

## Aggregated Reportable Short Positions of Specified Shares as of 03/04/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	11,470,506	\$ 602,201,565
2	CLP HOLDINGS	20,124,397	\$ 1,509,329,775
3	HK & CHINA GAS	336,132,100	\$ 4,389,885,226
4	WHARF HOLDINGS	57,892,463	\$ 798,915,989
5	HSBC HOLDINGS	129,578,989	\$ 4,911,043,683
6	POWER ASSETS	25,365,907	\$ 1,198,539,106
8	PCCW	33,541,588	\$ 147,582,987
10	HANG LUNG GROUP	5,023,118	\$ 82,780,985
11	HANG SENG BANK	39,839,876	\$ 5,346,511,359
12	HENDERSON LAND	25,889,250	\$ 777,971,963
14	HYSAN DEV	8,618,859	\$ 215,471,475
16	SHK PPT	24,558,369	\$ 2,512,321,149
17	NEW WORLD DEV	82,933,763	\$ 702,448,973
19	SWIRE PACIFIC A	20,007,188	\$ 962,345,743
20	WHEELLOCK	1,803,298	\$ 94,132,156
23	BANK OF E ASIA	40,239,257	\$ 643,828,112
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	52,976,503	\$ 2,172,036,623
28	TIAN AN	0	\$ 0
29	DYNAMIC HOLD	0	\$ 0
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	1,189,355	\$ 3,068,536
41	GREAT EAGLE H	717,147	\$ 13,912,652
43	C.P. POKPHAND	0	\$ 0
45	HK&S HOTELS	3,398,255	\$ 21,001,216
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	1,419,392	\$ 21,517,983
53	GUOCO GROUP	0	\$ 0
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	0	\$ 0
66	MTR CORPORATION	45,295,815	\$ 1,870,717,160
69	SHANGRI-LA ASIA	34,251,412	\$ 177,079,800
71	MIRAMAR HOTEL	0	\$ 0
75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	381,007	\$ 1,162,071
81	CH OVS G OCEANS	6,301,846	\$ 27,791,141
83	SINO LAND	44,356,135	\$ 430,254,510

## Aggregated Reportable Short Positions of Specified Shares as of 03/04/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	0	\$ 0
95	LVGEM CHINA	10,538,000	\$ 22,867,460
101	HANG LUNG PPT	67,261,173	\$ 1,041,202,958
102	SUMMIT ASCENT	2,510,161	\$ 1,455,893
105	ASSO INT HOTELS	0	\$ 0
106	LANDSEA PPT	0	\$ 0
107	SICHUAN EXPRESS	1,160,000	\$ 2,076,400
116	CHOW SANG SANG	4,238,137	\$ 30,302,680
119	POLY PROPERTY	8,357,448	\$ 21,227,918
120	COSMOPOL INT'L	0	\$ 0
123	YUEXIU PROPERTY	13,997,060	\$ 19,875,825
127	CHINESE EST H	1,875,076	\$ 6,394,009
133	CHINA MERCHANTS	134,000	\$ 1,204,660
135	KUNLUN ENERGY	28,609,891	\$ 130,747,202
136	HENG TEN NET	1,003,310,749	\$ 74,244,995
142	FIRST PACIFIC	13,811,400	\$ 20,717,100
144	CHINA MER PORT	23,389,588	\$ 201,852,144
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD HLDG	9,237,221	\$ 163,683,556
151	WANT WANT CHINA	393,802,744	\$ 2,091,092,571
152	SHENZHEN INT'L	6,267,007	\$ 86,986,057
158	MELBOURNE ENT	0	\$ 0
161	AVIC IHL	0	\$ 0
163	EMPEROR INT'L	1,934,816	\$ 2,670,046
165	CHINA EB LTD	25,017,209	\$ 264,181,727
168	TSINGTAO BREW	12,674,972	\$ 506,998,880
171	SILVER GRANT	9,700,000	\$ 9,700,000
173	K. WAH INT'L	5,222,409	\$ 16,607,261
175	GEELY AUTO	182,592,597	\$ 1,986,607,455
177	JIANGSU EXPRESS	8,867,115	\$ 69,961,537
178	SA SA INT'L	123,328,095	\$ 138,127,466
179	JOHNSON ELEC H	3,776,645	\$ 44,111,214
182	CONCORD NE	9,190,000	\$ 2,711,050
189	DONGYUE GROUP	40,569,487	\$ 117,651,512
194	LIU CHONG HING	0	\$ 0
196	HONGHUA GROUP	2,653,000	\$ 647,332
200	MELCO INT'L DEV	21,739,292	\$ 240,001,784
207	JOY CITY PPT	0	\$ 0
208	POLYTEC ASSET	0	\$ 0
220	U-PRESID CHINA	15,412,638	\$ 114,670,027

## Aggregated Reportable Short Positions of Specified Shares as of 03/04/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
225	POKFULAM	0	\$ 0
228	CHINA ENERGY	6,608,000	\$ 925,120
230	MINMETALS LAND	1,018,000	\$ 1,007,820
241	ALI HEALTH	104,820,271	\$ 1,490,544,254
242	SHUN TAK HOLD	25,496,399	\$ 66,290,637
245	CN MINSHENG FIN	0	\$ 0
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	0	\$ 0
256	CITYCHAMP	6,256,000	\$ 10,197,280
257	CHINA EB INT'L	79,593,522	\$ 344,639,950
261	GBA INV HLDGS	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	26,487,657	\$ 201,571,070
268	KINGDEE INT'L	231,921,840	\$ 2,407,348,699
270	GUANGDONG INV	15,823,793	\$ 237,356,895
272	SHUI ON LAND	41,245,299	\$ 53,618,889
273	MASON GP HOLD	19,400,000	\$ 620,800
285	BYD ELECTRONIC	103,354,045	\$ 1,289,858,482
286	AIDIGONG	5,954,000	\$ 2,828,150
288	WH GROUP	170,605,002	\$ 1,243,710,465
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	30,428,168	\$ 1,023,907,853
293	CATHAY PAC AIR	72,750,450	\$ 595,826,186
297	SINOFERT	25,030,000	\$ 16,770,100
302	CMGE	0	\$ 0
303	VTECH HOLDINGS	3,537,234	\$ 195,609,040
308	CHINA TRAVEL HK	43,516,328	\$ 40,035,022
315	SMARTONE TELE	4,020,510	\$ 19,579,884
316	OOIL	0	\$ 0
317	COMEC	3,666,456	\$ 16,865,698
321	TEXWINCA HOLD	3,310,000	\$ 4,038,200
322	TINGYI	68,414,052	\$ 878,436,428
323	MAANSHAN IRON	72,889,796	\$ 170,562,123
327	PAX GLOBAL	12,157,468	\$ 34,891,933
330	ESPRIT HOLDINGS	47,482,249	\$ 27,539,704
336	HUABAO INTL	10,228,292	\$ 28,639,218
337	GREENLAND HK	4,339,180	\$ 12,410,055
338	SHANGHAI PEICHEM	90,262,876	\$ 172,402,093
341	CAFE DE CORAL H	5,747,029	\$ 80,113,584
345	VITASOY INT'L	90,360,604	\$ 2,177,690,556
347	ANGANG STEEL	94,536,263	\$ 190,017,889

