

## Aggregated Reportable Short Positions of Specified Shares as of 20/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	9,730,226	\$ 679,169,775
2	CLP HOLDINGS	14,171,908	\$ 1,160,679,265
3	HK & CHINA GAS	210,287,342	\$ 3,284,688,282
4	WHARF HOLDINGS	38,170,349	\$ 634,391,200
5	HSBC HOLDINGS	83,876,520	\$ 5,032,591,200
6	POWER ASSETS	30,217,473	\$ 1,600,015,195
7	HK FINANCE INV	10,137,199	\$ 8,616,619
8	PCCW	108,694,778	\$ 477,170,075
10	HANG LUNG GROUP	3,389,922	\$ 66,849,262
11	HANG SENG BANK	15,178,949	\$ 2,609,261,333
12	HENDERSON LAND	27,470,015	\$ 1,047,981,072
14	HYSAN DEV	5,834,842	\$ 182,338,813
16	SHK PPT	12,598,587	\$ 1,442,538,212
17	NEW WORLD DEV	65,659,590	\$ 661,848,667
19	SWIRE PACIFIC A	15,605,459	\$ 1,157,144,785
20	WHEELLOCK	7,536,745	\$ 338,776,688
23	BANK OF E ASIA	32,636,403	\$ 642,284,411
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	64,175,515	\$ 3,272,951,265
28	TIAN AN	0	\$ 0
29	DYNAMIC HOLD	0	\$ 0
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	2,370,507	\$ 7,775,263
41	GREAT EAGLE H	160,768	\$ 4,284,467
43	C.P. POKPHAND	5,294,000	\$ 3,494,040
45	HK&S HOTELS	2,833,045	\$ 22,154,412
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	334,392	\$ 7,590,698
53	GUOCO GROUP	0	\$ 0
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	0	\$ 0
66	MTR CORPORATION	40,478,351	\$ 1,859,980,228
69	SHANGRI-LA ASIA	59,290,417	\$ 486,774,324
71	MIRAMAR HOTEL	0	\$ 0
75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0
81	CH OVS G OCEANS	5,194,979	\$ 19,844,820

## Aggregated Reportable Short Positions of Specified Shares as of 20/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83	SINO LAND	37,622,366	\$ 434,914,551
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	0	\$ 0
95	LVGEM CHINA	13,340,000	\$ 37,085,200
101	HANG LUNG PPT	67,154,452	\$ 1,188,633,800
102	SUMMIT ASCENT	4,660,525	\$ 6,151,893
105	ASSO INT HOTELS	0	\$ 0
106	LANDSEA GREEN	0	\$ 0
107	SICHUAN EXPRESS	1,130,000	\$ 2,689,400
112	LERTHAI GROUP	0	\$ 0
116	CHOW SANG SANG	634,000	\$ 5,629,920
119	POLY PROPERTY	4,916,459	\$ 13,225,275
120	COSMOPOL INT'L	0	\$ 0
123	YUEXIU PROPERTY	18,272,538	\$ 32,707,843
127	CHINESE EST H	1,567,187	\$ 9,089,685
133	CHINA MERCHANTS	0	\$ 0
135	KUNLUN ENERGY	17,592,493	\$ 121,564,127
136	HENG TEN NET	2,270,629,146	\$ 281,558,014
142	FIRST PACIFIC	21,275,888	\$ 65,955,253
144	CHINA MER PORT	24,900,558	\$ 312,751,008
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD HLDG	10,707,505	\$ 229,675,982
151	WANT WANT CHINA	447,508,383	\$ 2,738,751,304
152	SHENZHEN INT'L	8,226,699	\$ 122,248,747
158	MELBOURNE ENT	0	\$ 0
161	AVIC IHL	1,230,000	\$ 6,765,000
163	EMPEROR INT'L	0	\$ 0
165	CHINA EB LTD	10,769,769	\$ 104,466,759
168	TSINGTAO BREW	23,700,699	\$ 1,142,373,692
171	SILVER GRANT	13,832,000	\$ 17,981,600
173	K. WAH INT'L	2,660,913	\$ 11,282,271
175	GEELY AUTO	396,767,315	\$ 5,165,910,441
177	JIANGSU EXPRESS	6,784,530	\$ 72,458,780
178	SA SA INT'L	128,831,804	\$ 219,014,067
179	JOHNSON ELEC H	3,306,556	\$ 49,399,947
182	CONCORD NE	11,840,494	\$ 4,617,793
189	DONGYUE GROUP	25,295,474	\$ 97,640,530
191	LAI SUN INT'L	0	\$ 0
194	LIU CHONG HING	0	\$ 0
196	HONGHUA GROUP	10,203,000	\$ 5,611,650
200	MELCO INT'L DEV	21,695,308	\$ 425,661,943

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
202	EVERCHINA INT'L	0	\$ 0
207	JOY CITY PPT	0	\$ 0
208	POLYTEC ASSET	0	\$ 0
215	HUTCHTEL HK	46,057,840	\$ 62,638,662
220	U-PRESID CHINA	18,010,660	\$ 155,071,783
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	2,210,000	\$ 2,431,000
235	CHINA STRATEGIC	36,730,000	\$ 1,432,470
241	ALI HEALTH	181,347,526	\$ 1,256,738,355
242	SHUN TAK HOLD	14,838,796	\$ 46,000,268
245	CN MINSHENG FIN	0	\$ 0
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	0	\$ 0
256	CITYCHAMP	10,963,092	\$ 19,404,673
257	CHINA EB INT'L	115,294,641	\$ 713,673,828
258	TOMSON GROUP	992,342	\$ 1,915,220
261	GBA INV HLDGS	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	43,534,458	\$ 436,215,269
268	KINGDEE INT'L	359,724,057	\$ 3,104,418,612
270	GUANGDONG INV	6,907,222	\$ 108,305,241
272	SHUI ON LAND	19,791,987	\$ 32,063,019
273	MASON GP HOLD	66,114,884	\$ 7,735,441
285	BYD ELECTRONIC	127,560,271	\$ 1,563,888,922
288	WH GROUP	185,224,654	\$ 1,316,947,290
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	27,676,387	\$ 1,151,337,699
293	CATHAY PAC AIR	50,813,078	\$ 514,228,349
297	SINOFERT	38,839,113	\$ 32,624,855
303	VTECH HOLDINGS	2,165,575	\$ 152,564,759
308	CHINA TRAVEL HK	30,032,249	\$ 38,741,601
315	SMARTONE TELE	2,906,677	\$ 20,259,539
316	OOIL	0	\$ 0
317	COMEC	4,609,740	\$ 30,885,258
321	TEXWINCA HOLD	5,910,193	\$ 11,406,672
322	TINGYI	75,912,175	\$ 844,143,386
323	MAANSHAN IRON	379,526,784	\$ 1,119,604,013
326	CHINA STAR ENT	5,400,000	\$ 5,508,000
327	PAX GLOBAL	22,058,061	\$ 78,085,536
329	OCI INTL	0	\$ 0
330	ESPRIT HOLDINGS	55,391,548	\$ 83,641,237

