

Aggregated Reportable Short Positions of Specified Shares as of 01/02/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	5,507,816	\$ 436,219,027
2	CLP HOLDINGS	17,862,298	\$ 1,615,644,854
3	HK & CHINA GAS	153,560,847	\$ 2,625,890,484
4	WHARF HOLDINGS	29,393,562	\$ 692,218,385
5	HSBC HOLDINGS	106,443,651	\$ 7,035,925,331
6	POWER ASSETS	19,241,489	\$ 1,010,178,173
8	PCCW	49,484,114	\$ 233,070,177
10	HANG LUNG GROUP	5,589,922	\$ 129,965,687
11	HANG SENG BANK	8,378,551	\$ 1,499,760,629
12	HENDERSON LAND	18,690,882	\$ 830,809,705
14	HYSAN DEV	2,359,343	\$ 97,794,767
16	SHK PPT	21,288,666	\$ 2,767,526,580
17	NEW WORLD DEV	86,025,030	\$ 1,068,430,873
19	SWIRE PACIFIC A	15,614,889	\$ 1,420,954,899
20	WHEELLOCK	3,985,885	\$ 201,685,781
23	BANK OF E ASIA	17,199,547	\$ 453,208,063
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	77,061,805	\$ 4,246,105,456
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	6,722,000	\$ 3,629,880
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	6,622,521	\$ 23,311,274
41	GREAT EAGLE H	160,768	\$ 5,948,416
43	C.P. POKPHAND	0	\$ 0
45	HK&S HOTELS	2,732,412	\$ 30,603,014
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	135,000	\$ 3,631,500
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	1,883,000	\$ 67,976,300
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	0	\$ 0
66	MTR CORPORATION	37,969,804	\$ 1,645,991,003
69	SHANGRI-LA ASIA	16,090,992	\$ 166,380,857
71	MIRAMAR HOTEL	0	\$ 0
75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0
81	CH OVS G OCEANS	15,767,704	\$ 48,249,174

Aggregated Reportable Short Positions of Specified Shares as of 01/02/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83	SINO LAND	26,780,279	\$ 372,781,484
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	0	\$ 0
95	LVGEM CHINA	1,275,111	\$ 2,830,746
101	HANG LUNG PPT	29,736,653	\$ 518,607,228
105	ASSO INT HOTELS	0	\$ 0
106	LANDSEA GREEN	0	\$ 0
107	SICHUAN EXPRESS	2,292,000	\$ 5,821,680
116	CHOW SANG SANG	0	\$ 0
119	POLY PROPERTY	3,348,000	\$ 9,508,320
120	COSMOPOL INT'L	0	\$ 0
123	YUEXIU PROPERTY	16,111,234	\$ 24,650,188
127	CHINESE EST H	4,301,314	\$ 38,625,800
129	ASIA STANDARD	0	\$ 0
133	CHINA MERCHANTS	34,000	\$ 340,000
135	KUNLUN ENERGY	405,830,914	\$ 3,392,746,441
136	HENGTEN NET	1,280,166,749	\$ 332,843,355
142	FIRST PACIFIC	20,549,613	\$ 69,457,692
144	CHINA MER PORT	56,514,397	\$ 886,145,745
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD HLDG	9,319,851	\$ 256,295,903
151	WANT WANT CHINA	456,382,728	\$ 2,920,849,459
152	SHENZHEN INT'L	10,163,471	\$ 155,297,837
158	MELBOURNE ENT	0	\$ 0
161	AVIC IHL	2,452,000	\$ 10,788,800
163	EMPEROR INT'L	0	\$ 0
165	CHINA EB LTD	4,985,995	\$ 74,590,485
168	TSINGTAO BREW	19,885,213	\$ 686,039,849
171	SILVER GRANT	27,256,000	\$ 44,427,280
173	K. WAH INT'L	21,455,667	\$ 91,830,255
175	GEELY AUTO	251,164,586	\$ 3,315,372,535
177	JIANGSU EXPRESS	9,185,083	\$ 103,424,035
178	SA SA INT'L	69,123,987	\$ 202,533,282
179	JOHNSON ELEC H	2,722,588	\$ 50,150,071
182	CONCORD NE	16,010,494	\$ 5,203,411
189	DONGYUE GROUP	9,978,396	\$ 48,495,005
194	LIU CHONG HING	0	\$ 0
196	HONGHUA GROUP	55,940,249	\$ 27,410,722
198	SMI HOLDINGS	27,678,039	\$ 64,766,611
200	MELCO INT'L DEV	25,463,586	\$ 465,983,624
207	JOY CITY PPT	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 01/02/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
215	HUTCHTEL HK	21,974,911	\$ 67,462,977
220	U-PRESID CHINA	48,054,295	\$ 336,380,065
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	1,911,159	\$ 2,675,623
241	ALI HEALTH	189,345,991	\$ 1,363,291,135
242	SHUN TAK HOLD	11,117,515	\$ 34,464,297
245	CN MINSHENG FIN	7,685,496	\$ 1,613,954
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	372,000	\$ 3,742,320
256	CITYCHAMP	3,274,000	\$ 5,467,580
257	CHINA EB INT'L	207,071,228	\$ 1,631,721,277
258	TOMSON GROUP	0	\$ 0
261	CCT LAND	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	50,905,861	\$ 601,707,277
268	KINGDEE INT'L	256,744,646	\$ 2,020,580,364
270	GUANGDONG INV	4,860,930	\$ 72,427,857
272	SHUI ON LAND	38,805,211	\$ 76,446,266
273	MASON GP HOLD	43,550,345	\$ 4,964,739
285	BYD ELECTRONIC	64,862,001	\$ 638,242,090
286	CS HEALTH	6,612,000	\$ 3,834,960
288	WH GROUP	119,376,886	\$ 847,575,891
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	48,805,203	\$ 1,393,388,546
293	CATHAY PAC AIR	16,324,398	\$ 197,198,728
297	SINOFERT	82,001,942	\$ 76,261,806
303	VTECH HOLDINGS	2,549,353	\$ 196,045,246
308	CHINA TRAVEL HK	56,592,000	\$ 126,766,080
315	SMARTONE TELE	3,476,112	\$ 31,980,230
317	COMEC	4,019,304	\$ 25,763,739
321	TEXWINCA HOLD	6,823,149	\$ 20,674,141
322	TINGYI	105,861,595	\$ 1,179,298,168
323	MAANSHAN IRON	187,413,133	\$ 702,799,249
327	PAX GLOBAL	35,349,315	\$ 119,127,192
329	OCI INTL	0	\$ 0
330	ESPRIT HOLDINGS	112,587,601	\$ 184,643,666
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	4,811,185	\$ 17,224,042
337	GREENLAND HK	1,936,825	\$ 4,377,225
338	SHANGHAI PEICHEM	83,118,508	\$ 309,200,850
341	CAFE DE CORAL H	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 01/02/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
342	NEWOCEAN ENERGY	40,531,220	\$ 102,949,299
345	VITASOY INT'L	2,430,772	\$ 76,690,857
347	ANGANG STEEL	128,541,245	\$ 766,105,820
354	CHINASOFT INT'L	139,415,337	\$ 550,690,581
358	JIANGXI COPPER	47,219,451	\$ 479,749,622
363	SHANGHAI IND H	1,603,161	\$ 26,580,409
365	UNIS HOLDINGS	0	\$ 0
367	CHUANG'S INT'L	0	\$ 0
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	343,840,893	\$ 1,595,421,744
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
378	FDG KINETIC	5,181,419	\$ 533,686
383	CHINA MED&HCARE	3,580,000	\$ 690,940
384	CHINA GAS HOLD	104,661,948	\$ 2,600,849,408
386	SINOPEC CORP	425,048,562	\$ 2,775,567,110
388	HKEX	24,168,993	\$ 5,887,566,695
390	CHINA RAILWAY	158,295,412	\$ 1,165,054,232
392	BEIJING ENT	5,080,387	\$ 227,093,299
400	COGOBUY	15,217,562	\$ 43,674,403
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	53,518,958	\$ 176,612,561
412	CHINA SDHS FIN	0	\$ 0
416	BANKOFJINZHOU	0	\$ 0
419	HUAYI TENCENT	70,788,295	\$ 13,662,141
425	MINTH GROUP	78,916,374	\$ 2,245,170,840
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	43,045,977	\$ 22,383,908
440	DAH SING	80,800	\$ 3,490,560
445	CIMC-TIANDA	0	\$ 0
451	GCL NEWENERGY	145,660,000	\$ 48,796,100
460	SIHUAN PHARM	188,515,056	\$ 316,705,294
467	UNITEDENERGY GP	14,912,000	\$ 18,341,760
468	GAPACK	673,000	\$ 3,398,650
472	NEW SILKROAD	0	\$ 0
480	HKR INT'L	1,631,760	\$ 6,265,958
486	RUSAL	0	\$ 0
488	LAI SUN DEV	305,897	\$ 4,007,251
489	DONGFENG GROUP	54,254,613	\$ 445,972,919
493	GOME RETAIL	1,584,153,434	\$ 1,077,224,335
494	LI & FUNG	273,237,003	\$ 355,208,104