## Aggregated Reportable Short Positions of Specified Shares as of 03/04/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
354	CHINASOFT INT'L	282,283,833	\$ 1,148,895,200
358	JIANGXI COPPER	11,516,279	\$ 81,304,930
363	SHANGHAI IND H	3,834,803	\$ 45,634,156
367	CHUANG'S INT'L	0	\$ 0
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	170,131,217	\$ 510,393,651
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
383	CHINA MED&HCARE	15,160,000	\$ 2,046,600
384	CHINA GAS HOLD	132,673,299	\$ 3,409,703,784
386	SINOPEC CORP	370,501,390	\$ 1,456,070,463
388	HKEX	18,226,204	\$ 4,308,674,626
390	CHINA RAILWAY	63,437,422	\$ 274,049,663
392	BEIJING ENT	2,201,000	\$ 57,336,050
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	46,571,384	\$ 181,628,398
412	CHINA SDHS FIN	13,962,000	\$ 6,282,900
425	MINTH GROUP	35,800,222	\$ 589,271,654
435	SUNLIGHT REIT	0	\$ 0
440	DAH SING	1,133,676	\$ 23,920,564
445	CIMC-TIANDA	0	\$ 0
451	GCL NEWENERGY	63,346,373	\$ 6,651,369
460	SIHUAN PHARM	116,670,898	\$ 86,336,465
467	UNITEDENERGY GP	8,224,000	\$ 12,500,480
468	GAPACK	947,000	\$ 2,291,740
480	HKR INT'L	319,200	\$ 973,560
486	RUSAL	23,412,000	\$ 58,764,120
488	LAI SUN DEV	0	\$ 0
489	DONGFENG GROUP	64,752,523	\$ 295,919,030
493	GOME RETAIL	1,900,868,487	\$ 1,292,590,571
494	LI & FUNG	158,712,002	\$ 174,583,202
496	KASEN	25,088,000	\$ 34,370,560
497	CSI PROPERTIES	3,000,000	\$ 675,000
506	CHINA FOODS	2,856,000	\$ 7,596,960
508	DINGYI GP INV	16,060,000	\$ 3,131,700
511	TVB	4,697,051	\$ 42,978,017
512	CHINAGRANDPHARM	764,000	\$ 3,575,520
517	COSCO SHIP INTL	10,749,476	\$ 22,681,394
520	XIABUXIABU	19,056,000	\$ 107,666,400
522	ASM PACIFIC	8,140,602	\$ 576,354,622
525	GUANGSHEN RAIL	29,480,445	\$ 46,873,908

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
526	CHINA AUTO NR	0	\$ 0
530	GOLDIN FIN HOLD	4,524,000	\$ 6,197,880
535	GEMDALE PPT	41,380,000	\$ 51,725,000
538	AJISEN (CHINA)	1,174,830	\$ 2,173,436
546	FUFENG GROUP	23,687,092	\$ 56,612,150
547	DIGITAL DOMAIN	229,319,457	\$ 9,860,737
548	SHENZHENEXPRESS	33,670,076	\$ 264,646,797
551	YUE YUEN IND	24,212,186	\$ 271,176,483
552	CHINACOMSERVICE	40,581,582	\$ 233,344,097
563	SH IND URBAN	4,779,437	\$ 3,345,606
570	TRAD CHI MED	54,573,381	\$ 181,729,359
576	ZHEJIANGEXPRESS	9,424,384	\$ 48,629,821
579	JNCEC	3,497,070	\$ 3,986,660
581	CHINA ORIENTAL	27,812,333	\$ 59,796,516
586	CONCH VENTURE	33,554,638	\$ 1,134,146,764
588	BEIJING N STAR	2,922,000	\$ 5,171,940
590	LUK FOOK HOLD	2,781,178	\$ 42,051,411
596	INSPUR INT'L	16,834,701	\$ 40,571,629
598	SINOTRANS	80,030,770	\$ 139,253,540
603	CHINA OIL & GAS	3,137,920	\$ 756,239
604	SHENZHEN INVEST	28,763,785	\$ 68,170,170
607	FULLSHARE	319,894,622	\$ 38,387,355
622	OSHIDORI	18,811,800	\$ 12,227,670
631	SANY INT'L	14,710,956	\$ 55,607,414
636	KERRY LOG NET	2,393,470	\$ 23,767,157
639	SHOUGANG RES	12,868,538	\$ 17,629,897
656	FOSUN INTL	61,022,188	\$ 503,433,051
658	C TRANSMISSION	27,390,869	\$ 126,819,723
659	NWS HOLDINGS	25,788,128	\$ 203,468,330
662	ASIA FINANCIAL	0	\$ 0
665	HAITONG INT'L	139,881,297	\$ 278,363,781
667	CHINA EAST EDU	5,979,395	\$ 65,294,993
669	TECHTRONIC IND	43,496,535	\$ 2,105,232,294
670	CHINA EAST AIR	177,166,570	\$ 465,948,079
678	GENTING HK	1,747,000	\$ 593,980
680	NAN HAI CORP	0	\$ 0
683	KERRY PPT	10,522,193	\$ 218,861,614
686	PANDA GREEN	68,346,300	\$ 10,935,408
687	TYSAN HOLDINGS	0	\$ 0
688	CHINA OVERSEAS	50,195,164	\$ 1,254,879,100
691	SHANSHUI CEMENT	1,558,700	\$ 3,397,966

