

## Aggregated Reportable Short Positions of Specified Shares as of 31/01/2020

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1          | CKH HOLDINGS    | 9,110,548                                      | \$ 631,360,976                               |
| 2          | CLP HOLDINGS    | 19,004,164                                     | \$ 1,542,187,909                             |
| 3          | HK & CHINA GAS  | 281,864,845                                    | \$ 4,216,698,081                             |
| 4          | WHARF HOLDINGS  | 35,606,713                                     | \$ 687,921,695                               |
| 5          | HSBC HOLDINGS   | 95,651,151                                     | \$ 5,437,767,934                             |
| 6          | POWER ASSETS    | 26,534,979                                     | \$ 1,493,919,318                             |
| 8          | PCCW            | 62,589,093                                     | \$ 287,283,937                               |
| 10         | HANG LUNG GROUP | 3,068,332                                      | \$ 59,341,541                                |
| 11         | HANG SENG BANK  | 23,042,009                                     | \$ 3,645,245,824                             |
| 12         | HENDERSON LAND  | 26,515,194                                     | \$ 933,334,829                               |
| 14         | HYSAN DEV       | 5,925,797                                      | \$ 173,625,852                               |
| 16         | SHK PPT         | 14,687,825                                     | \$ 1,605,379,273                             |
| 17         | NEW WORLD DEV   | 64,599,515                                     | \$ 633,721,242                               |
| 19         | SWIRE PACIFIC A | 16,391,326                                     | \$ 1,128,542,795                             |
| 20         | WHEELLOCK       | 6,175,585                                      | \$ 294,266,625                               |
| 23         | BANK OF E ASIA  | 42,965,981                                     | \$ 723,547,120                               |
| 25         | CHEVALIER INT'L | 0  | \$ 0   |
| 26         | CHINA MOTOR BUS | 0  | \$ 0   |
| 27         | GALAXY ENT      | 74,414,386                                     | \$ 3,832,340,879                             |
| 28         | TIAN AN         | 0  | \$ 0   |
| 32         | CROSS-HAR(HOLD) | 0  | \$ 0   |
| 34         | KOWLOON DEV     | 0  | \$ 0   |
| 35         | FE CONSORT INTL | 1,012,330                                      | \$ 3,401,429                                 |
| 41         | GREAT EAGLE H   | 320,147  | \$ 7,619,499                                 |
| 43         | C.P. POKPHAND   | 4,828,000                                      | \$ 3,283,040                                 |
| 45         | HK&S HOTELS     | 3,309,216                                      | \$ 25,911,161                                |
| 50         | HK FERRY (HOLD) | 0  | \$ 0   |
| 51         | HARBOUR CENTRE  | 0  | \$ 0   |
| 52         | FAIRWOOD HOLD   | 1,409,392                                      | \$ 26,552,945                                |
| 53         | GUOCO GROUP     | 0  | \$ 0   |
| 56         | ALLIED PPT (HK) | 0  | \$ 0   |
| 62         | TRANSPORT INT'L | 0  | \$ 0   |
| 64         | GET NICE        | 0  | \$ 0   |
| 66         | MTR CORPORATION | 38,483,176                                     | \$ 1,689,411,426                             |
| 69         | SHANGRI-LA ASIA | 30,396,823                                     | \$ 218,553,157                               |
| 71         | MIRAMAR HOTEL   | 0  | \$ 0   |
| 75         | Y.T. REALTY     | 0  | \$ 0   |
| 78         | REGAL INT'L     | 741,007  | \$ 2,875,107                                 |
| 81         | CH OVS G OCEANS | 4,560,000                                      | \$ 23,529,600                                |
| 83         | SINO LAND       | 37,745,999                                     | \$ 402,372,349                               |
| 86         | SUN HUNG KAI CO | 0  | \$ 0   |

## Aggregated Reportable Short Positions of Specified Shares as of 31/01/2020

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 88         | TAI CHEUNG HOLD | 134,339  | \$ 828,872                                   |
| 95         | LVGEM CHINA     | 6,808,000                                      | \$ 17,905,040                                |
| 101        | HANG LUNG PPT   | 60,950,643                                     | \$ 997,152,519                               |
| 102        | SUMMIT ASCENT   | 2,478,525                                      | \$ 2,354,599                                 |
| 105        | ASSO INT HOTELS | 0  | \$ 0   |
| 106        | LANDSEA PPT     | 0  | \$ 0   |
| 107        | SICHUAN EXPRESS | 946,000  | \$ 2,014,980                                 |
| 112        | LERTHAI GROUP   | 0  | \$ 0   |
| 116        | CHOW SANG SANG  | 1,483,000                                      | \$ 13,569,450                                |
| 119        | POLY PROPERTY   | 5,470,831                                      | \$ 16,686,035                                |
| 120        | COSMOPOL INT'L  | 0  | \$ 0   |
| 123        | YUEXIU PROPERTY | 10,020,385                                     | \$ 15,732,004                                |
| 127        | CHINESE EST H   | 384,000  | \$ 2,115,840                                 |
| 135        | KUNLUN ENERGY   | 28,074,031                                     | \$ 170,690,108                               |
| 136        | HENGTEN NET     | 1,044,951,827                                  | \$ 106,585,086                               |
| 142        | FIRST PACIFIC   | 16,855,136                                     | \$ 41,295,083                                |
| 144        | CHINA MER PORT  | 28,747,365                                     | \$ 346,118,275                               |
| 148        | KINGBOARD HLDG  | 9,784,533                                      | \$ 202,539,833                               |
| 151        | WANT WANT CHINA | 374,866,252                                    | \$ 2,417,887,325                             |
| 152        | SHENZHEN INT'L  | 8,341,699                                      | \$ 130,130,504                               |
| 158        | MELBOURNE ENT   | 0  | \$ 0   |
| 161        | AVIC IHL        | 1,910,000                                      | \$ 15,623,800                                |
| 163        | EMPEROR INT'L   | 924,816  | \$ 1,414,968                                 |
| 165        | CHINA EB LTD    | 29,899,453                                     | \$ 359,391,425                               |
| 168        | TSINGTAO BREW   | 13,505,955                                     | \$ 584,807,852                               |
| 171        | SILVER GRANT    | 9,374,000                                      | \$ 10,873,840                                |
| 173        | K. WAH INT'L    | 1,731,409                                      | \$ 6,596,668                                 |
| 175        | GEELY AUTO      | 234,711,359                                    | \$ 2,943,280,442                             |
| 177        | JIANGSU EXPRESS | 2,173,047                                      | \$ 20,969,904                                |
| 178        | SA SA INT'L     | 129,759,095                                    | \$ 179,067,551                               |
| 179        | JOHNSON ELEC H  | 971,190  | \$ 16,801,587                                |
| 182        | CONCORD NE      | 8,870,000                                      | \$ 3,148,850                                 |
| 189        | DONGYUE GROUP   | 33,271,655                                     | \$ 118,779,808                               |
| 194        | LIU CHONG HING  | 0  | \$ 0   |
| 196        | HONGHUA GROUP   | 6,572,000                                      | \$ 2,825,960                                 |
| 200        | MELCO INT'L DEV | 14,035,728                                     | \$ 237,765,232                               |
| 207        | JOY CITY PPT    | 0  | \$ 0   |
| 208        | POLYTEC ASSET   | 0  | \$ 0   |
| 215        | HUTCHTEL HK     | 29,423,722                                     | \$ 41,781,685                                |
| 220        | U-PRESID CHINA  | 18,225,991                                     | \$ 145,078,888                               |
| 225        | POKFULAM        | 0  | \$ 0   |

## Aggregated Reportable Short Positions of Specified Shares as of 31/01/2020

| Stock Code | Stock Name       | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|------------------|--|--|
| 230        | MINMETALS LAND   | 1,206,000                                      | \$ 1,459,260                                 |
| 241        | ALI HEALTH       | 142,327,364                                    | \$ 1,559,907,909                             |
| 242        | SHUN TAK HOLD    | 16,342,400                                     | \$ 54,910,464                                |
| 245        | CN MINSHENG FIN  | 0  | \$ 0   |
| 247        | TST PROPERTIES   | 0  | \$ 0   |
| 251        | SEA HOLDINGS     | 0  | \$ 0   |
| 256        | CITYCHAMP        | 9,434,000                                      | \$ 15,754,780                                |
| 257        | CHINA EB INT'L   | 119,744,261                                    | \$ 665,778,091                               |
| 261        | GBA INV HLDGS    | 0  | \$ 0   |
| 266        | TIAN TECK LAND   | 0  | \$ 0   |
| 267        | CITIC            | 30,607,336                                     | \$ 269,650,630                               |
| 268        | KINGDEE INT'L    | 263,238,135                                    | \$ 2,216,465,097                             |
| 270        | GUANGDONG INV    | 1,368,000                                      | \$ 21,669,120                                |
| 272        | SHUI ON LAND     | 13,907,176                                     | \$ 21,695,195                                |
| 273        | MASON GP HOLD    | 19,400,000                                     | \$ 892,400                                   |
| 285        | BYD ELECTRONIC   | 73,474,946                                     | \$ 1,119,758,177                             |
| 286        | AIDIGONG         | 7,240,000                                      | \$ 3,583,800                                 |
| 288        | WH GROUP         | 164,673,841                                    | \$ 1,228,466,854                             |
| 289        | WING ON CO       | 0  | \$ 0   |
| 291        | CHINA RES BEER   | 31,641,719                                     | \$ 1,147,012,314                             |
| 293        | CATHAY PAC AIR   | 60,089,877                                     | \$ 591,284,390                               |
| 297        | SINOFERT         | 22,646,627                                     | \$ 17,211,437                                |
| 303        | VTECH HOLDINGS   | 2,564,597                                      | \$ 181,188,778                               |
| 308        | CHINA TRAVEL HK  | 32,270,764                                     | \$ 40,338,455                                |
| 315        | SMARTONE TELE    | 2,761,533                                      | \$ 15,795,969                                |
| 316        | OOIL             | 0  | \$ 0   |
| 317        | COMEC            | 4,841,740                                      | \$ 24,644,457                                |
| 321        | TEXWINCA HOLD    | 4,592,000                                      | \$ 7,576,800                                 |
| 322        | TINGYI           | 54,987,388                                     | \$ 723,634,026                               |
| 323        | MAANSHAN IRON    | 82,240,998                                     | \$ 226,985,154                               |
| 327        | PAX GLOBAL       | 16,476,468                                     | \$ 59,480,049                                |
| 330        | ESPRIT HOLDINGS  | 36,199,334                                     | \$ 50,317,074                                |
| 336        | HUABAO INTL      | 4,514,292                                      | \$ 11,376,016                                |
| 337        | GREENLAND HK     | 4,355,780                                      | \$ 12,936,667                                |
| 338        | SHANGHAI PEICHEM | 76,353,853                                     | \$ 151,944,167                               |
| 341        | CAFE DE CORAL H  | 5,315,029                                      | \$ 92,906,707                                |
| 342        | NEWOCEAN ENERGY  | 71,007,242                                     | \$ 78,107,966                                |
| 345        | VITASOY INT'L    | 96,903,884                                     | \$ 2,737,534,723                             |
| 347        | ANGANG STEEL     | 96,043,283                                     | \$ 252,593,834                               |
| 354        | CHINASOFT INT'L  | 298,101,786                                    | \$ 1,374,249,233                             |
| 358        | JIANGXI COPPER   | 12,603,224                                     | \$ 116,201,725                               |

