

## Aggregated Reportable Short Positions of Specified Shares as of 09/04/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	14,724,797	\$ 931,343,410
2	CLP HOLDINGS	14,495,904	\$ 1,083,568,824
3	HK & CHINA GAS	343,079,229	\$ 4,213,012,932
4	WHARF HOLDINGS	18,245,699	\$ 373,124,545
5	HSBC HOLDINGS	77,361,997	\$ 3,601,200,960
6	POWER ASSETS	20,082,917	\$ 918,793,453
8	PCCW	181,711,902	\$ 814,069,321
10	HANG LUNG GROUP	2,215,966	\$ 44,651,715
11	HANG SENG BANK	30,849,716	\$ 4,741,601,349
12	HENDERSON LAND	15,901,821	\$ 550,998,098
14	HYSAN DEV	11,655,760	\$ 344,427,708
16	SHK PPT	16,607,479	\$ 1,966,325,514
17	NEW WORLD DEV	19,350,302	\$ 795,297,412
19	SWIRE PACIFIC A	12,503,967	\$ 776,496,351
23	BANK OF E ASIA	24,790,090	\$ 408,540,683
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	44,764,397	\$ 3,126,793,130
28	TIAN AN	0	\$ 0
29	DYNAMIC HOLD	62,000	\$ 885,360
30	BAN LOONG HOLD	6,392,000	\$ 2,844,440
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	1,965,988	\$ 5,780,005
38	FIRST TRACTOR	32,246,000	\$ 111,893,620
41	GREAT EAGLE H	2,271,365	\$ 62,121,833
43	C.P. POKPHAND	10,156,000	\$ 9,749,760
45	HK&S HOTELS	940,784	\$ 7,535,680
50	HK FERRY (HOLD)	0	\$ 0
52	FAIRWOOD HOLD	201,392	\$ 3,423,664
53	GUOCO GROUP	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
66	MTR CORPORATION	53,202,649	\$ 2,340,916,556
69	SHANGRI-LA ASIA	24,710,070	\$ 193,232,747
71	MIRAMAR HOTEL	0	\$ 0
75	Y.T. REALTY	0	\$ 0
81	CH OVS G OCEANS	10,283,826	\$ 51,316,292
83	SINO LAND	39,679,007	\$ 440,436,978
86	SUN HUNG KAI CO	0	\$ 0
87	SWIRE PACIFIC B	0	\$ 0
88	TAI CHEUNG HOLD	128,000	\$ 633,600

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95	LVGEM CHINA	7,884,000	\$ 17,502,480
101	HANG LUNG PPT	54,186,339	\$ 1,127,075,851
102	SUMMIT ASCENT	17,210,364	\$ 15,833,535
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	1,172,000	\$ 2,238,520
116	CHOW SANG SANG	2,279,849	\$ 28,999,679
119	POLY PROPERTY	12,819,948	\$ 29,229,481
120	COSMOPOL INT'L	1,872,000	\$ 2,358,720
123	YUEXIU PROPERTY	5,032,000	\$ 8,956,960
127	CHINESE EST H	0	\$ 0
135	KUNLUN ENERGY	48,462,964	\$ 410,965,935
136	HENG TEN NET	140,644,763	\$ 1,414,886,316
142	FIRST PACIFIC	13,206,515	\$ 36,185,851
144	CHINA MER PORT	35,577,309	\$ 446,851,001
148	KINGBOARD HLDG	5,739,769	\$ 266,325,282
151	WANT WANT CHINA	353,964,337	\$ 2,084,849,945
152	SHENZHEN INT'L	8,759,521	\$ 112,822,630
158	MELBOURNE ENT	0	\$ 0
165	CHINA EB LTD	12,134,142	\$ 121,341,420
168	TSINGTAO BREW	20,623,639	\$ 1,505,525,647
171	SILVER GRANT	0	\$ 0
173	K. WAH INT'L	784,770	\$ 3,084,146
175	GEELY AUTO	82,225,135	\$ 1,702,060,295
177	JIANGSU EXPRESS	18,870,175	\$ 176,813,540
178	SA SA INT'L	74,347,558	\$ 134,569,080
179	JOHNSON ELEC H	522,345	\$ 10,577,486
182	CONCORD NE	5,450,000	\$ 3,052,000
185	ZENSUN ENT	41,140,000	\$ 17,278,800
189	DONGYUE GROUP	76,655,396	\$ 476,796,563
194	LIU CHONG HING	0	\$ 0
200	MELCO INT'L DEV	30,486,582	\$ 481,687,996
207	JOY CITY PPT	0	\$ 0
208	POLYTEC ASSET	0	\$ 0
215	HUTCHTEL HK	10,506,000	\$ 15,548,880
220	U-PRESID CHINA	26,627,218	\$ 267,869,813
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	0	\$ 0
241	ALI HEALTH	188,634,391	\$ 4,215,978,639
242	SHUN TAK HOLD	13,817,170	\$ 34,266,582
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 09/04/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
256	CITYCHAMP	9,542,000	\$ 16,030,560
257	EB ENVIRONMENT	102,757,029	\$ 543,584,683
261	GBA HOLDINGS	0	\$ 0
267	CITIC	39,802,219	\$ 298,118,620
268	KINGDEE INT'L	164,458,901	\$ 4,095,026,635
270	GUANGDONG INV	50,271,698	\$ 623,369,055
272	SHUI ON LAND	29,212,567	\$ 35,347,206
285	BYD ELECTRONIC	84,793,078	\$ 3,870,804,011
286	AIDIGONG	13,294,000	\$ 7,843,460
288	WH GROUP	249,236,274	\$ 1,724,715,016
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	16,276,205	\$ 994,476,126
293	CATHAY PAC AIR	206,574,718	\$ 1,466,680,498
297	SINOFERT	2,753,925	\$ 2,781,464
302	CMGE	34,402,000	\$ 105,958,160
303	VTECH HOLDINGS	1,009,600	\$ 72,792,160
305	WULING MOTORS	33,080,000	\$ 57,559,200
308	CHINA TRAVEL HK	22,176,835	\$ 29,716,959
315	SMARTONE TELE	3,281,547	\$ 15,226,378
316	OOIL	168,500	\$ 22,949,700
317	COMEC	4,390,700	\$ 36,837,973
322	TINGYI	153,510,135	\$ 2,124,580,268
323	MAANSHAN IRON	15,916,801	\$ 49,819,587
326	CHINA STAR ENT	1,329,926	\$ 1,861,896
327	PAX GLOBAL	3,559,783	\$ 29,759,786
336	HUABAO INTL	31,659,551	\$ 253,276,408
337	GREENLAND HK	1,788,000	\$ 5,077,920
338	SHANGHAI PECEM	51,233,582	\$ 97,856,142
341	CAFE DE CORAL H	4,942,952	\$ 82,547,298
345	VITASOY INT'L	62,774,214	\$ 1,823,590,917
347	ANGANG STEEL	85,267,608	\$ 402,463,110
354	CHINASOFT INT'L	43,590,749	\$ 369,649,552
357	MEILAN AIRPORT	13,406,946	\$ 453,825,122
358	JIANGXI COPPER	71,499,530	\$ 1,181,172,236
363	SHANGHAI IND H	5,880,052	\$ 67,267,795
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	230,198,911	\$ 685,992,755
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
382	EDVANTAGE GROUP	1,852,000	\$ 14,482,640
384	CHINA GAS HOLD	66,025,272	\$ 2,109,507,440

