

## Aggregated Reportable Short Positions of Specified Shares as of 20/11/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	15,442,428	\$ 877,902,032
2	CLP HOLDINGS	21,733,170	\$ 1,588,694,727
3	HK & CHINA GAS	446,739,356	\$ 5,387,676,633
4	WHARF HOLDINGS	26,057,689	\$ 469,559,556
5	HSBC HOLDINGS	163,751,667	\$ 6,369,939,846
6	POWER ASSETS	23,606,405	\$ 969,042,925
8	PCCW	61,771,767	\$ 294,033,611
10	HANG LUNG GROUP	3,140,953	\$ 62,567,784
11	HANG SENG BANK	46,652,820	\$ 6,144,176,394
12	HENDERSON LAND	24,714,344	\$ 773,558,967
14	HYSAN DEV	17,255,649	\$ 494,374,344
16	SHK PPT	20,521,007	\$ 2,185,487,246
17	NEW WORLD DEV	22,975,452	\$ 913,274,217
19	SWIRE PACIFIC A	16,789,688	\$ 768,128,226
23	BANK OF E ASIA	35,549,890	\$ 577,330,214
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	54,675,267	\$ 3,365,262,684
28	TIAN AN	0	\$ 0
29	DYNAMIC HOLD	0	\$ 0
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	3,264,921	\$ 7,999,056
41	GREAT EAGLE H	1,229,281	\$ 25,753,437
43	C.P. POKPHAND	0	\$ 0
45	HK&S HOTELS	1,767,907	\$ 12,322,312
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	1,027,892	\$ 18,029,226
53	GUOCO GROUP	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
66	MTR CORPORATION	69,833,311	\$ 2,929,507,396
69	SHANGRI-LA ASIA	35,975,358	\$ 275,930,996
71	MIRAMAR HOTEL	0	\$ 0
75	Y.T. REALTY	0	\$ 0
81	CH OVS G OCEANS	13,712,950	\$ 65,685,031
83	SINO LAND	49,478,077	\$ 502,697,262
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	137,000	\$ 631,570
95	LVGEM CHINA	5,000,000	\$ 12,150,000
101	HANG LUNG PPT	68,766,370	\$ 1,485,353,592

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	1,308,000	\$ 2,432,880
116	CHOW SANG SANG	1,316,155	\$ 12,490,311
119	POLY PROPERTY	5,698,459	\$ 13,961,225
120	COSMOPOL INT'L	3,616,000	\$ 5,026,240
123	YUEXIU PROPERTY	11,256,531	\$ 17,560,188
127	CHINESE EST H	0	\$ 0
135	KUNLUN ENERGY	53,322,061	\$ 332,729,661
136	HENGTEN NET	1,960,944,012	\$ 598,087,924
142	FIRST PACIFIC	12,705,475	\$ 30,747,250
144	CHINA MER PORT	24,854,175	\$ 251,524,251
148	KINGBOARD HLDG	5,126,353	\$ 142,768,931
151	WANT WANT CHINA	403,110,452	\$ 2,410,600,503
152	SHENZHEN INT'L	14,383,482	\$ 192,738,659
158	MELBOURNE ENT	0	\$ 0
163	EMPEROR INT'L	0	\$ 0
165	CHINA EB LTD	15,515,162	\$ 172,528,601
168	TSINGTAO BREW	14,599,930	\$ 1,129,304,586
171	SILVER GRANT	1,468,000	\$ 1,350,560
173	K. WAH INT'L	1,596,770	\$ 6,035,791
175	GEELY AUTO	101,427,324	\$ 2,342,971,184
177	JIANGSU EXPRESS	22,938,803	\$ 208,743,107
178	SA SA INT'L	69,463,104	\$ 90,996,666
179	JOHNSON ELEC H	1,136,386	\$ 21,932,250
182	CONCORD NE	3,800,000	\$ 1,710,000
185	ZENSUN ENT	0	\$ 0
189	DONGYUE GROUP	25,528,692	\$ 107,220,506
194	LIU CHONG HING	100,000	\$ 680,000
200	MELCO INT'L DEV	36,199,194	\$ 556,019,620
207	JOY CITY PPT	4,124,000	\$ 2,062,000
215	HUTCHTEL HK	9,336,000	\$ 11,389,920
220	U-PRESID CHINA	14,956,590	\$ 110,080,502
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	0	\$ 0
241	ALI HEALTH	118,360,629	\$ 2,432,310,926
242	SHUN TAK HOLD	63,284,784	\$ 158,844,808
247	TST PROPERTIES	0	\$ 0
256	CITYCHAMP	8,590,000	\$ 13,572,200
257	EB ENVIRONMENT	114,176,122	\$ 481,823,235
261	GBA HOLDINGS	0	\$ 0
267	CITIC	58,729,107	\$ 359,422,135