## Aggregated Reportable Short Positions of Specified Shares as of 31/01/2020

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 363        | SHANGHAI IND H  | 2,960,587                                      | \$ 40,737,677                                |
| 367        | CHUANG'S INT'L  | 0  | \$ 0   |
| 369        | WING TAI PPT    | 0  | \$ 0   |
| 371        | BJ ENT WATER    | 215,209,679                                    | \$ 751,081,780                               |
| 373        | ALLIED GROUP    | 0  | \$ 0   |
| 376        | YUNFENG FIN     | 0  | \$ 0   |
| 383        | CHINA MED&HCARE | 0  | \$ 0   |
| 384        | CHINA GAS HOLD  | 147,325,190                                    | \$ 4,537,615,852                             |
| 386        | SINOPEC CORP    | 346,425,912                                    | \$ 1,430,739,017                             |
| 388        | HKEX            | 16,762,117                                     | \$ 4,338,035,880                             |
| 390        | CHINA RAILWAY   | 70,755,032                                     | \$ 303,539,087                               |
| 392        | BEIJING ENT     | 1,372,000                                      | \$ 46,853,800                                |
| 405        | YUEXIU REIT     | 0  | \$ 0   |
| 410        | SOHO CHINA      | 35,558,791                                     | \$ 105,965,197                               |
| 412        | CHINA SDHS FIN  | 33,780,000                                     | \$ 8,242,320                                 |
| 425        | MINTH GROUP     | 43,591,684                                     | \$ 1,050,559,584                             |
| 435        | SUNLIGHT REIT   | 0  | \$ 0   |
| 440        | DAH SING        | 804,921  | \$ 22,618,280                                |
| 445        | CIMC-TIANDA     | 0  | \$ 0   |
| 451        | GCL NEWENERGY   | 75,746,373                                     | \$ 10,604,492                                |
| 460        | SIHUAN PHARM    | 106,842,344                                    | \$ 95,089,686                                |
| 467        | UNITEDENERGY GP | 8,592,000                                      | \$ 11,856,960                                |
| 468        | GAPACK          | 495,000  | \$ 1,534,500                                 |
| 480        | HKR INT'L       | 0  | \$ 0   |
| 486        | RUSAL           | 28,171,000                                     | \$ 119,726,750                               |
| 488        | LAI SUN DEV     | 162,900  | \$ 1,514,970                                 |
| 489        | DONGFENG GROUP  | 67,185,399                                     | \$ 393,706,438                               |
| 493        | GOME RETAIL     | 1,753,994,122                                  | \$ 1,262,875,768                             |
| 494        | LI & FUNG       | 316,081,380                                    | \$ 218,096,152                               |
| 496        | KASEN           | 9,934,000                                      | \$ 33,775,600                                |
| 497        | CSI PROPERTIES  | 3,000,000                                      | \$ 840,000                                   |
| 506        | CHINA FOODS     | 3,686,000                                      | \$ 10,836,840                                |
| 508        | DINGYI GP INV   | 23,065,000                                     | \$ 5,720,120                                 |
| 511        | TVB             | 5,852,734                                      | \$ 69,764,589                                |
| 512        | CHINAGRANDPHARM | 7,492,000                                      | \$ 36,860,640                                |
| 517        | COSCO SHIP INTL | 3,233,480                                      | \$ 7,501,674                                 |
| 520        | XIABUXIABU      | 18,679,253                                     | \$ 155,598,177                               |
| 522        | ASM PACIFIC     | 12,020,954                                     | \$ 1,275,423,219                             |
| 525        | GUANGSHEN RAIL  | 14,335,146                                     | \$ 30,963,915                                |
| 526        | CHINA AUTO NR   | 1,614,000                                      | \$ 1,178,220                                 |
| 530        | GOLDIN FIN HOLD | 14,885,870                                     | \$ 23,668,533                                |

**Aggregated Reportable Short Positions of Specified Shares as of 31/01/2020**

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|------------|-----------------|--|--|
| 535        | GEMDALE PPT     | 27,104,000                                     | \$ 28,730,240                                |
| 538        | AJISEN (CHINA)  | 1,912,656                                      | \$ 4,207,843                                 |
| 546        | FUFENG GROUP    | 42,040,025                                     | \$ 126,960,876                               |
| 547        | DIGITAL DOMAIN  | 247,569,457                                    | \$ 16,587,154                                |
| 548        | SHENZHENEXPRESS | 40,120,023                                     | \$ 404,409,832                               |
| 551        | YUE YUEN IND    | 15,375,358                                     | \$ 332,876,501                               |
| 552        | CHINACOMSERVICE | 59,474,443                                     | \$ 313,430,315                               |
| 563        | SH IND URBAN    | 1,302,000                                      | \$ 1,171,800                                 |
| 570        | TRAD CHI MED    | 46,473,280                                     | \$ 168,233,274                               |
| 576        | ZHEJIANGEXPRESS | 9,670,510                                      | \$ 61,697,854                                |
| 579        | JNCEC           | 3,566,243                                      | \$ 4,422,141                                 |
| 581        | CHINA ORIENTAL  | 19,578,374                                     | \$ 52,665,826                                |
| 586        | CONCH VENTURE   | 38,424,702                                     | \$ 1,331,415,924                             |
| 588        | BEIJING N STAR  | 2,316,000                                      | \$ 5,164,680                                 |
| 590        | LUK FOOK HOLD   | 5,604,782                                      | \$ 118,821,378                               |
| 596        | INSPUR INT'L    | 9,092,000                                      | \$ 25,366,680                                |
| 598        | SINOTRANS       | 46,759,625                                     | \$ 112,223,100                               |
| 603        | CHINA OIL & GAS | 6,757,920                                      | \$ 1,757,059                                 |
| 604        | SHENZHEN INVEST | 28,202,967                                     | \$ 76,148,011                                |
| 606        | CHINA AGRI      | 17,110,703                                     | \$ 71,351,632                                |
| 607        | FULLSHARE       | 433,693,973                                    | \$ 66,355,178                                |
| 622        | OSHDORI         | 24,499,800                                     | \$ 19,844,838                                |
| 627        | FULLSUN INT'L   | 4,230,000                                      | \$ 537,210                                   |
| 631        | SANY INT'L      | 5,982,829                                      | \$ 22,674,922                                |
| 636        | KERRY LOG NET   | 2,242,000                                      | \$ 27,890,480                                |
| 639        | SHOUGANG RES    | 11,410,000                                     | \$ 17,571,400                                |
| 656        | FOSUN INTL      | 50,930,324                                     | \$ 530,693,976                               |
| 658        | C TRANSMISSION  | 27,294,312                                     | \$ 127,737,380                               |
| 659        | NWS HOLDINGS    | 18,428,641                                     | \$ 185,392,128                               |
| 662        | ASIA FINANCIAL  | 0  | \$ 0   |
| 665        | HAITONG INT'L   | 138,323,364                                    | \$ 312,610,803                               |
| 667        | CHINA EAST EDU  | 3,733,340                                      | \$ 54,282,764                                |
| 669        | TECHTRONIC IND  | 24,878,683                                     | \$ 1,566,113,095                             |
| 670        | CHINA EAST AIR  | 131,041,065                                    | \$ 469,127,013                               |
| 678        | GENTING HK      | 0  | \$ 0   |
| 680        | NAN HAI CORP    | 0  | \$ 0   |
| 683        | KERRY PPT       | 8,185,128                                      | \$ 178,845,047                               |
| 686        | PANDA GREEN     | 61,070,300                                     | \$ 15,572,927                                |
| 687        | TYSAN HOLDINGS  | 0  | \$ 0   |
| 688        | CHINA OVERSEAS  | 48,006,836                                     | \$ 1,212,172,609                             |
| 691        | SHANSHUI CEMENT | 1,676,700                                      | \$ 4,460,022                                 |

