

## Aggregated Reportable Short Positions of Specified Shares as of 15/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	13,594,707	\$ 964,544,462
2	CLP HOLDINGS	13,641,738	\$ 1,094,749,475
3	HK & CHINA GAS	244,615,400	\$ 3,644,769,460
4	WHARF HOLDINGS	40,536,183	\$ 737,758,531
5	HSBC HOLDINGS	83,338,326	\$ 4,808,621,410
6	POWER ASSETS	33,359,100	\$ 1,811,399,130
8	PCCW	71,180,059	\$ 324,581,069
10	HANG LUNG GROUP	3,037,922	\$ 58,571,136
11	HANG SENG BANK	21,920,039	\$ 3,491,862,213
12	HENDERSON LAND	26,888,406	\$ 989,493,341
14	HYSAN DEV	20,996,820	\$ 623,605,554
16	SHK PPT	10,057,141	\$ 1,080,136,943
17	NEW WORLD DEV	83,834,116	\$ 873,551,489
19	SWIRE PACIFIC A	15,568,893	\$ 1,064,912,281
20	WHEELLOCK	7,394,118	\$ 354,178,252
23	BANK OF E ASIA	36,237,129	\$ 652,268,322
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	66,660,835	\$ 3,513,026,005
28	TIAN AN	0	\$ 0
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	1,669,587	\$ 5,776,771
41	GREAT EAGLE H	160,768	\$ 4,163,891
43	C.P. POKPHAND	5,454,000	\$ 3,599,640
45	HK&S HOTELS	4,063,523	\$ 34,580,581
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	761,368	\$ 15,379,634
53	GUOCO GROUP	0	\$ 0
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	0	\$ 0
66	MTR CORPORATION	41,513,670	\$ 1,776,785,076
69	SHANGRI-LA ASIA	91,891,640	\$ 746,160,117
71	MIRAMAR HOTEL	0	\$ 0
75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	433,007	\$ 1,775,329
81	CH OVS G OCEANS	2,651,000	\$ 11,399,300
83	SINO LAND	35,576,672	\$ 398,458,726
86	SUN HUNG KAI CO	0	\$ 0

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
88	TAI CHEUNG HOLD	0	\$ 0
95	LVGEM CHINA	9,930,000	\$ 26,612,400
101	HANG LUNG PPT	54,487,536	\$ 881,608,332
102	SUMMIT ASCENT	2,384,364	\$ 2,575,113
105	ASSO INT HOTELS	0	\$ 0
106	LANDSEA GREEN	0	\$ 0
107	SICHUAN EXPRESS	946,000	\$ 2,175,800
112	LERTHAI GROUP	0	\$ 0
116	CHOW SANG SANG	1,720,788	\$ 15,383,845
119	POLY PROPERTY	3,614,839	\$ 10,193,846
120	COSMOPOL INT'L	894,000	\$ 1,010,220
123	YUEXIU PROPERTY	14,491,156	\$ 23,765,496
127	CHINESE EST H	472,500	\$ 2,669,625
135	KUNLUN ENERGY	20,088,399	\$ 138,810,837
136	HENG TEN NET	1,881,418,749	\$ 246,465,856
142	FIRST PACIFIC	20,440,489	\$ 61,934,682
144	CHINA MER PORT	27,239,655	\$ 334,502,963
148	KINGBOARD HLDG	9,375,334	\$ 195,475,714
151	WANT WANT CHINA	448,519,924	\$ 2,839,131,119
152	SHENZHEN INT'L	8,895,838	\$ 147,137,161
158	MELBOURNE ENT	0	\$ 0
161	AVIC IHL	1,610,000	\$ 12,107,200
163	EMPEROR INT'L	0	\$ 0
165	CHINA EB LTD	33,873,983	\$ 418,004,950
168	TSINGTAO BREW	16,053,183	\$ 825,133,606
171	SILVER GRANT	10,658,000	\$ 12,896,180
173	K. WAH INT'L	0	\$ 0
175	GEELY AUTO	328,500,162	\$ 4,855,232,394
177	JIANGSU EXPRESS	6,007,677	\$ 61,999,227
178	SA SA INT'L	112,536,933	\$ 201,441,110
179	JOHNSON ELEC H	1,431,128	\$ 25,760,304
182	CONCORD NE	11,670,000	\$ 4,259,550
189	DONGYUE GROUP	27,954,588	\$ 107,066,072
194	LIU CHONG HING	0	\$ 0
196	HONGHUA GROUP	10,053,000	\$ 4,423,320
200	MELCO INT'L DEV	23,540,849	\$ 461,400,640
207	JOY CITY PPT	0	\$ 0
208	POLYTEC ASSET	0	\$ 0
215	HUTCHTEL HK	39,045,840	\$ 59,740,135
220	U-PRESID CHINA	13,783,031	\$ 104,475,375
225	POKFULAM	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 15/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
230	MINMETALS LAND	1,276,000	\$ 1,492,920
241	ALI HEALTH	172,773,916	\$ 1,382,191,328
242	SHUN TAK HOLD	15,413,581	\$ 48,552,780
245	CN MINSHENG FIN	0	\$ 0
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	0	\$ 0
256	CITYCHAMP	9,781,092	\$ 16,627,856
257	CHINA EB INT'L	116,003,757	\$ 686,742,241
261	GBA INV HLDGS	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	36,975,742	\$ 373,454,994
268	KINGDEE INT'L	322,402,232	\$ 2,634,026,235
270	GUANGDONG INV	18,686,410	\$ 313,931,688
272	SHUI ON LAND	21,688,499	\$ 33,617,173
273	MASON GP HOLD	36,400,000	\$ 2,475,200
285	BYD ELECTRONIC	85,278,324	\$ 1,224,596,733
286	CS HEALTH	2,174,000	\$ 1,260,920
288	WH GROUP	161,106,577	\$ 1,369,405,905
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	26,158,342	\$ 1,179,741,224
293	CATHAY PAC AIR	48,852,288	\$ 479,729,468
297	SINOFERT	31,497,113	\$ 24,882,719
303	VTECH HOLDINGS	1,075,978	\$ 81,935,725
308	CHINA TRAVEL HK	25,610,764	\$ 30,732,917
315	SMARTONE TELE	2,256,879	\$ 14,376,319
316	OOIL	0	\$ 0
317	COMEC	5,412,526	\$ 30,689,022
321	TEXWINCA HOLD	4,396,000	\$ 9,759,120
322	TINGYI	65,819,180	\$ 793,779,311
323	MAANSHAN IRON	115,471,514	\$ 321,010,809
327	PAX GLOBAL	20,587,468	\$ 69,379,767
330	ESPRIT HOLDINGS	42,817,170	\$ 60,800,381
336	HUABAO INTL	6,434,354	\$ 17,308,412
337	GREENLAND HK	5,435,780	\$ 15,274,542
338	SHANGHAI PEICHEM	102,336,354	\$ 215,929,707
341	CAFE DE CORAL H	2,687,526	\$ 52,138,004
342	NEWOCEAN ENERGY	68,198,856	\$ 77,064,707
345	VITASOY INT'L	56,086,475	\$ 1,783,549,905
347	ANGANG STEEL	173,834,464	\$ 457,184,640
354	CHINASOFT INT'L	310,793,611	\$ 1,115,749,063
358	JIANGXI COPPER	14,341,216	\$ 129,644,593

