\$ 2,562,791
2136	LIFESTYLE CHI	13,386,106	\$ 35,205,459
2139	BANK OF GANSU	0	\$ 0
2186	LUYE PHARMA	170,122,596	\$ 891,442,403
2196	FOSUN PHARMA	34,630,663	\$ 723,780,857
2198	CHINA SANJIANG	2,549,200	\$ 4,716,020
2199	REGINA MIRACLE	12,509,817	\$ 78,061,258
2202	CHINA VANKE	54,768,805	\$ 1,500,665,257
2208	GOLDWIND	9,483,379	\$ 61,641,964
2222	NVC LIGHTING	0	\$ 0
2232	CRYSTAL INTL	7,587,722	\$ 28,681,589
2233	WESTCHINACEMENT	11,528,442	\$ 11,413,158
2238	GAC GROUP	158,213,615	\$ 1,178,691,432
2255	HAICHANG HLDG	3,938,000	\$ 5,946,380
2268	YOUYUAN HLDGS	14,684,919	\$ 29,222,989
2269	WUXI BIO	45,849,495	\$ 2,267,257,528
2280	HC GROUP	29,144,660	\$ 121,824,679
2282	MGM CHINA	113,713,732	\$ 1,439,615,847
2283	TK GROUP HLDG	0	\$ 0
2298	COSMO LADY	16,255,524	\$ 43,564,804
2299	BILLION IND	0	\$ 0
2303	HENGXING GOLD	0	\$ 0
2313	SHENZHOU INTL	10,788,541	\$ 946,155,046
2314	LEE & MAN PAPER	46,459,322	\$ 299,198,034
2318	PING AN	1,229,111,201	\$ 82,534,817,147
2319	MENGNU DAIRY	52,737,710	\$ 1,236,699,300
2322	NOBLE CENTURY	0	\$ 0
2328	PICC P&C	105,150,044	\$ 823,324,845
2329	GUORUI PPT	7,436,000	\$ 14,797,640
2331	LI NING	39,822,170	\$ 339,683,110
2333	GREATWALL MOTOR	658,415,371	\$ 2,857,522,710
2338	WEICHAJ POWER	171,789,867	\$ 1,492,853,944
2342	COMBA	55,896,841	\$ 81,609,388
2343	PACIFIC BASIN	121,904,969	\$ 177,981,255
2348	DAWNRAYS PHARMA	504,000	\$ 710,640
2356	DAHSING BANKING	1,355,482	\$ 18,299,007
2357	AVICHINA	100,469,262	\$ 492,299,384
2362	JINCHUAN INTL	28,097,995	\$ 17,701,737
2378	PRU	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 04/01/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2380	CHINA POWER	201,276,316	\$ 346,195,264
2382	SUNNY OPTICAL	53,928,925	\$ 3,316,628,888
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	11,308,268	\$ 75,991,561
2388	BOC HONG KONG	46,286,476	\$ 1,300,649,976
2389	BJ ENT M&H	25,513,383	\$ 6,097,699
2393	YESTAR HEALTH	0	\$ 0
2399	FORDOO	0	\$ 0
2588	BOC AVIATION	6,420,165	\$ 364,665,372
2600	CHALCO	266,397,623	\$ 644,682,248
2601	CPIC	118,796,346	\$ 2,946,149,381
2607	SH PHARMA	11,990,034	\$ 186,564,929
2608	SUNSHINE 100	0	\$ 0
2611	GTJA	12,140,119	\$ 191,813,880
2628	CHINA LIFE	110,231,527	\$ 1,807,797,043
2633	JACOBSON PHARMA	0	\$ 0
2638	HKELECTRIC-SS	124,305,245	\$ 994,441,960
2662	CAMSING INTL	2,135,767	\$ 18,986,969
2666	UNI MEDICAL	43,321,410	\$ 252,563,820
2669	CHINA OVS PPT	27,993,421	\$ 63,265,131
2678	TEXHONG TEXTILE	354,044	\$ 3,136,830
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	11,618,839	\$ 817,385,324
2689	ND PAPER	78,276,986	\$ 533,849,045
2698	WEIQIAO TEXTILE	0	\$ 0
2727	SH ELECTRIC	167,057,334	\$ 415,972,762
2768	JIAYUAN INTL	57,488,051	\$ 843,924,589
2777	R&F PROPERTIES	70,818,314	\$ 824,325,175
2778	CHAMPION REIT	13,217,457	\$ 70,977,744
2779	CH XINHUA EDU	335,000	\$ 572,850
2799	CHINA HUARONG	519,091,693	\$ 726,728,370
2800	TRACKER FUND	29,801,500	\$ 768,878,700
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PREMIA BEDROCK	0	\$ 0
2805	VANGUARDASXJP	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMUNGCDRAGON	0	\$ 0
2813	CAM CTPB BOND	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 04/01/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	109,361,279	\$ 1,259,841,934
2823	ISHARES A50	42,708,000	\$ 489,433,680
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	2,005,750	\$ 203,182,475
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	23,686,799	\$ 42,636,238
2866	COSCO SHIP DEV	88,283,907	\$ 69,744,287
2868	BJ CAPITAL LAND	9,405,885	\$ 26,430,537
2869	GREENTOWN SER	50,173,176	\$ 297,526,934
2877	SHINeway PHARM	6,279,644	\$ 48,918,427
2880	DALIAN PORT	7,413,600	\$ 7,413,600
2883	CHINA OILFIELD	157,589,523	\$ 1,005,421,157
2888	STANCHART	1,139,068	\$ 66,806,338
2899	ZIJIN MINING	165,972,563	\$ 466,382,902
3007	TRFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0
3023	PA AI&ROBOTICS	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X TRMSCIRUSSIA	0	\$ 0
3036	TRMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0