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|------------|-----------------|--|--|
| 694        | BEIJING AIRPORT | 32,230,479                                     | \$ 202,407,408                               |
| 696        | TRAVELSKY TECH  | 17,211,249                                     | \$ 291,558,558                               |
| 697        | SHOUGANG INT'L  | 56,201,647                                     | \$ 19,389,568                                |
| 698        | TONGDA GROUP    | 134,342,526                                    | \$ 111,504,297                               |
| 699        | CAR INC         | 47,496,769                                     | \$ 245,558,296                               |
| 700        | TENCENT         | 51,420,307                                     | \$ 19,179,774,511                            |
| 708        | EVERG HEALTH    | 6,955,000                                      | \$ 49,867,350                                |
| 709        | GIORDANO INT'L  | 4,723,461                                      | \$ 9,399,687                                 |
| 710        | BOE VARITRONIX  | 697,000  | \$ 1,603,100                                 |
| 715        | CHINA OCEANWIDE | 0  | \$ 0   |
| 716        | SINGAMAS CONT   | 15,086,836                                     | \$ 10,862,522                                |
| 728        | CHINA TELECOM   | 110,526,288                                    | \$ 335,999,916                               |
| 732        | TRULY INT'L     | 20,870,833                                     | \$ 21,496,958                                |
| 737        | BAY AREA DEV    | 0  | \$ 0   |
| 743        | ASIA CEMENT CH  | 1,593,900                                      | \$ 15,747,732                                |
| 751        | SKYWORTH GROUP  | 43,049,663                                     | \$ 90,404,292                                |
| 752        | PICO FAR EAST   | 398,000  | \$ 835,800                                   |
| 753        | AIR CHINA       | 166,593,740                                    | \$ 1,072,863,686                             |
| 754        | HOPSON DEV HOLD | 0  | \$ 0   |
| 762        | CHINA UNICOM    | 155,883,428                                    | \$ 1,019,477,619                             |
| 763        | ZTE             | 47,007,453                                     | \$ 1,191,638,934                             |
| 772        | CHINA LIT       | 32,364,873                                     | \$ 1,053,476,616                             |
| 777        | NETDRAGON       | 2,311,573                                      | \$ 43,734,961                                |
| 778        | FORTUNE REIT    | 0  | \$ 0   |
| 780        | TONGCHENG-ELONG | 33,519,651                                     | \$ 369,386,554                               |
| 788        | CHINA TOWER     | 1,551,351,477                                  | \$ 2,528,702,908                             |
| 798        | CEOVU           | 0  | \$ 0   |
| 799        | IGG             | 102,063,227                                    | \$ 558,285,852                               |
| 806        | VALUE PARTNERS  | 41,779,851                                     | \$ 176,728,770                               |
| 807        | SIICENVIRONMENT | 2,047,200                                      | \$ 2,825,136                                 |
| 808        | PROSPERITY REIT | 0  | \$ 0   |
| 811        | XINHUA WINSHARE | 363,008  | \$ 1,949,353                                 |
| 813        | SHIMAO PROPERTY | 18,528,112                                     | \$ 469,687,639                               |
| 816        | HUADIAN FUXIN   | 3,004,336                                      | \$ 4,025,810                                 |
| 817        | CHINA JINMAO    | 150,815,831                                    | \$ 793,291,271                               |
| 818        | HI SUN TECH     | 1,611,000                                      | \$ 1,836,540                                 |
| 819        | TIANNENG POWER  | 123,220,350                                    | \$ 644,442,431                               |
| 823        | LINK REIT       | 14,846,804                                     | \$ 1,172,897,516                             |
| 831        | CR ASIA         | 0  | \$ 0   |
| 832        | CENTRAL CHINA   | 680,000  | \$ 3,073,600                                 |
| 836        | CHINA RES POWER | 9,698,257                                      | \$ 101,637,733                               |

## Aggregated Reportable Short Positions of Specified Shares as of 31/01/2020

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|------------|-----------------|--|--|
| 839        | CHINA EDU GROUP | 46,994,359                                     | \$ 449,736,016                               |
| 853        | MICROPORT       | 50,428,562                                     | \$ 430,155,634                               |
| 855        | CHINA WATER     | 73,106,597                                     | \$ 444,488,110                               |
| 856        | VSTECs          | 4,784,668                                      | \$ 18,086,045                                |
| 857        | PETROCHINA      | 206,584,216                                    | \$ 712,715,545                               |
| 860        | WE SOLUTIONS    | 26,353,535                                     | \$ 10,936,717                                |
| 861        | DC HOLDINGS     | 6,196,000                                      | \$ 24,536,160                                |
| 867        | CMS             | 36,335,949                                     | \$ 379,347,308                               |
| 868        | XINYI GLASS     | 75,273,011                                     | \$ 737,675,508                               |
| 874        | BAIYUNSHAN PH   | 8,131,303                                      | \$ 204,908,836                               |
| 877        | O-NET TECH GP   | 4,447,248                                      | \$ 18,011,354                                |
| 880        | SJM HOLDINGS    | 95,794,078                                     | \$ 840,114,064                               |
| 881        | ZHONGSHENG HLDG | 175,122,226                                    | \$ 5,043,520,109                             |
| 882        | TIANJIN DEV     | 840,000  | \$ 1,747,200                                 |
| 883        | CNOOC           | 131,128,856                                    | \$ 1,555,188,232                             |
| 884        | CIFI HOLD GP    | 115,045,881                                    | \$ 623,548,675                               |
| 895        | DONGJIANG ENV   | 759,275  | \$ 4,479,723                                 |
| 902        | HUANENG POWER   | 107,798,941                                    | \$ 397,778,092                               |
| 906        | COFCO PACKAGING | 19,942,958                                     | \$ 58,831,726                                |
| 914        | CONCH CEMENT    | 44,892,011                                     | \$ 2,249,089,751                             |
| 916        | CHINA LONGYUAN  | 39,876,261                                     | \$ 184,228,326                               |
| 921        | HISENSE HA      | 3,219,000                                      | \$ 25,623,240                                |
| 931        | CHINA LNG       | 8,277,971                                      | \$ 3,393,968                                 |
| 934        | SINOPEC KANTONS | 13,833,049                                     | \$ 42,052,469                                |
| 939        | CCB             | 307,169,967                                    | \$ 1,827,661,304                             |
| 941        | CHINA MOBILE    | 49,681,773                                     | \$ 3,189,569,827                             |
| 950        | LEE'S PHARM     | 1,859,000                                      | \$ 7,789,210                                 |
| 951        | CHAOWEI POWER   | 1,360,000                                      | \$ 3,522,400                                 |
| 956        | CHINA SUNTIEN   | 1,989,000                                      | \$ 4,017,780                                 |
| 958        | HN RENEWABLES   | 21,569,201                                     | \$ 68,805,751                                |
| 960        | LONGFOR GROUP   | 36,396,915                                     | \$ 1,204,737,887                             |
| 966        | CHINA TAIPING   | 54,124,106                                     | \$ 886,552,856                               |
| 968        | XINYI SOLAR     | 91,566,080                                     | \$ 503,613,440                               |
| 973        | L'OCCITANE      | 2,043,228                                      | \$ 33,713,262                                |
| 978        | CHI MER LAND    | 0  | \$ 0   |
| 981        | SMIC            | 448,148,542                                    | \$ 6,408,524,151                             |
| 991        | DATANG POWER    | 30,915,121                                     | \$ 42,044,565                                |
| 992        | LENOVO GROUP    | 459,620,941                                    | \$ 2,357,855,427                             |
| 995        | ANHUIEXPRESSWAY | 583,047  | \$ 2,547,915                                 |
| 998        | CITIC BANK      | 39,972,980                                     | \$ 163,089,758                               |
| 999        | I.T             | 0  | \$ 0   |

## Aggregated Reportable Short Positions of Specified Shares as of 31/01/2020

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1003       | HUANXI MEDIA    | 1,440,000                                      | \$ 2,577,600                                 |
| 1030       | SEAZEN          | 211,932,684                                    | \$ 1,670,029,550                             |
| 1031       | KINGSTON FIN    | 0  | \$ 0   |
| 1033       | SINOPEC SSC     | 7,318,000                                      | \$ 5,781,220                                 |
| 1038       | CKI HOLDINGS    | 6,096,880                                      | \$ 332,279,960                               |
| 1044       | HENGAN INT'L    | 41,491,990                                     | \$ 2,365,043,430                             |
| 1052       | YUEXIUTRANSPORT | 420,000  | \$ 2,851,800                                 |
| 1055       | CHINA SOUTH AIR | 271,359,141                                    | \$ 1,180,412,263                             |
| 1060       | ALI PICTURES    | 656,085,680                                    | \$ 728,255,105                               |
| 1061       | ESSEX BIO-TECH  | 223,000  | \$ 1,197,510                                 |
| 1066       | WEIGAO GROUP    | 49,865,328                                     | \$ 463,248,897                               |
| 1068       | YURUN FOOD      | 18,411,000                                     | \$ 11,967,150                                |
| 1070       | TCL ELECTRONICS | 1,053,953                                      | \$ 3,699,375                                 |
| 1071       | HUADIAN POWER   | 34,870,748                                     | \$ 89,617,822                                |
| 1072       | DONGFANG ELEC   | 2,952,911                                      | \$ 12,018,348                                |
| 1076       | IMPERIAL PAC    | 137,100,000                                    | \$ 8,363,100                                 |
| 1083       | TOWNGAS CHINA   | 5,424,679                                      | \$ 26,201,200                                |
| 1086       | GOODBABY INTL   | 16,071,720                                     | \$ 22,982,560                                |
| 1088       | CHINA SHENHUA   | 57,030,524                                     | \$ 783,599,400                               |
| 1089       | LEYOU TECH H    | 31,147,437                                     | \$ 77,868,593                                |
| 1093       | CSPC PHARMA     | 117,594,453                                    | \$ 2,034,384,037                             |
| 1098       | ROAD KING INFRA | 492,000  | \$ 6,455,040                                 |
| 1099       | SINOPHARM       | 32,480,988                                     | \$ 828,265,194                               |
| 1109       | CHINA RES LAND  | 34,715,602                                     | \$ 1,135,200,185                             |
| 1111       | CHONG HING BANK | 0  | \$ 0   |
| 1112       | H&H INTL HLDG   | 13,989,479                                     | \$ 460,953,333                               |
| 1113       | CK ASSET        | 6,428,207                                      | \$ 322,695,991                               |
| 1114       | BRILLIANCE CHI  | 231,334,542                                    | \$ 1,612,401,758                             |
| 1115       | TIBET WATER     | 11,865,000                                     | \$ 6,051,150                                 |
| 1117       | CH MODERN D     | 1,770,802                                      | \$ 1,717,678                                 |
| 1119       | IDREAMSKY       | 0  | \$ 0   |
| 1122       | QINGLING MOTORS | 578,000  | \$ 1,051,960                                 |
| 1128       | WYNN MACAU      | 91,717,684                                     | \$ 1,500,501,310                             |
| 1131       | AGRITRADE RES   | 27,360,000                                     | \$ 5,581,440                                 |
| 1133       | HARBIN ELECTRIC | 13,276,175                                     | \$ 27,083,397                                |
| 1137       | HKTV            | 16,842,546                                     | \$ 70,738,693                                |
| 1138       | COSCO SHIP ENGY | 66,184,216                                     | \$ 226,350,019                               |
| 1140       | OP FINANCIAL    | 1,848,000                                      | \$ 2,753,520                                 |
| 1141       | CMBC CAPITAL    | 236,309,075                                    | \$ 29,302,325                                |
| 1157       | ZOOMLION        | 177,445,395                                    | \$ 1,023,859,929                             |
| 1169       | HAIER ELEC      | 18,209,135                                     | \$ 428,825,129                               |



