

Aggregated Reportable Short Positions of Specified Shares as of 11/01/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	8,917,162	\$ 694,201,062
2	CLP HOLDINGS	20,727,658	\$ 1,886,216,878
3	HK & CHINA GAS	158,011,633	\$ 2,632,473,806
4	WHARF HOLDINGS	31,828,876	\$ 711,375,379
5	HSBC HOLDINGS	107,758,421	\$ 7,047,400,733
6	POWER ASSETS	19,932,884	\$ 1,089,332,111
8	PCCW	45,212,086	\$ 212,044,683
10	HANG LUNG GROUP	5,188,922	\$ 108,967,362
11	HANG SENG BANK	8,131,836	\$ 1,423,071,300
12	HENDERSON LAND	18,646,088	\$ 790,594,131
14	HYSAN DEV	2,934,388	\$ 112,533,780
16	SHK PPT	17,250,400	\$ 2,104,548,800
17	NEW WORLD DEV	90,309,249	\$ 1,027,719,254
19	SWIRE PACIFIC A	17,449,760	\$ 1,525,109,024
20	WHEELLOCK	4,133,076	\$ 194,874,533
23	BANK OF E ASIA	18,956,121	\$ 476,746,443
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	84,012,251	\$ 4,036,788,661
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	6,566,000	\$ 3,283,000
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	5,934,285	\$ 20,057,883
41	GREAT EAGLE H	160,768	\$ 5,433,958
43	C.P. POKPHAND	0	\$ 0
45	HK&S HOTELS	3,165,683	\$ 34,885,827
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	248,000	\$ 6,448,000
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	1,812,418	\$ 62,075,317
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	0	\$ 0
66	MTR CORPORATION	40,531,630	\$ 1,702,328,460
69	SHANGRI-LA ASIA	16,462,133	\$ 169,889,213
71	MIRAMAR HOTEL	0	\$ 0
75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0
81	CH OVS G OCEANS	24,931,713	\$ 65,570,405

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83	SINO LAND	32,221,492	\$ 467,856,064
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	0	\$ 0
95	LVGEM CHINA	3,550,286	\$ 7,704,121
101	HANG LUNG PPT	28,305,030	\$ 443,822,870
105	ASSO INT HOTELS	0	\$ 0
106	LANDSEA GREEN	0	\$ 0
107	SICHUAN EXPRESS	2,760,000	\$ 6,651,600
116	CHOW SANG SANG	0	\$ 0
119	POLY PROPERTY	4,359,319	\$ 11,072,670
120	COSMOPOL INT'L	0	\$ 0
123	YUEXIU PROPERTY	51,389,234	\$ 75,028,282
127	CHINESE EST H	5,614,713	\$ 46,882,854
129	ASIA STANDARD	0	\$ 0
133	CHINA MERCHANTS	76,000	\$ 722,000
135	KUNLUN ENERGY	389,439,126	\$ 3,325,810,136
136	HENGTEN NET	1,259,726,749	\$ 297,295,513
142	FIRST PACIFIC	26,068,468	\$ 87,329,368
144	CHINA MER PORT	54,588,751	\$ 819,923,040
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD HLDG	7,295,983	\$ 180,210,780
151	WANT WANT CHINA	461,858,377	\$ 2,835,810,435
152	SHENZHEN INT'L	13,621,949	\$ 206,508,747
158	MELBOURNE ENT	0	\$ 0
161	AVIC IHL	2,702,000	\$ 11,888,800
163	EMPEROR INT'L	0	\$ 0
165	CHINA EB LTD	5,567,995	\$ 75,724,732
168	TSINGTAO BREW	20,203,052	\$ 678,822,547
171	SILVER GRANT	29,422,000	\$ 43,544,560
173	K. WAH INT'L	23,676,247	\$ 93,284,413
175	GEELY AUTO	212,791,849	\$ 2,361,989,524
177	JIANGSU EXPRESS	6,376,770	\$ 72,185,036
178	SA SA INT'L	67,489,416	\$ 179,521,847
179	JOHNSON ELEC H	2,758,388	\$ 44,134,208
182	CONCORD NE	16,370,494	\$ 5,156,706
189	DONGYUE GROUP	8,366,396	\$ 37,481,454
194	LIU CHONG HING	0	\$ 0
196	HONGHUA GROUP	57,211,899	\$ 25,459,295
198	SMI HOLDINGS	27,678,039	\$ 64,766,611
200	MELCO INT'L DEV	26,741,474	\$ 420,375,971
207	JOY CITY PPT	0	\$ 0

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
215	HUTCHTEL HK	23,655,259	\$ 73,567,855
220	U-PRESID CHINA	51,149,322	\$ 330,424,620
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	1,671,159	\$ 2,289,488
241	ALI HEALTH	204,747,616	\$ 1,379,998,932
242	SHUN TAK HOLD	19,915,894	\$ 51,383,007
245	CN MINSHENG FIN	7,685,496	\$ 1,490,986
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	414,000	\$ 3,684,600
256	CITYCHAMP	3,230,000	\$ 5,297,200
257	CHINA EB INT'L	209,959,967	\$ 1,625,090,145
258	TOMSON GROUP	0	\$ 0
261	CCT LAND	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	77,315,905	\$ 958,717,222
268	KINGDEE INT'L	242,734,537	\$ 1,643,312,815
270	GUANGDONG INV	3,616,930	\$ 54,760,320
272	SHUI ON LAND	50,359,880	\$ 89,136,988
273	MASON GP HOLD	36,756,884	\$ 4,594,611
285	BYD ELECTRONIC	59,548,349	\$ 565,709,316
286	CS HEALTH	9,230,000	\$ 6,091,800
288	WH GROUP	131,062,919	\$ 858,462,119
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	47,937,797	\$ 1,253,573,392
293	CATHAY PAC AIR	16,115,829	\$ 187,588,250
297	SINOFERT	78,530,281	\$ 69,106,647
303	VTECH HOLDINGS	2,197,019	\$ 150,605,652
308	CHINA TRAVEL HK	50,174,000	\$ 107,874,100
315	SMARTONE TELE	3,824,612	\$ 32,317,971
317	COMEC	5,366,578	\$ 29,247,850
321	TEXWINCA HOLD	6,620,261	\$ 18,735,339
322	TINGYI	104,095,473	\$ 1,045,118,549
323	MAANSHAN IRON	222,070,483	\$ 757,260,347
327	PAX GLOBAL	36,618,782	\$ 118,278,666
329	OCI INTL	0	\$ 0
330	ESPRIT HOLDINGS	121,324,868	\$ 190,480,043
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	6,520,185	\$ 20,864,592
337	GREENLAND HK	1,867,000	\$ 3,584,640
338	SHANGHAI PEICHEM	85,401,691	\$ 301,467,969
341	CAFE DE CORAL H	789,109	\$ 15,766,398

