

Aggregated Reportable Short Positions of Specified Shares as of 11/09/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	11,042,427	\$ 536,109,831
2	CLP HOLDINGS	18,300,399	\$ 1,362,464,706
3	HK & CHINA GAS	478,501,656	\$ 5,474,058,945
4	WHARF HOLDINGS	29,049,686	\$ 413,667,529
5	HSBC HOLDINGS	127,048,065	\$ 4,097,300,096
6	POWER ASSETS	22,170,692	\$ 925,626,391
8	PCCW	56,796,614	\$ 273,759,679
10	HANG LUNG GROUP	7,818,984	\$ 146,840,520
11	HANG SENG BANK	57,664,490	\$ 6,994,702,637
12	HENDERSON LAND	19,414,322	\$ 565,927,486
14	HYSAN DEV	19,012,631	\$ 458,204,407
16	SHK PPT	19,072,629	\$ 1,911,077,426
17	NEW WORLD DEV	22,201,764	\$ 863,648,620
19	SWIRE PACIFIC A	16,160,363	\$ 648,838,574
23	BANK OF E ASIA	32,413,330	\$ 545,840,477
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	39,304,840	\$ 2,350,429,432
28	TIAN AN	0	\$ 0
29	DYNAMIC HOLD	0	\$ 0
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	3,352,784	\$ 8,247,849
41	GREAT EAGLE H	445,147	\$ 9,592,918
43	C.P. POKPHAND	0	\$ 0
45	HK&S HOTELS	2,836,313	\$ 17,698,593
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	1,235,892	\$ 24,075,176
53	GUOCO GROUP	0	\$ 0
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
66	MTR CORPORATION	83,587,644	\$ 3,297,532,556
69	SHANGRI-LA ASIA	45,893,429	\$ 308,862,777
71	MIRAMAR HOTEL	151,000	\$ 2,092,860
75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	291,477	\$ 883,175
81	CH OVS G OCEANS	11,441,846	\$ 54,234,350
83	SINO LAND	37,374,715	\$ 358,797,264
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	139,000	\$ 681,100
95	LVGEM CHINA	9,488,000	\$ 26,945,920

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101	HANG LUNG PPT	79,542,537	\$ 1,594,827,867
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	1,330,000	\$ 2,354,100
112	LERTHAI GROUP	0	\$ 0
116	CHOW SANG SANG	3,810,155	\$ 31,738,591
119	POLY PROPERTY	15,117,948	\$ 35,527,178
120	COSMOPOL INT'L	1,640,000	\$ 2,197,600
123	YUEXIU PROPERTY	18,510,542	\$ 27,580,708
127	CHINESE EST H	992,500	\$ 4,654,825
135	KUNLUN ENERGY	56,601,140	\$ 293,759,917
136	HENGTEN NET	1,757,329,727	\$ 544,772,215
142	FIRST PACIFIC	10,035,553	\$ 19,970,750
144	CHINA MER PORT	30,541,154	\$ 266,318,863
148	KINGBOARD HLDG	8,041,119	\$ 209,069,094
151	WANT WANT CHINA	442,162,922	\$ 2,387,679,779
152	SHENZHEN INT'L	8,387,662	\$ 106,187,801
158	MELBOURNE ENT	0	\$ 0
163	EMPEROR INT'L	0	\$ 0
165	CHINA EB LTD	16,666,608	\$ 189,332,667
168	TSINGTAO BREW	15,953,698	\$ 1,048,955,644
171	SILVER GRANT	3,874,000	\$ 3,564,080
173	K. WAH INT'L	1,531,824	\$ 5,560,521
175	GEELY AUTO	122,674,642	\$ 1,987,329,200
177	JIANGSU EXPRESS	18,833,333	\$ 150,854,997
178	SA SA INT'L	94,896,615	\$ 135,702,159
179	JOHNSON ELEC H	2,762,832	\$ 41,442,480
182	CONCORD NE	20,520,000	\$ 7,182,000
189	DONGYUE GROUP	29,498,756	\$ 92,036,119
194	LIU CHONG HING	0	\$ 0
200	MELCO INT'L DEV	33,417,748	\$ 554,734,617
207	JOY CITY PPT	0	\$ 0
220	U-PRESID CHINA	15,180,561	\$ 109,300,039
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	0	\$ 0
241	ALI HEALTH	99,898,185	\$ 1,804,161,221
242	SHUN TAK HOLD	80,363,248	\$ 216,177,137
247	TST PROPERTIES	0	\$ 0
256	CITYCHAMP	4,528,000	\$ 7,335,360
257	CHINA EB INT'L	120,818,062	\$ 537,640,376
261	GBA HOLDINGS	0	\$ 0
267	CITIC	26,823,848	\$ 179,988,020