## Aggregated Reportable Short Positions of Specified Shares as of 20/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
336	HUABAO INTL	6,211,292	\$ 18,509,650
337	GREENLAND HK	5,651,780	\$ 15,938,020
338	SHANGHAI PECEM	93,713,390	\$ 221,163,600
341	CAFE DE CORAL H	1,302,195	\$ 28,583,180
342	NEWOCEAN ENERGY	60,964,744	\$ 92,666,411
345	VITASOY INT'L	58,725,458	\$ 1,996,665,572
347	ANGANG STEEL	152,712,520	\$ 465,773,186
354	CHINASOFT INT'L	272,422,247	\$ 1,043,377,206
358	JIANGXI COPPER	18,818,463	\$ 175,952,629
363	SHANGHAI IND H	1,675,197	\$ 26,803,152
367	CHUANG'S INT'L	0	\$ 0
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	167,954,475	\$ 733,961,056
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	111,646,016	\$ 3,349,380,480
386	SINOPEC CORP	273,132,886	\$ 1,305,575,195
388	HKEX	19,430,013	\$ 4,472,788,993
390	CHINA RAILWAY	31,225,645	\$ 162,685,610
392	BEIJING ENT	2,301,520	\$ 85,156,240
400	COGOBUY	15,955,764	\$ 25,050,549
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	18,531,958	\$ 44,291,380
412	CHINA SDHS FIN	46,980,000	\$ 11,698,020
419	HUAYI TENCENT	40,750,000	\$ 4,156,500
425	MINTH GROUP	48,514,348	\$ 1,234,690,157
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	31,901,178	\$ 12,122,448
440	DAH SING	193,979	\$ 5,964,854
445	CIMC-TIANDA	0	\$ 0
451	GCL NEWENERGY	53,999,886	\$ 15,929,966
460	SIHUAN PHARM	108,025,768	\$ 149,075,560
467	UNITEDENERGY GP	14,602,000	\$ 24,239,320
468	GAPACK	348,000	\$ 1,374,600
480	HKR INT'L	0	\$ 0
486	RUSAL	29,314,000	\$ 100,547,020
488	LAI SUN DEV	205,500	\$ 1,890,600
489	DONGFENG GROUP	96,594,442	\$ 760,198,259
493	GOME RETAIL	1,787,515,823	\$ 1,287,011,393
494	LI & FUNG	406,729,110	\$ 378,258,072

## Aggregated Reportable Short Positions of Specified Shares as of 20/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
496	KASEN	7,509,000	\$ 52,487,910
497	CSI PROPERTIES	3,000,000	\$ 795,000
500	FRONTIER SER	0	\$ 0
506	CHINA FOODS	5,005,319	\$ 17,018,085
508	DINGYI GP INV	56,170,000	\$ 15,165,900
511	TVB	8,042,985	\$ 110,188,895
512	CHINAGRANDPHARM	11,100,000	\$ 47,397,000
517	COSCO SHIP INTL	874,439	\$ 1,871,299
520	XIABUXIABU	28,184,102	\$ 301,569,891
522	ASM PACIFIC	15,513,323	\$ 1,552,883,632
525	GUANGSHEN RAIL	8,891,148	\$ 22,138,959
526	CHINA AUTO NR	0	\$ 0
530	GOLDIN FIN HOLD	10,910,000	\$ 31,311,700
535	GEMDALE PPT	57,779,370	\$ 52,579,227
538	AJISEN (CHINA)	6,907,616	\$ 16,440,126
546	FUFENG GROUP	42,207,925	\$ 156,169,323
547	DIGITAL DOMAIN	248,070,281	\$ 23,814,747
548	SHENZHENEXPRESS	20,479,312	\$ 216,671,121
551	YUE YUEN IND	16,449,695	\$ 348,733,534
552	CHINACOMSERVICE	12,769,342	\$ 57,462,039
563	SH IND URBAN	4,003,208	\$ 4,283,433
570	TRAD CHI MED	62,003,908	\$ 241,815,241
576	ZHEJIANGEXPRESS	6,988,619	\$ 47,732,268
579	JNCEC	5,610,000	\$ 7,461,300
581	CHINA ORIENTAL	34,858,867	\$ 104,576,601
585	IMAGI INT'L	0	\$ 0
586	CONCH VENTURE	28,391,243	\$ 821,926,485
588	BEIJING N STAR	1,374,000	\$ 3,613,620
590	LUK FOOK HOLD	5,207,113	\$ 107,266,528
596	INSPUR INT'L	15,646,000	\$ 55,856,220
598	SINOTRANS	40,038,453	\$ 99,695,748
603	CHINA OIL & GAS	6,419,936	\$ 1,765,482
604	SHENZHEN INVEST	37,294,666	\$ 111,138,105
606	CHINA AGRI	53,345,442	\$ 127,495,606
607	FULLSHARE	427,950,776	\$ 85,162,204
622	OSHIDORI	19,944,200	\$ 16,553,686
627	FULLSUN INT'L	0	\$ 0
631	SANY INT'L	12,902,606	\$ 43,223,730
636	KERRY LOG NET	3,022,719	\$ 37,179,444
639	SHOUGANG RES	13,544,651	\$ 23,432,246
650	IDG ENERGY INV	0	\$ 0

