

Aggregated Reportable Short Positions of Specified Shares as of 10/05/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	3,609,312	\$ 287,842,632
2	CLP HOLDINGS	9,530,789	\$ 834,420,577
3	HK & CHINA GAS	129,221,613	\$ 2,419,028,595
4	WHARF HOLDINGS	27,848,225	\$ 627,977,474
5	HSBC HOLDINGS	36,542,759	\$ 2,444,710,577
6	POWER ASSETS	23,701,350	\$ 1,321,350,263
8	PCCW	64,664,835	\$ 309,097,911
10	HANG LUNG GROUP	22,053,432	\$ 477,456,803
11	HANG SENG BANK	7,263,239	\$ 1,474,437,517
12	HENDERSON LAND	9,993,048	\$ 475,169,432
14	HYSAN DEV	3,020,549	\$ 128,826,415
16	SHK PPT	11,199,929	\$ 1,500,790,486
17	NEW WORLD DEV	42,467,011	\$ 533,385,658
19	SWIRE PACIFIC A	14,794,887	\$ 1,429,186,084
20	WHEELLOCK	5,166,041	\$ 281,807,537
23	BANK OF E ASIA	16,259,085	\$ 392,656,903
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	77,156,019	\$ 4,193,429,633
28	TIAN AN	0	\$ 0
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	8,212,635	\$ 29,647,612
41	GREAT EAGLE H	160,768	\$ 5,562,573
43	C.P. POKPHAND	0	\$ 0
45	HK&S HOTELS	2,614,184	\$ 29,801,698
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	96,000	\$ 2,568,000
53	GUOCO GROUP	0	\$ 0
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	0	\$ 0
66	MTR CORPORATION	26,834,346	\$ 1,239,746,785
69	SHANGRI-LA ASIA	24,671,087	\$ 260,526,679
71	MIRAMAR HOTEL	0	\$ 0
75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0
81	CH OVS G OCEANS	3,082,979	\$ 12,085,278
83	SINO LAND	19,474,011	\$ 253,551,623
86	SUN HUNG KAI CO	0	\$ 0

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88	TAI CHEUNG HOLD	0	\$ 0
95	LVGEM CHINA	1,618,000	\$ 3,656,680
101	HANG LUNG PPT	27,321,999	\$ 466,659,743
102	SUMMIT ASCENT	3,598,706	\$ 5,937,865
105	ASSO INT HOTELS	0	\$ 0
106	LANDSEA GREEN	0	\$ 0
107	SICHUAN EXPRESS	2,388,000	\$ 5,970,000
112	LERTHAI GROUP	0	\$ 0
116	CHOW SANG SANG	0	\$ 0
119	POLY PROPERTY	8,819,856	\$ 26,371,369
120	COSMOPOL INT'L	0	\$ 0
123	YUEXIU PROPERTY	11,509,896	\$ 20,372,516
127	CHINESE EST H	1,150,500	\$ 8,801,325
135	KUNLUN ENERGY	431,846,451	\$ 3,402,950,034
136	HENGTEN NET	1,714,886,396	\$ 341,262,393
142	FIRST PACIFIC	26,400,713	\$ 82,106,217
144	CHINA MER PORT	48,817,945	\$ 738,127,328
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD HLDG	7,179,392	\$ 165,126,016
151	WANT WANT CHINA	461,957,840	\$ 2,850,279,873
152	SHENZHEN INT'L	7,837,412	\$ 129,317,298
158	MELBOURNE ENT	0	\$ 0
163	EMPEROR INT'L	0	\$ 0
165	CHINA EB LTD	6,849,525	\$ 90,687,711
168	TSINGTAO BREW	22,006,251	\$ 1,087,108,799
171	SILVER GRANT	24,210,000	\$ 38,978,100
173	K. WAH INT'L	1,881,771	\$ 8,900,777
175	GEELY AUTO	297,143,225	\$ 4,094,633,641
177	JIANGSU EXPRESS	14,316,629	\$ 154,333,261
178	SA SA INT'L	99,638,369	\$ 237,139,318
179	JOHNSON ELEC H	1,874,265	\$ 31,562,623
182	CONCORD NE	12,830,494	\$ 4,554,825
189	DONGYUE GROUP	21,142,474	\$ 111,843,687
194	LIU CHONG HING	98,000	\$ 1,283,800
196	HONGHUA GROUP	32,363,162	\$ 20,712,424
200	MELCO INT'L DEV	20,808,877	\$ 361,658,282
207	JOY CITY PPT	0	\$ 0
215	HUTCHTEL HK	42,133,091	\$ 142,409,848
220	U-PRESID CHINA	40,312,355	\$ 333,786,299
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	1,276,000	\$ 1,811,920

Aggregated Reportable Short Positions of Specified Shares as of 10/05/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
241	ALI HEALTH	114,447,117	\$ 946,477,658
242	SHUN TAK HOLD	10,639,181	\$ 35,854,040
245	CN MINSHENG FIN	7,195,496	\$ 942,610
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	0	\$ 0
256	CITYCHAMP	2,880,000	\$ 5,068,800
257	CHINA EB INT'L	185,747,651	\$ 1,387,534,953
258	TOMSON GROUP	537,544	\$ 1,488,997
261	GBA INV HLDGS	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	36,101,845	\$ 394,232,147
268	KINGDEE INT'L	273,482,135	\$ 2,398,438,324
270	GUANGDONG INV	4,296,000	\$ 64,268,160
272	SHUI ON LAND	22,137,884	\$ 40,069,570
273	MASON GP HOLD	111,308,345	\$ 13,468,310
285	BYD ELECTRONIC	78,778,204	\$ 1,049,325,677
286	CS HEALTH	6,344,000	\$ 3,235,440
288	WH GROUP	92,964,020	\$ 715,822,954
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	41,437,431	\$ 1,479,316,287
293	CATHAY PAC AIR	8,049,472	\$ 102,067,305
297	SINOFERT	71,512,179	\$ 65,076,083
303	VTECH HOLDINGS	1,828,966	\$ 127,113,137
308	CHINA TRAVEL HK	158,974,718	\$ 252,769,802
315	SMARTONE TELE	1,140,528	\$ 9,169,845
316	OOIL	0	\$ 0
317	COMEC	5,417,200	\$ 37,216,164
321	TEXWINCA HOLD	5,342,956	\$ 15,654,861
322	TINGYI	72,923,063	\$ 959,667,509
323	MAANSHAN IRON	271,710,963	\$ 942,837,042
326	CHINA STAR ENT	5,230,000	\$ 3,713,300
327	PAX GLOBAL	28,587,125	\$ 95,766,869
330	ESPRIT HOLDINGS	96,871,917	\$ 152,088,910
332	YUANHENG GAS	1,416,000	\$ 807,120
336	HUABAO INTL	3,616,185	\$ 12,041,896
337	GREENLAND HK	3,658,780	\$ 11,012,928
338	SHANGHAI PEICHEM	179,579,154	\$ 610,569,124
341	CAFE DE CORAL H	268,000	\$ 5,215,280
342	NEWOCEAN ENERGY	45,301,582	\$ 91,056,180
345	VITASOY INT'L	3,071,556	\$ 120,558,573
347	ANGANG STEEL	127,687,847	\$ 643,546,749