Aggregated Reportable Short Positions of Specified Shares as of 01/02/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
496	KASEN	3,422,000	\$ 12,592,960
497	CSI PROPERTIES	3,000,000	\$ 1,125,000
500	FRONTIER SER	0	\$ 0
506	CHINA FOODS	16,152,000	\$ 52,978,560
508	DINGYI GP INV	39,257,680	\$ 20,413,994
511	TVB	9,537,793	\$ 139,633,290
512	CHINAGRANDPHARM	8,040,000	\$ 33,768,000
517	COSCO SHIP INTL	484,000	\$ 1,345,520
519	APPLIED DEV	784,454	\$ 349,082
520	XIABUXIABU	32,376,621	\$ 365,855,817
522	ASM PACIFIC	18,590,082	\$ 1,555,060,359
525	GUANGSHEN RAIL	10,532,900	\$ 33,915,938
526	LISI GP HOLD	0	\$ 0
530	GOLDIN FIN HOLD	0	\$ 0
535	GEMDALE PPT	70,646,000	\$ 57,223,260
538	AJISEN (CHINA)	8,485,826	\$ 19,093,109
546	FUFENG GROUP	71,264,436	\$ 243,724,371
547	DIGITAL DOMAIN	360,490,340	\$ 33,525,602
548	SHENZHENEXPRESS	3,783,087	\$ 34,047,783
551	YUE YUEN IND	16,481,539	\$ 444,177,476
552	CHINACOMSERVICE	28,140,227	\$ 205,705,059
563	SH IND URBAN	10,956,000	\$ 14,571,480
570	TRAD CHI MED	31,930,430	\$ 165,718,932
576	ZHEJIANGEXPRESS	13,946,526	\$ 112,827,395
579	JNCEC	9,108,000	\$ 15,756,840
580	SUN.KING ELEC	1,624,000	\$ 1,786,400
581	CHINA ORIENTAL	30,884,979	\$ 162,146,140
586	CONCH VENTURE	25,047,707	\$ 654,997,538
588	BEIJING N STAR	844,000	\$ 1,974,960
590	LUK FOOK HOLD	13,499,097	\$ 310,479,231
593	DREAMEAST	0	\$ 0
596	INSPUR INT'L	530,000	\$ 2,093,500
598	SINOTRANS	22,069,846	\$ 79,010,049
603	CHINA OIL & GAS	10,879,936	\$ 5,657,567
604	SHENZHEN INVEST	167,827,904	\$ 463,205,015
605	C FIN SERVICES	0	\$ 0
606	CHINA AGRI	28,067,301	\$ 79,991,808
607	FULLSHARE	957,909,631	\$ 1,772,132,817
622	ENERCHINA HOLD	8,086,246	\$ 4,123,985
627	FULLSUN INT'L	0	\$ 0
631	SANY INT'L	1,655,000	\$ 4,385,750

