

Aggregated Reportable Short Positions of Specified Shares as of 09/08/2019

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1 | CKH HOLDINGS | 6,240,569 | \$ 424,046,664 |
| 2 | CLP HOLDINGS | 12,815,188 | \$ 1,092,494,777 |
| 3 | HK & CHINA GAS | 161,859,210 | \$ 2,758,080,938 |
| 4 | WHARF HOLDINGS | 34,381,099 | \$ 592,042,525 |
| 5 | HSBC HOLDINGS | 100,577,774 | \$ 6,034,666,440 |
| 6 | POWER ASSETS | 27,233,142 | \$ 1,499,184,467 |
| 8 | PCCW | 93,649,874 | \$ 414,868,942 |
| 10 | HANG LUNG GROUP | 3,141,922 | \$ 61,267,479 |
| 11 | HANG SENG BANK | 13,880,837 | \$ 2,394,444,383 |
| 12 | HENDERSON LAND | 13,984,574 | \$ 532,113,041 |
| 14 | HYSAN DEV | 4,742,999 | \$ 161,261,966 |
| 16 | SHK PPT | 11,771,659 | \$ 1,318,425,808 |
| 17 | NEW WORLD DEV | 48,839,441 | \$ 472,277,394 |
| 19 | SWIRE PACIFIC A | 15,284,753 | \$ 1,250,292,795 |
| 20 | WHEELLOCK | 4,570,303 | \$ 211,147,999 |
| 23 | BANK OF E ASIA | 30,360,428 | \$ 642,123,052 |
| 25 | CHEVALIER INT'L | 0 | \$ 0 |
| 26 | CHINA MOTOR BUS | 0 | \$ 0 |
| 27 | GALAXY ENT | 49,666,739 | \$ 2,336,820,070 |
| 28 | TIAN AN | 0 | \$ 0 |
| 32 | CROSS-HAR(HOLD) | 0 | \$ 0 |
| 34 | KOWLOON DEV | 0 | \$ 0 |
| 35 | FE CONSORT INTL | 4,151,839 | \$ 14,905,102 |
| 41 | GREAT EAGLE H | 1,274,700 | \$ 37,986,060 |
| 43 | C.P. POKPHAND | 0 | \$ 0 |
| 45 | HK&S HOTELS | 4,099,626 | \$ 39,520,395 |
| 50 | HK FERRY (HOLD) | 0 | \$ 0 |
| 51 | HARBOUR CENTRE | 0 | \$ 0 |
| 52 | FAIRWOOD HOLD | 390,892 | \$ 9,811,389 |
| 53 | GUOCO GROUP | 0 | \$ 0 |
| 56 | ALLIED PPT (HK) | 0 | \$ 0 |
| 62 | TRANSPORT INT'L | 0 | \$ 0 |
| 64 | GET NICE | 0 | \$ 0 |
| 66 | MTR CORPORATION | 37,745,311 | \$ 1,855,182,036 |
| 69 | SHANGRI-LA ASIA | 46,796,733 | \$ 404,323,773 |
| 71 | MIRAMAR HOTEL | 0 | \$ 0 |
| 75 | Y.T. REALTY | 0 | \$ 0 |
| 78 | REGAL INT'L | 0 | \$ 0 |
| 81 | CH OVS G OCEANS | 3,213,000 | \$ 11,855,970 |
| 83 | SINO LAND | 29,440,104 | \$ 336,205,988 |
| 86 | SUN HUNG KAI CO | 0 | \$ 0 |

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|------------|-----------------|--|--|
| 88 | TAI CHEUNG HOLD | 0 | \$ 0 |
| 95 | LVGEM CHINA | 3,564,000 | \$ 9,515,880 |
| 101 | HANG LUNG PPT | 63,733,268 | \$ 1,159,945,478 |
| 102 | SUMMIT ASCENT | 3,062,161 | \$ 3,490,864 |
| 105 | ASSO INT HOTELS | 0 | \$ 0 |
| 106 | LANDSEA GREEN | 0 | \$ 0 |
| 107 | SICHUAN EXPRESS | 1,626,000 | \$ 3,642,240 |
| 112 | LERTHAI GROUP | 0 | \$ 0 |
| 116 | CHOW SANG SANG | 136,000 | \$ 1,360,000 |
| 119 | POLY PROPERTY | 5,596,459 | \$ 14,830,616 |
| 120 | COSMOPOL INT'L | 982,000 | \$ 1,394,440 |
| 123 | YUEXIU PROPERTY | 21,158,075 | \$ 34,910,824 |
| 127 | CHINESE EST H | 898,500 | \$ 5,516,790 |
| 135 | KUNLUN ENERGY | 19,352,810 | \$ 127,728,546 |
| 136 | HENGTEN NET | 1,975,046,396 | \$ 268,606,310 |
| 142 | FIRST PACIFIC | 29,405,888 | \$ 94,392,900 |
| 144 | CHINA MER PORT | 24,919,673 | \$ 301,528,043 |
| 147 | IB SETTLEMENT | 0 | \$ 0 |
| 148 | KINGBOARD HLDG | 11,356,979 | \$ 197,157,155 |
| 151 | WANT WANT CHINA | 381,797,246 | \$ 2,271,693,614 |
| 152 | SHENZHEN INT'L | 6,349,093 | \$ 89,141,266 |
| 158 | MELBOURNE ENT | 0 | \$ 0 |
| 161 | AVIC IHL | 2,154,000 | \$ 10,080,720 |
| 163 | EMPEROR INT'L | 0 | \$ 0 |
| 165 | CHINA EB LTD | 4,683,709 | \$ 42,481,241 |
| 168 | TSINGTAO BREW | 35,508,831 | \$ 1,668,915,057 |
| 171 | SILVER GRANT | 17,160,000 | \$ 23,852,400 |
| 173 | K. WAH INT'L | 1,524,826 | \$ 5,824,835 |
| 175 | GEELY AUTO | 405,012,132 | \$ 4,487,534,423 |
| 177 | JIANGSU EXPRESS | 6,258,286 | \$ 65,336,506 |
| 178 | SA SA INT'L | 146,869,054 | \$ 279,051,203 |
| 179 | JOHNSON ELEC H | 4,473,875 | \$ 60,039,403 |
| 182 | CONCORD NE | 14,785,394 | \$ 5,470,596 |
| 189 | DONGYUE GROUP | 25,943,718 | \$ 104,034,309 |
| 191 | LAI SUN INT'L | 0 | \$ 0 |
| 194 | LIU CHONG HING | 0 | \$ 0 |
| 196 | HONGHUA GROUP | 16,199,000 | \$ 9,071,440 |
| 200 | MELCO INT'L DEV | 17,399,054 | \$ 292,304,107 |
| 202 | EVERCHINA INT'L | 0 | \$ 0 |
| 207 | JOY CITY PPT | 0 | \$ 0 |
| 215 | HUTCHTEL HK | 48,113,840 | \$ 69,283,930 |

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| 220 | U-PRESID CHINA | 20,748,069 | \$ 186,525,140 |
| 225 | POKFULAM | 0 | \$ 0 |
| 230 | MINMETALS LAND | 3,142,000 | \$ 3,644,720 |
| 241 | ALI HEALTH | 146,069,905 | \$ 949,454,383 |
| 242 | SHUN TAK HOLD | 13,732,396 | \$ 35,429,582 |
| 245 | CN MINSHENG FIN | 7,185,496 | \$ 948,485 |
| 247 | TST PROPERTIES | 0 | \$ 0 |
| 251 | SEA HOLDINGS | 0 | \$ 0 |
| 256 | CITYCHAMP | 5,184,000 | \$ 8,916,480 |
| 257 | CHINA EB INT'L | 138,616,110 | \$ 930,114,098 |
| 258 | TOMSON GROUP | 1,042,342 | \$ 2,220,188 |
| 261 | GBA INV HLDGS | 0 | \$ 0 |
| 266 | TIAN TECK LAND | 0 | \$ 0 |
| 267 | CITIC | 38,325,415 | \$ 361,025,409 |
| 268 | KINGDEE INT'L | 300,105,835 | \$ 2,202,776,829 |
| 270 | GUANGDONG INV | 4,917,444 | \$ 82,022,966 |
| 272 | SHUI ON LAND | 18,310,252 | \$ 28,747,096 |
| 273 | MASON GP HOLD | 109,548,345 | \$ 12,050,318 |
| 285 | BYD ELECTRONIC | 93,202,172 | \$ 991,671,110 |
| 288 | WH GROUP | 184,231,749 | \$ 1,282,252,973 |
| 289 | WING ON CO | 0 | \$ 0 |
| 291 | CHINA RES BEER | 33,429,946 | \$ 1,231,893,510 |
| 293 | CATHAY PAC AIR | 37,872,374 | \$ 390,085,452 |
| 297 | SINOFERT | 63,149,113 | \$ 53,045,255 |
| 303 | VTECH HOLDINGS | 3,157,582 | \$ 199,401,303 |
| 308 | CHINA TRAVEL HK | 39,600,794 | \$ 52,273,048 |
| 315 | SMARTONE TELE | 2,434,790 | \$ 17,652,228 |
| 316 | OOIL | 0 | \$ 0 |
| 317 | COMEC | 6,199,740 | \$ 43,770,164 |
| 321 | TEXWINCA HOLD | 6,208,193 | \$ 13,223,451 |
| 322 | TINGYI | 65,048,471 | \$ 777,979,713 |
| 323 | MAANSHAN IRON | 290,996,584 | \$ 858,439,923 |
| 326 | CHINA STAR ENT | 8,846,000 | \$ 7,430,640 |
| 327 | PAX GLOBAL | 26,056,208 | \$ 79,731,996 |
| 330 | ESPRIT HOLDINGS | 67,905,975 | \$ 84,882,469 |
| 332 | YUANHENG GAS | 0 | \$ 0 |
| 336 | HUABAO INTL | 6,725,292 | \$ 20,579,394 |
| 337 | GREENLAND HK | 7,131,180 | \$ 19,254,186 |
| 338 | SHANGHAI PECEM | 125,672,738 | \$ 317,952,027 |
| 341 | CAFE DE CORAL H | 526,000 | \$ 13,439,300 |
| 342 | NEWOCEAN ENERGY | 55,750,744 | \$ 93,661,250 |