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694	BEIJING AIRPORT	22,768,429	\$ 104,051,721
696	TRAVELSKY TECH	17,013,823	\$ 226,624,122
697	SHOUGANG INT'L	4,624,096	\$ 5,872,602
698	TONGDA GROUP	102,243,486	\$ 46,009,569
699	CAR INC	74,442,962	\$ 145,908,206
700	TENCENT	55,222,331	\$ 20,785,685,388
708	EVERG HEALTH	3,205,000	\$ 18,941,550
709	GIORDANO INT'L	23,784,747	\$ 32,585,103
710	BOE VARITRONIX	1,047,000	\$ 2,198,700
716	SINGAMAS CONT	10,710,836	\$ 4,337,889
728	CHINA TELECOM	138,163,102	\$ 346,789,386
732	TRULY INT'L	38,932,000	\$ 34,260,160
737	BAY AREA DEV	626,000	\$ 1,696,460
743	ASIA CEMENT CH	3,292,400	\$ 24,758,848
751	SKYWORTH GROUP	53,831,670	\$ 86,668,989
752	PICO FAR EAST	446,000	\$ 579,800
753	AIR CHINA	188,104,886	\$ 912,308,697
754	HOPSON DEV HOLD	1,366,000	\$ 9,288,800
762	CHINA UNICOM	83,516,730	\$ 404,220,973
763	ZTE	46,961,783	\$ 1,127,082,792
772	CHINA LIT	14,363,920	\$ 445,999,716
777	NETDRAGON	5,692,560	\$ 109,638,706
778	FORTUNE REIT	0	\$ 0
780	TONGCHENG-ELONG	35,846,120	\$ 376,384,260
788	CHINA TOWER	1,063,252,551	\$ 1,796,896,811
798	CEOVU	0	\$ 0
799	IGG	107,466,253	\$ 498,643,414
806	VALUE PARTNERS	29,783,402	\$ 97,987,393
807	SIICENVIRONMENT	1,962,200	\$ 2,433,128
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	367,000	\$ 1,835,000
813	SHIMAO PROPERTY	20,659,234	\$ 577,425,590
816	HUADIAN FUXIN	19,662,745	\$ 24,971,686
817	CHINA JINMAO	129,217,654	\$ 659,010,035
818	HI SUN TECH	2,793,000	\$ 2,346,120
819	TIANNENG POWER	130,012,818	\$ 757,974,729
823	LINK REIT	14,794,196	\$ 938,691,736
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	0	\$ 0
836	CHINA RES POWER	8,420,934	\$ 69,472,706
839	CHINA EDU GROUP	40,663,133	\$ 426,149,634

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853	MICROPORT	26,643,212	\$ 408,706,872
855	CHINA WATER	62,759,214	\$ 362,748,257
856	VSTECs	4,871,759	\$ 17,294,744
857	PETROCHINA	409,452,706	\$ 1,183,318,320
860	WE SOLUTIONS	22,333,535	\$ 9,156,749
861	DC HOLDINGS	5,806,000	\$ 19,798,460
867	CMS	14,003,107	\$ 124,627,652
868	XINYI GLASS	82,534,278	\$ 719,698,904
874	BAIYUNSHAN PH	7,778,815	\$ 159,076,767
877	O-NET TECH GP	7,345,992	\$ 34,966,922
880	SJM HOLDINGS	107,131,958	\$ 694,215,088
881	ZHONGSHENG HLDG	184,614,832	\$ 5,067,677,138
882	TIANJIN DEV	1,288,500	\$ 1,894,095
883	CNOOC	252,840,913	\$ 2,164,318,215
884	CIFI HOLD GP	95,615,890	\$ 535,448,984
895	DONGJIANG ENV	1,127,400	\$ 6,054,138
902	HUANENG POWER	139,275,416	\$ 363,508,836
906	COFCO PACKAGING	15,893,822	\$ 39,734,555
914	CONCH CEMENT	31,664,713	\$ 1,701,978,324
916	CHINA LONGYUAN	39,586,566	\$ 152,804,145
921	HISENSE HA	9,015,000	\$ 63,105,000
934	SINOPEC KANTONS	27,326,995	\$ 80,614,635
939	CCB	999,996,722	\$ 6,129,979,906
941	CHINA MOBILE	51,046,698	\$ 3,095,982,234
950	LEE'S PHARM	5,599,476	\$ 19,878,140
951	CHAOWEI POWER	1,718,000	\$ 3,264,200
956	CHINA SUNTIEN	5,085,000	\$ 7,322,400
960	LONGFOR GROUP	41,484,513	\$ 1,576,411,494
966	CHINA TAIPING	52,254,174	\$ 647,951,758
968	XINYI SOLAR	105,244,111	\$ 460,969,206
973	L'OCCITANE	4,781,800	\$ 51,452,168
978	CHI MER LAND	0	\$ 0
981	SMIC	483,038,527	\$ 5,883,409,259
991	DATANG POWER	76,739,365	\$ 80,576,333
992	LENOVO GROUP	412,017,039	\$ 1,652,188,326
995	ANHUIEXPRESSWAY	2,326,000	\$ 8,606,200
998	CITIC BANK	73,441,713	\$ 271,734,338
999	I.T	540,000	\$ 712,800
1003	HUANXI MEDIA	1,170,000	\$ 1,626,300
1030	SEAZEN	152,255,716	\$ 1,070,357,683
1031	KINGSTON FIN	0	\$ 0

