

## Aggregated Reportable Short Positions of Specified Shares as of 03/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	14,701,047	\$ 734,317,298
2	CLP HOLDINGS	23,917,646	\$ 1,804,586,391
3	HK & CHINA GAS	160,073,621	\$ 1,898,473,145
4	WHARF HOLDINGS	31,447,219	\$ 841,213,108
5	HSBC HOLDINGS	88,677,608	\$ 3,977,190,719
6	POWER ASSETS	17,598,800	\$ 829,783,420
8	PCCW	41,542,692	\$ 165,339,914
10	HANG LUNG GROUP	2,565,492	\$ 44,331,702
11	HANG SENG BANK	19,423,899	\$ 2,775,675,167
12	HENDERSON LAND	36,769,514	\$ 1,200,524,632
13	HUTCHMED	3,901,000	\$ 213,774,800
14	HYSAN DEV	5,863,970	\$ 141,321,677
16	SHK PPT	18,514,072	\$ 1,772,722,394
17	NEW WORLD DEV	24,832,890	\$ 771,061,235
19	SWIRE PACIFIC A	9,331,262	\$ 404,043,645
23	BANK OF E ASIA	7,644,781	\$ 88,679,460
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	71,954,571	\$ 3,054,471,539
28	TIAN AN	0	\$ 0
29	DYNAMIC HOLD	0	\$ 0
31	CHINA AEROSPACE	684,000	\$ 362,520
34	KOWLOON DEV	377,000	\$ 3,822,780
35	FE CONSORT INTL	1,967,848	\$ 4,762,192
38	FIRST TRACTOR	26,442,000	\$ 98,628,660
41	GREAT EAGLE H	163,942	\$ 3,236,215
43	C.P. POKPHAND	0	\$ 0
45	HK&S HOTELS	936,974	\$ 6,586,927
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	108,500	\$ 1,798,930
53	GUOCO GROUP	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
66	MTR CORPORATION	50,377,924	\$ 2,075,570,469
69	SHANGRI-LA ASIA	12,350,016	\$ 77,311,100
71	MIRAMAR HOTEL	0	\$ 0
81	CH OVS G OCEANS	24,559,222	\$ 90,869,121
82	CRAZY SPORTS	19,628,600	\$ 6,575,581
83	SINO LAND	29,209,737	\$ 273,695,236
86	SUN HUNG KAI CO	0	\$ 0
87	SWIRE PACIFIC B	0	\$ 0

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88	TAI CHEUNG HOLD	0	\$ 0
95	LVGEM CHINA	34,984,000	\$ 49,327,440
98	XINGFA ALUM	0	\$ 0
101	HANG LUNG PPT	40,143,170	\$ 613,387,638
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	2,990,000	\$ 5,411,900
116	CHOW SANG SANG	1,300,349	\$ 13,965,748
119	POLY PROPERTY	25,599,036	\$ 50,686,091
120	COSMOPOL INT'L	2,302,000	\$ 3,084,680
123	YUEXIU PROPERTY	13,840,103	\$ 99,233,539
127	CHINESE EST H	7,862,000	\$ 29,403,880
135	KUNLUN ENERGY	83,002,947	\$ 617,541,926
136	HENG TEN NET	178,974,108	\$ 576,296,628
142	FIRST PACIFIC	4,895,000	\$ 13,901,800
144	CHINA MER PORT	18,618,881	\$ 265,132,865
148	KINGBOARD HLDG	2,629,200	\$ 101,355,660
151	WANT WANT CHINA	249,718,693	\$ 1,693,092,739
152	SHENZHEN INT'L	15,518,128	\$ 131,128,182
165	CHINA EB LTD	3,293,177	\$ 31,515,704
168	TSINGTAO BREW	22,678,550	\$ 1,460,498,620
173	K. WAH INT'L	6,009,914	\$ 18,089,841
175	GEELY AUTO	143,671,093	\$ 3,311,618,694
177	JIANGSU EXPRESS	23,395,323	\$ 181,313,753
178	SA SA INT'L	68,047,402	\$ 117,041,531
179	JOHNSON ELEC H	1,711,281	\$ 29,947,418
182	CONCORD NE	42,952,816	\$ 33,932,725
185	ZENSUN ENT	10,708,128	\$ 43,260,837
189	DONGYUE GROUP	32,703,763	\$ 425,148,919
194	LIU CHONG HING	0	\$ 0
200	MELCO INT'L DEV	49,163,949	\$ 487,214,735
207	JOY CITY PPT	0	\$ 0
215	HUTCHTEL HK	21,124,309	\$ 27,039,116
220	U-PRESID CHINA	18,658,594	\$ 145,537,033
225	POKFULAM	0	\$ 0
241	ALI HEALTH	477,390,727	\$ 3,446,761,049
242	SHUN TAK HOLD	18,634,396	\$ 39,691,263
247	TST PROPERTIES	0	\$ 0
256	CITYCHAMP	5,002,177	\$ 6,402,787
257	EB ENVIRONMENT	59,829,451	\$ 327,267,097
261	GBA HOLDINGS	0	\$ 0
267	CITIC	50,563,329	\$ 369,617,935

