

Aggregated Reportable Short Positions of Specified Shares as of 05/06/2020

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1 | CKH HOLDINGS | 11,164,100 | \$ 598,395,760 |
| 2 | CLP HOLDINGS | 22,658,069 | \$ 1,774,126,803 |
| 3 | HK & CHINA GAS | 388,962,735 | \$ 5,313,230,960 |
| 4 | WHARF HOLDINGS | 99,235,350 | \$ 1,516,316,148 |
| 5 | HSBC HOLDINGS | 164,055,254 | \$ 6,644,237,787 |
| 6 | POWER ASSETS | 22,149,302 | \$ 987,858,869 |
| 8 | PCCW | 34,337,756 | \$ 152,116,259 |
| 10 | HANG LUNG GROUP | 4,605,351 | \$ 76,172,506 |
| 11 | HANG SENG BANK | 44,989,169 | \$ 6,064,539,981 |
| 12 | HENDERSON LAND | 27,978,029 | \$ 867,318,899 |
| 14 | HYSAN DEV | 9,116,269 | \$ 231,553,233 |
| 16 | SHK PPT | 21,822,471 | \$ 2,176,791,482 |
| 17 | NEW WORLD DEV | 105,358,487 | \$ 938,744,119 |
| 19 | SWIRE PACIFIC A | 19,738,264 | \$ 913,881,623 |
| 20 | WHEELLOCK | 3,351,006 | \$ 196,704,052 |
| 23 | BANK OF E ASIA | 34,825,984 | \$ 644,977,224 |
| 26 | CHINA MOTOR BUS | 0 | \$ 0 |
| 27 | GALAXY ENT | 66,369,713 | \$ 3,720,022,414 |
| 28 | TIAN AN | 0 | \$ 0 |
| 29 | DYNAMIC HOLD | 0 | \$ 0 |
| 32 | CROSS-HAR(HOLD) | 0 | \$ 0 |
| 34 | KOWLOON DEV | 0 | \$ 0 |
| 35 | FE CONSORT INTL | 871,355 | \$ 2,195,815 |
| 41 | GREAT EAGLE H | 325,768 | \$ 6,202,623 |
| 43 | C.P. POKPHAND | 0 | \$ 0 |
| 45 | HK&S HOTELS | 2,825,976 | \$ 20,912,222 |
| 50 | HK FERRY (HOLD) | 0 | \$ 0 |
| 51 | HARBOUR CENTRE | 0 | \$ 0 |
| 52 | FAIRWOOD HOLD | 1,420,892 | \$ 24,155,164 |
| 53 | GUOCO GROUP | 0 | \$ 0 |
| 56 | ALLIED PPT (HK) | 0 | \$ 0 |
| 62 | TRANSPORT INT'L | 0 | \$ 0 |
| 66 | MTR CORPORATION | 72,509,133 | \$ 2,951,121,713 |
| 69 | SHANGRI-LA ASIA | 41,730,096 | \$ 290,441,468 |
| 71 | MIRAMAR HOTEL | 220,000 | \$ 3,044,800 |
| 75 | Y.T. REALTY | 0 | \$ 0 |
| 78 | REGAL INT'L | 419,007 | \$ 1,273,781 |
| 81 | CH OVS G OCEANS | 10,501,707 | \$ 48,202,835 |
| 83 | SINO LAND | 46,354,113 | \$ 460,759,883 |
| 86 | SUN HUNG KAI CO | 484,000 | \$ 1,490,720 |
| 88 | TAI CHEUNG HOLD | 0 | \$ 0 |

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|------------|-----------------|--|--|
| 95 | LVGEM CHINA | 16,782,000 | \$ 41,955,000 |
| 100 | CLEAR MEDIA | 0 | \$ 0 |
| 101 | HANG LUNG PPT | 77,789,705 | \$ 1,359,764,043 |
| 105 | ASSO INT HOTELS | 0 | \$ 0 |
| 107 | SICHUAN EXPRESS | 2,012,172 | \$ 3,923,735 |
| 112 | LERTHAI GROUP | 0 | \$ 0 |
| 116 | CHOW SANG SANG | 4,488,341 | \$ 36,086,262 |
| 119 | POLY PROPERTY | 13,280,448 | \$ 35,591,601 |
| 123 | YUEXIU PROPERTY | 14,074,068 | \$ 20,266,658 |
| 127 | CHINESE EST H | 502,000 | \$ 2,911,600 |
| 135 | KUNLUN ENERGY | 22,123,503 | \$ 107,520,225 |
| 136 | HENG TEN NET | 1,003,998,604 | \$ 165,659,770 |
| 142 | FIRST PACIFIC | 9,812,000 | \$ 14,718,000 |
| 144 | CHINA MER PORT | 35,800,964 | \$ 362,305,756 |
| 147 | IB SETTLEMENT | 0 | \$ 0 |
| 148 | KINGBOARD HLDG | 8,495,193 | \$ 171,178,139 |
| 151 | WANT WANT CHINA | 442,456,936 | \$ 2,619,345,061 |
| 152 | SHENZHEN INT'L | 9,717,445 | \$ 129,242,019 |
| 158 | MELBOURNE ENT | 0 | \$ 0 |
| 163 | EMPEROR INT'L | 0 | \$ 0 |
| 165 | CHINA EB LTD | 24,874,140 | \$ 279,087,851 |
| 168 | TSINGTAO BREW | 18,484,892 | \$ 1,026,835,751 |
| 171 | SILVER GRANT | 7,630,000 | \$ 7,477,400 |
| 173 | K. WAH INT'L | 7,252,409 | \$ 25,383,432 |
| 175 | GEELY AUTO | 250,435,418 | \$ 2,945,120,516 |
| 177 | JIANGSU EXPRESS | 18,446,244 | \$ 168,229,745 |
| 178 | SA SA INT'L | 104,979,790 | \$ 136,473,727 |
| 179 | JOHNSON ELEC H | 6,296,125 | \$ 89,404,975 |
| 182 | CONCORD NE | 9,190,000 | \$ 2,757,000 |
| 189 | DONGYUE GROUP | 39,556,539 | \$ 136,074,494 |
| 194 | LIU CHONG HING | 0 | \$ 0 |
| 200 | MELCO INT'L DEV | 46,766,793 | \$ 813,742,198 |
| 207 | JOY CITY PPT | 0 | \$ 0 |
| 220 | U-PRESID CHINA | 9,631,558 | \$ 74,837,206 |
| 225 | POKFULAM | 0 | \$ 0 |
| 230 | MINMETALS LAND | 1,018,000 | \$ 1,038,360 |
| 241 | ALI HEALTH | 75,475,069 | \$ 1,485,349,358 |
| 242 | SHUN TAK HOLD | 50,417,101 | \$ 152,259,645 |
| 247 | TST PROPERTIES | 0 | \$ 0 |
| 256 | CITYCHAMP | 6,138,000 | \$ 9,636,660 |
| 257 | CHINA EB INT'L | 72,227,596 | \$ 310,578,663 |

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| 261 | GBA INV HLDGS | 0 | \$ 0 |
| 267 | CITIC | 27,445,717 | \$ 220,938,022 |
| 268 | KINGDEE INT'L | 232,690,660 | \$ 3,266,976,866 |
| 270 | GUANGDONG INV | 15,960,452 | \$ 247,387,006 |
| 272 | SHUI ON LAND | 18,341,624 | \$ 24,027,527 |
| 285 | BYD ELECTRONIC | 80,711,320 | \$ 1,299,452,252 |
| 286 | AIDIGONG | 9,252,000 | \$ 4,394,700 |
| 288 | WH GROUP | 226,231,173 | \$ 1,527,060,418 |
| 289 | WING ON CO | 0 | \$ 0 |
| 291 | CHINA RES BEER | 33,093,930 | \$ 1,487,572,154 |
| 293 | CATHAY PAC AIR | 53,116,188 | \$ 472,734,073 |
| 297 | SINOFERT | 19,450,000 | \$ 13,420,500 |
| 302 | CMGE | 18,052,000 | \$ 49,281,960 |
| 303 | VTECH HOLDINGS | 4,572,704 | \$ 227,263,389 |
| 308 | CHINA TRAVEL HK | 62,915,293 | \$ 65,431,905 |
| 315 | SMARTONE TELE | 4,259,561 | \$ 17,804,965 |
| 316 | OOIL | 0 | \$ 0 |
| 322 | TINGYI | 69,398,685 | \$ 923,002,511 |
| 323 | MAANSHAN IRON | 8,834,680 | \$ 19,347,949 |
| 326 | CHINA STAR ENT | 790,000 | \$ 1,382,500 |
| 327 | PAX GLOBAL | 12,383,468 | \$ 37,150,404 |
| 330 | ESPRIT HOLDINGS | 45,997,134 | \$ 29,438,166 |
| 336 | HUABAO INTL | 7,789,107 | \$ 23,912,558 |
| 337 | GREENLAND HK | 4,224,180 | \$ 11,954,429 |
| 338 | SHANGHAI PECEM | 129,182,813 | \$ 263,532,939 |
| 341 | CAFE DE CORAL H | 5,960,879 | \$ 89,413,185 |
| 345 | VITASOY INT'L | 61,527,205 | \$ 1,805,823,467 |
| 347 | ANGANG STEEL | 87,638,368 | \$ 171,771,201 |
| 354 | CHINASOFT INT'L | 274,192,358 | \$ 1,107,737,126 |
| 358 | JIANGXI COPPER | 19,133,753 | \$ 152,113,336 |
| 363 | SHANGHAI IND H | 4,839,000 | \$ 59,810,040 |
| 369 | WING TAI PPT | 0 | \$ 0 |
| 371 | BJ ENT WATER | 176,984,783 | \$ 561,041,762 |
| 373 | ALLIED GROUP | 0 | \$ 0 |
| 376 | YUNFENG FIN | 0 | \$ 0 |
| 382 | EDVANTAGE GROUP | 1,092,000 | \$ 6,857,760 |
| 383 | CHINA MED&HCARE | 10,020,000 | \$ 1,903,800 |
| 384 | CHINA GAS HOLD | 83,228,614 | \$ 2,284,625,454 |
| 386 | SINOPEC CORP | 174,625,277 | \$ 626,904,744 |
| 388 | HKEX | 18,186,618 | \$ 5,350,503,016 |
| 390 | CHINA RAILWAY | 94,760,363 | \$ 407,469,561 |

