

Aggregated Reportable Short Positions of Specified Shares as of 01/04/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	15,291,507	\$ 954,954,612
2	CLP HOLDINGS	14,587,712	\$ 1,088,243,315
3	HK & CHINA GAS	342,986,868	\$ 4,157,000,840
4	WHARF HOLDINGS	18,058,211	\$ 368,387,504
5	HSBC HOLDINGS	79,934,935	\$ 3,625,049,302
6	POWER ASSETS	19,784,253	\$ 892,269,810
8	PCCW	162,095,753	\$ 714,842,271
10	HANG LUNG GROUP	2,139,966	\$ 42,371,327
11	HANG SENG BANK	31,055,322	\$ 4,680,037,025
12	HENDERSON LAND	16,438,938	\$ 572,896,989
14	HYSAN DEV	11,879,169	\$ 355,187,153
16	SHK PPT	16,384,700	\$ 1,930,117,660
17	NEW WORLD DEV	18,741,789	\$ 758,105,365
19	SWIRE PACIFIC A	10,420,481	\$ 600,740,730
23	BANK OF E ASIA	24,053,059	\$ 392,064,862
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	42,462,637	\$ 3,027,586,018
28	TIAN AN	0	\$ 0
29	DYNAMIC HOLD	62,000	\$ 824,600
30	BAN LOONG HOLD	6,392,000	\$ 2,876,400
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	1,990,988	\$ 5,893,324
38	FIRST TRACTOR	31,356,000	\$ 107,551,080
41	GREAT EAGLE H	2,446,365	\$ 65,073,309
43	C.P. POKPHAND	10,156,000	\$ 10,156,000
45	HK&S HOTELS	940,654	\$ 7,374,727
50	HK FERRY (HOLD)	0	\$ 0
52	FAIRWOOD HOLD	177,392	\$ 3,015,664
53	GUOCO GROUP	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
66	MTR CORPORATION	54,226,191	\$ 2,385,952,404
69	SHANGRI-LA ASIA	25,782,070	\$ 202,647,070
71	MIRAMAR HOTEL	0	\$ 0
75	Y.T. REALTY	0	\$ 0
81	CH OVS G OCEANS	10,774,817	\$ 54,197,330
83	SINO LAND	40,217,058	\$ 435,952,909
86	SUN HUNG KAI CO	0	\$ 0
87	SWIRE PACIFIC B	0	\$ 0
88	TAI CHEUNG HOLD	128,000	\$ 633,600

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95	LVGEM CHINA	8,904,000	\$ 19,054,560
101	HANG LUNG PPT	52,620,714	\$ 1,073,462,566
102	SUMMIT ASCENT	17,550,364	\$ 16,497,342
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	1,374,000	\$ 2,638,080
116	CHOW SANG SANG	2,058,849	\$ 25,323,843
119	POLY PROPERTY	11,759,948	\$ 26,812,681
120	COSMOPOL INT'L	1,898,000	\$ 2,391,480
123	YUEXIU PROPERTY	5,494,000	\$ 9,724,380
127	CHINESE EST H	0	\$ 0
135	KUNLUN ENERGY	43,278,577	\$ 355,317,117
136	HENG TEN NET	142,452,496	\$ 1,438,770,210
142	FIRST PACIFIC	11,754,949	\$ 31,738,362
144	CHINA MER PORT	36,537,666	\$ 445,028,772
148	KINGBOARD HLDG	4,573,705	\$ 199,413,538
151	WANT WANT CHINA	356,230,139	\$ 2,083,946,313
152	SHENZHEN INT'L	9,075,472	\$ 117,981,136
158	MELBOURNE ENT	0	\$ 0
165	CHINA EB LTD	11,820,142	\$ 119,383,434
168	TSINGTAO BREW	20,049,450	\$ 1,414,488,698
171	SILVER GRANT	670,000	\$ 469,000
173	K. WAH INT'L	642,770	\$ 2,551,797
175	GEELY AUTO	81,187,626	\$ 1,668,405,714
177	JIANGSU EXPRESS	18,692,211	\$ 176,828,316
178	SA SA INT'L	72,777,558	\$ 132,455,156
179	JOHNSON ELEC H	468,345	\$ 10,022,583
182	CONCORD NE	5,020,000	\$ 2,811,200
185	ZENSUN ENT	43,350,000	\$ 19,074,000
189	DONGYUE GROUP	74,701,396	\$ 442,232,264
194	LIU CHONG HING	0	\$ 0
200	MELCO INT'L DEV	30,679,966	\$ 491,493,055
207	JOY CITY PPT	0	\$ 0
208	POLYTEC ASSET	0	\$ 0
215	HUTCHTEL HK	10,340,000	\$ 15,406,600
220	U-PRESID CHINA	23,257,383	\$ 225,596,615
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	726,000	\$ 617,100
241	ALI HEALTH	180,398,183	\$ 4,176,217,936
242	SHUN TAK HOLD	13,667,170	\$ 33,484,567
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 01/04/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
256	CITYCHAMP	9,468,000	\$ 15,906,240
257	EB ENVIRONMENT	100,114,117	\$ 526,600,255
261	GBA HOLDINGS	0	\$ 0
267	CITIC	35,940,219	\$ 264,879,414
268	KINGDEE INT'L	160,317,301	\$ 4,015,948,390
270	GUANGDONG INV	47,711,709	\$ 591,625,192
272	SHUI ON LAND	32,143,067	\$ 38,893,111
285	BYD ELECTRONIC	86,311,882	\$ 4,393,274,794
286	AIDIGONG	13,306,000	\$ 7,717,480
288	WH GROUP	255,598,447	\$ 1,712,509,595
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	19,139,192	\$ 1,182,802,066
293	CATHAY PAC AIR	202,102,818	\$ 1,463,224,402
297	SINOFERT	2,753,925	\$ 2,891,621
302	CMGE	34,070,000	\$ 102,891,400
303	VTECH HOLDINGS	1,063,575	\$ 75,301,110
305	WULING MOTORS	32,370,000	\$ 60,855,600
308	CHINA TRAVEL HK	27,832,816	\$ 37,295,973
315	SMARTONE TELE	3,234,547	\$ 14,846,571
316	OOIL	171,500	\$ 22,655,150
317	COMEC	4,854,700	\$ 39,954,181
322	TINGYI	149,857,127	\$ 2,148,951,201
323	MAANSHAN IRON	16,232,471	\$ 43,989,996
326	CHINA STAR ENT	1,329,926	\$ 1,888,495
327	PAX GLOBAL	3,973,129	\$ 33,374,284
336	HUABAO INTL	31,825,551	\$ 259,696,496
337	GREENLAND HK	1,795,000	\$ 5,097,800
338	SHANGHAI PECEM	54,880,482	\$ 100,980,087
341	CAFE DE CORAL H	4,786,802	\$ 80,992,690
345	VITASOY INT'L	62,405,326	\$ 1,859,678,715