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1033	SINOPEC SSC	9,769,000	\$ 6,154,470
1038	CKI HOLDINGS	4,414,309	\$ 186,504,555
1044	HENGAN INT'L	35,079,323	\$ 2,141,592,669
1045	APT SATELLITE	0	\$ 0
1052	YUEXIUTRANSPORT	1,728,000	\$ 7,896,960
1055	CHINA SOUTH AIR	263,935,330	\$ 868,347,236
1060	ALI PICTURES	585,196,084	\$ 579,344,123
1061	ESSEX BIO-TECH	688,000	\$ 2,903,360
1066	WEIGAO GROUP	51,460,163	\$ 496,075,971
1068	YURUN FOOD	23,768,000	\$ 15,211,520
1070	TCL ELECTRONICS	2,254,953	\$ 7,103,102
1071	HUADIAN POWER	47,154,617	\$ 104,683,250
1072	DONGFANG ELEC	2,360,051	\$ 9,038,995
1076	IMPERIAL PAC	138,120,000	\$ 6,077,280
1083	TOWNGAS CHINA	18,084,380	\$ 66,007,987
1086	GOODBABY INTL	13,320,235	\$ 10,256,581
1088	CHINA SHENHUA	47,594,006	\$ 672,979,245
1089	LEYOU TECH H	19,730,248	\$ 46,168,780
1093	CSPC PHARMA	142,092,360	\$ 2,168,329,414
1098	ROAD KING INFRA	899,861	\$ 10,276,413
1099	SINOPHARM	27,148,664	\$ 473,472,700
1109	CHINA RES LAND	55,353,517	\$ 1,757,474,165
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	12,952,046	\$ 395,037,403
1113	CK ASSET	12,936,052	\$ 563,365,065
1114	BRILLIANCE CHI	187,209,339	\$ 1,085,814,166
1117	CH MODERN D	2,045,802	\$ 1,472,977
1119	IDREAMSKY	0	\$ 0
1122	QINGLING MOTORS	0	\$ 0
1128	WYNN MACAU	102,134,181	\$ 1,170,457,714
1131	AGRITRADE RES	87,790,000	\$ 15,363,250
1133	HARBIN ELECTRIC	11,169,002	\$ 21,444,484
1137	HKTV	17,098,000	\$ 87,712,740
1138	COSCO SHIP ENGY	88,484,853	\$ 353,939,412
1140	OP FINANCIAL	592,000	\$ 686,720
1141	CMBC CAPITAL	187,223,649	\$ 26,585,758
1157	ZOOMLION	172,865,150	\$ 954,215,628
1169	HAIER ELEC	16,013,495	\$ 307,138,834
1171	YANZHOU COAL	112,377,957	\$ 678,762,860
1176	ZHUGUANG HOLD	4,008,000	\$ 4,128,240
1177	SINO BIOPHARM	356,841,587	\$ 3,761,110,327



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1186	CHINA RAIL CONS	76,466,895	\$ 662,203,311
1193	CHINA RES GAS	32,414,688	\$ 1,330,622,942
1196	REALORD GROUP	773,000	\$ 3,463,040
1199	COSCO SHIP PORT	11,570,781	\$ 41,654,812
1205	CITIC RESOURCES	1,909,755	\$ 572,927
1208	MMG	190,110,555	\$ 212,923,822
1211	BYD COMPANY	108,497,232	\$ 4,198,842,878
1212	LIFESTYLE INT'L	9,536,375	\$ 59,506,980
1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1223	SYMPHONY HOLD	780,000	\$ 639,600
1224	C C LAND	0	\$ 0
1233	TIMES CHINA	13,915,367	\$ 171,715,629
1234	CHINA LILANG	3,172,000	\$ 14,051,960
1238	POWERLONG	9,566,711	\$ 43,337,201
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	24,905,731	\$ 921,512
1252	CHINA TIANRUI	0	\$ 0
1257	CEB GREENTECH	29,161,212	\$ 91,274,594
1258	CHINFMINING	0	\$ 0
1268	MEIDONG AUTO	8,860,000	\$ 106,674,400
1270	LANGHAM-SS	5,524,936	\$ 6,685,173
1282	GLORY SUN FIN	14,320,000	\$ 3,723,200
1288	ABC	341,944,290	\$ 1,042,930,085
1293	GRAND BAOXIN	18,021,867	\$ 17,481,211
1299	AIA	128,446,850	\$ 9,016,968,870
1300	TRIGIANT	1,108,000	\$ 1,495,800
1302	LIFETECH SCI	19,848,000	\$ 37,115,760
1308	SITC	6,105,294	\$ 42,126,529
1310	HKBN	10,790,740	\$ 136,179,139
1313	CHINARES CEMENT	39,872,782	\$ 362,443,588
1316	NEXTEER	65,645,527	\$ 233,041,621
1317	MAPLELEAF EDU	68,525,786	\$ 154,868,276
1330	DYNAGREEN ENV	4,160,134	\$ 12,854,814
1333	CHINA ZHONGWANG	96,970,583	\$ 191,032,049
1336	NCI	43,339,633	\$ 1,055,320,064
1337	RAZER	136,876,052	\$ 127,294,728
1339	PICC GROUP	79,754,436	\$ 196,993,457
1347	HUA HONG SEMI	97,761,013	\$ 1,364,743,741
1349	FUDANZHANGJIANG	497,000	\$ 2,539,670
1359	CHINA CINDA	172,944,165	\$ 245,580,714

