

## Aggregated Reportable Short Positions of Specified Shares as of 10/09/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	14,519,502	\$ 802,202,486
2	CLP HOLDINGS	26,952,636	\$ 2,065,919,549
3	HK & CHINA GAS	139,017,617	\$ 1,740,500,565
4	WHARF HOLDINGS	28,666,169	\$ 762,520,095
5	HSBC HOLDINGS	56,233,908	\$ 2,299,966,837
6	POWER ASSETS	14,831,787	\$ 703,768,293
8	PCCW	56,093,932	\$ 230,546,061
10	HANG LUNG GROUP	3,226,386	\$ 60,849,640
11	HANG SENG BANK	24,003,981	\$ 3,358,156,942
12	HENDERSON LAND	19,431,389	\$ 668,439,782
13	HUTCHMED	576,000	\$ 35,193,600
14	HYSAN DEV	6,185,257	\$ 173,187,196
16	SHK PPT	11,866,448	\$ 1,315,989,083
17	NEW WORLD DEV	24,454,114	\$ 884,016,221
19	SWIRE PACIFIC A	9,673,599	\$ 501,092,428
23	BANK OF E ASIA	3,643,245	\$ 48,746,618
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	56,633,581	\$ 2,984,589,719
28	TIAN AN	0	\$ 0
29	DYNAMIC HOLD	0	\$ 0
30	BAN LOONG HOLD	9,920,000	\$ 3,372,800
31	CHINA AEROSPACE	0	\$ 0
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	1,735,370	\$ 4,876,390
38	FIRST TRACTOR	28,274,000	\$ 126,384,780
41	GREAT EAGLE H	776,622	\$ 19,027,239
43	C.P. POKPHAND	5,044,000	\$ 4,943,120
45	HK&S HOTELS	1,018,977	\$ 7,805,364
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	131,000	\$ 2,344,900
53	GUOCO GROUP	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
66	MTR CORPORATION	46,359,268	\$ 2,039,807,792
69	SHANGRI-LA ASIA	16,538,242	\$ 107,498,573
71	MIRAMAR HOTEL	0	\$ 0
81	CH OVS G OCEANS	15,397,230	\$ 77,756,012
82	CRAZY SPORTS	16,075,268	\$ 8,359,139
83	SINO LAND	43,516,243	\$ 484,770,947

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
86	SUN HUNG KAI CO	0	\$ 0
87	SWIRE PACIFIC B	0	\$ 0
88	TAI CHEUNG HOLD	128,000	\$ 660,480
95	LVGEM CHINA	21,784,000	\$ 39,429,040
101	HANG LUNG PPT	44,215,317	\$ 796,760,012
102	SUMMIT ASCENT	26,718,000	\$ 14,962,080
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	3,428,000	\$ 6,547,480
116	CHOW SANG SANG	866,349	\$ 10,292,226
119	POLY PROPERTY	23,480,517	\$ 48,839,475
120	COSMOPOL INT'L	2,126,000	\$ 2,381,120
123	YUEXIU PROPERTY	7,125,673	\$ 52,801,237
127	CHINESE EST H	0	\$ 0
133	CHINA MERCHANTS	0	\$ 0
135	KUNLUN ENERGY	64,193,197	\$ 567,467,861
136	HENGTEN NET	229,423,409	\$ 754,803,016
142	FIRST PACIFIC	10,449,579	\$ 31,035,250
144	CHINA MER PORT	19,015,568	\$ 254,808,611
148	KINGBOARD HLDG	3,280,051	\$ 127,265,979
151	WANT WANT CHINA	260,077,515	\$ 1,401,817,806
152	SHENZHEN INT'L	18,388,892	\$ 198,600,034
165	CHINA EB LTD	21,771,068	\$ 229,031,635
168	TSINGTAO BREW	19,406,224	\$ 1,261,404,560
173	K. WAH INT'L	6,224,799	\$ 21,102,069
175	GEELY AUTO	178,972,118	\$ 4,859,093,004
177	JIANGSU EXPRESS	21,729,241	\$ 177,745,191
178	SA SA INT'L	63,783,330	\$ 115,447,827
179	JOHNSON ELEC H	1,350,056	\$ 24,382,011
182	CONCORD NE	12,810,000	\$ 10,248,000
185	ZENSUN ENT	8,475,000	\$ 40,086,750
189	DONGYUE GROUP	54,285,725	\$ 1,172,571,660
191	LAI SUN INT'L	0	\$ 0
194	LIU CHONG HING	0	\$ 0
200	MELCO INT'L DEV	49,186,392	\$ 596,139,071
207	JOY CITY PPT	0	\$ 0
215	HUTCHTEL HK	22,549,269	\$ 27,735,601
220	U-PRESID CHINA	27,937,287	\$ 208,412,161
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	0	\$ 0
235	CHINA STRATEGIC	53,010,000	\$ 3,021,570
241	ALI HEALTH	312,707,137	\$ 4,146,496,637

## Aggregated Reportable Short Positions of Specified Shares as of 10/09/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
242	SHUN TAK HOLD	24,020,670	\$ 56,688,781
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	384,000	\$ 2,572,800
256	CITYCHAMP	4,352,177	\$ 5,962,482
257	EB ENVIRONMENT	74,155,125	\$ 430,841,276
261	GBA HOLDINGS	0	\$ 0
267	CITIC	62,482,550	\$ 622,326,198
268	KINGDEE INT'L	137,717,006	\$ 3,635,728,958
270	GUANGDONG INV	22,371,219	\$ 245,188,560
272	SHUI ON LAND	26,137,771	\$ 34,763,235
285	BYD ELECTRONIC	70,882,794	\$ 2,473,809,511
286	AIDIGONG	121,360,000	\$ 114,078,400
288	WH GROUP	131,823,682	\$ 788,305,618
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	24,603,434	\$ 1,564,778,402
293	CATHAY PAC AIR	205,975,981	\$ 1,394,457,391
297	SINOFERT	62,375,469	\$ 102,919,524
302	CMGE	25,776,000	\$ 92,278,080
303	VTECH HOLDINGS	2,802,175	\$ 211,003,778
305	WULING MOTORS	27,800,000	\$ 39,476,000
308	CHINA TRAVEL HK	34,312,036	\$ 40,831,323
315	SMARTONE TELE	2,478,079	\$ 11,448,725
316	OOIL	1,601,962	\$ 253,109,996
317	COMEC	650,000	\$ 5,206,500
321	TEXWINCA HOLD	0	\$ 0
322	TINGYI	100,545,855	\$ 1,421,718,390
323	MAANSHAN IRON	10,392,547	\$ 48,221,418
326	CHINA STAR ENT	1,289,926	\$ 1,238,329
327	PAX GLOBAL	7,214,486	\$ 73,299,178
329	OCI INTL	0	\$ 0
330	ESPRIT HOLDINGS	16,808,135	\$ 13,446,508
336	HUABAO INTL	31,253,109	\$ 530,677,791
337	GREENLAND HK	4,336,000	\$ 9,235,680
338	SHANGHAI PEICHEM	55,592,381	\$ 110,628,838
341	CAFE DE CORAL H	4,842,569	\$ 67,602,263
345	VITASOY INT'L	87,697,760	\$ 1,775,879,640
347	ANGANG STEEL	157,319,366	\$ 1,025,722,266
354	CHINASOFT INT'L	78,405,319	\$ 1,074,152,870
357	MEILAN AIRPORT	15,570,825	\$ 486,588,281
358	JIANGXI COPPER	54,547,070	\$ 932,754,897
363	SHANGHAI IND H	1,457,000	\$ 18,911,860