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|------------|-----------------|--|--|
| 345 | VITASOY INT'L | 52,489,128 | \$ 1,928,975,454 |
| 347 | ANGANG STEEL | 144,356,354 | \$ 399,867,101 |
| 354 | CHINASOFT INT'L | 225,202,413 | \$ 810,728,687 |
| 358 | JIANGXI COPPER | 21,091,312 | \$ 192,141,852 |
| 363 | SHANGHAI IND H | 553,000 | \$ 8,372,420 |
| 367 | CHUANG'S INT'L | 0 | \$ 0 |
| 369 | WING TAI PPT | 0 | \$ 0 |
| 371 | BJ ENT WATER | 195,108,411 | \$ 809,699,906 |
| 373 | ALLIED GROUP | 0 | \$ 0 |
| 376 | YUNFENG FIN | 0 | \$ 0 |
| 383 | CHINA MED&HCARE | 13,620,000 | \$ 2,111,100 |
| 384 | CHINA GAS HOLD | 124,972,769 | \$ 3,924,144,947 |
| 386 | SINOPEC CORP | 344,898,316 | \$ 1,621,022,085 |
| 388 | HKEX | 22,527,601 | \$ 5,456,184,962 |
| 390 | CHINA RAILWAY | 48,411,497 | \$ 255,128,589 |
| 392 | BEIJING ENT | 1,953,843 | \$ 73,269,113 |
| 400 | COGOBUY | 13,782,562 | \$ 24,808,612 |
| 405 | YUEXIU REIT | 0 | \$ 0 |
| 410 | SOHO CHINA | 20,500,475 | \$ 48,586,126 |
| 412 | CHINA SDHS FIN | 33,540,000 | \$ 8,888,100 |
| 416 | BANKOFJINZHOU | 0 | \$ 0 |
| 419 | HUAYI TENCENT | 51,488,194 | \$ 7,723,229 |
| 425 | MINTH GROUP | 48,637,431 | \$ 977,612,363 |
| 435 | SUNLIGHT REIT | 0 | \$ 0 |
| 439 | KUANGCHI | 42,739,604 | \$ 17,736,936 |
| 440 | DAH SING | 94,800 | \$ 3,000,420 |
| 451 | GCL NEWENERGY | 56,267,886 | \$ 17,443,045 |
| 456 | NEW CITY DEV | 3,040,000 | \$ 562,400 |
| 460 | SIHUAN PHARM | 86,939,267 | \$ 130,408,901 |
| 467 | UNITEDENERGY GP | 22,137,588 | \$ 30,549,871 |
| 468 | GAPACK | 530,000 | \$ 2,183,600 |
| 472 | NEW SILKROAD | 0 | \$ 0 |
| 480 | HKR INT'L | 305,920 | \$ 1,122,726 |
| 486 | RUSAL | 27,887,000 | \$ 90,911,620 |
| 488 | LAI SUN DEV | 200,400 | \$ 2,012,016 |
| 489 | DONGFENG GROUP | 152,415,420 | \$ 1,030,328,239 |
| 493 | GOME RETAIL | 1,844,478,594 | \$ 1,346,469,374 |
| 494 | LI & FUNG | 393,431,110 | \$ 397,365,421 |
| 496 | KASEN | 797,000 | \$ 5,626,820 |
| 497 | CSI PROPERTIES | 3,000,000 | \$ 975,000 |
| 500 | FRONTIER SER | 0 | \$ 0 |

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|------------|-----------------|--|--|
| 506 | CHINA FOODS | 8,269,396 | \$ 27,702,477 |
| 508 | DINGYI GP INV | 72,103,140 | \$ 20,909,911 |
| 511 | TVB | 7,617,032 | \$ 90,185,659 |
| 512 | CHINAGRANDPHARM | 12,848,000 | \$ 52,291,360 |
| 517 | COSCO SHIP INTL | 484,000 | \$ 1,142,240 |
| 520 | XIABUXIABU | 30,478,100 | \$ 314,533,992 |
| 522 | ASM PACIFIC | 19,305,814 | \$ 1,664,161,167 |
| 525 | GUANGSHEN RAIL | 23,465,151 | \$ 58,428,226 |
| 526 | CHINA AUTO NR | 1,532,000 | \$ 1,179,640 |
| 530 | GOLDIN FIN HOLD | 0 | \$ 0 |
| 535 | GEMDALE PPT | 50,214,000 | \$ 44,188,320 |
| 538 | AJISEN (CHINA) | 9,469,120 | \$ 28,880,816 |
| 546 | FUFENG GROUP | 41,933,925 | \$ 150,962,130 |
| 547 | DIGITAL DOMAIN | 252,340,281 | \$ 25,738,709 |
| 548 | SHENZHENEXPRESS | 15,475,083 | \$ 139,275,747 |
| 551 | YUE YUEN IND | 18,170,035 | \$ 405,191,781 |
| 552 | CHINACOMSERVICE | 12,132,749 | \$ 60,299,763 |
| 563 | SH IND URBAN | 7,817,208 | \$ 9,224,305 |
| 570 | TRAD CHI MED | 54,995,543 | \$ 172,136,050 |
| 576 | ZHEJIANGEXPRESS | 8,307,859 | \$ 59,899,663 |
| 579 | JNCEC | 6,084,000 | \$ 7,848,360 |
| 581 | CHINA ORIENTAL | 21,676,663 | \$ 69,582,088 |
| 586 | CONCH VENTURE | 30,935,272 | \$ 819,784,708 |
| 588 | BEIJING N STAR | 1,666,000 | \$ 4,331,600 |
| 590 | LUK FOOK HOLD | 7,939,247 | \$ 166,724,187 |
| 596 | INSPUR INT'L | 7,332,000 | \$ 26,761,800 |
| 598 | SINOTRANS | 52,801,169 | \$ 130,418,887 |
| 603 | CHINA OIL & GAS | 11,521,465 | \$ 3,686,869 |
| 604 | SHENZHEN INVEST | 38,756,229 | \$ 99,215,946 |
| 606 | CHINA AGRI | 41,213,517 | \$ 86,548,386 |
| 607 | FULLSHARE | 700,722,861 | \$ 185,691,558 |
| 622 | OSHIDORI | 25,794,200 | \$ 21,667,128 |
| 627 | FULLSUN INT'L | 0 | \$ 0 |
| 631 | SANY INT'L | 10,295,829 | \$ 30,166,779 |
| 636 | KERRY LOG NET | 4,883,148 | \$ 64,945,868 |
| 639 | SHOUGANG RES | 15,196,651 | \$ 24,618,575 |
| 650 | IDG ENERGY INV | 0 | \$ 0 |
| 656 | FOSUN INTL | 64,493,890 | \$ 597,858,360 |
| 658 | C TRANSMISSION | 35,685,214 | \$ 159,869,759 |
| 659 | NWS HOLDINGS | 4,397,147 | \$ 61,999,773 |
| 665 | HAITONG INT'L | 224,323,023 | \$ 477,808,039 |

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|------------|-----------------|--|--|
| 669 | TECHTRONIC IND | 28,071,687 | \$ 1,548,153,538 |
| 670 | CHINA EAST AIR | 82,556,156 | \$ 307,108,900 |
| 678 | GENTING HK | 0 | \$ 0 |
| 680 | NAN HAI CORP | 0 | \$ 0 |
| 683 | KERRY PPT | 5,660,586 | \$ 155,666,115 |
| 686 | PANDA GREEN | 63,788,300 | \$ 18,179,666 |
| 687 | HKICIM GROUP | 0 | \$ 0 |
| 688 | CHINA OVERSEAS | 26,536,454 | \$ 668,718,641 |
| 691 | SHANSHUI CEMENT | 1,676,700 | \$ 4,862,430 |
| 694 | BEIJING AIRPORT | 48,800,213 | \$ 290,849,269 |
| 696 | TRAVELSKY TECH | 17,936,420 | \$ 266,535,201 |
| 697 | SHOUGANG INT'L | 43,302,789 | \$ 12,341,295 |
| 698 | TONGDA GROUP | 179,537,109 | \$ 85,280,127 |
| 699 | CAR INC | 60,077,332 | \$ 344,843,886 |
| 700 | TENCENT | 67,864,865 | \$ 22,965,470,316 |
| 707 | ATV HOLDINGS | 1,622,000 | \$ 81,100 |
| 708 | EVERG HEALTH | 7,773,926 | \$ 61,025,319 |
| 709 | GIORDANO INT'L | 4,726,000 | \$ 12,523,900 |
| 710 | BOE VARITRONIX | 1,259,000 | \$ 2,732,030 |
| 715 | CHINA OCEANWIDE | 0 | \$ 0 |
| 716 | SINGAMAS CONT | 14,094,836 | \$ 14,517,681 |
| 717 | EMPEROR CAPITAL | 8,832,974 | \$ 2,155,246 |
| 721 | C FIN INT INV | 10,033,738 | \$ 1,394,690 |
| 728 | CHINA TELECOM | 80,501,397 | \$ 276,119,792 |
| 729 | FDG EVEHICLES | 110,000,000 | \$ 1,760,000 |
| 732 | TRULY INT'L | 46,020,152 | \$ 44,179,346 |
| 737 | BAY AREA DEV | 0 | \$ 0 |
| 743 | ASIA CEMENT CH | 1,578,500 | \$ 14,853,685 |
| 746 | L & M CHEMICAL | 0 | \$ 0 |
| 751 | SKYWORTH GROUP | 95,614,150 | \$ 190,272,159 |
| 752 | PICO FAR EAST | 400,000 | \$ 904,000 |
| 753 | AIR CHINA | 83,512,263 | \$ 544,499,955 |
| 754 | HOPSON DEV HOLD | 0 | \$ 0 |
| 755 | SHANGHAI ZENDAI | 0 | \$ 0 |
| 762 | CHINA UNICOM | 71,464,513 | \$ 517,403,074 |
| 763 | ZTE | 37,726,326 | \$ 758,299,153 |
| 772 | CHINA LIT | 33,241,975 | \$ 942,409,991 |
| 777 | NETDRAGON | 1,247,000 | \$ 22,670,460 |
| 778 | FORTUNE REIT | 0 | \$ 0 |
| 780 | TONGCHENG-ELONG | 38,871,060 | \$ 446,239,769 |
| 788 | CHINA TOWER | 973,900,179 | \$ 1,860,149,342 |