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636	KERRY LOG NET	4,000,073	\$ 49,440,902
639	SHOUGANG RES	24,819,129	\$ 44,178,050
650	IDG ENERGY INV	0	\$ 0
656	FOSUN INTL	41,719,129	\$ 494,788,870
658	C TRANSMISSION	31,897,230	\$ 246,884,560
659	NWS HOLDINGS	1,334,547	\$ 23,915,082
662	ASIA FINANCIAL	0	\$ 0
665	HAITONG INT'L	128,944,045	\$ 364,911,647
669	TECHTRONIC IND	14,523,063	\$ 665,882,439
670	CHINA EAST AIR	42,754,743	\$ 207,360,504
678	GENTING HK	1,730,000	\$ 2,456,600
680	NAN HAI CORP	73,883,120	\$ 12,707,897
683	KERRY PPT	14,974,213	\$ 495,646,450
686	PANDA GREEN	83,552,992	\$ 32,585,667
687	HKICIM GROUP	4,112,000	\$ 9,827,680
688	CHINA OVERSEAS	38,404,307	\$ 1,121,405,764
689	EPI (HOLDINGS)	10,050,000	\$ 1,437,150
694	BEIJING AIRPORT	55,803,643	\$ 417,411,250
696	TRAVELSKY TECH	18,405,009	\$ 391,106,441
697	SHOUGANG INT'L	11,008,661	\$ 2,366,862
698	TONGDA GROUP	198,725,542	\$ 202,700,053
699	CAR INC	56,388,863	\$ 388,519,266
700	TENCENT	92,802,630	\$ 32,295,315,240
707	ATV HOLDINGS	12,326,000	\$ 3,143,130
708	EVERG HEALTH	5,805,000	\$ 79,528,500
709	GIORDANO INT'L	9,648,669	\$ 39,270,083
710	BOE VARITRONIX	1,278,033	\$ 2,581,627
715	CHINA OCEANWIDE	0	\$ 0
716	SINGAMAS CONT	12,842,177	\$ 13,741,129
717	EMPEROR CAPITAL	8,748,974	\$ 3,280,865
721	C FIN INT INV	15,513,738	\$ 2,683,877
728	CHINA TELECOM	218,333,998	\$ 919,186,132
729	FDG EVEHICLES	351,540,910	\$ 18,983,209
732	TRULY INT'L	26,896,125	\$ 27,971,970
735	CP CLEAN ENERGY	446,000	\$ 1,235,420
743	ASIA CEMENT CH	0	\$ 0
746	L & M CHEMICAL	0	\$ 0
751	SKYWORTHDIGITAL	39,440,047	\$ 95,050,513
752	PICO FAR EAST	400,000	\$ 1,172,000
753	AIR CHINA	61,091,737	\$ 468,573,623
754	HOPSON DEV HOLD	1,305,355	\$ 9,424,663

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755	SHANGHAI ZENDAI	0	\$ 0
762	CHINA UNICOM	60,344,469	\$ 544,910,555
763	ZTE	26,077,290	\$ 422,973,644
772	CHINA LIT	39,079,304	\$ 1,545,586,473
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	3,643,705	\$ 53,562,464
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	423,106,035	\$ 154,433,703
788	CHINA TOWER	1,280,748,069	\$ 2,087,619,352
798	CEOVU	6,416,000	\$ 3,272,160
799	IGG	19,115,030	\$ 232,821,065
806	VALUE PARTNERS	29,811,399	\$ 173,800,456
807	SIICENVIRONMENT	2,956,900	\$ 5,677,248
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	1,145,364	\$ 6,517,121
813	SHIMAO PROPERTY	33,865,388	\$ 739,958,728
815	CHI SILVER GP	18,247,956	\$ 14,233,406
816	HUADIAN FUXIN	8,068,000	\$ 14,764,440
817	CHINA JINMAO	202,712,514	\$ 792,605,930
818	HI SUN TECH	8,269,264	\$ 9,426,961
819	TIANNENG POWER	52,270,117	\$ 384,708,061
823	LINK REIT	29,263,585	\$ 2,491,794,263
826	TIANGONG INT'L	32,066,400	\$ 54,192,216
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	4,518,000	\$ 15,587,100
836	CHINA RES POWER	16,609,122	\$ 263,420,675
839	CHINA EDU GROUP	19,638,458	\$ 223,092,883
853	MICROPORT	41,617,428	\$ 319,205,673
855	CHINA WATER	32,988,821	\$ 270,838,220
856	VSTECS	1,610,000	\$ 6,279,000
857	PETROCHINA	219,939,361	\$ 1,115,092,560
860	WE SOLUTIONS	26,735,857	\$ 12,833,211
861	DC HOLDINGS	14,843,012	\$ 56,106,585
867	CMS	8,102,500	\$ 65,549,225
868	XINYI GLASS	57,117,450	\$ 539,759,903
874	BAIYUNSHAN PH	2,004,000	\$ 61,923,600
877	O-NET TECH GP	11,990,087	\$ 45,442,430
880	SJM HOLDINGS	122,748,639	\$ 1,012,676,272
881	ZHONGSHENG HLDG	68,401,536	\$ 993,190,303
882	TIANJIN DEV	2,716,000	\$ 7,659,120
883	CNOOC	104,104,701	\$ 1,376,264,147