## Aggregated Reportable Short Positions of Specified Shares as of 09/04/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
386	SINOPEC CORP	281,401,375	\$ 1,142,489,583
388	HKEX	11,776,484	\$ 5,450,156,795
390	CHINA RAILWAY	74,886,944	\$ 302,543,254
392	BEIJING ENT	5,121,375	\$ 133,923,956
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	48,816,629	\$ 115,695,411
412	CSFG	4,927,484	\$ 2,340,555
416	BANKOFJINZHOU	0	\$ 0
425	MINTH GROUP	12,421,775	\$ 391,285,913
435	SUNLIGHT REIT	0	\$ 0
440	DAH SING	435,600	\$ 11,478,060
451	GCL NEWENERGY	114,781,215	\$ 33,286,552
460	SIHUAN PHARM	201,081,706	\$ 420,260,766
467	UNITEDENERGY GP	26,284,484	\$ 36,535,433
468	GAPACK	3,802,392	\$ 15,057,472
480	HKR INT'L	0	\$ 0
486	RUSAL	13,881,000	\$ 66,628,800
489	DONGFENG GROUP	57,093,616	\$ 417,354,333
493	GOME RETAIL	1,492,645,128	\$ 2,104,629,630
496	KASEN	3,476,000	\$ 3,510,760
506	CHINA FOODS	5,289,000	\$ 16,713,240
511	TVB	2,674,322	\$ 23,534,034
512	CHINAGRANDPHARM	25,219,141	\$ 163,167,842
517	COSCO SHIP INTL	1,164,439	\$ 3,178,918
520	XIABUXIABU	12,971,817	\$ 203,657,527
522	ASM PACIFIC	14,396,193	\$ 1,508,721,026
525	GUANGSHEN RAIL	28,054,847	\$ 43,485,013
535	GEMDALE PPT	70,420,864	\$ 83,800,828
546	FUFENG GROUP	5,282,567	\$ 15,636,398
547	DIGITAL DOMAIN	168,898,856	\$ 14,018,605
548	SHENZHENEXPRESS	15,036,440	\$ 124,501,723
551	YUE YUEN IND	12,069,417	\$ 235,353,632
552	CHINACOMSERVICE	34,327,879	\$ 121,177,413
563	SH IND URBAN	7,282,136	\$ 5,825,709
564	ZMJ	9,042,000	\$ 94,941,000
570	TRAD CHI MED	70,223,590	\$ 320,921,806
576	ZHEJIANGEXPRESS	58,650,460	\$ 425,215,835
579	JNCEC	11,086,558	\$ 19,623,208
580	SUN.KING TECH	10,804,000	\$ 30,143,160
581	CHINA ORIENTAL	19,234,439	\$ 47,316,720
586	CONCH VENTURE	38,824,589	\$ 1,444,274,711

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
588	BEIJING N STAR	1,400,000	\$ 2,030,000
590	LUK FOOK HOLD	1,403,000	\$ 29,463,000
598	SINOTRANS	50,147,599	\$ 161,976,745
603	CHINA OIL & GAS	0	\$ 0
604	SHENZHEN INVEST	26,939,162	\$ 75,968,437
607	FULLSHARE	253,990,282	\$ 40,384,455
622	OSHIDORI	0	\$ 0
631	SANY INT'L	31,133,107	\$ 298,566,496
636	KERRY LOG NET	1,249,500	\$ 28,488,600
639	SHOUGANG RES	17,731,403	\$ 35,994,748
656	FOSUN INTL	56,514,154	\$ 642,000,789
658	C TRANSMISSION	24,034,912	\$ 161,514,609
659	NWS HOLDINGS	18,814,459	\$ 159,546,612
662	ASIA FINANCIAL	0	\$ 0
665	HAITONG INT'L	79,325,999	\$ 203,867,817
667	CHINA EAST EDU	25,992,580	\$ 422,119,499
669	TECHTRONIC IND	17,268,461	\$ 2,400,316,079
670	CHINA EAST AIR	46,502,653	\$ 171,594,790
683	KERRY PPT	6,362,728	\$ 160,658,882
686	BJ ENERGY INTL	16,071,300	\$ 4,098,182
688	CHINA OVERSEAS	71,279,504	\$ 1,417,036,540
691	SHANSHUI CEMENT	0	\$ 0
694	BEIJING AIRPORT	132,841,159	\$ 819,629,951
696	TRAVELSKY TECH	38,813,536	\$ 739,785,996
697	SHOUCHENG	50,244,056	\$ 89,434,420
698	TONGDA GROUP	13,732,572	\$ 7,690,240
699	CAR INC	1,793,922	\$ 7,121,870
700	TENCENT	22,679,068	\$ 14,072,361,694
708	EVERG VEHICLE	36,459,890	\$ 2,253,221,202
709	GIORDANO INT'L	1,222,822	\$ 1,883,146
710	BOE VARITRONIX	0	\$ 0
728	CHINA TELECOM	24,484,601	\$ 66,353,269
732	TRULY INT'L	9,105,290	\$ 11,654,771
737	BAY AREA DEV	0	\$ 0
743	ASIA CEMENT CH	4,274,244	\$ 34,065,725
751	SKYWORTH GROUP	14,712,183	\$ 38,840,163
753	AIR CHINA	65,727,747	\$ 440,375,905
754	HOPSON DEV HOLD	23,295,953	\$ 640,638,708
762	CHINA UNICOM	8,556,000	\$ 37,389,720
763	ZTE	53,478,568	\$ 1,050,319,076
772	CHINA LIT	59,279,563	\$ 4,623,805,914