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|------------|-----------------|--|--|
| 799 | IGG | 41,545,770 | \$ 238,888,178 |
| 806 | VALUE PARTNERS | 45,114,444 | \$ 190,382,954 |
| 807 | SIICENVIRONMENT | 2,222,100 | \$ 2,977,614 |
| 808 | PROSPERITY REIT | 0 | \$ 0 |
| 811 | XINHUA WINSHARE | 406,000 | \$ 2,090,900 |
| 813 | SHIMAO PROPERTY | 30,725,232 | \$ 629,867,256 |
| 816 | HUADIAN FUXIN | 5,204,139 | \$ 7,025,588 |
| 817 | CHINA JINMAO | 271,885,682 | \$ 1,266,987,278 |
| 818 | HI SUN TECH | 1,341,000 | \$ 1,756,710 |
| 819 | TIANNENG POWER | 85,952,359 | \$ 514,854,630 |
| 823 | LINK REIT | 24,729,827 | \$ 2,250,414,257 |
| 831 | CR ASIA | 0 | \$ 0 |
| 832 | CENTRAL CHINA | 2,235,726 | \$ 7,109,609 |
| 836 | CHINA RES POWER | 17,889,655 | \$ 203,226,481 |
| 839 | CHINA EDU GROUP | 72,686,498 | \$ 780,652,989 |
| 853 | MICROPORT | 76,823,414 | \$ 460,940,484 |
| 855 | CHINA WATER | 42,210,190 | \$ 263,813,688 |
| 856 | VSTECS | 4,392,306 | \$ 17,569,224 |
| 857 | PETROCHINA | 190,760,814 | \$ 740,151,958 |
| 860 | WE SOLUTIONS | 29,249,244 | \$ 12,430,929 |
| 861 | DC HOLDINGS | 10,866,154 | \$ 43,138,631 |
| 867 | CMS | 18,631,249 | \$ 130,977,680 |
| 868 | XINYI GLASS | 117,531,441 | \$ 909,693,353 |
| 874 | BAIYUNSHAN PH | 3,462,400 | \$ 98,332,160 |
| 877 | O-NET TECH GP | 2,754,000 | \$ 10,960,920 |
| 880 | SJM HOLDINGS | 118,400,418 | \$ 880,899,110 |
| 881 | ZHONGSHENG HLDG | 165,068,090 | \$ 3,548,963,935 |
| 882 | TIANJIN DEV | 1,736,000 | \$ 3,940,720 |
| 883 | CNOOC | 134,230,270 | \$ 1,581,232,581 |
| 884 | CIFI HOLD GP | 119,576,334 | \$ 526,135,870 |
| 895 | DONGJIANG ENV | 1,407,025 | \$ 9,398,927 |
| 902 | HUANENG POWER | 88,478,456 | \$ 392,844,345 |
| 906 | COFCO PACKAGING | 31,040,087 | \$ 86,912,244 |
| 914 | CONCH CEMENT | 43,483,564 | \$ 1,882,838,321 |
| 916 | CHINA LONGYUAN | 19,760,004 | \$ 88,129,618 |
| 921 | HISENSE HA | 3,284,507 | \$ 25,290,704 |
| 931 | CHINA LNG | 0 | \$ 0 |
| 934 | SINOPEC KANTONS | 11,489,500 | \$ 34,928,080 |
| 939 | CCB | 850,338,065 | \$ 4,846,926,971 |
| 941 | CHINA MOBILE | 42,549,103 | \$ 2,761,436,785 |
| 950 | LEE'S PHARM | 2,635,500 | \$ 10,383,870 |

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| 951 | CHAOWEI POWER | 5,995,757 | \$ 17,387,695 |
| 956 | CHINA SUNTIEN | 7,367,774 | \$ 13,851,415 |
| 958 | HN RENEWABLES | 36,395,213 | \$ 74,246,235 |
| 960 | LONGFOR GROUP | 26,495,540 | \$ 720,678,688 |
| 966 | CHINA TAIPING | 38,521,632 | \$ 730,370,143 |
| 968 | XINYI SOLAR | 185,137,237 | \$ 786,833,257 |
| 973 | L'OCCITANE | 425,250 | \$ 7,050,645 |
| 976 | CHIHO ENV | 7,116,000 | \$ 5,835,120 |
| 978 | CHI MER LAND | 0 | \$ 0 |
| 981 | SMIC | 362,407,600 | \$ 3,229,051,716 |
| 989 | GROUND INTL DEV | 8,115,000 | \$ 1,420,125 |
| 991 | DATANG POWER | 24,187,004 | \$ 40,634,167 |
| 992 | LENOVO GROUP | 477,757,700 | \$ 2,861,768,623 |
| 995 | ANHUIEXPRESSWAY | 1,074,038 | \$ 4,886,873 |
| 996 | CARNIVAL GROUP | 150,125,000 | \$ 3,903,250 |
| 998 | CITIC BANK | 128,330,637 | \$ 523,588,999 |
| 999 | I.T | 494,000 | \$ 1,373,320 |
| 1003 | HUANXI MEDIA | 1,900,000 | \$ 2,850,000 |
| 1004 | C SMARTERENERGY | 0 | \$ 0 |
| 1030 | FUTURE LAND | 230,247,666 | \$ 1,383,788,473 |
| 1031 | KINGSTON FIN | 2,798,000 | \$ 3,665,380 |
| 1033 | SINOPEC SSC | 36,513,310 | \$ 31,036,314 |
| 1038 | CKI HOLDINGS | 10,422,494 | \$ 591,997,659 |
| 1044 | HENGAN INT'L | 53,048,600 | \$ 2,869,929,260 |
| 1045 | APT SATELLITE | 0 | \$ 0 |
| 1051 | G-RESOURCES | 10,707,000 | \$ 567,471 |
| 1052 | YUEXIUTRANSPORT | 7,551,730 | \$ 45,687,967 |
| 1055 | CHINA SOUTH AIR | 189,712,443 | \$ 846,117,496 |
| 1060 | ALI PICTURES | 594,499,789 | \$ 838,244,702 |
| 1061 | ESSEX BIO-TECH | 235,000 | \$ 1,325,400 |
| 1066 | WEIGAO GROUP | 27,128,946 | \$ 186,375,859 |
| 1070 | TCL ELECTRONICS | 5,204,953 | \$ 16,916,097 |
| 1071 | HUADIAN POWER | 49,888,140 | \$ 162,136,455 |
| 1072 | DONGFANG ELEC | 5,143,967 | \$ 21,861,860 |
| 1076 | IMPERIAL PAC | 332,410,000 | \$ 59,501,390 |
| 1083 | TOWNGAS CHINA | 7,710,536 | \$ 43,641,634 |
| 1086 | GOODBABY INTL | 40,282,741 | \$ 57,201,492 |
| 1088 | CHINA SHENHUA | 35,352,221 | \$ 522,505,826 |
| 1089 | LEYOU TECH H | 19,599,752 | \$ 43,315,452 |
| 1093 | CSPC PHARMA | 133,854,448 | \$ 1,649,086,799 |
| 1098 | ROAD KING INFRA | 255,000 | \$ 3,814,800 |