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
268	KINGDEE INT'L	192,277,750	\$ 3,476,381,720
270	GUANGDONG INV	16,360,209	\$ 210,719,492
272	SHUI ON LAND	53,835,569	\$ 54,912,280
285	BYD ELECTRONIC	69,339,557	\$ 2,627,969,210
286	AIDIGONG	4,956,000	\$ 3,171,840
288	WH GROUP	215,416,696	\$ 1,426,058,528
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	36,994,491	\$ 1,823,828,406
293	CATHAY PAC AIR	33,746,766	\$ 210,917,288
297	SINOFERT	4,660,000	\$ 3,401,800
302	CMGE	20,486,000	\$ 66,784,360
303	VTECH HOLDINGS	4,867,079	\$ 210,744,521
308	CHINA TRAVEL HK	70,276,110	\$ 83,628,571
315	SMARTONE TELE	6,722,736	\$ 28,235,491
316	OOIL	0	\$ 0
317	COMEC	8,405,751	\$ 65,312,685
322	TINGYI	88,793,817	\$ 1,282,182,717
323	MAANSHAN IRON	10,957,396	\$ 22,133,940
326	CHINA STAR ENT	580,000	\$ 846,800
327	PAX GLOBAL	13,517,468	\$ 57,314,064
336	HUABAO INTL	23,812,107	\$ 162,874,812
337	GREENLAND HK	4,003,000	\$ 9,967,470
338	SHANGHAI PEICHEM	184,861,399	\$ 284,686,554
341	CAFE DE CORAL H	15,365,226	\$ 260,901,537
345	VITASOY INT'L	74,608,778	\$ 2,089,045,784
347	ANGANG STEEL	123,901,031	\$ 267,626,227
354	CHINASOFT INT'L	208,055,444	\$ 1,144,304,942
358	JIANGXI COPPER	30,363,898	\$ 287,849,753
363	SHANGHAI IND H	12,299,185	\$ 139,472,758
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	208,015,461	\$ 646,928,084
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
382	EDVANTAGE GROUP	930,000	\$ 5,431,200
383	CHINA MED&HCARE	3,480,000	\$ 522,000
384	CHINA GAS HOLD	100,430,665	\$ 2,214,496,163
386	SINOPEC CORP	296,050,237	\$ 1,003,610,303
388	HKEX	11,331,204	\$ 4,181,214,276
390	CHINA RAILWAY	97,588,467	\$ 371,812,059
392	BEIJING ENT	7,952,710	\$ 194,443,760
405	YUEXIU REIT	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 11/09/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
410	SOHO CHINA	75,860,578	\$ 174,479,329
412	CSFG	0	\$ 0
416	BANKOFJINZHOU	0	\$ 0
425	MINTH GROUP	38,485,144	\$ 1,158,402,834
435	SUNLIGHT REIT	0	\$ 0
440	DAH SING	1,312,490	\$ 27,824,788
451	GCL NEWENERGY	59,936,000	\$ 8,331,104
460	SIHUAN PHARM	87,366,965	\$ 75,135,590
467	UNITEDENERGY GP	25,622,000	\$ 27,671,760
468	GAPACK	3,434,284	\$ 11,058,394
480	HKR INT'L	0	\$ 0
486	RUSAL	26,401,000	\$ 84,219,190
489	DONGFENG GROUP	90,474,391	\$ 463,228,882
493	GOME RETAIL	1,858,542,284	\$ 1,877,127,707
496	KASEN	20,115,649	\$ 18,707,554
497	CSI PROPERTIES	3,000,000	\$ 711,000
506	CHINA FOODS	2,828,144	\$ 7,720,833
511	TVB	4,421,342	\$ 34,884,388
512	CHINAGRANDPHARM	5,368,000	\$ 35,428,800
517	COSCO SHIP INTL	1,206,439	\$ 2,678,295
520	XIABUXIABU	35,944,340	\$ 315,231,862
522	ASM PACIFIC	10,734,562	\$ 843,199,845
525	GUANGSHEN RAIL	32,537,872	\$ 47,830,672
530	GOLDIN FIN HOLD	3,504,000	\$ 4,415,040
535	GEMDALE PPT	81,604,864	\$ 110,982,615
546	FUFENG GROUP	7,531,101	\$ 19,204,308
548	SHENZHENEXPRESS	33,397,431	\$ 236,787,786
551	YUE YUEN IND	24,558,038	\$ 305,010,832
552	CHINACOMSERVICE	42,412,931	\$ 212,064,655
563	SH IND URBAN	16,771,731	\$ 14,088,254
570	TRAD CHI MED	73,034,754	\$ 243,205,731
576	ZHEJIANGEXPRESS	19,434,450	\$ 105,529,064
579	JNCEC	7,812,694	\$ 16,016,023
581	CHINA ORIENTAL	24,983,300	\$ 50,716,099
586	CONCH VENTURE	43,755,463	\$ 1,527,065,659
588	BEIJING N STAR	4,910,000	\$ 7,806,900
590	LUK FOOK HOLD	2,142,419	\$ 38,006,513
596	INSPUR INT'L	11,599,779	\$ 24,475,534
598	SINOTRANS	63,633,693	\$ 120,267,680
604	SHENZHEN INVEST	39,692,832	\$ 94,865,868
607	FULLSHARE	229,071,835	\$ 27,030,477

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
622	OSHDORI	22,975,799	\$ 20,907,977
631	SANY INT'L	19,862,287	\$ 90,174,783
636	KERRY LOG NET	2,567,500	\$ 35,123,400
639	SHOUGANG RES	5,390,224	\$ 9,648,501
656	FOSUN INTL	80,086,445	\$ 673,527,002
658	C TRANSMISSION	17,360,458	\$ 93,225,659
659	NWS HOLDINGS	18,093,288	\$ 115,616,110
665	HAITONG INT'L	130,834,699	\$ 247,277,581
667	CHINA EAST EDU	30,517,103	\$ 532,218,276
669	TECHTRONIC IND	19,727,581	\$ 1,922,452,768
670	CHINA EAST AIR	230,494,258	\$ 825,169,444
683	KERRY PPT	17,210,030	\$ 356,247,621
688	CHINA OVERSEAS	34,708,351	\$ 735,817,041
691	SHANSHUI CEMENT	0	\$ 0
694	BEIJING AIRPORT	62,439,579	\$ 314,071,082
696	TRAVELSKY TECH	34,614,493	\$ 584,984,932
697	SHOUCHENG	23,396,864	\$ 58,960,097
698	TONGDA GROUP	98,714,333	\$ 42,447,163
699	CAR INC	72,486,426	\$ 180,491,201
700	TENCENT	40,572,678	\$ 20,935,501,848
708	EVERG VEHICLE	34,280,285	\$ 970,132,066
709	GIORDANO INT'L	13,362,930	\$ 18,708,102
710	BOE VARITRONIX	2,132,000	\$ 4,946,240
728	CHINA TELECOM	244,486,131	\$ 613,660,189
732	TRULY INT'L	17,680,000	\$ 16,265,600
737	BAY AREA DEV	0	\$ 0
743	ASIA CEMENT CH	14,470,578	\$ 109,831,687
750	SFSY ENERGY	1,050,000	\$ 577,500
751	SKYWORTH GROUP	71,740,536	\$ 169,307,665
753	AIR CHINA	153,823,348	\$ 866,025,449
754	HOPSON DEV HOLD	10,285,002	\$ 165,177,132
762	CHINA UNICOM	122,229,209	\$ 660,037,729
763	ZTE	73,574,025	\$ 1,409,678,319
772	CHINA LIT	60,218,941	\$ 3,275,910,390
775	CKLIFE SCIENCES	21,614,365	\$ 20,317,503
777	NETDRAGON	12,073,512	\$ 207,422,936
778	FORTUNE REIT	6,819,000	\$ 45,482,730
780	TONGCHENG-ELONG	29,468,598	\$ 408,434,768
788	CHINA TOWER	2,032,581,449	\$ 3,028,546,359
799	IGG	78,003,909	\$ 691,114,634
806	VALUE PARTNERS	30,018,599	\$ 105,965,654