## Aggregated Reportable Short Positions of Specified Shares as of 20/11/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
268	KINGDEE INT'L	182,204,629	\$ 4,719,099,891
270	GUANGDONG INV	32,961,538	\$ 433,114,609
272	SHUI ON LAND	69,543,156	\$ 75,106,608
285	BYD ELECTRONIC	109,689,223	\$ 3,921,389,722
286	AIDIGONG	3,676,000	\$ 1,764,480
288	WH GROUP	142,748,099	\$ 944,992,415
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	38,412,092	\$ 2,260,551,614
293	CATHAY PAC AIR	34,839,017	\$ 248,402,191
297	SINOFERT	7,230,000	\$ 5,639,400
302	CMGE	21,974,000	\$ 74,711,600
303	VTECH HOLDINGS	1,799,679	\$ 109,150,531
308	CHINA TRAVEL HK	72,408,110	\$ 81,097,083
315	SMARTONE TELE	4,556,736	\$ 20,323,043
316	OOIL	0	\$ 0
317	COMEC	7,139,751	\$ 58,117,573
322	TINGYI	128,766,469	\$ 1,820,757,872
323	MAANSHAN IRON	9,325,304	\$ 20,049,404
326	CHINA STAR ENT	580,000	\$ 887,400
327	PAX GLOBAL	13,043,403	\$ 62,738,768
336	HUABAO INTL	26,399,107	\$ 278,246,588
337	GREENLAND HK	4,859,825	\$ 11,712,178
338	SHANGHAI PEICHEM	286,906,626	\$ 453,312,469
341	CAFE DE CORAL H	13,103,376	\$ 231,667,688
345	VITASOY INT'L	56,139,674	\$ 1,858,223,209
347	ANGANG STEEL	99,459,376	\$ 282,464,628
354	CHINASOFT INT'L	81,217,634	\$ 595,325,257
358	JIANGXI COPPER	29,486,959	\$ 331,433,419
363	SHANGHAI IND H	23,808,806	\$ 267,134,803
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	237,857,013	\$ 732,599,600
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
382	EDVANTAGE GROUP	238,000	\$ 1,777,860
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	102,558,129	\$ 2,743,429,951
386	SINOPEC CORP	214,101,196	\$ 747,213,174
388	HKEX	9,979,041	\$ 3,754,115,224
390	CHINA RAILWAY	70,957,539	\$ 280,991,854
392	BEIJING ENT	8,034,706	\$ 202,474,591
405	YUEXIU REIT	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 20/11/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
410	SOHO CHINA	130,545,532	\$ 322,447,464
412	CSFG	0	\$ 0
416	BANKOFJINZHOU	0	\$ 0
425	MINTH GROUP	27,588,760	\$ 1,115,965,342
435	SUNLIGHT REIT	0	\$ 0
440	DAH SING	756,684	\$ 16,193,038
445	CIMC-TIANDA	0	\$ 0
460	SIHUAN PHARM	46,738,063	\$ 44,868,540
467	UNITEDENERGY GP	46,382,000	\$ 69,573,000
468	GAPACK	2,516,000	\$ 9,837,560
480	HKR INT'L	0	\$ 0
486	RUSAL	21,208,000	\$ 78,257,520
489	DONGFENG GROUP	73,747,907	\$ 629,069,647
493	GOME RETAIL	1,724,903,813	\$ 1,604,160,546
496	KASEN	11,475,649	\$ 8,721,493
506	CHINA FOODS	2,904,689	\$ 8,016,942
511	TVB	3,981,968	\$ 28,311,792
512	CHINAGRANDPHARM	8,110,480	\$ 51,177,129
517	COSCO SHIP INTL	1,188,439	\$ 3,030,519
520	XIABUXIABU	30,076,350	\$ 482,424,654
522	ASM PACIFIC	11,277,872	\$ 985,122,119
525	GUANGSHEN RAIL	38,392,745	\$ 54,133,770
535	GEMDALE PPT	63,248,864	\$ 79,693,569
546	FUFENG GROUP	5,716,525	\$ 16,120,601
547	DIGITAL DOMAIN	156,768,856	\$ 7,368,136
548	SHENZHENEXPRESS	67,849,235	\$ 513,618,709
551	YUE YUEN IND	13,985,110	\$ 223,761,760
552	CHINACOMSERVICE	66,024,859	\$ 275,323,662
563	SH IND URBAN	9,797,598	\$ 7,544,150
570	TRAD CHI MED	82,776,184	\$ 287,233,358
576	ZHEJIANGEXPRESS	14,075,548	\$ 78,823,069
579	JNCEC	20,292,420	\$ 51,339,823
580	SUN.KING TECH	0	\$ 0
581	CHINA ORIENTAL	24,252,856	\$ 50,930,998
586	CONCH VENTURE	40,456,368	\$ 1,498,908,434
588	BEIJING N STAR	4,296,000	\$ 7,045,440
590	LUK FOOK HOLD	1,156,019	\$ 22,634,852
598	SINOTRANS	48,468,608	\$ 142,013,021
604	SHENZHEN INVEST	41,126,221	\$ 103,638,077
607	FULLSHARE	259,691,835	\$ 38,434,392
622	OSHIDORI	3,118,800	\$ 2,151,972

## Aggregated Reportable Short Positions of Specified Shares as of 20/11/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
631	SANY INT'L	11,890,630	\$ 51,248,615
636	KERRY LOG NET	2,979,037	\$ 52,431,051
639	SHOUGANG RES	10,373,965	\$ 19,399,315
656	FOSUN INTL	97,903,777	\$ 1,096,522,302
658	C TRANSMISSION	18,615,458	\$ 108,900,429
659	NWS HOLDINGS	21,556,850	\$ 168,790,136
662	ASIA FINANCIAL	0	\$ 0
665	HAITONG INT'L	104,540,697	\$ 202,808,952
667	CHINA EAST EDU	32,833,176	\$ 554,224,011
669	TECHTRONIC IND	16,525,419	\$ 1,784,745,252
670	CHINA EAST AIR	189,779,117	\$ 662,329,118
683	KERRY PPT	33,938,503	\$ 699,133,162
686	BJ ENERGY INTL	7,421,300	\$ 1,788,533
688	CHINA OVERSEAS	24,718,830	\$ 451,860,212
691	SHANSHUI CEMENT	0	\$ 0
694	BEIJING AIRPORT	61,519,875	\$ 383,268,821
696	TRAVELSKY TECH	31,772,341	\$ 597,955,458
697	SHOUCHENG	25,663,256	\$ 70,060,689
698	TONGDA GROUP	56,664,333	\$ 27,482,202
699	CAR INC	37,667,213	\$ 138,238,672
700	TENCENT	41,204,068	\$ 24,227,991,984
708	EVERG VEHICLE	28,096,064	\$ 681,329,552
709	GIORDANO INT'L	10,529,725	\$ 12,635,670
710	BOE VARITRONIX	175,000	\$ 498,750
715	CHINA OCEANWIDE	0	\$ 0
726	DIT GROUP	0	\$ 0
728	CHINA TELECOM	458,891,827	\$ 1,092,162,548
732	TRULY INT'L	16,929,532	\$ 16,083,055
737	BAY AREA DEV	0	\$ 0
743	ASIA CEMENT CH	11,717,078	\$ 89,987,159
751	SKYWORTH GROUP	26,687,078	\$ 59,779,055
753	AIR CHINA	108,191,208	\$ 683,768,435
754	HOPSON DEV HOLD	10,701,355	\$ 209,746,558
762	CHINA UNICOM	115,558,263	\$ 553,524,080
763	ZTE	65,714,269	\$ 1,360,285,368
772	CHINA LIT	71,972,315	\$ 4,404,705,678
775	CKLIFE SCIENCES	18,437,904	\$ 17,516,009
777	NETDRAGON	9,912,512	\$ 166,530,202
778	FORTUNE REIT	0	\$ 0
780	TONGCHENG-ELONG	39,842,507	\$ 582,497,452
788	CHINA TOWER	1,723,240,792	\$ 2,102,353,766