## Aggregated Reportable Short Positions of Specified Shares as of 31/01/2020

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1171       | YANZHOU COAL    | 137,931,238                                    | \$ 797,242,556                               |
| 1176       | ZHUGUANG HOLD   | 2,132,000                                      | \$ 2,430,480                                 |
| 1177       | SINO BIOPHARM   | 370,348,814                                    | \$ 3,859,034,642                             |
| 1186       | CHINA RAIL CONS | 57,819,648                                     | \$ 441,163,914                               |
| 1193       | CHINA RES GAS   | 30,022,473                                     | \$ 1,233,923,640                             |
| 1196       | REALORD GROUP   | 2,527,000                                      | \$ 11,674,740                                |
| 1199       | COSCO SHIP PORT | 21,092,035                                     | \$ 116,428,033                               |
| 1205       | CITIC RESOURCES | 0  | \$ 0   |
| 1208       | MMG             | 174,917,371                                    | \$ 309,603,747                               |
| 1211       | BYD COMPANY     | 145,584,994                                    | \$ 5,983,543,253                             |
| 1212       | LIFESTYLE INT'L | 6,209,885                                      | \$ 48,375,004                                |
| 1216       | ZYBANK          | 0  | \$ 0   |
| 1221       | SINO HOTELS     | 0  | \$ 0   |
| 1223       | SYMPHONY HOLD   | 1,010,000                                      | \$ 858,500                                   |
| 1224       | C C LAND        | 0  | \$ 0   |
| 1233       | TIMES CHINA     | 13,440,890                                     | \$ 185,215,464                               |
| 1234       | CHINA LILANG    | 2,913,035                                      | \$ 16,138,214                                |
| 1238       | POWERLONG       | 10,875,200                                     | \$ 46,763,360                                |
| 1243       | WANG ON PPT     | 0  | \$ 0   |
| 1250       | BE CLEAN ENERGY | 24,905,731                                     | \$ 1,320,004                                 |
| 1252       | CHINA TIANRUI   | 0  | \$ 0   |
| 1257       | CEB GREENTECH   | 11,199,853                                     | \$ 41,327,458                                |
| 1258       | CHINFMINING     | 0  | \$ 0   |
| 1268       | MEIDONG AUTO    | 7,012,000                                      | \$ 67,245,080                                |
| 1270       | LANGHAM-SS      | 3,219,436                                      | \$ 6,503,261                                 |
| 1282       | GLORY SUN FIN   | 23,940,000                                     | \$ 8,738,100                                 |
| 1286       | IMPRO PRECISION | 1,328,000                                      | \$ 3,891,040                                 |
| 1288       | ABC             | 114,702,655                                    | \$ 346,402,018                               |
| 1293       | GRAND BAOXIN    | 16,260,000                                     | \$ 20,650,200                                |
| 1299       | AIA             | 80,210,604                                     | \$ 6,236,374,461                             |
| 1300       | TRIGIANT        | 0  | \$ 0   |
| 1302       | LIFETECH SCI    | 17,228,000                                     | \$ 24,119,200                                |
| 1308       | SITC            | 5,379,294                                      | \$ 49,489,505                                |
| 1310       | HKBN            | 17,614,115                                     | \$ 237,790,553                               |
| 1313       | CHINARES CEMENT | 57,304,385                                     | \$ 503,705,544                               |
| 1316       | NEXTEER         | 51,517,915                                     | \$ 296,743,190                               |
| 1317       | MAPLELEAF EDU   | 78,245,666                                     | \$ 219,087,865                               |
| 1330       | DYNAGREEN ENV   | 2,295,522                                      | \$ 6,909,521                                 |
| 1333       | CHINA ZHONGWANG | 80,318,774                                     | \$ 208,828,812                               |
| 1336       | NCI             | 36,272,421                                     | \$ 1,066,409,177                             |
| 1337       | RAZER           | 140,791,744                                    | \$ 174,581,763                               |

## Aggregated Reportable Short Positions of Specified Shares as of 31/01/2020

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1339       | PICC GROUP      | 130,575,323                                    | \$ 365,610,904                               |
| 1347       | HUA HONG SEMI   | 78,625,645                                     | \$ 1,487,597,203                             |
| 1349       | FUDANZHANGJIANG | 181,000  | \$ 819,930                                   |
| 1359       | CHINA CINDA     | 164,953,815                                    | \$ 258,977,490                               |
| 1360       | NOVA GROUP      | 28,575,000                                     | \$ 44,577,000                                |
| 1366       | JIANGNAN GP     | 0  | \$ 0   |
| 1368       | XTEP INT'L      | 50,769,720                                     | \$ 180,232,506                               |
| 1375       | CC SECURITIES   | 6,768,000                                      | \$ 10,219,680                                |
| 1378       | CHINAHONGQIAO   | 55,408,200                                     | \$ 212,767,488                               |
| 1381       | CANVEST ENV     | 11,041,861                                     | \$ 36,217,304                                |
| 1382       | PACIFICTEXTILES | 8,137,169                                      | \$ 40,929,960                                |
| 1383       | SUNCITY GROUP   | 23,830,000                                     | \$ 37,174,800                                |
| 1385       | SHANGHAI FUDAN  | 7,670,968                                      | \$ 41,499,937                                |
| 1387       | CHINA DILI      | 25,321,038                                     | \$ 60,264,070                                |
| 1398       | ICBC            | 290,269,098                                    | \$ 1,515,204,692                             |
| 1426       | SPRING REIT     | 0  | \$ 0   |
| 1432       | CHINA SHENGMU   | 20,812,694                                     | \$ 4,412,291                                 |
| 1448       | FU SHOU YUAN    | 6,568,966                                      | \$ 43,092,417                                |
| 1458       | ZHOU HEI YA     | 28,891,293                                     | \$ 111,231,478                               |
| 1475       | NISSIN FOODS    | 9,986,000                                      | \$ 63,111,520                                |
| 1476       | HENGTOU SEC     | 0  | \$ 0   |
| 1478       | Q TECH          | 13,786,259                                     | \$ 153,854,650                               |
| 1508       | CHINA RE        | 19,718,027                                     | \$ 21,887,010                                |
| 1513       | LIVZON PHARMA   | 2,083,879                                      | \$ 56,160,539                                |
| 1515       | CR MEDICAL      | 11,665,128                                     | \$ 48,526,932                                |
| 1521       | FRONTAGE        | 7,566,000                                      | \$ 29,431,740                                |
| 1528       | RS MACALLINE    | 1,705,647                                      | \$ 10,012,148                                |
| 1530       | 3SBIO           | 136,183,269                                    | \$ 1,359,109,025                             |
| 1548       | GENSCRIPT BIO   | 74,771,408                                     | \$ 1,348,876,200                             |
| 1551       | GRCB            | 0  | \$ 0   |
| 1558       | HEC PHARM       | 6,120,360                                      | \$ 275,416,200                               |
| 1565       | VIRSCEND EDU    | 6,235,000                                      | \$ 11,223,000                                |
| 1568       | SUNDART HLDGS   | 0  | \$ 0   |
| 1569       | MINSHENG EDU    | 0  | \$ 0   |
| 1573       | SOUTHERN ENERGY | 4,382,000                                      | \$ 3,111,220                                 |
| 1578       | BANK OF TIANJIN | 0  | \$ 0   |
| 1579       | YIHAI INTL      | 19,560,540                                     | \$ 863,597,841                               |
| 1585       | YADEA           | 14,534,000                                     | \$ 29,213,340                                |
| 1589       | CNLP            | 3,168,451                                      | \$ 9,663,776                                 |
| 1600       | TIAN LUN GAS    | 6,438,154                                      | \$ 42,169,909                                |
| 1606       | CDB LEASING     | 0  | \$ 0   |

**Aggregated Reportable Short Positions of Specified Shares as of 31/01/2020**

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1608       | VPOWER GROUP    | 0  | \$ 0   |
| 1610       | COFCO MEAT      | 78,585,210                                     | \$ 130,451,449                               |
| 1617       | NANFANG COMM    | 1,904,000                                      | \$ 7,558,880                                 |
| 1618       | MCC             | 12,001,108                                     | \$ 18,241,684                                |
| 1622       | REDCO GROUP     | 10,712,750                                     | \$ 36,744,733                                |
| 1628       | YUZHOU PPT      | 39,778,784                                     | \$ 143,999,198                               |
| 1636       | CMRU            | 11,306,000                                     | \$ 36,857,560                                |
| 1638       | KAISA GROUP     | 56,967,649                                     | \$ 186,284,212                               |
| 1658       | PSBC            | 337,531,918                                    | \$ 1,637,029,802                             |
| 1660       | ZHAOBANGJI PPT  | 0  | \$ 0   |
| 1666       | TONG REN TANG   | 5,266,813                                      | \$ 37,921,054                                |
| 1668       | CHINASOUTHCITY  | 28,398,000                                     | \$ 24,706,260                                |
| 1672       | ASCLETIS-B      | 19,225,290                                     | \$ 79,784,954                                |
| 1675       | ASIAINFO TECH   | 0  | \$ 0   |
| 1680       | MACAU LEGEND    | 10,289,033                                     | \$ 10,186,143                                |
| 1681       | CONSUN PHARMA   | 3,486,732                                      | \$ 13,946,928                                |
| 1686       | SUNEVISION      | 1,478,972                                      | \$ 7,675,865                                 |
| 1708       | SAMPLE TECH     | 0  | \$ 0   |
| 1717       | AUSNUTRIA       | 14,721,991                                     | \$ 140,006,134                               |
| 1727       | HEBEI CONS      | 2,039,000                                      | \$ 9,644,470                                 |
| 1728       | ZHENGTONGAUTO   | 117,950,957                                    | \$ 246,517,500                               |
| 1755       | S-ENJOY SERVICE | 3,623,000                                      | \$ 48,765,580                                |
| 1761       | BABYTREE GROUP  | 0  | \$ 0   |
| 1765       | HOPE EDU        | 10,754,000                                     | \$ 16,776,240                                |
| 1766       | CRRC            | 88,972,882                                     | \$ 458,210,342                               |
| 1772       | GANFENGLITHIUM  | 5,033,900                                      | \$ 100,476,644                               |
| 1773       | TIANLI EDU      | 15,070,000                                     | \$ 52,292,900                                |
| 1776       | GF SEC          | 43,698,205                                     | \$ 375,367,581                               |
| 1777       | FANTASIA        | 17,962,500                                     | \$ 25,147,500                                |
| 1778       | COLOUR LIFE     | 23,241,156                                     | \$ 85,527,454                                |
| 1787       | SD GOLD         | 4,387,098                                      | \$ 83,179,378                                |
| 1788       | GUOTAI JUNAN I  | 167,467,800                                    | \$ 222,732,174                               |
| 1789       | AK MEDICAL      | 3,399,172                                      | \$ 37,866,776                                |
| 1797       | KOOLEARN        | 30,049,141                                     | \$ 817,336,635                               |
| 1798       | DATANG RENEW    | 5,944,000                                      | \$ 4,398,560                                 |
| 1799       | XINTE ENERGY    | 0  | \$ 0   |
| 1800       | CHINA COMM CONS | 106,599,792                                    | \$ 588,430,852                               |
| 1801       | INNOVENT BIO-B  | 19,968,890                                     | \$ 599,066,700                               |
| 1806       | HUIFU PAYMENT   | 2,817,716                                      | \$ 7,354,239                                 |
| 1810       | XIAOMI-W        | 790,114,791                                    | \$ 9,102,122,392                             |
| 1811       | CGN NEW ENERGY  | 5,916,000                                      | \$ 5,797,680                                 |