Aggregated Reportable Short Positions of Specified Shares as of 10/05/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
354	CHINASOFT INT'L	177,693,170	\$ 719,657,339
358	JIANGXI COPPER	41,969,225	\$ 411,718,097
363	SHANGHAI IND H	1,178,107	\$ 20,381,251
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	256,632,025	\$ 1,118,915,629
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
378	FDG KINETIC	1,647,419	\$ 179,569
383	CHINA MED&HCARE	17,580,000	\$ 2,918,280
384	CHINA GAS HOLD	121,303,457	\$ 3,014,390,906
386	SINOPEC CORP	295,449,130	\$ 1,695,878,006
388	HKEX	16,471,262	\$ 4,150,758,024
390	CHINA RAILWAY	66,673,618	\$ 396,041,291
392	BEIJING ENT	4,146,105	\$ 165,844,200
400	COGOBUY	11,859,562	\$ 31,546,435
405	YUEXIU REIT	6,745,000	\$ 35,141,450
410	SOHO CHINA	27,099,599	\$ 71,000,949
412	CHINA SDHS FIN	5,886,000	\$ 1,971,810
416	BANKOFJINZHOU	0	\$ 0
419	HUAYI TENCENT	61,208,194	\$ 12,547,680
425	MINTH GROUP	90,051,282	\$ 2,179,241,024
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	46,493,130	\$ 26,036,153
440	DAH SING	65,330	\$ 2,639,332
445	CIMC-TIANDA	0	\$ 0
451	GCL NEWENERGY	118,285,565	\$ 33,119,958
460	SIHUAN PHARM	93,384,760	\$ 173,695,654
467	UNITEDENERGY GP	17,250,000	\$ 22,080,000
468	GAPACK	354,000	\$ 1,543,440
472	NEW SILKROAD	0	\$ 0
480	HKR INT'L	0	\$ 0
486	RUSAL	14,323,000	\$ 44,830,990
488	LAI SUN DEV	501,806	\$ 5,931,347
489	DONGFENG GROUP	92,825,144	\$ 633,067,482
493	GOME RETAIL	1,874,852,630	\$ 1,424,887,999
494	LI & FUNG	368,747,545	\$ 468,309,382
496	KASEN	2,475,000	\$ 14,652,000
497	CSI PROPERTIES	3,000,000	\$ 1,200,000
500	FRONTIER SER	0	\$ 0
506	CHINA FOODS	9,655,000	\$ 29,544,300
508	DINGYI GP INV	65,427,680	\$ 28,461,041

Aggregated Reportable Short Positions of Specified Shares as of 10/05/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
511	TVB	6,349,859	\$ 93,977,913
512	CHINAGRANDPHARM	14,628,000	\$ 65,679,720
517	COSCO SHIP INTL	484,000	\$ 1,301,960
519	APPLIED DEV	719,454	\$ 276,990
520	XIABUXIABU	26,844,790	\$ 330,190,917
522	ASM PACIFIC	20,993,822	\$ 1,825,412,823
525	GUANGSHEN RAIL	17,640,992	\$ 48,159,908
526	LISI GP HOLD	0	\$ 0
530	GOLDIN FIN HOLD	0	\$ 0
535	GEMDALE PPT	49,326,000	\$ 48,339,480
538	AJISEN (CHINA)	11,821,826	\$ 43,386,101
546	FUFENG GROUP	45,732,828	\$ 173,784,746
547	DIGITAL DOMAIN	296,155,000	\$ 35,242,445
548	SHENZHENEXPRESS	3,780,000	\$ 35,834,400
551	YUE YUEN IND	12,386,134	\$ 307,176,123
552	CHINACOMSERVICE	29,953,019	\$ 183,012,946
563	SH IND URBAN	10,667,208	\$ 16,640,844
570	TRAD CHI MED	77,606,087	\$ 329,825,870
576	ZHEJIANGEXPRESS	13,682,488	\$ 114,385,600
579	JNCEC	5,722,000	\$ 8,640,220
581	CHINA ORIENTAL	30,028,000	\$ 137,828,520
586	CONCH VENTURE	30,653,992	\$ 789,340,294
588	BEIJING N STAR	1,600,000	\$ 4,704,000
590	LUK FOOK HOLD	9,812,923	\$ 257,098,583
596	INSPUR INT'L	4,426,000	\$ 17,040,100
598	SINOTRANS	23,945,525	\$ 72,554,941
603	CHINA OIL & GAS	11,415,856	\$ 5,080,056
604	SHENZHEN INVEST	66,923,447	\$ 197,424,169
606	CHINA AGRI	27,535,256	\$ 66,635,320
607	FULLSHARE	861,634,359	\$ 646,225,769
622	OSHDORI	6,565,016	\$ 5,777,214
627	FULLSUN INT'L	0	\$ 0
631	SANY INT'L	7,303,000	\$ 25,049,290
636	KERRY LOG NET	5,488,975	\$ 75,418,517
639	SHOUGANG RES	24,259,351	\$ 43,666,832
656	FOSUN INTL	43,792,214	\$ 490,472,797
658	C TRANSMISSION	42,279,104	\$ 229,575,535
659	NWS HOLDINGS	3,187,054	\$ 51,757,757
662	ASIA FINANCIAL	0	\$ 0
665	HAITONG INT'L	208,103,961	\$ 528,584,061
669	TECHTRONIC IND	22,829,377	\$ 1,193,976,417