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| 392 | BEIJING ENT | 3,497,193 | \$ 95,823,088 |
| 405 | YUEXIU REIT | 0 | \$ 0 |
| 410 | SOHO CHINA | 33,868,603 | \$ 94,154,716 |
| 412 | CHINA SDHS FIN | 0 | \$ 0 |
| 425 | MINTH GROUP | 46,764,401 | \$ 1,103,639,864 |
| 435 | SUNLIGHT REIT | 0 | \$ 0 |
| 440 | DAH SING | 855,342 | \$ 19,929,469 |
| 451 | GCL NEWENERGY | 41,586,373 | \$ 4,200,224 |
| 460 | SIHUAN PHARM | 116,817,898 | \$ 94,622,497 |
| 467 | UNITEDENERGY GP | 14,130,000 | \$ 20,629,800 |
| 468 | GAPACK | 798,504 | \$ 2,259,766 |
| 480 | HKR INT'L | 302,400 | \$ 1,043,280 |
| 486 | RUSAL | 27,629,000 | \$ 85,097,320 |
| 488 | LAI SUN DEV | 0 | \$ 0 |
| 489 | DONGFENG GROUP | 60,896,117 | \$ 327,012,148 |
| 493 | GOME RETAIL | 1,815,012,227 | \$ 2,377,666,017 |
| 496 | KASEN | 19,483,649 | \$ 17,924,957 |
| 506 | CHINA FOODS | 3,182,000 | \$ 8,814,140 |
| 511 | TVB | 4,340,551 | \$ 42,841,238 |
| 512 | CHINAGRANDPHARM | 3,468,000 | \$ 18,588,480 |
| 520 | XIABUXIABU | 25,760,049 | \$ 228,491,635 |
| 522 | ASM PACIFIC | 7,657,086 | \$ 586,149,933 |
| 525 | GUANGSHEN RAIL | 24,126,670 | \$ 36,913,805 |
| 526 | CHINA AUTO NR | 1,850,000 | \$ 555,000 |
| 530 | GOLDIN FIN HOLD | 4,016,000 | \$ 5,060,160 |
| 535 | GEMDALE PPT | 56,165,442 | \$ 67,960,185 |
| 546 | FUFENG GROUP | 5,575,525 | \$ 14,719,386 |
| 548 | SHENZHENEXPRESS | 14,882,684 | \$ 123,079,797 |
| 551 | YUE YUEN IND | 12,993,240 | \$ 156,958,339 |
| 552 | CHINACOMSERVICE | 34,343,064 | \$ 180,644,517 |
| 563 | SH IND URBAN | 5,459,593 | \$ 4,149,291 |
| 570 | TRAD CHI MED | 77,809,600 | \$ 268,443,120 |
| 576 | ZHEJIANGEXPRESS | 14,524,632 | \$ 80,756,954 |
| 579 | JNCEC | 3,941,656 | \$ 6,424,899 |
| 581 | CHINA ORIENTAL | 28,174,338 | \$ 64,519,234 |
| 586 | CONCH VENTURE | 30,585,190 | \$ 1,078,127,948 |
| 588 | BEIJING N STAR | 3,672,000 | \$ 7,086,960 |
| 590 | LUK FOOK HOLD | 2,103,000 | \$ 31,965,600 |
| 598 | SINOTRANS | 35,947,921 | \$ 61,111,466 |
| 604 | SHENZHEN INVEST | 31,395,280 | \$ 76,918,436 |
| 607 | FULLSHARE | 285,721,261 | \$ 35,143,715 |

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| 620 | DTXS SILK ROAD | 0 | \$ 0 |
| 622 | OSHDORI | 11,989,800 | \$ 9,831,636 |
| 631 | SANY INT'L | 15,162,956 | \$ 57,467,603 |
| 636 | KERRY LOG NET | 2,951,500 | \$ 31,285,900 |
| 639 | SHOUGANG RES | 16,522,699 | \$ 25,444,956 |
| 656 | FOSUN INTL | 84,635,897 | \$ 888,676,919 |
| 658 | C TRANSMISSION | 22,693,074 | \$ 101,664,972 |
| 659 | NWS HOLDINGS | 24,618,375 | \$ 175,036,646 |
| 665 | HAITONG INT'L | 181,530,475 | \$ 326,754,855 |
| 667 | CHINA EAST EDU | 8,971,240 | \$ 139,054,220 |
| 669 | TECHTRONIC IND | 45,878,499 | \$ 3,378,951,451 |
| 670 | CHINA EAST AIR | 186,715,143 | \$ 575,082,640 |
| 680 | NAN HAI CORP | 0 | \$ 0 |
| 683 | KERRY PPT | 8,336,545 | \$ 174,650,618 |
| 688 | CHINA OVERSEAS | 39,171,475 | \$ 979,286,875 |
| 691 | SHANSHUI CEMENT | 0 | \$ 0 |
| 694 | BEIJING AIRPORT | 24,088,048 | \$ 136,820,113 |
| 696 | TRAVELSKY TECH | 21,690,310 | \$ 361,360,565 |
| 697 | SHOUGANG INT'L | 10,124,609 | \$ 14,781,929 |
| 698 | TONGDA GROUP | 80,914,333 | \$ 37,220,593 |
| 699 | CAR INC | 80,732,269 | \$ 173,574,378 |
| 700 | TENCENT | 48,902,918 | \$ 21,233,646,996 |
| 708 | EVERG HEALTH | 4,070,000 | \$ 25,844,500 |
| 709 | GIORDANO INT'L | 32,770,750 | \$ 43,912,805 |
| 710 | BOE VARITRONIX | 677,000 | \$ 1,557,100 |
| 728 | CHINA TELECOM | 228,526,878 | \$ 559,890,851 |
| 732 | TRULY INT'L | 29,826,000 | \$ 23,860,800 |
| 737 | BAY AREA DEV | 0 | \$ 0 |
| 743 | ASIA CEMENT CH | 8,517,268 | \$ 80,232,665 |
| 751 | SKYWORTH GROUP | 49,040,142 | \$ 109,849,918 |
| 753 | AIR CHINA | 169,627,391 | \$ 917,684,185 |
| 754 | HOPSON DEV HOLD | 2,042,000 | \$ 18,153,380 |
| 762 | CHINA UNICOM | 149,895,695 | \$ 692,518,111 |
| 763 | ZTE | 58,583,923 | \$ 1,282,987,914 |
| 772 | CHINA LIT | 23,596,963 | \$ 1,092,539,387 |
| 775 | CKLIFE SCIENCES | 8,887,975 | \$ 8,088,057 |
| 777 | NETDRAGON | 3,759,033 | \$ 74,804,757 |
| 778 | FORTUNE REIT | 0 | \$ 0 |
| 780 | TONGCHENG-ELONG | 63,811,542 | \$ 923,991,128 |
| 788 | CHINA TOWER | 1,471,813,182 | \$ 2,310,746,696 |
| 799 | IGG | 112,912,618 | \$ 588,274,740 |