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775	CKLIFE SCIENCES	22,865,584	\$ 18,521,123
777	NETDRAGON	7,630,308	\$ 156,039,799
778	FORTUNE REIT	4,515,744	\$ 34,139,025
780	TONGCHENG-ELONG	54,021,854	\$ 998,323,862
788	CHINA TOWER	2,106,735,572	\$ 2,401,678,552
799	IGG	45,751,628	\$ 487,712,354
806	VALUE PARTNERS	34,429,393	\$ 185,230,134
807	SIICENVIRONMENT	0	\$ 0
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	1,310,000	\$ 6,851,300
813	SHIMAO GROUP	13,417,734	\$ 315,316,749
817	CHINA JINMAO	110,371,833	\$ 341,048,964
818	HI SUN TECH	34,965,000	\$ 48,251,700
819	TIANNENG POWER	136,753,868	\$ 2,086,864,026
823	LINK REIT	7,240,864	\$ 510,480,912
832	CENTRAL CHINA	10,213,052	\$ 37,379,770
836	CHINA RES POWER	10,035,452	\$ 101,157,356
839	CHINA EDU GROUP	45,503,048	\$ 641,592,977
853	MICROPORT	45,609,792	\$ 2,312,416,454
855	CHINA WATER	54,946,580	\$ 343,416,125
856	VSTECS	7,713,921	\$ 55,000,257
857	PETROCHINA	525,790,626	\$ 1,435,408,409
860	APOLLO FMG	130,268,194	\$ 64,482,756
861	DC HOLDINGS	37,706,910	\$ 220,962,493
863	BC TECH GROUP	4,584,500	\$ 71,518,200
867	CMS	28,134,790	\$ 465,349,427
868	XINYI GLASS	49,521,840	\$ 1,265,283,012
874	BAIYUNSHAN PH	7,296,538	\$ 139,217,945
880	SJM HOLDINGS	80,200,082	\$ 818,040,836
881	ZHONGSHENG HLDG	217,207,641	\$ 11,902,978,727
883	CNOOC	45,625,638	\$ 360,898,797
884	CIFI HOLD GP	55,261,777	\$ 412,252,856
902	HUANENG POWER	106,877,738	\$ 286,432,338
906	COFCO PACKAGING	9,233,438	\$ 40,996,465
909	MING YUAN CLOUD	21,302,480	\$ 824,405,976
914	CONCH CEMENT	22,440,205	\$ 1,124,254,271
916	CHINA LONGYUAN	156,261,456	\$ 1,684,498,496
921	HISENSE HA	1,080,000	\$ 15,120,000
934	SINOPEC KANTONS	32,906,995	\$ 97,733,775
939	CCB	343,376,588	\$ 2,238,815,354
941	CHINA MOBILE	14,512,859	\$ 729,271,165

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950	LEE'S PHARM	3,756,002	\$ 23,174,532
951	CHAOWEI POWER	1,699,000	\$ 4,961,080
956	CHINA SUNTIEN	36,929,787	\$ 108,942,872
960	LONGFOR GROUP	35,033,560	\$ 1,751,678,000
966	CHINA TAIPING	32,079,072	\$ 490,809,802
968	XINYI SOLAR	403,300,659	\$ 5,452,624,910
973	L'OCCITANE	355,500	\$ 8,354,250
978	CHI MER LAND	0	\$ 0
981	SMIC	11,844,401	\$ 310,323,306
991	DATANG POWER	29,791,385	\$ 35,451,748
992	LENOVO GROUP	883,250,122	\$ 8,814,836,218
995	ANHUIEXPRESSWAY	5,484,000	\$ 31,094,280
998	CITIC BANK	186,652,324	\$ 744,742,773
1003	HUANXI MEDIA	1,660,000	\$ 3,386,400
1024	KUAISHOU-W	30,991,808	\$ 8,566,135,731
1030	SEAZEN	35,433,282	\$ 351,852,490
1031	KINGSTON FIN	0	\$ 0
1033	SINOPEC SSC	34,036,000	\$ 23,825,200
1038	CKI HOLDINGS	8,012,178	\$ 372,966,886
1044	HENGAN INT'L	64,313,336	\$ 3,273,548,802
1052	YUEXIUTRANSPORT	10,017,968	\$ 47,885,887
1055	CHINA SOUTH AIR	135,393,736	\$ 773,098,233
1060	ALI PICTURES	742,127,106	\$ 779,233,461
1061	ESSEX BIO-TECH	1,341,874	\$ 7,219,282
1065	TIANJIN CAPITAL	436,000	\$ 1,377,760
1066	WEIGAO GROUP	111,069,771	\$ 1,692,703,310
1070	TCL ELECTRONICS	15,803,746	\$ 96,402,851
1071	HUADIAN POWER	14,996,405	\$ 35,691,444
1072	DONGFANG ELEC	18,119,056	\$ 131,000,775
1083	TOWNGAS CHINA	0	\$ 0
1088	CHINA SHENHUA	53,028,425	\$ 848,454,800
1093	CSPC PHARMA	95,575,214	\$ 907,008,781
1098	ROAD KING INFRA	1,220,833	\$ 12,379,247
1099	SINOPHARM	50,757,000	\$ 1,007,018,880
1109	CHINA RES LAND	45,210,262	\$ 1,654,695,589
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	8,550,340	\$ 258,220,268
1113	CK ASSET	13,823,802	\$ 660,086,546
1114	BRILLIANCE CHI	86,329,441	\$ 630,204,919
1117	CH MODERN D	433,558,453	\$ 880,123,660
1119	IDREAMSKY	101,721,328	\$ 382,472,193

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1128	WYNN MACAU	88,396,926	\$ 1,364,848,537
1133	HARBIN ELECTRIC	5,426,266	\$ 11,340,896
1137	HKTV	23,555,983	\$ 264,769,249
1138	COSCO SHIP ENGY	74,086,049	\$ 260,782,892
1141	CMBC CAPITAL	304,197,126	\$ 31,940,698
1157	ZOOMLION	61,208,375	\$ 711,241,318
1171	YANZHOU COAL	67,624,213	\$ 659,336,077
1176	ZHUGUANG HOLD	1,956,000	\$ 4,479,240
1177	SINO BIOPHARM	343,584,295	\$ 2,783,032,790
1179	HUAZHU-S	105,900	\$ 46,596,000
1186	CHINA RAIL CONS	735,355	\$ 3,728,250
1193	CHINA RES GAS	19,460,227	\$ 817,329,534
1196	REALORD GROUP	1,422,000	\$ 15,215,400
1199	COSCO SHIP PORT	14,769,919	\$ 93,936,685
1208	MMG	77,982,590	\$ 371,976,954
1209	CHINA RES MIXC	2,285,566	\$ 101,936,244
1211	BYD COMPANY	62,705,924	\$ 10,979,807,292
1212	LIFESTYLE INT'L	7,483,249	\$ 50,960,926
1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	0	\$ 0
1231	NEWTON RES	0	\$ 0
1233	TIMES CHINA	21,124,186	\$ 231,943,562
1234	CHINA LILANG	3,632,035	\$ 18,523,379
1238	POWERLONG	7,684,219	\$ 58,861,118
1252	CHINA TIANRUI	1,532,000	\$ 9,008,160
1257	CEB GREENTECH	34,501,467	\$ 107,989,592
1258	CHINFMINING	7,603,000	\$ 18,551,320
1268	MEIDONG AUTO	15,998,461	\$ 566,345,519
1269	FIRST CAP GP	31,472,001	\$ 2,203,040
1271	GRAND MING	0	\$ 0
1282	GLORY SUN FIN	94,636,150	\$ 26,024,941
1288	ABC	384,888,723	\$ 1,181,608,380
1299	AIA	44,039,250	\$ 4,307,038,650
1302	LIFETECH SCI	78,259,345	\$ 298,950,698
1308	SITC	30,789,232	\$ 863,637,958
1310	HKBN	12,604,367	\$ 151,000,317
1313	CHINARES CEMENT	43,950,681	\$ 389,403,034
1316	NEXTEER	23,662,100	\$ 232,598,443
1317	MAPLELEAF EDU	265,245,126	\$ 541,100,057
1323	HUASHENG INTL	13,278,000	\$ 11,286,300