## Aggregated Reportable Short Positions of Specified Shares as of 10/09/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	188,895,668	\$ 613,910,921
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
382	EDVANTAGE GROUP	3,204,000	\$ 17,397,720
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	63,564,297	\$ 1,398,414,534
386	SINOPEC CORP	244,719,118	\$ 939,721,413
388	HKEX	8,770,835	\$ 4,420,500,840
390	CHINA RAILWAY	65,421,111	\$ 295,703,422
392	BEIJING ENT	2,924,969	\$ 87,456,573
400	COGOBUY	11,978,795	\$ 35,696,809
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	104,229,983	\$ 364,804,941
412	CSFG	0	\$ 0
416	BANKOFJINZHOU	0	\$ 0
419	HUAYI TENCENT	21,460,000	\$ 4,849,960
425	MINTH GROUP	11,307,906	\$ 343,760,342
435	SUNLIGHT REIT	0	\$ 0
440	DAH SING	684,567	\$ 17,011,490
451	GCL NEWENERGY	189,868,508	\$ 65,504,635
460	SIHUAN PHARM	135,264,712	\$ 296,229,719
467	UNITEDENERGY GP	76,047,729	\$ 82,131,547
468	GAPACK	7,151,352	\$ 23,384,921
480	HKR INT'L	0	\$ 0
486	RUSAL	7,382,000	\$ 53,002,760
489	DONGFENG GROUP	36,677,244	\$ 311,756,574
493	GOME RETAIL	1,230,578,031	\$ 1,021,379,766
497	CSI PROPERTIES	3,000,000	\$ 750,000
506	CHINA FOODS	0	\$ 0
511	TVB	3,619,321	\$ 24,611,383
512	CHINAGRANDPHARM	18,754,140	\$ 119,088,789
517	COSCO SHIP INTL	796,000	\$ 2,157,160
520	XIABUXIABU	59,857,668	\$ 471,678,424
522	ASM PACIFIC	11,924,321	\$ 1,115,520,230
525	GUANGSHEN RAIL	10,509,644	\$ 15,974,659
535	GEMDALE PPT	70,078,864	\$ 62,370,189
546	FUFENG GROUP	640,000	\$ 1,945,600
547	DIGITAL DOMAIN	173,198,856	\$ 12,297,119
548	SHENZHENEXPRESS	8,619,542	\$ 64,646,565
551	YUE YUEN IND	9,679,887	\$ 161,266,917

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
552	CHINACOMSERVICE	18,351,229	\$ 74,505,990
558	LK TECH	4,303,000	\$ 98,969,000
563	SH IND URBAN	2,574,400	\$ 1,699,104
564	ZMJ	17,423,169	\$ 186,427,908
570	TRAD CHI MED	73,210,416	\$ 276,735,372
576	ZHEJIANGEXPRESS	71,429,515	\$ 485,006,407
579	JNCEC	33,512,558	\$ 83,111,144
580	SUN.KING TECH	22,282,000	\$ 89,573,640
581	CHINA ORIENTAL	27,670,850	\$ 86,333,052
586	CONCH VENTURE	38,507,425	\$ 1,288,073,366
588	BEIJING N STAR	1,087,636	\$ 1,544,443
590	LUK FOOK HOLD	528,782	\$ 11,342,374
598	SINOTRANS	20,670,129	\$ 69,658,335
603	CHINA OIL & GAS	0	\$ 0
604	SHENZHEN INVEST	77,020,331	\$ 177,916,965
607	FULLSHARE	236,793,959	\$ 24,626,572
622	OSHIDORI	0	\$ 0
631	SANY INT'L	44,352,196	\$ 455,053,531
636	KERRY LOG NET	3,562,177	\$ 95,110,126
639	SHOUGANG RES	8,134,002	\$ 22,043,145
656	FOSUN INTL	35,435,640	\$ 360,734,815
658	C TRANSMISSION	24,938,392	\$ 157,111,870
659	NWS HOLDINGS	12,302,553	\$ 93,991,505
662	ASIA FINANCIAL	0	\$ 0
665	HAITONG INT'L	77,016,879	\$ 170,977,471
667	CHINA EAST EDU	42,638,311	\$ 387,155,864
669	TECHTRONIC IND	13,108,018	\$ 2,192,971,411
670	CHINA EAST AIR	82,133,379	\$ 269,397,483
683	KERRY PPT	4,914,341	\$ 127,772,866
686	BJ ENERGY INTL	11,126,000	\$ 3,449,060
688	CHINA OVERSEAS	26,061,966	\$ 466,509,191
691	SHANSHUI CEMENT	0	\$ 0
694	BEIJING AIRPORT	158,443,638	\$ 784,296,008
696	TRAVELSKY TECH	46,925,325	\$ 712,326,434
697	SHOUCHEG	37,252,794	\$ 62,212,166
698	TONGDA GROUP	140,034,433	\$ 42,010,330
700	TENCENT	24,033,006	\$ 11,776,172,940
708	EVERG VEHICLE	66,889,005	\$ 370,565,088
709	GIORDANO INT'L	2,151,895	\$ 3,421,513
710	BOE VARITRONIX	6,324,812	\$ 78,554,165
728	CHINA TELECOM	27,482,369	\$ 74,752,044

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
732	TRULY INT'L	14,615,200	\$ 41,361,016
737	BAY AREA DEV	0	\$ 0
743	ASIA CEMENT CH	7,962,376	\$ 54,144,157
751	SKYWORTH GROUP	41,236,791	\$ 98,555,930
753	AIR CHINA	77,887,141	\$ 427,600,404
754	HOPSON DEV HOLD	26,601,055	\$ 811,332,178
762	CHINA UNICOM	6,788,000	\$ 28,305,960
763	ZTE	25,226,367	\$ 703,815,639
769	CHINA RAREEARTH	34,176,906	\$ 30,759,215
772	CHINA LIT	48,742,798	\$ 3,402,247,300
775	CKLIFE SCIENCES	43,398,488	\$ 34,284,806
777	NETDRAGON	5,141,603	\$ 96,970,633
778	FORTUNE REIT	0	\$ 0
780	TONGCHENG-ELONG	42,811,878	\$ 810,856,969
788	CHINA TOWER	1,507,964,061	\$ 1,598,441,905
798	CEOVU	0	\$ 0
799	IGG	42,294,441	\$ 339,624,361
806	VALUE PARTNERS	30,163,183	\$ 130,908,214
807	SIICENVIRONMENT	1,122,300	\$ 1,470,213
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	2,389,364	\$ 13,523,800
813	SHIMAO GROUP	89,908,592	\$ 1,451,124,675
817	CHINA JINMAO	140,939,578	\$ 352,348,945
818	HI SUN TECH	24,319,323	\$ 34,533,439
819	TIANNENG POWER	146,097,219	\$ 1,475,581,912
823	LINK REIT	11,048,275	\$ 773,931,664
826	TIANGONG INT'L	24,364,000	\$ 132,783,800
832	CENTRAL CHINA	32,028,052	\$ 53,807,127
836	CHINA RES POWER	79,962,027	\$ 1,615,232,945
839	CHINA EDU GROUP	70,259,904	\$ 954,129,496
853	MICROPORT	60,595,454	\$ 2,875,254,292
855	CHINA WATER	57,012,342	\$ 493,156,758
856	VSTECS	3,658,796	\$ 26,050,628
857	PETROCHINA	590,003,112	\$ 2,011,910,612
860	APOLLO FMG	141,464,546	\$ 83,464,082
861	DC HOLDINGS	20,512,120	\$ 99,073,540
863	BC TECH GROUP	7,403,159	\$ 109,418,690
867	CMS	34,124,572	\$ 531,660,832
868	XINYI GLASS	59,738,340	\$ 1,756,307,196
873	SHIMAO SERVICES	24,517,311	\$ 416,794,287
874	BAIYUNSHAN PH	5,804,144	\$ 125,369,510