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807	SIICENVIRONMENT	1,956,500	\$ 2,230,410
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	604,000	\$ 3,074,360
813	SHIMAO GROUP	9,977,154	\$ 329,744,940
816	HUADIAN FUXIN	30,356,745	\$ 75,588,295
817	CHINA JINMAO	91,517,653	\$ 434,708,852
818	HI SUN TECH	768,000	\$ 668,160
819	TIANNENG POWER	144,529,280	\$ 2,060,987,533
823	LINK REIT	15,087,962	\$ 948,278,412
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	2,192,000	\$ 7,759,680
836	CHINA RES POWER	18,949,975	\$ 167,707,279
839	CHINA EDU GROUP	39,582,445	\$ 560,487,421
853	MICROPORT	56,050,302	\$ 1,773,992,058
855	CHINA WATER	54,398,385	\$ 329,654,213
856	VSTECs	2,490,000	\$ 12,450,000
857	PETROCHINA	360,455,702	\$ 897,534,698
860	APOLLO FMG	28,736,903	\$ 12,356,868
861	DC HOLDINGS	16,251,000	\$ 93,768,270
867	CMS	15,011,189	\$ 135,400,925
868	XINYI GLASS	54,481,701	\$ 758,385,278
874	BAIYUNSHAN PH	10,157,255	\$ 194,409,861
877	O-NET TECH GP	2,819,000	\$ 17,900,650
880	SJM HOLDINGS	118,001,155	\$ 1,253,172,266
881	ZHONGSHENG HLDG	236,886,883	\$ 11,500,858,170
883	CNOOC	135,385,110	\$ 1,097,973,242
884	CIFI HOLD GP	69,091,384	\$ 417,311,959
902	HUANENG POWER	101,728,211	\$ 310,271,044
906	COFCO PACKAGING	9,693,438	\$ 30,437,395
914	CONCH CEMENT	15,735,798	\$ 874,910,369
916	CHINA LONGYUAN	27,597,226	\$ 136,330,296
921	HISENSE HA	3,168,000	\$ 31,331,520
934	SINOPEC KANTONS	29,925,549	\$ 90,674,413
939	CCB	565,691,512	\$ 3,049,077,250
941	CHINA MOBILE	42,013,719	\$ 2,233,029,165
950	LEE'S PHARM	2,118,502	\$ 11,016,210
956	CHINA SUNTIEN	60,361,173	\$ 118,307,899
960	LONGFOR GROUP	22,969,366	\$ 947,486,348
966	CHINA TAIPING	56,555,726	\$ 685,455,399
968	XINYI SOLAR	129,649,505	\$ 1,230,373,802
973	L'OCCITANE	1,023,250	\$ 13,404,575

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978	CHI MER LAND	0	\$ 0
981	SMIC	235,165,268	\$ 4,581,019,421
991	DATANG POWER	51,046,054	\$ 52,066,975
992	LENOVO GROUP	491,398,952	\$ 2,535,618,592
995	ANHUIEXPRESSWAY	1,468,000	\$ 5,475,640
998	CITIC BANK	108,838,207	\$ 346,105,498
1003	HUANXI MEDIA	700,000	\$ 1,008,000
1030	SEAZEN	72,820,129	\$ 479,884,650
1031	KINGSTON FIN	0	\$ 0
1033	SINOPEC SSC	10,348,000	\$ 5,380,960
1038	CKI HOLDINGS	9,576,242	\$ 369,642,941
1044	HENGAN INT'L	41,534,872	\$ 2,375,794,678
1052	YUEXIUTRANSPORT	12,851,323	\$ 61,043,784
1055	CHINA SOUTH AIR	251,709,694	\$ 1,150,313,302
1060	ALI PICTURES	583,450,189	\$ 653,464,212
1061	ESSEX BIO-TECH	1,158,874	\$ 5,156,989
1066	WEIGAO GROUP	119,280,489	\$ 1,968,128,069
1070	TCL ELECTRONICS	18,069,974	\$ 102,276,053
1071	HUADIAN POWER	32,918,406	\$ 67,811,916
1072	DONGFANG ELEC	1,145,202	\$ 5,748,914
1076	IMPERIAL PAC	0	\$ 0
1083	TOWNGAS CHINA	14,560,192	\$ 49,795,857
1088	CHINA SHENHUA	40,675,611	\$ 536,104,553
1089	LEYOU TECH H	26,362,189	\$ 85,677,114
1093	CSPC PHARMA	112,192,974	\$ 1,730,015,659
1098	ROAD KING INFRA	3,001,986	\$ 30,320,059
1099	SINOPHARM	53,941,182	\$ 983,887,160
1109	CHINA RES LAND	44,480,822	\$ 1,543,484,523
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	8,789,724	\$ 305,003,423
1113	CK ASSET	18,056,638	\$ 738,516,494
1114	BRILLIANCE CHI	101,692,181	\$ 686,422,222
1117	CH MODERN D	165,382,000	\$ 181,920,200
1119	IDREAMSKY	3,179,200	\$ 15,546,288
1122	QINGLING MOTORS	472,000	\$ 632,480
1128	WYNN MACAU	143,009,714	\$ 2,027,877,745
1131	AGRITRADE RES	37,715,000	\$ 3,130,345
1133	HARBIN ELECTRIC	7,411,001	\$ 17,045,302
1137	HKTV	11,773,121	\$ 121,734,071
1138	COSCO SHIP ENGY	46,921,397	\$ 158,125,108
1141	CMBC CAPITAL	77,401,126	\$ 11,764,971