Aggregated Reportable Short Positions of Specified Shares as of 11/01/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
342	NEWOCEAN ENERGY	40,811,220	\$ 108,149,733
345	VITASOY INT'L	2,697,926	\$ 81,342,469
347	ANGANG STEEL	124,950,585	\$ 687,228,218
354	CHINASOFT INT'L	112,781,718	\$ 417,292,357
358	JIANGXI COPPER	42,797,249	\$ 404,434,003
363	SHANGHAI IND H	1,948,235	\$ 30,626,254
365	UNIS HOLDINGS	0	\$ 0
367	CHUANG'S INT'L	0	\$ 0
368	SINOTRANS SHIP	11,395,391	\$ 30,653,602
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	370,614,699	\$ 1,545,463,295
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
378	FDG KINETIC	5,261,419	\$ 536,665
383	CHINA MED&HCARE	3,560,000	\$ 683,520
384	CHINA GAS HOLD	113,736,646	\$ 2,968,526,461
386	SINOPEC CORP	387,169,602	\$ 2,377,221,356
388	HKEX	25,033,067	\$ 5,882,770,745
390	CHINA RAILWAY	129,291,556	\$ 968,393,754
392	BEIJING ENT	5,330,223	\$ 227,867,033
400	COGOBUY	16,511,699	\$ 46,562,991
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	75,658,737	\$ 217,140,575
412	CHINA SDHS FIN	0	\$ 0
416	BANKOFJINZHOU	0	\$ 0
419	HUAYI TENCENT	77,828,194	\$ 14,865,185
425	MINTH GROUP	80,537,708	\$ 2,142,303,033
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	42,799,867	\$ 23,967,926
440	DAH SING	68,400	\$ 2,612,880
445	CIMC-TIANDA	0	\$ 0
451	GCL NEWENERGY	148,068,000	\$ 42,939,720
460	SIHUAN PHARM	209,558,191	\$ 322,719,614
467	UNITEDENERGY GP	14,289,562	\$ 16,718,788
468	GAPACK	724,000	\$ 3,431,760
472	NEW SILKROAD	0	\$ 0
480	HKR INT'L	0	\$ 0
486	RUSAL	0	\$ 0
488	LAI SUN DEV	153,812	\$ 1,999,556
489	DONGFENG GROUP	43,854,958	\$ 330,227,834
493	GOME RETAIL	1,575,914,353	\$ 1,024,344,329

Aggregated Reportable Short Positions of Specified Shares as of 11/01/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
494	LI & FUNG	257,701,432	\$ 353,050,962
496	KASEN	2,380,000	\$ 7,663,600
497	CSI PROPERTIES	6,280,000	\$ 2,103,800
500	FRONTIER SER	0	\$ 0
506	CHINA FOODS	16,664,000	\$ 49,325,440
508	DINGYI GP INV	36,672,680	\$ 19,436,520
511	TVB	9,933,703	\$ 150,594,937
512	CHINAGRANDPHARM	9,024,000	\$ 32,035,200
517	COSCO SHIP INTL	484,000	\$ 1,311,640
519	APPLIED DEV	784,454	\$ 407,916
520	XIABUXIABU	29,649,913	\$ 343,938,991
522	ASM PACIFIC	19,135,280	\$ 1,438,016,292
525	GUANGSHEN RAIL	10,482,440	\$ 31,761,793
526	LISI GP HOLD	0	\$ 0
530	GOLDIN FIN HOLD	0	\$ 0
535	GEMDALE PPT	90,446,000	\$ 68,738,960
538	AJISEN (CHINA)	9,139,120	\$ 20,563,020
546	FUFENG GROUP	73,137,436	\$ 242,084,913
547	DIGITAL DOMAIN	369,635,340	\$ 37,333,169
548	SHENZHENEXPRESS	3,258,000	\$ 28,181,700
551	YUE YUEN IND	18,562,084	\$ 464,052,100
552	CHINACOMSERVICE	19,961,439	\$ 135,538,171
563	SH IND URBAN	12,696,000	\$ 16,504,800
570	TRAD CHI MED	35,718,662	\$ 170,735,204
576	ZHEJIANGEXPRESS	7,057,511	\$ 51,519,830
579	JNCEC	8,648,000	\$ 15,306,960
580	SUN.KING ELEC	2,104,000	\$ 2,335,440
581	CHINA ORIENTAL	30,728,770	\$ 150,878,261
586	CONCH VENTURE	24,219,681	\$ 569,162,504
588	BEIJING N STAR	848,000	\$ 1,797,760
590	LUK FOOK HOLD	11,403,719	\$ 252,592,376
593	DREAMEAST	0	\$ 0
596	INSPUR INT'L	0	\$ 0
598	SINOTRANS	25,270,702	\$ 83,646,024
603	CHINA OIL & GAS	11,299,936	\$ 5,875,967
604	SHENZHEN INVEST	190,097,904	\$ 477,145,739
605	C FIN SERVICES	0	\$ 0
606	CHINA AGRI	29,302,069	\$ 82,338,814
607	FULLSHARE	935,457,035	\$ 1,244,157,857
622	ENERCHINA HOLD	9,578,861	\$ 4,549,959
627	FULLSUN INT'L	0	\$ 0