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|------------|-----------------|--|--|
| 806 | VALUE PARTNERS | 26,071,784 | \$ 86,819,041 |
| 807 | SIICENVIRONMENT | 1,958,500 | \$ 2,487,295 |
| 808 | PROSPERITY REIT | 0 | \$ 0 |
| 811 | XINHUA WINSHARE | 536,364 | \$ 2,815,911 |
| 813 | SHIMAO PROPERTY | 23,878,307 | \$ 840,516,406 |
| 816 | HUADIAN FUXIN | 22,083,991 | \$ 54,105,778 |
| 817 | CHINA JINMAO | 151,875,587 | \$ 876,322,137 |
| 818 | HI SUN TECH | 2,016,000 | \$ 1,592,640 |
| 819 | TIANNENG POWER | 153,379,636 | \$ 1,696,378,774 |
| 823 | LINK REIT | 15,679,278 | \$ 1,038,752,168 |
| 831 | CR ASIA | 0 | \$ 0 |
| 832 | CENTRAL CHINA | 699,000 | \$ 2,726,100 |
| 836 | CHINA RES POWER | 12,314,741 | \$ 113,295,617 |
| 839 | CHINA EDU GROUP | 31,813,250 | \$ 426,297,550 |
| 853 | MICROPORT | 39,415,503 | \$ 859,257,965 |
| 855 | CHINA WATER | 59,542,388 | \$ 322,719,743 |
| 856 | VSTECs | 3,067,218 | \$ 10,857,952 |
| 857 | PETROCHINA | 381,490,207 | \$ 1,071,987,482 |
| 860 | APOLLO FMG | 16,337,335 | \$ 6,371,561 |
| 861 | DC HOLDINGS | 3,918,000 | \$ 17,121,660 |
| 867 | CMS | 18,077,473 | \$ 161,612,609 |
| 868 | XINYI GLASS | 78,051,410 | \$ 702,462,690 |
| 874 | BAIYUNSHAN PH | 9,957,912 | \$ 203,141,405 |
| 877 | O-NET TECH GP | 5,244,000 | \$ 28,107,840 |
| 880 | SJM HOLDINGS | 125,867,018 | \$ 1,193,219,331 |
| 881 | ZHONGSHENG HLDG | 209,946,237 | \$ 8,418,844,104 |
| 883 | CNOOC | 181,501,339 | \$ 1,693,407,493 |
| 884 | CIFI HOLD GP | 94,226,969 | \$ 599,283,523 |
| 902 | HUANENG POWER | 184,730,112 | \$ 556,037,637 |
| 914 | CONCH CEMENT | 23,233,500 | \$ 1,288,297,575 |
| 916 | CHINA LONGYUAN | 39,525,675 | \$ 166,403,092 |
| 921 | HISENSE HA | 5,026,900 | \$ 46,398,287 |
| 934 | SINOPEC KANTONS | 16,438,995 | \$ 48,330,645 |
| 939 | CCB | 632,056,034 | \$ 4,038,838,057 |
| 941 | CHINA MOBILE | 61,592,499 | \$ 3,366,030,070 |
| 950 | LEE'S PHARM | 4,364,808 | \$ 17,808,417 |
| 956 | CHINA SUNTIEN | 10,512,000 | \$ 20,288,160 |
| 960 | LONGFOR GROUP | 22,139,362 | \$ 821,370,330 |
| 966 | CHINA TAIPING | 46,864,279 | \$ 585,803,488 |
| 968 | XINYI SOLAR | 136,285,461 | \$ 827,252,748 |
| 973 | L'OCCITANE | 2,278,300 | \$ 32,032,898 |

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| 978 | CHI MER LAND | 0 | \$ 0 |
| 981 | SMIC | 301,636,531 | \$ 5,942,239,661 |
| 991 | DATANG POWER | 37,397,876 | \$ 40,389,706 |
| 992 | LENOVO GROUP | 386,658,928 | \$ 1,643,300,444 |
| 995 | ANHUIEXPRESSWAY | 1,374,061 | \$ 5,152,729 |
| 998 | CITIC BANK | 63,174,362 | \$ 222,373,754 |
| 1003 | HUANXI MEDIA | 740,000 | \$ 1,073,000 |
| 1030 | SEAZEN | 103,505,414 | \$ 779,395,767 |
| 1031 | KINGSTON FIN | 0 | \$ 0 |
| 1033 | SINOPEC SSC | 3,586,000 | \$ 2,008,160 |
| 1038 | CKI HOLDINGS | 5,472,282 | \$ 230,930,300 |
| 1044 | HENGAN INT'L | 30,967,003 | \$ 1,943,179,438 |
| 1052 | YUEXIUTRANSPORT | 2,507,200 | \$ 12,861,936 |
| 1055 | CHINA SOUTH AIR | 246,379,339 | \$ 941,169,075 |
| 1060 | ALI PICTURES | 684,787,331 | \$ 732,722,444 |
| 1061 | ESSEX BIO-TECH | 339,000 | \$ 1,901,790 |
| 1066 | WEIGAO GROUP | 98,743,911 | \$ 1,520,656,229 |
| 1070 | TCL ELECTRONICS | 1,809,953 | \$ 6,190,039 |
| 1071 | HUADIAN POWER | 23,809,955 | \$ 57,143,892 |
| 1072 | DONGFANG ELEC | 1,763,849 | \$ 7,214,142 |
| 1076 | IMPERIAL PAC | 54,840,000 | \$ 2,083,920 |
| 1083 | TOWNGAS CHINA | 4,710,191 | \$ 17,710,318 |
| 1088 | CHINA SHENHUA | 54,855,557 | \$ 718,607,797 |
| 1089 | LEYOU TECH H | 15,600,009 | \$ 37,752,022 |
| 1093 | CSPC PHARMA | 183,704,449 | \$ 2,950,293,451 |
| 1098 | ROAD KING INFRA | 1,863,861 | \$ 20,092,422 |
| 1099 | SINOPHARM | 40,603,139 | \$ 818,153,251 |
| 1109 | CHINA RES LAND | 45,598,039 | \$ 1,488,775,973 |
| 1111 | CHONG HING BANK | 0 | \$ 0 |
| 1112 | H&H INTL HLDG | 10,941,425 | \$ 412,491,723 |
| 1113 | CK ASSET | 27,024,291 | \$ 1,247,171,030 |
| 1114 | BRILLIANCE CHI | 164,565,317 | \$ 1,198,035,508 |
| 1117 | CH MODERN D | 4,938,818 | \$ 4,346,160 |
| 1119 | IDREAMSKY | 428,800 | \$ 1,852,416 |
| 1128 | WYNN MACAU | 106,182,507 | \$ 1,543,893,652 |
| 1131 | AGRITRADE RES | 51,285,000 | \$ 4,564,365 |
| 1133 | HARBIN ELECTRIC | 8,425,000 | \$ 19,040,500 |
| 1137 | HKTV | 19,985,313 | \$ 85,337,287 |
| 1138 | COSCO SHIP ENGY | 43,939,953 | \$ 168,729,420 |
| 1141 | CMBC CAPITAL | 65,150,000 | \$ 11,140,650 |
| 1157 | ZOOMLION | 173,235,077 | \$ 1,080,986,880 |

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| 1169 | HAIER ELEC | 19,988,653 | \$ 452,742,990 |
| 1171 | YANZHOU COAL | 112,695,758 | \$ 709,983,275 |
| 1176 | ZHUGUANG HOLD | 2,286,000 | \$ 2,468,880 |
| 1177 | SINO BIOPHARM | 333,229,341 | \$ 4,565,241,972 |
| 1186 | CHINA RAIL CONS | 68,377,070 | \$ 492,998,675 |
| 1193 | CHINA RES GAS | 24,000,584 | \$ 1,008,024,528 |
| 1196 | REALORD GROUP | 0 | \$ 0 |
| 1199 | COSCO SHIP PORT | 15,555,962 | \$ 66,890,637 |
| 1208 | MMG | 205,391,665 | \$ 314,249,247 |
| 1211 | BYD COMPANY | 100,585,562 | \$ 5,205,302,834 |
| 1212 | LIFESTYLE INT'L | 11,221,374 | \$ 75,744,275 |
| 1216 | ZYBANK | 0 | \$ 0 |
| 1221 | SINO HOTELS | 0 | \$ 0 |
| 1224 | C C LAND | 0 | \$ 0 |
| 1233 | TIMES CHINA | 12,080,000 | \$ 164,288,000 |
| 1234 | CHINA LILANG | 4,056,035 | \$ 17,238,149 |
| 1238 | POWERLONG | 7,106,711 | \$ 34,041,146 |
| 1243 | WANG ON PPT | 0 | \$ 0 |
| 1252 | CHINA TIANRUI | 772,000 | \$ 6,191,440 |
| 1257 | CEB GREENTECH | 19,909,093 | \$ 57,935,461 |
| 1258 | CHINFMINING | 0 | \$ 0 |
| 1268 | MEIDONG AUTO | 8,783,872 | \$ 147,042,017 |
| 1282 | GLORY SUN FIN | 6,552,000 | \$ 1,867,320 |
| 1288 | ABC | 305,730,520 | \$ 987,509,580 |
| 1293 | GRAND BAOXIN | 15,506,000 | \$ 14,420,580 |
| 1299 | AIA | 107,336,636 | \$ 7,685,303,138 |
| 1300 | TRIGIANT | 1,210,000 | \$ 1,439,900 |
| 1302 | LIFETECH SCI | 29,196,012 | \$ 49,633,220 |
| 1308 | SITC | 9,121,699 | \$ 73,429,677 |
| 1310 | HKBN | 22,976,970 | \$ 318,920,344 |
| 1313 | CHINARES CEMENT | 29,573,906 | \$ 285,388,193 |
| 1316 | NEXTEER | 66,099,454 | \$ 340,412,188 |
| 1317 | MAPLELEAF EDU | 89,892,973 | \$ 188,775,243 |
| 1333 | CHINA ZHONGWANG | 63,975,447 | \$ 101,081,206 |
| 1336 | NCI | 42,632,724 | \$ 1,123,372,277 |
| 1337 | RAZER | 125,976,472 | \$ 168,808,472 |
| 1339 | PICC GROUP | 74,836,803 | \$ 187,840,376 |
| 1347 | HUA HONG SEMI | 93,995,259 | \$ 1,693,794,567 |
| 1349 | FUDANZHANGJIANG | 977,000 | \$ 5,295,340 |
| 1359 | CHINA CINDA | 182,276,055 | \$ 273,414,083 |
| 1360 | NOVA GROUP | 22,453,671 | \$ 4,041,661 |