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1157	ZOOLION	137,234,895	\$ 1,056,708,692
1169	HAIER ELEC	19,011,858	\$ 488,604,751
1171	YANZHOU COAL	111,080,590	\$ 645,378,228
1176	ZHUGUANG HOLD	3,360,000	\$ 3,729,600
1177	SINO BIOPHARM	473,539,752	\$ 4,176,620,613
1186	CHINA RAIL CONS	59,660,294	\$ 335,887,455
1193	CHINA RES GAS	20,687,002	\$ 755,075,573
1196	REALORD GROUP	564,000	\$ 2,442,120
1199	COSCO SHIP PORT	26,359,361	\$ 115,981,188
1208	MMG	186,743,936	\$ 382,825,069
1211	BYD COMPANY	70,166,772	\$ 6,115,034,180
1212	LIFESTYLE INT'L	12,414,167	\$ 85,533,611
1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	0	\$ 0
1233	TIMES CHINA	11,078,450	\$ 130,061,003
1234	CHINA LILANG	7,135,035	\$ 29,681,746
1238	POWERLONG	10,159,711	\$ 59,027,921
1252	CHINA TIANRUI	772,000	\$ 5,975,280
1257	CEB GREENTECH	33,631,466	\$ 104,930,174
1258	CHINFMINING	0	\$ 0
1268	MEIDONG AUTO	9,320,000	\$ 257,232,000
1282	GLORY SUN FIN	35,860,000	\$ 12,730,300
1288	ABC	363,459,513	\$ 919,552,568
1293	GRAND BAOXIN	4,854,867	\$ 5,340,354
1299	AIA	88,273,175	\$ 7,092,749,611
1300	TRIGIANT	3,592,000	\$ 2,945,440
1302	LIFETECH SCI	37,779,811	\$ 79,337,603
1308	SITC	5,835,777	\$ 63,376,538
1310	HKBN	16,047,255	\$ 233,327,088
1313	CHINARES CEMENT	23,621,616	\$ 269,758,855
1316	NEXTEER	85,520,806	\$ 448,129,023
1317	MAPLELEAF EDU	141,917,666	\$ 343,440,752
1333	CHINA ZHONGWANG	53,192,647	\$ 80,852,823
1336	NCI	20,812,961	\$ 629,592,070
1337	RAZER	101,028,320	\$ 160,635,029
1339	PICC GROUP	127,705,933	\$ 311,602,477
1347	HUA HONG SEMI	66,505,676	\$ 1,569,533,954
1349	FUDANZHANGJIANG	1,084,000	\$ 4,498,600
1359	CHINA CINDA	254,320,699	\$ 373,851,428
1366	JIANGNAN GP	0	\$ 0

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1368	XTEP INT'L	61,582,592	\$ 145,950,743
1375	CC SECURITIES	13,595,571	\$ 20,121,445
1378	CHINAHONGQIAO	54,710,378	\$ 268,080,852
1381	CANVEST ENV	10,068,560	\$ 33,830,362
1382	PACIFICTEXTILES	18,617,999	\$ 66,280,076
1383	SUNCITY GROUP	50,990,000	\$ 41,301,900
1385	SHANGHAI FUDAN	12,050,000	\$ 171,110,000
1398	ICBC	328,713,743	\$ 1,413,469,095
1426	SPRING REIT	0	\$ 0
1448	FU SHOU YUAN	13,783,459	\$ 101,997,597
1458	ZHOU HEI YA	52,394,631	\$ 413,393,639
1475	NISSIN FOODS	7,344,632	\$ 64,559,315
1478	Q TECH	48,089,935	\$ 443,389,201
1501	KDL MEDICAL	209,653	\$ 10,954,369
1503	CMC REIT	0	\$ 0
1508	CHINA RE	114,425,374	\$ 90,396,045
1513	LIVZON PHARMA	3,727,678	\$ 125,436,365
1515	CR MEDICAL	29,680,373	\$ 147,511,454
1521	FRONTAGE	32,068,928	\$ 115,448,141
1528	RS MACALLINE	1,593,902	\$ 7,794,181
1530	3SBIO	209,933,427	\$ 1,778,136,127
1548	GENSCRIPT BIO	69,345,888	\$ 958,360,172
1551	GRCB	0	\$ 0
1558	HEC PHARM	42,510,393	\$ 478,667,025
1565	VIRSCEND EDU	9,711,880	\$ 23,114,274
1566	CA CULTURAL	3,115,000	\$ 7,912,100
1568	SUNDART HLDGS	0	\$ 0
1569	MINSHENG EDU	0	\$ 0
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	17,292,085	\$ 1,955,734,814
1585	YADEA	17,588,000	\$ 158,467,880
1589	CNLP	21,693,805	\$ 77,013,008
1600	TIAN LUN GAS	6,427,241	\$ 36,056,822
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	729,000	\$ 2,208,870
1610	COFCO MEAT	144,148,897	\$ 449,744,559
1618	MCC	8,038,596	\$ 10,691,333
1622	REDCO GROUP	18,174,512	\$ 67,245,694
1628	YUZHOU GROUP	61,526,717	\$ 214,728,242
1638	KAISA GROUP	74,570,374	\$ 317,669,793
1658	PSBC	300,785,364	\$ 1,034,701,652