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
656	FOSUN INTL	76,759,566	\$ 802,905,060
658	C TRANSMISSION	32,361,939	\$ 151,453,875
659	NWS HOLDINGS	2,764,671	\$ 36,880,711
665	HAITONG INT'L	192,519,199	\$ 444,719,350
669	TECHTRONIC IND	31,874,095	\$ 1,721,201,130
670	CHINA EAST AIR	99,448,671	\$ 393,816,737
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	0	\$ 0
683	KERRY PPT	4,426,028	\$ 106,003,371
686	PANDA GREEN	62,252,563	\$ 15,189,625
687	TYSAN HOLDINGS	0	\$ 0
688	CHINA OVERSEAS	23,305,454	\$ 587,297,441
691	SHANSHUI CEMENT	1,676,700	\$ 4,510,323
694	BEIJING AIRPORT	50,089,141	\$ 352,627,553
696	TRAVELSKY TECH	24,728,582	\$ 406,537,888
697	SHOUGANG INT'L	47,643,389	\$ 15,484,101
698	TONGDA GROUP	130,526,031	\$ 88,757,701
699	CAR INC	61,926,332	\$ 364,746,095
700	TENCENT	59,505,616	\$ 20,089,095,962
708	EVERG HEALTH	7,743,926	\$ 57,692,249
709	GIORDANO INT'L	6,038,820	\$ 14,372,392
710	BOE VARITRONIX	1,204,000	\$ 2,564,520
715	CHINA OCEANWIDE	0	\$ 0
716	SINGAMAS CONT	14,129,937	\$ 13,847,338
728	CHINA TELECOM	94,404,126	\$ 336,078,689
732	TRULY INT'L	36,724,950	\$ 42,233,693
737	BAY AREA DEV	0	\$ 0
743	ASIA CEMENT CH	3,732,000	\$ 37,282,680
751	SKYWORTH GROUP	101,129,451	\$ 202,258,902
752	PICO FAR EAST	400,000	\$ 1,000,000
753	AIR CHINA	103,191,672	\$ 736,788,538
754	HOPSON DEV HOLD	0	\$ 0
755	SHANGHAI ZENDAI	0	\$ 0
762	CHINA UNICOM	97,332,864	\$ 803,969,457
763	ZTE	38,040,612	\$ 836,893,464
772	CHINA LIT	39,528,900	\$ 1,069,256,745
777	NETDRAGON	1,729,500	\$ 32,410,830
778	FORTUNE REIT	0	\$ 0
780	TONGCHENG-ELONG	49,183,940	\$ 674,803,657
788	CHINA TOWER	1,602,030,420	\$ 2,995,796,885
798	CEOVU	3,172,000	\$ 1,649,440

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799	IGG	55,831,570	\$ 295,907,321
806	VALUE PARTNERS	42,192,588	\$ 174,677,314
807	SIICENVIRONMENT	2,103,000	\$ 2,923,170
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	299,000	\$ 1,740,180
813	SHIMAO PROPERTY	39,213,404	\$ 933,279,015
816	HUADIAN FUXIN	4,388,029	\$ 6,362,642
817	CHINA JINMAO	258,222,830	\$ 1,229,140,671
818	HI SUN TECH	1,341,000	\$ 1,863,990
819	TIANNENG POWER	86,724,359	\$ 508,204,744
823	LINK REIT	18,675,733	\$ 1,638,795,571
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	1,642,726	\$ 5,700,259
836	CHINA RES POWER	16,432,553	\$ 176,485,619
839	CHINA EDU GROUP	66,262,527	\$ 726,237,296
853	MICROPORT	79,270,342	\$ 646,845,991
855	CHINA WATER	53,181,019	\$ 349,399,295
856	VSTECs	2,788,005	\$ 10,901,100
857	PETROCHINA	229,911,544	\$ 956,432,023
860	WE SOLUTIONS	40,863,303	\$ 19,205,752
861	DC HOLDINGS	6,960,512	\$ 30,417,437
867	CMS	20,460,687	\$ 187,010,679
868	XINYI GLASS	104,607,174	\$ 924,727,418
874	BAIYUNSHAN PH	3,441,600	\$ 96,020,640
877	O-NET TECH GP	2,410,000	\$ 10,363,000
880	SJM HOLDINGS	117,307,758	\$ 906,788,969
881	ZHONGSHENG HLDG	172,193,626	\$ 4,261,792,244
882	TIANJIN DEV	1,696,000	\$ 3,697,280
883	CNOOC	167,176,478	\$ 2,103,080,093
884	CIFI HOLD GP	149,284,349	\$ 695,665,066
895	DONGJIANG ENV	948,350	\$ 6,714,318
902	HUANENG POWER	70,080,517	\$ 302,047,028
903	TPV TECHNOLOGY	842,000	\$ 3,123,820
906	COFCO PACKAGING	26,829,537	\$ 82,098,383
914	CONCH CEMENT	46,856,180	\$ 2,181,155,179
916	CHINA LONGYUAN	28,099,743	\$ 129,820,813
921	HISENSE HA	2,050,899	\$ 16,653,300
931	CHINA LNG	0	\$ 0
934	SINOPEC KANTONS	9,113,049	\$ 28,979,496
939	CCB	523,630,252	\$ 3,126,072,604
941	CHINA MOBILE	27,908,154	\$ 1,802,866,748