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631	SANY INT'L	1,780,000	\$ 4,361,000
636	KERRY LOG NET	3,430,683	\$ 40,344,832
639	SHOUGANG RES	29,343,729	\$ 47,830,278
650	IDG ENERGY INV	0	\$ 0
656	FOSUN INTL	43,612,500	\$ 466,653,750
658	C TRANSMISSION	29,779,576	\$ 180,464,231
659	NWS HOLDINGS	1,053,098	\$ 17,439,303
662	ASIA FINANCIAL	0	\$ 0
665	HAITONG INT'L	133,633,068	\$ 350,118,638
669	TECHTRONIC IND	19,714,594	\$ 906,871,324
670	CHINA EAST AIR	41,485,743	\$ 192,908,705
678	GENTING HK	2,211,000	\$ 3,449,160
680	NAN HAI CORP	76,083,120	\$ 13,086,297
683	KERRY PPT	10,880,153	\$ 302,468,253
686	PANDA GREEN	91,732,992	\$ 36,234,532
687	HKICIM GROUP	4,524,000	\$ 10,721,880
688	CHINA OVERSEAS	43,680,545	\$ 1,199,030,960
689	EPI (HOLDINGS)	6,375,000	\$ 2,199,375
694	BEIJING AIRPORT	45,682,544	\$ 309,727,648
696	TRAVELSKY TECH	16,740,837	\$ 329,794,489
697	SHOUGANG INT'L	11,802,661	\$ 2,336,927
698	TONGDA GROUP	162,892,864	\$ 127,056,434
699	CAR INC	58,504,843	\$ 345,763,622
700	TENCENT	98,018,345	\$ 32,463,675,864
707	ATV HOLDINGS	13,602,000	\$ 3,536,520
708	EVERG HEALTH	5,805,000	\$ 81,850,500
709	GIORDANO INT'L	8,378,970	\$ 31,337,348
710	BOE VARITRONIX	1,331,033	\$ 2,755,238
715	CHINA OCEANWIDE	0	\$ 0
716	SINGAMAS CONT	16,894,177	\$ 17,738,886
717	EMPEROR CAPITAL	10,494,974	\$ 3,725,716
721	C FIN INT INV	15,513,738	\$ 3,040,693
728	CHINA TELECOM	207,300,739	\$ 841,641,000
729	FDG EVEHICLES	417,228,647	\$ 24,616,490
732	TRULY INT'L	51,372,446	\$ 46,748,926
735	CP CLEAN ENERGY	618,000	\$ 1,563,540
743	ASIA CEMENT CH	0	\$ 0
746	L & M CHEMICAL	0	\$ 0
751	SKYWORTHDIGITAL	60,321,835	\$ 115,214,705
752	PICO FAR EAST	400,000	\$ 1,128,000
753	AIR CHINA	59,207,641	\$ 431,623,703

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754	HOPSON DEV HOLD	1,559,355	\$ 10,182,588
755	SHANGHAI ZENDAI	0	\$ 0
762	CHINA UNICOM	77,930,408	\$ 662,408,468
763	ZTE	31,332,880	\$ 500,699,422
772	CHINA LIT	33,356,596	\$ 1,235,861,882
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	4,846,525	\$ 64,846,505
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	436,256,136	\$ 157,052,209
788	CHINA TOWER	917,373,374	\$ 1,366,886,327
798	CEOVU	6,756,000	\$ 3,107,760
799	IGG	14,775,390	\$ 162,529,290
806	VALUE PARTNERS	31,021,319	\$ 164,723,204
807	SIICENVIRONMENT	2,960,800	\$ 4,885,320
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	1,756,364	\$ 8,992,584
813	SHIMAO PROPERTY	30,453,034	\$ 639,513,714
815	CHI SILVER GP	21,109,956	\$ 15,621,367
816	HUADIAN FUXIN	8,460,000	\$ 15,058,800
817	CHINA JINMAO	125,731,185	\$ 455,146,890
818	HI SUN TECH	11,461,918	\$ 12,722,729
819	TIANNENG POWER	51,565,736	\$ 348,584,375
823	LINK REIT	25,547,656	\$ 2,037,425,566
826	TIANGONG INT'L	31,634,400	\$ 51,247,728
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	4,830,000	\$ 14,345,100
836	CHINA RES POWER	25,192,421	\$ 399,551,797
839	CHINA EDU GROUP	20,464,458	\$ 198,505,243
853	MICROPORT	46,077,092	\$ 333,137,375
855	CHINA WATER	35,118,821	\$ 294,295,720
856	VSTPCS	1,640,000	\$ 5,198,800
857	PETROCHINA	206,025,487	\$ 1,042,488,964
860	WE SOLUTIONS	31,881,676	\$ 14,346,754
861	DC HOLDINGS	21,200,479	\$ 73,141,653
867	CMS	9,551,233	\$ 70,106,050
868	XINYI GLASS	57,134,138	\$ 493,638,952
874	BAIYUNSHAN PH	1,118,000	\$ 31,415,800
877	O-NET TECH GP	13,117,586	\$ 47,354,485
880	SJM HOLDINGS	110,602,464	\$ 770,899,174
881	ZHONGSHENG HLDG	67,099,191	\$ 994,410,011
882	TIANJIN DEV	2,786,000	\$ 7,661,500