Aggregated Reportable Short Positions of Specified Shares as of 09/08/2019

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1099 | SINOPHARM | 24,539,339 | \$ 693,236,327 |
| 1109 | CHINA RES LAND | 45,049,341 | \$ 1,392,024,637 |
| 1111 | CHONG HING BANK | 0 | \$ 0 |
| 1112 | H&H INTL HLDG | 16,882,550 | \$ 728,482,033 |
| 1113 | CK ASSET | 6,985,089 | \$ 374,051,516 |
| 1114 | BRILLIANCE CHI | 373,120,253 | \$ 2,671,541,011 |
| 1115 | TIBET WATER | 23,090,000 | \$ 39,253,000 |
| 1117 | CH MODERN D | 8,521,894 | \$ 9,970,616 |
| 1119 | IDREAMSKY | 1,719,600 | \$ 7,411,476 |
| 1122 | QINGLING MOTORS | 578,000 | \$ 1,098,200 |
| 1128 | WYNN MACAU | 77,193,642 | \$ 1,225,835,035 |
| 1129 | WATER INDUSTRY | 2,960,000 | \$ 1,568,800 |
| 1131 | AGRITRADE RES | 103,165,000 | \$ 108,323,250 |
| 1133 | HARBIN ELECTRIC | 11,935,175 | \$ 25,660,626 |
| 1137 | HKTV | 4,949,000 | \$ 20,043,450 |
| 1138 | COSCO SHIP ENGY | 31,966,244 | \$ 130,422,276 |
| 1140 | OP FINANCIAL | 13,488,000 | \$ 26,031,840 |
| 1141 | CMBC CAPITAL | 245,859,319 | \$ 40,075,069 |
| 1157 | ZOOMLION | 38,175,022 | \$ 178,659,103 |
| 1169 | HAIER ELEC | 26,264,953 | \$ 485,901,631 |
| 1171 | YANZHOU COAL | 127,348,269 | \$ 795,926,681 |
| 1176 | ZHUGUANG HOLD | 8,316,000 | \$ 8,482,320 |
| 1177 | SINO BIOPHARM | 336,177,033 | \$ 3,133,169,948 |
| 1186 | CHINA RAIL CONS | 80,845,452 | \$ 701,738,523 |
| 1193 | CHINA RES GAS | 43,500,612 | \$ 1,763,949,817 |
| 1196 | REALORD GROUP | 689,000 | \$ 3,004,040 |
| 1199 | COSCO SHIP PORT | 17,483,541 | \$ 117,839,066 |
| 1205 | CITIC RESOURCES | 4,186,000 | \$ 2,176,720 |
| 1208 | MMG | 162,414,658 | \$ 326,453,463 |
| 1211 | BYD COMPANY | 148,994,293 | \$ 6,488,701,460 |
| 1212 | LIFESTYLE INT'L | 7,397,939 | \$ 71,168,173 |
| 1216 | ZYBANK | 0 | \$ 0 |
| 1221 | SINO HOTELS | 0 | \$ 0 |
| 1223 | SYMPHONY HOLD | 910,000 | \$ 819,000 |
| 1224 | C C LAND | 1,230,000 | \$ 2,226,300 |
| 1230 | YASHILI INT'L | 6,647,924 | \$ 6,382,007 |
| 1233 | TIMES CHINA | 3,920,450 | \$ 51,044,259 |
| 1234 | CHINA LILANG | 0 | \$ 0 |
| 1238 | POWERLONG | 5,210,614 | \$ 23,187,232 |
| 1243 | WANG ON PPT | 0 | \$ 0 |
| 1250 | BE CLEAN ENERGY | 28,185,731 | \$ 3,044,059 |

Aggregated Reportable Short Positions of Specified Shares as of 09/08/2019

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1252 | CHINA TIANRUI | 0 | \$ 0 |
| 1257 | CEB GREENTECH | 14,600,972 | \$ 69,062,598 |
| 1258 | CHINFMINING | 0 | \$ 0 |
| 1266 | XIWANG STEEL | 812,000 | \$ 722,680 |
| 1268 | MEIDONG AUTO | 5,322,000 | \$ 28,100,160 |
| 1270 | LANGHAM-SS | 2,364,622 | \$ 6,148,017 |
| 1282 | GLORY SUN FIN | 92,700,199 | \$ 27,346,559 |
| 1288 | ABC | 216,457,514 | \$ 649,372,542 |
| 1293 | GRAND BAOXIN | 37,429,534 | \$ 56,144,301 |
| 1299 | AIA | 89,163,042 | \$ 6,758,558,584 |
| 1302 | LIFETECH SCI | 39,122,550 | \$ 53,597,894 |
| 1308 | SITC | 4,625,000 | \$ 33,762,500 |
| 1310 | HKBN | 5,300,030 | \$ 75,896,430 |
| 1313 | CHINARES CEMENT | 78,089,023 | \$ 537,252,478 |
| 1316 | NEXTEER | 37,080,374 | \$ 283,664,861 |
| 1317 | MAPLELEAF EDU | 115,323,711 | \$ 279,083,381 |
| 1330 | DYNAGREEN ENV | 4,215,427 | \$ 15,217,691 |
| 1333 | CHINA ZHONGWANG | 93,303,727 | \$ 267,781,696 |
| 1336 | NCI | 28,493,179 | \$ 975,891,381 |
| 1337 | RAZER | 140,067,171 | \$ 208,700,085 |
| 1339 | PICC GROUP | 122,192,125 | \$ 370,242,139 |
| 1347 | HUA HONG SEMI | 64,411,262 | \$ 899,181,218 |
| 1349 | FUDANZHANGJIANG | 387,000 | \$ 2,089,800 |
| 1359 | CHINA CINDA | 330,168,418 | \$ 505,157,680 |
| 1360 | NOVA GROUP | 34,621,614 | \$ 56,087,015 |
| 1361 | 361 DEGREES | 30,960,508 | \$ 41,796,686 |
| 1363 | CTEG | 43,506,853 | \$ 14,792,330 |
| 1368 | XTEP INT'L | 21,210,990 | \$ 109,236,599 |
| 1375 | CC SECURITIES | 16,477,000 | \$ 24,880,270 |
| 1378 | CHINAHONGQIAO | 56,406,862 | \$ 296,136,026 |
| 1381 | CANVEST ENV | 9,252,978 | \$ 34,328,548 |
| 1382 | PACIFICTEXTILES | 10,303,997 | \$ 59,660,143 |
| 1383 | SUNCITY GROUP | 11,640,000 | \$ 13,618,800 |
| 1385 | SHANGHAI FUDAN | 3,598,000 | \$ 26,697,160 |
| 1387 | CHINA DILI | 30,149,538 | \$ 70,248,424 |
| 1398 | ICBC | 632,983,148 | \$ 3,152,256,077 |
| 1426 | SPRING REIT | 0 | \$ 0 |
| 1432 | CHINA SHENGMU | 38,068,694 | \$ 12,562,669 |
| 1448 | FU SHOU YUAN | 10,091,706 | \$ 65,394,255 |
| 1458 | ZHOU HEI YA | 39,103,739 | \$ 136,863,087 |
| 1478 | Q TECH | 25,087,929 | \$ 170,848,796 |

Aggregated Reportable Short Positions of Specified Shares as of 09/08/2019

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1508 | CHINA RE | 24,556,009 | \$ 29,958,331 |
| 1513 | LIVZON PHARMA | 1,599,006 | \$ 28,238,446 |
| 1515 | CR MEDICAL | 16,761,492 | \$ 88,333,063 |
| 1518 | NC HEALTHCARE | 0 | \$ 0 |
| 1528 | RS MACALLINE | 3,228,197 | \$ 20,337,641 |
| 1530 | 3SBIO | 130,019,497 | \$ 1,544,631,624 |
| 1548 | GENSCRIPT BIO | 52,556,583 | \$ 933,404,914 |
| 1551 | GRCB | 0 | \$ 0 |
| 1558 | HEC PHARM | 4,099,200 | \$ 152,080,320 |
| 1568 | SUNDART HLDGS | 0 | \$ 0 |
| 1569 | MINSHENG EDU | 2,104,000 | \$ 2,945,600 |
| 1573 | SOUTHERN ENERGY | 0 | \$ 0 |
| 1578 | BANK OF TIANJIN | 0 | \$ 0 |
| 1579 | YIHAI INTL | 25,720,938 | \$ 1,067,418,927 |
| 1585 | YADEA | 30,384,000 | \$ 46,791,360 |
| 1600 | TIAN LUN GAS | 1,899,500 | \$ 15,955,800 |
| 1606 | CDB LEASING | 0 | \$ 0 |
| 1608 | VPOWER GROUP | 0 | \$ 0 |
| 1610 | COFCO MEAT | 61,684,425 | \$ 162,846,882 |
| 1617 | NANFANG COMM | 920,000 | \$ 4,121,600 |
| 1618 | MCC | 10,626,473 | \$ 18,596,328 |
| 1622 | REDCO GROUP | 23,876,707 | \$ 72,346,422 |
| 1628 | YUZHOU PPT | 32,813,959 | \$ 103,363,971 |
| 1636 | CMRU | 4,887,730 | \$ 17,693,583 |
| 1638 | KAISA GROUP | 67,717,926 | \$ 179,452,504 |
| 1658 | PSBC | 551,122,343 | \$ 2,446,983,203 |
| 1660 | ZHAOBANGJI PPT | 1,224,000 | \$ 9,755,280 |
| 1666 | TONG REN TANG | 18,982,451 | \$ 162,489,781 |
| 1668 | CHINASOUTHCITY | 44,943,748 | \$ 42,696,561 |
| 1672 | ASCLETIS-B | 255,000 | \$ 1,020,000 |
| 1673 | HUAZHANG TECH | 0 | \$ 0 |
| 1675 | ASIAINFO TECH | 0 | \$ 0 |
| 1680 | MACAU LEGEND | 18,583,033 | \$ 19,698,015 |
| 1681 | CONSUN PHARMA | 4,936,000 | \$ 21,224,800 |
| 1686 | SUNEVISION | 3,886,938 | \$ 21,455,898 |
| 1708 | SAMPLE TECH | 0 | \$ 0 |
| 1717 | AUSNUTRIA | 20,526,000 | \$ 250,417,200 |
| 1727 | HEBEI CONS | 2,498,500 | \$ 13,791,720 |
| 1728 | ZHENGTONGAUTO | 120,762,754 | \$ 311,567,905 |
| 1761 | BABYTREE GROUP | 0 | \$ 0 |
| 1765 | HOPE EDU | 13,774,000 | \$ 16,666,540 |