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884	CIFI HOLD GP	130,865,083	\$ 673,955,177
895	DONGJIANG ENV	2,664,930	\$ 22,012,322
902	HUANENG POWER	128,524,511	\$ 634,911,084
906	COFCO PACKAGING	39,629,951	\$ 147,819,717
914	CONCH CEMENT	108,217,117	\$ 4,615,460,040
916	CHINA LONGYUAN	31,834,458	\$ 193,553,505
921	HISENSE HA	9,535,000	\$ 80,094,000
931	CHINA LNG	39,488,861	\$ 45,017,302
934	SINOPEC KANTONS	23,607,500	\$ 85,223,075
939	CCB	1,408,877,860	\$ 9,862,145,020
941	CHINA MOBILE	59,699,342	\$ 4,964,000,287
950	LEE'S PHARM	4,729,239	\$ 29,983,375
951	CHAOWEI POWER	17,321,624	\$ 53,004,169
952	TONGHAI FIN	0	\$ 0
956	CHINA SUNTIEN	1,777,094	\$ 3,749,668
958	HN RENEWABLES	57,664,888	\$ 131,475,945
960	LONGFOR GROUP	15,584,310	\$ 381,036,380
966	CHINA TAIPING	14,003,838	\$ 305,283,668
968	XINYI SOLAR	219,017,750	\$ 738,089,818
973	L'OCCITANE	6,104,402	\$ 87,537,125
976	CHIHO ENV	1,040,000	\$ 1,497,600
978	CHI MER LAND	0	\$ 0
981	SMIC	506,221,919	\$ 3,730,855,543
989	GROUND INTL DEV	14,780,000	\$ 5,468,600
991	DATANG POWER	29,061,632	\$ 61,610,660
992	LENOVO GROUP	591,947,596	\$ 3,462,893,437
995	ANHUIEXPRESSWAY	738,000	\$ 3,704,760
996	CARNIVAL GROUP	175,557,605	\$ 20,715,797
998	CITIC BANK	129,977,415	\$ 661,585,042
999	I.T	246,000	\$ 1,040,580
1003	HUANXI MEDIA	1,370,000	\$ 2,630,400
1004	C SMARTERENERGY	2,179,495	\$ 1,329,492
1030	FUTURE LAND	166,810,536	\$ 1,117,630,591
1031	KINGSTON FIN	3,982,000	\$ 9,477,160
1033	SINOPEC SSC	36,849,960	\$ 26,163,472
1038	CKI HOLDINGS	13,222,635	\$ 836,331,664
1044	HENGAN INT'L	55,718,271	\$ 3,479,606,024
1045	APT SATELLITE	0	\$ 0
1051	G-RESOURCES	47,655,971	\$ 2,811,702
1052	YUEXIUTRANSPORT	34,544,559	\$ 211,067,255
1055	CHINA SOUTH AIR	109,786,209	\$ 612,607,046

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1060	ALI PICTURES	975,490,382	\$ 1,385,196,342
1061	ESSEX BIO-TECH	758,481	\$ 3,891,008
1065	TIANJIN CAPITAL	6,244,000	\$ 19,543,720
1066	WEIGAO GROUP	23,279,008	\$ 154,107,033
1068	YURUN FOOD	11,910,857	\$ 14,054,811
1070	TCL ELECTRONICS	5,091,119	\$ 19,295,341
1071	HUADIAN POWER	60,146,460	\$ 219,534,579
1072	DONGFANG ELEC	5,853,178	\$ 34,650,814
1076	IMPERIAL PAC	68,800,000	\$ 3,508,800
1083	TOWNGAS CHINA	4,955,389	\$ 30,971,181
1086	GOODBABY INTL	30,682,235	\$ 75,478,298
1088	CHINA SHENHUA	31,273,802	\$ 614,217,471
1089	LEYOU TECH H	18,665,976	\$ 39,945,189
1093	CSPC PHARMA	177,452,022	\$ 2,416,896,540
1098	ROAD KING INFRA	0	\$ 0
1099	SINOPHARM	24,600,536	\$ 886,849,323
1106	SINO HAIJING	52,420,000	\$ 2,987,940
1107	MODERN LAND	590,000	\$ 666,700
1109	CHINA RES LAND	16,936,214	\$ 514,014,095
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	8,140,608	\$ 398,075,731
1113	CK ASSET	20,992,666	\$ 1,359,275,124
1114	BRILLIANCE CHI	367,185,206	\$ 2,816,310,530
1115	TIBET WATER	25,114,141	\$ 71,826,443
1117	CH MODERN D	15,985,648	\$ 15,346,222
1122	QINGLING MOTORS	578,000	\$ 1,167,560
1128	WYNN MACAU	77,691,213	\$ 1,508,763,356
1129	WATER INDUSTRY	4,832,000	\$ 6,861,440
1131	AGRITRADE RES	74,505,000	\$ 120,698,100
1133	HARBIN ELECTRIC	23,407,231	\$ 98,076,298
1138	COSCO SHIP ENGY	80,477,960	\$ 354,907,804
1140	OP FINANCIAL	8,428,000	\$ 19,384,400
1141	CMBC CAPITAL	343,349,319	\$ 106,438,289
1157	ZOOMLION	19,765,137	\$ 64,631,998
1166	SOLARTECH INT'L	11,540,000	\$ 761,640
1168	SINOLINK HOLD	16,098,000	\$ 8,853,900
1169	HAIER ELEC	35,171,347	\$ 803,665,279
1171	YANZHOU COAL	53,817,009	\$ 400,398,547
1176	ZHUGUANG HOLD	11,310,000	\$ 15,155,400
1177	SINO BIOPHARM	283,859,251	\$ 1,901,856,982
1186	CHINA RAIL CONS	202,022,124	\$ 2,161,636,727

Aggregated Reportable Short Positions of Specified Shares as of 01/02/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1188	HYBRID KINETIC	14,534,330	\$ 726,717
1193	CHINA RES GAS	33,720,917	\$ 1,035,232,152
1194	MUNSUN CAPITAL	0	\$ 0
1196	REALORD GROUP	678,000	\$ 3,437,460
1199	COSCO SHIP PORT	29,584,367	\$ 246,437,777
1205	CITIC RESOURCES	28,280,837	\$ 20,079,394
1208	MMG	160,216,516	\$ 485,456,043
1211	BYD COMPANY	141,109,695	\$ 6,596,878,241
1212	LIFESTYLE INT'L	7,084,123	\$ 84,726,111
1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1223	SYMPHONY HOLD	1,980,000	\$ 2,039,400
1224	C C LAND	5,198,199	\$ 9,356,758
1230	YASHILI INT'L	9,969,924	\$ 13,359,698
1233	TIMES CHINA	9,335,914	\$ 93,919,295
1234	CHINA LILANG	944,489	\$ 6,441,415
1238	POWERLONG	2,091,000	\$ 7,172,130
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	97,011,446	\$ 11,738,385
1252	CHINA TIANRUI	0	\$ 0
1257	CEB GREENTECH	18,888,990	\$ 120,889,536
1258	CHINFMINING	0	\$ 0
1266	XIWANG STEEL	6,427,000	\$ 9,190,610
1270	LANGHAM-SS	600,000	\$ 1,890,000
1282	CHINA GOLDJOY	111,996,320	\$ 42,558,602
1288	ABC	243,790,521	\$ 899,587,022
1293	GRAND BAOXIN	49,933,898	\$ 117,843,999
1297	SINOSOFT TECH	5,762,035	\$ 12,676,477
1299	AIA	102,725,953	\$ 7,180,544,115
1302	LIFETECH SCI	27,716,090	\$ 47,948,836
1308	SITC	1,690,155	\$ 12,338,132
1310	HKBN	2,609,621	\$ 31,263,260
1313	CHINARES CEMENT	86,386,891	\$ 692,822,866
1316	NEXTEER	17,533,635	\$ 199,883,439
1317	MAPLELEAF EDU	48,451,302	\$ 172,002,122
1330	DYNAGREEN ENV	10,598,845	\$ 40,275,611
1333	CHINA ZHONGWANG	94,119,000	\$ 370,828,860
1336	NCI	21,234,287	\$ 695,422,899
1337	RAZER	121,969,902	\$ 168,318,465
1339	PICC GROUP	92,755,341	\$ 301,454,858
1347	HUA HONG SEMI	40,887,530	\$ 646,022,974