## Aggregated Reportable Short Positions of Specified Shares as of 15/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
363	SHANGHAI IND H	1,934,000	\$ 27,578,840
367	CHUANG'S INT'L	0	\$ 0
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	184,106,569	\$ 703,287,094
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	107,365,999	\$ 3,521,604,767
386	SINOPEC CORP	336,273,437	\$ 1,489,691,326
388	HKEX	14,689,662	\$ 3,537,270,610
390	CHINA RAILWAY	90,616,030	\$ 421,364,540
392	BEIJING ENT	1,878,115	\$ 66,297,460
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	23,658,426	\$ 66,243,593
412	CHINA SDHS FIN	40,650,000	\$ 9,756,000
425	MINTH GROUP	45,543,142	\$ 1,293,425,233
435	SUNLIGHT REIT	0	\$ 0
440	DAH SING	833,623	\$ 23,633,212
445	CIMC-TIANDA	0	\$ 0
451	GCL NEWENERGY	62,672,373	\$ 15,417,404
460	SIHUAN PHARM	192,780,091	\$ 192,780,091
467	UNITEDENERGY GP	7,532,000	\$ 11,674,600
468	GAPACK	3,536,610	\$ 12,095,206
480	HKR INT'L	0	\$ 0
486	RUSAL	25,577,000	\$ 89,263,730
488	LAI SUN DEV	388,295	\$ 3,696,568
489	DONGFENG GROUP	73,673,741	\$ 568,761,281
493	GOME RETAIL	1,826,317,531	\$ 1,296,685,447
494	LI & FUNG	348,139,227	\$ 295,918,343
496	KASEN	46,219,807	\$ 204,291,547
497	CSI PROPERTIES	5,205,752	\$ 1,353,496
506	CHINA FOODS	3,910,000	\$ 12,238,300
508	DINGYI GP INV	40,780,000	\$ 9,257,060
511	TVB	8,383,084	\$ 107,806,460
512	CHINAGRANDPHARM	12,212,000	\$ 53,977,040
517	COSCO SHIP INTL	1,208,439	\$ 2,416,878
520	XIABUXIABU	25,044,820	\$ 229,911,448
522	ASM PACIFIC	15,133,080	\$ 1,575,353,628
525	GUANGSHEN RAIL	9,594,181	\$ 23,601,685
526	CHINA AUTO NR	1,614,000	\$ 1,032,960
530	GOLDIN FIN HOLD	15,511,515	\$ 36,452,060

## Aggregated Reportable Short Positions of Specified Shares as of 15/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
535	GEMDALE PPT	42,598,000	\$ 38,764,180
538	AJISEN (CHINA)	5,761,120	\$ 12,386,408
546	FUFENG GROUP	43,220,025	\$ 152,566,688
547	DIGITAL DOMAIN	293,724,797	\$ 22,616,809
548	SHENZHENEXPRESS	29,926,611	\$ 305,251,432
551	YUE YUEN IND	14,499,509	\$ 321,889,100
552	CHINACOMSERVICE	32,372,256	\$ 164,774,783
563	SH IND URBAN	3,356,966	\$ 3,323,396
570	TRAD CHI MED	53,376,604	\$ 192,155,774
576	ZHEJIANGEXPRESS	8,603,862	\$ 61,001,382
579	JNCEC	4,988,000	\$ 6,534,280
581	CHINA ORIENTAL	55,324,867	\$ 147,164,146
586	CONCH VENTURE	29,989,898	\$ 911,692,899
588	BEIJING N STAR	3,456,000	\$ 8,294,400
590	LUK FOOK HOLD	7,808,647	\$ 160,077,264
596	INSPUR INT'L	13,380,000	\$ 37,865,400
598	SINOTRANS	36,820,594	\$ 89,474,043
603	CHINA OIL & GAS	14,029,920	\$ 3,788,078
604	SHENZHEN INVEST	30,601,749	\$ 92,723,299
606	CHINA AGRI	43,235,053	\$ 128,408,107
607	FULLSHARE	585,642,394	\$ 112,443,340
622	OSHDORI	27,342,200	\$ 27,342,200
627	FULLSUN INT'L	3,305,000	\$ 350,330
631	SANY INT'L	5,203,762	\$ 21,179,311
636	KERRY LOG NET	2,375,364	\$ 29,026,948
639	SHOUGANG RES	13,788,441	\$ 22,337,274
656	FOSUN INTL	80,254,655	\$ 845,884,064
658	C TRANSMISSION	30,418,490	\$ 138,404,130
659	NWS HOLDINGS	14,551,752	\$ 163,852,728
662	ASIA FINANCIAL	0	\$ 0
665	HAITONG INT'L	170,528,359	\$ 376,867,673
667	CHINA EAST EDU	488,000	\$ 7,905,600
669	TECHTRONIC IND	26,607,726	\$ 1,563,203,903
670	CHINA EAST AIR	81,984,464	\$ 331,217,235
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	0	\$ 0
683	KERRY PPT	7,783,658	\$ 193,813,084
686	PANDA GREEN	56,626,300	\$ 12,910,796
687	TYSAN HOLDINGS	0	\$ 0
688	CHINA OVERSEAS	37,314,907	\$ 955,261,619
691	SHANSHUI CEMENT	1,676,700	\$ 4,208,517