Aggregated Reportable Short Positions of Specified Shares as of 09/08/2019

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1766 | CRRRC | 74,692,925 | \$ 426,496,602 |
| 1772 | GANFENGLITHIUM | 4,320,087 | \$ 40,565,617 |
| 1773 | TIANLI EDU | 6,571,000 | \$ 18,661,640 |
| 1776 | GF SEC | 36,381,966 | \$ 279,413,499 |
| 1777 | FANTASIA | 10,957,500 | \$ 12,929,850 |
| 1778 | COLOUR LIFE | 8,544,431 | \$ 41,696,823 |
| 1787 | SD GOLD | 3,073,650 | \$ 55,325,700 |
| 1788 | GUOTAI JUNAN I | 278,747,240 | \$ 334,496,688 |
| 1789 | AK MEDICAL | 6,903,118 | \$ 32,513,686 |
| 1798 | DATANG RENEW | 931,000 | \$ 698,250 |
| 1799 | XINTE ENERGY | 0 | \$ 0 |
| 1800 | CHINA COMM CONS | 87,523,600 | \$ 530,393,016 |
| 1801 | INNOVENT BIO-B | 12,816,500 | \$ 320,412,500 |
| 1803 | BJ SPORTS & ENT | 17,183,982 | \$ 6,615,833 |
| 1806 | HUIFU PAYMENT | 1,990,400 | \$ 6,528,512 |
| 1810 | XIAOMI-W | 1,206,801,218 | \$ 10,788,802,889 |
| 1811 | CGN NEW ENERGY | 1,802,000 | \$ 1,765,960 |
| 1812 | CHENMING PAPER | 4,941,814 | \$ 15,962,059 |
| 1813 | KWG GROUP | 46,786,640 | \$ 291,012,901 |
| 1816 | CGN POWER | 183,126,688 | \$ 388,228,579 |
| 1818 | ZHAOJIN MINING | 59,629,316 | \$ 615,374,541 |
| 1828 | DCH HOLDINGS | 3,495,613 | \$ 8,144,778 |
| 1829 | CMEC | 6,203,617 | \$ 19,851,574 |
| 1833 | PA GOODDOCTOR | 30,097,680 | \$ 1,121,138,580 |
| 1836 | STELLA HOLDINGS | 0 | \$ 0 |
| 1848 | CALC | 806,000 | \$ 6,568,900 |
| 1860 | MOBVISTA | 0 | \$ 0 |
| 1862 | JINGRUI HLDGS | 1,262,000 | \$ 3,079,280 |
| 1877 | JUNSHI BIO-B | 1,657,000 | \$ 50,289,950 |
| 1881 | REGAL REIT | 0 | \$ 0 |
| 1882 | HAITIAN INT'L | 16,042,661 | \$ 234,864,557 |
| 1883 | CITIC TELECOM | 5,271,000 | \$ 16,340,100 |
| 1888 | KB LAMINATES | 22,540,695 | \$ 132,088,473 |
| 1898 | CHINA COAL | 19,682,654 | \$ 54,520,952 |
| 1899 | XINGDA INT'L | 6,286,498 | \$ 13,075,916 |
| 1908 | C&D INTL GROUP | 1,166,735 | \$ 9,275,543 |
| 1910 | SAMSONITE | 39,700,598 | \$ 531,988,013 |
| 1911 | CR HOLDINGS | 251,900 | \$ 4,000,172 |
| 1913 | PRADA | 35,214,496 | \$ 876,840,950 |
| 1916 | JIANGXI BANK | 271,500 | \$ 1,248,900 |
| 1918 | SUNAC | 91,039,173 | \$ 2,904,149,619 |

Aggregated Reportable Short Positions of Specified Shares as of 09/08/2019

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1919 | COSCO SHIP HOLD | 105,628,850 | \$ 289,423,049 |
| 1928 | SANDS CHINA LTD | 83,716,981 | \$ 2,925,908,486 |
| 1929 | CHOW TAI FOOK | 28,084,923 | \$ 188,449,833 |
| 1958 | BAIC MOTOR | 46,472,039 | \$ 206,800,574 |
| 1963 | BCQ | 2,331,309 | \$ 9,978,003 |
| 1966 | CHINA SCE GROUP | 15,500,321 | \$ 58,746,217 |
| 1970 | IMAX CHINA | 2,952,022 | \$ 51,837,506 |
| 1972 | SWIREPROPERTIES | 7,273,755 | \$ 196,391,385 |
| 1980 | TIANGE | 10,786,269 | \$ 19,307,422 |
| 1988 | MINSHENG BANK | 260,691,083 | \$ 1,339,952,167 |
| 1992 | FOSUN TOURISM | 0 | \$ 0 |
| 1996 | RSUN PPT | 1,856,000 | \$ 4,454,400 |
| 1997 | WHARF REIC | 27,342,149 | \$ 1,213,991,416 |
| 1999 | MAN WAH HLDGS | 127,223,086 | \$ 451,641,955 |
| 2001 | NEW HIGHER EDU | 32,299,091 | \$ 84,300,628 |
| 2003 | VCREDIT | 623,600 | \$ 5,175,880 |
| 2005 | SSY GROUP | 42,329,079 | \$ 265,403,325 |
| 2006 | JINJIANG HOTELS | 16,374,000 | \$ 19,976,280 |
| 2007 | COUNTRY GARDEN | 598,426,571 | \$ 5,798,753,473 |
| 2008 | PHOENIX TV | 3,757,947 | \$ 2,292,348 |
| 2009 | BBMG | 157,962,273 | \$ 336,459,641 |
| 2014 | OZNER WATER | 40,569,000 | \$ 49,899,870 |
| 2016 | CZBANK | 0 | \$ 0 |
| 2018 | AAC TECH | 84,608,629 | \$ 3,062,832,370 |
| 2020 | ANTA SPORTS | 18,201,806 | \$ 1,054,794,658 |
| 2038 | FIH | 268,934,217 | \$ 258,176,848 |
| 2039 | CIMC | 3,095,575 | \$ 21,390,423 |
| 2048 | E-HOUSE ENT | 5,918,700 | \$ 47,704,722 |
| 2051 | 51 CREDIT CARD | 2,115,500 | \$ 7,340,785 |
| 2066 | SHENGJINGBANK | 0 | \$ 0 |
| 2098 | ZALL SMARTCOM | 10,450,000 | \$ 10,345,500 |
| 2099 | CHINAGOLDINTL | 297,800 | \$ 2,695,090 |
| 2128 | CHINA LESSO | 4,994,000 | \$ 33,459,800 |
| 2136 | LIFESTYLE CHI | 7,443,339 | \$ 17,566,280 |
| 2139 | BANK OF GANSU | 0 | \$ 0 |
| 2186 | LUYE PHARMA | 188,655,909 | \$ 1,045,153,736 |
| 2196 | FOSUN PHARMA | 46,205,784 | \$ 998,044,934 |
| 2198 | CHINA SANJIANG | 1,590,000 | \$ 2,321,400 |
| 2199 | REGINA MIRACLE | 9,558,354 | \$ 53,144,448 |
| 2202 | CHINA VANKE | 50,729,316 | \$ 1,384,910,327 |
| 2208 | GOLDWIND | 34,336,183 | \$ 288,080,575 |

Aggregated Reportable Short Positions of Specified Shares as of 09/08/2019

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 2232 | CRYSTAL INTL | 4,360,159 | \$ 14,475,728 |
| 2233 | WESTCHINACEMENT | 17,102,293 | \$ 22,061,958 |
| 2238 | GAC GROUP | 396,403,246 | \$ 2,965,096,280 |
| 2255 | HAICHANG HLDG | 3,936,000 | \$ 4,644,480 |
| 2268 | YOUYUAN HLDGS | 11,381,919 | \$ 24,357,307 |
| 2269 | WUXI BIO | 20,919,399 | \$ 1,648,448,641 |
| 2280 | HC GROUP | 33,825,384 | \$ 72,386,322 |
| 2282 | MGM CHINA | 131,898,239 | \$ 1,548,485,326 |
| 2283 | TK GROUP HLDG | 0 | \$ 0 |
| 2298 | COSMO LADY | 6,289,890 | \$ 8,365,554 |
| 2299 | BILLION IND | 0 | \$ 0 |
| 2303 | HENGXING GOLD | 316,000 | \$ 1,905,480 |
| 2313 | SHENZHOU INTL | 6,422,712 | \$ 678,880,658 |
| 2314 | LEE & MAN PAPER | 25,268,058 | \$ 106,631,205 |
| 2318 | PING AN | 1,240,926,770 | \$ 108,519,046,037 |
| 2319 | MENGNU DAIRY | 48,330,055 | \$ 1,495,815,202 |
| 2322 | NOBLE CENTURY | 0 | \$ 0 |
| 2328 | PICC P&C | 77,078,975 | \$ 669,045,503 |
| 2329 | GUORUI PPT | 17,893,268 | \$ 23,082,316 |
| 2331 | LI NING | 58,020,167 | \$ 1,101,222,770 |
| 2333 | GREATWALL MOTOR | 552,988,118 | \$ 2,720,701,541 |
| 2338 | WEICHAJ POWER | 119,481,887 | \$ 1,347,755,685 |
| 2342 | COMBA | 61,768,193 | \$ 106,858,974 |
| 2343 | PACIFIC BASIN | 246,077,275 | \$ 366,655,140 |
| 2356 | DAHJING BANKING | 1,478,282 | \$ 18,508,091 |
| 2357 | AVICHINA | 81,561,403 | \$ 323,798,770 |
| 2359 | WUXI APPTec | 21,489,883 | \$ 1,488,174,398 |
| 2362 | JINCHUAN INTL | 61,890,000 | \$ 39,609,600 |
| 2378 | PRU | 0 | \$ 0 |
| 2380 | CHINA POWER | 73,686,224 | \$ 137,056,377 |
| 2382 | SUNNY OPTICAL | 42,113,854 | \$ 3,495,449,882 |
| 2383 | TOM GROUP | 0 | \$ 0 |
| 2386 | SINOPEC SEG | 7,435,788 | \$ 43,127,570 |
| 2388 | BOC HONG KONG | 45,684,550 | \$ 1,235,767,078 |
| 2393 | YESTAR HEALTH | 0 | \$ 0 |
| 2399 | FORDOO | 0 | \$ 0 |
| 2552 | HUA MEDICINE-B | 0 | \$ 0 |
| 2588 | BOC AVIATION | 11,948,520 | \$ 786,212,616 |
| 2600 | CHALCO | 128,516,188 | \$ 294,302,071 |
| 2601 | CPIC | 159,321,105 | \$ 5,050,479,029 |
| 2607 | SH PHARMA | 7,377,365 | \$ 102,397,826 |