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880	SJM HOLDINGS	220,035,319	\$ 1,604,057,476
881	ZHONGSHENG HLDG	231,587,864	\$ 15,192,163,878
883	CNOOC	55,816,873	\$ 450,442,165
884	CIFI HOLD GP	57,204,519	\$ 298,035,544
902	HUANENG POWER	129,968,733	\$ 536,770,867
906	COFCO PACKAGING	4,488,157	\$ 20,017,180
909	MING YUAN CLOUD	66,945,671	\$ 2,048,537,533
914	CONCH CEMENT	36,684,145	\$ 1,782,849,447
916	CHINA LONGYUAN	107,763,666	\$ 1,812,584,862
921	HISENSE HA	1,692,100	\$ 16,633,343
934	SINOPEC KANTONS	18,058,435	\$ 55,981,149
939	CCB	437,294,235	\$ 2,523,187,736
941	CHINA MOBILE	18,050,038	\$ 880,841,854
950	LEE'S PHARM	4,258,502	\$ 17,545,028
951	CHAOWEI POWER	20,972,000	\$ 51,800,840
956	CHINA SUNTIEN	48,941,895	\$ 306,376,263
960	LONGFOR GROUP	20,985,724	\$ 756,535,350
966	CHINA TAIPING	30,569,674	\$ 383,955,105
968	XINYI SOLAR	243,824,443	\$ 4,388,839,974
973	L'OCCITANE	0	\$ 0
978	CHI MER LAND	1,460,000	\$ 1,474,600
981	SMIC	14,759,223	\$ 348,317,663
989	HUA YIN INTL H	0	\$ 0
990	THEME INT'L	3,750,000	\$ 4,050,000
991	DATANG POWER	15,735,195	\$ 22,816,033
992	LENOVO GROUP	1,117,612,215	\$ 9,209,124,652
995	ANHUIEXPRESSWAY	3,920,993	\$ 19,840,225
998	CITIC BANK	199,369,920	\$ 737,668,704
1003	HUANXI MEDIA	2,500,000	\$ 3,825,000
1024	KUAISHOU-W	70,896,310	\$ 6,908,845,410
1030	SEAZEN	35,612,642	\$ 243,590,471
1031	KINGSTON FIN	0	\$ 0
1033	SINOPEC SSC	15,494,126	\$ 11,620,595
1038	CKI HOLDINGS	3,448,181	\$ 152,754,418
1044	HENGAN INT'L	63,882,418	\$ 2,702,226,281
1052	YUEXIUTRANSPORT	8,870,347	\$ 41,690,631
1055	CHINA SOUTH AIR	174,731,573	\$ 828,227,656
1060	ALI PICTURES	670,486,026	\$ 569,913,122
1061	ESSEX BIO-TECH	3,950,812	\$ 25,482,737
1065	TIANJIN CAPITAL	1,708,000	\$ 5,653,480
1066	WEIGAO GROUP	108,137,484	\$ 1,388,485,295

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1068	YURUN FOOD	17,907,000	\$ 16,653,510
1070	TCL ELECTRONICS	22,869,706	\$ 102,684,980
1071	HUADIAN POWER	53,742,549	\$ 160,152,796
1072	DONGFANG ELEC	30,914,516	\$ 416,109,385
1083	TOWNGAS CHINA	9,478,524	\$ 50,425,748
1086	GOODBABY INTL	15,192,720	\$ 20,054,390
1088	CHINA SHENHUA	48,020,021	\$ 882,607,986
1093	CSPC PHARMA	86,623,584	\$ 848,044,887
1098	ROAD KING INFRA	1,448,212	\$ 12,773,230
1099	SINOPHARM	56,999,341	\$ 1,194,136,194
1108	LUOYANG GLASS	5,930,793	\$ 76,388,614
1109	CHINA RES LAND	29,461,174	\$ 892,673,572
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	7,978,736	\$ 170,346,014
1113	CK ASSET	35,079,817	\$ 1,711,895,070
1114	BRILLIANCE CHI	82,621,694	\$ 603,138,366
1115	TIBET WATER	4,707,000	\$ 2,918,340
1117	CH MODERN D	383,325,786	\$ 567,322,163
1119	IDREAMSKY	126,205,728	\$ 554,043,146
1121	GOLDENSOLAR	13,836,000	\$ 209,477,040
1122	QINGLING MOTORS	0	\$ 0
1128	WYNN MACAU	167,460,317	\$ 1,582,499,996
1133	HARBIN ELECTRIC	2,686,000	\$ 8,353,460
1137	HK TECH VENTURE	20,107,000	\$ 219,568,440
1138	COSCO SHIP ENGY	74,764,392	\$ 242,236,630
1141	CMBC CAPITAL	281,947,126	\$ 27,912,765
1157	ZOOMLION	83,836,748	\$ 709,258,888
1159	STARLIGHT CUL	0	\$ 0
1167	JACOBIO-B	681,502	\$ 13,575,520
1171	YANZHOU COAL	53,492,543	\$ 833,413,820
1176	ZHUGUANG HOLD	15,928,000	\$ 26,918,320
1177	SINO BIOPHARM	279,124,423	\$ 1,895,254,832
1179	HUAZHU-S	0	\$ 0
1183	MECOM POWER	16,571,420	\$ 65,788,537
1186	CHINA RAIL CONS	417,186	\$ 2,436,366
1193	CHINA RES GAS	13,587,900	\$ 638,631,300
1196	REALORD GROUP	4,590,461	\$ 49,209,742
1199	COSCO SHIP PORT	13,459,512	\$ 81,564,643
1208	MMG	128,807,627	\$ 513,942,432
1209	CHINA RES MIXC	5,073,062	\$ 194,298,275
1211	BYD COMPANY	38,660,203	\$ 10,113,509,105



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1212	LIFESTYLE INT'L	9,740,277	\$ 52,110,482
1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	0	\$ 0
1231	NEWTON RES	0	\$ 0
1233	TIMES CHINA	33,845,904	\$ 236,582,869
1234	CHINA LILANG	3,958,035	\$ 19,394,372
1238	POWERLONG	26,550,353	\$ 156,116,076
1250	BE CLEAN ENERGY	145,054,559	\$ 15,956,001
1252	CHINA TIANRUI	1,672,000	\$ 9,614,000
1257	CEB GREENTECH	28,286,036	\$ 89,101,013
1258	CHINFMINING	17,496,000	\$ 76,982,400
1268	MEIDONG AUTO	18,665,183	\$ 784,870,945
1271	GRAND MING	0	\$ 0
1277	KINETIC M&E	0	\$ 0
1282	GLORY SUN FIN	67,335,533	\$ 18,517,272
1288	ABC	415,260,590	\$ 1,141,966,623
1299	AIA	46,044,770	\$ 4,388,066,581
1302	LIFETECH SCI	123,307,334	\$ 512,958,509
1308	SITC	37,547,039	\$ 1,314,146,365
1310	HKBN	20,626,048	\$ 201,722,749
1313	CHINARES CEMENT	53,573,009	\$ 469,299,559
1316	NEXTEER	29,610,100	\$ 256,423,466
1317	MAPLELEAF EDU	244,208,957	\$ 395,618,510
1323	HUASHENG INTL	6,798,000	\$ 7,409,820
1330	DYNAGREEN ENV	1,922,769	\$ 8,152,541
1332	TOUYUN BIOTECH	0	\$ 0
1333	CHINA ZHONGWANG	57,847,523	\$ 97,183,839
1336	NCI	25,217,708	\$ 606,485,877
1337	RAZER	259,099,671	\$ 461,197,414
1339	PICC GROUP	114,159,679	\$ 291,107,181
1347	HUA HONG SEMI	35,193,294	\$ 1,585,457,895
1349	FUDANZHANGJIANG	4,968,000	\$ 21,561,120
1359	CHINA CINDA	158,040,439	\$ 232,319,445
1361	361 DEGREES	12,016,508	\$ 48,907,188
1366	JIANGNAN GP	0	\$ 0
1368	XTEP INT'L	152,430,849	\$ 2,201,101,460
1375	CC SECURITIES	5,158,000	\$ 8,098,060
1378	CHINAHONGQIAO	213,496,746	\$ 2,856,586,461
1381	CANVEST ENV	2,046,000	\$ 8,838,720
1382	PACIFICTEXTILES	13,497,971	\$ 56,016,580