Aggregated Reportable Short Positions of Specified Shares as of 01/02/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1359	CHINA CINDA	307,749,100	\$ 630,885,655
1360	MEGAEXPO HLDG	1,195,000	\$ 4,780,000
1361	361 DEGREES	30,222,508	\$ 49,262,688
1363	CTEG	62,866,868	\$ 23,889,410
1368	XTEP INT'L	5,339,690	\$ 26,538,259
1375	CC SECURITIES	14,408,583	\$ 27,952,651
1378	CHINAHONGQIAO	72,397,365	\$ 369,226,562
1381	CANVEST ENV	16,698,978	\$ 68,465,810
1382	PACIFICTEXTILES	3,840,602	\$ 26,384,936
1383	SUNCITY GROUP	3,010,000	\$ 3,521,700
1385	SHANGHAI FUDAN	479,425	\$ 3,835,400
1387	RENHE COMM	93,129,726	\$ 28,870,215
1398	ICBC	520,030,655	\$ 3,130,584,543
1426	SPRING REIT	0	\$ 0
1448	FU SHOU YUAN	18,584,899	\$ 118,943,354
1458	ZHOU HEI YA	44,998,765	\$ 157,495,678
1462	GOLD-FIN HLDG	7,396,000	\$ 2,625,580
1475	NISSIN FOODS	0	\$ 0
1478	Q TECH	16,760,721	\$ 74,585,208
1508	CHINA RE	44,096,726	\$ 78,933,140
1513	LIVZON PHARMA	3,300,124	\$ 85,473,212
1515	CR MEDICAL	18,334,385	\$ 99,555,711
1518	NC HEALTHCARE	0	\$ 0
1528	RS MACALLINE	1,279,000	\$ 9,797,140
1530	3SBIO	91,061,106	\$ 1,212,933,932
1548	GENSCRIPT BIO	83,082,258	\$ 1,006,956,967
1551	GRCB	0	\$ 0
1558	HEC PHARM	2,726,800	\$ 87,939,300
1566	CHINA ANIMATION	6,279,274	\$ 16,074,941
1568	SUNDART HLDGS	0	\$ 0
1569	MINSHENG EDU	0	\$ 0
1571	XIN POINT HOLD	0	\$ 0
1573	SOUTHERN ENERGY	0	\$ 0
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	25,599,950	\$ 631,038,768
1585	YADEA	6,086,000	\$ 18,501,440
1599	UCD	164,000	\$ 508,400
1600	TIAN LUN GAS	634,500	\$ 5,063,310
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	2,846,000	\$ 4,354,380

Aggregated Reportable Short Positions of Specified Shares as of 01/02/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1617	NANFANG COMM	792,000	\$ 4,189,680
1618	MCC	19,490,000	\$ 42,488,200
1622	REDCO GROUP	16,589,957	\$ 51,594,766
1628	YUZHOU PPT	19,908,226	\$ 76,845,752
1636	CMRU	943,730	\$ 4,142,975
1638	KAISA GROUP	51,208,357	\$ 128,532,976
1658	PSBC	909,781,272	\$ 4,003,037,597
1660	ZHAOBANGJI PPT	0	\$ 0
1666	TONG REN TANG	11,521,946	\$ 125,589,211
1668	CHINASOUTHCITY	12,552,000	\$ 14,811,360
1680	MACAU LEGEND	6,923,000	\$ 9,415,280
1681	CONSUN PHARMA	4,263,435	\$ 25,964,319
1686	SUNEVISION	2,679,661	\$ 14,175,407
1697	SDITC	0	\$ 0
1700	SPRINGLAND	584,000	\$ 916,880
1717	AUSNUTRIA	11,362,316	\$ 103,056,206
1727	HEBEI CONS	1,148,000	\$ 4,844,560
1728	ZHENGTONGAUTO	175,829,381	\$ 719,142,168
1766	CRRC	86,386,625	\$ 672,087,943
1773	TIANLI EDU	632,000	\$ 1,169,200
1776	GF SEC	41,522,811	\$ 484,155,976
1777	FANTASIA	35,296,500	\$ 39,179,115
1778	COLOUR LIFE	12,279,605	\$ 55,872,203
1787	SD GOLD	162,750	\$ 3,377,063
1788	GUOTAI JUNAN I	204,236,749	\$ 285,931,449
1789	AK MEDICAL	3,378,000	\$ 14,728,080
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	42,414,058	\$ 340,160,745
1803	BJ SPORTS & ENT	3,322,500	\$ 8,605,275
1806	HUIFU PAYMENT	545,200	\$ 1,777,352
1810	XIAOMI-W	288,419,821	\$ 3,011,102,931
1811	CGN NEW ENERGY	0	\$ 0
1812	CHENMING PAPER	6,232,000	\$ 28,667,200
1813	KWG GROUP	65,712,987	\$ 498,761,571
1816	CGN POWER	447,601,292	\$ 926,534,674
1818	ZHAOJIN MINING	58,541,794	\$ 488,238,562
1828	DCH HOLDINGS	999,000	\$ 2,917,080
1829	CMEC	5,903,706	\$ 22,906,379
1833	PA GOODDOCTOR	30,078,381	\$ 1,105,380,502
1836	STELLA HOLDINGS	3,180,935	\$ 32,254,681