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1330	DYNAGREEN ENV	3,373,173	\$ 12,447,008
1333	CHINA ZHONGWANG	49,767,038	\$ 91,073,680
1336	NCI	13,129,017	\$ 386,649,551
1337	RAZER	247,401,098	\$ 682,827,030
1339	PICC GROUP	120,223,102	\$ 304,164,448
1347	HUA HONG SEMI	35,803,659	\$ 1,820,616,060
1359	CHINA CINDA	131,957,820	\$ 209,812,934
1366	JIANGNAN GP	0	\$ 0
1368	XTEP INT'L	55,305,458	\$ 272,655,908
1375	CC SECURITIES	9,785,000	\$ 15,264,600
1378	CHINAHONGQIAO	210,999,918	\$ 2,384,299,073
1381	CANVEST ENV	5,844,000	\$ 21,096,840
1382	PACIFICTEXTILES	4,658,398	\$ 23,757,830
1383	SUNCITY GROUP	20,170,000	\$ 12,303,700
1385	SHANGHAI FUDAN	4,553,000	\$ 52,177,380
1398	ICBC	369,343,353	\$ 2,031,388,442
1415	COWELL	2,343,035	\$ 11,152,847
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	2,097,420	\$ 4,530,427
1432	CHINA SHENGMU	1,695,000	\$ 1,084,800
1448	FU SHOU YUAN	28,064,960	\$ 227,326,176
1456	GUOLIAN SEC	4,400,500	\$ 16,809,910
1458	ZHOU HEI YA	120,211,325	\$ 1,016,987,810
1475	NISSIN FOODS	26,145,313	\$ 157,656,237
1477	OCUMENSION-B	1,961,852	\$ 42,277,911
1478	Q TECH	8,317,583	\$ 131,251,460
1492	ZHONGDI DAIRY	0	\$ 0
1501	KDL MEDICAL	602,400	\$ 18,403,320
1503	CMC REIT	0	\$ 0
1508	CHINA RE	23,193,741	\$ 19,482,742
1513	LIVZON PHARMA	4,335,941	\$ 155,443,485
1515	CR MEDICAL	15,918,500	\$ 99,649,810
1521	FRONTAGE	13,275,385	\$ 66,376,925
1528	RS MACALLINE	1,958,120	\$ 8,811,540
1530	3SBIO	156,696,088	\$ 1,126,644,873
1548	GENSCRIPT BIO	56,055,643	\$ 891,284,724
1551	GRCB	0	\$ 0
1558	HEC PHARM	38,405,096	\$ 318,762,297
1565	VIRSCEND EDU	3,646,000	\$ 5,724,220
1566	CA CULTURAL	9,624,000	\$ 28,102,080
1568	SUNDART HLDGS	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 09/04/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1569	MINSHENG EDU	1,344,000	\$ 1,895,040
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	55,701,962	\$ 4,208,283,229
1585	YADEA	40,737,258	\$ 738,973,860
1589	CNLP	35,015,805	\$ 154,069,542
1600	TIAN LUN GAS	911,501	\$ 7,264,663
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	17,227,000	\$ 31,353,140
1610	COFCO JOYCOME	165,270,658	\$ 684,220,524
1618	MCC	32,225,517	\$ 67,351,331
1622	REDCO GROUP	25,291,740	\$ 77,898,559
1628	YUZHOU GROUP	115,461,676	\$ 254,015,687
1638	KAISA GROUP	72,669,554	\$ 281,231,174
1658	PSBC	678,487,372	\$ 3,758,820,041
1660	ZHAOBANGJI PPT	0	\$ 0
1666	TONG REN TANG	6,663,353	\$ 38,181,013
1668	CHINASOUTHCITY	48,454,000	\$ 41,670,440
1672	ASCLETIS-B	9,756,000	\$ 27,902,160
1675	ASIAINFO TECH	5,078,000	\$ 64,998,400
1680	MACAU LEGEND	0	\$ 0
1681	CONSUN PHARMA	966,822	\$ 4,137,998
1686	SUNEVISION	9,573,725	\$ 79,270,443
1717	AUSNUTRIA	32,572,022	\$ 333,537,505
1727	HEBEI CONS	6,613,684	\$ 18,518,315
1755	S-ENJOY SERVICE	5,022,000	\$ 132,831,900
1763	CIRC	739,600	\$ 20,302,020
1765	HOPE EDU	281,932,200	\$ 727,385,076
1766	CRRC	10,965,743	\$ 36,735,239
1769	SCHOLAR EDU	4,442,000	\$ 38,201,200
1772	GANFENGLITHIUM	9,477,329	\$ 940,624,903
1773	TIANLI EDU	8,303,000	\$ 63,517,950
1776	GF SEC	20,931,817	\$ 247,414,077
1777	FANTASIA	24,763,286	\$ 24,763,286
1778	COLOUR LIFE	18,756,566	\$ 63,772,324
1787	SD GOLD	48,793,634	\$ 757,277,200
1788	GUOTAI JUNAN I	79,211,833	\$ 113,272,921
1789	AK MEDICAL	85,770,262	\$ 866,279,646
1797	KOOLEARN	51,075,342	\$ 884,624,923
1798	DATANG RENEW	17,611,903	\$ 24,128,307
1799	XINTE ENERGY	7,819,600	\$ 138,406,920
1800	CHINA COMM CONS	9,458,679	\$ 35,564,633