Aggregated Reportable Short Positions of Specified Shares as of 05/06/2020

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1366 | JIANGNAN GP | 0 | \$ 0 |
| 1368 | XTEP INT'L | 30,614,931 | \$ 81,741,866 |
| 1375 | CC SECURITIES | 6,902,000 | \$ 8,420,440 |
| 1378 | CHINAHONGQIAO | 49,768,858 | \$ 170,209,494 |
| 1381 | CANVEST ENV | 10,050,832 | \$ 33,268,254 |
| 1382 | PACIFICTEXTILES | 13,083,769 | \$ 47,101,568 |
| 1383 | SUNCITY GROUP | 13,597,505 | \$ 17,540,781 |
| 1385 | SHANGHAI FUDAN | 7,262,968 | \$ 38,711,619 |
| 1398 | ICBC | 369,653,583 | \$ 1,933,288,239 |
| 1426 | SPRING REIT | 0 | \$ 0 |
| 1448 | FU SHOU YUAN | 6,995,651 | \$ 50,578,557 |
| 1458 | ZHOU HEI YA | 44,842,793 | \$ 214,348,551 |
| 1475 | NISSIN FOODS | 6,893,000 | \$ 44,115,200 |
| 1476 | HENGTOU SEC | 0 | \$ 0 |
| 1478 | Q TECH | 13,396,683 | \$ 139,057,570 |
| 1503 | CMC REIT | 0 | \$ 0 |
| 1508 | CHINA RE | 15,975,004 | \$ 13,738,503 |
| 1513 | LIVZON PHARMA | 3,382,791 | \$ 105,035,661 |
| 1515 | CR MEDICAL | 16,948,029 | \$ 87,112,869 |
| 1521 | FRONTAGE | 21,773,157 | \$ 91,447,259 |
| 1528 | RS MACALLINE | 1,061,200 | \$ 5,306,000 |
| 1530 | 3SBIO | 123,826,435 | \$ 1,275,412,281 |
| 1548 | GENSCRIPT BIO | 62,389,545 | \$ 1,197,879,264 |
| 1551 | GRCB | 0 | \$ 0 |
| 1558 | HEC PHARM | 11,319,777 | \$ 332,235,455 |
| 1565 | VIRSCEND EDU | 3,168,000 | \$ 5,607,360 |
| 1568 | SUNDART HLDGS | 0 | \$ 0 |
| 1569 | MINSHENG EDU | 1,878,000 | \$ 2,309,940 |
| 1573 | SOUTHERN ENERGY | 4,502,000 | \$ 3,061,360 |
| 1578 | BANK OF TIANJIN | 0 | \$ 0 |
| 1579 | YIHAI INTL | 14,867,912 | \$ 1,066,029,290 |
| 1585 | YADEA | 7,664,000 | \$ 31,039,200 |
| 1589 | CNLP | 17,760,000 | \$ 53,635,200 |
| 1600 | TIAN LUN GAS | 5,565,240 | \$ 32,389,697 |
| 1606 | CDB LEASING | 0 | \$ 0 |
| 1608 | VPOWER GROUP | 0 | \$ 0 |
| 1610 | COFCO MEAT | 85,779,097 | \$ 200,723,087 |
| 1618 | MCC | 10,941,805 | \$ 13,786,674 |
| 1622 | REDCO GROUP | 14,820,512 | \$ 50,686,151 |
| 1628 | YUZHOU PPT | 36,090,020 | \$ 129,202,272 |
| 1636 | CMRU | 13,062,000 | \$ 39,839,100 |

Aggregated Reportable Short Positions of Specified Shares as of 05/06/2020

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1638 | KAISA GROUP | 60,086,467 | \$ 196,482,747 |
| 1658 | PSBC | 524,653,034 | \$ 2,597,032,518 |
| 1660 | ZHAOBANGJI PPT | 0 | \$ 0 |
| 1666 | TONG REN TANG | 11,926,526 | \$ 76,329,766 |
| 1668 | CHINASOUTH CITY | 44,560,000 | \$ 39,658,400 |
| 1672 | ASCLETIS-B | 21,458,000 | \$ 63,730,260 |
| 1675 | ASIAINFO TECH | 0 | \$ 0 |
| 1680 | MACAU LEGEND | 6,012,233 | \$ 6,192,600 |
| 1681 | CONSUN PHARMA | 5,783,554 | \$ 19,721,919 |
| 1686 | SUNEVISION | 4,294,000 | \$ 21,555,880 |
| 1717 | AUSNUTRIA | 10,803,204 | \$ 175,011,905 |
| 1727 | HEBEI CONS | 2,262,500 | \$ 15,611,250 |
| 1728 | ZHENG TONG AUTO | 116,581,887 | \$ 115,416,068 |
| 1739 | QEEKA HOME | 0 | \$ 0 |
| 1755 | S-ENJOY SERVICE | 3,133,000 | \$ 62,221,380 |
| 1765 | HOPE EDU | 12,940,000 | \$ 31,961,800 |
| 1766 | CRRC | 46,511,210 | \$ 177,672,822 |
| 1769 | SCHOLAR EDU | 0 | \$ 0 |
| 1772 | GANFENGLITHIUM | 3,941,100 | \$ 113,700,735 |
| 1773 | TIANLI EDU | 15,727,000 | \$ 76,275,950 |
| 1776 | GF SEC | 40,029,286 | \$ 333,844,245 |
| 1777 | FANTASIA | 18,212,001 | \$ 26,589,521 |
| 1778 | COLOUR LIFE | 20,572,156 | \$ 72,002,546 |
| 1787 | SD GOLD | 1,962,698 | \$ 42,786,816 |
| 1788 | GUOTAI JUNAN I | 156,584,737 | \$ 156,584,737 |
| 1789 | AK MEDICAL | 11,563,394 | \$ 269,427,080 |
| 1797 | KOOLEARN | 37,938,247 | \$ 1,270,931,275 |
| 1798 | DATANG RENEW | 2,920,000 | \$ 2,131,600 |
| 1799 | XINTE ENERGY | 0 | \$ 0 |
| 1800 | CHINA COMM CONS | 107,595,217 | \$ 540,127,989 |
| 1801 | INNOVENT BIO-B | 9,242,241 | \$ 428,377,870 |
| 1806 | HUIFU PAYMENT | 2,511,200 | \$ 4,620,608 |
| 1809 | PRINX CHENGSHAN | 0 | \$ 0 |
| 1810 | XIAOMI-W | 685,948,044 | \$ 8,931,043,533 |
| 1811 | CGN NEW ENERGY | 28,464,460 | \$ 42,127,401 |
| 1813 | KWG GROUP | 43,768,993 | \$ 566,370,769 |
| 1816 | CGN POWER | 210,371,062 | \$ 363,941,937 |
| 1817 | MULSANNE GROUP | 0 | \$ 0 |
| 1818 | ZHAOJIN MINING | 66,387,662 | \$ 594,833,452 |
| 1821 | ESR | 20,125,000 | \$ 353,395,000 |
| 1829 | CMEC | 5,999,706 | \$ 12,059,409 |