Aggregated Reportable Short Positions of Specified Shares as of 01/02/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1848	CALC	387,000	\$ 3,262,410
1862	JINGRUI HLDGS	3,346,000	\$ 7,193,900
1866	CHINA XLX FERT	0	\$ 0
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	13,455,939	\$ 240,861,308
1883	CITIC TELECOM	2,889,259	\$ 8,118,818
1888	KB LAMINATES	15,581,790	\$ 125,589,227
1898	CHINA COAL	24,292,470	\$ 81,136,850
1899	XINGDA INT'L	13,859,312	\$ 31,599,231
1910	SAMSONITE	35,842,419	\$ 833,336,242
1913	PRADA	6,840,120	\$ 185,025,246
1916	JIANGXI BANK	0	\$ 0
1918	SUNAC	172,614,224	\$ 5,376,933,078
1919	COSCO SHIP HOLD	118,963,224	\$ 383,061,581
1928	SANDS CHINA LTD	91,640,911	\$ 3,404,459,844
1929	CHOW TAI FOOK	23,322,065	\$ 159,289,704
1958	BAIC MOTOR	105,095,500	\$ 554,904,240
1963	BCQ	3,767,809	\$ 18,160,839
1966	CHINA SCE GROUP	10,617,673	\$ 34,295,084
1970	IMAX CHINA	6,686,449	\$ 133,728,980
1972	SWIREPROPERTIES	11,758,550	\$ 356,871,993
1980	TIANGE	2,000,596	\$ 6,701,997
1986	TSAKER CHEM	3,097,500	\$ 4,553,325
1988	MINSHENG BANK	245,355,704	\$ 1,467,227,110
1997	WHARF REIC	14,756,266	\$ 778,393,032
1999	MAN WAH HLDGS	79,682,295	\$ 291,637,200
2001	NEW HIGHER EDU	21,268,091	\$ 87,199,173
2005	SSY GROUP	44,708,389	\$ 329,053,743
2006	JINJIANG HOTELS	2,974,000	\$ 6,037,220
2007	COUNTRY GARDEN	541,261,390	\$ 5,997,176,201
2009	BBMG	198,719,291	\$ 544,490,857
2014	OZNER WATER	42,996,000	\$ 73,093,200
2016	CZBANK	0	\$ 0
2018	AAC TECH	85,803,068	\$ 4,097,096,497
2020	ANTA SPORTS	40,242,735	\$ 1,627,818,631
2038	FIH	186,214,562	\$ 169,455,251
2039	CIMC	383,200	\$ 3,249,536
2066	SHENGJINGBANK	0	\$ 0
2083	NATURE HOME	0	\$ 0
2098	ZALL SMARTCOM	7,218,000	\$ 30,315,600
2099	CHINAGOLDINTL	347,700	\$ 3,511,770

Aggregated Reportable Short Positions of Specified Shares as of 01/02/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2118	TIAN SHAN DEV	0	\$ 0
2128	CHINA LESSO	0	\$ 0
2136	LIFESTYLE CHI	11,326,939	\$ 31,715,429
2139	BANK OF GANSU	0	\$ 0
2186	LUYE PHARMA	164,607,521	\$ 969,538,299
2196	FOSUN PHARMA	40,896,688	\$ 1,006,058,525
2198	CHINA SANJIANG	1,155,200	\$ 2,171,776
2199	REGINA MIRACLE	11,947,817	\$ 77,660,811
2202	CHINA VANKE	71,264,712	\$ 2,194,953,130
2208	GOLDWIND	10,109,801	\$ 99,581,540
2222	NVC LIGHTING	0	\$ 0
2232	CRYSTAL INTL	8,462,471	\$ 37,657,996
2233	WESTCHINACEMENT	11,886,442	\$ 13,193,951
2238	GAC GROUP	222,336,916	\$ 1,923,214,323
2255	HAICHANG HLDG	3,986,000	\$ 6,576,900
2268	YOUYUAN HLDGS	7,158,919	\$ 19,901,795
2269	WUXI BIO	48,939,468	\$ 3,327,883,824
2280	HC GROUP	25,543,003	\$ 118,519,534
2282	MGM CHINA	107,334,810	\$ 1,633,635,808
2283	TK GROUP HLDG	0	\$ 0
2298	COSMO LADY	13,002,890	\$ 36,408,092
2299	BILLION IND	0	\$ 0
2303	HENGXING GOLD	0	\$ 0
2313	SHENZHOU INTL	7,639,686	\$ 733,409,856
2314	LEE & MAN PAPER	34,043,748	\$ 237,965,799
2318	PING AN	1,187,061,303	\$ 91,047,601,940
2319	MENGNU DAIRY	62,665,471	\$ 1,494,571,483
2322	NOBLE CENTURY	0	\$ 0
2328	PICC P&C	101,842,741	\$ 835,110,476
2329	GUORUI PPT	5,957,000	\$ 8,399,370
2331	LI NING	31,305,979	\$ 309,616,132
2333	GREATWALL MOTOR	647,957,038	\$ 3,505,447,576
2338	WEICHAH POWER	177,105,483	\$ 1,902,112,887
2342	COMBA	47,767,256	\$ 85,503,388
2343	PACIFIC BASIN	94,678,357	\$ 142,017,536
2348	DAWNRAYS PHARMA	0	\$ 0
2356	DAHSHING BANKING	1,430,282	\$ 21,854,709
2357	AVICHINA	102,161,700	\$ 533,284,074
2362	JINCHUAN INTL	31,067,995	\$ 21,126,237
2378	PRU	0	\$ 0
2380	CHINA POWER	147,248,860	\$ 304,805,140