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883	CNOOC	145,039,908	\$ 1,859,411,621
884	CIFI HOLD GP	77,255,746	\$ 319,838,788
895	DONGJIANG ENV	2,957,730	\$ 26,619,570
902	HUANENG POWER	168,567,539	\$ 868,122,826
906	COFCO PACKAGING	46,090,951	\$ 166,388,333
914	CONCH CEMENT	133,586,081	\$ 5,062,912,470
916	CHINA LONGYUAN	32,860,398	\$ 189,275,892
921	HISENSE HA	6,833,000	\$ 44,004,520
931	CHINA LNG	39,857,662	\$ 47,032,041
934	SINOPEC KANTONS	26,109,049	\$ 88,770,767
939	CCB	1,247,600,801	\$ 8,209,213,271
941	CHINA MOBILE	69,735,672	\$ 5,578,853,760
950	LEE'S PHARM	5,256,239	\$ 30,486,186
951	CHAOWEI POWER	20,531,034	\$ 70,832,067
952	TONGHAI FIN	0	\$ 0
956	CHINA SUNTIEN	1,677,000	\$ 3,354,000
958	HN RENEWABLES	54,509,022	\$ 118,829,668
960	LONGFOR GROUP	18,796,219	\$ 449,229,634
966	CHINA TAIPING	12,375,355	\$ 246,269,565
968	XINYI SOLAR	238,189,177	\$ 726,476,990
973	L'OCCITANE	6,538,322	\$ 99,644,027
976	CHIHO ENV	3,848,049	\$ 6,811,047
978	CHI MER LAND	1,324,000	\$ 1,522,600
981	SMIC	514,950,291	\$ 3,383,223,412
989	GROUND INTL DEV	19,520,000	\$ 7,417,600
991	DATANG POWER	52,899,017	\$ 100,508,132
992	LENOVO GROUP	452,990,851	\$ 2,464,270,229
995	ANHUIEXPRESSWAY	600,000	\$ 2,790,000
996	CARNIVAL GROUP	164,937,605	\$ 21,606,826
998	CITIC BANK	136,470,950	\$ 652,331,141
999	I.T	0	\$ 0
1003	HUANXI MEDIA	1,630,000	\$ 2,673,200
1004	C SMARTERENERGY	2,179,495	\$ 1,525,647
1030	FUTURE LAND	141,837,736	\$ 784,362,680
1031	KINGSTON FIN	5,126,000	\$ 9,893,180
1033	SINOPEC SSC	38,930,960	\$ 24,915,814
1038	CKI HOLDINGS	12,254,155	\$ 749,954,286
1044	HENGAN INT'L	57,547,506	\$ 3,285,962,593
1045	APT SATELLITE	0	\$ 0
1051	G-RESOURCES	50,322,461	\$ 2,667,090
1052	YUEXIUTRANSPORT	28,194,513	\$ 168,885,133

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1055	CHINA SOUTH AIR	118,399,266	\$ 635,804,058
1060	ALI PICTURES	1,002,339,034	\$ 1,303,040,744
1061	ESSEX BIO-TECH	1,013,481	\$ 4,449,182
1065	TIANJIN CAPITAL	7,098,000	\$ 21,648,900
1066	WEIGAO GROUP	26,462,540	\$ 162,215,370
1068	YURUN FOOD	11,285,025	\$ 7,335,266
1070	TCL ELECTRONICS	3,885,116	\$ 12,626,627
1071	HUADIAN POWER	63,944,481	\$ 231,479,021
1072	DONGFANG ELEC	6,702,278	\$ 34,248,641
1076	IMPERIAL PAC	68,800,000	\$ 3,715,200
1083	TOWNGAS CHINA	6,917,291	\$ 41,019,536
1086	GOODBABY INTL	32,678,927	\$ 77,122,268
1088	CHINA SHENHUA	30,752,230	\$ 570,761,389
1089	LEYOU TECH H	22,825,985	\$ 46,793,269
1093	CSPC PHARMA	176,369,815	\$ 2,127,019,969
1098	ROAD KING INFRA	0	\$ 0
1099	SINOPHARM	23,464,175	\$ 776,664,193
1106	SINO HAIJING	52,940,000	\$ 4,446,960
1107	MODERN LAND	590,000	\$ 607,700
1109	CHINA RES LAND	47,295,064	\$ 1,477,970,750
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	8,492,284	\$ 382,152,780
1113	CK ASSET	24,609,349	\$ 1,577,459,271
1114	BRILLIANCE CHI	361,889,191	\$ 2,381,230,877
1115	TIBET WATER	21,306,141	\$ 57,100,458
1117	CH MODERN D	18,593,813	\$ 13,759,422
1122	QINGLING MOTORS	578,000	\$ 1,156,000
1128	WYNN MACAU	68,140,959	\$ 1,155,670,665
1129	WATER INDUSTRY	7,170,949	\$ 12,047,194
1131	AGRITRADE RES	83,285,000	\$ 104,106,250
1133	HARBIN ELECTRIC	16,394,231	\$ 65,412,982
1138	COSCO SHIP ENGY	73,659,960	\$ 296,849,639
1140	OP FINANCIAL	8,680,000	\$ 21,179,200
1141	CMBC CAPITAL	408,989,319	\$ 132,921,529
1157	ZOOMLION	20,971,054	\$ 61,445,188
1166	SOLARTECH INT'L	16,960,000	\$ 1,322,880
1168	SINOLINK HOLD	17,078,000	\$ 8,709,780
1169	HAIER ELEC	24,514,727	\$ 503,777,640
1171	YANZHOU COAL	42,484,920	\$ 270,204,091
1176	ZHUGUANG HOLD	11,124,000	\$ 13,905,000
1177	SINO BIOPHARM	219,483,722	\$ 1,196,186,285