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950	LEE'S PHARM	3,325,595	\$ 14,965,178
951	CHAOWEI POWER	3,634,931	\$ 10,177,807
956	CHINA SUNTIEN	5,847,774	\$ 13,099,014
958	HN RENEWABLES	32,589,532	\$ 87,665,841
960	LONGFOR GROUP	49,094,942	\$ 1,480,212,501
966	CHINA TAIPING	38,306,384	\$ 695,643,933
968	XINYI SOLAR	145,779,029	\$ 758,050,951
973	L'OCCITANE	487,250	\$ 7,893,450
976	CHIHO ENV	6,320,000	\$ 3,792,000
978	CHI MER LAND	0	\$ 0
981	SMIC	331,657,715	\$ 3,555,370,705
989	GROUND INTL DEV	6,423,768	\$ 1,040,650
991	DATANG POWER	25,240,488	\$ 42,656,425
992	LENOVO GROUP	493,484,611	\$ 2,669,751,746
995	ANHUIEXPRESSWAY	962,301	\$ 4,311,108
996	CARNIVAL GROUP	64,997,999	\$ 1,689,948
998	CITIC BANK	92,316,113	\$ 392,343,480
999	I.T	752,000	\$ 1,752,160
1003	HUANXI MEDIA	660,000	\$ 950,400
1004	C SMARTERENERGY	0	\$ 0
1030	FUTURE LAND	256,033,278	\$ 1,705,181,631
1031	KINGSTON FIN	2,738,000	\$ 3,148,700
1033	SINOPEC SSC	22,676,000	\$ 19,047,840
1038	CKI HOLDINGS	9,392,631	\$ 498,279,075
1044	HENGAN INT'L	41,393,308	\$ 2,154,521,681
1051	G-RESOURCES	10,707,000	\$ 535,350
1052	YUEXIUTRANSPORT	2,004,000	\$ 13,587,120
1055	CHINA SOUTH AIR	215,943,376	\$ 1,051,644,241
1060	ALI PICTURES	590,609,663	\$ 779,604,755
1061	ESSEX BIO-TECH	197,144	\$ 1,015,292
1066	WEIGAO GROUP	14,843,500	\$ 121,122,960
1068	YURUN FOOD	16,581,101	\$ 15,752,046
1070	TCL ELECTRONICS	1,865,953	\$ 7,538,450
1071	HUADIAN POWER	46,189,117	\$ 142,262,480
1072	DONGFANG ELEC	4,362,967	\$ 20,113,278
1076	IMPERIAL PAC	349,730,000	\$ 52,109,770
1083	TOWNGAS CHINA	7,673,959	\$ 47,194,848
1086	GOODBABY INTL	26,035,661	\$ 33,846,359
1088	CHINA SHENHUA	39,760,830	\$ 645,715,879
1089	LEYOU TECH H	17,829,752	\$ 44,039,487
1093	CSPC PHARMA	130,162,317	\$ 2,001,896,435



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1098	ROAD KING INFRA	547,000	\$ 7,340,740
1099	SINOPHARM	22,654,062	\$ 620,721,299
1107	MODERN LAND	590,000	\$ 649,000
1109	CHINA RES LAND	58,487,804	\$ 1,947,643,873
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	16,281,313	\$ 544,609,920
1113	CK ASSET	12,417,127	\$ 676,112,565
1114	BRILLIANCE CHI	391,075,045	\$ 3,425,817,394
1115	TIBET WATER	24,599,000	\$ 34,930,580
1117	CH MODERN D	6,815,363	\$ 7,428,746
1119	IDREAMSKY	1,396,400	\$ 6,423,440
1122	QINGLING MOTORS	578,000	\$ 1,115,540
1128	WYNN MACAU	81,665,521	\$ 1,308,281,646
1131	AGRITRADE RES	101,323,332	\$ 99,296,865
1133	HARBIN ELECTRIC	10,900,214	\$ 23,435,460
1137	HKTV	14,897,577	\$ 45,437,610
1138	COSCO SHIP ENGY	27,931,835	\$ 120,386,209
1140	OP FINANCIAL	9,920,000	\$ 16,764,800
1141	CMBC CAPITAL	254,228,049	\$ 43,472,996
1157	ZOOMLION	61,239,394	\$ 339,878,637
1168	SINOLINK HOLD	8,470,000	\$ 4,235,000
1169	HAIER ELEC	26,032,651	\$ 519,611,714
1171	YANZHOU COAL	116,303,794	\$ 975,788,832
1176	ZHUGUANG HOLD	7,714,000	\$ 8,485,400
1177	SINO BIOPHARM	346,232,064	\$ 3,697,758,444
1186	CHINA RAIL CONS	65,059,069	\$ 609,603,477
1193	CHINA RES GAS	43,450,540	\$ 1,679,363,371
1196	REALORD GROUP	2,073,000	\$ 9,888,210
1198	ROYALE FURN	0	\$ 0
1199	COSCO SHIP PORT	18,769,492	\$ 117,684,715
1205	CITIC RESOURCES	2,754,000	\$ 1,377,000
1208	MMG	246,854,735	\$ 493,709,470
1211	BYD COMPANY	160,510,636	\$ 6,629,089,267
1212	LIFESTYLE INT'L	7,781,185	\$ 64,583,836
1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1223	SYMPHONY HOLD	0	\$ 0
1224	C C LAND	1,132,000	\$ 2,048,920
1233	TIMES CHINA	9,977,618	\$ 126,516,196
1234	CHINA LILANG	955,254	\$ 6,113,626
1238	POWERLONG	7,661,101	\$ 40,757,057

## Aggregated Reportable Short Positions of Specified Shares as of 20/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	35,104,503	\$ 3,580,659
1252	CHINA TIANRUI	0	\$ 0
1257	CEB GREENTECH	11,711,119	\$ 55,862,038
1258	CHINFMINING	0	\$ 0
1268	MEIDONG AUTO	7,032,000	\$ 50,630,400
1270	LANGHAM-SS	2,364,436	\$ 5,272,692
1282	GLORY SUN FIN	87,384,199	\$ 27,962,944
1288	ABC	178,347,406	\$ 554,660,433
1293	GRAND BAOXIN	33,379,718	\$ 50,737,171
1299	AIA	85,995,710	\$ 6,488,376,320
1300	TRIGIANT	0	\$ 0
1302	LIFETECH SCI	27,654,000	\$ 43,140,240
1308	SITC	3,545,000	\$ 29,246,250
1310	HKBN	5,132,749	\$ 75,759,375
1313	CHINARES CEMENT	104,734,260	\$ 831,590,024
1316	NEXTEER	43,203,118	\$ 287,300,735
1317	MAPLELEAF EDU	100,471,423	\$ 254,192,700
1330	DYNAGREEN ENV	2,026,224	\$ 6,605,490
1333	CHINA ZHONGWANG	67,851,201	\$ 218,480,867
1336	NCI	29,127,970	\$ 955,397,416
1337	RAZER	128,895,171	\$ 201,076,467
1339	PICC GROUP	91,463,289	\$ 296,341,056
1347	HUA HONG SEMI	74,086,894	\$ 1,309,856,286
1349	FUDANZHANGJIANG	384,000	\$ 2,200,320
1359	CHINA CINDA	225,370,816	\$ 371,861,846
1360	NOVA GROUP	34,136,614	\$ 62,128,637
1368	XTEP INT'L	36,794,878	\$ 170,360,285
1375	CC SECURITIES	13,956,000	\$ 23,446,080
1378	CHINAHONGQIAO	45,638,398	\$ 236,863,286
1381	CANVEST ENV	10,524,000	\$ 37,149,720
1382	PACIFICTEXTILES	8,245,331	\$ 43,782,708
1383	SUNCITY GROUP	28,860,000	\$ 53,679,600
1385	SHANGHAI FUDAN	5,750,968	\$ 47,330,467
1387	CHINA DILI	29,327,292	\$ 80,650,053
1398	ICBC	332,259,209	\$ 1,754,328,624
1426	SPRING REIT	0	\$ 0
1432	CHINA SHENGMU	26,904,694	\$ 8,744,026
1448	FU SHOU YUAN	8,294,706	\$ 60,053,671
1458	ZHOU HEI YA	38,099,152	\$ 146,681,735
1475	NISSIN FOODS	6,897,000	\$ 45,796,080