## Aggregated Reportable Short Positions of Specified Shares as of 15/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
694	BEIJING AIRPORT	52,371,102	\$ 393,830,687
696	TRAVELSKY TECH	24,622,226	\$ 432,858,733
697	SHOUGANG INT'L	57,304,061	\$ 21,775,543
698	TONGDA GROUP	92,771,226	\$ 53,807,311
699	CAR INC	56,728,332	\$ 333,562,592
700	TENCENT	57,299,188	\$ 18,335,740,160
708	EVERG HEALTH	5,380,000	\$ 45,514,800
709	GIORDANO INT'L	4,737,820	\$ 11,133,877
710	BOE VARITRONIX	1,226,000	\$ 2,648,160
715	CHINA OCEANWIDE	0	\$ 0
716	SINGAMAS CONT	19,333,012	\$ 16,819,720
728	CHINA TELECOM	130,005,830	\$ 397,817,840
732	TRULY INT'L	33,225,281	\$ 33,225,281
737	BAY AREA DEV	0	\$ 0
743	ASIA CEMENT CH	2,626,500	\$ 25,503,315
751	SKYWORTH GROUP	77,640,286	\$ 160,715,392
752	PICO FAR EAST	400,000	\$ 988,000
753	AIR CHINA	89,589,535	\$ 631,606,222
754	HOPSON DEV HOLD	0	\$ 0
762	CHINA UNICOM	120,881,261	\$ 861,883,391
763	ZTE	48,767,486	\$ 1,055,816,072
772	CHINA LIT	41,005,423	\$ 1,332,676,248
777	NETDRAGON	2,737,802	\$ 49,444,704
778	FORTUNE REIT	0	\$ 0
780	TONGCHENG-ELONG	37,225,383	\$ 445,215,581
788	CHINA TOWER	1,579,743,021	\$ 2,543,386,264
798	CEOVU	0	\$ 0
799	IGG	74,845,570	\$ 378,718,584
806	VALUE PARTNERS	48,080,851	\$ 209,632,510
807	SIICENVIRONMENT	2,043,100	\$ 3,023,788
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	332,000	\$ 1,855,880
813	SHIMAO PROPERTY	33,994,212	\$ 921,243,145
816	HUADIAN FUXIN	6,402,110	\$ 9,411,102
817	CHINA JINMAO	196,310,212	\$ 1,028,665,511
818	HI SUN TECH	1,341,000	\$ 1,756,710
819	TIANNENG POWER	109,243,499	\$ 554,956,975
823	LINK REIT	14,117,302	\$ 1,125,854,835
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	1,646,726	\$ 6,669,240
836	CHINA RES POWER	21,306,401	\$ 210,933,370

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839	CHINA EDU GROUP	55,964,306	\$ 587,625,213
853	MICROPORT	67,868,892	\$ 517,160,957
855	CHINA WATER	60,943,317	\$ 365,659,902
856	VSTECs	1,759,852	\$ 6,933,817
857	PETROCHINA	214,064,172	\$ 792,037,436
860	WE SOLUTIONS	39,500,703	\$ 18,565,330
861	DC HOLDINGS	6,345,117	\$ 25,380,468
867	CMS	26,039,250	\$ 312,991,785
868	XINYI GLASS	82,109,017	\$ 754,581,866
874	BAIYUNSHAN PH	3,607,303	\$ 87,837,828
877	O-NET TECH GP	4,051,000	\$ 16,690,120
880	SJM HOLDINGS	111,599,046	\$ 922,924,110
881	ZHONGSHENG HLDG	179,957,074	\$ 4,894,832,413
882	TIANJIN DEV	1,188,000	\$ 2,625,480
883	CNOOC	146,840,238	\$ 1,753,272,442
884	CIFI HOLD GP	110,602,221	\$ 606,100,171
895	DONGJIANG ENV	850,650	\$ 5,469,680
902	HUANENG POWER	76,220,144	\$ 277,441,324
906	COFCO PACKAGING	24,480,024	\$ 77,112,076
914	CONCH CEMENT	52,831,929	\$ 2,506,875,031
916	CHINA LONGYUAN	38,281,203	\$ 160,398,241
921	HISENSE HA	3,051,000	\$ 22,302,810
931	CHINA LNG	1,269,971	\$ 596,886
934	SINOPEC KANTONS	7,963,500	\$ 25,085,025
939	CCB	575,661,347	\$ 3,574,856,965
941	CHINA MOBILE	41,189,551	\$ 2,520,800,521
950	LEE'S PHARM	3,145,320	\$ 13,619,236
951	CHAOWEI POWER	3,100,798	\$ 8,651,226
956	CHINA SUNTIEN	2,924,000	\$ 6,198,880
958	HN RENEWABLES	51,785,213	\$ 153,802,083
960	LONGFOR GROUP	26,634,592	\$ 842,984,837
966	CHINA TAIPING	40,679,421	\$ 750,942,112
968	XINYI SOLAR	136,489,338	\$ 614,202,021
973	L'OCCITANE	0	\$ 0
978	CHI MER LAND	0	\$ 0
981	SMIC	338,185,213	\$ 3,584,763,258
991	DATANG POWER	37,608,480	\$ 56,036,635
992	LENOVO GROUP	499,314,119	\$ 2,856,076,761
995	ANHUIEXPRESSWAY	622,301	\$ 2,843,916
998	CITIC BANK	37,441,102	\$ 170,731,425
999	I.T	588,000	\$ 1,240,680