347	ANGANG STEEL	87,362,108	\$ 348,574,811
354	CHINASOFT INT'L	41,216,749	\$ 347,457,194
357	MEILAN AIRPORT	13,407,433	\$ 453,841,607
358	JIANGXI COPPER	63,779,018	\$ 977,094,556
363	SHANGHAI IND H	4,701,239	\$ 53,876,199
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	212,633,441	\$ 627,268,651
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
382	EDVANTAGE GROUP	1,564,000	\$ 11,995,880
384	CHINA GAS HOLD	64,401,857	\$ 2,035,098,681

Aggregated Reportable Short Positions of Specified Shares as of 01/04/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
386	SINOPEC CORP	291,034,537	\$ 1,213,614,019
388	HKEX	11,606,268	\$ 5,438,697,185
390	CHINA RAILWAY	73,003,664	\$ 306,615,389
392	BEIJING ENT	4,694,855	\$ 122,066,230
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	47,593,129	\$ 114,699,441
412	CSFG	0	\$ 0
416	BANKOFJINZHOU	0	\$ 0
425	MINTH GROUP	12,553,855	\$ 406,744,902
435	SUNLIGHT REIT	0	\$ 0
440	DAH SING	436,400	\$ 11,564,600
451	GCL NEWENERGY	135,274,016	\$ 39,905,835
460	SIHUAN PHARM	196,061,748	\$ 417,611,523
467	UNITEDENERGY GP	28,412,484	\$ 42,334,601
468	GAPACK	3,591,392	\$ 14,437,396
480	HKR INT'L	0	\$ 0
486	RUSAL	14,158,000	\$ 70,365,260
489	DONGFENG GROUP	53,323,941	\$ 383,399,136
493	GOME RETAIL	1,505,693,761	\$ 2,273,597,579
496	KASEN	3,434,000	\$ 3,365,320
506	CHINA FOODS	5,417,000	\$ 17,930,270
511	TVB	2,762,922	\$ 22,932,253
512	CHINAGRANDPHARM	24,722,431	\$ 156,492,988
517	COSCO SHIP INTL	1,164,439	\$ 3,132,341
520	XIABUXIABU	11,286,097	\$ 194,120,868
522	ASM PACIFIC	12,761,012	\$ 1,276,101,200
525	GUANGSHEN RAIL	29,036,883	\$ 44,716,800
535	GEMDALE PPT	71,096,864	\$ 83,183,331
546	FUFENG GROUP	4,454,567	\$ 12,695,516
547	DIGITAL DOMAIN	168,828,856	\$ 14,519,282
548	SHENZHENEXPRESS	17,658,699	\$ 144,094,984
551	YUE YUEN IND	11,903,288	\$ 231,876,050
552	CHINACOMSERVICE	33,094,868	\$ 116,493,935
563	SH IND URBAN	7,400,136	\$ 5,772,106
564	ZMJ	9,016,400	\$ 100,623,024
570	TRAD CHI MED	64,568,068	\$ 309,281,046
576	ZHEJIANGEXPRESS	56,454,693	\$ 394,618,304
579	JNCEC	10,686,558	\$ 19,022,073
580	SUN.KING TECH	9,134,000	\$ 23,565,720
581	CHINA ORIENTAL	17,580,047	\$ 40,785,709
586	CONCH VENTURE	38,991,049	\$ 1,419,274,184

Aggregated Reportable Short Positions of Specified Shares as of 01/04/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
588	BEIJING N STAR	1,596,000	\$ 2,330,160
590	LUK FOOK HOLD	1,236,000	\$ 25,770,600
598	SINOTRANS	41,850,581	\$ 128,062,778
603	CHINA OIL & GAS	0	\$ 0
604	SHENZHEN INVEST	31,761,967	\$ 87,345,409
607	FULLSHARE	255,577,436	\$ 42,425,854
622	OSHIDORI	0	\$ 0
631	SANY INT'L	34,523,107	\$ 326,243,361
636	KERRY LOG NET	1,658,500	\$ 39,306,450
639	SHOUGANG RES	18,602,419	\$ 36,088,693
656	FOSUN INTL	57,131,377	\$ 630,730,402
658	C TRANSMISSION	23,372,133	\$ 168,045,636
659	NWS HOLDINGS	17,189,388	\$ 142,328,133
662	ASIA FINANCIAL	0	\$ 0
665	HAITONG INT'L	81,826,744	\$ 211,113,000
667	CHINA EAST EDU	25,076,080	\$ 418,770,536
669	TECHTRONIC IND	17,570,457	\$ 2,363,226,467
670	CHINA EAST AIR	49,846,653	\$ 181,940,283
683	KERRY PPT	6,171,054	\$ 153,659,245
686	BJ ENERGY INTL	16,065,300	\$ 4,096,652
688	CHINA OVERSEAS	76,568,776	\$ 1,546,689,275
691	SHANSHUI CEMENT	0	\$ 0
694	BEIJING AIRPORT	130,179,210	\$ 787,584,221
696	TRAVELSKY TECH	38,350,361	\$ 714,083,722
697	SHOUCHENG	50,142,056	\$ 92,762,804
698	TONGDA GROUP	13,732,572	\$ 7,552,915
699	CAR INC	1,793,922	\$ 7,121,870
700	TENCENT	40,483,024	\$ 26,475,897,696
708	EVERG VEHICLE	35,922,890	\$ 2,209,257,735
709	GIORDANO INT'L	1,484,822	\$ 2,301,474
710	BOE VARITRONIX	0	\$ 0
728	CHINA TELECOM	24,484,601	\$ 66,598,115
732	TRULY INT'L	10,373,290	\$ 13,277,811
737	BAY AREA DEV	0	\$ 0
743	ASIA CEMENT CH	4,274,244	\$ 34,065,725
751	SKYWORTH GROUP	18,118,183	\$ 48,013,185
753	AIR CHINA	64,555,568	\$ 437,686,751
754	HOPSON DEV HOLD	22,904,123	\$ 641,315,444
762	CHINA UNICOM	8,556,000	\$ 37,731,960
763	ZTE	54,697,965	\$ 1,093,959,300
772	CHINA LIT	59,818,077	\$ 4,728,618,987

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775	CKLIFE SCIENCES	23,173,584	\$ 19,465,811
777	NETDRAGON	8,275,308	\$ 169,643,814
778	FORTUNE REIT	4,508,744	\$ 33,499,968
780	TONGCHENG-ELONG	48,663,088	\$ 868,149,490
788	CHINA TOWER	2,110,691,163	\$ 2,448,401,749
799	IGG	41,609,415	\$ 443,556,364
806	VALUE PARTNERS	32,931,393	\$ 181,451,975
807	SIICENVIRONMENT	0	\$ 0
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	1,236,000	\$ 6,624,960
813	SHIMAO GROUP	16,625,658	\$ 400,678,358
817	CHINA JINMAO	113,357,833	\$ 350,275,704
818	HI SUN TECH	33,210,000	\$ 50,147,100