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
670	CHINA EAST AIR	38,638,916	\$ 192,808,191
678	GENTING HK	2,118,755	\$ 2,118,755
680	NAN HAI CORP	0	\$ 0
683	KERRY PPT	11,700,776	\$ 376,764,987
686	PANDA GREEN	70,293,086	\$ 22,493,788
687	HKICIM GROUP	0	\$ 0
688	CHINA OVERSEAS	35,293,530	\$ 1,019,983,017
689	EPI (HOLDINGS)	18,345,000	\$ 2,164,710
694	BEIJING AIRPORT	54,985,528	\$ 362,904,485
696	TRAVELSKY TECH	19,488,005	\$ 356,240,731
697	SHOUGANG INT'L	38,524,269	\$ 11,172,038
698	TONGDA GROUP	246,671,740	\$ 180,070,370
699	CAR INC	68,869,765	\$ 423,549,055
700	TENCENT	77,446,342	\$ 29,584,502,644
707	ATV HOLDINGS	16,070,000	\$ 3,712,170
708	EVERG HEALTH	6,518,926	\$ 65,189,260
709	GIORDANO INT'L	2,724,669	\$ 10,054,029
710	BOE VARITRONIX	2,651,812	\$ 6,072,649
715	CHINA OCEANWIDE	0	\$ 0
716	SINGAMAS CONT	7,476,780	\$ 9,121,672
717	EMPEROR CAPITAL	10,234,087	\$ 3,530,760
721	C FIN INT INV	10,593,738	\$ 1,355,998
728	CHINA TELECOM	73,161,765	\$ 290,452,207
729	FDG EVEHICLES	167,020,799	\$ 7,515,936
732	TRULY INT'L	62,011,319	\$ 75,653,809
735	CP CLEAN ENERGY	5,994,780	\$ 32,072,073
737	HOPEWELL INFR	0	\$ 0
743	ASIA CEMENT CH	1,561,500	\$ 15,130,935
751	SKYWORTHDIGITAL	101,488,038	\$ 210,080,239
752	PICO FAR EAST	400,000	\$ 1,056,000
753	AIR CHINA	67,246,623	\$ 559,491,903
754	HOPSON DEV HOLD	0	\$ 0
755	SHANGHAI ZENDAI	0	\$ 0
762	CHINA UNICOM	59,403,840	\$ 525,129,946
763	ZTE	37,506,079	\$ 864,515,121
772	CHINA LIT	38,931,843	\$ 1,345,095,176
777	NETDRAGON	1,199,000	\$ 26,258,100
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	16,551,968	\$ 12,745,015
788	CHINA TOWER	591,116,359	\$ 1,194,055,045
799	IGG	49,847,443	\$ 468,067,490

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806	VALUE PARTNERS	39,871,393	\$ 208,527,385
807	SIICENVIRONMENT	2,230,500	\$ 4,171,035
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	580,000	\$ 3,398,800
813	SHIMAO PROPERTY	33,845,381	\$ 771,674,687
816	HUADIAN FUXIN	10,102,745	\$ 16,063,365
817	CHINA JINMAO	273,891,583	\$ 1,303,723,935
818	HI SUN TECH	3,844,786	\$ 5,075,118
819	TIANNENG POWER	72,755,887	\$ 494,740,032
823	LINK REIT	16,724,796	\$ 1,559,587,227
826	TIANGONG INT'L	10,130,400	\$ 20,463,408
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	3,427,726	\$ 12,305,536
836	CHINA RES POWER	18,283,513	\$ 194,536,578
839	CHINA EDU GROUP	39,879,706	\$ 436,283,984
853	MICROPORT	47,708,909	\$ 348,752,125
855	CHINA WATER	30,732,248	\$ 241,555,469
856	VSTECS	1,984,346	\$ 8,096,132
857	PETROCHINA	183,043,699	\$ 842,001,015
860	WE SOLUTIONS	39,967,025	\$ 18,584,667
861	DC HOLDINGS	7,737,512	\$ 28,783,545
867	CMS	15,618,108	\$ 103,704,237
868	XINYI GLASS	99,736,955	\$ 838,787,792
874	BAIYUNSHAN PH	7,238,400	\$ 276,144,960
877	O-NET TECH GP	3,788,000	\$ 16,061,120
880	SJM HOLDINGS	116,594,724	\$ 1,107,649,878
881	ZHONGSHENG HLDG	72,270,646	\$ 1,376,033,100
882	TIANJIN DEV	2,126,000	\$ 5,400,040
883	CNOOC	86,161,534	\$ 1,152,841,325
884	CIFI HOLD GP	96,422,069	\$ 489,824,111
895	DONGJIANG ENV	1,639,021	\$ 13,390,802
902	HUANENG POWER	192,367,350	\$ 911,821,239
906	COFCO PACKAGING	33,996,860	\$ 104,370,360
914	CONCH CEMENT	47,449,168	\$ 2,177,916,811
916	CHINA LONGYUAN	47,413,519	\$ 239,912,406
921	HISENSE HA	3,033,507	\$ 31,669,813
931	CHINA LNG	4,078,386	\$ 4,159,954
934	SINOPEC KANTONS	17,041,049	\$ 57,087,514
939	CCB	1,039,595,042	\$ 6,726,179,922
941	CHINA MOBILE	33,710,122	\$ 2,430,499,796
950	LEE'S PHARM	2,839,500	\$ 17,093,790