## Aggregated Reportable Short Positions of Specified Shares as of 31/01/2020

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1813       | KWG GROUP       | 56,420,962                                     | \$ 574,365,393                               |
| 1816       | CGN POWER       | 258,333,036                                    | \$ 495,999,429                               |
| 1818       | ZHAOJIN MINING  | 105,872,844                                    | \$ 932,739,756                               |
| 1829       | CMEC            | 4,925,706                                      | \$ 14,284,547                                |
| 1833       | PA GOODDOCTOR   | 37,055,879                                     | \$ 2,484,596,687                             |
| 1836       | STELLA HOLDINGS | 1,356,217                                      | \$ 14,728,517                                |
| 1837       | NATURAL FOOD IH | 1,568,000                                      | \$ 1,787,520                                 |
| 1848       | CALC            | 1,645,000                                      | \$ 13,094,200                                |
| 1857       | CEB WATER       | 0  | \$ 0   |
| 1860       | MOBVISTA        | 810,601  | \$ 2,853,316                                 |
| 1862       | JINGRUI HLDGS   | 1,777,000                                      | \$ 4,087,100                                 |
| 1873       | VIVA BIOTECH    | 8,902,000                                      | \$ 33,916,620                                |
| 1876       | BUD APAC        | 140,194,242                                    | \$ 3,308,584,111                             |
| 1877       | JUNSHI BIO-B    | 2,184,400                                      | \$ 51,988,720                                |
| 1881       | REGAL REIT      | 0  | \$ 0   |
| 1882       | HAITIAN INT'L   | 8,658,501                                      | \$ 146,848,177                               |
| 1883       | CITIC TELECOM   | 12,208,662                                     | \$ 32,963,387                                |
| 1888       | KB LAMINATES    | 28,665,202                                     | \$ 231,041,528                               |
| 1890       | CHINA KEPEI     | 0  | \$ 0   |
| 1896       | MAOYAN ENT      | 4,474,600                                      | \$ 55,306,056                                |
| 1898       | CHINA COAL      | 20,264,652                                     | \$ 53,903,974                                |
| 1899       | XINGDA INT'L    | 962,746  | \$ 2,031,394                                 |
| 1907       | CHINA RISUN GP  | 0  | \$ 0   |
| 1908       | C&D INTL GROUP  | 1,756,000                                      | \$ 16,927,840                                |
| 1910       | SAMSONITE       | 54,691,604                                     | \$ 811,623,403                               |
| 1911       | CR HOLDINGS     | 254,872  | \$ 3,568,208                                 |
| 1913       | PRADA           | 25,885,321                                     | \$ 775,265,364                               |
| 1916       | JIANGXI BANK    | 0  | \$ 0   |
| 1918       | SUNAC           | 55,574,082                                     | \$ 2,111,815,116                             |
| 1919       | COSCO SHIP HOLD | 66,054,530                                     | \$ 184,952,684                               |
| 1928       | SANDS CHINA LTD | 69,985,295                                     | \$ 2,659,441,210                             |
| 1929       | CHOW TAI FOOK   | 14,133,166                                     | \$ 99,780,152                                |
| 1951       | JXR             | 10,278,000                                     | \$ 107,713,440                               |
| 1958       | BAIC MOTOR      | 52,783,213                                     | \$ 204,271,034                               |
| 1963       | BCQ             | 973,809  | \$ 4,041,307                                 |
| 1966       | CHINA SCE GROUP | 11,881,473                                     | \$ 48,951,669                                |
| 1970       | IMAX CHINA      | 2,035,200                                      | \$ 29,428,992                                |
| 1972       | SWIREPROPERTIES | 43,654,561                                     | \$ 1,060,805,832                             |
| 1988       | MINSHENG BANK   | 456,429,773                                    | \$ 2,492,106,561                             |
| 1992       | FOSUN TOURISM   | 408,200  | \$ 4,171,804                                 |
| 1995       | EVERSUNSHINE LS | 17,402,000                                     | \$ 108,414,460                               |

## Aggregated Reportable Short Positions of Specified Shares as of 31/01/2020

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1996       | RSUN PPT        | 0  | \$ 0   |
| 1997       | WHARF REIC      | 49,310,304                                     | \$ 1,997,067,312                             |
| 1999       | MAN WAH HLDGS   | 21,195,970                                     | \$ 115,306,077                               |
| 2001       | NEW HIGHER EDU  | 26,303,000                                     | \$ 70,492,040                                |
| 2003       | VCREDIT         | 329,400  | \$ 1,936,872                                 |
| 2005       | SSY GROUP       | 60,370,677                                     | \$ 432,857,754                               |
| 2006       | JINJIANGCAPITAL | 11,848,000                                     | \$ 15,520,880                                |
| 2007       | COUNTRY GARDEN  | 432,916,901                                    | \$ 4,311,852,334                             |
| 2009       | BBMG            | 115,933,249                                    | \$ 243,459,823                               |
| 2013       | WEIMOB INC      | 72,972,310                                     | \$ 303,564,810                               |
| 2016       | CZBANK          | 0  | \$ 0   |
| 2018       | AAC TECH        | 82,265,751                                     | \$ 4,586,315,618                             |
| 2019       | DEXIN CHINA     | 1,625,000                                      | \$ 4,891,250                                 |
| 2020       | ANTA SPORTS     | 21,647,694                                     | \$ 1,475,290,346                             |
| 2038       | FIH             | 197,232,180                                    | \$ 240,623,260                               |
| 2039       | CIMC            | 13,229,744                                     | \$ 90,888,341                                |
| 2048       | E-HOUSE ENT     | 5,168,586                                      | \$ 34,887,956                                |
| 2051       | 51 CREDIT CARD  | 7,753,500                                      | \$ 8,761,455                                 |
| 2066       | SHENGJINGBANK   | 0  | \$ 0   |
| 2098       | ZALL SMARTCOM   | 10,450,000                                     | \$ 7,315,000                                 |
| 2099       | CHINAGOLDINTL   | 132,800  | \$ 826,016                                   |
| 2128       | CHINA LESSO     | 28,319,554                                     | \$ 285,461,104                               |
| 2139       | BANK OF GANSU   | 0  | \$ 0   |
| 2186       | LUYE PHARMA     | 230,540,136                                    | \$ 1,161,922,285                             |
| 2196       | FOSUN PHARMA    | 45,402,541                                     | \$ 948,913,107                               |
| 2199       | REGINA MIRACLE  | 1,343,010                                      | \$ 6,271,857                                 |
| 2202       | CHINA VANKE     | 43,306,916                                     | \$ 1,195,270,882                             |
| 2208       | GOLDWIND        | 47,273,039                                     | \$ 389,057,111                               |
| 2222       | NVC INTL        | 32,016,000                                     | \$ 7,683,840                                 |
| 2232       | CRYSTAL INTL    | 3,905,057                                      | \$ 10,621,755                                |
| 2233       | WESTCHINACEMENT | 7,025,000                                      | \$ 8,851,500                                 |
| 2238       | GAC GROUP       | 405,509,313                                    | \$ 3,195,413,386                             |
| 2255       | HAICHANG HLDG   | 8,866,000                                      | \$ 6,294,860                                 |
| 2269       | WUXI BIO        | 13,050,863                                     | \$ 1,297,908,325                             |
| 2280       | HC GROUP        | 32,900,434                                     | \$ 66,787,881                                |
| 2282       | MGM CHINA       | 79,364,038                                     | \$ 874,591,699                               |
| 2298       | COSMO LADY      | 3,924,000                                      | \$ 4,002,480                                 |
| 2299       | BILLION IND     | 0  | \$ 0   |
| 2303       | HENGXING GOLD   | 826,000  | \$ 2,502,780                                 |
| 2313       | SHENZHOU INTL   | 13,803,422                                     | \$ 1,432,795,204                             |
| 2314       | LEE & MAN PAPER | 36,738,817                                     | \$ 199,491,776                               |