819	TIANNENG POWER	136,979,549	\$ 2,153,318,510
823	LINK REIT	7,231,911	\$ 508,764,939
832	CENTRAL CHINA	10,346,052	\$ 37,245,787
836	CHINA RES POWER	10,275,452	\$ 107,070,210
839	CHINA EDU GROUP	47,168,726	\$ 657,532,040
853	MICROPORT	43,090,678	\$ 2,087,743,349
855	CHINA WATER	53,895,179	\$ 341,156,483
856	VSTECS	8,363,921	\$ 61,056,623
857	PETROCHINA	522,958,069	\$ 1,464,282,593
860	APOLLO FMG	132,284,194	\$ 67,464,939
861	DC HOLDINGS	39,030,660	\$ 217,791,083
863	BC TECH GROUP	4,463,000	\$ 69,176,500
867	CMS	25,573,489	\$ 421,451,099
868	XINYI GLASS	49,777,086	\$ 1,269,315,693
874	BAIYUNSHAN PH	7,494,538	\$ 141,796,659
880	SJM HOLDINGS	82,349,957	\$ 856,439,553
881	ZHONGSHENG HLDG	222,511,765	\$ 12,460,658,840
883	CNOOC	45,429,638	\$ 368,434,364
884	CIFI HOLD GP	51,972,408	\$ 389,793,060
902	HUANENG POWER	97,171,539	\$ 266,250,017
906	COFCO PACKAGING	9,246,438	\$ 41,793,900
909	MING YUAN CLOUD	18,987,804	\$ 761,410,940
914	CONCH CEMENT	23,315,929	\$ 1,179,786,007
916	CHINA LONGYUAN	140,633,441	\$ 1,518,841,163
921	HISENSE HA	1,240,900	\$ 17,322,964
934	SINOPEC KANTONS	34,120,544	\$ 101,338,016
939	CCB	325,043,518	\$ 2,119,283,737
941	CHINA MOBILE	14,474,260	\$ 738,910,973

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950	LEE'S PHARM	3,506,002	\$ 21,106,132
951	CHAOWEI POWER	1,684,000	\$ 5,001,480
956	CHINA SUNTIEN	30,887,787	\$ 91,427,850
960	LONGFOR GROUP	34,880,995	\$ 1,759,746,198
966	CHINA TAIPING	33,030,992	\$ 525,192,773
968	XINYI SOLAR	397,396,831	\$ 5,698,670,557
973	L'OCCITANE	0	\$ 0
978	CHI MER LAND	0	\$ 0
981	SMIC	10,388,400	\$ 269,059,560
991	DATANG POWER	29,501,385	\$ 36,581,717
992	LENOVO GROUP	876,225,967	\$ 9,463,240,444
995	ANHUIEXPRESSWAY	5,388,000	\$ 29,741,760
998	CITIC BANK	171,602,825	\$ 681,263,215
1003	HUANXI MEDIA	2,400,000	\$ 4,992,000
1024	KUAISHOU-W	30,283,369	\$ 8,630,760,165
1030	SEAZEN	37,010,277	\$ 347,156,398
1031	KINGSTON FIN	0	\$ 0
1033	SINOPEC SSC	34,310,000	\$ 24,017,000
1038	CKI HOLDINGS	7,970,757	\$ 368,248,973
1044	HENGAN INT'L	65,329,584	\$ 3,325,275,826
1052	YUEXIUTRANSPORT	10,727,968	\$ 52,567,043
1055	CHINA SOUTH AIR	135,515,962	\$ 772,440,983
1060	ALI PICTURES	732,147,104	\$ 739,468,575
1061	ESSEX BIO-TECH	1,411,874	\$ 7,384,101
1065	TIANJIN CAPITAL	484,000	\$ 1,582,680
1066	WEIGAO GROUP	106,233,338	\$ 1,621,120,738
1070	TCL ELECTRONICS	16,112,746	\$ 100,382,408
1071	HUADIAN POWER	17,744,405	\$ 42,054,240
1072	DONGFANG ELEC	15,463,656	\$ 117,523,786
1083	TOWNGAS CHINA	627,000	\$ 2,457,840
1088	CHINA SHENHUA	59,969,312	\$ 960,708,378
1093	CSPC PHARMA	89,309,732	\$ 838,618,383
1098	ROAD KING INFRA	1,313,833	\$ 13,453,650
1099	SINOPHARM	51,158,760	\$ 992,479,944
1109	CHINA RES LAND	49,377,856	\$ 1,836,856,243
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	8,226,505	\$ 247,617,801
1113	CK ASSET	12,935,162	\$ 609,246,130
1114	BRILLIANCE CHI	86,329,441	\$ 630,204,919
1117	CH MODERN D	436,081,453	\$ 837,276,390
1119	IDREAMSKY	101,448,928	\$ 383,476,948

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1128	WYNN MACAU	92,251,015	\$ 1,411,440,530
1133	HARBIN ELECTRIC	5,392,266	\$ 11,323,759
1137	HKTV	22,626,123	\$ 252,507,533
1138	COSCO SHIP ENGY	73,245,255	\$ 247,568,962
1141	CMBC CAPITAL	323,207,126	\$ 34,583,162
1157	ZOOMLION	59,843,974	\$ 701,371,375
1171	YANZHOU COAL	65,760,111	\$ 620,117,847
1176	ZHUGUANG HOLD	1,534,000	\$ 3,068,000
1177	SINO BIOPHARM	340,284,099	\$ 2,640,604,608
1179	HUAZHU-S	100,200	\$ 43,266,360
1186	CHINA RAIL CONS	726,855	\$ 3,743,303
1193	CHINA RES GAS	19,926,881	\$ 855,859,539
1196	REALORD GROUP	1,040,000	\$ 10,192,000
1199	COSCO SHIP PORT	15,466,052	\$ 98,209,430
1208	MMG	79,550,590	\$ 357,977,655
1209	CHINA RES MIXC	2,384,365	\$ 107,892,516
1211	BYD COMPANY	62,945,542	\$ 11,172,833,705
1212	LIFESTYLE INT'L	7,435,249	\$ 50,708,398
1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	0	\$ 0
1231	NEWTON RES	0	\$ 0
1233	TIMES CHINA	22,452,736	\$ 240,244,275
1234	CHINA LILANG	4,116,035	\$ 20,785,977
1238	POWERLONG	7,123,050	\$ 54,206,411
1252	CHINA TIANRUI	1,532,000	\$ 9,115,400
1257	CEB GREENTECH	33,911,467	\$ 107,499,350
1258	CHINFMINING	7,733,000	\$ 17,399,250
1268	MEIDONG AUTO	14,612,405	\$ 540,658,985
1269	FIRST CAP GP	32,664,001	\$ 2,613,120
1271	GRAND MING	0	\$ 0
1282	GLORY SUN FIN	98,432,150	\$ 26,084,520
1288	ABC	381,428,723	\$ 1,190,057,616
1299	AIA	42,693,875	\$ 4,051,648,738
1302	LIFETECH SCI	72,261,336	\$ 266,644,330
1308	SITC	29,642,454	\$ 797,382,013
1310	HKBN	12,069,391	\$ 137,108,282
1313	CHINARES CEMENT	43,228,551	\$ 373,062,395
1316	NEXTEER	28,925,895	\$ 285,498,584