## Aggregated Reportable Short Positions of Specified Shares as of 09/04/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1801	INNOVENT BIO	36,235,112	\$ 2,768,362,557
1809	PRINX CHENGSHAN	0	\$ 0
1810	XIAOMI-W	96,879,229	\$ 2,489,796,185
1811	CGN NEW ENERGY	50,276,979	\$ 99,548,418
1812	CHENMING PAPER	50,442,750	\$ 373,276,350
1813	KWG GROUP	39,362,856	\$ 522,738,728
1816	CGN POWER	25,439,661	\$ 46,300,183
1817	MULSANNE GROUP	3,524,500	\$ 26,081,300
1818	ZHAOJIN MINING	61,294,212	\$ 466,448,953
1821	ESR	37,000,368	\$ 950,909,458
1829	CMEC	10,473,706	\$ 36,553,234
1833	PA GOODDOCTOR	88,766,302	\$ 8,867,753,570
1836	STELLA HOLDINGS	1,191,500	\$ 12,320,110
1839	CIMC VEHICLES	326,500	\$ 2,132,045
1848	CALC	4,806,300	\$ 29,750,997
1858	CHUNLI MEDICAL	6,393,566	\$ 120,071,169
1860	MOBVISTA	5,103,502	\$ 33,019,658
1862	JINGRUI HLDGS	0	\$ 0
1873	VIVA BIOTECH	72,822,386	\$ 520,680,060
1876	BUD APAC	173,541,028	\$ 4,269,109,289
1877	JUNSHI BIO	6,465,840	\$ 484,938,000
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	1,787,592	\$ 56,309,148
1883	CITIC TELECOM	1,185,000	\$ 3,282,450
1888	KB LAMINATES	31,962,944	\$ 584,921,875
1890	CHINA KEPEI	4,718,000	\$ 24,250,520
1896	MAOYAN ENT	11,331,400	\$ 155,013,552
1898	CHINA COAL	36,515,569	\$ 138,394,007
1899	XINGDA INT'L	2,625,648	\$ 5,408,835
1907	CHINA RISUN GP	6,748,000	\$ 32,120,480
1908	C&D INTL GROUP	8,371,000	\$ 128,243,720
1909	FIRE ROCK	578,000	\$ 4,046,000
1910	SAMSONITE	72,082,084	\$ 1,081,231,260
1911	CR HOLDINGS	1,068,972	\$ 33,672,618
1913	PRADA	11,722,190	\$ 574,387,310
1916	JIANGXI BANK	0	\$ 0
1918	SUNAC	29,798,572	\$ 958,024,090
1919	COSCO SHIP HOLD	216,104,353	\$ 2,714,270,674
1928	SANDS CHINA LTD	73,402,403	\$ 2,723,229,151
1929	CHOW TAI FOOK	22,610,464	\$ 295,744,869
1935	JH EDUCATION	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 09/04/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1951	JXR	55,614,887	\$ 993,281,882
1958	BAIC MOTOR	134,539,070	\$ 347,110,801
1963	BCQ	2,523,809	\$ 13,704,283
1966	CHINA SCE GROUP	25,802,363	\$ 92,630,483
1970	IMAX CHINA	4,051,200	\$ 68,870,400
1972	SWIREPROPERTIES	21,461,684	\$ 506,495,742
1981	CATHAY EDU	6,528,000	\$ 46,871,040
1987	BENG SOON MACH	644,000	\$ 1,848,280
1988	MINSHENG BANK	718,209,149	\$ 3,066,753,066
1992	FOSUN TOURISM	2,266,905	\$ 28,789,694
1995	EVERSUNSHINE LS	40,798,435	\$ 763,746,703
1996	RSUN PPT	4,563,000	\$ 11,909,430
1997	WHARF REIC	81,582,839	\$ 3,540,695,213
1999	MAN WAH HLDGS	27,916,276	\$ 470,110,088
2001	NEW HIGHER EDU	28,528,708	\$ 123,814,593
2005	SSY GROUP	110,058,955	\$ 519,478,268
2006	JINJIANGCAPITAL	11,383,441	\$ 16,847,493
2007	COUNTRY GARDEN	454,168,806	\$ 4,464,479,363
2009	BBMG	41,558,248	\$ 68,155,527
2013	WEIMOB INC	320,753,150	\$ 5,779,971,763
2016	CZBANK	0	\$ 0
2018	AAC TECH	103,518,505	\$ 4,125,212,424
2019	DEXIN CHINA	3,435,000	\$ 10,614,150
2020	ANTA SPORTS	56,018,458	\$ 7,338,417,998
2038	FIH	175,523,522	\$ 196,586,345
2039	CIMC	12,093,219	\$ 175,109,811
2048	E-HOUSE ENT	6,323,100	\$ 44,830,779
2057	ZTO EXPRESS-SW	0	\$ 0
2066	SHENGJINGBANK	0	\$ 0
2098	ZALL SMARTCOM	10,370,000	\$ 6,118,300
2099	CHINAGOLDINTL	602,800	\$ 13,924,680
2103	SINIC HOLDINGS	0	\$ 0
2128	CHINA LESSO	32,403,177	\$ 613,068,109
2138	UM HEALTHCARE	406,000	\$ 3,045,000
2139	BANK OF GANSU	1,070,000	\$ 1,444,500
2163	BROAD HOMES	6,384,600	\$ 131,841,990
2186	LUYE PHARMA	77,831,434	\$ 359,581,225
2196	FOSUN PHARMA	47,540,340	\$ 1,642,518,747
2198	CHINA SANJIANG	9,935,200	\$ 36,561,536
2202	CHINA VANKE	32,154,888	\$ 914,806,564
2208	GOLDWIND	221,065,991	\$ 3,280,619,306

## Aggregated Reportable Short Positions of Specified Shares as of 09/04/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2231	JY GRANDMARK	0	\$ 0
2232	CRYSTAL INTL	0	\$ 0
2233	WESTCHINACEMENT	94,019,295	\$ 122,225,084
2238	GAC GROUP	175,056,373	\$ 1,183,381,081
2269	WUXI BIO	30,993,639	\$ 3,161,351,178
2282	MGM CHINA	59,756,235	\$ 797,148,175
2299	BILLION IND	0	\$ 0
2313	SHENZHOU INTL	7,243,220	\$ 1,210,342,062
2314	LEE & MAN PAPER	14,646,997	\$ 105,751,318
2318	PING AN	715,780,873	\$ 65,279,215,618
2319	MENGNU DAIRY	36,334,947	\$ 1,627,805,626
2322	HK CHAOSHANG GP	2,064,000	\$ 2,043,360
2328	PICC P&C	123,298,023	\$ 859,387,220
2329	GUORUI PPT	1,641,000	\$ 639,990
2331	LI NING	33,241,972	\$ 1,793,404,389
2333	GREATWALL MOTOR	175,968,684	\$ 4,205,651,548
2338	WEICHAH POWER	53,829,993	\$ 1,071,216,861
2342	COMBA	169,524,517	\$ 339,049,034
2343	PACIFIC BASIN	174,983,133	\$ 381,463,230
2356	DAHSHING BANKING	0	\$ 0
2357	AVICHINA	68,392,260	\$ 371,369,972
2359	WUXI APPTTEC	21,132,935	\$ 3,368,589,839
2362	JINCHUAN INTL	86,518,035	\$ 115,068,987
2378	PRU	0	\$ 0
2380	CHINA POWER	68,304,924	\$ 121,582,765
2382	SUNNY OPTICAL	16,378,486	\$ 2,917,008,357
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	17,345,317	\$ 77,186,661
2388	BOC HONG KONG	39,851,527	\$ 1,083,961,534
2400	XD INC	23,560,593	\$ 1,350,021,979
2500	VENUS MEDTECH-B	14,382,240	\$ 930,530,928
2552	HUA MEDICINE-B	2,561,976	\$ 10,709,060
2588	BOC AVIATION	15,860,693	\$ 1,130,867,411
2600	CHALCO	276,072,939	\$ 1,024,230,604
2601	CPIC	13,701,507	\$ 402,139,230
2606	LANGUANGJUSTBON	1,028,700	\$ 52,978,050
2607	SH PHARMA	10,610,799	\$ 164,891,816
2608	SUNSHINE 100	0	\$ 0
2611	GTJA	1,838,473	\$ 20,223,203
2616	CSTONE PHARMA-B	11,530,611	\$ 108,618,356
2628	CHINA LIFE	139,751,064	\$ 2,213,656,854