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
799	IGG	77,433,930	\$ 576,882,779
806	VALUE PARTNERS	30,495,255	\$ 119,541,400
807	SIICENVIRONMENT	2,888,200	\$ 3,061,492
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	722,000	\$ 3,595,560
813	SHIMAO GROUP	11,667,000	\$ 351,176,700
817	CHINA JINMAO	47,793,176	\$ 185,437,523
818	HI SUN TECH	0	\$ 0
819	TIANNENG POWER	135,904,535	\$ 1,829,275,041
823	LINK REIT	17,924,941	\$ 1,218,895,988
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	7,587,993	\$ 32,248,970
836	CHINA RES POWER	18,018,977	\$ 149,197,130
839	CHINA EDU GROUP	42,358,048	\$ 632,829,237
853	MICROPORT	64,273,877	\$ 2,243,158,307
855	CHINA WATER	54,051,794	\$ 315,121,959
856	VSTECS	3,626,476	\$ 20,707,178
857	PETROCHINA	395,463,416	\$ 988,658,540
860	APOLLO FMG	48,904,903	\$ 25,919,599
861	DC HOLDINGS	39,704,500	\$ 237,035,865
863	BC TECH GROUP	0	\$ 0
867	CMS	17,644,303	\$ 149,094,360
868	XINYI GLASS	38,683,263	\$ 649,105,153
874	BAIYUNSHAN PH	11,331,338	\$ 223,000,732
880	SJM HOLDINGS	105,018,409	\$ 985,072,676
881	ZHONGSHENG HLDG	235,858,552	\$ 14,540,679,731
883	CNOOC	191,245,886	\$ 1,673,401,503
884	CIFI HOLD GP	64,618,371	\$ 412,911,391
902	HUANENG POWER	114,127,849	\$ 348,089,939
906	COFCO PACKAGING	10,208,666	\$ 35,628,244
914	CONCH CEMENT	16,663,822	\$ 872,351,082
916	CHINA LONGYUAN	32,873,264	\$ 207,101,563
921	HISENSE HA	1,641,000	\$ 21,890,940
934	SINOPEC KANTONS	17,198,302	\$ 50,047,059
939	CCB	809,439,982	\$ 4,743,318,295
941	CHINA MOBILE	55,429,816	\$ 2,602,429,861
950	LEE'S PHARM	2,268,502	\$ 10,049,464
951	CHAOWEI POWER	247,000	\$ 676,780
956	CHINA SUNTIEN	46,925,956	\$ 93,382,652
960	LONGFOR GROUP	29,433,455	\$ 1,459,899,368
966	CHINA TAIPING	66,432,969	\$ 843,698,706

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968	XINYI SOLAR	124,088,393	\$ 1,647,893,859
973	L'OCCITANE	347,500	\$ 5,803,250
978	CHI MER LAND	0	\$ 0
981	SMIC	295,754,137	\$ 6,728,406,617
991	DATANG POWER	66,012,015	\$ 67,332,255
992	LENOVO GROUP	589,882,955	\$ 3,232,558,593
995	ANHUIEXPRESSWAY	1,662,000	\$ 7,063,500
998	CITIC BANK	209,184,179	\$ 686,124,107
1003	HUANXI MEDIA	740,000	\$ 1,036,000
1030	SEAZEN	61,431,379	\$ 406,675,729
1031	KINGSTON FIN	0	\$ 0
1033	SINOPEC SSC	9,692,000	\$ 5,136,760
1038	CKI HOLDINGS	9,467,355	\$ 370,646,948
1044	HENGAN INT'L	44,511,717	\$ 2,541,619,041
1052	YUEXIUTRANSPORT	8,292,665	\$ 40,219,425
1055	CHINA SOUTH AIR	169,705,196	\$ 826,464,305
1060	ALI PICTURES	571,689,368	\$ 594,556,943
1061	ESSEX BIO-TECH	1,128,874	\$ 4,628,383
1066	WEIGAO GROUP	105,919,398	\$ 1,743,433,291
1070	TCL ELECTRONICS	19,953,536	\$ 123,711,923
1071	HUADIAN POWER	26,960,405	\$ 55,268,830
1072	DONGFANG ELEC	3,716,456	\$ 19,771,546
1083	TOWNGAS CHINA	9,827,225	\$ 35,083,193
1088	CHINA SHENHUA	77,060,346	\$ 1,111,210,189
1089	LEYOU TECH H	21,890,009	\$ 72,237,030
1093	CSPC PHARMA	217,697,073	\$ 1,780,762,057
1098	ROAD KING INFRA	2,146,417	\$ 21,507,098
1099	SINOPHARM	53,888,321	\$ 1,045,433,427
1109	CHINA RES LAND	35,753,636	\$ 1,258,527,987
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	7,778,724	\$ 250,474,913
1113	CK ASSET	19,043,197	\$ 828,379,070
1114	BRILLIANCE CHI	100,719,649	\$ 753,382,975
1117	CH MODERN D	200,711,255	\$ 244,867,731
1119	IDREAMSKY	91,575,154	\$ 350,732,840
1128	WYNN MACAU	129,446,251	\$ 1,768,235,789
1133	HARBIN ELECTRIC	6,001,002	\$ 15,122,525
1137	HKTV	4,768,987	\$ 58,944,679
1138	COSCO SHIP ENGY	90,394,751	\$ 305,534,258
1141	CMBC CAPITAL	55,410,000	\$ 6,649,200
1157	ZOOMLION	102,817,694	\$ 775,245,413