Aggregated Reportable Short Positions of Specified Shares as of 11/09/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1660	ZHAOBANGJI PPT	1,352,000	\$ 1,554,800
1666	TONG REN TANG	19,646,779	\$ 101,770,315
1668	CHINASOUTHCITY	42,370,000	\$ 33,472,300
1672	ASCLETIS-B	25,356,000	\$ 70,743,240
1675	ASIAINFO TECH	502,000	\$ 6,576,200
1680	MACAU LEGEND	5,421,233	\$ 5,204,384
1681	CONSUN PHARMA	2,950,822	\$ 10,534,435
1686	SUNEVISION	23,256,018	\$ 135,350,025
1717	AUSNUTRIA	20,633,000	\$ 252,135,260
1727	HEBEI CONS	2,674,500	\$ 56,298,225
1728	ZHENGTONGAUTO	133,845,546	\$ 108,414,892
1753	DUIBA	11,832,400	\$ 38,218,652
1755	S-ENJOY SERVICE	3,200,000	\$ 71,200,000
1765	HOPE EDU	29,788,000	\$ 68,214,520
1766	CRRC	96,895,726	\$ 314,911,110
1769	SCHOLAR EDU	839,000	\$ 19,003,350
1772	GANFENGLITHIUM	7,090,100	\$ 262,688,205
1773	TIANLI EDU	18,274,000	\$ 137,420,480
1776	GF SEC	65,247,111	\$ 628,982,150
1777	FANTASIA	23,455,250	\$ 35,417,428
1778	COLOUR LIFE	33,300,546	\$ 124,544,042
1787	SD GOLD	14,285,606	\$ 294,997,764
1788	GUOTAI JUNAN I	102,971,076	\$ 114,297,894
1789	AK MEDICAL	43,931,065	\$ 836,447,478
1797	KOOLEARN	53,669,412	\$ 1,797,925,302
1798	DATANG RENEW	9,831,000	\$ 10,715,790
1800	CHINA COMM CONS	160,317,483	\$ 652,492,156
1801	INNOVENT BIO	10,473,821	\$ 588,628,740
1806	HUIFU PAYMENT	6,990,916	\$ 17,267,563
1809	PRINX CHENGSHAN	0	\$ 0
1810	XIAOMI-W	319,092,035	\$ 7,418,889,814
1811	CGN NEW ENERGY	35,226,460	\$ 48,260,250
1813	KWG GROUP	34,141,775	\$ 462,962,469
1816	CGN POWER	177,526,701	\$ 289,368,523
1817	MULSANNE GROUP	1,679,500	\$ 8,783,785
1818	ZHAOJIN MINING	40,701,185	\$ 343,925,013
1821	ESR	18,372,429	\$ 446,450,025
1829	CMEC	9,667,706	\$ 16,241,746
1833	PA GOODDOCTOR	89,868,436	\$ 10,002,356,927
1836	STELLA HOLDINGS	5,680,000	\$ 42,032,000
1839	CIMC VEHICLES	127,000	\$ 842,010

Aggregated Reportable Short Positions of Specified Shares as of 11/09/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1848	CALC	3,422,033	\$ 23,269,824
1858	CHUNLI MEDICAL	5,487,040	\$ 207,410,112
1859	BRIGHT CULTURE	0	\$ 0
1860	MOBVISTA	811,652	\$ 3,206,025
1873	VIVA BIOTECH	33,786,297	\$ 289,210,702
1876	BUD APAC	175,671,215	\$ 4,400,563,936
1877	JUNSHI BIO	5,886,200	\$ 286,069,320
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	4,679,497	\$ 87,693,774
1883	CITIC TELECOM	15,361,464	\$ 39,478,962
1888	KB LAMINATES	30,329,687	\$ 311,182,589
1890	CHINA KEPEI	2,830,000	\$ 16,781,900
1896	MAOYAN ENT	6,548,200	\$ 86,436,240
1898	CHINA COAL	35,413,100	\$ 65,868,366
1899	XINGDA INT'L	0	\$ 0
1907	CHINA RISUN GP	0	\$ 0
1908	C&D INTL GROUP	3,072,130	\$ 39,077,494
1910	SAMSONITE	111,884,951	\$ 919,694,297
1911	CR HOLDINGS	261,800	\$ 4,738,580
1913	PRADA	16,657,554	\$ 522,214,318
1916	JIANGXI BANK	1,623,520	\$ 5,568,674
1918	SUNAC	47,348,529	\$ 1,493,846,090
1919	COSCO SHIP HOLD	50,100,241	\$ 199,899,962
1928	SANDS CHINA LTD	63,653,567	\$ 2,148,307,886
1929	CHOW TAI FOOK	15,032,032	\$ 149,117,757
1951	JXR	62,266,100	\$ 622,038,339
1958	BAIC MOTOR	55,597,982	\$ 187,921,179
1963	BCQ	2,948,423	\$ 12,619,250
1966	CHINA SCE GROUP	17,267,000	\$ 62,506,540
1970	IMAX CHINA	3,197,500	\$ 38,433,950
1972	SWIREPROPERTIES	41,452,067	\$ 837,331,753
1988	MINSHENG BANK	627,432,150	\$ 2,886,187,890
1992	FOSUN TOURISM	251,200	\$ 1,919,168
1995	EVERSUNSHINE LS	25,806,000	\$ 405,154,200
1996	RSUN PPT	756,000	\$ 2,056,320
1997	WHARF REIC	73,211,968	\$ 2,302,516,394
1999	MAN WAH HLDGS	13,307,124	\$ 138,394,090
2001	NEW HIGHER EDU	8,010,000	\$ 42,453,000
2005	SSY GROUP	111,259,718	\$ 517,357,689
2006	JINJIANGCAPITAL	24,398,000	\$ 30,985,460
2007	COUNTRY GARDEN	406,775,076	\$ 3,905,040,730