## Aggregated Reportable Short Positions of Specified Shares as of 03/04/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1360	NOVA GROUP	24,895,000	\$ 42,072,550
1366	JIANGNAN GP	2,144,000	\$ 632,480
1368	XTEP INT'L	34,093,466	\$ 84,551,796
1375	CC SECURITIES	9,821,583	\$ 12,080,547
1378	CHINAHONGQIAO	54,518,609	\$ 176,640,293
1381	CANVEST ENV	10,809,861	\$ 38,915,500
1382	PACIFICTEXTILES	18,121,410	\$ 64,693,434
1383	SUNCITY GROUP	20,890,000	\$ 23,605,700
1385	SHANGHAI FUDAN	8,244,968	\$ 42,791,384
1387	CHINA DILI	11,140,581	\$ 20,387,263
1398	ICBC	475,227,568	\$ 2,447,421,975
1426	SPRING REIT	0	\$ 0
1448	FU SHOU YUAN	7,725,735	\$ 51,376,138
1458	ZHOU HEI YA	47,246,293	\$ 216,860,485
1475	NISSIN FOODS	5,575,000	\$ 34,286,250
1476	HENGTOU SEC	0	\$ 0
1478	Q TECH	12,572,000	\$ 105,604,800
1508	CHINA RE	20,363,004	\$ 18,530,334
1513	LIVZON PHARMA	2,527,944	\$ 74,574,348
1515	CR MEDICAL	12,166,788	\$ 49,640,495
1521	FRONTAGE	9,993,213	\$ 32,278,078
1528	RS MACALLINE	834,200	\$ 4,137,632
1530	3SBIO	107,892,760	\$ 868,536,718
1548	GENSCRIPT BIO	70,205,246	\$ 850,887,582
1551	GRCB	0	\$ 0
1558	HEC PHARM	5,384,266	\$ 198,410,202
1565	VIRSCEND EDU	6,703,000	\$ 10,121,530
1568	SUNDART HLDGS	0	\$ 0
1569	MINSHENG EDU	1,862,564	\$ 1,955,692
1573	SOUTHERN ENERGY	4,502,000	\$ 3,061,360
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	17,021,322	\$ 942,130,173
1585	YADEA	14,880,000	\$ 31,248,000
1589	CNLP	6,289,451	\$ 17,233,096
1600	TIAN LUN GAS	8,319,712	\$ 43,512,094
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	67,480,563	\$ 132,936,709
1617	NANFANG COMM	384,000	\$ 1,217,280
1618	MCC	52,027,183	\$ 70,236,697
1622	REDCO GROUP	10,977,761	\$ 39,410,162

## Aggregated Reportable Short Positions of Specified Shares as of 03/04/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1628	YUZHOU PPT	25,213,706	\$ 76,901,803
1636	CMRU	11,274,000	\$ 33,032,820
1638	KAISA GROUP	84,565,899	\$ 236,784,517
1652	FUSEN PHARM	0	\$ 0
1658	PSBC	371,241,900	\$ 1,711,425,159
1660	ZHAOBANGJI PPT	0	\$ 0
1666	TONG REN TANG	10,410,981	\$ 63,194,655
1668	CHINASOUTHCITY	56,854,000	\$ 40,934,880
1672	ASCLETIS-B	19,075,820	\$ 53,030,780
1675	ASIAINFO TECH	0	\$ 0
1680	MACAU LEGEND	9,965,233	\$ 8,968,710
1681	CONSUN PHARMA	5,085,554	\$ 18,257,139
1686	SUNEVISION	4,361,925	\$ 21,504,290
1708	SAMPLE TECH	0	\$ 0
1717	AUSNUTRIA	13,401,361	\$ 160,816,332
1727	HEBEI CONS	3,832,500	\$ 17,552,850
1728	ZHENGTONGAUTO	145,684,387	\$ 152,968,606
1739	QEEKA HOME	0	\$ 0
1755	S-ENJOY SERVICE	2,226,000	\$ 37,619,400
1765	HOPE EDU	23,803,065	\$ 44,273,701
1766	CRRC	39,697,981	\$ 154,822,126
1772	GANFENGLITHIUM	5,327,900	\$ 136,927,030
1773	TIANLI EDU	18,887,000	\$ 65,726,760
1776	GF SEC	41,201,198	\$ 348,974,147
1777	FANTASIA	12,415,500	\$ 17,381,700
1778	COLOUR LIFE	21,644,156	\$ 69,910,624
1787	SD GOLD	5,156,548	\$ 95,705,531
1788	GUOTAI JUNAN I	158,376,800	\$ 156,793,032
1789	AK MEDICAL	9,183,120	\$ 163,092,211
1797	KOOLEARN	29,983,233	\$ 848,525,494
1798	DATANG RENEW	5,144,000	\$ 2,932,080
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	72,218,466	\$ 374,813,839
1801	INNOVENT BIO-B	13,366,729	\$ 425,061,982
1806	HUIFU PAYMENT	4,712,916	\$ 10,981,094
1809	PRINX CHENGSHAN	250,500	\$ 1,803,600
1810	XIAOMI-W	1,016,474,387	\$ 10,429,027,211
1811	CGN NEW ENERGY	12,114,000	\$ 19,503,540
1813	KWG GROUP	46,313,518	\$ 521,490,213
1816	CGN POWER	214,582,250	\$ 375,518,938
1817	MULSANNE GROUP	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 03/04/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1818	ZHAOJIN MINING	84,815,699	\$ 654,777,196
1829	CMEC	4,191,706	\$ 8,844,500
1833	PA GOODDOCTOR	44,209,611	\$ 3,596,451,855
1836	STELLA HOLDINGS	1,288,301	\$ 9,778,205
1837	NATURAL FOOD IH	790,000	\$ 616,200
1848	CALC	1,915,533	\$ 12,393,499
1857	CEB WATER	0	\$ 0
1858	CHUNLI MEDICAL	202,000	\$ 20,381,800
1860	MOBVISTA	335,601	\$ 1,255,148
1862	JINGRUI HLDGS	1,117,000	\$ 2,490,910
1873	VIVA BIOTECH	15,759,000	\$ 64,454,310
1876	BUD APAC	138,037,871	\$ 2,595,111,975
1877	JUNSHI BIO-B	1,274,600	\$ 42,699,100
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	8,106,066	\$ 113,160,681
1883	CITIC TELECOM	6,094,379	\$ 16,515,767
1888	KB LAMINATES	31,152,048	\$ 217,129,775
1890	CHINA KEPEI	3,238,000	\$ 12,595,820
1896	MAOYAN ENT	3,373,600	\$ 30,261,192
1898	CHINA COAL	44,246,857	\$ 94,688,274
1899	XINGDA INT'L	453,000	\$ 838,050
1907	CHINA RISUN GP	0	\$ 0
1908	C&D INTL GROUP	6,305,000	\$ 65,193,700
1910	SAMSONITE	58,825,958	\$ 370,603,535
1911	CR HOLDINGS	407,672	\$ 4,574,080
1913	PRADA	25,749,128	\$ 580,642,836
1916	JIANGXI BANK	0	\$ 0
1918	SUNAC	48,258,931	\$ 1,705,953,211
1919	COSCO SHIP HOLD	67,525,605	\$ 142,479,027
1928	SANDS CHINA LTD	70,655,159	\$ 1,928,885,841
1929	CHOW TAI FOOK	23,289,447	\$ 120,639,335
1951	JXR	40,105,800	\$ 360,551,142
1958	BAIC MOTOR	48,741,254	\$ 142,811,874
1963	BCQ	2,216,456	\$ 8,400,368
1966	CHINA SCE GROUP	14,173,363	\$ 47,339,032
1970	IMAX CHINA	3,976,542	\$ 44,537,270
1972	SWIREPROPERTIES	45,582,586	\$ 895,241,989
1988	MINSHENG BANK	512,202,087	\$ 2,868,331,687
1992	FOSUN TOURISM	0	\$ 0
1995	EVERSUNSHINE LS	19,812,000	\$ 187,619,640
1996	RSUN PPT	6,491,000	\$ 16,227,500