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1169	HAIER ELEC	84,453,525	\$ 3,251,460,713
1171	YANZHOU COAL	114,109,864	\$ 704,057,861
1176	ZHUGUANG HOLD	3,294,000	\$ 3,886,920
1177	SINO BIOPHARM	421,043,129	\$ 3,317,819,857
1179	HUAZHU-S	0	\$ 0
1186	CHINA RAIL CONS	44,331,312	\$ 235,399,267
1193	CHINA RES GAS	17,105,954	\$ 618,380,237
1196	REALORD GROUP	428,000	\$ 2,336,880
1199	COSCO SHIP PORT	34,551,697	\$ 188,652,266
1208	MMG	158,185,170	\$ 447,664,031
1211	BYD COMPANY	75,426,963	\$ 13,765,420,748
1212	LIFESTYLE INT'L	10,593,247	\$ 68,962,038
1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	0	\$ 0
1231	NEWTON RES	0	\$ 0
1233	TIMES CHINA	11,829,000	\$ 131,301,900
1234	CHINA LILANG	5,770,035	\$ 29,484,879
1238	POWERLONG	8,531,050	\$ 49,309,469
1252	CHINA TIANRUI	772,000	\$ 5,488,920
1257	CEB GREENTECH	30,463,294	\$ 97,787,174
1258	CHINFMINING	0	\$ 0
1268	MEIDONG AUTO	10,418,000	\$ 338,585,000
1269	FIRST CAP GP	19,716,001	\$ 2,405,352
1270	LANGHAM-SS	0	\$ 0
1271	GRAND MING	0	\$ 0
1282	GLORY SUN FIN	101,947,732	\$ 38,740,138
1288	ABC	366,508,017	\$ 1,015,227,207
1299	AIA	80,024,688	\$ 7,162,209,576
1302	LIFETECH SCI	35,994,012	\$ 107,622,096
1308	SITC	14,727,000	\$ 242,406,420
1310	HKBN	13,691,640	\$ 181,551,146
1313	CHINARES CEMENT	18,034,539	\$ 189,001,969
1316	NEXTEER	73,290,627	\$ 637,628,455
1317	MAPLELEAF EDU	90,523,666	\$ 219,067,272
1323	HUASHENG INTL	9,628,000	\$ 7,509,840
1330	DYNAGREEN ENV	1,198,000	\$ 4,312,800
1333	CHINA ZHONGWANG	46,811,795	\$ 79,111,934
1336	NCI	14,055,488	\$ 453,289,488
1337	RAZER	126,925,302	\$ 335,082,797
1339	PICC GROUP	118,751,708	\$ 285,004,099



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1347	HUA HONG SEMI	47,650,317	\$ 1,572,460,461
1349	FUDANZHANGJIANG	1,377,000	\$ 5,535,540
1359	CHINA CINDA	207,309,245	\$ 308,890,775
1368	XTEP INT'L	50,340,284	\$ 172,667,174
1375	CC SECURITIES	10,560,000	\$ 15,734,400
1378	CHINAHONGQIAO	43,632,527	\$ 301,500,762
1381	CANVEST ENV	8,859,560	\$ 29,856,717
1382	PACIFICTEXTILES	9,756,169	\$ 57,854,082
1383	SUNCITY GROUP	52,300,000	\$ 38,179,000
1385	SHANGHAI FUDAN	6,543,000	\$ 74,721,060
1398	ICBC	528,726,226	\$ 2,410,991,591
1415	COWELL	6,039,000	\$ 26,571,600
1426	SPRING REIT	0	\$ 0
1431	YST DAIRY	160,815,460	\$ 101,313,740
1448	FU SHOU YUAN	15,982,463	\$ 127,699,879
1456	GUOLIAN SEC	734,000	\$ 2,642,400
1458	ZHOU HEI YA	98,665,317	\$ 794,255,802
1475	NISSIN FOODS	11,760,632	\$ 81,148,361
1478	Q TECH	26,547,630	\$ 268,131,063
1501	KDL MEDICAL	249,200	\$ 11,089,400
1503	CMC REIT	0	\$ 0
1508	CHINA RE	308,194,388	\$ 240,391,623
1513	LIVZON PHARMA	2,289,483	\$ 72,462,137
1515	CR MEDICAL	25,404,664	\$ 150,903,704
1521	FRONTAGE	22,797,424	\$ 89,593,876
1528	RS MACALLINE	1,235,160	\$ 5,694,088
1530	3SBIO	200,898,741	\$ 1,522,812,457
1548	GENSCRIPT BIO	47,386,731	\$ 597,072,811
1551	GRCB	0	\$ 0
1558	HEC PHARM	39,616,278	\$ 398,539,757
1565	VIRSCEND EDU	4,102,000	\$ 9,639,700
1568	SUNDART HLDGS	0	\$ 0
1569	MINSHENG EDU	0	\$ 0
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	31,474,615	\$ 3,100,249,578
1585	YADEA	15,430,000	\$ 194,418,000
1589	CNLP	22,725,805	\$ 87,494,349
1600	TIAN LUN GAS	5,456,241	\$ 36,556,815
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	3,652,660	\$ 11,177,140
1610	COFCO JOYCOME	160,946,658	\$ 413,632,911

## Aggregated Reportable Short Positions of Specified Shares as of 20/11/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1618	MCC	4,214,000	\$ 5,731,040
1622	REDCO GROUP	22,658,512	\$ 74,546,504
1628	YUZHOU GROUP	117,262,350	\$ 358,822,791
1638	KAISA GROUP	68,438,370	\$ 260,065,806
1658	PSBC	254,272,230	\$ 1,070,486,088
1660	ZHAOBANGJI PPT	0	\$ 0
1665	PENTAMASTER	0	\$ 0
1666	TONG REN TANG	12,912,511	\$ 69,340,184
1668	CHINASOUTHCITY	39,868,800	\$ 31,496,352
1672	ASCLETIS-B	16,434,000	\$ 46,672,560
1675	ASIAINFO TECH	7,525,600	\$ 89,705,152
1686	SUNEVISION	11,719,176	\$ 85,549,985
1717	AUSNUTRIA	21,813,991	\$ 236,463,662
1727	HEBEI CONS	12,668,000	\$ 356,604,200
1753	DUIBA	17,998,800	\$ 46,796,880
1755	S-ENJOY SERVICE	609,000	\$ 12,514,950
1765	HOPE EDU	22,816,000	\$ 49,967,040
1766	CRRC	77,511,091	\$ 246,485,269
1769	SCHOLAR EDU	626,000	\$ 11,205,400
1772	GANFENGLITHIUM	1,835,094	\$ 112,950,036
1773	TIANLI EDU	12,687,000	\$ 89,062,740
1776	GF SEC	58,648,521	\$ 649,825,613
1777	FANTASIA	22,724,750	\$ 34,541,620
1778	COLOUR LIFE	25,457,211	\$ 96,737,402
1787	SD GOLD	13,552,590	\$ 261,836,039
1788	GUOTAI JUNAN I	100,224,070	\$ 104,233,033
1789	AK MEDICAL	45,668,284	\$ 727,039,081
1797	KOOLEARN	72,824,724	\$ 2,370,444,766
1798	DATANG RENEW	7,497,000	\$ 8,771,490
1800	CHINA COMM CONS	158,004,208	\$ 657,297,505
1801	INNOVENT BIO	38,785,772	\$ 2,193,335,407
1806	HUIFU PAYMENT	5,160,116	\$ 11,713,463
1809	PRINX CHENGSHAN	0	\$ 0
1810	XIAOMI-W	249,334,386	\$ 6,370,493,562
1811	CGN NEW ENERGY	23,941,250	\$ 24,898,900
1813	KWG GROUP	44,957,224	\$ 495,428,608
1816	CGN POWER	178,323,572	\$ 292,450,658
1817	MULSANNE GROUP	3,004,000	\$ 14,058,720
1818	ZHAOJIN MINING	50,376,093	\$ 509,806,061
1821	ESR	23,469,264	\$ 598,466,232
1829	CMEC	7,689,826	\$ 12,303,722