## Aggregated Reportable Short Positions of Specified Shares as of 10/09/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1383	SUNCITY GROUP	40,760,000	\$ 12,635,600
1385	SHANGHAI FUDAN	13,690,000	\$ 334,720,500
1387	CHINA DILI	0	\$ 0
1398	ICBC	552,889,648	\$ 2,471,416,727
1415	COWELL	2,908,499	\$ 17,421,909
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	14,154,000	\$ 23,354,100
1432	CHINA SHENGMU	2,249,000	\$ 1,326,910
1448	FU SHOU YUAN	16,414,453	\$ 111,946,569
1456	GUOLIAN SEC	1,822,000	\$ 6,996,480
1458	ZHOU HEI YA	144,171,992	\$ 1,138,958,737
1468	KINGKEY FIN INT	0	\$ 0
1475	NISSIN FOODS	13,100,632	\$ 75,852,659
1477	OCUMENSION-B	4,035,852	\$ 82,533,173
1478	Q TECH	36,277,454	\$ 461,449,215
1501	KDL MEDICAL	774,400	\$ 20,134,400
1503	CMC REIT	0	\$ 0
1508	CHINA RE	25,324,300	\$ 21,778,898
1513	LIVZON PHARMA	4,498,724	\$ 140,360,189
1515	CR MEDICAL	20,032,863	\$ 133,819,525
1516	SUNAC SERVICES	28,656,058	\$ 510,650,954
1521	FRONTAGE	13,614,682	\$ 76,242,219
1528	RS MACALLINE	2,594,155	\$ 12,867,009
1530	3SBIO	136,068,024	\$ 1,175,627,727
1548	GENSCRIPT BIO	28,572,285	\$ 972,886,304
1551	GRCB	0	\$ 0
1558	HEC PHARM	31,889,332	\$ 148,923,180
1565	VIRSCEND EDU	4,493,000	\$ 2,695,800
1566	CA CULTURAL	12,348,000	\$ 35,438,760
1568	SUNDART HLDGS	0	\$ 0
1569	MINSHENG EDU	1,328,563	\$ 1,607,561
1576	QILU EXPRESSWAY	0	\$ 0
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	76,070,806	\$ 3,567,720,801
1585	YADEA	46,688,988	\$ 633,102,677
1588	CHANJET	0	\$ 0
1589	CNLP	38,147,635	\$ 159,838,591
1600	TIAN LUN GAS	6,257,213	\$ 48,117,968
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	18,171,495	\$ 30,346,397
1610	COFCO JOYCOME	96,255,415	\$ 233,900,658

## Aggregated Reportable Short Positions of Specified Shares as of 10/09/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1611	HUOBI TECH	3,966,505	\$ 50,771,264
1618	MCC	59,380,522	\$ 191,799,086
1622	REDCO GROUP	29,343,740	\$ 65,729,978
1628	YUZHOU GROUP	174,071,167	\$ 233,255,364
1638	KAISA GROUP	122,926,027	\$ 295,022,465
1658	PSBC	683,619,159	\$ 3,992,335,889
1660	ZHAOBANGJI PPT	0	\$ 0
1666	TONG REN TANG	4,561,996	\$ 27,965,035
1668	CHINASOUTHCITY	46,852,795	\$ 36,076,652
1672	ASCLETIS-B	11,160,000	\$ 33,256,800
1675	ASIAINFO TECH	4,533,200	\$ 57,843,632
1680	MACAU LEGEND	1,719,000	\$ 1,701,810
1681	CONSUN PHARMA	709,000	\$ 2,658,750
1686	SUNEVISION	10,858,726	\$ 87,195,570
1691	JS GLOBAL LIFE	21,644,900	\$ 386,577,914
1709	DL HOLDINGS GP	1,427,000	\$ 3,496,150
1717	AUSNUTRIA	27,293,091	\$ 197,601,979
1727	HEBEI CONS	4,159,184	\$ 10,397,960
1755	S-ENJOY SERVICE	6,584,000	\$ 111,401,280
1760	INTRON TECH	3,001,000	\$ 15,695,230
1763	CIRC	901,000	\$ 20,768,050
1765	HOPE EDU	187,112,000	\$ 254,472,320
1766	CRRC	18,623,463	\$ 72,631,506
1769	SCHOLAR EDU	6,651,000	\$ 12,304,350
1772	GANFENGLITHIUM	13,323,855	\$ 2,113,163,403
1773	TIANLI EDU	29,979,000	\$ 69,551,280
1776	GF SEC	15,435,998	\$ 240,492,849
1777	FANTASIA	60,441,000	\$ 38,682,240
1778	COLOUR LIFE	13,052,739	\$ 33,153,957
1787	SD GOLD	80,303,129	\$ 1,143,516,557
1788	GUOTAI JUNAN I	54,422,581	\$ 72,382,033
1789	AK MEDICAL	56,137,362	\$ 485,588,181
1797	KOOLEARN	53,015,670	\$ 294,767,125
1798	DATANG RENEW	43,209,659	\$ 125,740,108
1799	XINTE ENERGY	8,001,600	\$ 210,042,000
1800	CHINA COMM CONS	13,041,539	\$ 60,121,495
1801	INNOVENT BIO	40,030,586	\$ 2,569,963,621
1809	PRINX CHENGSHAN	204,500	\$ 1,484,670
1810	XIAOMI-W	334,005,163	\$ 8,049,524,428
1811	CGN NEW ENERGY	84,834,028	\$ 484,402,300
1812	CHENMING PAPER	23,467,660	\$ 116,399,594