1317	MAPLELEAF EDU	265,698,126	\$ 549,995,121
1323	HUASHENG INTL	13,556,000	\$ 11,115,920

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1330	DYNAGREEN ENV	2,694,399	\$ 9,969,276
1333	CHINA ZHONGWANG	53,048,238	\$ 100,261,170
1336	NCI	13,867,698	\$ 421,578,019
1337	RAZER	246,606,098	\$ 665,836,465
1339	PICC GROUP	117,656,142	\$ 297,670,039
1347	HUA HONG SEMI	30,850,911	\$ 1,419,141,906
1359	CHINA CINDA	134,008,102	\$ 219,773,287
1366	JIANGNAN GP	0	\$ 0
1368	XTEP INT'L	51,175,997	\$ 234,386,066
1375	CC SECURITIES	9,792,000	\$ 14,883,840
1378	CHINAHONGQIAO	205,253,471	\$ 2,216,737,487
1381	CANVEST ENV	6,324,000	\$ 22,766,400
1382	PACIFICTEXTILES	4,580,398	\$ 23,039,402
1383	SUNCITY GROUP	21,220,000	\$ 12,732,000
1385	SHANGHAI FUDAN	4,513,000	\$ 51,899,500
1398	ICBC	370,727,492	\$ 2,061,244,856
1415	COWELL	2,323,035	\$ 11,127,338
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	3,489,420	\$ 7,641,830
1432	CHINA SHENGMU	1,695,000	\$ 1,084,800
1448	FU SHOU YUAN	29,855,960	\$ 241,236,157
1456	GUOLIAN SEC	4,804,500	\$ 18,353,190
1458	ZHOU HEI YA	117,553,325	\$ 982,745,797
1475	NISSIN FOODS	26,203,313	\$ 157,219,878
1477	OCUMENSION-B	2,159,852	\$ 50,000,574
1478	Q TECH	8,194,583	\$ 133,407,811
1492	ZHONGDI DAIRY	0	\$ 0
1501	KDL MEDICAL	611,200	\$ 19,711,200
1503	CMC REIT	0	\$ 0
1508	CHINA RE	23,454,553	\$ 19,701,825
1513	LIVZON PHARMA	3,969,548	\$ 141,315,909
1515	CR MEDICAL	15,955,000	\$ 103,388,400
1521	FRONTAGE	13,667,385	\$ 65,603,448
1528	RS MACALLINE	1,633,720	\$ 7,515,112
1530	3SBIO	157,553,872	\$ 1,102,877,104
1548	GENSCRIPT BIO	52,994,947	\$ 794,924,205
1551	GRCB	0	\$ 0
1558	HEC PHARM	38,384,096	\$ 326,648,657
1565	VIRSCEND EDU	1,210,000	\$ 1,911,800
1566	CA CULTURAL	9,272,000	\$ 24,570,800
1568	SUNDART HLDGS	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 01/04/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1569	MINSHENG EDU	1,344,000	\$ 1,921,920
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	51,953,628	\$ 4,465,414,327
1585	YADEA	36,548,789	\$ 711,239,434
1589	CNLP	35,078,805	\$ 158,556,199
1600	TIAN LUN GAS	847,501	\$ 6,551,183
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	17,414,000	\$ 32,215,900
1610	COFCO JOYCOME	176,408,658	\$ 760,321,316
1618	MCC	31,069,317	\$ 61,206,554
1622	REDCO GROUP	26,178,512	\$ 74,608,759
1628	YUZHOU GROUP	97,524,774	\$ 219,430,742
1638	KAISA GROUP	69,219,802	\$ 264,419,644
1658	PSBC	719,157,566	\$ 4,027,282,370
1660	ZHAOBANGJI PPT	1,336,000	\$ 1,002,000
1666	TONG REN TANG	6,914,353	\$ 37,268,363
1668	CHINASOUTHCITY	52,110,000	\$ 45,335,700
1672	ASCLETIS-B	9,734,000	\$ 28,228,600
1675	ASIAINFO TECH	5,065,600	\$ 62,509,504
1680	MACAU LEGEND	0	\$ 0
1681	CONSUN PHARMA	1,149,822	\$ 4,610,786
1686	SUNEVISION	9,901,698	\$ 78,916,533
1717	AUSNUTRIA	31,948,022	\$ 332,259,429
1727	HEBEI CONS	6,137,684	\$ 16,817,254
1755	S-ENJOY SERVICE	4,907,000	\$ 114,333,100
1763	CIRC	693,000	\$ 18,399,150
1765	HOPE EDU	299,500,000	\$ 778,700,000
1766	CRRC	12,396,743	\$ 42,272,894
1769	SCHOLAR EDU	4,450,000	\$ 38,359,000
1772	GANFENGLITHIUM	8,554,388	\$ 837,474,585
1773	TIANLI EDU	8,453,000	\$ 66,186,990
1776	GF SEC	22,842,210	\$ 282,329,716
1777	FANTASIA	25,603,286	\$ 26,371,385
1778	COLOUR LIFE	18,645,566	\$ 63,394,924
1787	SD GOLD	48,936,908	\$ 716,436,333
1788	GUOTAI JUNAN I	79,750,833	\$ 117,233,725
1789	AK MEDICAL	85,470,339	\$ 871,797,458
1797	KOOLEARN	50,103,874	\$ 907,882,197
1798	DATANG RENEW	17,500,223	\$ 26,775,341
1799	XINTE ENERGY	3,587,200	\$ 58,040,896
1800	CHINA COMM CONS	9,458,679	\$ 36,226,741

Aggregated Reportable Short Positions of Specified Shares as of 01/04/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1801	INNOVENT BIO	36,005,515	\$ 2,911,045,888
1809	PRINX CHENGSHAN	0	\$ 0
1810	XIAOMI-W	93,861,945	\$ 2,482,648,445
1811	CGN NEW ENERGY	53,286,173	\$ 109,236,655
1812	CHENMING PAPER	49,372,000	\$ 374,733,480
1813	KWG GROUP	38,987,918	\$ 524,777,376
1816	CGN POWER	25,968,935	\$ 48,302,219
1817	MULSANNE GROUP	3,610,000	\$ 25,017,300
1818	ZHAOJIN MINING	61,845,488	\$ 439,721,420
1821	ESR	36,006,070	\$ 894,750,840
1829	CMEC	10,345,826	\$ 36,313,849
1833	PA GOODDOCTOR	87,315,960	\$ 9,019,738,668
1836	STELLA HOLDINGS	1,418,000	\$ 14,435,240
1839	CIMC VEHICLES	324,000	\$ 2,057,400
1848	CALC	4,745,800	\$ 29,993,456
1858	CHUNLI MEDICAL	6,628,066	\$ 127,523,990
1860	MOBVISTA	1,301,000	\$ 8,352,420
1862	JINGRUI HLDGS	0	\$ 0
1873	VIVA BIOTECH	73,299,886	\$ 505,769,213
1876	BUD APAC	168,838,636	\$ 3,959,266,014
1877	JUNSHI BIO	6,022,922	\$ 488,458,974
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	1,394,592	\$ 43,650,730