## Aggregated Reportable Short Positions of Specified Shares as of 20/11/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1833	PA GOODDOCTOR	82,545,769	\$ 8,361,886,400
1836	STELLA HOLDINGS	3,151,500	\$ 26,787,750
1839	CIMC VEHICLES	309,500	\$ 2,228,400
1848	CALC	2,159,533	\$ 14,900,778
1858	CHUNLI MEDICAL	3,438,566	\$ 97,483,346
1859	BRIGHT CULTURE	0	\$ 0
1860	MOBVISTA	1,657,498	\$ 6,397,942
1873	VIVA BIOTECH	30,037,261	\$ 231,286,910
1876	BUD APAC	168,783,130	\$ 4,641,536,075
1877	JUNSHI BIO	7,334,087	\$ 313,898,924
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	5,290,725	\$ 120,099,458
1883	CITIC TELECOM	7,692,768	\$ 19,616,558
1888	KB LAMINATES	30,684,100	\$ 386,619,660
1890	CHINA KEPEI	5,622,000	\$ 33,057,360
1896	MAOYAN ENT	12,446,134	\$ 161,799,742
1898	CHINA COAL	29,087,399	\$ 61,374,412
1899	XINGDA INT'L	0	\$ 0
1907	CHINA RISUN GP	872,000	\$ 2,171,280
1908	C&D INTL GROUP	1,756,000	\$ 27,112,640
1910	SAMSONITE	86,821,213	\$ 1,052,273,102
1911	CR HOLDINGS	168,400	\$ 2,532,736
1913	PRADA	14,561,192	\$ 521,290,674
1916	JIANGXI BANK	0	\$ 0
1918	SUNAC	76,495,420	\$ 2,313,986,455
1919	COSCO SHIP HOLD	93,908,642	\$ 704,314,815
1928	SANDS CHINA LTD	64,975,033	\$ 2,222,146,129
1929	CHOW TAI FOOK	2,833,600	\$ 27,400,912
1951	JXR	54,914,527	\$ 724,871,756
1958	BAIC MOTOR	111,266,054	\$ 352,713,391
1963	BCQ	1,654,309	\$ 7,642,908
1966	CHINA SCE GROUP	10,817,000	\$ 36,994,140
1970	IMAX CHINA	2,681,300	\$ 37,484,574
1972	SWIREPROPERTIES	40,941,267	\$ 976,449,218
1988	MINSHENG BANK	739,095,165	\$ 3,111,590,645
1992	FOSUN TOURISM	298,006	\$ 2,309,547
1995	EVERSUNSHINE LS	33,439,615	\$ 469,492,195
1996	RSUN PPT	0	\$ 0
1997	WHARF REIC	84,895,684	\$ 3,200,567,287
1999	MAN WAH HLDGS	11,714,736	\$ 173,143,798
2001	NEW HIGHER EDU	16,803,000	\$ 79,814,250

## Aggregated Reportable Short Positions of Specified Shares as of 20/11/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2005	SSY GROUP	100,590,082	\$ 474,785,187
2006	JINJIANGCAPITAL	12,920,000	\$ 16,796,000
2007	COUNTRY GARDEN	424,074,260	\$ 4,452,779,730
2009	BBMG	30,605,820	\$ 48,357,196
2013	WEIMOB INC	240,679,453	\$ 2,695,609,874
2016	CZBANK	0	\$ 0
2018	AAC TECH	103,482,173	\$ 4,356,599,483
2019	DEXIN CHINA	1,979,000	\$ 5,739,100
2020	ANTA SPORTS	50,771,138	\$ 5,584,825,180
2038	FIH	142,197,161	\$ 123,711,530
2039	CIMC	3,225,491	\$ 41,544,324
2048	E-HOUSE ENT	6,571,500	\$ 50,271,975
2057	ZTO EXPRESS-SW	0	\$ 0
2066	SHENGJINGBANK	0	\$ 0
2098	ZALL SMARTCOM	10,450,000	\$ 7,628,500
2099	CHINAGOLDINTL	111,000	\$ 1,292,040
2100	BAIOO	814,000	\$ 789,580
2103	SINIC HOLDINGS	0	\$ 0
2128	CHINA LESSO	15,824,064	\$ 203,180,982
2139	BANK OF GANSU	709,000	\$ 737,360
2163	BROAD HOMES	449,700	\$ 10,365,585
2168	KAISA PROSPER	1,863,596	\$ 41,185,472
2186	LUYE PHARMA	168,203,432	\$ 733,366,964
2196	FOSUN PHARMA	39,143,137	\$ 1,299,552,148
2198	CHINA SANJIANG	4,391,200	\$ 11,285,384
2202	CHINA VANKE	53,023,103	\$ 1,537,669,987
2208	GOLDWIND	106,021,900	\$ 1,261,660,610
2231	JY GRANDMARK	0	\$ 0
2233	WESTCHINACEMENT	84,524,408	\$ 104,810,266
2238	GAC GROUP	140,192,061	\$ 1,324,814,976
2269	WUXI BIO	22,566,628	\$ 1,880,928,444
2282	MGM CHINA	71,762,547	\$ 843,927,553
2299	BILLION IND	0	\$ 0
2313	SHENZHOU INTL	8,294,337	\$ 1,167,013,216
2314	LEE & MAN PAPER	15,487,659	\$ 91,996,694
2318	PING AN	718,568,744	\$ 63,341,834,784
2319	MENGNU DAIRY	64,393,013	\$ 2,652,992,136
2322	HK CHAOSHANG GP	0	\$ 0
2328	PICC P&C	184,133,632	\$ 1,178,455,245
2329	GUORUI PPT	2,067,000	\$ 1,880,970
2331	LI NING	34,762,487	\$ 1,590,383,780