Aggregated Reportable Short Positions of Specified Shares as of 09/08/2019

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 2608 | SUNSHINE 100 | 3,847,000 | \$ 5,424,270 |
| 2611 | GTJA | 9,431,993 | \$ 104,317,843 |
| 2628 | CHINA LIFE | 80,626,242 | \$ 1,454,497,406 |
| 2638 | HKELECTRIC-SS | 99,148,884 | \$ 788,233,628 |
| 2662 | CAMSING INTL | 7,849,920 | \$ 9,105,907 |
| 2666 | UNI MEDICAL | 27,678,969 | \$ 142,823,480 |
| 2669 | CHINA OVS PPT | 39,016,249 | \$ 154,114,184 |
| 2678 | TEXHONG TEXTILE | 1,113,500 | \$ 7,794,500 |
| 2686 | AAG ENERGY | 0 | \$ 0 |
| 2688 | ENN ENERGY | 20,054,437 | \$ 1,648,474,721 |
| 2689 | ND PAPER | 156,631,944 | \$ 899,067,359 |
| 2698 | WEIQIAO TEXTILE | 298,000 | \$ 622,820 |
| 2727 | SH ELECTRIC | 66,596,018 | \$ 163,826,204 |
| 2768 | JIAYUAN INTL | 28,127,428 | \$ 88,601,398 |
| 2777 | R&F PROPERTIES | 85,075,355 | \$ 1,080,457,009 |
| 2778 | CHAMPION REIT | 14,783,457 | \$ 82,048,186 |
| 2779 | CH XINHUA EDU | 0 | \$ 0 |
| 2799 | CHINA HUARONG | 447,369,482 | \$ 523,422,294 |
| 2800 | TRACKER FUND | 56,068,000 | \$ 1,494,212,200 |
| 2801 | ISHARES CHINA | 0 | \$ 0 |
| 2802 | ISHARES EM ASIA | 0 | \$ 0 |
| 2803 | PREMIA BEDROCK | 0 | \$ 0 |
| 2804 | PREMIA VIET | 0 | \$ 0 |
| 2805 | VANGUARDASXJP | 0 | \$ 0 |
| 2810 | PREMIAEMASEAN | 0 | \$ 0 |
| 2811 | HT CSI300 ETF | 0 | \$ 0 |
| 2812 | SAMUNGCDRAGON | 0 | \$ 0 |
| 2813 | CAM CTPB BOND | 0 | \$ 0 |
| 2819 | ABF HK IDX ETF | 0 | \$ 0 |
| 2820 | MR CN BIOTECH | 0 | \$ 0 |
| 2821 | ABF PAIF | 0 | \$ 0 |
| 2822 | CSOP A50 ETF | 40,503,399 | \$ 568,667,722 |
| 2823 | ISHARES A50 | 109,968,507 | \$ 1,541,758,468 |
| 2824 | LIPPO HK&M PROP | 0 | \$ 0 |
| 2825 | WISECSIHK100ETF | 0 | \$ 0 |
| 2826 | MR CN CLOUD | 0 | \$ 0 |
| 2827 | WISE CSI300 ETF | 0 | \$ 0 |
| 2828 | HSCEI ETF | 3,642,050 | \$ 372,581,715 |
| 2832 | BOSERA FA50 | 0 | \$ 0 |
| 2833 | HS HSI ETF | 0 | \$ 0 |
| 2834 | ISHARESND100 | 0 | \$ 0 |

Aggregated Reportable Short Positions of Specified Shares as of 09/08/2019

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 2836 | ISHARES INDIA | 0 | \$ 0 |
| 2838 | HS FCI50 ETF | 0 | \$ 0 |
| 2840 | SPDR GOLD TRUST | 0 | \$ 0 |
| 2843 | AMUNDI A50 | 0 | \$ 0 |
| 2846 | ISHARESCSI300 | 0 | \$ 0 |
| 2847 | ISHARESFS100 | 0 | \$ 0 |
| 2848 | TRMSCIKOREA | 0 | \$ 0 |
| 2858 | YIXIN | 38,778,157 | \$ 63,208,396 |
| 2866 | COSCO SHIP DEV | 66,099,381 | \$ 58,167,455 |
| 2868 | BJ CAPITAL LAND | 37,932,680 | \$ 95,590,354 |
| 2869 | GREENTOWN SER | 49,937,799 | \$ 315,606,890 |
| 2877 | SHINEWAY PHARM | 4,206,803 | \$ 24,567,730 |
| 2880 | DALIAN PORT | 5,562,288 | \$ 5,562,288 |
| 2883 | CHINA OILFIELD | 69,886,881 | \$ 548,612,016 |
| 2888 | STANCHART | 1,789,181 | \$ 106,814,106 |
| 2899 | ZIJIN MINING | 70,317,044 | \$ 218,686,007 |
| 3007 | TRFXIC50 | 0 | \$ 0 |
| 3008 | C-SHS CSI 300 | 0 | \$ 0 |
| 3010 | ISHARES AXJ | 0 | \$ 0 |
| 3011 | A ICBCICUSD | 0 | \$ 0 |
| 3012 | AMUNDI HK35 | 0 | \$ 0 |
| 3015 | X TRNIFTY50 | 0 | \$ 0 |
| 3016 | TRMSCIPHIL | 0 | \$ 0 |
| 3019 | X TRMSCIWORLD | 0 | \$ 0 |
| 3020 | X TRMSCIUSA | 0 | \$ 0 |
| 3023 | PA AI&ROBOTICS | 0 | \$ 0 |
| 3024 | WISE SSE50ETF | 0 | \$ 0 |
| 3027 | X TRMSCIRUSSIA | 0 | \$ 0 |
| 3036 | TRMSCITAIWAN | 0 | \$ 0 |
| 3040 | MR MSCI CN ETF | 0 | \$ 0 |
| 3041 | VALUE KOREA ETF | 0 | \$ 0 |
| 3043 | TRMSCIPACEXJ | 0 | \$ 0 |
| 3046 | VALUE CHINA ETF | 0 | \$ 0 |
| 3048 | TRMSCIBRAZIL | 0 | \$ 0 |
| 3049 | X TRCSI300 | 0 | \$ 0 |
| 3053 | CSOP HKD MM | 0 | \$ 0 |
| 3055 | TRMSCICHINA | 0 | \$ 0 |
| 3060 | VALUE TW ETF | 0 | \$ 0 |
| 3065 | TRMSCISG | 0 | \$ 0 |
| 3070 | PING AN HKDIV | 0 | \$ 0 |
| 3073 | SPDR GREATCHINA | 0 | \$ 0 |

Aggregated Reportable Short Positions of Specified Shares as of 09/08/2019

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 3074 | ISHAREMSCITW | 0 | \$ 0 |
| 3077 | PREMIA US TSY | 0 | \$ 0 |
| 3079 | CICC CGB | 0 | \$ 0 |
| 3080 | PING AN CGB | 0 | \$ 0 |
| 3081 | VALUEGOLD ETF | 0 | \$ 0 |
| 3082 | TRMSCIMALAY | 0 | \$ 0 |
| 3084 | VALUE JAPAN ETF | 0 | \$ 0 |
| 3085 | VANGUARDAXJHY | 0 | \$ 0 |
| 3086 | BMO NASDAQ100 | 0 | \$ 0 |
| 3087 | X TRFTSEVIET | 0 | \$ 0 |
| 3092 | TRMSCITHAI | 0 | \$ 0 |
| 3093 | CICCSELECT100 | 0 | \$ 0 |
| 3095 | VALUE A SHARE | 0 | \$ 0 |
| 3096 | CSOP USD MM | 0 | \$ 0 |
| 3097 | F MR OIL ETF | 0 | \$ 0 |
| 3099 | X TRMSCIINDO | 0 | \$ 0 |
| 3100 | EFUND CSI100 | 0 | \$ 0 |
| 3101 | VANGUARDDDVEUR | 0 | \$ 0 |
| 3110 | MR HS HDIVY ETF | 0 | \$ 0 |
| 3115 | ISHARESHSI | 0 | \$ 0 |
| 3118 | HGI MSCI CN A | 0 | \$ 0 |
| 3120 | EFUND CES 120 | 0 | \$ 0 |
| 3121 | BMO AP REAL EST | 0 | \$ 0 |
| 3122 | CSOP CNSTBOND | 0 | \$ 0 |
| 3126 | VANGUARDJAPAN | 0 | \$ 0 |
| 3127 | MR CSI300 ETF | 0 | \$ 0 |
| 3128 | HS AINDTOPETF | 0 | \$ 0 |
| 3129 | CSOP 300SMART | 0 | \$ 0 |
| 3135 | F CSOP OIL ETF | 0 | \$ 0 |
| 3136 | HGI MSCIA50 | 0 | \$ 0 |
| 3140 | VANGUARDSP500 | 0 | \$ 0 |
| 3141 | BMO ASIA IGB | 0 | \$ 0 |
| 3143 | BMO HK BANKS | 0 | \$ 0 |
| 3145 | BMO ASIA HIGHDV | 0 | \$ 0 |
| 3146 | ISHARESDAX | 0 | \$ 0 |
| 3147 | CSOP CHINEXT | 0 | \$ 0 |
| 3149 | CSOP MSCI | 0 | \$ 0 |
| 3150 | HGI CSI500 | 0 | \$ 0 |
| 3155 | ISHARESES50 | 0 | \$ 0 |
| 3156 | GFI MSCI A I | 0 | \$ 0 |
| 3157 | CAM SCSMALLCAP | 0 | \$ 0 |