1883	CITIC TELECOM	1,159,000	\$ 3,198,840
1888	KB LAMINATES	33,964,444	\$ 589,622,748
1890	CHINA KEPEI	4,868,000	\$ 26,043,800
1896	MAOYAN ENT	10,721,400	\$ 144,524,472
1898	CHINA COAL	27,124,489	\$ 98,733,140
1899	XINGDA INT'L	2,625,648	\$ 5,408,835
1907	CHINA RISUN GP	8,927,000	\$ 41,956,900
1908	C&D INTL GROUP	8,156,000	\$ 118,914,480
1909	FIRE ROCK	824,000	\$ 5,323,040
1910	SAMSONITE	72,804,184	\$ 1,076,045,840
1911	CR HOLDINGS	1,277,772	\$ 39,163,712
1913	PRADA	12,308,200	\$ 608,025,080
1916	JIANGXI BANK	0	\$ 0
1918	SUNAC	29,094,704	\$ 973,217,849
1919	COSCO SHIP HOLD	229,443,547	\$ 2,427,512,727
1928	SANDS CHINA LTD	77,566,340	\$ 3,036,722,211
1929	CHOW TAI FOOK	18,349,974	\$ 227,539,678
1935	JH EDUCATION	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 01/04/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1951	JXR	52,838,960	\$ 940,533,488
1958	BAIC MOTOR	134,193,314	\$ 342,192,951
1963	BCQ	2,827,809	\$ 15,128,778
1966	CHINA SCE GROUP	26,221,363	\$ 95,183,548
1970	IMAX CHINA	4,108,500	\$ 72,720,450
1972	SWIREPROPERTIES	22,018,953	\$ 520,748,238
1981	CATHAY EDU	6,324,000	\$ 44,015,040
1987	BENG SOON MACH	588,000	\$ 1,575,840
1988	MINSHENG BANK	687,993,165	\$ 3,047,809,721
1992	FOSUN TOURISM	2,158,905	\$ 24,438,805
1995	EVERSUNSHINE LS	40,518,435	\$ 803,075,382
1996	RSUN PPT	4,106,000	\$ 11,743,160
1997	WHARF REIC	81,648,948	\$ 3,547,646,791
1999	MAN WAH HLDGS	27,265,847	\$ 460,792,814
2001	NEW HIGHER EDU	27,350,708	\$ 123,078,186
2005	SSY GROUP	112,148,955	\$ 501,305,829
2006	JINJIANGCAPITAL	11,433,441	\$ 17,264,496
2007	COUNTRY GARDEN	453,362,936	\$ 4,551,763,877
2009	BBMG	41,598,248	\$ 67,389,162
2013	WEIMOB INC	317,563,063	\$ 6,014,644,413
2016	CZBANK	0	\$ 0
2018	AAC TECH	105,866,612	\$ 4,181,731,174
2019	DEXIN CHINA	3,189,000	\$ 9,630,780
2020	ANTA SPORTS	54,537,865	\$ 7,122,645,169
2038	FIH	180,937,522	\$ 206,268,775
2039	CIMC	7,472,491	\$ 102,522,577
2048	E-HOUSE ENT	6,006,600	\$ 45,049,500
2057	ZTO EXPRESS-SW	0	\$ 0
2066	SHENGJINGBANK	0	\$ 0
2098	ZALL SMARTCOM	10,370,000	\$ 6,118,300
2099	CHINAGOLDINTL	587,200	\$ 12,889,040
2103	SINIC HOLDINGS	0	\$ 0
2128	CHINA LESSO	28,376,955	\$ 517,595,659
2138	UM HEALTHCARE	339,000	\$ 2,447,580
2139	BANK OF GANSU	1,107,000	\$ 1,516,590
2163	BROAD HOMES	6,378,600	\$ 140,329,200
2186	LUYE PHARMA	70,079,988	\$ 340,588,742
2196	FOSUN PHARMA	47,434,015	\$ 1,600,898,006
2198	CHINA SANJIANG	9,713,200	\$ 35,356,048
2202	CHINA VANKE	36,586,474	\$ 1,066,495,717
2208	GOLDWIND	221,807,502	\$ 3,322,676,380

Aggregated Reportable Short Positions of Specified Shares as of 01/04/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2231	JY GRANDMARK	0	\$ 0
2232	CRYSTAL INTL	0	\$ 0
2233	WESTCHINACEMENT	93,901,295	\$ 124,888,722
2238	GAC GROUP	194,091,678	\$ 1,286,827,825
2269	WUXI BIO	26,032,646	\$ 2,736,031,095
2282	MGM CHINA	59,344,800	\$ 813,023,760
2299	BILLION IND	0	\$ 0
2313	SHENZHOU INTL	6,886,916	\$ 1,124,633,383
2314	LEE & MAN PAPER	14,588,959	\$ 106,645,290
2318	PING AN	723,017,943	\$ 67,746,781,259
2319	MENGNU DAIRY	34,082,260	\$ 1,531,997,587
2322	HK CHAOSHANG GP	2,088,000	\$ 2,171,520
2328	PICC P&C	117,052,232	\$ 777,226,820
2329	GUORUI PPT	1,641,000	\$ 639,990
2331	LI NING	32,013,635	\$ 1,653,504,248
2333	GREATWALL MOTOR	177,202,917	\$ 4,226,289,570
2338	WEICHAH POWER	55,688,993	\$ 1,063,659,766
2342	COMBA	164,250,517	\$ 335,071,055
2343	PACIFIC BASIN	174,794,537	\$ 377,556,200
2356	DAHSHING BANKING	0	\$ 0
2357	AVICHINA	64,782,437	\$ 338,812,146
2359	WUXI APPTTEC	19,956,012	\$ 3,244,847,551
2362	JINCHUAN INTL	96,017,035	\$ 125,782,316
2378	PRU	0	\$ 0
2380	CHINA POWER	69,304,779	\$ 124,748,602
2382	SUNNY OPTICAL	14,895,893	\$ 2,722,969,240
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	15,605,817	\$ 67,729,246
2388	BOC HONG KONG	40,845,265	\$ 1,100,779,892
2400	XD INC	22,526,535	\$ 1,295,275,763
2500	VENUS MEDTECH-B	12,713,740	\$ 827,028,787
2552	HUA MEDICINE-B	2,905,476	\$ 13,684,792
2588	BOC AVIATION	16,015,421	\$ 1,195,551,178
2600	CHALCO	273,435,300	\$ 899,602,137
2601	CPIC	15,207,005	\$ 484,343,109
2606	LANGUANGJUSTBON	1,057,900	\$ 54,270,270
2607	SH PHARMA	10,979,207	\$ 168,860,204
2608	SUNSHINE 100	0	\$ 0
2611	GTJA	1,876,873	\$ 21,283,740
2616	CSTONE PHARMA-B	11,374,611	\$ 112,039,918
2628	CHINA LIFE	137,429,526	\$ 2,218,112,550

Aggregated Reportable Short Positions of Specified Shares as of 01/04/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2638	HKELECTRIC-SS	75,856,278	\$ 584,093,341
2666	UNI MEDICAL	11,999,146	\$ 76,554,551
2669	CHINA OVS PPT	63,324,670	\$ 460,370,351