## Aggregated Reportable Short Positions of Specified Shares as of 20/11/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2333	GREATWALL MOTOR	357,838,535	\$ 5,439,145,732
2338	WEICHAI POWER	60,979,098	\$ 1,052,499,231
2342	COMBA	153,146,880	\$ 390,524,544
2343	PACIFIC BASIN	191,248,497	\$ 254,360,501
2356	DAHSHING BANKING	1,165,790	\$ 8,894,978
2357	AVICHINA	74,765,778	\$ 336,446,001
2359	WUXI APTEC	34,794,743	\$ 4,366,740,247
2362	JINCHUAN INTL	52,141,384	\$ 37,020,383
2378	PRU	0	\$ 0
2380	CHINA POWER	122,346,622	\$ 189,637,264
2382	SUNNY OPTICAL	41,962,194	\$ 6,583,868,239
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	75,494,471	\$ 249,886,699
2388	BOC HONG KONG	73,892,434	\$ 1,836,226,985
2400	XD INC	4,910,301	\$ 174,315,686
2500	VENUS MEDTECH-B	8,051,819	\$ 593,419,060
2552	HUA MEDICINE-B	2,154,500	\$ 11,742,025
2588	BOC AVIATION	18,845,583	\$ 1,281,499,644
2600	CHALCO	97,034,583	\$ 220,268,503
2601	CPIC	58,920,945	\$ 1,605,595,751
2606	LANGUANGJUSTBON	90,300	\$ 2,894,115
2607	SH PHARMA	16,008,870	\$ 215,159,213
2608	SUNSHINE 100	0	\$ 0
2611	GTJA	24,732,803	\$ 270,082,209
2616	CSTONE PHARMA-B	11,565,500	\$ 121,669,060
2628	CHINA LIFE	110,945,063	\$ 1,950,414,208
2638	HKELECTRIC-SS	79,593,363	\$ 623,216,032
2666	UNI MEDICAL	12,618,015	\$ 72,679,766
2669	CHINA OVS PPT	61,230,470	\$ 295,743,170
2678	TEXHONG TEXTILE	2,143,500	\$ 15,283,155
2688	ENN ENERGY	13,558,683	\$ 1,349,088,959
2689	ND PAPER	74,686,948	\$ 806,619,038
2696	HENLIUS-B	114,589	\$ 4,268,440
2727	SH ELECTRIC	122,684,480	\$ 269,905,856
2768	JIAYUAN INTL	5,387,172	\$ 16,323,131
2772	ZHONGLIANG HLDG	4,342,500	\$ 22,016,475
2777	R&F PROPERTIES	84,419,124	\$ 845,879,622
2778	CHAMPION REIT	16,311,932	\$ 72,751,217
2799	CHINA HUARONG	228,236,564	\$ 198,565,811
2800	TRACKER FUND	37,618,862	\$ 999,909,352
2801	ISHARES CHINA	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 20/11/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2802	ISHARES EM ASIA	0	\$ 0
2803	PP BEDROCK	0	\$ 0
2804	PREMIA VIET	0	\$ 0
2805	VANGUARDASXJP	0	\$ 0
2806	GX CN CONSUME	0	\$ 0
2807	GX CN ROBO&AI	0	\$ 0
2809	GX CN CLN EN	0	\$ 0
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMSUNGCDGN	0	\$ 0
2813	CAM CTPB BOND	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2820	GX CN BIOTECH	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	69,091,152	\$ 1,298,222,746
2823	ISHARES A50	88,118,239	\$ 1,664,553,535
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2826	GX CN CLOUD	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	4,720,277	\$ 505,305,653
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2845	GX CN ELE VEH	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	19,227,000	\$ 36,531,300
2866	COSCO SHIP DEV	36,848,973	\$ 45,324,237
2868	BJ CAPITAL LAND	15,318,200	\$ 21,598,662
2869	GREENTOWN SER	40,955,673	\$ 369,010,614
2877	SHINEWAY PHARM	5,326,577	\$ 27,165,543
2883	CHINA OILFIELD	34,927,230	\$ 211,309,742
2888	STANCHART	2,948,618	\$ 131,950,656
2899	ZIJIN MINING	101,358,681	\$ 772,353,149
3005	CSOPCSI500ETF	0	\$ 0
3007	TRFXIC50	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 20/11/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3010	ISHARES AXJ	0	\$ 0
3011	A ICBCICUSD	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0
3022	ISHARESMSCIEM	0	\$ 0
3023	PA AI&ROBOTICS	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X TRMSCIRUSSIA	0	\$ 0
3031	HT CH A ESG	0	\$ 0
3032	HSTECH ETF	0	\$ 0
3033	CSOP HS TECH	12,381,600	\$ 98,433,720
3036	TRMSCITAIWAN	0	\$ 0
3040	GX MSCI CHINA	0	\$ 0
3043	TRMSCIPACEXJ	0	\$ 0
3047	F SSIFIRONORE	0	\$ 0
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3065	TRMSCISG	0	\$ 0
3067	ISHARESHSTECH	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3072	NIKKOAM INET	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3077	PREMIA UST	0	\$ 0
3079	CICC CGB	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3085	VANGUARDAXJHY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3088	CAM HS TECH	0	\$ 0
3091	A NIKKOAMGAME	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3093	CICCSELECT100	0	\$ 0
3095	VALUE A SHARE	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 20/11/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3096	CSOP USD MM	0	\$ 0
3097	F GX OIL	0	\$ 0
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VANGUARDDEUR	0	\$ 0
3110	GX HS HIGH DIV	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP RMB MM	0	\$ 0
3126	VANGUARDJAPAN	0	\$ 0
3127	GX CSI300	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3130	HS CSI300	0	\$ 0
3140	VANGUARDSP500	0	\$ 0
3141	BMO ASIA IGB	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3163	PA MULTI-FACTOR	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3166	PA QLTY FACTOR	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0
3169	VANGUARDCHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3173	PP CN NEWCON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3181	PREMIAASIA TEC	0	\$ 0
3182	WISE NEW ECON50	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3187	SAMSUNG REITS	0	\$ 0
3188	CAM CSI300	44,345,407	\$ 2,638,551,717
3191	GX CN SEMICON	0	\$ 0
3193	CSOP CSI 5G	0	\$ 0
3197	CAM MSCI AINC	0	\$ 0
3198	PING AN 5HANDL	0	\$ 0
3199	CSOP CTPBBOND	0	\$ 0
3301	RONSHINECHINA	17,273,779	\$ 98,287,803