Aggregated Reportable Short Positions of Specified Shares as of 05/06/2020

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1833 | PA GOODDOCTOR | 66,979,348 | \$ 7,073,019,149 |
| 1836 | STELLA HOLDINGS | 4,344,384 | \$ 40,402,771 |
| 1839 | CIMC VEHICLES | 0 | \$ 0 |
| 1848 | CALC | 2,499,033 | \$ 16,618,569 |
| 1857 | CEB WATER | 0 | \$ 0 |
| 1858 | CHUNLI MEDICAL | 1,206,548 | \$ 56,526,774 |
| 1873 | VIVA BIOTECH | 21,855,708 | \$ 162,169,353 |
| 1876 | BUD APAC | 186,156,373 | \$ 4,616,678,050 |
| 1877 | JUNSHI BIO-B | 574,200 | \$ 24,977,700 |
| 1882 | HAITIAN INT'L | 7,222,760 | \$ 115,130,794 |
| 1883 | CITIC TELECOM | 7,946,386 | \$ 21,057,923 |
| 1888 | KB LAMINATES | 35,696,923 | \$ 263,086,323 |
| 1890 | CHINA KEPEI | 4,324,000 | \$ 28,192,480 |
| 1896 | MAOYAN ENT | 6,576,200 | \$ 91,935,276 |
| 1898 | CHINA COAL | 25,540,149 | \$ 50,824,897 |
| 1899 | XINGDA INT'L | 0 | \$ 0 |
| 1907 | CHINA RISUN GP | 947,000 | \$ 1,950,820 |
| 1908 | C&D INTL GROUP | 2,676,000 | \$ 29,221,920 |
| 1910 | SAMSONITE | 81,221,253 | \$ 730,991,277 |
| 1911 | CR HOLDINGS | 172,800 | \$ 1,911,168 |
| 1913 | PRADA | 21,450,633 | \$ 632,793,674 |
| 1916 | JIANGXI BANK | 0 | \$ 0 |
| 1918 | SUNAC | 45,536,387 | \$ 1,634,756,293 |
| 1919 | COSCO SHIP HOLD | 67,720,408 | \$ 149,662,102 |
| 1928 | SANDS CHINA LTD | 60,535,000 | \$ 1,994,628,250 |
| 1929 | CHOW TAI FOOK | 5,717,077 | \$ 36,646,464 |
| 1951 | JXR | 51,467,445 | \$ 537,320,126 |
| 1958 | BAIC MOTOR | 49,847,963 | \$ 175,464,830 |
| 1963 | BCQ | 2,518,827 | \$ 9,924,178 |
| 1966 | CHINA SCE GROUP | 19,668,000 | \$ 68,838,000 |
| 1970 | IMAX CHINA | 3,084,300 | \$ 36,271,368 |
| 1972 | SWIREPROPERTIES | 45,156,971 | \$ 880,560,935 |
| 1988 | MINSHENG BANK | 575,484,111 | \$ 3,199,691,657 |
| 1992 | FOSUN TOURISM | 0 | \$ 0 |
| 1995 | EVERSUNSHINE LS | 25,384,000 | \$ 299,531,200 |
| 1996 | RSUN PPT | 4,665,000 | \$ 12,735,450 |
| 1997 | WHARF REIC | 123,131,762 | \$ 4,094,131,087 |
| 1999 | MAN WAH HLDGS | 14,267,890 | \$ 99,161,836 |
| 2001 | NEW HIGHER EDU | 15,003,000 | \$ 68,413,680 |
| 2005 | SSY GROUP | 68,083,295 | \$ 338,373,976 |
| 2006 | JINJIANGCAPITAL | 32,128,000 | \$ 48,192,000 |

Aggregated Reportable Short Positions of Specified Shares as of 05/06/2020

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|------------------|--|--|
| 2007 | COUNTRY GARDEN | 424,037,981 | \$ 4,316,706,647 |
| 2009 | BBMG | 59,517,603 | \$ 97,608,869 |
| 2013 | WEIMOB INC | 257,141,509 | \$ 2,278,273,770 |
| 2016 | CZBANK | 0 | \$ 0 |
| 2018 | AAC TECH | 121,966,789 | \$ 5,293,358,643 |
| 2019 | DEXIN CHINA | 7,310,000 | \$ 21,345,200 |
| 2020 | ANTA SPORTS | 58,038,853 | \$ 4,463,187,796 |
| 2038 | FIH | 191,733,410 | \$ 178,312,071 |
| 2039 | CIMC | 13,358,690 | \$ 82,823,878 |
| 2048 | E-HOUSE ENT | 3,675,000 | \$ 33,001,500 |
| 2066 | SHENGJINGBANK | 0 | \$ 0 |
| 2098 | ZALL SMARTCOM | 10,450,000 | \$ 8,046,500 |
| 2103 | SINIC HOLDINGS | 0 | \$ 0 |
| 2128 | CHINA LESSO | 18,422,779 | \$ 197,492,191 |
| 2139 | BANK OF GANSU | 1,075,000 | \$ 946,000 |
| 2186 | LUYE PHARMA | 139,981,997 | \$ 564,127,448 |
| 2196 | FOSUN PHARMA | 38,225,340 | \$ 984,302,505 |
| 2202 | CHINA VANKE | 67,718,871 | \$ 1,794,550,082 |
| 2208 | GOLDWIND | 40,956,509 | \$ 312,907,729 |
| 2233 | WESTCHINACEMENT | 12,823,000 | \$ 18,721,580 |
| 2238 | GAC GROUP | 190,233,454 | \$ 1,293,587,487 |
| 2269 | WUXI BIO | 17,373,919 | \$ 2,277,720,781 |
| 2282 | MGM CHINA | 78,117,796 | \$ 793,676,807 |
| 2299 | BILLION IND | 0 | \$ 0 |
| 2313 | SHENZHOU INTL | 29,945,559 | \$ 3,054,447,018 |
| 2314 | LEE & MAN PAPER | 24,513,047 | \$ 106,876,885 |
| 2318 | PING AN | 924,021,389 | \$ 75,169,139,995 |
| 2319 | MENGNU DAIRY | 76,584,840 | \$ 2,270,740,506 |
| 2322 | HK CHAOSHANG GP | 1,512,000 | \$ 1,481,760 |
| 2328 | PICC P&C | 29,687,387 | \$ 214,639,808 |
| 2329 | GUORUI PPT | 5,752,000 | \$ 6,787,360 |
| 2331 | LI NING | 35,406,888 | \$ 966,608,042 |
| 2333 | GREATWALL MOTOR | 321,229,455 | \$ 1,696,091,522 |
| 2338 | WEICHAJ POWER | 64,692,026 | \$ 931,565,174 |
| 2342 | COMBA | 164,204,652 | \$ 523,812,840 |
| 2343 | PACIFIC BASIN | 265,120,097 | \$ 302,236,911 |
| 2356 | DAHSHING BANKING | 698,400 | \$ 5,014,512 |
| 2357 | AVICHINA | 118,391,545 | \$ 474,750,095 |
| 2359 | WUXI APPTTEC | 26,323,687 | \$ 2,365,183,277 |
| 2362 | JINCHUAN INTL | 39,777,384 | \$ 20,684,240 |
| 2378 | PRU | 0 | \$ 0 |

Aggregated Reportable Short Positions of Specified Shares as of 05/06/2020

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 2380 | CHINA POWER | 83,755,807 | \$ 145,735,104 |
| 2382 | SUNNY OPTICAL | 37,586,750 | \$ 4,284,889,500 |
| 2383 | TOM GROUP | 0 | \$ 0 |
| 2386 | SINOPEC SEG | 15,982,463 | \$ 53,860,900 |
| 2388 | BOC HONG KONG | 60,435,951 | \$ 1,480,680,800 |
| 2400 | XD INC | 1,334,535 | \$ 36,899,893 |
| 2500 | VENUS MEDTECH-B | 2,166,455 | \$ 133,886,919 |
| 2552 | HUA MEDICINE-B | 0 | \$ 0 |
| 2558 | JINSHANG BANK | 0 | \$ 0 |
| 2588 | BOC AVIATION | 13,306,736 | \$ 686,627,578 |
| 2600 | CHALCO | 115,356,389 | \$ 182,263,095 |
| 2601 | CPIC | 137,660,501 | \$ 3,124,893,373 |
| 2607 | SH PHARMA | 10,824,190 | \$ 136,601,278 |
| 2608 | SUNSHINE 100 | 0 | \$ 0 |
| 2611 | GTJA | 4,813,709 | \$ 53,432,170 |
| 2616 | CSTONE PHARMA-B | 6,188,000 | \$ 56,187,040 |
| 2628 | CHINA LIFE | 91,750,784 | \$ 1,447,827,372 |
| 2638 | HKELECTRIC-SS | 67,200,127 | \$ 532,225,006 |
| 2666 | UNI MEDICAL | 24,501,965 | \$ 124,224,963 |
| 2669 | CHINA OVS PPT | 33,079,899 | \$ 271,255,172 |
| 2678 | TEXHONG TEXTILE | 795,154 | \$ 4,977,664 |
| 2688 | ENN ENERGY | 11,750,570 | \$ 1,102,203,466 |
| 2689 | ND PAPER | 107,426,486 | \$ 750,911,137 |
| 2696 | HENLIUS-B | 0 | \$ 0 |
| 2727 | SH ELECTRIC | 22,149,450 | \$ 53,158,680 |
| 2768 | JIAYUAN INTL | 6,588,760 | \$ 20,425,156 |
| 2772 | ZHONGLIANG HLDG | 1,600,500 | \$ 9,314,910 |
| 2777 | R&F PROPERTIES | 82,787,753 | \$ 744,261,899 |
| 2778 | CHAMPION REIT | 8,509,000 | \$ 33,100,010 |
| 2799 | CHINA HUARONG | 297,225,286 | \$ 246,696,987 |
| 2800 | TRACKER FUND | 34,520,242 | \$ 867,838,884 |
| 2801 | ISHARES CHINA | 0 | \$ 0 |
| 2802 | ISHARES EM ASIA | 0 | \$ 0 |
| 2803 | PP BEDROCK | 0 | \$ 0 |
| 2804 | PREMIA VIET | 0 | \$ 0 |
| 2805 | VANGUARDASXJP | 0 | \$ 0 |
| 2806 | GX CN CONSUME | 0 | \$ 0 |
| 2809 | GX CN CLN EN | 0 | \$ 0 |
| 2810 | PREMIAEMASEAN | 0 | \$ 0 |
| 2811 | HT CSI300 ETF | 0 | \$ 0 |
| 2812 | SAMUNGCDRAGON | 0 | \$ 0 |