## Aggregated Reportable Short Positions of Specified Shares as of 20/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1476	HENGTOU SEC	0	\$ 0
1478	Q TECH	13,049,000	\$ 120,572,760
1508	CHINA RE	24,055,059	\$ 31,752,678
1513	LIVZON PHARMA	1,522,336	\$ 31,740,706
1515	CR MEDICAL	15,245,617	\$ 76,685,454
1518	NC HEALTHCARE	0	\$ 0
1528	RS MACALLINE	2,593,547	\$ 17,013,668
1530	3SBIO	135,299,912	\$ 1,702,072,893
1548	GENSCRIPT BIO	58,459,637	\$ 1,025,382,033
1551	GRCB	0	\$ 0
1558	HEC PHARM	3,151,800	\$ 116,931,780
1565	VIRSCEND EDU	0	\$ 0
1568	SUNDART HLDGS	486,000	\$ 1,837,080
1569	MINSHENG EDU	1,128,000	\$ 1,534,080
1573	SOUTHERN ENERGY	10,514,000	\$ 21,238,280
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	23,214,438	\$ 1,091,078,586
1585	YADEA	26,124,000	\$ 44,149,560
1589	CNLP	1,618,000	\$ 5,015,800
1600	TIAN LUN GAS	1,545,500	\$ 12,054,900
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	75,942,425	\$ 210,360,517
1617	NANFANG COMM	2,000,000	\$ 8,800,000
1618	MCC	5,725,000	\$ 10,305,000
1622	REDCO GROUP	20,966,623	\$ 69,189,856
1628	YUZHOU PPT	26,762,721	\$ 92,866,642
1636	CMRU	6,247,730	\$ 21,554,669
1638	KAISA GROUP	102,312,189	\$ 360,138,905
1658	PSBC	378,905,815	\$ 1,799,802,621
1660	ZHAOBANGJI PPT	0	\$ 0
1666	TONG REN TANG	16,565,506	\$ 130,867,497
1668	CHINASOUTHCITY	38,275,748	\$ 37,510,233
1672	ASCLETIS-B	1,506,000	\$ 5,572,200
1675	ASIAINFO TECH	0	\$ 0
1680	MACAU LEGEND	17,463,033	\$ 14,843,578
1681	CONSUN PHARMA	3,180,000	\$ 14,596,200
1686	SUNEVISION	3,233,827	\$ 20,179,080
1708	SAMPLE TECH	0	\$ 0
1717	AUSNUTRIA	13,189,900	\$ 148,254,476
1727	HEBEI CONS	2,322,000	\$ 14,210,640

## Aggregated Reportable Short Positions of Specified Shares as of 20/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1728	ZHENGTONGAUTO	131,730,259	\$ 349,085,186
1755	S-ENJOY SERVICE	1,631,000	\$ 11,433,310
1761	BABYTREE GROUP	0	\$ 0
1765	HOPE EDU	11,580,000	\$ 14,243,400
1766	CRRC	59,336,140	\$ 346,523,058
1772	GANFENGLITHIUM	4,076,133	\$ 48,750,551
1776	GF SEC	43,291,402	\$ 374,903,541
1777	FANTASIA	13,731,477	\$ 17,438,976
1778	COLOUR LIFE	14,762,776	\$ 61,265,520
1787	SD GOLD	2,069,098	\$ 38,981,806
1788	GUOTAI JUNAN I	224,284,794	\$ 309,513,016
1789	AK MEDICAL	6,994,000	\$ 55,182,660
1797	KOOLEARN	2,760,500	\$ 37,156,330
1798	DATANG RENEW	1,022,000	\$ 817,600
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	94,018,245	\$ 629,922,242
1801	INNOVENT BIO-B	11,444,063	\$ 286,101,575
1803	BJ SPORTS & ENT	20,370,115	\$ 7,231,391
1806	HUIFU PAYMENT	1,064,021	\$ 3,341,026
1809	PRINX CHENGSHAN	0	\$ 0
1810	XIAOMI-W	1,154,872,242	\$ 10,682,568,239
1811	CGN NEW ENERGY	1,628,000	\$ 1,514,040
1813	KWG GROUP	52,476,368	\$ 356,314,539
1816	CGN POWER	203,830,793	\$ 413,776,510
1818	ZHAOJIN MINING	73,370,011	\$ 713,890,207
1828	DCH HOLDINGS	3,213,207	\$ 7,551,036
1829	CMEC	6,178,706	\$ 20,327,943
1833	PA GOODDOCTOR	34,856,567	\$ 1,558,088,545
1836	STELLA HOLDINGS	4,610,002	\$ 55,320,024
1848	CALC	1,047,000	\$ 8,470,230
1860	MOBVISTA	0	\$ 0
1877	JUNSHI BIO-B	1,639,799	\$ 47,472,181
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	15,814,380	\$ 255,244,093
1883	CITIC TELECOM	1,874,000	\$ 5,547,040
1888	KB LAMINATES	25,427,091	\$ 186,126,306
1896	MAOYAN ENT	1,795,400	\$ 23,160,660
1898	CHINA COAL	10,383,323	\$ 33,849,633
1899	XINGDA INT'L	3,533,171	\$ 6,925,015
1907	CHINA RISUN GP	0	\$ 0
1908	C&D INTL GROUP	1,383,727	\$ 11,623,307