## Aggregated Reportable Short Positions of Specified Shares as of 10/09/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1813	KWG GROUP	27,621,016	\$ 210,748,352
1816	CGN POWER	163,772,748	\$ 347,198,226
1817	MULSANNE GROUP	0	\$ 0
1818	ZHAOJIN MINING	104,731,094	\$ 694,367,153
1821	ESR	37,572,463	\$ 901,739,112
1830	PERFECT MEDICAL	8,019,000	\$ 52,845,210
1833	PA GOODDOCTOR	104,358,745	\$ 6,031,935,461
1836	STELLA HOLDINGS	509,000	\$ 5,242,700
1839	CIMC VEHICLES	129,500	\$ 840,455
1848	CALC	1,828,152	\$ 10,237,651
1857	CEB WATER	759,000	\$ 1,305,480
1858	CHUNLI MEDICAL	7,001,200	\$ 142,474,420
1860	MOBVISTA	26,536,000	\$ 192,651,360
1862	JINGRUI HLDGS	3,680,000	\$ 8,648,000
1866	CHINA XLX FERT	519,000	\$ 3,643,380
1873	VIVA BIOTECH	86,289,790	\$ 568,649,716
1876	BUD APAC	146,539,840	\$ 2,804,772,538
1877	JUNSHI BIO	7,449,813	\$ 323,694,375
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	7,704,323	\$ 226,507,096
1883	CITIC TELECOM	5,515,244	\$ 14,505,092
1888	KB LAMINATES	44,003,513	\$ 667,973,327
1890	CHINA KEPEI	14,450,000	\$ 64,736,000
1896	MAOYAN ENT	14,344,508	\$ 157,502,698
1898	CHINA COAL	60,657,433	\$ 356,059,132
1899	XINGDA INT'L	3,679,702	\$ 6,844,246
1902	YINCHENG INTL	352,000	\$ 1,059,520
1905	HAITONG UT	0	\$ 0
1907	CHINA RISUN GP	2,733,000	\$ 14,539,560
1908	C&D INTL GROUP	10,451,370	\$ 177,882,317
1909	FIRE ROCK	6,038,000	\$ 16,604,500
1910	SAMSONITE	48,359,052	\$ 750,532,487
1911	CR HOLDINGS	3,023,500	\$ 67,272,875
1913	PRADA	4,437,490	\$ 207,896,407
1916	JIANGXI BANK	0	\$ 0
1918	SUNAC	46,261,446	\$ 865,089,040
1919	COSCO SHIP HOLD	459,225,129	\$ 7,154,727,510
1928	SANDS CHINA LTD	70,530,097	\$ 1,872,574,075
1929	CHOW TAI FOOK	72,763,763	\$ 1,204,967,915
1935	JH EDUCATION	0	\$ 0
1951	JXR	64,257,186	\$ 846,909,711

## Aggregated Reportable Short Positions of Specified Shares as of 10/09/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1952	EVEREST MED-B	1,494,917	\$ 84,836,540
1958	BAIC MOTOR	147,846,017	\$ 450,930,352
1963	BCQ	1,002,309	\$ 4,700,829
1966	CHINA SCE GROUP	23,929,966	\$ 73,943,595
1970	IMAX CHINA	1,750,193	\$ 20,092,216
1972	SWIREPROPERTIES	9,828,717	\$ 202,963,006
1981	CATHAY EDU	6,262,000	\$ 19,725,300
1987	BENG SOON MACH	2,278,139	\$ 4,897,999
1988	MINSHENG BANK	897,599,633	\$ 3,015,934,767
1992	FOSUN TOURISM	4,376,806	\$ 49,457,908
1995	CIFI ES SERVICE	40,709,142	\$ 599,238,570
1996	RSUN PPT	10,223,840	\$ 27,195,414
1997	WHARF REIC	65,117,720	\$ 2,679,594,178
1999	MAN WAH HLDGS	27,340,773	\$ 367,459,989
2001	NEW HIGHER EDU	14,736,988	\$ 55,853,185
2005	SSY GROUP	75,648,644	\$ 350,253,222
2006	JINJIANGCAPITAL	3,648,000	\$ 6,092,160
2007	COUNTRY GARDEN	441,505,172	\$ 3,739,548,807
2009	BBMG	10,815,011	\$ 16,763,267
2013	WEIMOB INC	297,869,416	\$ 3,800,813,748
2015	LI AUTO-W	0	\$ 0
2016	CZBANK	0	\$ 0
2018	AAC TECH	70,531,434	\$ 2,916,474,796
2019	DEXIN CHINA	4,290,000	\$ 12,183,600
2020	ANTA SPORTS	76,508,617	\$ 12,761,637,316
2038	FIH	108,926,032	\$ 130,711,238
2039	CIMC	29,653,789	\$ 552,746,627
2048	E-HOUSE ENT	17,932,800	\$ 30,127,104
2057	ZTO EXPRESS-SW	168,370	\$ 40,745,540
2066	SHENGJINGBANK	0	\$ 0
2096	SIMCERE PHARMA	561,000	\$ 4,431,900
2098	ZALL SMARTCOM	0	\$ 0
2099	CHINAGOLDINTL	878,200	\$ 21,735,450
2100	BAIOO	12,076,000	\$ 9,781,560
2101	FULU HOLDINGS	255,000	\$ 1,856,400
2103	SINIC HOLDINGS	5,531,000	\$ 22,179,310
2117	DATANG GROUP	1,230,000	\$ 5,658,000
2126	JW THERAP-B	10,008,083	\$ 245,198,034
2127	HUISEN INTL	5,374,000	\$ 14,509,800
2128	CHINA LESSO	15,194,000	\$ 235,810,880
2131	NETJOY	12,952,000	\$ 53,750,800

## Aggregated Reportable Short Positions of Specified Shares as of 10/09/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2138	EC HEALTHCARE	3,616,000	\$ 40,499,200
2139	BANK OF GANSU	0	\$ 0
2142	HBM HOLDINGS-B	3,630,000	\$ 28,422,900
2148	VESYNC	12,506,000	\$ 152,323,080
2156	C&D PROPERTY	283,000	\$ 1,248,030
2158	YIDU TECH	6,060,002	\$ 189,375,063
2160	CARDIOFLOW-B	9,143,899	\$ 72,419,680
2163	BROAD HOMES	2,856,000	\$ 28,417,200
2168	KAISA PROSPER	532,596	\$ 12,782,304
2186	LUYE PHARMA	128,034,940	\$ 531,345,001
2196	FOSUN PHARMA	39,368,100	\$ 1,889,668,800
2198	CHINA SANJIANG	17,892,200	\$ 55,286,898
2202	CHINA VANKE	43,555,064	\$ 912,478,591
2208	GOLDWIND	103,987,878	\$ 1,732,438,047
2231	JY GRANDMARK	0	\$ 0
2232	CRYSTAL INTL	0	\$ 0
2233	WESTCHINACEMENT	36,600,446	\$ 53,070,647
2238	GAC GROUP	90,322,352	\$ 755,094,863
2269	WUXI BIO	34,814,296	\$ 4,128,975,506
2282	MGM CHINA	69,991,806	\$ 574,632,727
2313	SHENZHOU INTL	21,566,703	\$ 3,797,896,398
2314	LEE & MAN PAPER	18,827,554	\$ 142,524,584
2318	PING AN	480,549,909	\$ 28,832,994,540
2319	MENGNU DAIRY	46,331,810	\$ 2,240,143,014
2322	HK CHAOSHANG GP	1,008,000	\$ 947,520
2328	PICC P&C	113,047,432	\$ 847,855,740
2331	LI NING	45,089,110	\$ 4,725,338,728
2333	GREATWALL MOTOR	200,301,317	\$ 6,609,943,461
2338	WEICHAJ POWER	95,706,869	\$ 1,858,627,396
2342	COMBA	105,502,109	\$ 223,664,471
2343	PACIFIC BASIN	439,600,307	\$ 1,890,281,320
2356	DAHSING BANKING	586,399	\$ 4,468,360
2357	AVICHINA	43,194,070	\$ 257,004,717
2359	WUXI APPTTEC	22,121,036	\$ 3,652,183,044
2362	JINCHUAN INTL	111,993,235	\$ 137,751,679
2378	PRU	0	\$ 0
2380	CHINA POWER	213,017,207	\$ 705,086,955
2382	SUNNY OPTICAL	29,202,724	\$ 6,792,553,602
2386	SINOPEC SEG	17,181,857	\$ 72,335,618
2388	BOC HONG KONG	38,257,258	\$ 927,738,507
2400	XD INC	30,588,137	\$ 1,552,347,953