Aggregated Reportable Short Positions of Specified Shares as of 05/06/2020

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 2813 | CAM CTPB BOND | 0 | \$ 0 |
| 2819 | ABF HK IDX ETF | 0 | \$ 0 |
| 2820 | GX CN BIOTECH | 0 | \$ 0 |
| 2821 | ABF PAIF | 0 | \$ 0 |
| 2822 | CSOP A50 ETF | 83,878,312 | \$ 1,202,814,994 |
| 2823 | ISHARES A50 | 83,802,662 | \$ 1,209,272,413 |
| 2824 | LIPPO HK&M PROP | 0 | \$ 0 |
| 2825 | WISECSIHK100ETF | 0 | \$ 0 |
| 2826 | GX CN CLOUD | 0 | \$ 0 |
| 2827 | WISE CSI300 ETF | 0 | \$ 0 |
| 2828 | HSCEI ETF | 5,244,650 | \$ 539,150,020 |
| 2832 | BOSERA FA50 | 0 | \$ 0 |
| 2833 | HS HSI ETF | 0 | \$ 0 |
| 2834 | ISHARESND100 | 0 | \$ 0 |
| 2836 | ISHARES INDIA | 0 | \$ 0 |
| 2838 | HS FCI50 ETF | 0 | \$ 0 |
| 2840 | SPDR GOLD TRUST | 76,212 | \$ 94,845,834 |
| 2843 | AMUNDI A50 | 0 | \$ 0 |
| 2845 | GX CN ELE VEH | 0 | \$ 0 |
| 2846 | ISHARESCSI300 | 0 | \$ 0 |
| 2848 | TRMSCIKOREA | 0 | \$ 0 |
| 2858 | YIXIN | 37,138,803 | \$ 60,907,637 |
| 2866 | COSCO SHIP DEV | 48,046,025 | \$ 37,956,360 |
| 2868 | BJ CAPITAL LAND | 19,374,425 | \$ 28,867,893 |
| 2869 | GREENTOWN SER | 69,012,501 | \$ 705,307,760 |
| 2877 | SHINeway PHARM | 6,331,000 | \$ 32,541,340 |
| 2880 | DALIAN PORT | 3,524,000 | \$ 2,431,560 |
| 2883 | CHINA OILFIELD | 47,513,791 | \$ 363,480,501 |
| 2888 | STANCHART | 1,143,150 | \$ 49,955,655 |
| 2899 | ZIJIN MINING | 70,440,647 | \$ 221,183,632 |
| 3005 | CSOPCSI500ETF | 0 | \$ 0 |
| 3007 | TRFXIC50 | 0 | \$ 0 |
| 3010 | ISHARES AXJ | 0 | \$ 0 |
| 3011 | A ICBCICUSD | 0 | \$ 0 |
| 3012 | AMUNDI HK35 | 0 | \$ 0 |
| 3015 | X TRNIFTY50 | 0 | \$ 0 |
| 3016 | TRMSCIPHIL | 0 | \$ 0 |
| 3019 | X TRMSCIWORLD | 0 | \$ 0 |
| 3020 | X TRMSCIUSA | 0 | \$ 0 |
| 3023 | PA AI&ROBOTICS | 0 | \$ 0 |
| 3024 | WISE SSE50ETF | 0 | \$ 0 |

Aggregated Reportable Short Positions of Specified Shares as of 05/06/2020

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 3027 | X TRMSCIRUSSIA | 0 | \$ 0 |
| 3036 | TRMSCITAIWAN | 0 | \$ 0 |
| 3040 | GX MSCI CHINA | 0 | \$ 0 |
| 3043 | TRMSCIPACEXJ | 0 | \$ 0 |
| 3046 | VALUE CHINA ETF | 0 | \$ 0 |
| 3047 | F SSIFIRONORE | 0 | \$ 0 |
| 3048 | TRMSCIBRAZIL | 0 | \$ 0 |
| 3049 | X TRCSI300 | 0 | \$ 0 |
| 3053 | CSOP HKD MM | 0 | \$ 0 |
| 3055 | TRMSCICHINA | 0 | \$ 0 |
| 3065 | TRMSCISG | 0 | \$ 0 |
| 3070 | PING AN HKDIV | 0 | \$ 0 |
| 3072 | NIKKOAM INET | 0 | \$ 0 |
| 3073 | SPDR GREATCHINA | 0 | \$ 0 |
| 3074 | ISHARESMSCITW | 0 | \$ 0 |
| 3077 | PREMIA UST | 0 | \$ 0 |
| 3079 | CICC CGB | 0 | \$ 0 |
| 3080 | PING AN CGB | 0 | \$ 0 |
| 3081 | VALUEGOLD ETF | 0 | \$ 0 |
| 3082 | TRMSCIMALAY | 0 | \$ 0 |
| 3085 | VANGUARDAXJHY | 0 | \$ 0 |
| 3086 | BMO NASDAQ100 | 0 | \$ 0 |
| 3087 | X TRFTSEVIET | 0 | \$ 0 |
| 3092 | TRMSCITHAI | 0 | \$ 0 |
| 3093 | CICCSELECT100 | 0 | \$ 0 |
| 3095 | VALUE A SHARE | 0 | \$ 0 |
| 3096 | CSOP USD MM | 0 | \$ 0 |
| 3097 | F GX OIL | 0 | \$ 0 |
| 3099 | X TRMSCIINDO | 0 | \$ 0 |
| 3100 | EFUND CSI100 | 0 | \$ 0 |
| 3101 | VANGUARDDDVEUR | 0 | \$ 0 |
| 3110 | GX HS HIGH DIV | 0 | \$ 0 |
| 3115 | ISHARESHSI | 0 | \$ 0 |
| 3118 | HGI MSCI CN A | 0 | \$ 0 |
| 3121 | BMO AP REAL EST | 0 | \$ 0 |
| 3122 | CSOP RMB MM | 0 | \$ 0 |
| 3126 | VANGUARDJAPAN | 0 | \$ 0 |
| 3127 | GX CSI300 | 0 | \$ 0 |
| 3128 | HS AINDTOPETF | 0 | \$ 0 |
| 3140 | VANGUARDSP500 | 0 | \$ 0 |
| 3141 | BMO ASIA IGB | 0 | \$ 0 |