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1003	HUANXI MEDIA	1,430,000	\$ 2,002,000
1030	FUTURE LAND	259,232,611	\$ 2,081,637,866
1031	KINGSTON FIN	0	\$ 0
1033	SINOPEC SSC	19,912,000	\$ 15,730,480
1038	CKI HOLDINGS	7,858,224	\$ 427,880,297
1044	HENGAN INT'L	39,377,170	\$ 1,968,858,500
1052	YUEXIUTRANSPORT	708,000	\$ 4,899,360
1055	CHINA SOUTH AIR	192,814,397	\$ 964,071,985
1060	ALI PICTURES	618,099,067	\$ 809,709,778
1061	ESSEX BIO-TECH	521,309	\$ 3,320,738
1066	WEIGAO GROUP	39,995,813	\$ 399,558,172
1068	YURUN FOOD	18,077,000	\$ 14,642,370
1070	TCL ELECTRONICS	854,953	\$ 2,829,894
1071	HUADIAN POWER	41,877,021	\$ 117,255,659
1072	DONGFANG ELEC	4,501,063	\$ 18,499,369
1076	IMPERIAL PAC	311,990,000	\$ 31,822,980
1083	TOWNGAS CHINA	3,420,209	\$ 18,879,554
1086	GOODBABY INTL	20,432,475	\$ 31,874,661
1088	CHINA SHENHUA	49,050,034	\$ 744,579,516
1089	LEYOU TECH H	21,770,009	\$ 53,554,222
1093	CSPC PHARMA	165,812,263	\$ 3,448,895,070
1098	ROAD KING INFRA	818,000	\$ 11,452,000
1099	SINOPHARM	25,081,608	\$ 668,424,853
1109	CHINA RES LAND	44,415,515	\$ 1,465,711,995
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	15,757,403	\$ 490,843,103
1113	CK ASSET	7,290,051	\$ 377,989,144
1114	BRILLIANCE CHI	305,492,724	\$ 2,532,534,682
1115	TIBET WATER	24,347,000	\$ 12,416,970
1117	CH MODERN D	7,308,802	\$ 8,478,210
1119	IDREAMSKY	882,000	\$ 3,854,340
1122	QINGLING MOTORS	578,000	\$ 1,109,760
1128	WYNN MACAU	81,831,401	\$ 1,392,770,445
1131	AGRITRADE RES	84,023,331	\$ 53,774,932
1133	HARBIN ELECTRIC	12,764,212	\$ 24,379,645
1137	HKTV	17,024,581	\$ 49,030,793
1138	COSCO SHIP ENGY	52,439,942	\$ 172,003,010
1140	OP FINANCIAL	5,621,184	\$ 7,982,081
1141	CMBC CAPITAL	235,319,075	\$ 30,591,480
1157	ZOOMLION	135,246,055	\$ 791,189,422
1169	HAIER ELEC	23,075,829	\$ 496,130,324



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1171	YANZHOU COAL	131,785,873	\$ 881,647,490
1176	ZHUGUANG HOLD	3,976,000	\$ 4,015,760
1177	SINO BIOPHARM	340,512,790	\$ 3,813,743,248
1186	CHINA RAIL CONS	62,526,904	\$ 528,977,608
1193	CHINA RES GAS	40,173,693	\$ 1,769,651,177
1196	REALORD GROUP	3,401,000	\$ 15,610,590
1199	COSCO SHIP PORT	23,401,370	\$ 148,130,672
1205	CITIC RESOURCES	1,664,000	\$ 823,680
1208	MMG	248,829,171	\$ 403,103,257
1211	BYD COMPANY	163,022,010	\$ 6,186,685,280
1212	LIFESTYLE INT'L	8,689,327	\$ 66,820,925
1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1223	SYMPHONY HOLD	990,000	\$ 920,700
1224	C C LAND	862,000	\$ 1,508,500
1233	TIMES CHINA	6,721,000	\$ 96,513,560
1234	CHINA LILANG	829,254	\$ 5,332,103
1238	POWERLONG	13,819,000	\$ 62,461,880
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	27,785,731	\$ 2,306,216
1252	CHINA TIANRUI	0	\$ 0
1257	CEB GREENTECH	9,070,119	\$ 38,003,799
1258	CHINFMINING	0	\$ 0
1268	MEIDONG AUTO	6,966,000	\$ 55,240,380
1270	LANGHAM-SS	2,771,436	\$ 6,235,731
1282	GLORY SUN FIN	63,992,199	\$ 18,557,738
1286	IMPRO PRECISION	0	\$ 0
1288	ABC	160,229,576	\$ 507,927,756
1293	GRAND BAOXIN	25,265,454	\$ 38,656,145
1299	AIA	83,308,325	\$ 6,414,741,025
1300	TRIGIANT	0	\$ 0
1302	LIFETECH SCI	20,886,000	\$ 26,734,080
1308	SITC	4,850,294	\$ 41,615,523
1310	HKBN	13,848,640	\$ 182,248,102
1313	CHINARES CEMENT	109,444,944	\$ 955,454,361
1316	NEXTEER	51,241,569	\$ 362,790,309
1317	MAPLELEAF EDU	98,791,291	\$ 235,123,273
1330	DYNAGREEN ENV	2,267,274	\$ 7,368,641
1333	CHINA ZHONGWANG	69,087,509	\$ 208,644,277
1336	NCI	37,405,957	\$ 1,176,417,348
1337	RAZER	134,620,052	\$ 193,852,875