## Aggregated Reportable Short Positions of Specified Shares as of 10/09/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2500	VENUS MEDTECH-B	19,537,527	\$ 786,385,462
2518	AUTOHOME-S	114,000	\$ 10,396,800
2552	HUA MEDICINE-B	4,005,476	\$ 19,106,121
2558	JINSHANG BANK	0	\$ 0
2588	BOC AVIATION	15,474,467	\$ 960,190,677
2599	SHINSUN HOLD GP	651,000	\$ 3,691,170
2600	CHALCO	319,345,653	\$ 2,130,035,506
2601	CPIC	32,793,747	\$ 795,248,365
2607	SH PHARMA	10,519,683	\$ 170,418,865
2608	SUNSHINE 100	0	\$ 0
2611	GTJA	1,473,600	\$ 17,005,344
2616	CSTONE PHARMA-B	9,387,313	\$ 121,096,338
2618	JD LOGISTICS	59,576,000	\$ 2,126,863,200
2628	CHINA LIFE	150,163,135	\$ 2,015,189,272
2638	HKELECTRIC-SS	64,869,544	\$ 503,387,661
2666	UNI MEDICAL	14,669,999	\$ 93,007,794
2669	CHINA OVS PPT	45,238,841	\$ 291,790,524
2678	TEXHONG TEXTILE	3,828,500	\$ 46,324,850
2688	ENN ENERGY	21,505,981	\$ 3,240,951,337
2689	ND PAPER	28,020,541	\$ 327,279,919
2696	HENLIUS	1,193,550	\$ 33,300,045
2727	SH ELECTRIC	92,129,916	\$ 208,213,610
2768	JIAYUAN INTL	7,596,744	\$ 24,309,581
2772	ZHONGLIANG HLDG	15,120,863	\$ 70,160,804
2777	R&F PROPERTIES	232,864,402	\$ 1,380,885,904
2778	CHAMPION REIT	0	\$ 0
2798	PER ENERGY	18,960,800	\$ 35,646,304
2799	CHINA HUARONG	148,371,708	\$ 151,339,142
2800	TRACKER FUND	19,093,500	\$ 512,469,540
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PP BEDROCK	0	\$ 0
2804	PREMIA VIET	0	\$ 0
2805	VANGUARDASXJP	0	\$ 0
2806	GX CN CONSUME	0	\$ 0
2807	GX CN ROBO&AI	0	\$ 0
2809	GX CN CLN EN	0	\$ 0
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMSUNGCDGN	0	\$ 0
2813	CAM CTPB BOND	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 10/09/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2814	SAMSUNG FANG	0	\$ 0
2817	PP CGOVT BOND	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2820	GX CN BIOTECH	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	43,703,970	\$ 785,797,381
2823	ISHARES A50	69,710,859	\$ 1,277,102,937
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2826	GX CN CLOUD	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	3,089,400	\$ 297,014,916
2832	BOSERA STAR50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2845	GX CN EV BATT	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	16,495,587	\$ 28,867,277
2866	COSCO SHIP DEV	62,507,030	\$ 111,887,584
2868	BJ CAPITAL LAND	21,808,910	\$ 60,410,681
2869	GREENTOWN SER	94,129,068	\$ 789,742,881
2877	SHINEWAY PHARM	4,036,000	\$ 34,992,120
2883	CHINA OILFIELD	61,751,058	\$ 436,579,980
2888	STANCHART	0	\$ 0
2899	ZIJIN MINING	237,541,004	\$ 2,836,239,588
3001	PP CNUSDPROP	0	\$ 0
3005	CSOPCSI500ETF	0	\$ 0
3007	TRFXIC50	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3011	A ICBCCICUSD	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0
3022	ISHARESMSCIEM	0	\$ 0



## Aggregated Reportable Short Positions of Specified Shares as of 10/09/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3023	PA AI&ROBOTICS	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X TRMSCIRUSSIA	0	\$ 0
3031	HT CH A ESG	0	\$ 0
3032	HSTECH ETF	0	\$ 0
3033	CSOP HS TECH	0	\$ 0
3036	TRMSCITAIWAN	0	\$ 0
3037	CSOP HSI ETF	0	\$ 0
3040	GX MSCI CHINA	0	\$ 0
3043	TRMSCIPACEXJ	0	\$ 0
3047	F SSIFIRONORE	0	\$ 0
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3050	GX CN GL LEADER	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3058	A GXCINNOVATOR	0	\$ 0
3065	TRMSCISG	0	\$ 0
3067	ISHARESHSTECH	0	\$ 0
3069	CAM HSBIOTECH	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3071	A CICC HKD	0	\$ 0
3072	NIKKOAM INET	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3077	PREMIA UST	0	\$ 0
3079	CICC CGB	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3085	VANGUARDAXJHY	0	\$ 0
3086	CAM NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3088	CAM HS TECH	0	\$ 0
3091	A NIKKOAMGAME	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3093	CICCSELECT100	0	\$ 0
3096	CSOP USD MM	0	\$ 0
3097	F GX OIL	0	\$ 0
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI300	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 10/09/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3108	HGI ESGLEADER	0	\$ 0
3109	CSOP STAR 50	0	\$ 0
3110	GX HS HIGH DIV	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3117	GX CN GAME&ENT	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3119	GX ASIA SEMICON	0	\$ 0
3121	CAM AP REAL EST	0	\$ 0
3122	CSOP RMB MM	0	\$ 0
3124	GX CN ECOM&LOG	0	\$ 0
3127	GX CSI300	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3130	HS CSI300	0	\$ 0
3134	CSOP CSI PV	0	\$ 0
3141	CAM ASIA IGB	0	\$ 0
3143	CAM HK BANKS	0	\$ 0
3145	CAM ASIA HIGHDV	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3151	PREMIA STAR50	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	CAM JAPAN HDG	0	\$ 0
3162	CSOP SMARTDRIVE	0	\$ 0
3163	PA MULTI-FACTOR	0	\$ 0
3165	CAM EU QLTY HDG	0	\$ 0
3166	PA QLTY FACTOR	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0
3169	VANGUARDCHINA	0	\$ 0
3173	PP CN NEWECON	0	\$ 0
3174	CSOP HEALTHCARE	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3181	PREMIAASIATEC	0	\$ 0
3182	WISE NEW ECON50	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3187	SAMSUNG REITS	0	\$ 0
3188	CAM CSI300	24,459,485	\$ 1,518,444,829
3191	GX CN SEMICON	0	\$ 0
3193	CSOP CSI 5G	0	\$ 0
3194	CSOP CLOUD ETF	0	\$ 0
3197	CAM MSCI AINC	0	\$ 0
3198	PING AN 5HANDL	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 10/09/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3199	CSOP CTPBOND	0	\$ 0
3300	CHINA GLASS	17,766,000	\$ 60,759,720
3301	RONSHINECHINA	23,636,700	\$ 97,619,571
3306	JNBY	722,000	\$ 13,443,640
3308	GOLDEN EAGLE	809,000	\$ 5,582,100
3309	C-MER EYE	19,306,214	\$ 172,018,367
3311	CHINA STATE CON	24,143,502	\$ 176,006,130
3316	BINJIANG SER	117,500	\$ 2,508,625
3318	CHINA BOTON	3,875,146	\$ 15,888,099
3319	A-LIVING	31,564,913	\$ 992,716,514
3320	CHINARES PHARMA	55,677,350	\$ 228,833,909
3323	CNBM	50,802,825	\$ 654,340,386
3328	BANKCOMM	147,670,218	\$ 685,189,812
3331	VINDA INT'L	48,922,406	\$ 1,120,323,097
3333	EVERGRANDE	339,620,668	\$ 1,229,426,818
3336	JU TENG INTL	3,353,424	\$ 5,264,876
3337	ANTON OILFIELD	70,844,866	\$ 31,525,965
3339	LONKING	57,925,521	\$ 146,551,568
3347	TIGERMED	5,692,154	\$ 903,914,055
3360	FE HORIZON	193,295,439	\$ 1,710,664,635
3377	SINO-OCEAN GP	46,475,735	\$ 73,896,419
3380	LOGAN GROUP	38,601,799	\$ 328,501,309
3383	AGILE GROUP	38,296,160	\$ 287,221,200
3393	WASION HOLDINGS	358,000	\$ 905,740
3396	LEGENDHOLDING	4,387,217	\$ 74,670,433
3606	FUYAO GLASS	13,428,512	\$ 612,340,147
3613	TONGRENTANGCM	4,532,358	\$ 48,224,289
3618	CQRC BANK	84,885,631	\$ 258,052,318
3633	ZHONGYU GAS	0	\$ 0
3639	YIDA CHINA	0	\$ 0
3662	AOYUAN HEALTHY	21,286,937	\$ 91,959,568
3668	YANCOAL AUS	0	\$ 0
3669	YONGDA AUTO	23,381,234	\$ 282,445,307
3690	MEITUAN-W	52,562,056	\$ 13,634,597,326
3692	HANSOH PHARMA	30,468,261	\$ 658,114,438
3700	INKE	7,555,000	\$ 13,750,100
3709	EEKA FASHION	2,263,000	\$ 24,893,000
3718	BJ ENT URBAN	27,776,000	\$ 24,165,120
3738	VOBILE GROUP	95,259,487	\$ 748,739,568
3759	PHARMARON	10,000,462	\$ 1,796,082,975
3798	HOMELAND ITL	4,548,000	\$ 9,914,640