## Aggregated Reportable Short Positions of Specified Shares as of 03/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
268	KINGDEE INT'L	142,377,164	\$ 3,146,535,324
270	GUANGDONG INV	54,564,436	\$ 564,196,268
272	SHUI ON LAND	47,775,951	\$ 50,164,749
285	BYD ELECTRONIC	76,820,780	\$ 2,204,756,386
286	AIDIGONG	100,810,000	\$ 94,761,400
288	WH GROUP	116,210,001	\$ 554,321,705
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	32,144,757	\$ 2,004,225,599
293	CATHAY PAC AIR	184,540,400	\$ 1,155,222,904
297	SINOFERT	40,597,469	\$ 47,093,064
302	CMGE	39,984,527	\$ 125,951,260
303	VTECH HOLDINGS	4,309,365	\$ 276,014,828
305	WULING MOTORS	27,980,000	\$ 53,162,000
308	CHINA TRAVEL HK	44,213,562	\$ 64,993,936
315	SMARTONE TELE	2,241,079	\$ 9,345,299
316	OOIL	1,071,616	\$ 162,349,824
317	COMEC	706,000	\$ 4,744,320
321	TEXWINCA HOLD	0	\$ 0
322	TINGYI	102,630,511	\$ 1,605,141,192
323	MAANSHAN IRON	20,596,072	\$ 60,758,412
327	PAX GLOBAL	9,949,000	\$ 58,002,670
329	OCI INTL	3,404,000	\$ 10,790,680
330	ESPRIT HOLDINGS	21,472,715	\$ 13,957,265
336	HUABAO INTL	16,421,467	\$ 370,304,081
337	GREENLAND HK	10,021,400	\$ 15,934,026
338	SHANGHAI PEICHEM	75,280,942	\$ 140,022,552
341	CAFE DE CORAL H	5,000,543	\$ 69,007,493
345	VITASOY INT'L	68,207,204	\$ 1,040,841,933
347	ANGANG STEEL	66,434,298	\$ 241,820,845
354	CHINASOFT INT'L	54,714,031	\$ 669,699,739
357	MEILAN AIRPORT	14,355,742	\$ 361,764,698
358	JIANGXI COPPER	50,514,187	\$ 635,468,472
363	SHANGHAI IND H	1,677,062	\$ 19,219,131
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	177,369,428	\$ 521,466,118
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	1,238,000	\$ 2,191,260
382	EDVANTAGE GROUP	1,002,000	\$ 4,819,620
384	CHINA GAS HOLD	113,373,408	\$ 1,614,437,330
386	SINOPEC CORP	241,577,373	\$ 886,588,959
388	HKEX	10,786,078	\$ 4,862,363,962