## Aggregated Reportable Short Positions of Specified Shares as of 31/01/2020

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 2318       | PING AN         | 1,132,394,746                                  | \$ 100,443,413,970                           |
| 2319       | MENGNU DAIRY    | 77,967,527                                     | \$ 2,245,464,778                             |
| 2322       | HK CHAOSHANG GP | 0  | \$ 0   |
| 2328       | PICC P&C        | 87,349,137                                     | \$ 732,859,259                               |
| 2329       | GUORUI PPT      | 6,133,046                                      | \$ 9,444,891                                 |
| 2331       | LI NING         | 24,110,895                                     | \$ 555,756,130                               |
| 2333       | GREATWALL MOTOR | 445,905,533                                    | \$ 2,318,708,772                             |
| 2338       | WEICHAI POWER   | 98,802,310                                     | \$ 1,363,471,878                             |
| 2342       | COMBA           | 87,448,447                                     | \$ 249,228,074                               |
| 2343       | PACIFIC BASIN   | 277,560,091                                    | \$ 391,359,728                               |
| 2356       | DAHSING BANKING | 506,400  | \$ 5,028,552                                 |
| 2357       | AVICHINA        | 73,113,308                                     | \$ 238,349,384                               |
| 2359       | WUXI APTEC      | 8,435,757                                      | \$ 798,866,188                               |
| 2362       | JINCHUAN INTL   | 31,035,000                                     | \$ 20,172,750                                |
| 2378       | PRU             | 0  | \$ 0   |
| 2380       | CHINA POWER     | 51,638,987                                     | \$ 77,974,870                                |
| 2382       | SUNNY OPTICAL   | 27,247,997                                     | \$ 3,452,321,220                             |
| 2383       | TOM GROUP       | 0  | \$ 0   |
| 2386       | SINOPEC SEG     | 9,373,098                                      | \$ 39,554,474                                |
| 2388       | BOC HONG KONG   | 43,198,064                                     | \$ 1,120,989,761                             |
| 2389       | BJ ENT M&H      | 5,868,000                                      | \$ 1,026,900                                 |
| 2399       | FORDOO          | 0  | \$ 0   |
| 2552       | HUA MEDICINE-B  | 0  | \$ 0   |
| 2588       | BOC AVIATION    | 9,621,625                                      | \$ 690,832,675                               |
| 2600       | CHALCO          | 140,100,312                                    | \$ 322,230,718                               |
| 2601       | CPIC            | 134,895,981                                    | \$ 3,527,529,903                             |
| 2607       | SH PHARMA       | 13,252,477                                     | \$ 192,425,966                               |
| 2608       | SUNSHINE 100    | 582,000  | \$ 820,620                                   |
| 2611       | GTJA            | 7,307,341                                      | \$ 91,195,616                                |
| 2616       | CSTONE PHARMA-B | 5,785,000                                      | \$ 62,593,700                                |
| 2628       | CHINA LIFE      | 90,877,925                                     | \$ 1,712,140,107                             |
| 2638       | HKELECTRIC-SS   | 93,101,027                                     | \$ 722,463,970                               |
| 2666       | UNI MEDICAL     | 32,162,031                                     | \$ 171,102,005                               |
| 2669       | CHINA OVS PPT   | 46,808,543                                     | \$ 222,808,665                               |
| 2678       | TEXHONG TEXTILE | 1,496,154                                      | \$ 12,238,540                                |
| 2688       | ENN ENERGY      | 11,520,866                                     | \$ 1,049,550,893                             |
| 2689       | ND PAPER        | 144,876,645                                    | \$ 1,074,984,706                             |
| 2727       | SH ELECTRIC     | 28,089,268                                     | \$ 64,886,209                                |
| 2768       | JIAYUAN INTL    | 18,729,594                                     | \$ 52,442,863                                |
| 2777       | R&F PROPERTIES  | 58,832,806                                     | \$ 696,580,423                               |
| 2778       | CHAMPION REIT   | 0  | \$ 0   |

## Aggregated Reportable Short Positions of Specified Shares as of 31/01/2020

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 2799       | CHINA HUARONG   | 180,682,371                                    | \$ 186,102,842                               |
| 2800       | TRACKER FUND    | 23,326,000                                     | \$ 618,139,000                               |
| 2801       | ISHARES CHINA   | 0  | \$ 0   |
| 2802       | ISHARES EM ASIA | 0  | \$ 0   |
| 2803       | PP BEDROCK      | 0  | \$ 0   |
| 2804       | PREMIA VIET     | 0  | \$ 0   |
| 2805       | VANGUARDASXJP   | 0  | \$ 0   |
| 2806       | GX CN CONSUME   | 0  | \$ 0   |
| 2809       | GX CN CLN EN    | 0  | \$ 0   |
| 2810       | PREMIAEMASEAN   | 0  | \$ 0   |
| 2811       | HT CSI300 ETF   | 0  | \$ 0   |
| 2812       | SAMUNGC DRAGON  | 0  | \$ 0   |
| 2813       | CAM CTPB BOND   | 0  | \$ 0   |
| 2819       | ABF HK IDX ETF  | 0  | \$ 0   |
| 2820       | GX CN BIOTECH   | 0  | \$ 0   |
| 2821       | ABF PAIF        | 0  | \$ 0   |
| 2822       | CSOP A50 ETF    | 36,013,000                                     | \$ 489,056,540                               |
| 2823       | ISHARES A50     | 131,577,526                                    | \$ 1,799,980,556                             |
| 2824       | LIPPO HK&M PROP | 0  | \$ 0   |
| 2825       | WISECSIHK100ETF | 0  | \$ 0   |
| 2826       | GX CN CLOUD     | 0  | \$ 0   |
| 2827       | WISE CSI300 ETF | 0  | \$ 0   |
| 2828       | HSCEI ETF       | 4,038,550                                      | \$ 418,797,635                               |
| 2832       | BOSERA FA50     | 0  | \$ 0   |
| 2833       | HS HSI ETF      | 0  | \$ 0   |
| 2834       | ISHARESND100    | 0  | \$ 0   |
| 2836       | ISHARES INDIA   | 0  | \$ 0   |
| 2838       | HS FCI50 ETF    | 0  | \$ 0   |
| 2840       | SPDR GOLD TRUST | 40,480   | \$ 46,835,360                                |
| 2843       | AMUNDI A50      | 0  | \$ 0   |
| 2845       | GX CN ELE VEH   | 0  | \$ 0   |
| 2846       | ISHARES CSI300  | 0  | \$ 0   |
| 2848       | TRMSCIKOREA     | 0  | \$ 0   |
| 2858       | YIXIN           | 28,132,059                                     | \$ 46,136,577                                |
| 2866       | COSCO SHIP DEV  | 20,873,887                                     | \$ 17,325,326                                |
| 2868       | BJ CAPITAL LAND | 14,625,512                                     | \$ 29,982,300                                |
| 2869       | GREENTOWN SER   | 47,940,828                                     | \$ 395,032,423                               |
| 2877       | SHINEWAY PHARM  | 4,377,000                                      | \$ 29,588,520                                |
| 2880       | DALIAN PORT     | 2,480,000                                      | \$ 2,108,000                                 |
| 2883       | CHINA OILFIELD  | 28,011,915                                     | \$ 318,775,593                               |
| 2888       | STANCHART       | 3,590,571                                      | \$ 236,259,572                               |

## Aggregated Reportable Short Positions of Specified Shares as of 31/01/2020

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 2899       | ZIJIN MINING    | 74,695,401                                     | \$ 254,711,317                               |
| 3007       | TRFXIC50        | 0  | \$ 0   |
| 3010       | ISHARES AXJ     | 0  | \$ 0   |
| 3011       | A ICBCCICUSD    | 0  | \$ 0   |
| 3012       | AMUNDI HK35     | 0  | \$ 0   |
| 3015       | X TRNIFTY50     | 0  | \$ 0   |
| 3016       | TRMSCIPHIL      | 0  | \$ 0   |
| 3019       | X TRMSCIWORLD   | 0  | \$ 0   |
| 3020       | X TRMSCIUSA     | 0  | \$ 0   |
| 3023       | PA AI&ROBOTICS  | 0  | \$ 0   |
| 3024       | WISE SSE50ETF   | 0  | \$ 0   |
| 3027       | X TRMSCIRUSSIA  | 0  | \$ 0   |
| 3036       | TRMSCITAIWAN    | 0  | \$ 0   |
| 3040       | GX MSCI CHINA   | 0  | \$ 0   |
| 3041       | VALUE KOREA ETF | 0  | \$ 0   |
| 3043       | TRMSCIPACEXJ    | 0  | \$ 0   |
| 3046       | VALUE CHINA ETF | 0  | \$ 0   |
| 3048       | TRMSCIBRAZIL    | 0  | \$ 0   |
| 3049       | X TRCSI300      | 0  | \$ 0   |
| 3053       | CSOP HKD MM     | 0  | \$ 0   |
| 3055       | TRMSCICHINA     | 0  | \$ 0   |
| 3060       | VALUE TW ETF    | 0  | \$ 0   |
| 3065       | TRMSCISG        | 0  | \$ 0   |
| 3070       | PING AN HKDIV   | 0  | \$ 0   |
| 3072       | NIKKOAM INET    | 0  | \$ 0   |
| 3073       | SPDR GREATCHINA | 0  | \$ 0   |
| 3074       | ISHARESMSCITW   | 0  | \$ 0   |
| 3077       | PREMIA UST      | 0  | \$ 0   |
| 3079       | CICC CGB        | 0  | \$ 0   |
| 3080       | PING AN CGB     | 0  | \$ 0   |
| 3081       | VALUEGOLD ETF   | 0  | \$ 0   |
| 3082       | TRMSCIMALAY     | 0  | \$ 0   |
| 3084       | VALUE JAPAN ETF | 0  | \$ 0   |
| 3085       | VANGUARDAXJHY   | 0  | \$ 0   |
| 3086       | BMO NASDAQ100   | 0  | \$ 0   |
| 3087       | X TRFTSEVIET    | 0  | \$ 0   |
| 3092       | TRMSCITHAI      | 0  | \$ 0   |
| 3093       | CICCSELECT100   | 0  | \$ 0   |
| 3095       | VALUE A SHARE   | 0  | \$ 0   |
| 3096       | CSOP USD MM     | 0  | \$ 0   |
| 3097       | F GX OIL        | 0  | \$ 0   |