Aggregated Reportable Short Positions of Specified Shares as of 09/08/2019

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 3160 | BMO JAPAN HDG | 0 | \$ 0 |
| 3163 | PA MULTI-FACTOR | 0 | \$ 0 |
| 3165 | BMO EU QLTY HDG | 0 | \$ 0 |
| 3166 | PA QLTY FACTOR | 0 | \$ 0 |
| 3167 | ICBCCSOPCHINA | 0 | \$ 0 |
| 3169 | VANGUARDCHINA | 0 | \$ 0 |
| 3170 | ISHARESXS200 | 0 | \$ 0 |
| 3171 | COMSTAGE DAX | 0 | \$ 0 |
| 3173 | PREMIA NEW ECON | 0 | \$ 0 |
| 3175 | F SAMSUNG OIL | 0 | \$ 0 |
| 3177 | COMSTAGE DIVDAX | 0 | \$ 0 |
| 3180 | CAM CES A80 | 0 | \$ 0 |
| 3181 | PREMIAASIATEC | 0 | \$ 0 |
| 3182 | WISE NEW ECON50 | 0 | \$ 0 |
| 3186 | CICCKRANECNET | 0 | \$ 0 |
| 3188 | CAM CSI300 | 67,613,361 | \$ 2,778,909,137 |
| 3197 | CAM MSCI AINC | 0 | \$ 0 |
| 3198 | PING AN 5HANDL | 0 | \$ 0 |
| 3199 | CSOP CTPBBOND | 0 | \$ 0 |
| 3301 | RONSHINECHINA | 9,985,000 | \$ 94,158,550 |
| 3306 | JNBY | 3,022,000 | \$ 40,373,920 |
| 3308 | GOLDEN EAGLE | 0 | \$ 0 |
| 3309 | C-MER EYE | 3,309,000 | \$ 14,890,500 |
| 3311 | CHINA STATE CON | 157,001,266 | \$ 1,069,178,621 |
| 3319 | A-LIVING | 4,670,063 | \$ 67,062,105 |
| 3320 | CHINARES PHARMA | 9,094,500 | \$ 71,937,495 |
| 3323 | CNBM | 109,149,554 | \$ 701,831,632 |
| 3328 | BANKCOMM | 91,400,839 | \$ 485,338,455 |
| 3331 | VINDA INT'L | 0 | \$ 0 |
| 3333 | EVERGRANDE | 240,252,961 | \$ 4,579,221,437 |
| 3336 | JU TENG INTL | 2,632,000 | \$ 4,684,960 |
| 3337 | ANTON OILFIELD | 110,329,545 | \$ 86,057,045 |
| 3339 | LONKING | 59,792,291 | \$ 111,811,584 |
| 3360 | FE HORIZON | 12,973,642 | \$ 88,220,766 |
| 3369 | QHD PORT | 0 | \$ 0 |
| 3377 | SINO-OCEAN GP | 67,747,954 | \$ 194,436,628 |
| 3380 | LOGAN PPT | 44,258,851 | \$ 458,521,696 |
| 3382 | TIANJINPORT DEV | 4,780,000 | \$ 3,585,000 |
| 3383 | AGILE GROUP | 80,753,488 | \$ 748,584,834 |
| 3393 | WASION HOLDINGS | 2,452,000 | \$ 6,693,960 |
| 3396 | LEGENDHOLDING | 8,952,746 | \$ 150,764,243 |

Aggregated Reportable Short Positions of Specified Shares as of 09/08/2019

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 3606 | FUYAO GLASS | 11,632,859 | \$ 244,871,682 |
| 3613 | TONGRENTANGCM | 2,966,000 | \$ 37,549,560 |
| 3618 | CQRC BANK | 48,671,215 | \$ 181,543,632 |
| 3633 | ZHONGYU GAS | 1,555,299 | \$ 12,224,650 |
| 3639 | YIDA CHINA | 0 | \$ 0 |
| 3668 | YANCOAL AUS | 0 | \$ 0 |
| 3669 | YONGDA AUTO | 23,326,997 | \$ 153,958,180 |
| 3683 | GREAT HARVEST | 3,112,500 | \$ 4,886,625 |
| 3690 | MEITUAN-W | 120,500,400 | \$ 7,651,775,400 |
| 3698 | HUIZHANG BANK | 0 | \$ 0 |
| 3700 | INKE | 13,228,000 | \$ 15,741,320 |
| 3709 | EEKA FASHION | 0 | \$ 0 |
| 3799 | DALI FOODS | 78,516,795 | \$ 383,161,960 |
| 3800 | GCL-POLY ENERGY | 746,348,627 | \$ 279,880,735 |
| 3808 | SINOTRUK | 149,730,808 | \$ 1,754,845,070 |
| 3813 | POU SHENG INT'L | 16,705,500 | \$ 37,587,375 |
| 3818 | CHINA DONGXIANG | 17,569,976 | \$ 15,988,678 |
| 3836 | HARMONY AUTO | 35,736,685 | \$ 75,047,039 |
| 3866 | BQD | 0 | \$ 0 |
| 3883 | CHINA AOYUAN | 42,968,067 | \$ 398,313,981 |
| 3888 | KINGSOFT | 150,435,537 | \$ 2,301,663,716 |
| 3898 | CRRC TIMES ELEC | 8,755,613 | \$ 306,884,236 |
| 3899 | CIMC ENRIC | 3,942,008 | \$ 20,419,601 |
| 3900 | GREENTOWN CHINA | 45,958,554 | \$ 273,453,396 |
| 3908 | CICC | 120,627,245 | \$ 1,679,131,250 |
| 3918 | NAGACORP | 13,344,132 | \$ 147,586,100 |
| 3933 | UNITED LAB | 111,973,191 | \$ 427,737,590 |
| 3958 | DFZQ | 4,991,600 | \$ 22,811,612 |
| 3968 | CM BANK | 136,164,855 | \$ 4,929,167,751 |
| 3969 | CHINA CRSC | 44,444,078 | \$ 224,442,594 |
| 3983 | CHINA BLUECHEM | 30,294,074 | \$ 63,617,555 |
| 3988 | BANK OF CHINA | 202,784,638 | \$ 610,381,760 |
| 3990 | MIDEA REAL EST | 0 | \$ 0 |
| 3993 | CMOC | 522,528,132 | \$ 1,254,067,517 |
| 3996 | CH ENERGY ENG | 0 | \$ 0 |
| 3998 | BOSIDENG | 47,354,120 | \$ 120,753,006 |
| 4332 | AMGEN-T | 0 | \$ 0 |
| 4333 | CISCO-T | 0 | \$ 0 |
| 4335 | INTEL-T | 0 | \$ 0 |
| 4336 | APPL MATERIAL-T | 0 | \$ 0 |
| 4337 | STARBUCKS-T | 0 | \$ 0 |

Aggregated Reportable Short Positions of Specified Shares as of 09/08/2019

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 4338 | MICROSOFT-T | 0 | \$ 0 |
| 6030 | CITIC SEC | 224,746,141 | \$ 3,034,072,904 |
| 6060 | ZA ONLINE | 57,258,322 | \$ 959,649,477 |
| 6066 | CSC | 22,843,234 | \$ 114,216,170 |
| 6068 | WISDOM EDU INTL | 3,140,000 | \$ 10,205,000 |
| 6088 | FIT HON TENG | 6,463,289 | \$ 19,971,563 |
| 6098 | CG SERVICES | 58,309,832 | \$ 1,054,241,763 |
| 6099 | CMSC | 1,739,800 | \$ 14,544,728 |
| 6100 | TALENT LIEPIN | 4,229,200 | \$ 80,354,800 |
| 6122 | JIUTAI RCB | 233,700 | \$ 789,906 |
| 6138 | HARBIN BANK | 0 | \$ 0 |
| 6139 | JINMAO HOTEL-SS | 0 | \$ 0 |
| 6158 | ZHENRO PPT | 20,412,099 | \$ 91,854,446 |
| 6160 | BEIGENE | 0 | \$ 0 |
| 6166 | CHINA VAST | 0 | \$ 0 |
| 6168 | CHINA U-TON | 1,625,000 | \$ 511,875 |
| 6169 | YUHUA EDU | 245,908,246 | \$ 924,615,005 |
| 6178 | EB SECURITIES | 5,136,400 | \$ 28,250,200 |
| 6190 | BANKOFJIUJIANG | 0 | \$ 0 |
| 6196 | BANKOFZHENGZHOU | 0 | \$ 0 |
| 6198 | QINGDAO PORT | 3,928,212 | \$ 18,659,007 |
| 6808 | SUNART RETAIL | 99,949,023 | \$ 846,568,225 |
| 6818 | CEB BANK | 48,912,246 | \$ 160,921,289 |
| 6823 | HKT-SS | 15,791,198 | \$ 204,653,926 |
| 6826 | HAOHAI BIOTEC | 547,842 | \$ 22,242,385 |
| 6828 | BG BLUE SKY | 92,604,756 | \$ 18,520,951 |
| 6837 | HAITONG SEC | 107,349,328 | \$ 770,768,175 |
| 6860 | FINGERTANGO | 0 | \$ 0 |
| 6862 | HAIDILAO | 18,144,000 | \$ 554,299,200 |
| 6868 | TENFU | 0 | \$ 0 |
| 6869 | YOFC | 19,985,984 | \$ 247,026,762 |
| 6878 | DIFFER GROUP | 0 | \$ 0 |
| 6881 | CGS | 159,750,398 | \$ 597,466,489 |
| 6886 | HTSC | 136,375,384 | \$ 1,590,136,977 |
| 6889 | DYNAM JAPAN | 0 | \$ 0 |
| 7200 | FL2 CSOP HSI | 0 | \$ 0 |
| 7205 | FL2SAMSUNGHSI | 0 | \$ 0 |
| 7221 | FL2CAMDXNHSI | 0 | \$ 0 |
| 7228 | FL2SAMSUNGHSCEI | 0 | \$ 0 |
| 7230 | FL2 MR HSCEI | 0 | \$ 0 |
| 7231 | FL2 MR HSI | 0 | \$ 0 |