## Aggregated Reportable Short Positions of Specified Shares as of 20/11/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3306	JNBY	1,705,294	\$ 16,149,134
3308	GOLDEN EAGLE	1,158,000	\$ 8,221,800
3309	C-MER EYE	11,754,000	\$ 70,994,160
3311	CHINA STATE CON	70,815,622	\$ 372,490,172
3319	A-LIVING	44,754,116	\$ 1,535,066,179
3320	CHINARES PHARMA	51,292,822	\$ 212,865,211
3323	CNBM	58,568,633	\$ 637,226,727
3328	BANKCOMM	158,108,812	\$ 654,570,482
3331	VINDA INT'L	35,705,326	\$ 812,296,167
3333	EVERGRANDE	242,486,388	\$ 4,030,123,769
3336	JU TENG INTL	2,767,424	\$ 6,226,704
3339	LONKING	38,013,891	\$ 90,473,061
3347	TIGERMED	4,203,168	\$ 592,226,371
3360	FE HORIZON	152,944,996	\$ 1,211,324,368
3377	SINO-OCEAN GP	148,924,912	\$ 238,279,859
3380	LOGAN GROUP	20,979,680	\$ 266,022,342
3383	AGILE GROUP	58,753,795	\$ 667,443,111
3396	LEGENDHOLDING	37,091,678	\$ 382,786,117
3603	XINJI SHAXI	0	\$ 0
3606	FUYAO GLASS	14,453,116	\$ 558,612,933
3613	TONGRENTANGCM	9,966,668	\$ 95,879,346
3618	CQRC BANK	24,787,961	\$ 79,321,475
3633	ZHONGYU GAS	1,392,600	\$ 9,441,828
3639	YIDA CHINA	0	\$ 0
3662	AOYUAN HEALTHY	25,073,849	\$ 126,873,676
3668	YANCOAL AUS	0	\$ 0
3669	YONGDA AUTO	29,679,946	\$ 346,068,170
3690	MEITUAN-W	31,176,963	\$ 9,484,032,145
3692	HANSOH PHARMA	40,029,276	\$ 1,371,002,703
3709	EEKA FASHION	2,306,000	\$ 41,646,360
3718	BJ ENT URBAN	0	\$ 0
3759	PHARMARON	3,772,500	\$ 421,388,250
3799	DALI FOODS	56,320,875	\$ 280,477,958
3800	GCL-POLY ENERGY	1,071,814,911	\$ 546,625,605
3808	SINOTRUK	55,978,184	\$ 1,122,362,589
3813	POU SHENG INT'L	5,894,540	\$ 11,906,971
3818	CHINA DONGXIANG	101,192,236	\$ 85,001,478
3836	HARMONY AUTO	25,674,361	\$ 102,697,444
3866	BQD	0	\$ 0
3868	XINYI ENERGY	30,468,449	\$ 123,092,534
3877	CSSC SHIPPING	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 20/11/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3883	CHINA AOYUAN	13,411,351	\$ 107,290,808
3888	KINGSOFT	104,557,950	\$ 4,354,838,618
3898	CRRC TIMES ELEC	14,468,973	\$ 383,427,785
3899	CIMC ENRIC	27,742,500	\$ 122,621,850
3900	GREENTOWN CHINA	42,577,867	\$ 491,348,585
3908	CICC	149,426,269	\$ 2,680,707,266
3918	NAGACORP	17,405,000	\$ 175,442,400
3933	UNITED LAB	4,807,376	\$ 31,536,387
3958	DFZQ	3,499,200	\$ 18,895,680
3968	CM BANK	102,531,798	\$ 5,116,336,720
3969	CHINA CRSC	133,192,476	\$ 371,607,008
3983	CHINA BLUECHEM	18,763,907	\$ 26,081,831
3988	BANK OF CHINA	1,088,279,717	\$ 2,916,589,642
3990	MIDEA REAL EST	3,875,599	\$ 70,303,366
3993	CMOC	205,566,222	\$ 723,593,101
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	319,963,158	\$ 1,075,076,211
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	247,855,814	\$ 4,188,763,257
6049	POLY PPT SER	6,710,479	\$ 355,655,387
6055	CTIHK	9,132,837	\$ 144,481,481
6060	ZA ONLINE	46,796,775	\$ 1,796,996,160
6066	CSC	66,820,368	\$ 673,549,309
6068	WISDOM EDU INTL	28,696,000	\$ 99,862,080
6069	SHENGYE CAPITAL	5,555,559	\$ 29,944,463
6078	HYGEIA HEALTH	396,000	\$ 22,176,000
6088	FIT HON TENG	73,083,450	\$ 205,364,495
6098	CG SERVICES	109,773,963	\$ 5,099,000,581
6099	CMSC	3,563,308	\$ 36,630,806
6100	TONGDAO LIEPIN	1,507,800	\$ 29,371,944
6110	TOPSPORTS	52,943,000	\$ 632,139,420
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6158	ZHENRO PPT	40,008,517	\$ 190,040,456
6160	BEIGENE	0	\$ 0
6166	CHINA VAST	386,000	\$ 1,215,900