**Aggregated Reportable Short Positions of Specified Shares as of 03/04/2020**

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1997	WHARF REIC	72,850,079	\$ 2,087,154,763
1999	MAN WAH HLDGS	37,159,081	\$ 156,068,140
2001	NEW HIGHER EDU	27,823,000	\$ 80,686,700
2005	SSY GROUP	56,223,935	\$ 345,214,961
2006	JINJIANGCAPITAL	26,754,000	\$ 34,780,200
2007	COUNTRY GARDEN	408,210,123	\$ 3,751,451,030
2009	BBMG	128,823,917	\$ 243,477,203
2013	WEIMOB INC	103,794,851	\$ 507,556,821
2016	CZBANK	0	\$ 0
2018	AAC TECH	104,534,385	\$ 4,160,468,523
2019	DEXIN CHINA	4,178,000	\$ 12,910,020
2020	ANTA SPORTS	48,012,626	\$ 2,496,656,552
2038	FIH	184,611,207	\$ 156,919,526
2039	CIMC	14,432,855	\$ 99,298,042
2048	E-HOUSE ENT	4,237,500	\$ 27,374,250
2051	51 CREDIT CARD	6,427,000	\$ 4,434,630
2066	SHENGJINGBANK	0	\$ 0
2098	ZALL SMARTCOM	10,450,000	\$ 7,733,000
2099	CHINAGOLDINTL	154,300	\$ 631,087
2128	CHINA LESSO	26,187,777	\$ 241,451,304
2139	BANK OF GANSU	0	\$ 0
2186	LUYE PHARMA	153,342,432	\$ 562,766,725
2196	FOSUN PHARMA	37,600,714	\$ 958,818,207
2199	REGINA MIRACLE	280,000	\$ 980,000
2202	CHINA VANKE	50,688,906	\$ 1,315,377,111
2208	GOLDWIND	42,328,533	\$ 279,791,603
2232	CRYSTAL INTL	2,172,249	\$ 4,952,728
2233	WESTCHINACEMENT	9,215,000	\$ 11,518,750
2238	GAC GROUP	306,335,426	\$ 1,972,800,143
2255	HAICHANG HLDG	7,129,000	\$ 3,920,950
2269	WUXI BIO	23,332,044	\$ 2,631,854,563
2280	HC GROUP	25,193,684	\$ 31,995,979
2282	MGM CHINA	78,877,575	\$ 588,426,710
2283	TK GROUP HLDG	226,000	\$ 592,120
2299	BILLION IND	0	\$ 0
2313	SHENZHOU INTL	27,141,837	\$ 2,114,349,102
2314	LEE & MAN PAPER	28,952,707	\$ 133,761,506
2318	PING AN	980,539,245	\$ 73,540,443,375
2319	MENGNU DAIRY	75,057,583	\$ 2,034,060,499
2322	HK CHAOSHANG GP	0	\$ 0
2328	PICC P&C	52,786,572	\$ 377,423,990

## Aggregated Reportable Short Positions of Specified Shares as of 03/04/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2329	GUORUI PPT	2,863,000	\$ 3,693,270
2331	LI NING	40,536,935	\$ 835,060,861
2333	GREATWALL MOTOR	351,510,731	\$ 1,659,130,650
2338	WEICHAI POWER	88,624,769	\$ 1,160,984,474
2342	COMBA	110,283,443	\$ 342,981,508
2343	PACIFIC BASIN	269,598,421	\$ 250,726,532
2356	DAHSING BANKING	1,339,999	\$ 8,951,193
2357	AVICHINA	71,462,506	\$ 202,238,892
2359	WUXI APPTec	8,693,498	\$ 845,442,681
2362	JINCHUAN INTL	36,723,000	\$ 19,095,960
2378	PRU	0	\$ 0
2380	CHINA POWER	70,719,144	\$ 103,249,950
2382	SUNNY OPTICAL	38,992,479	\$ 4,148,799,766
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	26,926,278	\$ 88,856,717
2388	BOC HONG KONG	59,668,024	\$ 1,366,397,750
2552	HUA MEDICINE-B	0	\$ 0
2558	JINSHANG BANK	0	\$ 0
2588	BOC AVIATION	15,267,889	\$ 714,537,205
2600	CHALCO	155,518,113	\$ 236,387,532
2601	CPIC	156,495,960	\$ 3,599,407,080
2607	SH PHARMA	15,908,138	\$ 209,669,259
2608	SUNSHINE 100	0	\$ 0
2611	GTJA	4,584,691	\$ 52,173,784
2616	CSTONE PHARMA-B	5,343,500	\$ 43,923,570
2628	CHINA LIFE	107,901,911	\$ 1,629,318,856
2638	HKELECTRIC-SS	60,754,141	\$ 476,920,007
2666	UNI MEDICAL	25,928,591	\$ 120,567,948
2669	CHINA OVS PPT	45,913,800	\$ 345,730,914
2678	TEXHONG TEXTILE	1,250,654	\$ 6,978,649
2688	ENN ENERGY	7,254,036	\$ 567,628,317
2689	ND PAPER	123,508,910	\$ 843,565,855
2696	HENLIUS-B	0	\$ 0
2727	SH ELECTRIC	24,715,225	\$ 51,407,668
2768	JIAYUAN INTL	9,150,087	\$ 29,188,778
2772	ZHONGLIANG HLDG	1,430,000	\$ 8,279,700
2777	R&F PROPERTIES	67,188,578	\$ 686,667,267
2778	CHAMPION REIT	15,141,814	\$ 68,289,581
2799	CHINA HUARONG	235,545,395	\$ 214,346,309
2800	TRACKER FUND	52,071,718	\$ 1,221,081,787
2801	ISHARES CHINA	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 03/04/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2802	ISHARES EM ASIA	0	\$ 0
2803	PP BEDROCK	0	\$ 0
2804	PREMIA VIET	0	\$ 0
2805	VANGUARDASXJP	0	\$ 0
2806	GX CN CONSUME	0	\$ 0
2809	GX CN CLN EN	0	\$ 0
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMUNGCDRAGON	0	\$ 0
2813	CAM CTPB BOND	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2820	GX CN BIOTECH	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	75,244,393	\$ 993,225,988
2823	ISHARES A50	119,403,879	\$ 1,595,235,823
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2826	GX CN CLOUD	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	4,013,050	\$ 385,052,148
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	40,500	\$ 47,790,000
2843	AMUNDI A50	0	\$ 0
2845	GX CN ELE VEH	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	32,556,559	\$ 38,742,305
2866	COSCO SHIP DEV	50,597,404	\$ 40,477,923
2868	BJ CAPITAL LAND	18,282,925	\$ 30,715,314
2869	GREENTOWN SER	42,962,177	\$ 362,171,152
2877	SHINEWAY PHARM	4,608,000	\$ 26,588,160
2880	DALIAN PORT	3,108,000	\$ 2,082,360
2883	CHINA OILFIELD	39,206,448	\$ 242,687,913
2888	STANCHART	2,844,721	\$ 109,095,050
2899	ZIJIN MINING	76,982,783	\$ 226,329,382
3005	CSOPCSI500ETF	0	\$ 0
3007	TRFXIC50	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 03/04/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3010	ISHARES AXJ	0	\$ 0
3011	A ICBCICUSD	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0
3023	PA AI&ROBOTICS	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X TRMSCIRUSSIA	0	\$ 0
3036	TRMSCITAIWAN	0	\$ 0
3040	GX MSCI CHINA	0	\$ 0
3043	TRMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3047	F SSIFIRONORE	0	\$ 0
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3065	TRMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3072	NIKKOAM INET	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3077	PREMIA UST	0	\$ 0
3079	CICC CGB	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3085	VANGUARDAXJHY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3093	CICCSELECT100	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3096	CSOP USD MM	0	\$ 0
3097	F GX OIL	0	\$ 0
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VANGUARDDDVEUR	0	\$ 0
3110	GX HS HIGH DIV	0	\$ 0