## Aggregated Reportable Short Positions of Specified Shares as of 15/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1339	PICC GROUP	117,798,134	\$ 376,954,029
1347	HUA HONG SEMI	64,885,259	\$ 853,890,008
1349	FUDANZHANGJIANG	370,000	\$ 1,961,000
1359	CHINA CINDA	202,600,951	\$ 330,239,550
1360	NOVA GROUP	32,145,000	\$ 56,575,200
1366	JIANGNAN GP	0	\$ 0
1368	XTEP INT'L	59,868,823	\$ 258,034,627
1375	CC SECURITIES	8,213,000	\$ 13,469,320
1378	CHINAHONGQIAO	51,318,781	\$ 213,486,129
1381	CANVEST ENV	11,411,531	\$ 39,826,243
1382	PACIFICTEXTILES	6,209,424	\$ 35,580,000
1383	SUNCITY GROUP	35,870,000	\$ 62,772,500
1385	SHANGHAI FUDAN	8,248,968	\$ 45,039,365
1387	CHINA DILI	28,283,990	\$ 65,336,017
1398	ICBC	421,630,815	\$ 2,365,348,872
1426	SPRING REIT	0	\$ 0
1432	CHINA SHENGMU	20,812,694	\$ 5,827,554
1448	FU SHOU YUAN	7,585,966	\$ 52,570,744
1458	ZHOU HEI YA	30,730,793	\$ 117,391,629
1475	NISSIN FOODS	6,824,000	\$ 41,967,600
1476	HENGTOU SEC	0	\$ 0
1478	Q TECH	10,372,929	\$ 112,857,468
1508	CHINA RE	28,917,905	\$ 35,569,023
1513	LIVZON PHARMA	2,212,523	\$ 51,330,534
1515	CR MEDICAL	11,680,288	\$ 54,663,748
1521	FRONTAGE	0	\$ 0
1528	RS MACALLINE	2,701,947	\$ 17,400,539
1530	3SBIO	128,609,578	\$ 1,605,047,533
1548	GENSCRIPT BIO	65,751,632	\$ 1,183,529,376
1551	GRCB	0	\$ 0
1558	HEC PHARM	1,884,600	\$ 88,387,740
1565	VIRSCEND EDU	2,898,000	\$ 6,549,480
1568	SUNDART HLDGS	0	\$ 0
1569	MINSHENG EDU	0	\$ 0
1573	SOUTHERN ENERGY	9,954,000	\$ 12,243,420
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	17,560,714	\$ 892,084,271
1585	YADEA	18,726,000	\$ 35,579,400
1589	CNLP	2,584,918	\$ 7,832,302
1600	TIAN LUN GAS	5,030,112	\$ 34,154,460
1606	CDB LEASING	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 15/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	58,490,425	\$ 156,169,435
1617	NANFANG COMM	1,976,000	\$ 8,694,400
1618	MCC	4,522,000	\$ 7,370,860
1622	REDCO GROUP	10,513,612	\$ 49,624,249
1628	YUZHOU PPT	38,686,740	\$ 133,856,120
1636	CMRU	8,426,000	\$ 25,615,040
1638	KAISA GROUP	89,886,521	\$ 294,827,789
1658	PSBC	415,638,216	\$ 2,153,005,959
1660	ZHAOBANGJI PPT	0	\$ 0
1666	TONG REN TANG	24,369,707	\$ 180,579,529
1668	CHINASOUTHCITY	32,326,000	\$ 29,093,400
1672	ASCLETIS-B	2,661,000	\$ 11,974,500
1675	ASIAINFO TECH	0	\$ 0
1680	MACAU LEGEND	19,766,033	\$ 17,591,769
1681	CONSUN PHARMA	2,931,485	\$ 13,836,609
1686	SUNEVISION	3,827,972	\$ 19,637,496
1708	SAMPLE TECH	0	\$ 0
1717	AUSNUTRIA	13,578,991	\$ 133,074,112
1727	HEBEI CONS	2,237,000	\$ 12,527,200
1728	ZHENGTONGAUTO	128,177,184	\$ 283,271,577
1755	S-ENJOY SERVICE	2,143,000	\$ 22,930,100
1761	BABYTREE GROUP	0	\$ 0
1765	HOPE EDU	11,764,000	\$ 14,705,000
1766	CRRC	62,534,769	\$ 318,301,974
1772	GANFENGLITHIUM	3,561,047	\$ 54,697,682
1773	TIANLI EDU	3,621,000	\$ 11,261,310
1776	GF SEC	42,878,918	\$ 355,466,230
1777	FANTASIA	18,823,500	\$ 24,094,080
1778	COLOUR LIFE	16,681,004	\$ 74,230,468
1787	SD GOLD	5,309,674	\$ 84,848,591
1788	GUOTAI JUNAN I	188,448,123	\$ 233,675,673
1789	AK MEDICAL	5,157,862	\$ 48,483,903
1797	KOOLEARN	18,483,000	\$ 362,636,460
1798	DATANG RENEW	6,897,000	\$ 5,517,600
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	119,728,162	\$ 712,382,564
1801	INNOVENT BIO-B	10,026,500	\$ 247,654,550
1806	HUIFU PAYMENT	3,648,916	\$ 11,275,150
1810	XIAOMI-W	1,066,978,322	\$ 9,079,985,520
1811	CGN NEW ENERGY	1,254,000	\$ 1,391,940

## Aggregated Reportable Short Positions of Specified Shares as of 15/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1813	KWG GROUP	61,225,396	\$ 498,374,723
1816	CGN POWER	219,990,045	\$ 435,580,289
1818	ZHAOJIN MINING	90,516,094	\$ 748,568,097
1828	DCH HOLDINGS	1,891,457	\$ 6,752,501
1829	CMEC	5,024,706	\$ 15,777,577
1833	PA GOODDOCTOR	31,831,585	\$ 1,808,034,028
1836	STELLA HOLDINGS	2,662,940	\$ 36,002,949
1837	NATURAL FOOD IH	0	\$ 0
1848	CALC	1,413,500	\$ 11,308,000
1857	CEB WATER	0	\$ 0
1860	MOBVISTA	0	\$ 0
1862	JINGRUI HLDGS	0	\$ 0
1873	VIVA BIOTECH	1,588,000	\$ 7,193,640
1876	BUD APAC	63,991,700	\$ 1,897,353,905
1877	JUNSHI BIO-B	1,832,344	\$ 49,748,140
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	15,005,042	\$ 264,688,941
1883	CITIC TELECOM	10,702,000	\$ 30,179,640
1888	KB LAMINATES	24,446,087	\$ 194,346,392
1890	CHINA KEPEI	0	\$ 0
1896	MAOYAN ENT	1,520,200	\$ 16,235,736
1898	CHINA COAL	12,122,714	\$ 36,853,051
1899	XINGDA INT'L	2,170,190	\$ 4,557,399
1907	CHINA RISUN GP	0	\$ 0
1908	C&D INTL GROUP	1,534,632	\$ 12,154,285
1910	SAMSONITE	46,769,184	\$ 739,888,491
1911	CR HOLDINGS	250,072	\$ 3,706,067
1913	PRADA	31,734,751	\$ 848,904,589
1916	JIANGXI BANK	294,500	\$ 1,339,975
1918	SUNAC	80,802,479	\$ 2,892,728,748
1919	COSCO SHIP HOLD	86,584,150	\$ 251,094,035
1928	SANDS CHINA LTD	70,991,972	\$ 2,708,343,732
1929	CHOW TAI FOOK	12,448,951	\$ 83,656,951
1951	JXR	0	\$ 0
1958	BAIC MOTOR	29,788,528	\$ 139,708,196
1963	BCQ	1,393,809	\$ 6,411,521
1966	CHINA SCE GROUP	16,260,021	\$ 57,397,874
1970	IMAX CHINA	1,709,406	\$ 28,136,823
1972	SWIREPROPERTIES	24,372,597	\$ 556,913,841
1988	MINSHENG BANK	344,288,401	\$ 1,890,143,321
1992	FOSUN TOURISM	729,739	\$ 7,297,390