## Aggregated Reportable Short Positions of Specified Shares as of 20/11/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6169	YUHUA EDU	164,325,111	\$ 1,148,632,526
6178	EB SECURITIES	14,912,800	\$ 107,521,288
6185	CANSINO BIO-B	10,782,199	\$ 1,690,648,803
6186	CHINA FEIHE	54,213,955	\$ 963,924,120
6196	BANK OF ZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	3,127,000	\$ 15,478,650
6199	BANK OF GUIZHOU	0	\$ 0
6806	SWHY	11,749,057	\$ 27,375,303
6808	SUNART RETAIL	217,847,281	\$ 1,801,597,014
6818	CEB BANK	33,022,141	\$ 97,415,316
6820	FRIENDTIMES	0	\$ 0
6823	HKT-SS	22,356,216	\$ 232,057,522
6826	HAOHAI BIOTEC	928,704	\$ 42,627,514
6837	HAITONG SEC	207,608,661	\$ 1,349,456,297
6855	ASCENTAGE-B	1,538,338	\$ 39,996,788
6862	HAIDILAO	65,092,405	\$ 3,661,447,781
6865	FLAT GLASS	22,445,517	\$ 455,643,995
6868	TENFU	0	\$ 0
6869	YOFC	7,603,543	\$ 82,878,619
6878	DIFFER GROUP	17,000,000	\$ 12,580,000
6881	CGS	195,979,742	\$ 948,541,951
6886	HTSC	77,109,616	\$ 933,026,354
6889	DYNAM JAPAN	573,400	\$ 4,724,816
6919	REN RUI HR	1,954,400	\$ 55,309,520
6958	ZHENRO SERVICES	663,000	\$ 3,056,430
6969	SMOORE INTL	36,552,000	\$ 1,769,116,800
7200	FL2 CSOP HSI	0	\$ 0
7205	FL2 SAMSUNG HSI	0	\$ 0
7221	FL2 CAMDXN HSI	0	\$ 0
7228	FL2 SAMSUNG HSCEI	0	\$ 0
7230	FL2 GX HSCEI	0	\$ 0
7231	FL2 GX HSI	0	\$ 0
7233	XL2 CSOP CSI300	0	\$ 0
7261	FL2 CAMDXNNDQ100	0	\$ 0
7266	FL2 CSOP NASDAQ	0	\$ 0
7272	XL2 CAMDXN CSI300	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7299	FL2 CSOP GOLD	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7312	FISAMSUNG HSI	0	\$ 0
7321	FICAMDXN HSI	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 20/11/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7322	XI GX S&P500	0	\$ 0
7328	FISAMSUNGSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7333	XICSOPCSI300	0	\$ 0
7336	FI GX HSI	0	\$ 0
7362	FI GX HSCEI	0	\$ 0
7373	XICAMDXNCSI300	0	\$ 0
7500	FI2 CSOP HSI	0	\$ 0
7522	FI2CAMDXNNDQ100	0	\$ 0
7568	FI2CSOPNASDAQ	0	\$ 0
7588	FI2 CSOP HSCEI	0	\$ 0
8083	CHINA YOUZAN	129,271,112	\$ 232,688,002
9010	ISHARES AXJ-U	0	\$ 0
9011	A ICBCCICUSD-U	0	\$ 0
9022	ISHAREMSCIEM-U	0	\$ 0
9031	HT CH A ESG-U	0	\$ 0
9047	F SSIFIRONORE-U	0	\$ 0
9067	ISHARESHSTECH-U	0	\$ 0
9072	NIKKOAM INET-U	0	\$ 0
9074	ISHAREMSCITW-U	0	\$ 0
9077	PREMIA UST-U	0	\$ 0
9078	PREMIA UST A-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9085	VANGUARDAXJHY-U	0	\$ 0
9086	BMO NASDAQ100-U	0	\$ 0
9088	CAM HS TECH-U	0	\$ 0
9091	A NIKKOAMGAME-U	0	\$ 0
9096	CSOP USD MM-U	0	\$ 0
9101	VANGUARDDDVEUR-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9126	VANGUARDJAPAN-U	0	\$ 0
9140	VANGUARDSP500-U	0	\$ 0
9141	BMO ASIA IGB-U	0	\$ 0
9167	ICBCCSOPCHINA-U	0	\$ 0
9169	VANGUARDCHINA-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9173	PP CN NEWCON-U	0	\$ 0
9181	PREMIAASIA TEC-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9187	SAMSUNG REITS-U	0	\$ 0
9191	GX CN SEMICON-U	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 20/11/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9618	JD-SW	8,739,933	\$ 2,936,617,488
9633	NONGFU SPRING	6,451,800	\$ 291,621,360
9688	ZAI LAB-SB	0	\$ 0
9803	PP BEDROCK-U	0	\$ 0
9804	PREMIA VIET-U	0	\$ 0
9805	VANGUARDASXJP-U	0	\$ 0
9806	GX CN CONSUME-U	0	\$ 0
9807	GX CN ROBO&AI-U	0	\$ 0
9809	GX CN CLN EN-U	0	\$ 0
9810	PREMIAEMASEAN-U	0	\$ 0
9812	SAMUNGCN-DGN-U	0	\$ 0
9820	GX CN BIOTECH-U	0	\$ 0
9826	GX CN CLOUD-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9845	GX CN ELE VEH-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9909	POWERLONG CM	5,541,580	\$ 120,806,444
9922	JIUMAOJIU	25,312,851	\$ 462,718,916
9923	YEAHKA	4,032,800	\$ 169,377,600
9926	AKESO-B	934,000	\$ 22,883,000
9928	TIMES NEIGHBOR	11,419,002	\$ 88,611,456
9939	KINTOR PHARMA-B	0	\$ 0
9966	ALPHAMAB-B	10,318,869	\$ 158,910,583
9968	HUIJING HLDGS	3,778,000	\$ 7,707,120
9969	INNOCARE-B	1,097,000	\$ 12,527,740
9983	CC NEW LIFE	0	\$ 0
9987	YUM CHINA-S	225,400	\$ 105,757,680
9988	BABA-SW	22,000,067	\$ 5,566,016,951
9989	HEPALINK	506,000	\$ 6,233,920
9996	PEIJIA-B	1,712,000	\$ 37,578,400
9997	KANGJI MEDICAL	833,500	\$ 15,619,790
9999	NTES-S	6,995,310	\$ 991,934,958
80737	BAY AREA DEV-R	0	\$ 0
82805	VANGUARDASXJP-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82813	CAM CTPB BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	1,309,000	\$ 24,268,860
82823	ISHARES A50-R	0	\$ 0
82828	HSCEI ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 20/11/2020

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
83005	CSOPCSI500ETF-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83031	HT CH A ESG-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83079	CICC CGB-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83085	VANGUARDAXJHY-R	0	\$ 0
83093	CICCSELECT100-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83101	VANGUARDDDVEUR-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83122	CSOP RMB MM-R	0	\$ 0
83126	VANGUARDJAPAN-R	0	\$ 0
83127	GX CSI300-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83130	HS CSI300-R	0	\$ 0
83140	VANGUARDSP500-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83169	VANGUARDCHINA-R	0	\$ 0
83170	ISHARESXS200-R	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	90,000	\$ 5,275,800
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP CTPBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0