## Aggregated Reportable Short Positions of Specified Shares as of 10/09/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3799	DALI FOODS	92,647,989	\$ 395,606,913
3800	GCL-POLY ENERGY	970,915,259	\$ 1,922,412,213
3808	SINOTRUK	28,657,965	\$ 424,711,041
3813	POU SHENG INT'L	17,257,000	\$ 25,712,930
3818	CHINA DONGXIANG	97,710,528	\$ 95,756,317
3836	HARMONY AUTO	12,019,073	\$ 53,965,638
3866	BQD	0	\$ 0
3868	XINYI ENERGY	63,088,529	\$ 299,039,627
3877	CSSC SHIPPING	0	\$ 0
3883	CHINA AOYUAN	104,785,890	\$ 471,536,505
3888	KINGSOFT	75,039,257	\$ 2,382,496,410
3898	CRRR TIMES ELEC	24,614,238	\$ 995,645,927
3899	CIMC ENRIC	27,414,748	\$ 291,144,624
3900	GREENTOWN CHINA	83,449,515	\$ 1,041,449,947
3908	CICC	40,287,044	\$ 912,501,547
3913	KWG LIVING	19,948,641	\$ 119,093,387
3918	NAGACORP	30,818,310	\$ 219,118,184
3933	UNITED LAB	10,741,287	\$ 60,580,859
3958	DFZQ	13,048,160	\$ 105,429,133
3968	CM BANK	307,628,367	\$ 21,272,501,578
3969	CHINA CRSC	30,352,813	\$ 91,665,495
3983	CHINA BLUECHEM	9,737,009	\$ 25,218,853
3988	BANK OF CHINA	618,290,129	\$ 1,743,578,164
3990	MIDEA REAL EST	8,268,713	\$ 117,084,976
3993	CMOC	182,127,093	\$ 1,092,762,558
3996	CH ENERGY ENG	6,628,000	\$ 9,809,440
3998	BOSIDENG	326,310,641	\$ 2,235,227,891
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	92,173,800	\$ 1,963,301,940
6049	POLY PPT SER	15,035,195	\$ 672,824,976
6055	CTIHK	10,384,891	\$ 161,796,602
6060	ZA ONLINE	57,605,903	\$ 2,079,573,098
6066	CSC	58,573,072	\$ 578,701,951
6068	WISDOM EDU INTL	19,920,000	\$ 32,270,400
6069	SHENGYE CAPITAL	3,732,000	\$ 35,454,000
6078	HYGEIA HEALTH	6,656,910	\$ 418,719,639

## Aggregated Reportable Short Positions of Specified Shares as of 10/09/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6088	FIT HON TENG	72,065,547	\$ 119,628,808
6098	CG SERVICES	23,483,406	\$ 1,426,616,915
6099	CMSC	16,174,062	\$ 207,998,437
6100	TONGDAO LIEPIN	0	\$ 0
6110	TOPSPORTS	56,795,050	\$ 566,814,599
6111	DAFA PPT	1,279,000	\$ 8,172,810
6122	JIUTAI RCB	0	\$ 0
6127	JOINN	401,241	\$ 39,883,355
6138	HARBIN BANK	0	\$ 0
6158	ZHENRO PPT	91,549,236	\$ 392,746,222
6160	BEIGENE	3,470,367	\$ 721,142,263
6166	CHINA VAST	1,259,365	\$ 3,085,444
6169	YUHUA EDU	120,495,639	\$ 479,572,643
6178	EB SECURITIES	15,655,589	\$ 104,892,446
6185	CANSINO-BIO-B	14,675,035	\$ 4,367,290,416
6186	CHINA FEIHE	150,529,090	\$ 2,032,142,715
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	1,327,019	\$ 5,507,129
6199	BANK OF GUIZHOU	0	\$ 0
6600	SCICLONE PHARMA	383,000	\$ 4,404,500
6601	CHEERWIN GP	573,000	\$ 2,521,200
6606	NH HEALTH-B	2,225,590	\$ 91,137,911
6608	BAIRONG-W	340,000	\$ 5,202,000
6618	JD HEALTH	53,501,628	\$ 4,066,123,728
6666	EVERG SERVICES	108,182,057	\$ 508,455,668
6677	SINO-OCEAN SERV	0	\$ 0
6690	HAIER SMARTHOME	70,989,659	\$ 2,147,437,185
6806	SWHY	5,568,800	\$ 12,028,608
6808	SUNART RETAIL	216,530,350	\$ 868,286,704
6818	CEB BANK	38,761,416	\$ 113,183,335
6820	FRIENDTIMES	4,204,054	\$ 5,843,635
6823	HKT-SS	35,525,504	\$ 379,412,383
6826	HAOHAI BIOTEC	1,995,640	\$ 140,193,710
6837	HAITONG SEC	48,082,254	\$ 353,885,389
6855	ASCENTAGE-B	5,969,900	\$ 225,065,230
6862	HAIDILAO	172,366,578	\$ 5,739,807,047
6865	FLAT GLASS	59,063,522	\$ 2,335,962,295
6868	TENFU	0	\$ 0
6869	YOFC	3,626,789	\$ 50,992,653
6878	DIFFER GROUP	27,214,000	\$ 57,421,540
6881	CGS	54,438,684	\$ 255,317,428