Aggregated Reportable Short Positions of Specified Shares as of 11/01/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1186	CHINA RAIL CONS	188,953,827	\$ 2,112,503,786
1188	HYBRID KINETIC	15,676,501	\$ 909,237
1193	CHINA RES GAS	36,828,076	\$ 1,143,511,760
1194	MUNSUN CAPITAL	0	\$ 0
1196	REALORD GROUP	398,000	\$ 2,001,940
1199	COSCO SHIP PORT	31,870,640	\$ 249,547,111
1205	CITIC RESOURCES	29,522,837	\$ 18,894,616
1208	MMG	102,428,539	\$ 329,819,896
1211	BYD COMPANY	127,261,727	\$ 6,044,932,033
1212	LIFESTYLE INT'L	11,573,865	\$ 138,423,425
1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1223	SYMPHONY HOLD	1,980,000	\$ 2,079,000
1224	C C LAND	6,049,199	\$ 11,070,034
1230	YASHILI INT'L	11,071,924	\$ 14,836,378
1233	TIMES CHINA	14,070,000	\$ 123,393,900
1234	CHINA LILANG	673,254	\$ 4,436,744
1238	POWERLONG	2,264,000	\$ 7,222,160
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	159,491,446	\$ 18,979,482
1252	CHINA TIANRUI	0	\$ 0
1257	CEB GREENTECH	14,663,990	\$ 85,344,422
1258	CHINFMINING	0	\$ 0
1266	XIWANG STEEL	4,079,000	\$ 5,955,340
1270	LANGHAM-SS	600,000	\$ 1,794,000
1282	CHINA GOLDJOY	68,484,320	\$ 29,790,679
1288	ABC	237,672,702	\$ 829,477,730
1293	GRAND BAOXIN	53,671,572	\$ 126,664,910
1297	SINOSOFT TECH	5,912,035	\$ 12,533,514
1299	AIA	131,269,862	\$ 8,834,461,713
1302	LIFETECH SCI	29,892,090	\$ 43,941,372
1308	SITC	2,442,042	\$ 17,607,123
1310	HKBN	2,866,500	\$ 32,678,100
1313	CHINARES CEMENT	94,413,908	\$ 666,562,190
1316	NEXTEER	20,024,635	\$ 217,467,536
1317	MAPLELEAF EDU	55,077,302	\$ 180,102,778
1330	DYNAGREEN ENV	12,738,100	\$ 41,398,825
1333	CHINA ZHONGWANG	105,901,400	\$ 382,304,054
1336	NCI	14,064,903	\$ 400,849,736
1337	RAZER	121,493,048	\$ 129,997,561
1339	PICC GROUP	137,491,298	\$ 428,972,850

Aggregated Reportable Short Positions of Specified Shares as of 11/01/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1347	HUA HONG SEMI	32,271,570	\$ 475,037,510
1359	CHINA CINDA	293,058,285	\$ 603,700,067
1360	MEGAEXPO HLDG	765,000	\$ 2,830,500
1361	361 DEGREES	18,490,000	\$ 34,021,600
1363	CTEG	79,757,774	\$ 25,522,488
1368	XTEP INT'L	6,159,690	\$ 29,381,721
1375	CC SECURITIES	15,988,583	\$ 27,660,249
1378	CHINAHONGQIAO	79,782,810	\$ 380,564,004
1381	CANVEST ENV	18,855,671	\$ 76,931,138
1382	PACIFICTEXTILES	3,372,769	\$ 22,901,102
1383	SUNCITY GROUP	3,910,000	\$ 4,457,400
1385	SHANGHAI FUDAN	355,425	\$ 2,576,831
1387	RENHE COMM	76,548,099	\$ 22,198,949
1398	ICBC	525,619,384	\$ 3,001,286,683
1426	SPRING REIT	0	\$ 0
1448	FU SHOU YUAN	16,901,899	\$ 98,031,014
1458	ZHOU HEI YA	45,781,765	\$ 159,778,360
1462	GOLD-FIN HLDG	7,600,000	\$ 2,850,000
1475	NISSIN FOODS	0	\$ 0
1478	Q TECH	16,415,540	\$ 76,332,261
1508	CHINA RE	73,591,758	\$ 117,746,813
1513	LIVZON PHARMA	1,174,008	\$ 26,415,180
1515	CR MEDICAL	17,259,302	\$ 90,093,556
1518	NC HEALTHCARE	0	\$ 0
1528	RS MACALLINE	1,259,600	\$ 8,880,180
1530	3SBIO	57,784,635	\$ 637,942,370
1548	GENSCRIPT BIO	84,424,258	\$ 943,863,204
1551	GRCB	0	\$ 0
1558	HEC PHARM	5,856,400	\$ 190,040,180
1566	CHINA ANIMATION	5,738,274	\$ 14,517,833
1568	SUNDART HLDGS	0	\$ 0
1569	MINSHENG EDU	0	\$ 0
1571	XIN POINT HOLD	0	\$ 0
1573	SOUTHERN ENERGY	0	\$ 0
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	28,819,950	\$ 592,249,973
1585	YADEA	14,810,782	\$ 43,247,483
1599	UCD	173,000	\$ 553,600
1600	TIAN LUN GAS	0	\$ 0
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 11/01/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1610	COFCO MEAT	4,417,692	\$ 6,538,184
1617	NANFANG COMM	0	\$ 0
1618	MCC	31,446,936	\$ 60,692,586
1622	REDCO GROUP	13,199,957	\$ 54,251,823
1628	YUZHOU PPT	24,054,933	\$ 80,584,026
1636	CMRU	963,730	\$ 4,163,314
1638	KAISA GROUP	48,155,745	\$ 112,202,886
1658	PSBC	984,729,507	\$ 4,194,947,700
1660	ZHAOBANGJI PPT	0	\$ 0
1666	TONG REN TANG	18,203,948	\$ 182,039,480
1668	CHINASOUTHCITY	15,184,000	\$ 17,157,920
1680	MACAU LEGEND	7,107,000	\$ 9,878,730
1681	CONSUN PHARMA	10,840,214	\$ 55,501,896
1686	SUNEVISION	3,457,661	\$ 16,251,007
1697	SDITC	0	\$ 0
1700	SPRINGLAND	786,000	\$ 1,249,740
1717	AUSNUTRIA	9,344,316	\$ 73,072,551
1727	HEBEI CONS	667,500	\$ 2,563,200
1728	ZHENGTONGAUTO	184,773,289	\$ 763,113,684
1766	CRRC	81,942,164	\$ 650,620,782
1773	TIANLI EDU	0	\$ 0
1776	GF SEC	38,268,214	\$ 426,307,904
1777	FANTASIA	28,996,500	\$ 27,836,640
1778	COLOUR LIFE	15,200,932	\$ 64,603,961
1787	SD GOLD	0	\$ 0
1788	GUOTAI JUNAN I	207,826,739	\$ 274,331,295
1789	AK MEDICAL	5,929,118	\$ 24,131,510
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	38,092,617	\$ 295,979,634
1803	BJ SPORTS & ENT	3,942,500	\$ 10,447,625
1806	HUIFU PAYMENT	485,600	\$ 1,510,216
1810	XIAOMI-W	315,190,740	\$ 3,259,072,252
1811	CGN NEW ENERGY	0	\$ 0
1812	CHENMING PAPER	6,215,000	\$ 28,216,100
1813	KWG GROUP	54,193,354	\$ 385,856,680
1816	CGN POWER	459,804,832	\$ 905,815,519
1818	ZHAOJIN MINING	64,927,525	\$ 503,837,594
1828	DCH HOLDINGS	1,050,000	\$ 2,835,000
1829	CMEC	6,960,706	\$ 26,381,076
1833	PA GOODDOCTOR	25,737,400	\$ 784,990,700