## Aggregated Reportable Short Positions of Specified Shares as of 31/01/2020

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 3099       | X TRMSCIINDO    | 0  | \$ 0   |
| 3100       | EFUND CSI100    | 0  | \$ 0   |
| 3101       | VANGUARDDDVEUR  | 0  | \$ 0   |
| 3110       | GX HS HIGH DIV  | 0  | \$ 0   |
| 3115       | ISHARESHSI      | 0  | \$ 0   |
| 3118       | HGI MSCI CN A   | 0  | \$ 0   |
| 3121       | BMO AP REAL EST | 0  | \$ 0   |
| 3122       | CSOP RMB MM     | 0  | \$ 0   |
| 3126       | VANGUARDJAPAN   | 0  | \$ 0   |
| 3127       | GX CSI300       | 0  | \$ 0   |
| 3128       | HS AINDTOPETF   | 0  | \$ 0   |
| 3140       | VANGUARDSP500   | 0  | \$ 0   |
| 3141       | BMO ASIA IGB    | 0  | \$ 0   |
| 3143       | BMO HK BANKS    | 0  | \$ 0   |
| 3145       | BMO ASIA HIGHDV | 0  | \$ 0   |
| 3147       | CSOP CHINEXT    | 0  | \$ 0   |
| 3149       | CSOP MSCI       | 0  | \$ 0   |
| 3157       | CAM SCSMALLCAP  | 0  | \$ 0   |
| 3160       | BMO JAPAN HDG   | 0  | \$ 0   |
| 3163       | PA MULTI-FACTOR | 0  | \$ 0   |
| 3165       | BMO EU QLTY HDG | 0  | \$ 0   |
| 3166       | PA QLTY FACTOR  | 0  | \$ 0   |
| 3167       | ICBCCSOPCHINA   | 0  | \$ 0   |
| 3169       | VANGUARDCHINA   | 0  | \$ 0   |
| 3170       | ISHARESXS200    | 0  | \$ 0   |
| 3171       | COMSTAGE DAX    | 0  | \$ 0   |
| 3173       | PP CN NEWECON   | 0  | \$ 0   |
| 3175       | F SAMSUNG OIL   | 0  | \$ 0   |
| 3177       | COMSTAGE DIVDAX | 0  | \$ 0   |
| 3180       | CAM CES A80     | 0  | \$ 0   |
| 3181       | PREMIAASIA TEC  | 0  | \$ 0   |
| 3182       | WISE NEW ECON50 | 0  | \$ 0   |
| 3186       | CICCKRANECNET   | 0  | \$ 0   |
| 3188       | CAM CSI300      | 32,350,947                                     | \$ 1,365,209,963                             |
| 3197       | CAM MSCI AINC   | 0  | \$ 0   |
| 3198       | PING AN 5HANDL  | 0  | \$ 0   |
| 3199       | CSOP CTPBBOND   | 0  | \$ 0   |
| 3301       | RONSHINECHINA   | 10,272,500                                     | \$ 85,261,750                                |
| 3306       | JNBY            | 4,488,562                                      | \$ 40,845,914                                |
| 3308       | GOLDEN EAGLE    | 0  | \$ 0   |
| 3309       | C-MER EYE       | 5,790,000                                      | \$ 26,460,300                                |

**Aggregated Reportable Short Positions of Specified Shares as of 31/01/2020**

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 3311       | CHINA STATE CON | 72,762,278                                     | \$ 451,853,746                               |
| 3313       | ARTGO HOLDINGS  | 28,734,900                                     | \$ 6,551,557                                 |
| 3319       | A-LIVING        | 7,427,199                                      | \$ 193,478,534                               |
| 3320       | CHINARES PHARMA | 16,016,695                                     | \$ 104,589,018                               |
| 3323       | CNBM            | 47,025,954                                     | \$ 353,164,915                               |
| 3328       | BANKCOMM        | 58,242,086                                     | \$ 291,210,430                               |
| 3331       | VINDA INT'L     | 0  | \$ 0   |
| 3333       | EVERGRANDE      | 202,036,416                                    | \$ 3,507,352,182                             |
| 3336       | JU TENG INTL    | 2,750,000                                      | \$ 4,922,500                                 |
| 3337       | ANTON OILFIELD  | 115,195,287                                    | \$ 84,092,560                                |
| 3339       | LONKING         | 53,417,891                                     | \$ 110,040,855                               |
| 3360       | FE HORIZON      | 16,502,605                                     | \$ 113,867,975                               |
| 3377       | SINO-OCEAN GP   | 79,612,012                                     | \$ 224,505,874                               |
| 3380       | LOGAN PPT       | 26,721,721                                     | \$ 317,454,045                               |
| 3382       | TIANJINPORT DEV | 3,992,400                                      | \$ 2,794,680                                 |
| 3383       | AGILE GROUP     | 69,116,823                                     | \$ 714,667,950                               |
| 3393       | WASION HOLDINGS | 2,056,000                                      | \$ 6,353,040                                 |
| 3396       | LEGENDHOLDING   | 7,329,819                                      | \$ 106,428,972                               |
| 3606       | FUYAO GLASS     | 14,090,158                                     | \$ 315,619,539                               |
| 3613       | TONGRENTANGCM   | 7,296,980                                      | \$ 85,958,424                                |
| 3618       | CQRC BANK       | 40,200,126                                     | \$ 143,916,451                               |
| 3633       | ZHONGYU GAS     | 5,981,900                                      | \$ 41,873,300                                |
| 3662       | AOYUAN HEALTHY  | 1,781,849                                      | \$ 9,889,262                                 |
| 3668       | YANCOAL AUS     | 0  | \$ 0   |
| 3669       | YONGDA AUTO     | 8,275,710                                      | \$ 68,853,907                                |
| 3690       | MEITUAN-W       | 39,443,621                                     | \$ 3,916,751,565                             |
| 3692       | HANSOH PHARMA   | 24,809,119                                     | \$ 694,655,332                               |
| 3709       | EEKA FASHION    | 0  | \$ 0   |
| 3799       | DALI FOODS      | 54,641,624                                     | \$ 299,982,516                               |
| 3800       | GCL-POLY ENERGY | 1,150,584,450                                  | \$ 345,175,335                               |
| 3808       | SINOTRUK        | 69,032,307                                     | \$ 931,936,145                               |
| 3813       | POU SHENG INT'L | 5,070,967                                      | \$ 11,764,643                                |
| 3818       | CHINA DONGXIANG | 15,002,902                                     | \$ 12,002,322                                |
| 3836       | HARMONY AUTO    | 12,086,794                                     | \$ 48,347,176                                |
| 3866       | BQD             | 0  | \$ 0   |
| 3868       | XINYI ENERGY    | 2,160,000                                      | \$ 4,320,000                                 |
| 3877       | CSSC SHIPPING   | 0  | \$ 0   |
| 3883       | CHINA AOYUAN    | 26,725,867                                     | \$ 281,156,121                               |
| 3888       | KINGSOFT        | 126,338,124                                    | \$ 3,013,164,257                             |
| 3898       | CRRC TIMES ELEC | 11,222,780                                     | \$ 295,159,114                               |
| 3899       | CIMC ENRIC      | 15,152,164                                     | \$ 61,063,221                                |

**Aggregated Reportable Short Positions of Specified Shares as of 31/01/2020**

| Stock Code | Stock Name        | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-------------------|--|--|
| 3900       | GREENTOWN CHINA   | 44,738,046                                     | \$ 416,958,589                               |
| 3908       | CICC              | 155,516,330                                    | \$ 2,118,132,415                             |
| 3918       | NAGACORP          | 1,138,000                                      | \$ 12,609,040                                |
| 3933       | UNITED LAB        | 108,878,192                                    | \$ 558,545,125                               |
| 3958       | DFZQ              | 7,754,400                                      | \$ 33,499,008                                |
| 3968       | CM BANK           | 89,207,318                                     | \$ 3,380,957,352                             |
| 3969       | CHINA CRSC        | 37,849,090                                     | \$ 142,691,069                               |
| 3983       | CHINA BLUECHEM    | 13,062,274                                     | \$ 21,683,375                                |
| 3988       | BANK OF CHINA     | 150,956,422                                    | \$ 457,397,959                               |
| 3990       | MIDEA REAL EST    | 1,429,359                                      | \$ 31,302,962                                |
| 3993       | CMOC              | 330,747,853                                    | \$ 959,168,774                               |
| 3996       | CH ENERGY ENG     | 0  | \$ 0   |
| 3998       | BOSIDENG          | 413,211,450                                    | \$ 1,066,085,541                             |
| 4332       | AMGEN-T           | 0  | \$ 0   |
| 4333       | CISCO-T           | 0  | \$ 0   |
| 4335       | INTEL-T           | 0  | \$ 0   |
| 4336       | APPL MATERIAL-T   | 0  | \$ 0   |
| 4337       | STARBUCKS-T       | 0  | \$ 0   |
| 4338       | MICROSOFT-T       | 0  | \$ 0   |
| 6030       | CITIC SEC         | 236,325,321                                    | \$ 3,582,691,866                             |
| 6055       | CTIHK             | 8,107,000                                      | \$ 121,605,000                               |
| 6060       | ZA ONLINE         | 56,167,091                                     | \$ 1,426,644,111                             |
| 6066       | CSC               | 9,457,218                                      | \$ 58,161,891                                |
| 6068       | WISDOM EDU INTL   | 4,666,000                                      | \$ 13,811,360                                |
| 6069       | SHENGYE CAPITAL   | 2,800,000                                      | \$ 19,516,000                                |
| 6088       | FIT HON TENG      | 15,355,000                                     | \$ 37,005,550                                |
| 6098       | CG SERVICES       | 67,963,915                                     | \$ 1,726,283,441                             |
| 6099       | CMSC              | 526,800  | \$ 4,730,664                                 |
| 6100       | TALENT LIEPIN     | 1,253,200                                      | \$ 21,655,296                                |
| 6122       | JIUTAI RCB        | 0  | \$ 0   |
| 6138       | HARBIN BANK       | 0  | \$ 0   |
| 6139       | JINMAO HOTEL-SS   | 0  | \$ 0   |
| 6158       | ZHENRO PPT        | 17,788,000                                     | \$ 85,560,280                                |
| 6160       | BEIGENE           | 0  | \$ 0   |
| 6166       | CHINA VAST        | 0  | \$ 0   |
| 6169       | YUHUA EDU         | 146,134,652                                    | \$ 793,511,160                               |
| 6178       | EB SECURITIES     | 2,552,600                                      | \$ 13,681,936                                |
| 6185       | CANSINO BIO-B     | 890,200  | \$ 56,305,150                                |
| 6196       | BANK OF ZHENGZHOU | 350,000  | \$ 847,000                                   |
| 6198       | QINGDAO PORT      | 4,324,764                                      | \$ 21,883,306                                |
| 6806       | SWHY              | 844,800  | \$ 1,545,984                                 |