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951	CHAOWEI POWER	9,009,757	\$ 30,813,369
956	CHINA SUNTIEN	4,892,094	\$ 10,811,528
958	HN RENEWABLES	83,243,862	\$ 168,985,040
960	LONGFOR GROUP	18,909,000	\$ 531,342,900
966	CHINA TAIPING	44,800,237	\$ 952,005,036
968	XINYI SOLAR	185,914,004	\$ 805,007,637
973	L'OCCITANE	3,675,000	\$ 51,376,500
976	CHIHO ENV	4,010,000	\$ 4,170,400
978	CHI MER LAND	0	\$ 0
981	SMIC	422,895,204	\$ 3,480,427,529
989	GROUND INTL DEV	9,660,000	\$ 2,898,000
991	DATANG POWER	32,344,367	\$ 61,130,854
992	LENOVO GROUP	494,190,195	\$ 3,241,887,679
995	ANHUIEXPRESSWAY	839,301	\$ 4,288,828
996	CARNIVAL GROUP	497,380,601	\$ 22,382,127
998	CITIC BANK	126,389,987	\$ 595,296,839
999	I.T	542,000	\$ 1,983,720
1003	HUANXI MEDIA	642,445	\$ 1,027,912
1004	C SMARTERENERGY	0	\$ 0
1030	FUTURE LAND	86,861,777	\$ 765,252,255
1031	KINGSTON FIN	2,938,000	\$ 5,082,740
1033	SINOPEC SSC	32,006,289	\$ 30,726,037
1038	CKI HOLDINGS	14,805,775	\$ 928,322,093
1044	HENGAN INT'L	54,698,347	\$ 3,615,560,737
1051	G-RESOURCES	24,630,972	\$ 1,576,382
1052	YUEXIUTRANSPORT	16,037,643	\$ 101,678,657
1055	CHINA SOUTH AIR	157,404,325	\$ 936,555,734
1060	ALI PICTURES	889,538,737	\$ 1,476,634,303
1061	ESSEX BIO-TECH	0	\$ 0
1066	WEIGAO GROUP	25,907,003	\$ 191,711,822
1070	TCL ELECTRONICS	8,286,370	\$ 32,731,162
1071	HUADIAN POWER	45,167,403	\$ 135,502,209
1072	DONGFANG ELEC	7,805,469	\$ 42,930,080
1076	IMPERIAL PAC	282,370,000	\$ 50,544,230
1083	TOWNGAS CHINA	4,110,715	\$ 24,376,540
1086	GOODBABY INTL	41,281,084	\$ 89,992,763
1088	CHINA SHENHUA	33,786,485	\$ 570,991,597
1089	LEYOU TECH H	15,629,752	\$ 34,229,157
1093	CSPC PHARMA	127,425,233	\$ 1,763,565,225
1098	ROAD KING INFRA	740,000	\$ 13,112,800
1099	SINOPHARM	32,434,705	\$ 984,393,297

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1109	CHINA RES LAND	40,827,901	\$ 1,349,362,128
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	14,257,311	\$ 615,915,835
1113	CK ASSET	4,707,772	\$ 292,117,253
1114	BRILLIANCE CHI	355,442,383	\$ 2,779,559,435
1115	TIBET WATER	17,771,000	\$ 39,096,200
1117	CH MODERN D	5,300,894	\$ 5,883,992
1122	QINGLING MOTORS	578,000	\$ 1,288,940
1128	WYNN MACAU	86,894,168	\$ 1,710,077,226
1129	WATER INDUSTRY	4,280,000	\$ 4,151,600
1131	AGRITRADE RES	100,830,284	\$ 114,946,524
1133	HARBIN ELECTRIC	39,433,351	\$ 168,774,742
1138	COSCO SHIP ENGY	50,487,272	\$ 226,182,979
1140	OP FINANCIAL	10,540,000	\$ 26,560,800
1141	CMBC CAPITAL	252,119,319	\$ 64,290,426
1157	ZOOMLION	37,211,537	\$ 162,614,417
1169	HAIER ELEC	32,801,932	\$ 682,280,186
1171	YANZHOU COAL	57,180,433	\$ 449,438,203
1176	ZHUGUANG HOLD	7,264,000	\$ 8,716,800
1177	SINO BIOPHARM	356,943,721	\$ 2,634,244,661
1186	CHINA RAIL CONS	111,078,147	\$ 1,031,915,986
1193	CHINA RES GAS	43,961,186	\$ 1,602,385,230
1196	REALORD GROUP	0	\$ 0
1199	COSCO SHIP PORT	20,262,394	\$ 147,510,228
1205	CITIC RESOURCES	11,242,837	\$ 7,532,701
1208	MMG	171,360,181	\$ 503,798,932
1211	BYD COMPANY	143,370,446	\$ 7,068,162,988
1212	LIFESTYLE INT'L	1,805,070	\$ 22,130,158
1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1223	SYMPHONY HOLD	1,920,000	\$ 1,900,800
1224	C C LAND	1,257,000	\$ 2,237,460
1230	YASHILI INT'L	7,162,924	\$ 7,306,182
1233	TIMES CHINA	7,574,328	\$ 104,828,700
1234	CHINA LILANG	0	\$ 0
1238	POWERLONG	3,615,000	\$ 13,194,750
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	71,147,382	\$ 8,181,949
1252	CHINA TIANRUI	0	\$ 0
1257	CEB GREENTECH	13,132,815	\$ 72,361,811
1258	CHINFMINING	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 10/05/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1266	XIWANG STEEL	1,304,000	\$ 1,812,560
1270	LANGHAM-SS	1,600,000	\$ 5,088,000
1282	CHINA GOLDJOY	84,704,199	\$ 24,140,697
1288	ABC	346,213,648	\$ 1,184,050,676
1293	GRAND BAOXIN	51,557,231	\$ 133,017,656
1299	AIA	67,601,426	\$ 5,269,531,157
1302	LIFETECH SCI	33,202,550	\$ 52,128,004
1308	SITC	1,131,155	\$ 9,230,225
1310	HKBN	2,916,621	\$ 39,199,386
1313	CHINARES CEMENT	93,533,271	\$ 679,986,880
1316	NEXTEER	41,837,299	\$ 460,210,289
1317	MAPLELEAF EDU	76,770,283	\$ 252,574,231
1333	CHINA ZHONGWANG	79,206,362	\$ 311,281,003
1336	NCI	19,240,880	\$ 740,773,880
1337	RAZER	121,148,147	\$ 222,912,590
1339	PICC GROUP	158,797,535	\$ 479,568,556
1347	HUA HONG SEMI	47,684,107	\$ 816,351,912
1359	CHINA CINDA	320,271,902	\$ 618,124,771
1360	MEGAEXPO HLDG	52,098,078	\$ 153,689,330
1361	361 DEGREES	39,324,508	\$ 61,739,478
1363	CTEG	53,074,868	\$ 18,045,455
1368	XTEP INT'L	27,453,378	\$ 131,501,681
1375	CC SECURITIES	16,485,058	\$ 31,321,610
1378	CHINAHONGQIAO	75,678,334	\$ 436,663,987
1381	CANVEST ENV	10,799,978	\$ 38,879,921
1382	PACIFICTEXTILES	4,969,685	\$ 33,992,645
1383	SUNCITY GROUP	10,230,000	\$ 22,403,700
1385	SHANGHAI FUDAN	2,164,000	\$ 23,890,560
1387	RENHE COMM	80,419,545	\$ 18,496,495
1398	ICBC	353,133,379	\$ 1,949,296,252
1426	SPRING REIT	0	\$ 0
1432	CHINA SHENGMU	38,493,694	\$ 13,087,856
1448	FU SHOU YUAN	17,486,706	\$ 114,363,057
1458	ZHOU HEI YA	60,535,303	\$ 234,271,623
1478	Q TECH	14,428,721	\$ 110,668,290
1508	CHINA RE	52,852,022	\$ 79,278,033
1513	LIVZON PHARMA	2,220,912	\$ 60,075,670
1515	CR MEDICAL	19,674,476	\$ 107,422,639
1518	NC HEALTHCARE	0	\$ 0
1528	RS MACALLINE	2,573,226	\$ 18,347,101
1530	3SBIO	89,395,692	\$ 1,278,358,396