Aggregated Reportable Short Positions of Specified Shares as of 05/06/2020

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 3143 | BMO HK BANKS | 0 | \$ 0 |
| 3145 | BMO ASIA HIGHDV | 0 | \$ 0 |
| 3147 | CSOP CHINEXT | 0 | \$ 0 |
| 3149 | CSOP MSCI | 0 | \$ 0 |
| 3157 | CAM SCSMALLCAP | 0 | \$ 0 |
| 3160 | BMO JAPAN HDG | 0 | \$ 0 |
| 3163 | PA MULTI-FACTOR | 0 | \$ 0 |
| 3165 | BMO EU QLTY HDG | 0 | \$ 0 |
| 3166 | PA QLTY FACTOR | 0 | \$ 0 |
| 3167 | ICBCCSOPCHINA | 0 | \$ 0 |
| 3169 | VANGUARDCHINA | 0 | \$ 0 |
| 3170 | ISHARESXS200 | 0 | \$ 0 |
| 3173 | PP CN NEWECON | 0 | \$ 0 |
| 3175 | F SAMSUNG OIL | 0 | \$ 0 |
| 3181 | PREMIAASIA TEC | 0 | \$ 0 |
| 3182 | WISE NEW ECON50 | 0 | \$ 0 |
| 3186 | CICCKRANECNET | 0 | \$ 0 |
| 3188 | CAM CSI300 | 55,173,031 | \$ 2,466,234,486 |
| 3197 | CAM MSCI AINC | 0 | \$ 0 |
| 3198 | PING AN 5HANDL | 0 | \$ 0 |
| 3199 | CSOP CTPBBOND | 0 | \$ 0 |
| 3301 | RONSHINECHINA | 10,885,500 | \$ 83,274,075 |
| 3306 | JNBY | 3,012,500 | \$ 21,750,250 |
| 3308 | GOLDEN EAGLE | 0 | \$ 0 |
| 3309 | C-MER EYE | 5,496,000 | \$ 41,274,960 |
| 3311 | CHINA STATE CON | 49,540,218 | \$ 253,645,916 |
| 3319 | A-LIVING | 22,891,118 | \$ 949,981,397 |
| 3320 | CHINARES PHARMA | 15,822,513 | \$ 74,524,036 |
| 3323 | CNBM | 52,085,714 | \$ 466,687,997 |
| 3328 | BANKCOMM | 105,324,392 | \$ 524,515,472 |
| 3331 | VINDA INT'L | 22,842,794 | \$ 563,074,872 |
| 3333 | EVERGRANDE | 241,111,447 | \$ 4,330,361,588 |
| 3336 | JU TENG INTL | 4,439,424 | \$ 11,320,531 |
| 3339 | LONKING | 24,752,891 | \$ 59,406,938 |
| 3360 | FE HORIZON | 22,177,521 | \$ 155,907,973 |
| 3377 | SINO-OCEAN GP | 41,882,122 | \$ 80,413,674 |
| 3380 | LOGAN PPT | 20,067,507 | \$ 254,857,339 |
| 3383 | AGILE GROUP | 80,821,026 | \$ 745,978,070 |
| 3393 | WASION HOLDINGS | 1,232,000 | \$ 3,535,840 |
| 3396 | LEGENDHOLDING | 11,673,112 | \$ 114,513,229 |
| 3603 | XINJI SHAXI | 0 | \$ 0 |

Aggregated Reportable Short Positions of Specified Shares as of 05/06/2020

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 3606 | FUYAO GLASS | 11,827,594 | \$ 225,907,045 |
| 3613 | TONGRENTANGCM | 10,287,668 | \$ 110,695,308 |
| 3618 | CQRC BANK | 21,846,749 | \$ 67,724,922 |
| 3633 | ZHONGYU GAS | 4,690,722 | \$ 35,133,508 |
| 3639 | YIDA CHINA | 0 | \$ 0 |
| 3662 | AOYUAN HEALTHY | 15,523,849 | \$ 140,646,072 |
| 3668 | YANCOAL AUS | 0 | \$ 0 |
| 3669 | YONGDA AUTO | 9,473,705 | \$ 78,631,752 |
| 3690 | MEITUAN-W | 130,026,447 | \$ 20,674,205,073 |
| 3692 | HANSOH PHARMA | 43,320,535 | \$ 1,581,199,528 |
| 3709 | EEKA FASHION | 1,288,000 | \$ 10,407,040 |
| 3759 | PHARMARON | 5,590,100 | \$ 402,487,200 |
| 3799 | DALI FOODS | 51,858,936 | \$ 257,220,323 |
| 3800 | GCL-POLY ENERGY | 1,420,544,461 | \$ 369,341,560 |
| 3808 | SINOTRUK | 58,139,276 | \$ 1,159,297,163 |
| 3813 | POU SHENG INT'L | 10,413,000 | \$ 18,951,660 |
| 3818 | CHINA DONGXIANG | 11,896,300 | \$ 7,494,669 |
| 3836 | HARMONY AUTO | 14,911,805 | \$ 55,173,679 |
| 3866 | BQD | 0 | \$ 0 |
| 3868 | XINYI ENERGY | 5,332,000 | \$ 11,730,400 |
| 3877 | CSSC SHIPPING | 0 | \$ 0 |
| 3883 | CHINA AOYUAN | 13,463,179 | \$ 117,398,921 |
| 3888 | KINGSOFT | 80,831,011 | \$ 2,073,315,432 |
| 3898 | CRRR TIMES ELEC | 7,235,157 | \$ 161,705,759 |
| 3899 | CIMC ENRIC | 28,342,500 | \$ 94,947,375 |
| 3900 | GREENTOWN CHINA | 13,872,610 | \$ 112,923,045 |
| 3908 | CICC | 153,101,991 | \$ 2,195,482,551 |
| 3918 | NAGACORP | 21,533,700 | \$ 225,673,176 |
| 3933 | UNITED LAB | 89,273,887 | \$ 634,737,337 |
| 3958 | DFZQ | 4,883,600 | \$ 19,534,400 |
| 3968 | CM BANK | 124,740,647 | \$ 4,827,463,039 |
| 3969 | CHINA CRSC | 28,940,246 | \$ 104,474,288 |
| 3983 | CHINA BLUECHEM | 10,769,278 | \$ 13,030,826 |
| 3988 | BANK OF CHINA | 480,830,955 | \$ 1,428,067,936 |
| 3990 | MIDEA REAL EST | 3,123,399 | \$ 64,810,529 |
| 3993 | CMOC | 248,011,596 | \$ 632,429,570 |
| 3996 | CH ENERGY ENG | 0 | \$ 0 |
| 3998 | BOSIDENG | 277,844,253 | \$ 580,694,489 |
| 4332 | AMGEN-T | 0 | \$ 0 |
| 4333 | CISCO-T | 0 | \$ 0 |
| 4335 | INTEL-T | 0 | \$ 0 |

Aggregated Reportable Short Positions of Specified Shares as of 05/06/2020

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-------------------|--|--|
| 4336 | APPL MATERIAL-T | 0 | \$ 0 |
| 4337 | STARBUCKS-T | 0 | \$ 0 |
| 4338 | MICROSOFT-T | 0 | \$ 0 |
| 6030 | CITIC SEC | 238,347,530 | \$ 3,603,814,654 |
| 6049 | POLY PPT DEV | 1,594,383 | \$ 139,667,951 |
| 6055 | CTIHK | 6,736,883 | \$ 114,257,536 |
| 6060 | ZA ONLINE | 48,381,591 | \$ 1,412,742,457 |
| 6066 | CSC | 17,443,000 | \$ 146,521,200 |
| 6068 | WISDOM EDU INTL | 1,186,000 | \$ 3,474,980 |
| 6069 | SHENGYE CAPITAL | 2,636,000 | \$ 18,135,680 |
| 6088 | FIT HON TENG | 38,741,000 | \$ 98,789,550 |
| 6098 | CG SERVICES | 101,163,645 | \$ 3,722,822,136 |
| 6099 | CMSC | 843,200 | \$ 6,745,600 |
| 6100 | TALENT LIEPIN | 362,200 | \$ 6,751,408 |
| 6110 | TOPSPORTS | 35,326,305 | \$ 412,611,242 |
| 6122 | JIUTAI RCB | 0 | \$ 0 |
| 6138 | HARBIN BANK | 0 | \$ 0 |
| 6158 | ZHENRO PPT | 23,958,517 | \$ 122,188,437 |
| 6160 | BEIGENE | 0 | \$ 0 |
| 6166 | CHINA VAST | 2,579,000 | \$ 7,891,740 |
| 6169 | YUHUA EDU | 149,002,703 | \$ 1,050,469,056 |
| 6178 | EB SECURITIES | 2,130,804 | \$ 10,568,788 |
| 6185 | CANSINO BIO-B | 4,415,964 | \$ 815,186,954 |
| 6186 | CHINA FEIHE | 60,686,000 | \$ 907,862,560 |
| 6196 | BANK OF ZHENGZHOU | 0 | \$ 0 |
| 6198 | QINGDAO PORT | 3,836,194 | \$ 16,725,806 |
| 6199 | BANK OF GUIZHOU | 0 | \$ 0 |
| 6806 | SWHY | 5,492,800 | \$ 10,765,888 |
| 6808 | SUNART RETAIL | 107,426,451 | \$ 1,205,324,780 |
| 6818 | CEB BANK | 18,870,626 | \$ 60,952,122 |
| 6823 | HKT-SS | 25,754,824 | \$ 298,240,862 |
| 6826 | HAOHAI BIOTEC | 514,385 | \$ 17,874,879 |
| 6837 | HAITONG SEC | 92,056,183 | \$ 578,112,829 |
| 6855 | ASCENTAGE-B | 0 | \$ 0 |
| 6862 | HAIDILAO | 77,141,685 | \$ 2,973,811,957 |
| 6865 | FLAT GLASS | 16,061,906 | \$ 96,050,198 |
| 6868 | TENFU | 0 | \$ 0 |
| 6869 | YOFC | 20,353,766 | \$ 293,094,230 |
| 6881 | CGS | 136,472,655 | \$ 545,890,620 |
| 6886 | HTSC | 80,753,814 | \$ 1,017,498,056 |
| 6889 | DYNAM JAPAN | 240,400 | \$ 1,771,748 |