## Aggregated Reportable Short Positions of Specified Shares as of 10/09/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6886	HTSC	23,037,978	\$ 294,425,359
6889	DYNAM JAPAN	0	\$ 0
6900	SUNKWAN PPT	0	\$ 0
6908	HONGGUANG LIGHT	148,000	\$ 1,110,000
6919	RENUI HR	1,534,000	\$ 15,201,940
6958	ZHENRO SERVICES	2,363,000	\$ 11,578,700
6968	GANGLONG CHINA	2,860,334	\$ 12,985,916
6969	SMOORE INTL	48,925,975	\$ 2,035,320,560
6978	IMMUNOTECH-B	1,913,521	\$ 30,386,713
6988	JOY SPREADER	20,491,000	\$ 48,358,760
6989	EXCELLENCE CM	548,000	\$ 3,238,680
6993	BLUE MOON GROUP	59,339,500	\$ 410,629,340
6996	ANTENGENE-B	5,084,475	\$ 71,589,408
6998	JHBP-B	3,317,656	\$ 37,887,632
6999	LEADING HLDGS	0	\$ 0
7200	FL2 CSOP HSI	0	\$ 0
7226	XL2CSOPHSTECH	0	\$ 0
7230	FL2 GX HSCEI	0	\$ 0
7231	FL2 GX HSI	0	\$ 0
7232	FL2FBFTTAIWAN	0	\$ 0
7233	XL2CSOPCSI300	0	\$ 0
7248	XL2 CSOP A50	0	\$ 0
7261	FL2CAMNDQ100	0	\$ 0
7266	FL2CSOPNASDAQ	0	\$ 0
7272	XL2CAMCSI300	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7299	FL2CSOPGOLD	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7322	XI GX S&P500	0	\$ 0
7331	FICAMNDQ100	0	\$ 0
7332	FIFBFTTAIWAN	0	\$ 0
7333	XICSOPCSI300	0	\$ 0
7336	FI GX HSI	0	\$ 0
7348	XI CSOP A50	0	\$ 0
7362	FI GX HSCEI	0	\$ 0
7373	XICAMCSI300	0	\$ 0
7500	FI2 CSOP HSI	9,104,400	\$ 43,464,406
7522	FI2CAMNDQ100	0	\$ 0
7552	XI2CSOPHSTECH	4,626,600	\$ 35,555,421
7568	FI2CSOPNASDAQ	0	\$ 0
7588	FI2 CSOP HSCEI	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 10/09/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
8032	VIVA CHINA	58,488,000	\$ 98,844,720
8075	MEDIA ASIA	0	\$ 0
8083	CHINA YOUZAN	588,301,397	\$ 670,663,593
8137	HONBRIDGE	11,490,000	\$ 6,089,700
9001	PP CNUSDPROP-U	0	\$ 0
9010	ISHARES AXJ-U	0	\$ 0
9011	A ICBCCICUSD-U	0	\$ 0
9022	ISHARESMSCIEM-U	0	\$ 0
9031	HT CH A ESG-U	0	\$ 0
9047	F SSIFIRONORE-U	0	\$ 0
9067	ISHARESHSTECH-U	0	\$ 0
9069	CAM HSBIO TECH-U	0	\$ 0
9072	NIKKOAM INET-U	0	\$ 0
9074	ISHARES MSCITW-U	0	\$ 0
9077	PREMIA UST-U	0	\$ 0
9078	PREMIA UST A-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9085	VANGUARDAXJHY-U	0	\$ 0
9086	CAM NASDAQ100-U	0	\$ 0
9088	CAM HS TECH-U	0	\$ 0
9091	A NIKKOAMGAME-U	0	\$ 0
9096	CSOP USD MM-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9141	CAM ASIA IGB-U	0	\$ 0
9151	PREMIA STAR50-U	0	\$ 0
9167	ICBCCSOPCHINA-U	0	\$ 0
9169	VANGUARDCHINA-U	0	\$ 0
9173	PP CN NEWCON-U	0	\$ 0
9181	PREMIAASIA TEC-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9187	SAMSUNG REITS-U	0	\$ 0
9191	GX CN SEMICON-U	0	\$ 0
9618	JD-SW	15,553,469	\$ 4,995,774,243
9626	BILIBILI-SW	2,244,660	\$ 1,478,108,610
9633	NONGFU SPRING	48,117,197	\$ 1,874,164,823
9666	JINKE SERVICES	3,203,100	\$ 145,100,430
9668	CBHB	24,524,600	\$ 81,176,426
9677	WEIHAI BANK	0	\$ 0
9688	ZAI LAB-SB	0	\$ 0
9698	GDS-SW	6,824,293	\$ 425,835,883
9801	ISHARES CHINA-U	0	\$ 0

### Aggregated Reportable Short Positions of Specified Shares as of 10/09/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9803	PP BEDROCK-U	0	\$ 0
9804	PREMIA VIET-U	0	\$ 0
9805	VANGUARDASXJP-U	0	\$ 0
9806	GX CN CONSUME-U	0	\$ 0
9807	GX CN ROBO&AI-U	0	\$ 0
9809	GX CN CLN EN-U	0	\$ 0
9810	PREMIAEMASEAN-U	0	\$ 0
9812	SAMUNGCN-U	0	\$ 0
9813	CAM CTPB BOND-U	0	\$ 0
9814	SAMUNGFANG-U	0	\$ 0
9817	PP CGOVT BOND-U	0	\$ 0
9820	GX CN BIOTECH-U	0	\$ 0
9826	GX CN CLOUD-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9845	GX CN EV BATT-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9868	XPENG-W	0	\$ 0
9888	BIDU-SW	8,717,384	\$ 1,413,087,946
9901	NEW ORIENTAL-S	3,197,700	\$ 55,767,888
9909	POWERLONG CM	3,337,452	\$ 69,085,256
9911	NEWBORNTOWN	13,566,000	\$ 65,388,120
9922	JIUMAOJIU	94,460,970	\$ 2,427,646,929
9923	YEAHKA	13,778,353	\$ 461,574,826
9926	AKESO-B	12,202,671	\$ 536,917,524
9928	TIMES NEIGHBOR	52,227,008	\$ 228,232,025
9939	KINTOR PHARMA-B	2,822,500	\$ 208,865,000
9961	TRIP.COM-S	576,450	\$ 144,458,370
9966	ALPHAMAB-B	11,397,475	\$ 264,421,420
9968	HUIJING HLDGS	1,910,000	\$ 3,686,300
9969	INNOCARE-B	8,211,446	\$ 174,493,228
9979	GREENTOWN MGMT	10,305,723	\$ 37,100,603
9983	CC NEW LIFE	10,883,000	\$ 58,659,370
9987	YUM CHINA-S	96,620	\$ 46,764,080
9988	BABA-SW	35,945,442	\$ 6,035,239,712
9989	HEPALINK	3,997,500	\$ 36,976,875
9990	ARCHOSAUR GAMES	19,198,855	\$ 180,469,237
9991	BAOZUN-SW	418,155	\$ 25,005,669
9992	POP MART	35,856,598	\$ 2,149,603,050
9993	RADIANCE HLDGS	915,000	\$ 3,934,500
9995	REMEGEN-B	3,006,409	\$ 316,274,227



## Aggregated Reportable Short Positions of Specified Shares as of 10/09/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9996	PEIJIA-B	2,165,836	\$ 49,597,644
9997	KANGJI MEDICAL	23,407,292	\$ 250,926,170
9999	NTES-S	15,974,145	\$ 2,206,029,425
80737	BAY AREA DEV-R	0	\$ 0
82805	VANGUARDASXJP-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82813	CAM CTPB BOND-R	0	\$ 0
82817	PP CGOVT BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	22,700	\$ 410,189
82828	HSCEI ETF-R	0	\$ 0
82832	BOSERA STAR50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
83001	PP CNUSDPROP-R	0	\$ 0
83005	CSOPCSI500ETF-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83031	HT CH A ESG-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83079	CICC CGB-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83085	VANGUARDAXJHY-R	0	\$ 0
83093	CICCSELECT100-R	0	\$ 0
83100	EFUND CSI300-R	0	\$ 0
83108	HGI ESGLEADER-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83122	CSOP RMB MM-R	0	\$ 0
83127	GX CSI300-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83130	HS CSI300-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0
83151	PREMIA STAR50-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83169	VANGUARDCHINA-R	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 10/09/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP CTPBBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0