Aggregated Reportable Short Positions of Specified Shares as of 10/05/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1548	GENSCRIPT BIO	63,853,621	\$ 1,177,460,771
1551	GRCB	0	\$ 0
1558	HEC PHARM	5,076,400	\$ 190,618,820
1561	MANFIELD CHEM	0	\$ 0
1566	CHINA ANIMATION	10,513,274	\$ 22,603,539
1568	SUNDART HLDGS	0	\$ 0
1569	MINSHENG EDU	0	\$ 0
1573	SOUTHERN ENERGY	152,000	\$ 2,571,840
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	23,491,888	\$ 853,930,129
1585	YADEA	12,034,000	\$ 29,844,320
1589	CNLP	4,162,210	\$ 12,278,520
1600	TIAN LUN GAS	1,291,500	\$ 9,828,315
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	40,666,691	\$ 127,693,410
1617	NANFANG COMM	560,000	\$ 2,385,600
1618	MCC	17,889,290	\$ 36,315,259
1622	REDCO GROUP	27,200,277	\$ 82,416,839
1628	YUZHOU PPT	28,668,504	\$ 109,227,000
1636	CMRU	719,730	\$ 2,742,171
1638	KAISA GROUP	118,324,433	\$ 369,172,231
1658	PSBC	938,343,858	\$ 4,316,381,747
1660	ZHAOBANGJI PPT	1,256,000	\$ 10,362,000
1666	TONG REN TANG	14,554,586	\$ 149,330,052
1668	CHINASOUTHCITY	25,455,606	\$ 29,019,391
1672	ASCLETIS-B	0	\$ 0
1680	MACAU LEGEND	4,051,000	\$ 5,185,280
1681	CONSUN PHARMA	3,391,000	\$ 17,192,370
1686	SUNEVISION	3,825,992	\$ 25,748,926
1708	SAMPLE TECH	0	\$ 0
1717	AUSNUTRIA	6,541,000	\$ 96,806,800
1720	PUTIAN COMM	0	\$ 0
1727	HEBEI CONS	0	\$ 0
1728	ZHENGTONGAUTO	143,979,355	\$ 558,639,897
1765	HOPE EDU	23,034,000	\$ 25,107,060
1766	CRRC	97,235,316	\$ 650,504,264
1772	GANFENGLITHIUM	3,240,244	\$ 39,206,952
1776	GF SEC	57,285,096	\$ 555,665,431
1777	FANTASIA	5,787,000	\$ 7,638,840
1778	COLOUR LIFE	8,093,609	\$ 41,277,406