Aggregated Reportable Short Positions of Specified Shares as of 11/09/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2009	BBMG	50,597,981	\$ 79,944,810
2013	WEIMOB INC	295,726,140	\$ 3,377,192,519
2016	CZBANK	0	\$ 0
2018	AAC TECH	98,258,417	\$ 4,490,409,657
2019	DEXIN CHINA	2,679,000	\$ 7,822,680
2020	ANTA SPORTS	39,742,028	\$ 3,000,523,114
2038	FIH	160,791,799	\$ 144,712,619
2039	CIMC	13,119,831	\$ 107,320,218
2048	E-HOUSE ENT	7,195,200	\$ 69,073,920
2066	SHENGJINGBANK	0	\$ 0
2098	ZALL SMARTCOM	10,450,000	\$ 8,046,500
2103	SINIC HOLDINGS	0	\$ 0
2128	CHINA LESSO	10,361,000	\$ 147,540,640
2139	BANK OF GANSU	2,002,000	\$ 2,102,100
2168	KAISA PROSPER	1,497,346	\$ 38,930,996
2186	LUYE PHARMA	201,016,964	\$ 940,759,392
2196	FOSUN PHARMA	31,672,301	\$ 989,759,406
2198	CHINA SANJIANG	1,508,200	\$ 3,152,138
2202	CHINA VANKE	28,350,964	\$ 696,016,166
2208	GOLDWIND	47,219,738	\$ 303,150,718
2231	JY GRANDMARK	0	\$ 0
2233	WESTCHINACEMENT	88,659,446	\$ 113,484,091
2238	GAC GROUP	232,753,443	\$ 1,547,810,396
2269	WUXI BIO	14,215,616	\$ 2,619,938,029
2282	MGM CHINA	75,952,885	\$ 805,100,581
2299	BILLION IND	0	\$ 0
2313	SHENZHOU INTL	16,502,402	\$ 2,105,706,495
2314	LEE & MAN PAPER	36,574,461	\$ 164,585,075
2318	PING AN	771,263,659	\$ 62,626,609,111
2319	MENGNU DAIRY	54,471,191	\$ 2,023,604,746
2322	HK CHAOSHANG GP	1,152,000	\$ 1,140,480
2328	PICC P&C	87,162,310	\$ 511,642,760
2329	GUORUI PPT	2,227,000	\$ 2,227,000
2331	LI NING	37,675,018	\$ 1,233,856,840
2333	GREATWALL MOTOR	338,532,842	\$ 3,209,291,342
2338	WEICHAJ POWER	68,042,576	\$ 996,143,313
2342	COMBA	150,179,071	\$ 488,081,981
2343	PACIFIC BASIN	249,980,680	\$ 284,977,975
2356	DAHSHING BANKING	2,336,443	\$ 16,822,390
2357	AVICHINA	108,810,702	\$ 489,648,159
2359	WUXI APTEC	30,859,408	\$ 3,314,300,419

Aggregated Reportable Short Positions of Specified Shares as of 11/09/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2362	JINCHUAN INTL	46,982,384	\$ 36,646,260
2378	PRU	0	\$ 0
2380	CHINA POWER	159,949,738	\$ 241,524,104
2382	SUNNY OPTICAL	52,238,024	\$ 6,179,758,239
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	41,448,289	\$ 142,582,114
2388	BOC HONG KONG	69,794,425	\$ 1,566,884,841
2400	XD INC	6,473,703	\$ 249,561,251
2500	VENUS MEDTECH-B	3,961,478	\$ 292,753,224
2552	HUA MEDICINE-B	3,904,043	\$ 21,550,317
2558	JINSHANG BANK	0	\$ 0
2588	BOC AVIATION	19,286,614	\$ 1,128,266,919
2600	CHALCO	127,695,379	\$ 231,128,636
2601	CPIC	74,876,717	\$ 1,662,263,117
2606	LANGUANGJUSTBON	563,300	\$ 25,461,160
2607	SH PHARMA	14,873,260	\$ 198,706,754
2608	SUNSHINE 100	0	\$ 0
2611	GTJA	5,122,607	\$ 57,578,103
2616	CSTONE PHARMA-B	11,539,847	\$ 97,280,910
2628	CHINA LIFE	104,145,107	\$ 1,897,523,850
2638	HKELECTRIC-SS	82,384,547	\$ 651,661,767
2666	UNI MEDICAL	18,714,697	\$ 96,380,690
2669	CHINA OVS PPT	66,663,180	\$ 443,976,779
2678	TEXHONG TEXTILE	1,526,500	\$ 8,777,375
2688	ENN ENERGY	13,765,899	\$ 1,190,750,264
2689	ND PAPER	133,836,248	\$ 1,246,015,469
2696	HENLIUS-B	127,166	\$ 6,339,225
2727	SH ELECTRIC	45,670,058	\$ 104,127,732
2768	JIAYUAN INTL	3,595,490	\$ 12,044,892
2772	ZHONGLIANG HLDG	3,004,000	\$ 14,569,400
2777	R&F PROPERTIES	94,972,349	\$ 926,930,126
2778	CHAMPION REIT	16,910,868	\$ 69,841,885
2799	CHINA HUARONG	252,093,637	\$ 209,237,719
2800	TRACKER FUND	42,800,862	\$ 1,081,149,774
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PP BEDROCK	0	\$ 0
2804	PREMIA VIET	0	\$ 0
2805	VANGUARDASXJP	0	\$ 0
2806	GX CN CONSUME	0	\$ 0
2807	GX CN ROBO&AI	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 11/09/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2809	GX CN CLN EN	0	\$ 0
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMSUNGCDGN	0	\$ 0
2813	CAM CTPB BOND	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2820	GX CN BIOTECH	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	53,430,770	\$ 895,499,705
2823	ISHARES A50	72,105,089	\$ 1,220,739,157
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2826	GX CN CLOUD	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	5,706,750	\$ 573,528,375
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2845	GX CN ELE VEH	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	22,822,000	\$ 44,731,120
2866	COSCO SHIP DEV	37,323,498	\$ 30,978,503
2868	BJ CAPITAL LAND	20,671,926	\$ 28,320,539
2869	GREENTOWN SER	36,761,638	\$ 334,163,289
2877	SHINEWAY PHARM	6,624,000	\$ 33,981,120
2883	CHINA OILFIELD	35,893,240	\$ 193,464,564
2888	STANCHART	2,102,018	\$ 80,086,886
2899	ZIJIN MINING	110,179,132	\$ 594,967,313
3005	CSOPCSI500ETF	0	\$ 0
3007	TRFXIC50	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3011	A ICBCICUSD	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 11/09/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3020	X TRMSCIUSA	0	\$ 0
3022	ISHARESMSCIEM	0	\$ 0
3023	PA AI&ROBOTICS	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X TRMSCIRUSSIA	0	\$ 0
3032	HSTECH ETF	0	\$ 0
3033	CSOP HS TECH	23,521,000	\$ 168,175,150
3036	TRMSCITAIWAN	0	\$ 0
3040	GX MSCI CHINA	0	\$ 0
3043	TRMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3047	F SSIFIRONORE	0	\$ 0
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3065	TRMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3072	NIKKOAM INET	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3077	PREMIA UST	0	\$ 0
3079	CICC CGB	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3085	VANGUARDAXJHY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3088	CAM HS TECH	0	\$ 0
3091	A NIKKOAMGAME	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3093	CICCSELECT100	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3096	CSOP USD MM	0	\$ 0
3097	F GX OIL	0	\$ 0
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VANGUARDDDVEUR	0	\$ 0
3110	GX HS HIGH DIV	0	\$ 0
3115	ISHARESHSI	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 11/09/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3118	HGI MSCI CN A	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP RMB MM	0	\$ 0
3126	VANGUARDJAPAN	0	\$ 0
3127	GX CSI300	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3140	VANGUARDSP500	0	\$ 0
3141	BMO ASIA IGB	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3163	PA MULTI-FACTOR	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3166	PA QLTY FACTOR	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0
3169	VANGUARDCHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3173	PP CN NEWCON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3181	PREMIAASIA TEC	0	\$ 0
3182	WISE NEW ECON50	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3188	CAM CSI300	42,416,541	\$ 2,266,739,951
3191	GX CN SEMICON	0	\$ 0
3197	CAM MSCI AINC	0	\$ 0
3198	PING AN 5HANDL	0	\$ 0
3199	CSOP CTPBOND	0	\$ 0
3301	RONSHINECHINA	18,989,348	\$ 111,847,260
3306	JNBY	2,857,000	\$ 21,284,650
3308	GOLDEN EAGLE	1,226,000	\$ 8,655,560
3309	C-MER EYE	12,961,222	\$ 80,359,576
3311	CHINA STATE CON	91,187,540	\$ 554,420,243
3319	A-LIVING	26,293,194	\$ 945,240,324
3320	CHINARES PHARMA	42,544,704	\$ 174,433,286
3323	CNBM	41,161,240	\$ 439,602,043
3328	BANKCOMM	138,343,206	\$ 542,305,368
3331	VINDA INT'L	36,164,267	\$ 889,640,968
3333	EVERGRANDE	201,678,669	\$ 3,287,362,305