## Aggregated Reportable Short Positions of Specified Shares as of 04/01/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3043	TRMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3065	TRMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3079	CICC CGB	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VANGUARDAXJHY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3093	CICCSELECT100	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VANGUARDDDVEUR	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3126	VANGUARDJAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0

### Aggregated Reportable Short Positions of Specified Shares as of 04/01/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3140	VANGUARDSP500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARES DAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3150	HGI CSI500	0	\$ 0
3155	ISHARESESE50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3163	PA MULTI-FACTOR	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3166	PA QLTY FACTOR	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0
3169	VANGUARDCHINA	0	\$ 0
3170	ISHARES K200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3173	PREMIA NEW ECON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3181	PREMIA ASIATEC	0	\$ 0
3182	WISE NEW ECON50	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3188	CAM CSI300	22,001,200	\$ 777,742,420
3197	CAM MSCI AINC	0	\$ 0
3198	PING AN 5HANDL	0	\$ 0
3199	CSOP 5Y CGBOND	0	\$ 0
3301	RONSHINECHINA	6,938,138	\$ 65,357,260
3306	JNBY	3,829,500	\$ 38,371,590
3308	GOLDEN EAGLE	1,187,000	\$ 9,852,100
3309	C-MER EYE	4,472,000	\$ 21,063,120
3311	CHINA STATE CON	167,367,341	\$ 1,019,267,107
3319	A-LIVING	7,290,182	\$ 73,193,427
3320	CHINARES PHARMA	21,882,370	\$ 217,291,934
3323	CNBM	103,389,675	\$ 527,287,343
3328	BANKCOMM	101,824,921	\$ 616,040,772
3331	VINDA INT'L	2,190,382	\$ 27,686,428

## Aggregated Reportable Short Positions of Specified Shares as of 04/01/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3333	EVERGRANDE	379,081,354	\$ 8,453,514,194
3337	ANTON OILFIELD	47,561,845	\$ 39,951,950
3339	LONKING	14,380,291	\$ 27,322,553
3358	BESTWAY GLOBAL	0	\$ 0
3360	FE HORIZON	32,487,200	\$ 249,176,824
3369	QHD PORT	469,500	\$ 821,625
3377	SINO-OCEAN GP	32,146,449	\$ 107,047,675
3380	LOGAN PPT	40,928,784	\$ 409,287,840
3382	TIANJINPORT DEV	1,292,000	\$ 1,085,280
3383	AGILE GROUP	74,492,325	\$ 633,929,686
3393	WASION HOLDINGS	3,972,671	\$ 15,096,150
3396	LEGENDHOLDING	5,097,452	\$ 103,478,276
3606	FUYAO GLASS	20,967,317	\$ 499,022,145
3613	TONGRENTANGCM	979,000	\$ 11,532,620
3618	CQRC BANK	49,140,914	\$ 205,409,021
3633	ZHONGYU GAS	5,558,299	\$ 35,072,867
3639	YIDA CHINA	0	\$ 0
3669	YONGDA AUTO	49,521,265	\$ 215,912,715
3690	MEITUAN-W	118,310,633	\$ 5,205,667,852
3698	HUIZHANG BANK	0	\$ 0
3700	INKE	477,000	\$ 934,920
3709	KORADIOR	0	\$ 0
3799	DALI FOODS	104,679,518	\$ 570,503,373
3800	GCL-POLY ENERGY	938,045,639	\$ 436,191,222
3808	SINOTRUK	180,417,802	\$ 1,984,595,822
3813	POU SHENG INT'L	37,345,600	\$ 55,644,944
3818	CHINA DONGXIANG	49,359,726	\$ 57,750,879
3836	HARMONY AUTO	44,808,500	\$ 121,879,120
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	26,153,979	\$ 133,646,833
3888	KINGSOFT	91,591,416	\$ 1,042,310,314
3898	CRRC TIMES ELEC	23,176,528	\$ 997,749,530
3899	CIMC ENRIC	7,582,000	\$ 41,170,260
3900	GREENTOWN CHINA	17,650,162	\$ 101,664,933
3908	CICC	83,144,534	\$ 1,258,808,245
3918	NAGACORP	4,308,000	\$ 34,894,800
3933	UNITED LAB	135,192,420	\$ 570,512,012
3948	YITAI COAL	0	\$ 0
3958	DFZQ	8,125,983	\$ 40,629,915
3968	CM BANK	172,269,593	\$ 4,866,616,002
3969	CHINA CRSC	48,878,227	\$ 275,673,200