2678	TEXHONG TEXTILE	855,500	\$ 9,684,260
2688	ENN ENERGY	23,717,041	\$ 2,964,630,125
2689	ND PAPER	58,053,595	\$ 661,810,983
2696	HENLIUS-B	758,910	\$ 32,063,948
2727	SH ELECTRIC	55,337,187	\$ 154,390,752
2768	JIAYUAN INTL	1,982,662	\$ 6,463,478
2772	ZHONGLIANG HLDG	8,540,737	\$ 44,668,055
2777	R&F PROPERTIES	73,689,937	\$ 759,006,351
2778	CHAMPION REIT	6,674,238	\$ 30,501,268
2799	CHINA HUARONG	156,702,870	\$ 159,836,927
2800	TRACKER FUND	25,552,000	\$ 745,096,320
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PP BEDROCK	0	\$ 0
2804	PREMIA VIET	0	\$ 0
2805	VANGUARDASXJP	0	\$ 0
2806	GX CN CONSUME	0	\$ 0
2807	GX CN ROBO&AI	0	\$ 0
2809	GX CN CLN EN	0	\$ 0
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMSUNGCDGN	0	\$ 0
2813	CAM CTPB BOND	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2820	GX CN BIOTECH	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	46,766,870	\$ 908,680,284
2823	ISHARES A50	67,505,383	\$ 1,335,931,530
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2826	GX CN CLOUD	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	1,332,000	\$ 150,849,000
2832	BOSERA STAR50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 01/04/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2845	GX CN EV BATT	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	14,407,869	\$ 38,901,246
2866	COSCO SHIP DEV	63,743,217	\$ 82,866,182
2868	BJ CAPITAL LAND	15,172,200	\$ 14,717,034
2869	GREENTOWN SER	74,551,492	\$ 875,234,516
2877	SHINEWAY PHARM	3,001,700	\$ 16,209,180
2883	CHINA OILFIELD	33,773,613	\$ 273,904,001
2888	STANCHART	0	\$ 0
2899	ZIJIN MINING	183,733,542	\$ 1,807,938,053
3005	CSOPCSI500ETF	0	\$ 0
3007	TRFXIC50	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3011	A ICBCICCUSD	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0
3022	ISHAREMSCIEM	0	\$ 0
3023	PA AI&ROBOTICS	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X TRMSCIRUSSIA	0	\$ 0
3031	HT CH A ESG	0	\$ 0
3032	HSTECH ETF	0	\$ 0
3033	CSOP HS TECH	0	\$ 0
3036	TRMSCITAIWAN	0	\$ 0
3040	GX MSCI CHINA	0	\$ 0
3043	TRMSCIPACEXJ	0	\$ 0
3047	F SSIFIRONORE	0	\$ 0
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3050	GX CN GL LEADER	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3058	A GXCNINNOVATOR	0	\$ 0
3065	TRMSCISG	0	\$ 0
3067	ISHAREHSTECH	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 01/04/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3069	CAM HS BIOTECH	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3071	A CICC HKD	0	\$ 0
3072	NIKKOAM INET	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3077	PREMIA UST	0	\$ 0
3079	CICC CGB	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3085	VANGUARDAXJHY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3088	CAM HS TECH	0	\$ 0
3091	A NIKKOAMGAME	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3093	CICCSELECT100	0	\$ 0
3096	CSOP USD MM	0	\$ 0
3097	F GX OIL	0	\$ 0
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI300	0	\$ 0
3101	VANGUARDDVEUR	0	\$ 0
3108	HGI ESGLEADER	0	\$ 0
3109	CSOP STAR 50	0	\$ 0
3110	GX HS HIGH DIV	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP RMB MM	0	\$ 0
3126	VANGUARDJAPAN	0	\$ 0
3127	GX CSI300	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3130	HS CSI300	0	\$ 0
3140	VANGUARDSP500	0	\$ 0
3141	BMO ASIA IGB	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 01/04/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3160	BMO JAPAN HDG	0	\$ 0
3163	PA MULTI-FACTOR	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3166	PA QLTY FACTOR	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0
3169	VANGUARDCHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3173	PP CN NEWCON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3181	PREMIAASIATEC	0	\$ 0
3182	WISE NEW ECON50	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3187	SAMSUNG REITS	0	\$ 0
3188	CAM CSI300	25,527,148	\$ 1,581,662,090
3191	GX CN SEMICON	0	\$ 0
3193	CSOP CSI 5G	0	\$ 0
3197	CAM MSCI AINC	0	\$ 0
3198	PING AN 5HANDL	0	\$ 0
3199	CSOP CTPBOND	0	\$ 0
3301	RONSHINECHINA	14,525,205	\$ 77,709,847
3306	JNBY	830,000	\$ 10,939,400
3308	GOLDEN EAGLE	1,705,000	\$ 11,917,950
3309	C-MER EYE	25,612,000	\$ 144,451,680
3311	CHINA STATE CON	26,076,560	\$ 137,423,471
3316	BINJIANG SER	0	\$ 0
3319	A-LIVING	43,079,148	\$ 1,518,539,967
3320	CHINARES PHARMA	41,253,211	\$ 203,790,862
3323	CNBM	67,274,640	\$ 757,512,446
3328	BANKCOMM	153,438,256	\$ 759,519,367
3331	VINDA INT'L	44,623,154	\$ 1,175,820,108
3333	EVERGRANDE	203,779,514	\$ 2,918,122,640
3336	JU TENG INTL	2,375,424	\$ 5,012,145