## Aggregated Reportable Short Positions of Specified Shares as of 20/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1910	SAMSONITE	45,785,302	\$ 793,001,431
1911	CR HOLDINGS	283,900	\$ 4,201,720
1913	PRADA	34,397,689	\$ 851,342,803
1916	JIANGXI BANK	284,500	\$ 1,305,855
1918	SUNAC	108,634,041	\$ 3,606,650,161
1919	COSCO SHIP HOLD	97,853,235	\$ 286,709,979
1928	SANDS CHINA LTD	87,083,451	\$ 3,235,150,205
1929	CHOW TAI FOOK	17,726,676	\$ 121,604,997
1958	BAIC MOTOR	36,042,456	\$ 179,851,855
1963	BCQ	1,537,809	\$ 6,781,738
1966	CHINA SCE GROUP	13,613,021	\$ 52,818,521
1970	IMAX CHINA	1,998,506	\$ 36,812,481
1972	SWIREPROPERTIES	11,239,357	\$ 280,983,925
1980	TIANGE	8,595,056	\$ 16,072,755
1988	MINSHENG BANK	240,803,721	\$ 1,297,932,056
1992	FOSUN TOURISM	1,351,139	\$ 14,268,028
1995	EVERSUNSHINE LS	1,510,000	\$ 5,934,300
1996	RSUN PPT	0	\$ 0
1997	WHARF REIC	36,229,649	\$ 1,559,686,389
1999	MAN WAH HLDGS	86,144,498	\$ 376,451,456
2001	NEW HIGHER EDU	20,595,964	\$ 66,730,923
2003	VCREDIT	228,600	\$ 1,847,088
2005	SSY GROUP	44,760,845	\$ 285,126,583
2006	JINJIANGCAPITAL	7,842,000	\$ 9,175,140
2007	COUNTRY GARDEN	554,711,338	\$ 5,624,772,967
2008	PHOENIX TV	1,266,000	\$ 822,900
2009	BBMG	127,246,023	\$ 297,755,694
2013	WEIMOB INC	39,698,963	\$ 163,162,738
2016	CZBANK	0	\$ 0
2018	AAC TECH	99,334,443	\$ 4,549,517,489
2019	DEXIN CHINA	667,000	\$ 2,161,080
2020	ANTA SPORTS	15,772,158	\$ 1,008,629,504
2038	FIH	264,444,317	\$ 261,799,874
2039	CIMC	3,417,714	\$ 23,137,924
2048	E-HOUSE ENT	4,375,500	\$ 42,398,595
2051	51 CREDIT CARD	2,446,000	\$ 8,878,980
2066	SHENGJINGBANK	0	\$ 0
2098	ZALL SMARTCOM	10,450,000	\$ 9,614,000
2099	CHINAGOLDINTL	472,700	\$ 3,809,962
2128	CHINA LESSO	10,038,000	\$ 70,266,000
2139	BANK OF GANSU	0	\$ 0

**Aggregated Reportable Short Positions of Specified Shares as of 20/09/2019**

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2183	SANSHENG HLDG	0	\$ 0
2186	LUYE PHARMA	200,058,472	\$ 1,236,361,357
2196	FOSUN PHARMA	44,717,105	\$ 1,041,908,547
2198	CHINA SANJIANG	2,345,000	\$ 3,283,000
2199	REGINA MIRACLE	8,822,115	\$ 45,786,777
2202	CHINA VANKE	51,231,022	\$ 1,437,030,167
2208	GOLDWIND	26,713,126	\$ 261,788,635
2222	NVC LIGHTING	1,177,000	\$ 1,235,850
2226	HONWORLD GP	0	\$ 0
2232	CRYSTAL INTL	5,034,249	\$ 16,512,337
2233	WESTCHINACEMENT	12,700,250	\$ 16,383,323
2238	GAC GROUP	367,993,463	\$ 2,921,868,096
2255	HAICHANG HLDG	2,362,000	\$ 2,621,820
2269	WUXI BIO	21,129,249	\$ 1,688,226,995
2280	HC GROUP	37,936,243	\$ 112,670,642
2282	MGM CHINA	132,378,810	\$ 1,699,743,920
2283	TK GROUP HLDG	190,444	\$ 681,790
2298	COSMO LADY	3,686,070	\$ 4,791,891
2299	BILLION IND	0	\$ 0
2303	HENGXING GOLD	394,000	\$ 1,843,920
2313	SHENZHOU INTL	6,587,098	\$ 685,058,192
2314	LEE & MAN PAPER	30,329,131	\$ 130,111,972
2318	PING AN	1,189,233,393	\$ 109,528,395,495
2319	MENGNU DAIRY	61,652,916	\$ 1,846,504,834
2322	HK CHAOSHANG GP	0	\$ 0
2327	MEILLEUREHEALTH	7,236,000	\$ 3,835,080
2328	PICC P&C	91,052,271	\$ 854,980,825
2329	GUORUI PPT	14,213,296	\$ 20,182,880
2331	LI NING	41,139,987	\$ 909,193,713
2333	GREATWALL MOTOR	470,608,563	\$ 2,527,167,983
2338	WEICHAJ POWER	120,649,365	\$ 1,452,618,355
2342	COMBA	54,072,193	\$ 100,033,557
2343	PACIFIC BASIN	198,271,439	\$ 344,992,304
2356	DAHSING BANKING	841,481	\$ 9,222,632
2357	AVICHINA	61,018,600	\$ 246,515,144
2359	WUXI APPTec	13,198,440	\$ 1,125,167,010
2362	JINCHUAN INTL	45,916,000	\$ 29,845,400
2378	PRU	0	\$ 0
2380	CHINA POWER	43,566,102	\$ 74,062,373
2382	SUNNY OPTICAL	34,002,599	\$ 4,070,111,100
2383	TOM GROUP	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 20/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2386	SINOPEC SEG	8,382,234	\$ 42,497,926
2388	BOC HONG KONG	57,871,925	\$ 1,556,754,783
2399	FORDOO	0	\$ 0
2552	HUA MEDICINE-B	0	\$ 0
2588	BOC AVIATION	12,146,765	\$ 902,504,640
2600	CHALCO	149,092,622	\$ 393,604,522
2601	CPIC	148,609,649	\$ 4,577,177,189
2607	SH PHARMA	7,774,596	\$ 115,530,497
2608	SUNSHINE 100	3,418,000	\$ 4,853,560
2611	GTJA	12,409,832	\$ 158,597,653
2616	CSTONE PHARMA-B	3,814,782	\$ 47,532,184
2628	CHINA LIFE	56,363,327	\$ 1,061,885,081
2638	HKELECTRIC-SS	102,340,713	\$ 779,836,233
2662	CAMSING INTL	7,849,920	\$ 9,105,907
2666	UNI MEDICAL	29,553,903	\$ 162,546,467
2669	CHINA OVS PPT	46,710,656	\$ 184,507,091
2678	TEXHONG TEXTILE	1,975,000	\$ 14,615,000
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	16,410,838	\$ 1,355,535,219
2689	ND PAPER	147,054,881	\$ 1,004,384,837
2698	WEIQIAO TEXTILE	304,000	\$ 635,360
2727	SH ELECTRIC	54,791,193	\$ 139,717,542
2768	JIAYUAN INTL	22,490,992	\$ 72,870,814
2777	R&F PROPERTIES	70,810,328	\$ 869,550,828
2778	CHAMPION REIT	12,515,883	\$ 64,707,115
2799	CHINA HUARONG	271,308,693	\$ 344,562,040
2800	TRACKER FUND	20,704,533	\$ 566,268,978
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PP BEDROCK	0	\$ 0
2804	PREMIA VIET	0	\$ 0
2805	VANGUARDASXJP	0	\$ 0
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMUNGC DRAGON	0	\$ 0
2813	CAM CTPB BOND	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2820	MR CN BIOTECH	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	48,975,293	\$ 733,649,889
2823	ISHARES A50	98,569,313	\$ 1,470,654,150