Aggregated Reportable Short Positions of Specified Shares as of 11/01/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1836	STELLA HOLDINGS	5,219,621	\$ 54,284,058
1848	CALC	1,783,000	\$ 14,353,150
1862	JINGRUI HLDGS	4,443,000	\$ 9,730,170
1866	CHINA XLX FERT	0	\$ 0
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	14,346,415	\$ 225,238,716
1883	CITIC TELECOM	2,595,259	\$ 7,604,109
1888	KB LAMINATES	18,782,475	\$ 149,132,852
1898	CHINA COAL	32,515,163	\$ 102,747,915
1899	XINGDA INT'L	15,686,571	\$ 34,667,322
1910	SAMSONITE	35,876,639	\$ 861,039,336
1913	PRADA	6,559,385	\$ 157,425,240
1916	JIANGXI BANK	0	\$ 0
1918	SUNAC	188,012,998	\$ 4,719,126,250
1919	COSCO SHIP HOLD	133,828,895	\$ 429,590,753
1928	SANDS CHINA LTD	91,202,477	\$ 3,251,368,305
1929	CHOW TAI FOOK	20,404,250	\$ 127,730,605
1958	BAIC MOTOR	104,044,273	\$ 479,644,099
1963	BCQ	4,458,809	\$ 20,510,521
1966	CHINA SCE GROUP	11,664,673	\$ 34,994,019
1970	IMAX CHINA	7,320,169	\$ 147,867,414
1972	SWIREPROPERTIES	6,326,344	\$ 169,546,019
1980	TIANGE	3,822,596	\$ 11,314,884
1986	TSAKER CHEM	0	\$ 0
1988	MINSHENG BANK	256,266,497	\$ 1,437,655,048
1997	WHARF REIC	16,328,607	\$ 791,937,440
1999	MAN WAH HLDGS	90,949,869	\$ 297,406,072
2001	NEW HIGHER EDU	16,710,091	\$ 60,657,630
2005	SSY GROUP	35,604,629	\$ 207,574,987
2006	JINJIANG HOTELS	4,012,000	\$ 7,622,800
2007	COUNTRY GARDEN	480,686,466	\$ 4,499,225,322
2009	BBMG	206,190,123	\$ 503,103,900
2014	OZNER WATER	43,010,000	\$ 74,407,300
2016	CZBANK	0	\$ 0
2018	AAC TECH	76,622,482	\$ 3,539,958,668
2020	ANTA SPORTS	39,785,935	\$ 1,398,475,615
2038	FIH	192,136,849	\$ 159,473,585
2039	CIMC	1,311,929	\$ 10,338,001
2066	SHENGJINGBANK	0	\$ 0
2083	NATURE HOME	0	\$ 0
2098	ZALL SMARTCOM	4,853,000	\$ 19,945,830