Aggregated Reportable Short Positions of Specified Shares as of 05/06/2020

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|------------------|--|--|
| 6919 | RENUI HR | 250,200 | \$ 10,108,080 |
| 7200 | FL2 CSOP HSI | 0 | \$ 0 |
| 7205 | FL2SAMSUNGHSI | 0 | \$ 0 |
| 7221 | FL2CAMDXNHSI | 0 | \$ 0 |
| 7228 | FL2SAMSUNGHSCEI | 0 | \$ 0 |
| 7230 | FL2 GX HSCEI | 0 | \$ 0 |
| 7231 | FL2 GX HSI | 0 | \$ 0 |
| 7242 | FL2EFUND YT HSI | 0 | \$ 0 |
| 7261 | FL2CAMDXNNDQ100 | 0 | \$ 0 |
| 7266 | FL2CSOPNASDAQ | 0 | \$ 0 |
| 7267 | FL2CAMDXNHSCEI | 0 | \$ 0 |
| 7288 | FL2 CSOP HSCEI | 0 | \$ 0 |
| 7299 | FL2CSOPGOLD | 0 | \$ 0 |
| 7300 | FI CSOP HSI | 0 | \$ 0 |
| 7302 | FI EFUND YT HSI | 0 | \$ 0 |
| 7312 | FISAMSUNGHSI | 0 | \$ 0 |
| 7321 | FICAMDXNHSI | 0 | \$ 0 |
| 7322 | XI GX S&P500 | 0 | \$ 0 |
| 7328 | FISAMSUNGHSCEI | 0 | \$ 0 |
| 7331 | FICAMDXNNDQ100 | 0 | \$ 0 |
| 7336 | FI GX HSI | 0 | \$ 0 |
| 7341 | FICAMDXNHSCEI | 0 | \$ 0 |
| 7362 | FI GX HSCEI | 0 | \$ 0 |
| 7500 | FI2 CSOP HSI | 74,875,700 | \$ 512,524,167 |
| 7522 | FI2CAMDXNNDQ100 | 0 | \$ 0 |
| 7568 | FI2CSOPNASDAQ | 0 | \$ 0 |
| 7588 | FI2 CSOP HSCEI | 0 | \$ 0 |
| 8032 | VIVA CHINA | 0 | \$ 0 |
| 8083 | CHINA YOUZAN | 213,743,183 | \$ 185,956,569 |
| 9010 | ISHARES AXJ-U | 0 | \$ 0 |
| 9011 | A ICBCICCDUSD-U | 0 | \$ 0 |
| 9047 | F SSIFIRONORE-U | 0 | \$ 0 |
| 9072 | NIKKOAM INET-U | 0 | \$ 0 |
| 9074 | ISHAREMSCITW-U | 0 | \$ 0 |
| 9077 | PREMIA UST-U | 0 | \$ 0 |
| 9078 | PREMIA UST A-U | 0 | \$ 0 |
| 9081 | VALUEGOLD ETF-U | 0 | \$ 0 |
| 9085 | VANGUARDAXJHY-U | 0 | \$ 0 |
| 9086 | BMO NASDAQ100-U | 0 | \$ 0 |
| 9096 | CSOP USD MM-U | 0 | \$ 0 |
| 9101 | VANGUARDDDVEUR-U | 0 | \$ 0 |

Aggregated Reportable Short Positions of Specified Shares as of 05/06/2020

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|------------------|--|--|
| 9115 | ISHARESHSI-U | 0 | \$ 0 |
| 9126 | VANGUARDJAPAN-U | 0 | \$ 0 |
| 9140 | VANGUARDSP500-U | 0 | \$ 0 |
| 9141 | BMO ASIA IGB-U | 0 | \$ 0 |
| 9167 | ICBCCSOPCHINA-U | 0 | \$ 0 |
| 9169 | VANGUARDCHINA-U | 0 | \$ 0 |
| 9170 | ISHARESXS200-U | 0 | \$ 0 |
| 9173 | PP CN NEWCON-U | 0 | \$ 0 |
| 9181 | PREMIAASIA TEC-U | 0 | \$ 0 |
| 9186 | CICCKRANECNET-U | 0 | \$ 0 |
| 9803 | PP BEDROCK-U | 0 | \$ 0 |
| 9804 | PREMIA VIET-U | 0 | \$ 0 |
| 9805 | VANGUARDASXJP-U | 0 | \$ 0 |
| 9806 | GX CN CONSUME-U | 0 | \$ 0 |
| 9809 | GX CN CLN EN-U | 0 | \$ 0 |
| 9810 | PREMIAEMASEAN-U | 0 | \$ 0 |
| 9820 | GX CN BIOTECH-U | 0 | \$ 0 |
| 9826 | GX CN CLOUD-U | 0 | \$ 0 |
| 9834 | ISHARESND100-U | 0 | \$ 0 |
| 9836 | ISHARES INDIA-U | 0 | \$ 0 |
| 9845 | GX CN ELE VEH-U | 0 | \$ 0 |
| 9846 | ISHARESCSI300-U | 0 | \$ 0 |
| 9909 | POWERLONG CM | 692,220 | \$ 11,449,319 |
| 9922 | JIUMAOJIU | 1,081,810 | \$ 15,210,249 |
| 9928 | TIMES NEIGHBOR | 4,532,764 | \$ 45,780,916 |
| 9966 | ALPHAMAB-B | 3,578,341 | \$ 67,344,378 |
| 9988 | BABA-SW | 48,861,403 | \$ 10,368,389,717 |
| 80737 | BAY AREA DEV-R | 0 | \$ 0 |
| 82805 | VANGUARDASXJP-R | 0 | \$ 0 |
| 82811 | HT CSI300 ETF-R | 0 | \$ 0 |
| 82813 | CAM CTPB BOND-R | 0 | \$ 0 |
| 82822 | CSOP A50 ETF-R | 0 | \$ 0 |
| 82823 | ISHARES A50-R | 0 | \$ 0 |
| 82828 | HSCEI ETF-R | 0 | \$ 0 |
| 82832 | BOSERA FA50-R | 0 | \$ 0 |
| 82833 | HS HSI ETF-R | 0 | \$ 0 |
| 82834 | ISHARESND100-R | 0 | \$ 0 |
| 82836 | ISHARES INDIA-R | 0 | \$ 0 |
| 82843 | AMUNDI A50-R | 0 | \$ 0 |
| 82846 | ISHARESCSI300-R | 0 | \$ 0 |
| 83005 | CSOPCSI500ETF-R | 0 | \$ 0 |

Aggregated Reportable Short Positions of Specified Shares as of 05/06/2020

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|------------------|--|--|
| 83010 | ISHARES AXJ-R | 0 | \$ 0 |
| 83012 | AMUNDI HK35-R | 0 | \$ 0 |
| 83053 | CSOP HKD MM-R | 0 | \$ 0 |
| 83074 | ISHARESMSCITW-R | 0 | \$ 0 |
| 83079 | CICC CGB-R | 0 | \$ 0 |
| 83081 | VALUEGOLD ETF-R | 0 | \$ 0 |
| 83085 | VANGUARDAXJHY-R | 0 | \$ 0 |
| 83093 | CICCSELECT100-R | 0 | \$ 0 |
| 83095 | VALUE A SHARE-R | 0 | \$ 0 |
| 83100 | EFUND CSI100-R | 0 | \$ 0 |
| 83101 | VANGUARDDDVEUR-R | 0 | \$ 0 |
| 83115 | ISHARESHSI-R | 0 | \$ 0 |
| 83118 | HGI MSCI CN A-R | 0 | \$ 0 |
| 83122 | CSOP RMB MM-R | 0 | \$ 0 |
| 83126 | VANGUARDJAPAN-R | 0 | \$ 0 |
| 83127 | GX CSI300-R | 0 | \$ 0 |
| 83128 | HS AINDTOPETF-R | 0 | \$ 0 |
| 83140 | VANGUARDSP500-R | 0 | \$ 0 |
| 83147 | CSOP CHINEXT-R | 0 | \$ 0 |
| 83149 | CSOP MSCI-R | 0 | \$ 0 |
| 83167 | ICBCCSOPCHINA-R | 0 | \$ 0 |
| 83168 | HS RMB GOLD ETF | 0 | \$ 0 |
| 83169 | VANGUARDCHINA-R | 0 | \$ 0 |
| 83170 | ISHARESKS200-R | 0 | \$ 0 |
| 83186 | CICCKRANECNET-R | 0 | \$ 0 |
| 83188 | CAM CSI300-R | 0 | \$ 0 |
| 83197 | CAM MSCI AINC-R | 0 | \$ 0 |
| 83199 | CSOP CTPBBOND-R | 0 | \$ 0 |
| 87001 | HUI XIAN REIT | 0 | \$ 0 |