Aggregated Reportable Short Positions of Specified Shares as of 10/05/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1787	SD GOLD	397,250	\$ 7,309,400
1788	GUOTAI JUNAN I	298,940,040	\$ 436,452,458
1789	AK MEDICAL	6,453,118	\$ 24,392,786
1798	DATANG RENEW	733,000	\$ 601,060
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	83,419,730	\$ 602,290,451
1803	BJ SPORTS & ENT	8,941,482	\$ 20,386,579
1806	HUIFU PAYMENT	2,139,200	\$ 8,941,856
1810	XIAOMI-W	731,881,711	\$ 7,787,221,405
1811	CGN NEW ENERGY	1,784,000	\$ 1,998,080
1812	CHENMING PAPER	4,271,815	\$ 15,848,434
1813	KWG GROUP	63,783,524	\$ 543,435,624
1816	CGN POWER	442,830,424	\$ 898,945,761
1818	ZHAOJIN MINING	72,954,256	\$ 510,679,792
1828	DCH HOLDINGS	1,488,000	\$ 4,092,000
1829	CMEC	6,222,706	\$ 22,028,379
1833	PA GOODDOCTOR	31,977,600	\$ 1,235,934,240
1836	STELLA HOLDINGS	0	\$ 0
1848	CALC	2,185,000	\$ 19,228,000
1862	JINGRUI HLDGS	3,482,000	\$ 7,660,400
1866	CHINA XLX FERT	0	\$ 0
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	11,784,481	\$ 207,406,866
1883	CITIC TELECOM	5,056,259	\$ 16,028,341
1888	KB LAMINATES	28,638,467	\$ 219,943,427
1898	CHINA COAL	25,917,725	\$ 83,455,075
1899	XINGDA INT'L	9,031,634	\$ 21,495,289
1908	C&D INTL GROUP	838,000	\$ 8,103,460
1910	SAMSONITE	50,559,381	\$ 1,074,386,846
1911	CR HOLDINGS	132,400	\$ 2,414,976
1913	PRADA	21,206,335	\$ 505,771,090
1916	JIANGXI BANK	2,546,000	\$ 15,861,580
1918	SUNAC	81,825,980	\$ 3,080,748,147
1919	COSCO SHIP HOLD	105,187,947	\$ 340,808,948
1928	SANDS CHINA LTD	64,384,496	\$ 2,668,737,359
1929	CHOW TAI FOOK	6,031,800	\$ 47,108,358
1958	BAIC MOTOR	75,636,620	\$ 374,401,269
1963	BCQ	2,381,309	\$ 11,430,283
1966	CHINA SCE GROUP	36,894,694	\$ 133,927,739
1970	IMAX CHINA	3,626,725	\$ 72,026,759
1972	SWIREPROPERTIES	7,695,212	\$ 244,322,981

Aggregated Reportable Short Positions of Specified Shares as of 10/05/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1980	TIANGE	9,512,269	\$ 21,973,341
1988	MINSHENG BANK	220,155,246	\$ 1,237,272,483
1996	RSUN PPT	0	\$ 0
1997	WHARF REIC	12,289,346	\$ 715,854,405
1999	MAN WAH HLDGS	82,239,631	\$ 319,089,768
2001	NEW HIGHER EDU	44,742,561	\$ 143,623,621
2003	VCREDIT	624,600	\$ 5,309,100
2005	SSY GROUP	48,059,285	\$ 328,725,509
2006	JINJIANG HOTELS	13,034,000	\$ 24,503,920
2007	COUNTRY GARDEN	526,472,099	\$ 6,275,547,420
2009	BBMG	176,861,829	\$ 445,691,809
2014	OZNER WATER	41,396,000	\$ 56,712,520
2016	CZBANK	0	\$ 0
2018	AAC TECH	78,638,552	\$ 3,688,148,089
2020	ANTA SPORTS	12,631,122	\$ 683,975,256
2038	FIH	204,316,550	\$ 226,791,371
2039	CIMC	765,338	\$ 6,796,201
2048	E-HOUSE ENT	1,368,600	\$ 13,631,256
2051	51 CREDIT CARD	1,517,625	\$ 7,800,593
2066	SHENGJINGBANK	0	\$ 0
2098	ZALL SMARTCOM	2,821,000	\$ 8,463,000
2099	CHINAGOLDINTL	175,800	\$ 1,715,808
2128	CHINA LESSO	3,322,000	\$ 18,038,460
2136	LIFESTYLE CHI	8,777,839	\$ 23,875,722
2139	BANK OF GANSU	0	\$ 0
2186	LUYE PHARMA	150,325,634	\$ 1,040,253,387
2196	FOSUN PHARMA	41,774,416	\$ 1,027,650,634
2198	CHINA SANJIANG	2,089,200	\$ 3,990,372
2202	CHINA VANKE	34,662,883	\$ 1,010,423,039
2208	GOLDWIND	39,636,216	\$ 325,016,971
2232	CRYSTAL INTL	6,090,314	\$ 22,229,646
2233	WESTCHINACEMENT	30,092,250	\$ 31,295,940
2238	GAC GROUP	227,187,555	\$ 1,797,053,560
2255	HAICHANG HLDG	2,764,000	\$ 4,284,200
2269	WUXI BIO	23,249,720	\$ 1,837,890,366
2280	HC GROUP	30,486,441	\$ 107,617,137
2282	MGM CHINA	98,405,600	\$ 1,407,200,080
2283	TK GROUP HLDG	0	\$ 0
2298	COSMO LADY	5,493,890	\$ 12,086,558
2299	BILLION IND	0	\$ 0
2303	HENGXING GOLD	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 10/05/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2313	SHENZHOU INTL	5,487,587	\$ 564,123,944
2314	LEE & MAN PAPER	25,578,530	\$ 147,332,333
2318	PING AN	1,227,771,847	\$ 109,087,528,606
2319	MENGNU DAIRY	51,408,059	\$ 1,524,248,949
2322	NOBLE CENTURY	0	\$ 0
2328	PICC P&C	108,773,870	\$ 875,629,654
2329	GUORUI PPT	11,085,268	\$ 17,847,281
2331	LI NING	42,591,842	\$ 575,841,704
2333	GREATWALL MOTOR	607,120,362	\$ 3,588,081,339
2338	WEICHAI POWER	117,943,119	\$ 1,502,595,336
2342	COMBA	113,349,696	\$ 191,560,986
2343	PACIFIC BASIN	278,392,362	\$ 414,804,619
2356	DAHSHING BANKING	1,559,482	\$ 23,080,334
2357	AVICHINA	126,312,073	\$ 555,773,121
2359	WUXI APPTec	7,876,746	\$ 680,157,017
2362	JINCHUAN INTL	61,187,000	\$ 42,219,030
2378	PRU	0	\$ 0
2380	CHINA POWER	101,472,212	\$ 198,885,536
2382	SUNNY OPTICAL	56,073,876	\$ 5,085,900,553
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	13,414,234	\$ 95,375,204
2388	BOC HONG KONG	35,184,359	\$ 1,159,324,629
2393	YESTAR HEALTH	0	\$ 0
2399	FORDOO	0	\$ 0
2488	LAUNCH TECH	128,500	\$ 941,905
2552	HUA MEDICINE-B	1,831,500	\$ 12,637,350
2588	BOC AVIATION	14,211,321	\$ 925,867,563
2600	CHALCO	184,980,994	\$ 504,998,114
2601	CPIC	139,868,895	\$ 4,154,106,182
2607	SH PHARMA	14,386,551	\$ 225,293,389
2608	SUNSHINE 100	4,422,000	\$ 6,721,440
2611	GTJA	10,210,993	\$ 154,798,654
2628	CHINA LIFE	92,390,803	\$ 1,807,164,107
2638	HKELECTRIC-SS	125,468,937	\$ 959,837,368
2662	CAMSING INTL	20,360,587	\$ 162,070,273
2666	UNI MEDICAL	40,006,142	\$ 236,036,238
2669	CHINA OVS PPT	28,395,672	\$ 106,199,813
2678	TEXHONG TEXTILE	893,544	\$ 8,953,311
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	22,034,446	\$ 1,652,583,450
2689	ND PAPER	106,179,250	\$ 767,675,978