## Aggregated Reportable Short Positions of Specified Shares as of 15/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1995	EVERSUNSHINE LS	1,268,000	\$ 6,124,440
1996	RSUN PPT	0	\$ 0
1997	WHARF REIC	43,673,447	\$ 1,834,284,774
1999	MAN WAH HLDGS	56,622,332	\$ 296,701,020
2001	NEW HIGHER EDU	20,307,488	\$ 56,454,817
2003	VCREDIT	415,600	\$ 2,514,380
2005	SSY GROUP	56,737,845	\$ 369,363,371
2006	JINJIANGCAPITAL	7,932,000	\$ 9,439,080
2007	COUNTRY GARDEN	456,965,330	\$ 4,926,086,257
2009	BBMG	116,231,858	\$ 240,599,946
2013	WEIMOB INC	46,206,072	\$ 165,417,738
2016	CZBANK	0	\$ 0
2018	AAC TECH	100,288,367	\$ 5,134,764,390
2019	DEXIN CHINA	1,230,000	\$ 3,788,400
2020	ANTA SPORTS	11,774,913	\$ 876,053,527
2038	FIH	229,269,790	\$ 259,074,863
2039	CIMC	7,995,379	\$ 56,207,514
2048	E-HOUSE ENT	3,624,300	\$ 29,320,587
2051	51 CREDIT CARD	6,891,500	\$ 11,508,805
2066	SHENGJINGBANK	0	\$ 0
2098	ZALL SMARTCOM	10,450,000	\$ 7,524,000
2099	CHINAGOLDINTL	89,900	\$ 513,329
2128	CHINA LESSO	9,906,000	\$ 86,776,560
2139	BANK OF GANSU	0	\$ 0
2186	LUYE PHARMA	237,867,240	\$ 1,474,776,888
2196	FOSUN PHARMA	47,982,164	\$ 1,113,186,205
2199	REGINA MIRACLE	8,168,000	\$ 38,879,680
2202	CHINA VANKE	53,921,045	\$ 1,520,573,469
2208	GOLDWIND	22,329,288	\$ 203,419,814
2222	NVC LIGHTING	0	\$ 0
2232	CRYSTAL INTL	6,081,658	\$ 18,609,873
2233	WESTCHINACEMENT	12,918,250	\$ 15,889,448
2238	GAC GROUP	461,610,891	\$ 3,785,209,306
2255	HAICHANG HLDG	10,425,000	\$ 9,486,750
2269	WUXI BIO	17,895,557	\$ 1,533,649,235
2280	HC GROUP	39,836,486	\$ 112,737,255
2282	MGM CHINA	129,288,816	\$ 1,533,365,358
2298	COSMO LADY	2,408,000	\$ 2,696,960
2299	BILLION IND	0	\$ 0
2303	HENGXING GOLD	466,000	\$ 2,087,680
2313	SHENZHOU INTL	8,016,369	\$ 830,495,828

## Aggregated Reportable Short Positions of Specified Shares as of 15/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2314	LEE & MAN PAPER	38,466,499	\$ 195,025,150
2318	PING AN	1,160,850,547	\$ 105,695,442,304
2319	MENGNU DAIRY	76,001,112	\$ 2,245,832,860
2322	HK CHAOSHANG GP	0	\$ 0
2328	PICC P&C	83,742,469	\$ 793,878,606
2329	GUORUI PPT	9,350,296	\$ 14,586,462
2331	LI NING	34,445,556	\$ 861,138,900
2333	GREATWALL MOTOR	443,820,538	\$ 2,765,001,952
2338	WEICHAI POWER	116,216,753	\$ 1,522,439,464
2342	COMBA	63,516,193	\$ 114,964,309
2343	PACIFIC BASIN	272,131,666	\$ 446,295,932
2356	DAHSING BANKING	783,882	\$ 7,682,044
2357	AVICHINA	64,056,911	\$ 224,199,189
2359	WUXI APTEC	8,114,131	\$ 788,287,827
2362	JINCHUAN INTL	37,648,000	\$ 22,588,800
2378	PRU	0	\$ 0
2380	CHINA POWER	51,870,100	\$ 83,510,861
2382	SUNNY OPTICAL	28,952,471	\$ 3,572,734,921
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	10,750,328	\$ 48,376,476
2388	BOC HONG KONG	52,436,485	\$ 1,389,566,853
2389	BJ ENT M&H	5,676,580	\$ 1,345,349
2399	FORDOO	0	\$ 0
2552	HUA MEDICINE-B	0	\$ 0
2588	BOC AVIATION	12,375,838	\$ 952,939,526
2600	CHALCO	154,409,502	\$ 365,950,520
2601	CPIC	142,261,259	\$ 4,118,463,448
2607	SH PHARMA	7,893,702	\$ 110,827,576
2608	SUNSHINE 100	2,468,000	\$ 3,479,880
2611	GTJA	7,254,553	\$ 86,909,545
2616	CSTONE PHARMA-B	5,057,282	\$ 55,124,374
2628	CHINA LIFE	78,210,573	\$ 1,603,316,747
2638	HKELECTRIC-SS	103,754,814	\$ 785,423,942
2666	UNI MEDICAL	31,409,469	\$ 166,470,186
2669	CHINA OVS PPT	44,493,155	\$ 218,461,391
2678	TEXHONG TEXTILE	2,753,154	\$ 23,071,431
2688	ENN ENERGY	14,660,149	\$ 1,235,850,561
2689	ND PAPER	140,222,523	\$ 1,076,908,977
2727	SH ELECTRIC	44,812,839	\$ 107,550,814
2768	JIAYUAN INTL	22,143,492	\$ 66,430,476
2777	R&F PROPERTIES	58,019,800	\$ 709,001,956