Aggregated Reportable Short Positions of Specified Shares as of 09/08/2019

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|------------------|--|--|
| 7242 | FL2EFUND YT HSI | 0 | \$ 0 |
| 7261 | FL2CAMDXNNDQ100 | 0 | \$ 0 |
| 7267 | FL2CAMDXNHSCEI | 0 | \$ 0 |
| 7288 | FL2 CSOP HSCEI | 0 | \$ 0 |
| 7300 | FI CSOP HSI | 0 | \$ 0 |
| 7302 | FI EFUND YT HSI | 0 | \$ 0 |
| 7312 | FISAMSUNGHSI | 0 | \$ 0 |
| 7321 | FICAMDXNHSI | 0 | \$ 0 |
| 7322 | XI MR S&P500 | 0 | \$ 0 |
| 7328 | FISAMSUNGHSCIE | 0 | \$ 0 |
| 7331 | FICAMDXNNDQ100 | 0 | \$ 0 |
| 7336 | FI MR HSI | 0 | \$ 0 |
| 7341 | FICAMDXNHSCEI | 0 | \$ 0 |
| 7362 | FI MR HSCEI | 0 | \$ 0 |
| 7500 | FI2 CSOP HSI | 0 | \$ 0 |
| 7588 | FI2 CSOP HSCEI | 0 | \$ 0 |
| 8032 | VIVA CHINA | 1,782,976 | \$ 846,914 |
| 8047 | CHINAOCEAN FISH | 1,164,000 | \$ 360,840 |
| 8057 | MADISON HLDG | 0 | \$ 0 |
| 8083 | CHINA YOUZAN | 256,749,976 | \$ 120,672,489 |
| 8137 | HONBRIDGE | 18,726,624 | \$ 11,235,974 |
| 8207 | CHONGSING HLDGS | 9,147,900 | \$ 109,775 |
| 8279 | AGTECH HOLDINGS | 0 | \$ 0 |
| 8283 | ZHENG LI HLDG | 4,765,000 | \$ 4,288,500 |
| 8293 | SINGASIA HLDG | 1,260,000 | \$ 99,540 |
| 8469 | SHENGYE CAPITAL | 998,000 | \$ 7,604,760 |
| 9010 | ISHARES AXJ-U | 0 | \$ 0 |
| 9011 | A ICBCCICUSD-U | 0 | \$ 0 |
| 9074 | ISHARESMSCITW-U | 0 | \$ 0 |
| 9077 | PREMIA US TSY-U | 0 | \$ 0 |
| 9081 | VALUEGOLD ETF-U | 0 | \$ 0 |
| 9085 | VANGUARDAXJHY-U | 0 | \$ 0 |
| 9086 | BMO NASDAQ100-U | 0 | \$ 0 |
| 9096 | CSOP USD MM-U | 0 | \$ 0 |
| 9101 | VANGUARDDDVEUR-U | 0 | \$ 0 |
| 9115 | ISHARESHSI-U | 0 | \$ 0 |
| 9126 | VANGUARDJAPAN-U | 0 | \$ 0 |
| 9140 | VANGUARDSP500-U | 0 | \$ 0 |
| 9141 | BMO ASIA IGB-U | 0 | \$ 0 |
| 9146 | ISHARESDAX-U | 0 | \$ 0 |
| 9155 | ISHARESES50-U | 0 | \$ 0 |

Aggregated Reportable Short Positions of Specified Shares as of 09/08/2019

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|------------------|--|--|
| 9167 | ICBCCSOPCHINA-U | 0 | \$ 0 |
| 9169 | VANGUARDCHINA-U | 0 | \$ 0 |
| 9170 | ISHARESXS200-U | 0 | \$ 0 |
| 9181 | PREMIAASIATEC-U | 0 | \$ 0 |
| 9186 | CICCKRANECNET-U | 0 | \$ 0 |
| 9199 | CSOP CTPBBOND-U | 0 | \$ 0 |
| 9804 | PREMIA VIET-U | 0 | \$ 0 |
| 9805 | VANGUARDASXJP-U | 0 | \$ 0 |
| 9810 | PREMIAEMASEAN-U | 0 | \$ 0 |
| 9820 | MR CN BIOTECH-U | 0 | \$ 0 |
| 9826 | MR CN CLOUD-U | 0 | \$ 0 |
| 9834 | ISHARESND100-U | 0 | \$ 0 |
| 9836 | ISHARES INDIA-U | 0 | \$ 0 |
| 9846 | ISHARESCSI300-U | 0 | \$ 0 |
| 9847 | ISHARESFS100-U | 0 | \$ 0 |
| 80737 | BAY AREA DEV-R | 0 | \$ 0 |
| 82805 | VANGUARDASXJP-R | 0 | \$ 0 |
| 82811 | HT CSI300 ETF-R | 0 | \$ 0 |
| 82813 | CAM CTPB BOND-R | 0 | \$ 0 |
| 82822 | CSOP A50 ETF-R | 710,600 | \$ 9,948,400 |
| 82823 | ISHARES A50-R | 0 | \$ 0 |
| 82828 | HSCEI ETF-R | 0 | \$ 0 |
| 82832 | BOSERA FA50-R | 0 | \$ 0 |
| 82833 | HS HSI ETF-R | 0 | \$ 0 |
| 82834 | ISHARESND100-R | 0 | \$ 0 |
| 82836 | ISHARES INDIA-R | 0 | \$ 0 |
| 82843 | AMUNDI A50-R | 0 | \$ 0 |
| 82846 | ISHARESCSI300-R | 0 | \$ 0 |
| 82847 | ISHARESFS100-R | 0 | \$ 0 |
| 83008 | C-SHS CSI 300-R | 0 | \$ 0 |
| 83010 | ISHARES AXJ-R | 0 | \$ 0 |
| 83012 | AMUNDI HK35-R | 0 | \$ 0 |
| 83053 | CSOP HKD MM-R | 0 | \$ 0 |
| 83074 | ISHARESMSCITW-R | 0 | \$ 0 |
| 83079 | CICC CGB-R | 0 | \$ 0 |
| 83081 | VALUEGOLD ETF-R | 0 | \$ 0 |
| 83085 | VANGUARDAXJHY-R | 0 | \$ 0 |
| 83093 | CICCSELECT100-R | 0 | \$ 0 |
| 83095 | VALUE A SHARE-R | 0 | \$ 0 |
| 83100 | EFUND CSI100-R | 0 | \$ 0 |
| 83101 | VANGUARDDDVEUR-R | 0 | \$ 0 |

Aggregated Reportable Short Positions of Specified Shares as of 09/08/2019

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 83115 | ISHARESHSI-R | 0 | \$ 0 |
| 83118 | HGI MSCI CN A-R | 0 | \$ 0 |
| 83120 | EFUND CES 120-R | 0 | \$ 0 |
| 83122 | CSOP CNSTBOND-R | 0 | \$ 0 |
| 83126 | VANGUARDJAPAN-R | 0 | \$ 0 |
| 83127 | MR CSI300 ETF-R | 0 | \$ 0 |
| 83128 | HS AINDTOPETF-R | 0 | \$ 0 |
| 83129 | CSOP 300SMART-R | 0 | \$ 0 |
| 83136 | HGI MSCIA50-R | 0 | \$ 0 |
| 83140 | VANGUARDSP500-R | 0 | \$ 0 |
| 83146 | ISHARESDAX-R | 0 | \$ 0 |
| 83147 | CSOP CHINEXT-R | 0 | \$ 0 |
| 83149 | CSOP MSCI-R | 0 | \$ 0 |
| 83150 | HGI CSI500-R | 0 | \$ 0 |
| 83155 | ISHARESES50-R | 0 | \$ 0 |
| 83156 | GFI MSCI A I-R | 0 | \$ 0 |
| 83167 | ICBCCSOPCHINA-R | 0 | \$ 0 |
| 83168 | HS RMB GOLD ETF | 0 | \$ 0 |
| 83169 | VANGUARDCHINA-R | 0 | \$ 0 |
| 83170 | ISHARESKS200-R | 0 | \$ 0 |
| 83180 | CAM CES A80-R | 0 | \$ 0 |
| 83186 | CICCKRANECNET-R | 0 | \$ 0 |
| 83188 | CAM CSI300-R | 250,200 | \$ 10,255,698 |
| 83197 | CAM MSCI AINC-R | 0 | \$ 0 |
| 83199 | CSOP CTPBBOND-R | 0 | \$ 0 |
| 87001 | HUI XIAN REIT | 0 | \$ 0 |