## Aggregated Reportable Short Positions of Specified Shares as of 03/04/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3115	ISHARESHSI	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP RMB MM	0	\$ 0
3126	VANGUARDJAPAN	0	\$ 0
3127	GX CSI300	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3140	VANGUARDSP500	0	\$ 0
3141	BMO ASIA IGB	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3163	PA MULTI-FACTOR	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3166	PA QLTY FACTOR	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0
3169	VANGUARDCHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3173	PP CN NEWCON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3181	PREMIAASIA TEC	0	\$ 0
3182	WISE NEW ECON50	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3188	CAM CSI300	48,405,827	\$ 1,996,740,364
3197	CAM MSCI AINC	0	\$ 0
3198	PING AN 5HANDL	0	\$ 0
3199	CSOP CTPBOND	0	\$ 0
3301	RONSHINECHINA	12,638,000	\$ 94,911,380
3306	JNBY	4,062,500	\$ 25,431,250
3308	GOLDEN EAGLE	0	\$ 0
3309	C-MER EYE	6,744,000	\$ 27,987,600
3311	CHINA STATE CON	58,613,343	\$ 337,026,722
3319	A-LIVING	10,395,301	\$ 392,942,378
3320	CHINARES PHARMA	8,984,927	\$ 39,264,131
3323	CNBM	47,479,166	\$ 394,077,078
3328	BANKCOMM	72,714,563	\$ 337,395,572
3331	VINDA INT'L	7,953,685	\$ 148,415,762
3333	EVERGRANDE	216,630,862	\$ 2,842,196,909

## Aggregated Reportable Short Positions of Specified Shares as of 03/04/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3336	JU TENG INTL	1,752,000	\$ 2,417,760
3337	ANTON OILFIELD	214,945,296	\$ 116,070,460
3339	LONKING	38,840,891	\$ 90,499,276
3360	FE HORIZON	12,672,337	\$ 76,034,022
3377	SINO-OCEAN GP	54,811,313	\$ 111,815,079
3380	LOGAN PPT	15,833,883	\$ 187,789,852
3382	TIANJINPORT DEV	3,332,389	\$ 1,699,518
3383	AGILE GROUP	76,556,592	\$ 629,295,186
3393	WASION HOLDINGS	842,000	\$ 2,113,420
3396	LEGENDHOLDING	13,982,868	\$ 123,888,210
3606	FUYAO GLASS	17,177,896	\$ 274,159,220
3613	TONGRENTANGCM	10,425,980	\$ 111,975,025
3618	CQRC BANK	24,231,783	\$ 72,695,349
3633	ZHONGYU GAS	4,525,600	\$ 26,384,248
3662	AOYUAN HEALTHY	5,272,849	\$ 33,957,148
3668	YANCOAL AUS	0	\$ 0
3669	YONGDA AUTO	13,986,110	\$ 84,895,688
3690	MEITUAN-W	35,626,796	\$ 3,307,948,009
3692	HANSOH PHARMA	57,611,313	\$ 1,543,983,188
3709	EEKA FASHION	657,000	\$ 4,599,000
3759	PHARMARON	5,751,000	\$ 313,429,500
3799	DALI FOODS	84,044,714	\$ 398,371,944
3800	GCL-POLY ENERGY	1,322,668,821	\$ 321,408,524
3808	SINOTRUK	58,536,814	\$ 757,466,373
3813	POU SHENG INT'L	6,968,665	\$ 10,452,998
3818	CHINA DONGXIANG	18,120,300	\$ 12,140,601
3836	HARMONY AUTO	16,354,084	\$ 53,641,396
3866	BQD	0	\$ 0
3868	XINYI ENERGY	8,806,000	\$ 19,109,020
3877	CSSC SHIPPING	0	\$ 0
3883	CHINA AOYUAN	17,899,058	\$ 161,449,503
3888	KINGSOFT	86,244,246	\$ 2,018,115,356
3898	CRRC TIMES ELEC	8,628,486	\$ 204,495,118
3899	CIMC ENRIC	26,716,500	\$ 84,424,140
3900	GREENTOWN CHINA	24,592,665	\$ 181,985,721
3908	CICC	152,598,376	\$ 1,898,323,797
3918	NAGACORP	13,664,868	\$ 110,002,187
3933	UNITED LAB	138,606,140	\$ 939,749,629
3958	DFZQ	6,587,200	\$ 26,744,032
3968	CM BANK	98,062,632	\$ 3,329,226,356
3969	CHINA CRSC	29,448,130	\$ 108,663,600