## Aggregated Reportable Short Positions of Specified Shares as of 15/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2778	CHAMPION REIT	0	\$ 0
2799	CHINA HUARONG	231,558,044	\$ 268,607,331
2800	TRACKER FUND	24,798,100	\$ 657,149,650
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PP BEDROCK	0	\$ 0
2804	PREMIA VIET	0	\$ 0
2805	VANGUARDASXJP	0	\$ 0
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMUNGC DRAGON	0	\$ 0
2813	CAM CTPB BOND	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2820	GX CN BIOTECH	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	45,496,713	\$ 689,730,169
2823	ISHARES A50	111,253,564	\$ 1,682,153,888
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2826	GX CN CLOUD	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	3,846,150	\$ 405,768,825
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	27,076,500	\$ 50,091,525
2866	COSCO SHIP DEV	52,785,962	\$ 46,451,647
2868	BJ CAPITAL LAND	28,874,000	\$ 74,783,660
2869	GREENTOWN SER	57,785,879	\$ 502,159,289
2877	SHINEWAY PHARM	7,401,577	\$ 60,840,963
2880	DALIAN PORT	1,196,000	\$ 1,184,040
2883	CHINA OILFIELD	54,254,136	\$ 588,114,834
2888	STANCHART	5,497,006	\$ 386,439,522
2899	ZIJIN MINING	80,273,778	\$ 228,780,267

## Aggregated Reportable Short Positions of Specified Shares as of 15/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3007	TRFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3011	A ICBCCICUSD	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0
3023	PA AI&ROBOTICS	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X TRMSCIRUSSIA	0	\$ 0
3036	TRMSCITAIWAN	0	\$ 0
3040	GX MSCI CHINA	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	TRMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3065	TRMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3072	NIKKOAM INET	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3077	PREMIA UST	0	\$ 0
3079	CICC CGB	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VANGUARDAXJHY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3093	CICCSELECT100	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3096	CSOP USD MM	0	\$ 0
3097	F GX OIL	0	\$ 0



## Aggregated Reportable Short Positions of Specified Shares as of 15/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VANGUARDDEUR	0	\$ 0
3110	GX HS HIGH DIV	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP RMB MM	0	\$ 0
3126	VANGUARDJAPAN	0	\$ 0
3127	GX CSI300	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3140	VANGUARDSP500	0	\$ 0
3141	BMO ASIA IGB	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3150	HGI CSI500	0	\$ 0
3155	ISHARESES50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3163	PA MULTI-FACTOR	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3166	PA QLTY FACTOR	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0
3169	VANGUARDCHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3173	PP CN NEWCON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3181	PREMIAASIA TEC	0	\$ 0
3182	WISE NEW ECON50	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3188	CAM CSI300	55,274,065	\$ 2,445,877,376
3197	CAM MSCI AINC	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 15/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3198	PING AN 5HANDL	0	\$ 0
3199	CSOP CTPBBOND	0	\$ 0
3301	RONSHINECHINA	7,180,500	\$ 64,265,475
3306	JNBY	1,136,000	\$ 12,223,360
3308	GOLDEN EAGLE	0	\$ 0
3309	C-MER EYE	5,304,000	\$ 25,883,520
3311	CHINA STATE CON	82,858,719	\$ 529,467,214
3313	ARTGO HOLDINGS	60,160,000	\$ 756,812,800
3319	A-LIVING	5,134,113	\$ 130,663,176
3320	CHINARES PHARMA	18,787,511	\$ 129,821,701
3323	CNBM	100,553,355	\$ 694,823,683
3328	BANKCOMM	64,739,718	\$ 335,999,136
3331	VINDA INT'L	0	\$ 0
3333	EVERGRANDE	234,547,607	\$ 4,418,876,916
3336	JU TENG INTL	2,380,000	\$ 4,498,200
3337	ANTON OILFIELD	90,129,987	\$ 69,400,090
3339	LONKING	68,361,951	\$ 155,181,629
3360	FE HORIZON	14,891,654	\$ 100,965,414
3377	SINO-OCEAN GP	66,104,003	\$ 193,023,689
3380	LOGAN PPT	39,725,211	\$ 463,990,464
3382	TIANJINPORT DEV	3,628,000	\$ 2,575,880
3383	AGILE GROUP	74,139,537	\$ 794,775,837
3393	WASION HOLDINGS	2,012,000	\$ 7,424,280
3396	LEGENDHOLDING	8,005,448	\$ 129,688,258
3606	FUYAO GLASS	17,668,153	\$ 421,385,449
3613	TONGRENTANGCM	4,093,580	\$ 43,801,306
3618	CQRC BANK	42,874,782	\$ 172,356,624
3633	ZHONGYU GAS	5,009,698	\$ 35,719,147
3662	AOYUAN HEALTHY	1,315,118	\$ 8,114,278
3668	YANCOAL AUS	0	\$ 0
3669	YONGDA AUTO	11,389,039	\$ 69,473,138
3690	MEITUAN-W	78,481,816	\$ 7,600,963,880
3692	HANSOH PHARMA	5,488,000	\$ 137,748,800
3709	EEKA FASHION	0	\$ 0
3799	DALI FOODS	58,079,845	\$ 304,919,186
3800	GCL-POLY ENERGY	984,683,956	\$ 285,558,347
3808	SINOTRUK	67,380,535	\$ 884,032,619
3813	POU SHENG INT'L	7,782,967	\$ 21,947,967
3818	CHINA DONGXIANG	8,044,902	\$ 6,757,718
3836	HARMONY AUTO	17,020,229	\$ 46,975,832
3866	BQD	0	\$ 0