## Aggregated Reportable Short Positions of Specified Shares as of 20/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2826	MR CN CLOUD	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	2,466,150	\$ 260,178,825
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	35,716,500	\$ 72,504,495
2866	COSCO SHIP DEV	57,819,381	\$ 53,193,831
2868	BJ CAPITAL LAND	27,165,000	\$ 72,802,200
2869	GREENTOWN SER	52,310,724	\$ 405,408,111
2877	SHINEWAY PHARM	4,562,934	\$ 33,674,453
2880	DALIAN PORT	2,282,000	\$ 2,282,000
2883	CHINA OILFIELD	51,645,700	\$ 506,127,860
2888	STANCHART	2,981,853	\$ 200,380,522
2899	ZIJIN MINING	70,949,830	\$ 202,207,016
3007	TRFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3011	A ICBCICUSD	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0
3023	PA AI&ROBOTICS	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X TRMSCIRUSSIA	0	\$ 0
3036	TRMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	TRMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0



## Aggregated Reportable Short Positions of Specified Shares as of 20/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3065	TRMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3077	PREMIA US TSY	0	\$ 0
3079	CICC CGB	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VANGUARDAXJHY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3093	CICCSELECT100	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3096	CSOP USD MM	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VANGUARDDDVEUR	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3126	VANGUARDJAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3140	VANGUARDSP500	0	\$ 0
3141	BMO ASIA IGB	0	\$ 0
3143	BMO HK BANKS	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 20/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3150	HGI CSI500	0	\$ 0
3155	ISHARESES50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3163	PA MULTI-FACTOR	0	\$ 0
3165	BMO EU QLTQ HDG	0	\$ 0
3166	PA QLTQ FACTOR	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0
3169	VANGUARDCHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3173	PP CN NEWCON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3181	PREMIAASIA TEC	0	\$ 0
3182	WISE NEW ECON50	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3188	CAM CSI300	48,337,242	\$ 2,141,339,821
3197	CAM MSCI AINC	0	\$ 0
3198	PING AN 5HANDL	0	\$ 0
3199	CSOP CTPBBOND	0	\$ 0
3301	RONSHINECHINA	7,674,027	\$ 68,605,801
3306	JNBY	1,376,000	\$ 16,099,200
3308	GOLDEN EAGLE	861,719	\$ 7,419,401
3309	C-MER EYE	3,111,000	\$ 17,297,160
3311	CHINA STATE CON	132,704,780	\$ 1,029,789,093
3313	ARTGO HOLDINGS	2,340,000	\$ 18,720,000
3319	A-LIVING	5,934,203	\$ 97,914,350
3320	CHINARES PHARMA	13,195,427	\$ 106,751,004
3323	CNBM	73,340,222	\$ 528,049,598
3328	BANKCOMM	70,193,357	\$ 366,409,324
3331	VINDA INT'L	382,485	\$ 5,010,554
3333	EVERGRANDE	257,454,307	\$ 4,448,810,425
3336	JU TENG INTL	2,556,000	\$ 4,549,680
3337	ANTON OILFIELD	79,884,560	\$ 68,700,722