## Aggregated Reportable Short Positions of Specified Shares as of 09/04/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2638	HKELECTRIC-SS	76,913,784	\$ 593,774,412
2666	UNI MEDICAL	13,476,920	\$ 85,443,673
2669	CHINA OVS PPT	60,633,591	\$ 425,041,473
2678	TEXHONG TEXTILE	581,000	\$ 6,832,560
2688	ENN ENERGY	23,661,451	\$ 2,978,976,681
2689	ND PAPER	59,544,286	\$ 707,386,118
2696	HENLIUS-B	779,210	\$ 30,895,677
2727	SH ELECTRIC	54,131,187	\$ 150,484,700
2768	JIAYUAN INTL	1,982,662	\$ 6,661,744
2772	ZHONGLIANG HLDG	7,391,850	\$ 40,581,257
2777	R&F PROPERTIES	73,723,613	\$ 747,557,436
2778	CHAMPION REIT	6,870,238	\$ 32,427,523
2799	CHINA HUARONG	156,702,870	\$ 159,836,927
2800	TRACKER FUND	28,776,000	\$ 834,504,000
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PP BEDROCK	0	\$ 0
2804	PREMIA VIET	0	\$ 0
2805	VANGUARDASXJP	0	\$ 0
2806	GX CN CONSUME	0	\$ 0
2807	GX CN ROBO&AI	0	\$ 0
2809	GX CN CLN EN	0	\$ 0
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMSUNGCDGN	0	\$ 0
2813	CAM CTPB BOND	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2820	GX CN BIOTECH	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	47,529,270	\$ 901,154,959
2823	ISHARES A50	69,673,883	\$ 1,340,525,509
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2826	GX CN CLOUD	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	1,328,400	\$ 147,319,560
2832	BOSERA STAR50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 09/04/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2845	GX CN EV BATT	222,600	\$ 24,730,860
2846	ISHARESCSI300	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	14,285,369	\$ 37,999,082
2866	COSCO SHIP DEV	70,362,052	\$ 98,506,873
2868	BJ CAPITAL LAND	15,350,200	\$ 15,043,196
2869	GREENTOWN SER	77,679,601	\$ 933,708,804
2877	SHINEWAY PHARM	3,011,700	\$ 16,172,829
2883	CHINA OILFIELD	37,496,200	\$ 293,970,208
2888	STANCHART	0	\$ 0
2899	ZIJIN MINING	186,015,817	\$ 1,942,005,129
3005	CSOPCSI500ETF	0	\$ 0
3007	TRFXIC50	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3011	A ICBCICUSD	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0
3022	ISHAREMSCIEM	0	\$ 0
3023	PA AI&ROBOTICS	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X TRMSCIRUSSIA	0	\$ 0
3031	HT CH A ESG	0	\$ 0
3032	HSTECH ETF	0	\$ 0
3033	CSOP HS TECH	0	\$ 0
3036	TRMSCITAIWAN	0	\$ 0
3040	GX MSCI CHINA	0	\$ 0
3043	TRMSCIPACEXJ	0	\$ 0
3047	F SSIFIRONORE	0	\$ 0
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3050	GX CN GL LEADER	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3058	A GXCNINNOVATOR	0	\$ 0
3065	TRMSCISG	0	\$ 0
3067	ISHARESHSTECH	1,969,300	\$ 34,167,355

## Aggregated Reportable Short Positions of Specified Shares as of 09/04/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3069	CAM HS BIOTECH	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3071	A CICC HKD	0	\$ 0
3072	NIKKOAM INET	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3077	PREMIA UST	0	\$ 0
3079	CICC CGB	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3085	VANGUARDAXJHY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3088	CAM HS TECH	0	\$ 0
3091	A NIKKOAMGAME	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3093	CICCSELECT100	0	\$ 0
3096	CSOP USD MM	0	\$ 0
3097	F GX OIL	0	\$ 0
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI300	0	\$ 0
3101	VANGUARDDVEUR	0	\$ 0
3108	HGI ESGLEADER	0	\$ 0
3109	CSOP STAR 50	0	\$ 0
3110	GX HS HIGH DIV	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP RMB MM	0	\$ 0
3126	VANGUARDJAPAN	0	\$ 0
3127	GX CSI300	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3130	HS CSI300	0	\$ 0
3140	VANGUARDSP500	0	\$ 0
3141	BMO ASIA IGB	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0



### Aggregated Reportable Short Positions of Specified Shares as of 09/04/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3160	BMO JAPAN HDG	0	\$ 0
3163	PA MULTI-FACTOR	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3166	PA QLTY FACTOR	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0
3169	VANGUARDCHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3173	PP CN NEWCON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3181	PREMIAASIATEC	0	\$ 0
3182	WISE NEW ECON50	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3187	SAMSUNG REITS	0	\$ 0
3188	CAM CSI300	24,693,418	\$ 1,498,890,473
3191	GX CN SEMICON	0	\$ 0
3193	CSOP CSI 5G	0	\$ 0
3197	CAM MSCI AINC	0	\$ 0
3198	PING AN 5HANDL	0	\$ 0
3199	CSOP CTPBOND	0	\$ 0
3301	RONSHINECHINA	13,843,205	\$ 75,722,331
3306	JNBY	805,500	\$ 11,260,890
3308	GOLDEN EAGLE	1,705,000	\$ 11,917,950
3309	C-MER EYE	23,652,000	\$ 131,741,640
3311	CHINA STATE CON	29,797,739	\$ 154,352,288
3316	BINJIANG SER	0	\$ 0
3319	A-LIVING	43,381,896	\$ 1,444,617,137
3320	CHINARES PHARMA	40,760,211	\$ 200,132,636
3323	CNBM	64,519,399	\$ 783,265,504
3328	BANKCOMM	158,290,404	\$ 785,120,404
3331	VINDA INT'L	44,600,154	\$ 1,168,524,035
3333	EVERGRANDE	202,291,472	\$ 2,884,676,391
3336	JU TENG INTL	2,375,424	\$ 5,392,212
3339	LONKING	36,860,630	\$ 121,640,079
3347	TIGERMED	1,440,894	\$ 206,624,200
3360	FE HORIZON	149,672,253	\$ 1,376,984,728
3377	SINO-OCEAN GP	25,356,035	\$ 43,358,820
3380	LOGAN GROUP	16,552,108	\$ 209,218,645
3383	AGILE GROUP	37,998,075	\$ 486,375,360
3396	LEGENDHOLDING	4,012,635	\$ 53,287,793
3606	FUYAO GLASS	9,339,466	\$ 417,007,157
3613	TONGRENTANGCM	4,636,668	\$ 53,785,349