Aggregated Reportable Short Positions of Specified Shares as of 01/02/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2382	SUNNY OPTICAL	61,700,669	\$ 4,750,951,513
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	15,476,543	\$ 121,336,097
2388	BOC HONG KONG	45,350,417	\$ 1,369,582,593
2389	BJ ENT M&H	25,279,383	\$ 6,092,331
2393	YESTAR HEALTH	0	\$ 0
2399	FORDOO	0	\$ 0
2588	BOC AVIATION	7,408,962	\$ 494,177,765
2600	CHALCO	262,389,903	\$ 774,050,214
2601	CPIC	132,656,151	\$ 3,687,840,998
2607	SH PHARMA	15,545,312	\$ 260,228,523
2608	SUNSHINE 100	0	\$ 0
2611	GTJA	9,563,556	\$ 163,154,265
2628	CHINA LIFE	167,213,642	\$ 3,253,977,473
2633	JACOBSON PHARMA	0	\$ 0
2638	HKELECTRIC-SS	113,518,995	\$ 913,827,910
2662	CAMSING INTL	6,293,767	\$ 49,091,383
2666	UNI MEDICAL	44,282,131	\$ 286,948,209
2669	CHINA OVS PPT	17,637,391	\$ 47,620,956
2678	TEXHONG TEXTILE	225,500	\$ 2,466,970
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	14,945,623	\$ 1,119,427,163
2689	ND PAPER	70,775,363	\$ 560,540,875
2698	WEIQIAO TEXTILE	233,500	\$ 679,485
2727	SH ELECTRIC	147,673,768	\$ 412,009,813
2768	JIAYUAN INTL	15,903,656	\$ 61,388,112
2777	R&F PROPERTIES	106,516,430	\$ 1,659,525,979
2778	CHAMPION REIT	12,946,457	\$ 76,254,632
2779	CH XINHUA EDU	421,000	\$ 913,570
2799	CHINA HUARONG	520,501,502	\$ 822,392,373
2800	TRACKER FUND	10,261,000	\$ 288,334,100
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PREMIA BEDROCK	0	\$ 0
2805	VANGUARDASXJP	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMUNGCDRAGON	0	\$ 0
2813	CAM CTPB BOND	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 01/02/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	112,495,079	\$ 1,451,186,519
2823	ISHARES A50	52,954,950	\$ 681,000,657
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	2,005,750	\$ 224,042,275
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	15,910,500	\$ 31,821,000
2866	COSCO SHIP DEV	98,529,230	\$ 91,632,184
2868	BJ CAPITAL LAND	10,383,885	\$ 33,851,465
2869	GREENTOWN SER	39,503,946	\$ 286,008,569
2877	SHINEWAY PHARM	6,674,803	\$ 58,871,762
2880	DALIAN PORT	11,824,966	\$ 12,416,214
2883	CHINA OILFIELD	143,212,416	\$ 1,118,488,969
2888	STANCHART	734,150	\$ 46,765,355
2899	ZIJIN MINING	156,116,605	\$ 463,666,317
3007	TRFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0
3023	PA AI&ROBOTICS	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X TRMSCIRUSSIA	0	\$ 0
3036	TRMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	TRMSCIPACEXJ	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 01/02/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3046	VALUE CHINA ETF	0	\$ 0
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3065	TRMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHAREMSCITW	0	\$ 0
3079	CICC CGB	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VANGUARDAXJHY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3093	CICCSELECT100	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3096	CSOP USD MM	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VANGUARDDDVEUR	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3126	VANGUARDJAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VANGUARDSP500	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 01/02/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARES DAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3150	HGI CSI500	0	\$ 0
3155	ISHARESESE50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3163	PA MULTI-FACTOR	0	\$ 0
3165	BMO EU QLT Y HDG	0	\$ 0
3166	PA QLT Y FACTOR	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0
3169	VANGUARDCHINA	0	\$ 0
3170	ISHARES KKS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3173	PREMIA NEW ECON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3181	PREMIA ASIATEC	0	\$ 0
3182	WISE NEW ECON50	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3188	CAM CSI300	30,157,560	\$ 1,164,081,816
3197	CAM MSCI AINC	0	\$ 0
3198	PING AN 5HANDL	0	\$ 0
3199	CSOP 5Y CGBOND	0	\$ 0
3301	RONSHINECHINA	3,942,655	\$ 36,666,692
3306	JNBY	3,529,500	\$ 40,942,200
3308	GOLDEN EAGLE	1,505,000	\$ 12,566,750
3309	C-MER EYE	3,256,000	\$ 16,540,480
3311	CHINA STATE CON	200,293,428	\$ 1,502,200,710
3319	A-LIVING	4,714,182	\$ 50,536,031
3320	CHINARES PHARMA	14,516,000	\$ 164,030,800
3323	CNBM	117,498,970	\$ 735,543,552
3328	BANKCOMM	124,789,874	\$ 823,613,168
3331	VINDA INT'L	1,662,382	\$ 24,603,254
3333	EVERGRANDE	369,956,457	\$ 9,008,439,728