### Aggregated Reportable Short Positions of Specified Shares as of 15/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3868	XINYI ENERGY	0	\$ 0
3877	CSSC SHIPPING	0	\$ 0
3883	CHINA AOYUAN	51,294,129	\$ 535,510,707
3888	KINGSOFT	176,204,955	\$ 3,340,845,947
3898	CRRC TIMES ELEC	10,093,318	\$ 279,080,243
3899	CIMC ENRIC	6,464,008	\$ 27,795,234
3900	GREENTOWN CHINA	48,009,680	\$ 385,997,827
3908	CICC	129,377,968	\$ 1,803,528,874
3918	NAGACORP	7,516,000	\$ 103,269,840
3933	UNITED LAB	99,985,368	\$ 480,929,620
3958	DFZQ	4,914,800	\$ 21,575,972
3968	CM BANK	111,539,634	\$ 4,277,544,964
3969	CHINA CRSC	49,370,792	\$ 213,281,821
3983	CHINA BLUECHEM	14,375,274	\$ 26,738,010
3988	BANK OF CHINA	169,708,617	\$ 536,279,230
3990	MIDEA REAL EST	0	\$ 0
3993	CMOC	491,476,780	\$ 1,213,947,647
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	91,868,519	\$ 350,019,057
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	224,631,771	\$ 3,288,609,127
6055	CTIHK	7,684,000	\$ 142,307,680
6060	ZA ONLINE	57,390,837	\$ 1,383,119,172
6066	CSC	12,457,768	\$ 67,271,947
6068	WISDOM EDU INTL	4,882,836	\$ 16,211,016
6069	SHENGYE CAPITAL	2,760,000	\$ 17,884,800
6088	FIT HON TENG	12,187,000	\$ 37,170,350
6098	CG SERVICES	69,189,773	\$ 1,830,069,496
6099	CMSC	1,205,133	\$ 10,472,606
6100	TALENT LIEPIN	3,358,800	\$ 58,107,240
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6158	ZHENRO PPT	10,224,000	\$ 51,631,200
6160	BEIGENE	0	\$ 0
6166	CHINA VAST	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 15/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6169	YUHUA EDU	307,092,058	\$ 1,440,261,752
6178	EB SECURITIES	2,896,800	\$ 16,627,632
6185	CANSINO-BIO-B	352,000	\$ 12,531,200
6196	BANKOFZHENGZHOU	350,000	\$ 920,500
6198	QINGDAO PORT	3,532,764	\$ 16,568,663
6806	SWHY	663,200	\$ 1,386,088
6808	SUNART RETAIL	74,076,483	\$ 664,466,053
6818	CEB BANK	27,806,507	\$ 100,103,425
6823	HKT-SS	19,537,521	\$ 223,899,991
6826	HAOHAI BIOTEC	571,023	\$ 25,467,626
6837	HAITONG SEC	85,565,883	\$ 681,104,429
6862	HAIDILAO	42,485,563	\$ 1,448,757,698
6865	FLAT GLASS	4,323,000	\$ 16,427,400
6868	TENFU	0	\$ 0
6869	YOFC	23,630,484	\$ 301,052,366
6881	CGS	132,326,576	\$ 535,922,633
6886	HTSC	114,039,712	\$ 1,361,634,161
6889	DYNAM JAPAN	0	\$ 0
7200	FL2 CSOP HSI	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 GX HSCEI	0	\$ 0
7231	FL2 GX HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI GX S&P500	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7336	FI GX HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI GX HSCEI	0	\$ 0
7500	FI2 CSOP HSI	0	\$ 0
7522	FI2CAMDXNNDQ100	0	\$ 0
7568	FI2CSOPNASDAQ	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 15/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7588	FI2 CSOP HSCEI	0	\$ 0
8032	VIVA CHINA	0	\$ 0
8083	CHINA YOUZAN	244,816,000	\$ 121,183,920
8137	HONBRIDGE	17,174,624	\$ 8,329,693
8227	HAITIAN ANTENNA	0	\$ 0
8283	ZHENG LI HLDG	2,175,000	\$ 1,761,750
9010	ISHARES AXJ-U	0	\$ 0
9011	A ICBCCICUSD-U	0	\$ 0
9072	NIKKOAM INET-U	0	\$ 0
9074	ISHARESMSCITW-U	0	\$ 0
9077	PREMIA UST-U	0	\$ 0
9078	PREMIA UST A-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9085	VANGUARDAXJHY-U	0	\$ 0
9086	BMO NASDAQ100-U	0	\$ 0
9096	CSOP USD MM-U	0	\$ 0
9101	VANGUARDDVEUR-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9126	VANGUARDJAPAN-U	0	\$ 0
9140	VANGUARDSP500-U	0	\$ 0
9141	BMO ASIA IGB-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESES50-U	0	\$ 0
9167	ICBCCSOPCHINA-U	0	\$ 0
9169	VANGUARDCHINA-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9173	PP CN NEWECOM-U	0	\$ 0
9181	PREMIAASIA TEC-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9199	CSOP CTPBOND-U	0	\$ 0
9803	PP BEDROCK-U	0	\$ 0
9804	PREMIA VIET-U	0	\$ 0
9805	VANGUARDASXJP-U	0	\$ 0
9810	PREMIAEMASEAN-U	0	\$ 0
9820	GX CN BIOTECH-U	0	\$ 0
9826	GX CN CLOUD-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
80737	BAY AREA DEV-R	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 15/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
82805	VANGUARDASXJP-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82813	CAM CTPB BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HSCEI ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83079	CICC CGB-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83085	VANGUARDAXJHY-R	0	\$ 0
83093	CICCSELECT100-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83101	VANGUARDDDVEUR-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83122	CSOP RMB MM-R	0	\$ 0
83126	VANGUARDJAPAN-R	0	\$ 0
83127	GX CSI300-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83140	VANGUARDSP500-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 15/11/2019

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83168	HS RMB GOLD ETF	0	\$ 0
83169	VANGUARDCHINA-R	0	\$ 0
83170	ISHARES200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP CTPBBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0