Aggregated Reportable Short Positions of Specified Shares as of 11/01/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2099	CHINAGOLDINTL	389,500	\$ 3,598,980
2118	TIAN SHAN DEV	0	\$ 0
2128	CHINA LESSO	0	\$ 0
2136	LIFESTYLE CHI	12,716,106	\$ 32,298,909
2139	BANK OF GANSU	0	\$ 0
2186	LUYE PHARMA	169,868,521	\$ 918,988,699
2196	FOSUN PHARMA	37,636,384	\$ 828,000,448
2198	CHINA SANJIANG	2,118,200	\$ 4,088,126
2199	REGINA MIRACLE	12,507,817	\$ 78,674,169
2202	CHINA VANKE	60,579,275	\$ 1,732,567,265
2208	GOLDWIND	10,906,534	\$ 73,728,170
2222	NVC LIGHTING	0	\$ 0
2232	CRYSTAL INTL	7,698,722	\$ 29,024,182
2233	WESTCHINACEMENT	11,264,442	\$ 12,052,953
2238	GAC GROUP	171,342,415	\$ 1,430,709,165
2255	HAICHANG HLDG	3,986,000	\$ 6,178,300
2268	YOUYUAN HLDGS	11,047,673	\$ 26,182,985
2269	WUXI BIO	48,389,745	\$ 2,796,927,261
2280	HC GROUP	28,730,660	\$ 127,276,824
2282	MGM CHINA	112,428,153	\$ 1,486,300,183
2283	TK GROUP HLDG	0	\$ 0
2298	COSMO LADY	14,499,524	\$ 38,568,734
2299	BILLION IND	0	\$ 0
2303	HENGXING GOLD	0	\$ 0
2313	SHENZHOU INTL	10,306,534	\$ 947,170,475
2314	LEE & MAN PAPER	40,334,558	\$ 280,325,178
2318	PING AN	1,205,721,399	\$ 83,857,923,300
2319	MENGNU DAIRY	52,076,190	\$ 1,291,489,512
2322	NOBLE CENTURY	0	\$ 0
2328	PICC P&C	120,293,473	\$ 969,565,392
2329	GUORUI PPT	6,656,000	\$ 13,844,480
2331	LI NING	40,427,570	\$ 367,890,887
2333	GREATWALL MOTOR	669,806,935	\$ 3,302,148,190
2338	WEICHAJ POWER	171,592,816	\$ 1,547,767,200
2342	COMBA	53,709,236	\$ 85,934,778
2343	PACIFIC BASIN	118,292,771	\$ 186,902,578
2348	DAWNRAJS PHARMA	426,000	\$ 639,000
2356	DAHSING BANKING	1,382,043	\$ 19,238,039
2357	AVICHINA	98,536,498	\$ 473,960,555
2362	JINCHUAN INTL	29,925,995	\$ 19,152,637
2378	PRU	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 11/01/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2380	CHINA POWER	194,301,982	\$ 355,572,627
2382	SUNNY OPTICAL	60,489,309	\$ 4,158,639,994
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	13,952,271	\$ 95,573,056
2388	BOC HONG KONG	44,689,538	\$ 1,307,168,987
2389	BJ ENT M&H	25,513,383	\$ 5,919,105
2393	YESTAR HEALTH	0	\$ 0
2399	FORDOO	0	\$ 0
2588	BOC AVIATION	6,647,565	\$ 396,859,631
2600	CHALCO	255,995,499	\$ 660,468,387
2601	CPIC	121,914,134	\$ 3,108,810,417
2607	SH PHARMA	15,585,009	\$ 255,594,148
2608	SUNSHINE 100	0	\$ 0
2611	GTJA	12,214,356	\$ 194,941,122
2628	CHINA LIFE	125,448,431	\$ 2,185,311,668
2633	JACOBSON PHARMA	0	\$ 0
2638	HKELECTRIC-SS	119,928,745	\$ 973,821,409
2662	CAMSING INTL	2,551,767	\$ 20,975,525
2666	UNI MEDICAL	42,892,631	\$ 258,213,639
2669	CHINA OVS PPT	24,773,421	\$ 59,208,476
2678	TEXHONG TEXTILE	209,000	\$ 1,970,870
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	12,204,239	\$ 867,721,393
2689	ND PAPER	70,148,447	\$ 524,710,384
2698	WEIQIAO TEXTILE	0	\$ 0
2727	SH ELECTRIC	160,655,027	\$ 420,916,171
2768	JIAYUAN INTL	55,899,185	\$ 844,077,694
2777	R&F PROPERTIES	80,195,189	\$ 1,073,011,629
2778	CHAMPION REIT	13,203,457	\$ 73,279,186
2779	CH XINHUA EDU	0	\$ 0
2799	CHINA HUARONG	512,832,286	\$ 758,991,783
2800	TRACKER FUND	7,255,000	\$ 194,434,000
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PREMIA BEDROCK	0	\$ 0
2805	VANGUARDASXJP	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMUNGCDRAGON	0	\$ 0
2813	CAM CTPB BOND	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 11/01/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	121,211,879	\$ 1,449,694,073
2823	ISHARES A50	52,286,339	\$ 622,207,434
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	2,405,750	\$ 254,047,200
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	20,132,733	\$ 38,050,865
2866	COSCO SHIP DEV	88,786,001	\$ 75,468,101
2868	BJ CAPITAL LAND	9,264,000	\$ 26,958,240
2869	GREENTOWN SER	48,773,176	\$ 318,001,108
2877	SHINeway PHARM	6,348,644	\$ 51,614,476
2880	DALIAN PORT	7,105,600	\$ 7,389,824
2883	CHINA OILFIELD	155,199,760	\$ 1,035,182,399
2888	STANCHART	550,650	\$ 34,250,430
2899	ZIJIN MINING	157,804,107	\$ 441,851,500
3007	TRFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0
3023	PA AI&ROBOTICS	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X TRMSCIRUSSIA	0	\$ 0
3036	TRMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 11/01/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3043	TRMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3065	TRMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3079	CICC CGB	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VANGUARDAXJHY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3093	CICCSELECT100	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VANGUARDDDVEUR	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3126	VANGUARDJAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VANGUARDSP500	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 11/01/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARES DAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3150	HGI CSI500	0	\$ 0
3155	ISHARESESE50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3163	PA MULTI-FACTOR	0	\$ 0
3165	BMO EU QLT Y HDG	0	\$ 0
3166	PA QLT Y FACTOR	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0
3169	VANGUARDCHINA	0	\$ 0
3170	ISHARES KKS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3173	PREMIA NEW ECON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3181	PREMIA ASIATEC	0	\$ 0
3182	WISE NEW ECON50	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3188	CAM CSI300	28,052,298	\$ 1,032,324,566
3197	CAM MSCI AINC	0	\$ 0
3198	PING AN 5HANDL	0	\$ 0
3199	CSOP 5Y CGBOND	0	\$ 0
3301	RONSHINECHINA	5,986,655	\$ 56,274,557
3306	JNBY	3,560,000	\$ 36,810,400
3308	GOLDEN EAGLE	1,182,000	\$ 9,940,620
3309	C-MER EYE	4,444,000	\$ 22,442,200
3311	CHINA STATE CON	176,019,909	\$ 1,214,537,372
3319	A-LIVING	7,041,696	\$ 72,951,971
3320	CHINARES PHARMA	18,936,240	\$ 198,830,520
3323	CNBM	115,547,474	\$ 621,645,410
3328	BANKCOMM	105,521,270	\$ 664,784,001
3331	VINDA INT'L	1,999,382	\$ 26,151,917
3333	EVERGRANDE	365,122,895	\$ 7,959,679,111