Aggregated Reportable Short Positions of Specified Shares as of 01/02/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3337	ANTON OILFIELD	49,037,237	\$ 44,133,513
3339	LONKING	19,142,291	\$ 51,109,917
3358	BESTWAY GLOBAL	0	\$ 0
3360	FE HORIZON	32,212,403	\$ 255,122,232
3369	QHD PORT	402,500	\$ 700,350
3377	SINO-OCEAN GP	48,409,870	\$ 183,957,506
3380	LOGAN PPT	48,675,057	\$ 520,823,110
3382	TIANJINPORT DEV	1,296,000	\$ 1,205,280
3383	AGILE GROUP	78,292,006	\$ 806,407,662
3393	WASION HOLDINGS	3,730,671	\$ 15,146,524
3396	LEGENDHOLDING	5,585,352	\$ 116,733,857
3606	FUYAO GLASS	22,182,662	\$ 607,804,939
3613	TONGRENTANGCM	631,000	\$ 8,808,760
3618	CQRC BANK	41,892,500	\$ 188,516,250
3633	ZHONGYU GAS	5,769,477	\$ 46,732,764
3639	YIDA CHINA	0	\$ 0
3669	YONGDA AUTO	40,199,661	\$ 196,576,342
3690	MEITUAN-W	145,893,096	\$ 7,849,048,565
3698	HUIZHANG BANK	0	\$ 0
3700	INKE	477,000	\$ 849,060
3709	KORADIOR	0	\$ 0
3799	DALI FOODS	116,747,778	\$ 631,605,479
3800	GCL-POLY ENERGY	870,257,400	\$ 583,072,458
3808	SINOTRUK	171,541,961	\$ 2,545,682,701
3813	POU SHENG INT'L	33,720,600	\$ 52,266,930
3818	CHINA DONGXIANG	40,361,726	\$ 47,223,219
3836	HARMONY AUTO	41,446,400	\$ 125,168,128
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	29,715,266	\$ 176,211,527
3888	KINGSOFT	97,817,083	\$ 1,486,819,662
3898	CRRC TIMES ELEC	21,019,567	\$ 922,758,991
3899	CIMC ENRIC	5,666,000	\$ 38,075,520
3900	GREENTOWN CHINA	20,065,402	\$ 139,454,544
3908	CICC	65,548,359	\$ 1,036,975,039
3918	NAGACORP	928,000	\$ 9,484,160
3933	UNITED LAB	129,337,814	\$ 574,259,894
3948	YITAI COAL	0	\$ 0
3958	DFZQ	6,389,200	\$ 36,354,548
3968	CM BANK	180,756,119	\$ 6,082,443,404
3969	CHINA CRSC	23,686,686	\$ 148,278,654
3983	CHINA BLUECHEM	23,926,074	\$ 61,011,489

Aggregated Reportable Short Positions of Specified Shares as of 01/02/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3988	BANK OF CHINA	214,158,263	\$ 773,111,329
3993	CMOC	302,423,993	\$ 967,756,778
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	39,780,120	\$ 60,465,782
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	131,860,437	\$ 2,207,343,715
6058	CISI FIN	0	\$ 0
6060	ZA ONLINE	36,944,838	\$ 990,121,658
6066	CSC	12,596,000	\$ 76,583,680
6068	WISDOM EDU INTL	3,412,000	\$ 11,566,680
6088	FIT HON TENG	0	\$ 0
6098	CG SERVICES	42,587,373	\$ 523,824,688
6099	CMSC	4,742,400	\$ 51,217,920
6100	TALENT LIEPIN	3,834,100	\$ 103,520,700
6116	LA CHAPELLE	370,800	\$ 2,076,480
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6158	ZHENRO PPT	0	\$ 0
6168	CHINA U-TON	0	\$ 0
6169	YUHUA EDU	126,908,000	\$ 421,334,560
6178	EB SECURITIES	1,327,800	\$ 9,560,160
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	3,460,021	\$ 17,092,504
6808	SUNART RETAIL	140,189,830	\$ 1,069,648,403
6818	CEB BANK	79,467,998	\$ 301,183,712
6823	HKT-SS	17,753,286	\$ 207,358,380
6826	HAOHAI BIOTEC	0	\$ 0
6828	BG BLUE SKY	106,236,756	\$ 25,496,821
6837	HAITONG SEC	137,851,233	\$ 1,257,203,245
6858	HONMAGOLF	622,500	\$ 4,973,775
6868	TENFU	0	\$ 0
6869	YOFC	23,645,053	\$ 586,397,314
6878	DIFFER GROUP	0	\$ 0
6881	CGS	321,413,035	\$ 1,353,148,877
6886	HTSC	65,215,714	\$ 988,670,224

Aggregated Reportable Short Positions of Specified Shares as of 01/02/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6889	DYNAM JAPAN	0	\$ 0
7200	FL2 CSOP HSI	0	\$ 0
7205	FL2SAMSUNGHSI	3,900,000	\$ 32,292,000
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7228	FL2SAMSUNGHSCEI	7,200,000	\$ 50,688,000
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	26,179,100	\$ 143,199,677
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7328	FISAMSUNGHSCEI	6,600,000	\$ 32,076,000
7331	FICAMDXNNDQ100	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8032	VIVA CHINA	6,350,976	\$ 4,445,683
8047	CHINAOCEAN FISH	0	\$ 0
8057	MADISON HLDG	0	\$ 0
8078	HMV DIGIT CHINA	0	\$ 0
8083	CHINA YOUZAN	205,409,820	\$ 112,975,401
8137	HONBRIDGE	12,152,624	\$ 12,760,255
8207	CHONGSING HLDGS	25,984,000	\$ 1,922,816
8265	CHINA TRUSTFUL	0	\$ 0
8279	AGTECH HOLDINGS	0	\$ 0
8283	ZHENG LI HLDG	1,165,000	\$ 7,572,500
8293	SINGASIA HLDG	485,000	\$ 2,876,050
8469	SHENGYE CAPITAL	354,000	\$ 2,520,480
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARES MSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9085	VANGUARDAXJHY-U	0	\$ 0
9096	CSOP USD MM-U	0	\$ 0
9101	VANGUARD DVEUR-U	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 01/02/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9115	ISHARESHSI-U	0	\$ 0
9126	VANGUARDJAPAN-U	0	\$ 0
9140	VANGUARDSP500-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESES50-U	0	\$ 0
9167	ICBCCSOPCHINA-U	0	\$ 0
9169	VANGUARDCHINA-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9181	PREMIAASIA TEC-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9199	CSOP 5Y CGBOND-U	0	\$ 0
9805	VANGUARDASXJP-U	0	\$ 0
9810	PREMIAEMASEAN-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
82805	VANGUARDASXJP-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82813	CAM CTPB BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HSCEI ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83079	CICC CGB-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83085	VANGUARDAXJHY-R	0	\$ 0
83093	CICCSELECT100-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 01/02/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83100	EFUND CSI100-R	0	\$ 0
83101	VANGUARDDDVEUR-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83126	VANGUARDJAPAN-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83140	VANGUARDSP500-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESE50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83169	VANGUARDCHINA-R	0	\$ 0
83170	ISHARESKS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP 5YCGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0