Aggregated Reportable Short Positions of Specified Shares as of 11/09/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3336	JU TENG INTL	12,313,424	\$ 30,291,023
3339	LONKING	46,226,891	\$ 98,925,547
3360	FE HORIZON	109,829,037	\$ 763,311,807
3377	SINO-OCEAN GP	79,010,156	\$ 137,477,671
3380	LOGAN GROUP	18,443,475	\$ 236,076,480
3383	AGILE GROUP	68,515,275	\$ 705,707,333
3393	WASION HOLDINGS	1,178,000	\$ 2,626,940
3396	LEGENDHOLDING	28,688,537	\$ 293,770,619
3603	XINJI SHAXI	948,000	\$ 2,388,960
3606	FUYAO GLASS	17,813,845	\$ 461,378,586
3613	TONGRENTANGCM	12,044,668	\$ 100,091,191
3618	CQRC BANK	42,917,149	\$ 135,189,019
3633	ZHONGYU GAS	1,993,600	\$ 14,692,832
3639	YIDA CHINA	0	\$ 0
3662	AOYUAN HEALTHY	29,332,849	\$ 189,490,205
3668	YANCOAL AUS	0	\$ 0
3669	YONGDA AUTO	38,263,554	\$ 339,780,360
3690	MEITUAN-W	59,993,339	\$ 14,566,382,709
3692	HANSOH PHARMA	57,694,973	\$ 2,100,097,017
3709	EEKA FASHION	1,164,998	\$ 11,405,330
3759	PHARMARON	5,283,168	\$ 477,334,229
3799	DALI FOODS	49,723,596	\$ 235,192,609
3800	GCL-POLY ENERGY	922,257,041	\$ 258,231,971
3808	SINOTRUK	53,781,684	\$ 1,083,700,933
3813	POU SHENG INT'L	4,358,000	\$ 7,975,140
3818	CHINA DONGXIANG	143,183,984	\$ 144,615,824
3836	HARMONY AUTO	10,915,788	\$ 36,131,258
3866	BQD	0	\$ 0
3868	XINYI ENERGY	21,396,000	\$ 58,197,120
3877	CSSC SHIPPING	0	\$ 0
3883	CHINA AOYUAN	12,367,561	\$ 102,032,378
3888	KINGSOFT	94,739,604	\$ 3,647,474,754
3898	CRRC TIMES ELEC	18,152,187	\$ 466,511,206
3899	CIMC ENRIC	34,944,500	\$ 110,424,620
3900	GREENTOWN CHINA	19,776,092	\$ 208,835,532
3908	CICC	144,515,081	\$ 2,482,769,092
3918	NAGACORP	24,431,919	\$ 228,194,123
3933	UNITED LAB	9,262,101	\$ 83,081,046
3958	DFZQ	4,318,887	\$ 21,162,546
3968	CM BANK	101,036,438	\$ 3,824,229,178
3969	CHINA CRSC	67,409,742	\$ 193,465,960

Aggregated Reportable Short Positions of Specified Shares as of 11/09/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3983	CHINA BLUECHEM	16,085,230	\$ 17,532,901
3988	BANK OF CHINA	913,485,201	\$ 2,320,252,411
3990	MIDEA REAL EST	5,977,599	\$ 111,661,549
3993	CMOC	228,994,749	\$ 675,534,510
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	425,025,233	\$ 879,802,232
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	258,157,547	\$ 4,497,104,469
6049	POLY PPT SER	7,085,356	\$ 463,736,550
6055	CTIHK	9,617,383	\$ 136,951,534
6060	ZA ONLINE	43,761,865	\$ 1,953,967,272
6066	CSC	85,119,630	\$ 903,970,471
6068	WISDOM EDU INTL	29,954,000	\$ 90,461,080
6069	SHENGYE CAPITAL	3,170,000	\$ 20,192,900
6088	FIT HON TENG	75,578,106	\$ 221,443,851
6098	CG SERVICES	106,352,917	\$ 5,482,492,871
6099	CMSC	3,818,620	\$ 35,742,283
6100	TONGDAO LIEPIN	1,648,200	\$ 30,491,700
6110	TOPSPORTS	38,328,002	\$ 361,816,339
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6158	ZHENRO PPT	48,887,517	\$ 229,771,330
6160	BEIGENE	0	\$ 0
6166	CHINA VAST	377,000	\$ 1,074,450
6169	YUHUA EDU	176,141,266	\$ 1,188,953,546
6178	EB SECURITIES	21,495,804	\$ 156,489,453
6185	CANSINO BIO-B	7,489,951	\$ 1,333,211,278
6186	CHINA FEIHE	58,513,506	\$ 990,048,522
6196	BANK OF ZHENGZHOU	508,600	\$ 935,824
6198	QINGDAO PORT	4,645,394	\$ 21,879,806
6199	BANK OF GUIZHOU	0	\$ 0
6806	SWHY	8,580,800	\$ 18,620,336
6808	SUNART RETAIL	190,162,121	\$ 1,755,196,377
6818	CEB BANK	31,985,619	\$ 86,361,171
6823	HKT-SS	34,775,793	\$ 373,492,017
6826	HAOHAI BIOTEC	1,753,930	\$ 93,835,255