Aggregated Reportable Short Positions of Specified Shares as of 11/01/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3337	ANTON OILFIELD	49,745,845	\$ 40,294,134
3339	LONKING	13,012,291	\$ 26,545,074
3358	BESTWAY GLOBAL	0	\$ 0
3360	FE HORIZON	32,994,343	\$ 255,046,271
3369	QHD PORT	468,500	\$ 815,190
3377	SINO-OCEAN GP	32,377,734	\$ 109,112,964
3380	LOGAN PPT	43,106,015	\$ 459,510,120
3382	TIANJINPORT DEV	1,292,000	\$ 1,111,120
3383	AGILE GROUP	77,965,386	\$ 679,858,166
3393	WASION HOLDINGS	3,914,671	\$ 15,110,630
3396	LEGENDHOLDING	5,505,552	\$ 119,470,478
3606	FUYAO GLASS	20,583,515	\$ 525,908,808
3613	TONGRENTANGCM	915,000	\$ 11,437,500
3618	CQRC BANK	48,320,278	\$ 211,159,615
3633	ZHONGYU GAS	5,678,299	\$ 38,328,518
3639	YIDA CHINA	0	\$ 0
3669	YONGDA AUTO	49,356,125	\$ 216,673,389
3690	MEITUAN-W	130,510,058	\$ 5,938,207,639
3698	HUIZHANG BANK	0	\$ 0
3700	INKE	477,000	\$ 925,380
3709	KORADIOR	0	\$ 0
3799	DALI FOODS	110,208,153	\$ 607,246,923
3800	GCL-POLY ENERGY	955,017,929	\$ 472,733,875
3808	SINOTRUK	181,511,160	\$ 2,098,269,010
3813	POU SHENG INT'L	34,996,600	\$ 52,844,866
3818	CHINA DONGXIANG	47,471,726	\$ 55,541,919
3836	HARMONY AUTO	44,067,900	\$ 122,949,441
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	26,646,473	\$ 142,825,095
3888	KINGSOFT	93,950,709	\$ 1,236,391,330
3898	CRRC TIMES ELEC	22,944,284	\$ 1,024,462,281
3899	CIMC ENRIC	7,176,000	\$ 43,056,000
3900	GREENTOWN CHINA	17,644,402	\$ 105,866,412
3908	CICC	79,765,159	\$ 1,215,621,023
3918	NAGACORP	4,292,000	\$ 36,911,200
3933	UNITED LAB	131,070,420	\$ 596,370,411
3948	YITAI COAL	0	\$ 0
3958	DFZQ	7,965,183	\$ 40,463,130
3968	CM BANK	163,230,380	\$ 4,921,395,957
3969	CHINA CRSC	47,372,998	\$ 276,658,308
3983	CHINA BLUECHEM	21,611,169	\$ 50,137,912

Aggregated Reportable Short Positions of Specified Shares as of 11/01/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3988	BANK OF CHINA	155,081,193	\$ 530,377,680
3993	CMOC	279,605,980	\$ 810,857,342
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	61,769,519	\$ 83,388,851
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	121,342,435	\$ 1,786,160,643
6058	CISI FIN	0	\$ 0
6060	ZA ONLINE	32,811,466	\$ 836,692,383
6066	CSC	9,045,500	\$ 43,056,580
6068	WISDOM EDU INTL	4,552,000	\$ 13,200,800
6088	FIT HON TENG	4,479,000	\$ 15,586,920
6098	CG SERVICES	31,451,695	\$ 354,775,120
6099	CMSC	6,173,800	\$ 65,195,328
6100	TALENT LIEPIN	3,265,200	\$ 81,466,740
6116	LA CHAPELLE	362,800	\$ 2,394,480
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6158	ZHENRO PPT	0	\$ 0
6168	CHINA U-TON	0	\$ 0
6169	YUHUA EDU	78,472,767	\$ 229,140,480
6178	EB SECURITIES	1,585,000	\$ 11,190,100
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	3,713,021	\$ 17,933,891
6808	SUNART RETAIL	147,873,681	\$ 1,101,658,923
6818	CEB BANK	83,042,789	\$ 291,480,189
6823	HKT-SS	13,046,812	\$ 155,257,063
6826	HAOHAI BIOTEC	0	\$ 0
6828	BG BLUE SKY	109,924,756	\$ 27,041,490
6837	HAITONG SEC	136,970,391	\$ 1,136,854,245
6858	HONMAGOLF	1,523,500	\$ 12,934,515
6868	TENFU	0	\$ 0
6869	YOFC	21,137,053	\$ 477,697,398
6878	DIFFER GROUP	0	\$ 0
6881	CGS	316,367,813	\$ 1,208,525,046
6886	HTSC	57,501,190	\$ 768,215,898

Aggregated Reportable Short Positions of Specified Shares as of 11/01/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6889	DYNAM JAPAN	0	\$ 0
7200	FL2 CSOP HSI	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7228	FL2SAMSUNGHSCEI	7,200,000	\$ 45,432,000
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	7,702,600	\$ 44,212,924
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	6,300,000	\$ 30,303,000
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7328	FISAMSUNGHSCEI	6,600,000	\$ 33,990,000
7331	FICAMDXNNDQ100	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8032	VIVA CHINA	6,350,976	\$ 5,271,310
8047	CHINAOCEAN FISH	0	\$ 0
8057	MADISON HLDG	0	\$ 0
8078	HMV DIGIT CHINA	0	\$ 0
8083	CHINA YOUZAN	204,225,820	\$ 112,324,201
8137	HONBRIDGE	12,152,624	\$ 12,638,729
8207	CHONGSING HLDGS	13,756,000	\$ 1,348,088
8265	CHINA TRUSTFUL	0	\$ 0
8279	AGTECH HOLDINGS	0	\$ 0
8283	ZHENG LI HLDG	665,000	\$ 4,089,750
8293	SINGASIA HLDG	390,000	\$ 2,184,000
8469	SHENGYE CAPITAL	250,000	\$ 1,635,000
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARES MSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9085	VANGUARDAXJHY-U	0	\$ 0
9101	VANGUARD DVEUR-U	0	\$ 0
9115	ISHARES HSI-U	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 11/01/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9126	VANGUARDJAPAN-U	0	\$ 0
9140	VANGUARDSP500-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESES50-U	0	\$ 0
9167	ICBCCSOPCHINA-U	0	\$ 0
9169	VANGUARDCHINA-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9181	PREMIAASIA TEC-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9199	CSOP 5YCGBOND-U	0	\$ 0
9805	VANGUARDASXJP-U	0	\$ 0
9810	PREMIAEMASEAN-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
82805	VANGUARDASXJP-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82813	CAM CTPB BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HSCEI ETF-R	0	\$ 0
82832	BOSEFA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83079	CICC CGB-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83085	VANGUARDAXJHY-R	0	\$ 0
83093	CICCSELECT100-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 11/01/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83101	VANGUARDDDVEUR-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83126	VANGUARDJAPAN-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83140	VANGUARDSP500-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83169	VANGUARDCHINA-R	0	\$ 0
83170	ISHARESKS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	10,500,000	\$ 378,315,000
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP 5YCGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0