## Aggregated Reportable Short Positions of Specified Shares as of 03/04/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3983	CHINA BLUECHEM	14,711,530	\$ 16,476,914
3988	BANK OF CHINA	411,679,316	\$ 1,206,220,396
3990	MIDEA REAL EST	3,441,199	\$ 67,447,500
3993	CMOC	293,820,575	\$ 664,034,500
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	288,943,394	\$ 511,429,807
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	247,807,016	\$ 3,513,903,487
6049	POLY PPT DEV	893,400	\$ 60,036,480
6055	CTIHK	6,723,821	\$ 100,319,409
6060	ZA ONLINE	39,306,713	\$ 1,008,217,188
6066	CSC	14,451,500	\$ 88,154,150
6068	WISDOM EDU INTL	3,840,000	\$ 8,755,200
6069	SHENGYE CAPITAL	3,602,000	\$ 23,413,000
6088	FIT HON TENG	20,377,000	\$ 34,640,900
6098	CG SERVICES	88,198,585	\$ 2,676,827,055
6099	CMSC	2,016,800	\$ 16,517,592
6100	TALENT LIEPIN	585,600	\$ 9,252,480
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6158	ZHENRO PPT	31,294,517	\$ 146,145,394
6160	BEIGENE	0	\$ 0
6166	CHINA VAST	1,308,000	\$ 3,505,440
6169	YUHUA EDU	150,439,391	\$ 851,486,953
6178	EB SECURITIES	3,344,804	\$ 16,489,884
6185	CANSINO BIO-B	1,611,800	\$ 230,165,040
6196	BANK OF ZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	4,684,000	\$ 19,766,480
6806	SWHY	4,284,800	\$ 7,498,400
6808	SUNART RETAIL	53,320,820	\$ 607,857,348
6818	CEB BANK	26,441,603	\$ 78,002,729
6823	HKT-SS	9,505,901	\$ 109,698,098
6826	HAOHAI BIOTEC	439,081	\$ 11,921,049
6837	HAITONG SEC	81,552,786	\$ 565,976,335
6862	HAIDILAO	65,831,090	\$ 1,879,477,620

### Aggregated Reportable Short Positions of Specified Shares as of 03/04/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6865	FLAT GLASS	9,062,000	\$ 38,241,640
6868	TENFU	0	\$ 0
6869	YOFC	23,767,266	\$ 349,378,810
6881	CGS	146,330,234	\$ 544,348,470
6886	HTSC	91,807,614	\$ 1,072,312,932
6889	DYNAM JAPAN	239,400	\$ 1,857,744
7200	FL2 CSOP HSI	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 GX HSCEI	0	\$ 0
7231	FL2 GX HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI GX S&P500	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7336	FI GX HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI GX HSCEI	0	\$ 0
7500	FI2 CSOP HSI	0	\$ 0
7522	FI2CAMDXNNDQ100	0	\$ 0
7568	FI2CSOPNASDAQ	0	\$ 0
7588	FI2 CSOP HSCEI	0	\$ 0
8032	VIVA CHINA	0	\$ 0
8083	CHINA YOUZAN	226,480,000	\$ 156,271,200
8137	HONBRIDGE	19,410,000	\$ 6,114,150
9010	ISHARES AXJ-U	0	\$ 0
9011	A ICBCCICUSD-U	0	\$ 0
9047	F SSIFIRONORE-U	0	\$ 0
9072	NIKKOAM INET-U	0	\$ 0
9074	ISHARESMSCITW-U	0	\$ 0
9077	PREMIA UST-U	0	\$ 0
9078	PREMIA UST A-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 03/04/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9085	VANGUARDAXJHY-U	0	\$ 0
9086	BMO NASDAQ100-U	0	\$ 0
9096	CSOP USD MM-U	0	\$ 0
9101	VANGUARDDDVEUR-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9126	VANGUARDJAPAN-U	0	\$ 0
9140	VANGUARDSP500-U	0	\$ 0
9141	BMO ASIA IGB-U	0	\$ 0
9167	ICBCCSOPCHINA-U	0	\$ 0
9169	VANGUARDCHINA-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9173	PP CN NEWCON-U	0	\$ 0
9181	PREMIAASIAA-TEC-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9803	PP BEDROCK-U	0	\$ 0
9804	PREMIA VIET-U	0	\$ 0
9805	VANGUARDASXJP-U	0	\$ 0
9806	GX CN CONSUME-U	0	\$ 0
9809	GX CN CLN EN-U	0	\$ 0
9810	PREMIAEMASEAN-U	0	\$ 0
9820	GX CN BIOTECH-U	0	\$ 0
9826	GX CN CLOUD-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9845	GX CN ELE VEH-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9988	BABA-SW	69,863,649	\$ 12,833,952,321
80737	BAY AREA DEV-R	0	\$ 0
82805	VANGUARDASXJP-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82813	CAM CTPB BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HSCEI ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
83005	CSOPCSI500ETF-R	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 03/04/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83079	CICC CGB-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83085	VANGUARDAXJHY-R	0	\$ 0
83093	CICCSELECT100-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83101	VANGUARDDVEUR-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83122	CSOP RMB MM-R	0	\$ 0
83126	VANGUARDJAPAN-R	0	\$ 0
83127	GX CSI300-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83140	VANGUARDSP500-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83169	VANGUARDCHINA-R	0	\$ 0
83170	ISHARESXS200-R	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP CTPBBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0