Aggregated Reportable Short Positions of Specified Shares as of 11/09/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6837	HAITONG SEC	206,295,140	\$ 1,382,177,438
6855	ASCENTAGE-B	1,126,400	\$ 32,327,680
6862	HAIDILAO	79,453,167	\$ 4,449,377,352
6865	FLAT GLASS	17,487,000	\$ 227,331,000
6868	TENFU	0	\$ 0
6869	YOFC	7,622,000	\$ 101,372,600
6878	DIFFER GROUP	3,616,000	\$ 2,639,680
6881	CGS	194,080,733	\$ 811,257,464
6886	HTSC	89,436,810	\$ 1,112,593,916
6889	DYNAM JAPAN	1,188,400	\$ 9,400,244
6919	RENUI HR	2,580,300	\$ 64,507,500
7200	FL2 CSOP HSI	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 GX HSCEI	0	\$ 0
7231	FL2 GX HSI	0	\$ 0
7233	XL2CSOPCSI300	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7266	FL2CSOPNASDAQ	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7272	XL2CAMDXNCSI300	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7299	FL2CSOPGOLD	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI GX S&P500	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7333	XICSOPCSI300	0	\$ 0
7336	FI GX HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI GX HSCEI	0	\$ 0
7373	XICAMDXNCSI300	0	\$ 0
7500	FI2 CSOP HSI	0	\$ 0
7522	FI2CAMDXNNDQ100	0	\$ 0
7568	FI2CSOPNASDAQ	0	\$ 0
7588	FI2 CSOP HSCEI	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 11/09/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
8032	VIVA CHINA	0	\$ 0
8083	CHINA YOUZAN	170,475,712	\$ 262,532,596
9010	ISHARES AXJ-U	0	\$ 0
9011	A ICBCCICUSD-U	0	\$ 0
9022	ISHARESMSCIEM-U	0	\$ 0
9047	F SSIFIRONORE-U	0	\$ 0
9072	NIKKOAM INET-U	0	\$ 0
9074	ISHARESMSCITW-U	0	\$ 0
9077	PREMIA UST-U	0	\$ 0
9078	PREMIA UST A-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9085	VANGUARDAXJHY-U	0	\$ 0
9086	BMO NASDAQ100-U	0	\$ 0
9088	CAM HS TECH-U	0	\$ 0
9091	A NIKKOAMGAME-U	0	\$ 0
9096	CSOP USD MM-U	0	\$ 0
9101	VANGUARD DVEUR-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9126	VANGUARD JAPAN-U	0	\$ 0
9140	VANGUARD SP500-U	0	\$ 0
9141	BMO ASIA IGB-U	0	\$ 0
9167	ICBCCSOPCHINA-U	0	\$ 0
9169	VANGUARD CHINA-U	0	\$ 0
9170	ISHARES KSKS200-U	0	\$ 0
9173	PP CN NEWCON-U	0	\$ 0
9181	PREMIA ASIATEC-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9191	GX CN SEMICON-U	0	\$ 0
9618	JD-SW	6,160,059	\$ 1,817,217,405
9803	PP BEDROCK-U	0	\$ 0
9804	PREMIA VIET-U	0	\$ 0
9805	VANGUARD ASXJP-U	0	\$ 0
9806	GX CN CONSUME-U	0	\$ 0
9807	GX CN ROBO&AI-U	0	\$ 0
9809	GX CN CLN EN-U	0	\$ 0
9810	PREMIA EMASEAN-U	0	\$ 0
9812	SAMSUNG CDGN-U	0	\$ 0
9820	GX CN BIOTECH-U	0	\$ 0
9826	GX CN CLOUD-U	0	\$ 0
9834	ISHARES ND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 11/09/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9845	GX CN ELE VEH-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9909	POWERLONG CM	1,002,490	\$ 26,265,238
9922	JIUMAOJIU	14,871,633	\$ 249,843,434
9928	TIMES NEIGHBOR	15,549,904	\$ 151,611,564
9966	ALPHAMAB-B	7,785,000	\$ 115,685,100
9968	HUIJING HLDGS	3,406,000	\$ 7,833,800
9969	INNOCARE-B	4,593,000	\$ 50,523,000
9988	BABA-SW	40,145,157	\$ 10,678,611,762
9999	NTES-S	5,337,959	\$ 766,530,912
80737	BAY AREA DEV-R	0	\$ 0
82805	VANGUARDASXJP-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82813	CAM CTPB BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	307,900	\$ 5,141,930
82828	HSCEI ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
83005	CSOPCSI500ETF-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83079	CICC CGB-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83085	VANGUARDAXJHY-R	0	\$ 0
83093	CICCSELECT100-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83101	VANGUARDDDVEUR-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83122	CSOP RMB MM-R	0	\$ 0
83126	VANGUARDJAPAN-R	0	\$ 0
83127	GX CSI300-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 11/09/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83140	VANGUARDSP500-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83169	VANGUARDCHINA-R	0	\$ 0
83170	ISHARESXS200-R	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP CTPBBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0