3339	LONKING	37,665,521	\$ 124,296,219
3347	TIGERMED	1,479,661	\$ 225,352,370
3360	FE HORIZON	151,134,710	\$ 1,422,177,621
3377	SINO-OCEAN GP	27,320,035	\$ 47,263,661
3380	LOGAN GROUP	16,175,731	\$ 211,902,076
3383	AGILE GROUP	37,982,368	\$ 489,972,547
3396	LEGENDHOLDING	5,127,335	\$ 64,194,234
3606	FUYAO GLASS	8,829,866	\$ 403,966,370
3613	TONGRENTANGCM	4,517,668	\$ 50,146,115

Aggregated Reportable Short Positions of Specified Shares as of 01/04/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3618	CQRC BANK	31,057,682	\$ 104,353,812
3633	ZHONGYU GAS	1,410,000	\$ 9,559,800
3639	YIDA CHINA	0	\$ 0
3662	AOYUAN HEALTHY	29,770,299	\$ 153,019,337
3668	YANCOAL AUS	0	\$ 0
3669	YONGDA AUTO	35,936,525	\$ 516,767,230
3681	SINOMAB BIO-B	0	\$ 0
3690	MEITUAN-W	19,931,724	\$ 6,493,755,679
3692	HANSOH PHARMA	30,171,528	\$ 1,123,889,418
3709	EEKA FASHION	3,209,500	\$ 29,848,350
3718	BJ ENT URBAN	40,700,000	\$ 50,468,000
3738	VOBILE GROUP	10,335,000	\$ 258,891,750
3759	PHARMARON	3,785,178	\$ 565,884,111
3798	HOMELAND ITL	910,000	\$ 4,786,600
3799	DALI FOODS	83,422,529	\$ 367,059,128
3800	GCL-POLY ENERGY	1,011,438,130	\$ 2,002,647,497
3808	SINOTRUK	32,312,392	\$ 759,341,212
3813	POU SHENG INT'L	3,264,000	\$ 5,744,640
3818	CHINA DONGXIANG	96,762,236	\$ 86,118,390
3836	HARMONY AUTO	15,337,846	\$ 64,265,575
3866	BQD	0	\$ 0
3868	XINYI ENERGY	54,009,530	\$ 216,038,120
3877	CSSC SHIPPING	0	\$ 0
3883	CHINA AOYUAN	34,176,135	\$ 282,294,875
3888	KINGSOFT	98,608,167	\$ 5,472,753,269
3898	CRRC TIMES ELEC	12,305,889	\$ 372,253,142
3899	CIMC ENRIC	29,229,817	\$ 162,810,081
3900	GREENTOWN CHINA	68,117,363	\$ 679,811,283
3908	CICC	236,234,541	\$ 4,663,269,839
3913	KWG LIVING	25,070,704	\$ 204,326,238
3918	NAGACORP	13,792,315	\$ 127,992,683
3933	UNITED LAB	9,452,099	\$ 54,727,653
3958	DFZQ	4,090,240	\$ 20,901,126
3968	CM BANK	150,422,611	\$ 9,078,004,574
3969	CHINA CRSC	64,080,424	\$ 206,979,770
3978	BESTSTUDY EDU	0	\$ 0
3983	CHINA BLUECHEM	30,711,278	\$ 60,194,105
3988	BANK OF CHINA	739,141,047	\$ 2,180,466,089
3990	MIDEA REAL EST	8,115,633	\$ 132,934,069
3993	CMOC	223,503,322	\$ 1,090,696,211
3996	CH ENERGY ENG	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 01/04/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3998	BOSIDENG	236,293,938	\$ 848,295,237
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	378,826,551	\$ 7,084,056,504
6049	POLY PPT SER	21,598,431	\$ 1,155,516,059
6055	CTIHK	8,150,803	\$ 157,310,498
6060	ZA ONLINE	66,369,676	\$ 3,265,388,059
6066	CSC	70,352,616	\$ 744,330,677
6068	WISDOM EDU INTL	9,984,000	\$ 35,343,360
6069	SHENGYE CAPITAL	9,098,000	\$ 56,498,580
6078	HYGEIA HEALTH	3,097,122	\$ 185,827,320
6088	FIT HON TENG	116,098,161	\$ 292,567,366
6098	CG SERVICES	103,665,274	\$ 8,111,807,691
6099	CMSC	8,596,109	\$ 94,385,277
6100	TONGDAO LIEPIN	1,027,440	\$ 23,477,004
6110	TOPSPORTS	38,240,489	\$ 445,884,102
6111	DAFA PPT	830,000	\$ 5,312,000
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6158	ZHENRO PPT	41,818,517	\$ 229,165,473
6160	BEIGENE	672,100	\$ 143,157,300
6166	CHINA VAST	433,000	\$ 1,299,000
6169	YUHUA EDU	177,983,267	\$ 1,064,339,937
6178	EB SECURITIES	14,887,400	\$ 104,360,674
6185	CANSINO BIO-B	8,119,340	\$ 2,568,959,176
6186	CHINA FEIHE	43,133,348	\$ 961,873,660
6196	BANK OF ZHENGZHOU	390,001	\$ 787,802
6198	QINGDAO PORT	2,092,000	\$ 9,874,240
6199	BANK OF GUIZHOU	0	\$ 0
6618	JD HEALTH	29,189,176	\$ 3,339,241,734
6666	EVERG SERVICES	0	\$ 0
6690	HAIER SMARTHOME	116,447,364	\$ 3,854,407,748
6806	SWHY	5,209,057	\$ 11,616,197
6808	SUNART RETAIL	206,991,945	\$ 1,357,867,159
6818	CEB BANK	19,699,137	\$ 66,780,074
6820	FRIENDTIMES	9,914,388	\$ 26,471,416
6823	HKT-SS	24,065,003	\$ 267,602,833

Aggregated Reportable Short Positions of Specified Shares as of 01/04/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6826	HAOHAI BIOTEC	1,875,696	\$ 113,385,823
6837	HAITONG SEC	97,633,419	\$ 702,960,617
6855	ASCENTAGE-B	4,082,538	\$ 135,744,389
6862	HAILILAO	83,341,147	\$ 4,550,426,626
6865	FLAT GLASS	59,633,552	\$ 1,520,655,576
6868	TENFU	0	\$ 0
6869	YOFC	7,407,671	\$ 73,410,020
6878	DIFFER GROUP	53,976,000	\$ 50,737,440
6881	CGS	93,029,693	\$ 453,054,605
6886	HTSC	36,002,331	\$ 432,027,972
6889	DYNAM JAPAN	394,800	\$ 3,020,220
6919	RENUI HR	1,057,000	\$ 28,750,400
6958	ZHENRO SERVICES	1,657,000	\$ 8,699,250
6968	GANGLONG CHINA	446,000	\$ 2,015,920
6969	SMOORE INTL	18,180,786	\$ 928,129,125
6988	JOY SPREADER	7,596,000	\$ 25,978,320
6993	BLUE MOON GROUP	59,499,000	\$ 678,288,600
7200	FL2 CSOP HSI	0	\$ 0
7221	FL2CAMHSI	0	\$ 0
7226	XL2CSOPHSTECH	0	\$ 0