## Aggregated Reportable Short Positions of Specified Shares as of 09/04/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3618	CQRC BANK	32,488,682	\$ 108,512,198
3633	ZHONGYU GAS	1,151,000	\$ 7,976,430
3639	YIDA CHINA	0	\$ 0
3662	AOYUAN HEALTHY	28,447,731	\$ 143,092,087
3668	YANCOAL AUS	0	\$ 0
3669	YONGDA AUTO	36,209,503	\$ 516,347,513
3681	SINOMAB BIO-B	0	\$ 0
3690	MEITUAN-W	29,684,422	\$ 9,320,908,508
3692	HANSOH PHARMA	28,826,177	\$ 1,049,272,843
3709	EEKA FASHION	3,338,000	\$ 31,043,400
3718	BJ ENT URBAN	41,348,000	\$ 50,858,040
3738	VOBILE GROUP	10,604,000	\$ 280,475,800
3759	PHARMARON	3,801,337	\$ 562,597,876
3798	HOMELAND ITL	884,000	\$ 4,853,160
3799	DALI FOODS	81,577,029	\$ 354,044,306
3800	GCL-POLY ENERGY	1,011,384,130	\$ 2,002,540,577
3808	SINOTRUK	31,167,165	\$ 679,444,197
3813	POU SHENG INT'L	4,141,000	\$ 7,578,030
3818	CHINA DONGXIANG	90,911,236	\$ 84,547,449
3836	HARMONY AUTO	13,955,346	\$ 54,565,403
3866	BQD	0	\$ 0
3868	XINYI ENERGY	58,924,529	\$ 229,805,663
3877	CSSC SHIPPING	0	\$ 0
3883	CHINA AOYUAN	39,384,135	\$ 324,131,431
3888	KINGSOFT	99,299,892	\$ 5,317,509,217
3898	CRRR TIMES ELEC	9,876,842	\$ 309,638,997
3899	CIMC ENRIC	30,298,260	\$ 174,517,978
3900	GREENTOWN CHINA	67,202,600	\$ 669,337,896
3908	CICC	228,074,252	\$ 4,337,972,273
3913	KWG LIVING	27,283,442	\$ 212,265,179
3918	NAGACORP	14,796,315	\$ 137,457,766
3933	UNITED LAB	11,015,605	\$ 69,949,092
3958	DFZQ	3,451,440	\$ 17,533,315
3968	CM BANK	148,757,560	\$ 8,754,382,406
3969	CHINA CRSC	64,165,424	\$ 207,895,974
3978	BESTSTUDY EDU	0	\$ 0
3983	CHINA BLUECHEM	30,797,278	\$ 64,982,257
3988	BANK OF CHINA	709,048,778	\$ 2,120,055,846
3990	MIDEA REAL EST	8,226,633	\$ 137,878,369
3993	CMOC	237,955,070	\$ 1,225,468,611
3996	CH ENERGY ENG	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 09/04/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3998	BOSIDENG	239,672,322	\$ 908,358,100
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	377,734,751	\$ 6,904,991,248
6049	POLY PPT SER	22,365,924	\$ 1,176,447,602
6055	CTIHK	8,558,803	\$ 156,797,271
6060	ZA ONLINE	67,618,376	\$ 3,262,586,642
6066	CSC	72,286,116	\$ 740,209,828
6068	WISDOM EDU INTL	10,146,000	\$ 39,366,480
6069	SHENGYE CAPITAL	9,264,000	\$ 55,584,000
6078	HYGEIA HEALTH	3,535,282	\$ 208,228,110
6088	FIT HON TENG	115,264,161	\$ 287,007,761
6098	CG SERVICES	95,031,582	\$ 6,975,318,119
6099	CMSC	9,186,309	\$ 98,844,685
6100	TONGDAO LIEPIN	1,016,840	\$ 25,065,106
6110	TOPSPORTS	39,073,689	\$ 429,029,105
6111	DAFA PPT	837,000	\$ 5,239,620
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6158	ZHENRO PPT	42,652,382	\$ 227,337,196
6160	BEIGENE	691,578	\$ 129,532,559
6166	CHINA VAST	433,000	\$ 1,299,000
6169	YUHUA EDU	174,244,529	\$ 1,038,497,393
6178	EB SECURITIES	14,154,200	\$ 99,079,400
6185	CANSINO BIO-B	8,262,226	\$ 2,766,193,265
6186	CHINA FEIHE	40,072,868	\$ 907,650,460
6196	BANK OF ZHENGZHOU	390,001	\$ 783,902
6198	QINGDAO PORT	1,803,000	\$ 8,654,400
6199	BANK OF GUIZHOU	0	\$ 0
6618	JD HEALTH	30,576,708	\$ 3,332,861,172
6666	EVERG SERVICES	0	\$ 0
6690	HAIER SMARTHOME	112,400,956	\$ 3,709,231,548
6806	SWHY	5,117,057	\$ 11,308,696
6808	SUNART RETAIL	204,885,531	\$ 1,358,391,071
6818	CEB BANK	23,899,137	\$ 79,106,143
6820	FRIENDTIMES	9,666,388	\$ 25,035,945
6823	HKT-SS	26,696,981	\$ 296,336,489