## Aggregated Reportable Short Positions of Specified Shares as of 31/01/2020

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 6808       | SUNART RETAIL   | 60,268,141                                     | \$ 558,685,667                               |
| 6818       | CEB BANK        | 28,102,439                                     | \$ 87,960,634                                |
| 6823       | HKT-SS          | 25,089,616                                     | \$ 292,043,130                               |
| 6826       | HAOHAI BIOTEC   | 477,600  | \$ 18,530,880                                |
| 6837       | HAITONG SEC     | 77,092,326                                     | \$ 586,672,601                               |
| 6862       | HAIDILAO        | 61,740,422                                     | \$ 1,852,212,660                             |
| 6865       | FLAT GLASS      | 3,712,000                                      | \$ 18,411,520                                |
| 6868       | TENFU           | 0  | \$ 0   |
| 6869       | YOFC            | 29,417,266                                     | \$ 393,014,674                               |
| 6881       | CGS             | 135,887,559                                    | \$ 529,961,480                               |
| 6886       | HTSC            | 99,792,475                                     | \$ 1,231,439,142                             |
| 6889       | DYNAM JAPAN     | 0  | \$ 0   |
| 7200       | FL2 CSOP HSI    | 0  | \$ 0   |
| 7205       | FL2SAMSUNGHSI   | 0  | \$ 0   |
| 7221       | FL2CAMDXNHSI    | 0  | \$ 0   |
| 7228       | FL2SAMSUNGHSCEI | 0  | \$ 0   |
| 7230       | FL2 GX HSCEI    | 0  | \$ 0   |
| 7231       | FL2 GX HSI      | 0  | \$ 0   |
| 7242       | FL2EFUND YT HSI | 0  | \$ 0   |
| 7261       | FL2CAMDXNNDQ100 | 0  | \$ 0   |
| 7267       | FL2CAMDXNHSCEI  | 0  | \$ 0   |
| 7288       | FL2 CSOP HSCEI  | 0  | \$ 0   |
| 7300       | FI CSOP HSI     | 0  | \$ 0   |
| 7302       | FI EFUND YT HSI | 0  | \$ 0   |
| 7312       | FISAMSUNGHSI    | 0  | \$ 0   |
| 7321       | FICAMDXNHSI     | 0  | \$ 0   |
| 7322       | XI GX S&P500    | 0  | \$ 0   |
| 7328       | FISAMSUNGHSCEI  | 0  | \$ 0   |
| 7331       | FICAMDXNNDQ100  | 0  | \$ 0   |
| 7336       | FI GX HSI       | 0  | \$ 0   |
| 7341       | FICAMDXNHSCEI   | 0  | \$ 0   |
| 7362       | FI GX HSCEI     | 0  | \$ 0   |
| 7500       | FI2 CSOP HSI    | 0  | \$ 0   |
| 7522       | FI2CAMDXNNDQ100 | 0  | \$ 0   |
| 7568       | FI2CSOPNASDAQ   | 0  | \$ 0   |
| 7588       | FI2 CSOP HSCEI  | 0  | \$ 0   |
| 8032       | VIVA CHINA      | 0  | \$ 0   |
| 8083       | CHINA YOUZAN    | 245,564,000                                    | \$ 139,971,480                               |
| 8137       | HONBRIDGE       | 17,642,624                                     | \$ 5,557,427                                 |
| 8227       | HAITIAN ANTENNA | 0  | \$ 0   |
| 8283       | ZHENG LI HLDG   | 1,455,000                                      | \$ 574,725                                   |

## Aggregated Reportable Short Positions of Specified Shares as of 31/01/2020

| Stock Code | Stock Name       | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|------------------|--|--|
| 9010       | ISHARES AXJ-U    | 0  | \$ 0   |
| 9011       | A ICBCICUSD-U    | 0  | \$ 0   |
| 9072       | NIKKOAM INET-U   | 0  | \$ 0   |
| 9074       | ISHARESMSCITW-U  | 0  | \$ 0   |
| 9077       | PREMIA UST-U     | 0  | \$ 0   |
| 9078       | PREMIA UST A-U   | 0  | \$ 0   |
| 9081       | VALUEGOLD ETF-U  | 0  | \$ 0   |
| 9085       | VANGUARDAXJHY-U  | 0  | \$ 0   |
| 9086       | BMO NASDAQ100-U  | 0  | \$ 0   |
| 9096       | CSOP USD MM-U    | 0  | \$ 0   |
| 9101       | VANGUARDDEUR-U   | 0  | \$ 0   |
| 9115       | ISHARESHSI-U     | 0  | \$ 0   |
| 9126       | VANGUARDJAPAN-U  | 0  | \$ 0   |
| 9140       | VANGUARDSP500-U  | 0  | \$ 0   |
| 9141       | BMO ASIA IGB-U   | 0  | \$ 0   |
| 9167       | ICBCCSOPCHINA-U  | 0  | \$ 0   |
| 9169       | VANGUARDCHINA-U  | 0  | \$ 0   |
| 9170       | ISHARES K200-U   | 0  | \$ 0   |
| 9173       | PP CN NEWCON-U   | 0  | \$ 0   |
| 9181       | PREMIAASIA TEC-U | 0  | \$ 0   |
| 9186       | CICCKRANECNET-U  | 0  | \$ 0   |
| 9199       | CSOP CTPBOND-U   | 0  | \$ 0   |
| 9803       | PP BEDROCK-U     | 0  | \$ 0   |
| 9804       | PREMIA VIET-U    | 0  | \$ 0   |
| 9805       | VANGUARDASXJP-U  | 0  | \$ 0   |
| 9806       | GX CN CONSUME-U  | 0  | \$ 0   |
| 9809       | GX CN CLN EN-U   | 0  | \$ 0   |
| 9810       | PREMIAEMASEAN-U  | 0  | \$ 0   |
| 9820       | GX CN BIOTECH-U  | 0  | \$ 0   |
| 9826       | GX CN CLOUD-U    | 0  | \$ 0   |
| 9834       | ISHARESND100-U   | 0  | \$ 0   |
| 9836       | ISHARES INDIA-U  | 0  | \$ 0   |
| 9845       | GX CN ELE VEH-U  | 0  | \$ 0   |
| 9846       | ISHARESCSI300-U  | 0  | \$ 0   |
| 9988       | BABA-SW          | 43,566,628                                     | \$ 8,730,752,251                             |
| 80737      | BAY AREA DEV-R   | 0  | \$ 0   |
| 82805      | VANGUARDASXJP-R  | 0  | \$ 0   |
| 82811      | HT CSI300 ETF-R  | 0  | \$ 0   |
| 82813      | CAM CTPB BOND-R  | 0  | \$ 0   |
| 82822      | CSOP A50 ETF-R   | 0  | \$ 0   |
| 82823      | ISHARES A50-R    | 258,000  | \$ 3,519,120                                 |

## Aggregated Reportable Short Positions of Specified Shares as of 31/01/2020

| Stock Code | Stock Name       | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|------------------|--|--|
| 82828      | HSCEI ETF-R      | 0  | \$ 0   |
| 82832      | BOSERA FA50-R    | 0  | \$ 0   |
| 82833      | HS HSI ETF-R     | 0  | \$ 0   |
| 82834      | ISHARESND100-R   | 0  | \$ 0   |
| 82836      | ISHARES INDIA-R  | 0  | \$ 0   |
| 82843      | AMUNDI A50-R     | 0  | \$ 0   |
| 82846      | ISHARESCSI300-R  | 0  | \$ 0   |
| 83010      | ISHARES AXJ-R    | 0  | \$ 0   |
| 83012      | AMUNDI HK35-R    | 0  | \$ 0   |
| 83053      | CSOP HKD MM-R    | 0  | \$ 0   |
| 83074      | ISHARESMSCITW-R  | 0  | \$ 0   |
| 83079      | CICC CGB-R       | 0  | \$ 0   |
| 83081      | VALUEGOLD ETF-R  | 0  | \$ 0   |
| 83085      | VANGUARDAXJHY-R  | 0  | \$ 0   |
| 83093      | CICCSELECT100-R  | 0  | \$ 0   |
| 83095      | VALUE A SHARE-R  | 0  | \$ 0   |
| 83100      | EFUND CSI100-R   | 0  | \$ 0   |
| 83101      | VANGUARDDDVEUR-R | 0  | \$ 0   |
| 83115      | ISHARESHSI-R     | 0  | \$ 0   |
| 83118      | HGI MSCI CN A-R  | 0  | \$ 0   |
| 83122      | CSOP RMB MM-R    | 0  | \$ 0   |
| 83126      | VANGUARDJAPAN-R  | 0  | \$ 0   |
| 83127      | GX CSI300-R      | 0  | \$ 0   |
| 83128      | HS AINDTOPETF-R  | 0  | \$ 0   |
| 83140      | VANGUARDSP500-R  | 0  | \$ 0   |
| 83147      | CSOP CHINEXT-R   | 0  | \$ 0   |
| 83149      | CSOP MSCI-R      | 0  | \$ 0   |
| 83167      | ICBCCSOPCHINA-R  | 0  | \$ 0   |
| 83168      | HS RMB GOLD ETF  | 0  | \$ 0   |
| 83169      | VANGUARDCHINA-R  | 0  | \$ 0   |
| 83170      | ISHARESXS200-R   | 0  | \$ 0   |
| 83180      | CAM CES A80-R    | 0  | \$ 0   |
| 83186      | CICCKRANECNET-R  | 0  | \$ 0   |
| 83188      | CAM CSI300-R     | 0  | \$ 0   |
| 83197      | CAM MSCI AINC-R  | 0  | \$ 0   |
| 83199      | CSOP CTPBBOND-R  | 0  | \$ 0   |
| 87001      | HUI XIAN REIT    | 0  | \$ 0   |