7230	FL2 GX HSCEI	0	\$ 0
7231	FL2 GX HSI	0	\$ 0
7233	XL2CSOPCSI300	0	\$ 0
7248	XL2 CSOP A50	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7266	FL2CSOPNASDAQ	0	\$ 0
7272	XL2CAMCSI300	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7299	FL2CSOPGOLD	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7321	FICAMHSI	0	\$ 0
7322	XI GX S&P500	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7333	XICSOPCSI300	0	\$ 0
7336	FI GX HSI	0	\$ 0
7348	XI CSOP A50	0	\$ 0
7362	FI GX HSCEI	0	\$ 0
7373	XICAMCSI300	0	\$ 0
7500	FI2 CSOP HSI	32,486,700	\$ 140,667,411
7522	FI2CAMDXNNDQ100	0	\$ 0
7552	XI2CSOPHSTECH	8,675,500	\$ 50,534,788

Aggregated Reportable Short Positions of Specified Shares as of 01/04/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7568	FI2CSOPNASDAQ	0	\$ 0
7588	FI2 CSOP HSCEI	0	\$ 0
8032	VIVA CHINA	0	\$ 0
8083	CHINA YOUZAN	222,536,021	\$ 600,847,257
9010	ISHARES AXJ-U	0	\$ 0
9011	A ICBCICUSD-U	0	\$ 0
9022	ISHAREMSCIEM-U	0	\$ 0
9031	HT CH A ESG-U	0	\$ 0
9047	F SSIFIRONORE-U	0	\$ 0
9067	ISHARESHSTECH-U	0	\$ 0
9072	NIKKOAM INET-U	0	\$ 0
9074	ISHAREMSCITW-U	0	\$ 0
9077	PREMIA UST-U	0	\$ 0
9078	PREMIA UST A-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9085	VANGUARDAXJHY-U	0	\$ 0
9086	BMO NASDAQ100-U	0	\$ 0
9088	CAM HS TECH-U	0	\$ 0
9091	A NIKKOAMGAME-U	0	\$ 0
9096	CSOP USD MM-U	0	\$ 0
9101	VANGUARDDDVEUR-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9126	VANGUARDJAPAN-U	0	\$ 0
9140	VANGUARDSP500-U	0	\$ 0
9141	BMO ASIA IGB-U	0	\$ 0
9167	ICBCCSOPCHINA-U	0	\$ 0
9169	VANGUARDCHINA-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9173	PP CN NEWCON-U	0	\$ 0
9181	PREMIAASIA TEC-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9187	SAMSUNG REITS-U	0	\$ 0
9191	GX CN SEMICON-U	0	\$ 0
9618	JD-SW	8,636,978	\$ 2,903,752,004
9626	BILIBILI-SW	369,800	\$ 321,726,000
9633	NONGFU SPRING	58,462,838	\$ 2,347,282,946
9668	CBHB	7,737,970	\$ 27,315,034
9688	ZAI LAB-SB	55,750	\$ 59,095,000
9698	GDS-SW	3,355,148	\$ 273,612,319
9803	PP BEDROCK-U	0	\$ 0
9804	PREMIA VIET-U	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 01/04/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9805	VANGUARDASXJP-U	0	\$ 0
9806	GX CN CONSUME-U	0	\$ 0
9807	GX CN ROBO&AI-U	0	\$ 0
9809	GX CN CLN EN-U	0	\$ 0
9810	PREMIAEMASEAN-U	0	\$ 0
9812	SAMSUNGCDGN-U	0	\$ 0
9820	GX CN BIOTECH-U	0	\$ 0
9826	GX CN CLOUD-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9845	GX CN EV BATT-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9888	BIDU-SW	8,305,910	\$ 1,812,349,562
9901	NEW ORIENTAL-S	995,088	\$ 111,250,838
9909	POWERLONG CM	3,795,270	\$ 97,538,439
9922	JIUMAOJIU	61,188,616	\$ 1,979,451,728
9923	YEAHKA	13,443,269	\$ 799,202,342
9926	AKESO-B	4,258,485	\$ 228,680,645
9928	TIMES NEIGHBOR	18,223,651	\$ 112,439,927
9939	KINTOR PHARMA-B	3,323,000	\$ 114,311,200
9966	ALPHAMAB-B	11,198,400	\$ 128,109,696
9968	HUIJING HLDGS	3,142,000	\$ 6,001,220
9969	INNOCARE-B	5,215,631	\$ 96,593,486
9979	GREENTOWN MGMT	6,589,510	\$ 24,512,977
9983	CC NEW LIFE	7,427,000	\$ 53,920,020
9987	YUM CHINA-S	333,600	\$ 153,923,040
9988	BABA-SW	19,464,810	\$ 4,391,261,136
9989	HEPALINK	4,281,154	\$ 49,661,386
9990	ARCHOSAUR GAMES	15,139,126	\$ 243,437,146
9991	BAOZUN-SW	0	\$ 0
9992	POP MART	21,897,323	\$ 1,413,472,200
9996	PEIJIA-B	7,567,700	\$ 189,949,270
9997	KANGJI MEDICAL	17,513,081	\$ 163,747,307
9999	NTES-S	7,146,945	\$ 1,184,248,787
80737	BAY AREA DEV-R	0	\$ 0
82805	VANGUARDASXJP-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82813	CAM CTPB BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	155,600	\$ 3,048,204
82828	HSCEI ETF-R	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 01/04/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
82832	BOSERA STAR50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
83005	CSOPCSI500ETF-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83031	HT CH A ESG-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83079	CICC CGB-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83085	VANGUARDAXJHY-R	0	\$ 0
83093	CICCSELECT100-R	0	\$ 0
83100	EFUND CSI300-R	0	\$ 0
83101	VANGUARDDDVEUR-R	0	\$ 0
83108	HGI ESGLEADER-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83122	CSOP RMB MM-R	0	\$ 0
83126	VANGUARDJAPAN-R	0	\$ 0
83127	GX CSI300-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83130	HS CSI300-R	0	\$ 0
83140	VANGUARDSP500-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83169	VANGUARDCHINA-R	0	\$ 0
83170	ISHARES KKS200-R	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP CTPBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0