## Aggregated Reportable Short Positions of Specified Shares as of 09/04/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6826	HAOHAI BIOTEC	1,828,096	\$ 114,256,000
6837	HAITONG SEC	99,307,496	\$ 688,200,947
6855	ASCENTAGE-B	4,150,338	\$ 134,055,917
6862	HAILILAO	91,928,365	\$ 4,536,664,813
6865	FLAT GLASS	61,096,552	\$ 1,512,139,662
6868	TENFU	0	\$ 0
6869	YOFC	7,041,171	\$ 70,411,710
6878	DIFFER GROUP	52,958,000	\$ 51,369,260
6881	CGS	88,145,257	\$ 416,927,066
6886	HTSC	30,076,924	\$ 345,884,626
6889	DYNAM JAPAN	379,000	\$ 2,850,080
6919	RENUI HR	1,041,400	\$ 28,326,080
6958	ZHENRO SERVICES	1,679,000	\$ 8,596,480
6968	GANGLONG CHINA	450,000	\$ 2,173,500
6969	SMOORE INTL	19,024,108	\$ 946,449,373
6988	JOY SPREADER	6,985,000	\$ 22,771,100
6993	BLUE MOON GROUP	61,811,000	\$ 699,700,520
7200	FL2 CSOP HSI	0	\$ 0
7221	FL2CAMHSI	0	\$ 0
7226	XL2CSOPHSTECH	0	\$ 0
7230	FL2 GX HSCEI	0	\$ 0
7231	FL2 GX HSI	0	\$ 0
7233	XL2CSOPCSI300	0	\$ 0
7248	XL2 CSOP A50	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7266	FL2CSOPNASDAQ	0	\$ 0
7272	XL2CAMCSI300	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7299	FL2CSOPGOLD	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7321	FICAMHSI	0	\$ 0
7322	XI GX S&P500	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7333	XICSOPCSI300	0	\$ 0
7336	FI GX HSI	0	\$ 0
7348	XI CSOP A50	0	\$ 0
7362	FI GX HSCEI	0	\$ 0
7373	XICAMCSI300	0	\$ 0
7500	FI2 CSOP HSI	0	\$ 0
7522	FI2CAMDXNNDQ100	0	\$ 0
7552	XI2CSOPHSTECH	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 09/04/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7568	FI2CSOPNASDAQ	0	\$ 0
7588	FI2 CSOP HSCEI	0	\$ 0
8032	VIVA CHINA	0	\$ 0
8083	CHINA YOUZAN	255,428,021	\$ 633,461,492
9010	ISHARES AXJ-U	0	\$ 0
9011	A ICBCICUSD-U	0	\$ 0
9022	ISHAREMSCIEM-U	0	\$ 0
9031	HT CH A ESG-U	0	\$ 0
9047	F SSIFIRONORE-U	0	\$ 0
9067	ISHARESHSTECH-U	0	\$ 0
9072	NIKKOAM INET-U	0	\$ 0
9074	ISHAREMSCITW-U	0	\$ 0
9077	PREMIA UST-U	0	\$ 0
9078	PREMIA UST A-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9085	VANGUARDAXJHY-U	0	\$ 0
9086	BMO NASDAQ100-U	0	\$ 0
9088	CAM HS TECH-U	0	\$ 0
9091	A NIKKOAMGAME-U	0	\$ 0
9096	CSOP USD MM-U	0	\$ 0
9101	VANGUARDDDVEUR-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9126	VANGUARDJAPAN-U	0	\$ 0
9140	VANGUARDSP500-U	0	\$ 0
9141	BMO ASIA IGB-U	0	\$ 0
9167	ICBCCSOPCHINA-U	0	\$ 0
9169	VANGUARDCHINA-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9173	PP CN NEWCON-U	0	\$ 0
9181	PREMIAASIA TEC-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9187	SAMSUNG REITS-U	0	\$ 0
9191	GX CN SEMICON-U	0	\$ 0
9618	JD-SW	8,586,990	\$ 2,713,488,840
9626	BILIBILI-SW	389,520	\$ 331,092,000
9633	NONGFU SPRING	58,124,200	\$ 2,223,250,650
9668	CBHB	10,505,242	\$ 35,822,875
9688	ZAI LAB-SB	43,727	\$ 44,907,629
9698	GDS-SW	2,303,596	\$ 176,570,633
9803	PP BEDROCK-U	0	\$ 0
9804	PREMIA VIET-U	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 09/04/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9805	VANGUARDASXJP-U	0	\$ 0
9806	GX CN CONSUME-U	0	\$ 0
9807	GX CN ROBO&AI-U	0	\$ 0
9809	GX CN CLN EN-U	0	\$ 0
9810	PREMIAEMASEAN-U	0	\$ 0
9812	SAMSUNGCDGN-U	0	\$ 0
9820	GX CN BIOTECH-U	0	\$ 0
9826	GX CN CLOUD-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9845	GX CN EV BATT-U	57,750	\$ 6,388,883
9846	ISHARESCSI300-U	0	\$ 0
9888	BIDU-SW	6,211,696	\$ 1,354,149,728
9901	NEW ORIENTAL-S	866,800	\$ 96,388,160
9909	POWERLONG CM	3,135,420	\$ 83,558,943
9922	JIUMAOJIU	59,393,616	\$ 1,772,899,438
9923	YEAHKA	14,834,028	\$ 855,923,416
9926	AKESO-B	4,846,165	\$ 258,542,903
9928	TIMES NEIGHBOR	18,703,035	\$ 112,405,240
9939	KINTOR PHARMA-B	3,208,500	\$ 107,484,750
9966	ALPHAMAB-B	11,138,400	\$ 125,418,384
9968	HUIJING HLDGS	2,040,000	\$ 3,855,600
9969	INNOCARE-B	5,201,631	\$ 98,310,826
9979	GREENTOWN MGMT	6,264,510	\$ 22,677,526
9983	CC NEW LIFE	7,505,000	\$ 52,610,050
9987	YUM CHINA-S	223,550	\$ 104,979,080
9988	BABA-SW	19,711,029	\$ 4,297,004,322
9989	HEPALINK	4,384,154	\$ 50,417,771
9990	ARCHOSAUR GAMES	16,440,426	\$ 246,606,390
9991	BAOZUN-SW	0	\$ 0
9992	POP MART	22,094,323	\$ 1,314,612,219
9996	PEIJIA-B	7,445,700	\$ 192,471,345
9997	KANGJI MEDICAL	17,672,081	\$ 173,363,115
9999	NTES-S	7,456,535	\$ 1,213,923,898
80737	BAY AREA DEV-R	0	\$ 0
82805	VANGUARDASXJP-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82813	CAM CTPB BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HSCEI ETF-R	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 09/04/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
82832	BOSERA STAR50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
83005	CSOPCSI500ETF-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83031	HT CH A ESG-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83079	CICC CGB-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83085	VANGUARDAXJHY-R	0	\$ 0
83093	CICCSELECT100-R	0	\$ 0
83100	EFUND CSI300-R	0	\$ 0
83101	VANGUARDDDVEUR-R	0	\$ 0
83108	HGI ESGLEADER-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83122	CSOP RMB MM-R	0	\$ 0
83126	VANGUARDJAPAN-R	0	\$ 0
83127	GX CSI300-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83130	HS CSI300-R	0	\$ 0
83140	VANGUARDSP500-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83169	VANGUARDCHINA-R	0	\$ 0
83170	ISHARES KKS200-R	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP CTPBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0