Aggregated Reportable Short Positions of Specified Shares as of 10/05/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2698	WEIQIAO TEXTILE	234,500	\$ 675,360
2727	SH ELECTRIC	97,683,656	\$ 278,398,420
2768	JIAYUAN INTL	105,057,472	\$ 364,549,428
2777	R&F PROPERTIES	105,882,475	\$ 1,575,531,228
2778	CHAMPION REIT	11,893,921	\$ 78,856,696
2779	CH XINHUA EDU	1,191,000	\$ 3,144,240
2799	CHINA HUARONG	474,586,603	\$ 692,896,440
2800	TRACKER FUND	23,322,000	\$ 669,341,400
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PREMIA BEDROCK	0	\$ 0
2805	VANGUARDASXJP	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMUNGCDRAGON	0	\$ 0
2813	CAM CTPB BOND	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	53,119,649	\$ 754,299,016
2823	ISHARES A50	55,596,312	\$ 786,131,852
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	3,886,250	\$ 429,042,000
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	34,034,323	\$ 62,963,498
2866	COSCO SHIP DEV	75,107,855	\$ 78,863,248
2868	BJ CAPITAL LAND	39,011,047	\$ 104,939,716
2869	GREENTOWN SER	33,242,000	\$ 209,424,600
2877	SHINEWAY PHARM	4,315,803	\$ 32,713,787
2880	DALIAN PORT	6,196,288	\$ 6,134,325

Aggregated Reportable Short Positions of Specified Shares as of 10/05/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2883	CHINA OILFIELD	91,797,735	\$ 697,662,786
2888	STANCHART	1,948,275	\$ 135,794,768
2899	ZIJIN MINING	92,318,904	\$ 263,108,876
3007	TRFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0
3023	PA AI&ROBOTICS	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X TRMSCIRUSSIA	0	\$ 0
3036	TRMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	TRMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3065	TRMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3079	CICC CGB	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VANGUARDAXJHY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3093	CICCSELECT100	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3096	CSOP USD MM	0	\$ 0
3097	F MR OIL ETF	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 10/05/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VANGUARDDDVEUR	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3126	VANGUARDJAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VANGUARDSP500	0	\$ 0
3141	BMO ASIA IGB	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3150	HGI CSI500	0	\$ 0
3155	ISHARESES50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3163	PA MULTI-FACTOR	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3166	PA QLTY FACTOR	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0
3169	VANGUARDCHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3173	PREMIA NEW ECON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 10/05/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3181	PREMIAASIA TEC	0	\$ 0
3182	WISE NEW ECON50	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3188	CAM CSI300	40,744,499	\$ 1,758,125,132
3197	CAM MSCI AINC	0	\$ 0
3198	PING AN 5HANDL	0	\$ 0
3199	CSOP 5YCGBOND	0	\$ 0
3301	RONSHINECHINA	8,248,000	\$ 83,469,760
3306	JNBY	1,714,500	\$ 25,717,500
3308	GOLDEN EAGLE	576,000	\$ 5,212,800
3309	C-MER EYE	4,049,000	\$ 16,641,390
3311	CHINA STATE CON	197,016,250	\$ 1,507,174,313
3319	A-LIVING	6,043,790	\$ 70,107,964
3320	CHINARES PHARMA	12,066,000	\$ 129,347,520
3323	CNBM	121,183,992	\$ 808,297,227
3328	BANKCOMM	102,822,689	\$ 634,415,991
3331	VINDA INT'L	251,000	\$ 3,443,720
3333	EVERGRANDE	306,511,036	\$ 7,003,777,173
3336	JU TENG INTL	2,876,000	\$ 6,212,160
3337	ANTON OILFIELD	40,626,000	\$ 41,438,520
3339	LONKING	33,422,351	\$ 81,884,760
3360	FE HORIZON	30,000,166	\$ 243,301,346
3369	QHD PORT	0	\$ 0
3377	SINO-OCEAN GP	101,790,622	\$ 335,909,053
3380	LOGAN PPT	55,334,590	\$ 655,161,546
3382	TIANJINPORT DEV	6,042,000	\$ 5,135,700
3383	AGILE GROUP	76,898,270	\$ 845,880,970
3393	WASION HOLDINGS	2,334,671	\$ 9,058,523
3396	LEGENDHOLDING	6,588,964	\$ 129,802,591
3606	FUYAO GLASS	14,738,059	\$ 373,609,796
3613	TONGRENTANGCM	1,780,000	\$ 26,272,800
3618	CQRC BANK	55,489,679	\$ 230,282,168
3633	ZHONGYU GAS	10,882,997	\$ 77,269,279
3639	YIDA CHINA	0	\$ 0
3669	YONGDA AUTO	27,044,798	\$ 183,634,178
3683	GREAT HARVEST	1,220,000	\$ 1,939,800
3690	MEITUAN-W	114,940,322	\$ 6,775,731,982
3698	HUI SHANG BANK	0	\$ 0
3700	INKE	23,200,000	\$ 45,472,000
3709	KORADIOR	0	\$ 0
3799	DALI FOODS	106,421,482	\$ 589,575,010