## Aggregated Reportable Short Positions of Specified Shares as of 20/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3339	LONKING	63,005,721	\$ 127,271,556
3360	FE HORIZON	11,888,356	\$ 85,358,396
3369	QHD PORT	0	\$ 0
3377	SINO-OCEAN GP	58,260,834	\$ 159,052,077
3380	LOGAN PPT	44,473,845	\$ 512,338,694
3382	TIANJINPORT DEV	4,808,000	\$ 3,461,760
3383	AGILE GROUP	87,182,496	\$ 828,233,712
3393	WASION HOLDINGS	2,128,000	\$ 6,298,880
3396	LEGENDHOLDING	8,286,148	\$ 145,007,590
3606	FUYAO GLASS	12,202,161	\$ 271,498,082
3613	TONGRENTANGCM	2,382,000	\$ 28,822,200
3618	CQRC BANK	45,440,782	\$ 190,851,284
3633	ZHONGYU GAS	4,570,299	\$ 34,917,084
3639	YIDA CHINA	0	\$ 0
3662	AOYUAN HEALTHY	298,860	\$ 1,464,414
3668	YANCOAL AUS	0	\$ 0
3669	YONGDA AUTO	16,984,415	\$ 108,870,100
3683	GREAT HARVEST	2,450,000	\$ 3,871,000
3690	MEITUAN-W	102,595,556	\$ 7,951,155,590
3698	HUIZHANG BANK	0	\$ 0
3700	INKE	12,180,000	\$ 13,519,800
3709	EEKA FASHION	0	\$ 0
3799	DALI FOODS	63,083,699	\$ 326,773,561
3800	GCL-POLY ENERGY	1,017,396,660	\$ 361,175,814
3808	SINOTRUK	94,350,969	\$ 1,092,584,221
3813	POU SHENG INT'L	8,981,420	\$ 21,016,523
3818	CHINA DONGXIANG	9,262,902	\$ 7,688,209
3836	HARMONY AUTO	26,273,388	\$ 69,099,010
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	59,956,644	\$ 549,802,425
3888	KINGSOFT	211,640,101	\$ 3,932,273,077
3898	CRRC TIMES ELEC	10,986,504	\$ 379,583,713
3899	CIMC ENRIC	4,316,008	\$ 21,062,119
3900	GREENTOWN CHINA	60,081,456	\$ 385,122,133
3908	CICC	127,774,701	\$ 2,013,729,288
3918	NAGACORP	13,382,113	\$ 163,529,421
3933	UNITED LAB	105,873,060	\$ 479,604,962
3958	DFZQ	4,164,000	\$ 20,695,080
3968	CM BANK	138,751,673	\$ 5,119,936,734
3969	CHINA CRSC	41,927,731	\$ 209,219,378
3983	CHINA BLUECHEM	28,922,074	\$ 60,736,355

## Aggregated Reportable Short Positions of Specified Shares as of 20/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3988	BANK OF CHINA	122,335,472	\$ 380,463,318
3990	MIDEA REAL EST	338,599	\$ 6,670,400
3993	CMOC	502,848,009	\$ 1,327,518,744
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	94,519,800	\$ 319,476,924
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	228,779,065	\$ 3,573,528,995
6055	CTIHK	7,931,000	\$ 180,430,250
6060	ZA ONLINE	56,931,440	\$ 1,105,608,565
6066	CSC	18,215,500	\$ 110,021,620
6068	WISDOM EDU INTL	4,752,836	\$ 15,351,660
6088	FIT HON TENG	7,325,000	\$ 26,003,750
6098	CG SERVICES	65,277,051	\$ 1,475,261,353
6099	CMSC	2,996,132	\$ 27,923,950
6100	TALENT LIEPIN	3,881,200	\$ 75,683,400
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6158	ZHENRO PPT	23,220,000	\$ 120,279,600
6160	BEIGENE	0	\$ 0
6166	CHINA VAST	0	\$ 0
6169	YUHUA EDU	283,741,710	\$ 1,208,739,685
6178	EB SECURITIES	3,819,404	\$ 23,642,111
6185	CANSINO BIO-B	75,600	\$ 2,691,360
6190	BANK OF JIUJIANG	0	\$ 0
6196	BANK OF ZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	3,719,212	\$ 18,707,636
6806	SWHY	0	\$ 0
6808	SUNART RETAIL	98,119,042	\$ 799,670,192
6818	CEB BANK	39,678,187	\$ 132,525,145
6823	HKT-SS	21,460,757	\$ 272,122,399
6826	HAOHAI BIOTEC	431,823	\$ 15,545,628
6828	BG BLUE SKY	76,835,027	\$ 17,364,716
6837	HAITONG SEC	97,691,102	\$ 851,866,409
6862	HAIDILAO	32,101,692	\$ 1,128,374,474
6865	FLAT GLASS	1,017,000	\$ 4,627,350

## Aggregated Reportable Short Positions of Specified Shares as of 20/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6868	TENFU	0	\$ 0
6869	YOFC	19,970,984	\$ 275,200,160
6881	CGS	128,448,350	\$ 557,465,839
6886	HTSC	125,456,607	\$ 1,585,771,512
6889	DYNAM JAPAN	0	\$ 0
7200	FL2 CSOP HSI	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7500	FI2 CSOP HSI	0	\$ 0
7522	FI2CAMDXNNDQ100	0	\$ 0
7568	FI2CSOPNASDAQ	0	\$ 0
7588	FI2 CSOP HSCEI	0	\$ 0
8032	VIVA CHINA	0	\$ 0
8083	CHINA YOUZAN	229,136,000	\$ 116,859,360
8137	HONBRIDGE	14,460,624	\$ 8,097,949
8279	AGTECH HOLDINGS	0	\$ 0
8283	ZHENG LI HLDG	3,245,000	\$ 3,115,200
8469	SHENGYE CAPITAL	1,278,000	\$ 9,303,840
9010	ISHARES AXJ-U	0	\$ 0
9011	A ICBCICCDUSD-U	0	\$ 0
9074	ISHARESMSCITW-U	0	\$ 0
9077	PREMIA US TSY-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9085	VANGUARDAXJHY-U	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 20/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9086	BMO NASDAQ100-U	0	\$ 0
9096	CSOP USD MM-U	0	\$ 0
9101	VANGUARDDEUR-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9126	VANGUARDJAPAN-U	0	\$ 0
9140	VANGUARDSP500-U	0	\$ 0
9141	BMO ASIA IGB-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESES50-U	0	\$ 0
9167	ICBCCSOPCHINA-U	0	\$ 0
9169	VANGUARDCHINA-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9173	PP CN NEWCON-U	0	\$ 0
9181	PREMIAASIA-TEC-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9199	CSOP CTPBOND-U	0	\$ 0
9803	PP BEDROCK-U	0	\$ 0
9804	PREMIA VIET-U	0	\$ 0
9805	VANGUARDASXJP-U	0	\$ 0
9810	PREMIAEMASEAN-U	0	\$ 0
9820	MR CN BIOTECH-U	0	\$ 0
9826	MR CN CLOUD-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
80737	BAY AREA DEV-R	0	\$ 0
82805	VANGUARDASXJP-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82813	CAM CTPB BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HSCEI ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 20/09/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83079	CICC CGB-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83085	VANGUARDAXJHY-R	0	\$ 0
83093	CICCSELECT100-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83101	VANGUARDDDVEUR-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83126	VANGUARDJAPAN-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83140	VANGUARDSP500-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83169	VANGUARDCHINA-R	0	\$ 0
83170	ISHARESXS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP CTPBBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0