## Aggregated Reportable Short Positions of Specified Shares as of 04/01/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3983	CHINA BLUECHEM	21,317,169	\$ 50,521,691
3988	BANK OF CHINA	187,701,087	\$ 632,552,663
3993	CMOC	278,182,537	\$ 770,565,627
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	61,121,399	\$ 84,958,745
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	120,399,686	\$ 1,663,923,661
6058	CISI FIN	0	\$ 0
6060	ZA ONLINE	32,838,266	\$ 791,402,211
6066	CSC	9,612,000	\$ 43,638,480
6068	WISDOM EDU INTL	4,996,000	\$ 13,788,960
6088	FIT HON TENG	5,025,000	\$ 17,185,500
6098	CG SERVICES	32,584,337	\$ 388,405,297
6099	CMSC	6,436,000	\$ 67,835,440
6100	TALENT LIEPIN	2,927,600	\$ 79,484,340
6116	LA CHAPELLE	360,000	\$ 2,368,800
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6158	ZHENRO PPT	0	\$ 0
6168	CHINA U-TON	0	\$ 0
6169	YUHUA EDU	22,544,000	\$ 69,886,400
6178	EB SECURITIES	1,668,200	\$ 11,827,538
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	3,663,021	\$ 18,278,475
6808	SUNART RETAIL	157,844,666	\$ 1,209,090,142
6818	CEB BANK	84,503,681	\$ 285,622,442
6823	HKT-SS	12,860,812	\$ 147,642,122
6826	HAOHAI BIOTEC	0	\$ 0
6828	BG BLUE SKY	132,692,756	\$ 27,202,015
6837	HAITONG SEC	129,342,291	\$ 1,004,989,601
6858	HONMAGOLF	1,347,000	\$ 11,894,010
6868	TENFU	0	\$ 0
6869	YOFC	20,618,553	\$ 426,804,047
6878	DIFFER GROUP	0	\$ 0
6881	CGS	313,532,008	\$ 1,153,797,789

## Aggregated Reportable Short Positions of Specified Shares as of 04/01/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6886	HTSC	55,442,471	\$ 708,554,779
6889	DYNAM JAPAN	0	\$ 0
7200	FL2 CSOP HSI	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7228	FL2SAMSUNGHSCEI	7,200,000	\$ 41,904,000
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	18,521,400	\$ 110,757,972
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	6,300,000	\$ 31,500,000
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7328	FISAMSUNGHSCEI	6,600,000	\$ 35,310,000
7331	FICAMDXNNDQ100	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8032	VIVA CHINA	6,350,976	\$ 5,207,800
8047	CHINAOCEAN FISH	0	\$ 0
8057	MADISON HLDG	0	\$ 0
8078	HMV DIGIT CHINA	0	\$ 0
8083	CHINA YOUZAN	201,417,820	\$ 116,822,336
8137	HONBRIDGE	17,136,624	\$ 17,136,624
8207	CHONGSING HLDGS	15,336,000	\$ 1,548,936
8265	CHINA TRUSTFUL	0	\$ 0
8279	AGTECH HOLDINGS	4,700,000	\$ 2,115,000
8283	ZHENG LI HLDG	765,000	\$ 4,750,650
8293	SINGASIA HLDG	0	\$ 0
8469	SHENGYE CAPITAL	0	\$ 0
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARES MSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9085	VANGUARDAXJHY-U	0	\$ 0
9101	VANGUARD DVEUR-U	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 04/01/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9115	ISHARESHSI-U	0	\$ 0
9126	VANGUARDJAPAN-U	0	\$ 0
9140	VANGUARDSP500-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESES50-U	0	\$ 0
9167	ICBCCSOPCHINA-U	0	\$ 0
9169	VANGUARDCHINA-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9181	PREMIAASIATEC-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9199	CSOP 5YCGBOND-U	0	\$ 0
9805	VANGUARDASXJP-U	0	\$ 0
9810	PREMIAEMASEAN-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
82805	VANGUARDASXJP-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82813	CAM CTPB BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HSCEI ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83079	CICC CGB-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83085	VANGUARDAXJHY-R	0	\$ 0
83093	CICCSELECT100-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 04/01/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83100	EFUND CSI100-R	0	\$ 0
83101	VANGUARDDDVEUR-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83126	VANGUARDJAPAN-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83140	VANGUARDSP500-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESE50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83169	VANGUARDCHINA-R	0	\$ 0
83170	ISHARESKS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP 5YCGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0