Aggregated Reportable Short Positions of Specified Shares as of 10/05/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3800	GCL-POLY ENERGY	918,470,359	\$ 468,419,883
3808	SINOTRUK	198,010,655	\$ 3,061,244,726
3813	POU SHENG INT'L	23,661,600	\$ 41,171,184
3818	CHINA DONGXIANG	36,676,976	\$ 38,877,595
3836	HARMONY AUTO	34,931,300	\$ 91,170,693
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	35,405,000	\$ 332,807,000
3888	KINGSOFT	81,051,568	\$ 1,471,896,475
3898	CRRR TIMES ELEC	15,227,172	\$ 596,905,142
3899	CIMC ENRIC	6,176,008	\$ 44,405,498
3900	GREENTOWN CHINA	23,702,423	\$ 143,162,635
3908	CICC	129,363,279	\$ 2,012,892,621
3918	NAGACORP	12,629,132	\$ 115,556,558
3933	UNITED LAB	129,829,113	\$ 577,739,553
3958	DFZQ	4,305,200	\$ 23,291,132
3968	CM BANK	174,900,958	\$ 6,480,080,494
3969	CHINA CRSC	33,060,153	\$ 178,524,826
3983	CHINA BLUECHEM	24,170,074	\$ 66,226,003
3988	BANK OF CHINA	272,024,632	\$ 954,806,458
3993	CMOC	627,477,259	\$ 1,656,539,964
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	48,662,319	\$ 97,811,261
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	242,680,264	\$ 3,810,080,145
6058	CISI FIN	0	\$ 0
6060	ZA ONLINE	36,739,187	\$ 901,947,041
6066	CSC	18,630,000	\$ 112,525,200
6068	WISDOM EDU INTL	5,378,000	\$ 22,049,800
6088	FIT HON TENG	1,738,000	\$ 6,395,840
6098	CG SERVICES	36,627,660	\$ 538,426,602
6099	CMSC	1,338,000	\$ 13,406,760
6100	TALENT LIEPIN	5,714,400	\$ 123,716,760
6116	LA CHAPELLE	67,000	\$ 271,350
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 10/05/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6158	ZHENRO PPT	4,500,000	\$ 23,175,000
6160	BEIGENE-B	0	\$ 0
6166	CHINA VAST	0	\$ 0
6168	CHINA U-TON	0	\$ 0
6169	YUHUA EDU	199,303,016	\$ 677,630,254
6178	EB SECURITIES	3,927,200	\$ 27,411,856
6190	BANKOFJIUJIANG	0	\$ 0
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	4,687,021	\$ 25,731,745
6808	SUNART RETAIL	124,935,088	\$ 813,327,423
6818	CEB BANK	74,325,392	\$ 267,571,411
6823	HKT-SS	12,013,650	\$ 148,728,987
6826	HAOHAI BIOTEC	431,900	\$ 21,098,315
6828	BG BLUE SKY	151,132,581	\$ 34,911,626
6837	HAITONG SEC	106,977,692	\$ 979,915,659
6858	HONMAGOLF	186,500	\$ 1,406,210
6860	FINGERTANGO	0	\$ 0
6862	HAIDILAO	9,000,000	\$ 259,650,000
6868	TENFU	0	\$ 0
6869	YOFC	23,199,500	\$ 412,951,100
6878	DIFFER GROUP	0	\$ 0
6881	CGS	223,222,723	\$ 1,051,379,025
6886	HTSC	81,505,185	\$ 1,084,018,961
6889	DYNAM JAPAN	0	\$ 0
7200	FL2 CSOP HSI	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 10/05/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7331	FICAMDXNNDQ100	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8032	VIVA CHINA	4,686,976	\$ 2,320,053
8047	CHINAOCEAN FISH	1,256,000	\$ 496,120
8057	MADISON HLDG	4,228,000	\$ 2,071,720
8083	CHINA YOUZAN	179,467,212	\$ 102,296,311
8137	HONBRIDGE	14,122,624	\$ 11,298,099
8207	CHONGSING HLDGS	53,435,900	\$ 2,458,051
8265	CHINA TRUSTFUL	0	\$ 0
8279	AGTECH HOLDINGS	0	\$ 0
8283	ZHENG LI HLDG	4,155,000	\$ 5,817,000
8293	SINGASIA HLDG	540,000	\$ 2,700,000
8469	SHENGYE CAPITAL	1,740,474	\$ 12,026,675
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARESMSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9085	VANGUARDAXJHY-U	0	\$ 0
9086	BMO NASDAQ100-U	0	\$ 0
9096	CSOP USD MM-U	0	\$ 0
9101	VANGUARDDVEUR-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9126	VANGUARDJAPAN-U	0	\$ 0
9140	VANGUARDSP500-U	0	\$ 0
9141	BMO ASIA IGB-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESES50-U	0	\$ 0
9167	ICBCCSOPCHINA-U	0	\$ 0
9169	VANGUARDCHINA-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9181	PREMIAASIA TEC-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9199	CSOP 5YCGBOND-U	0	\$ 0
9805	VANGUARDASXJP-U	0	\$ 0
9810	PREMIAEMASEAN-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 10/05/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
80737	HOPEWELL INFR-R	0	\$ 0
82805	VANGUARDASXJP-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82813	CAM CTPB BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HSCEI ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83079	CICC CGB-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83085	VANGUARDAXJHY-R	0	\$ 0
83093	CICCSELECT100-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83101	VANGUARDDDVEUR-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83126	VANGUARDJAPAN-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83140	VANGUARDSP500-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 10/05/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83169	VANGUARDCHINA-R	0	\$ 0
83170	ISHARESXS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP 5YCGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0