## Aggregated Reportable Short Positions of Specified Shares as of 03/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
390	CHINA RAILWAY	64,758,784	\$ 252,559,258
392	BEIJING ENT	3,166,882	\$ 83,922,373
398	ORIENTAL WATCH	214,000	\$ 1,155,600
400	COGOBUY	15,551,795	\$ 45,411,241
405	YUEXIU REIT	0	\$ 0
408	YIP'S CHEMICAL	0	\$ 0
410	SOHO CHINA	65,112,401	\$ 115,900,074
412	CSFG	0	\$ 0
416	BANKOFJINZHOU	0	\$ 0
419	HUAYI TENCENT	35,930,000	\$ 8,946,570
425	MINTH GROUP	14,284,661	\$ 520,675,893
435	SUNLIGHT REIT	0	\$ 0
440	DAH SING	440,000	\$ 10,406,000
451	GCL NEWENERGY	214,346,947	\$ 55,730,206
460	SIHUAN PHARM	255,721,875	\$ 383,582,813
467	UNITEDENERGY GP	49,760,582	\$ 35,827,619
468	GAPACK	5,066,805	\$ 13,781,710
480	HKR INT'L	0	\$ 0
486	RUSAL	6,350,000	\$ 45,910,500
488	LAI SUN DEV	0	\$ 0
489	DONGFENG GROUP	34,684,555	\$ 254,584,634
493	GOME RETAIL	1,304,711,457	\$ 874,156,676
506	CHINA FOODS	0	\$ 0
511	TVB	2,876,200	\$ 13,172,996
512	GRAND PHARMA	19,733,304	\$ 123,727,816
517	COSCO SHIP INTL	742,000	\$ 1,765,960
520	XIABUXIABU	33,174,012	\$ 187,101,428
522	ASM PACIFIC	9,635,262	\$ 793,945,589
525	GUANGSHEN RAIL	8,287,972	\$ 10,940,123
535	GEMDALE PPT	90,584,469	\$ 67,938,352
546	FUFENG GROUP	559,000	\$ 1,777,620
547	DIGITAL DOMAIN	17,777,885	\$ 10,666,731
548	SHENZHENEXPRESS	6,096,716	\$ 45,298,600
551	YUE YUEN IND	12,219,828	\$ 168,633,626
552	CHINACOMSERVICE	24,242,738	\$ 90,910,268
558	LK TECH	20,019,207	\$ 350,736,507
563	SH IND URBAN	3,578,000	\$ 2,683,500
564	ZMJ	16,581,369	\$ 144,092,097
570	TRAD CHI MED	124,658,510	\$ 463,729,657
576	ZHEJIANGEXPRESS	78,931,954	\$ 573,835,306
579	JNCEC	27,690,021	\$ 61,471,847

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
580	SUN.KING TECH	22,926,000	\$ 121,966,320
581	CHINA ORIENTAL	32,875,277	\$ 72,983,115
586	CONCH VENTURE	51,795,557	\$ 1,991,539,167
590	LUK FOOK HOLD	519,000	\$ 11,885,100
596	INSPUR INT'L	4,229,998	\$ 16,496,992
598	SINOTRANS	22,017,055	\$ 56,583,831
603	CHINA OIL & GAS	0	\$ 0
604	SHENZHEN INVEST	28,446,638	\$ 50,350,549
606	SCE CM	488,000	\$ 1,717,760
607	FULLSHARE	147,784,305	\$ 15,665,136
622	OSHIDORI	0	\$ 0
631	SANY INT'L	40,399,848	\$ 331,278,754
636	KERRY LOG NET	11,763,690	\$ 262,330,287
639	SHOUGANG RES	23,090,673	\$ 49,644,947
656	FOSUN INTL	42,444,985	\$ 377,335,917
658	C TRANSMISSION	37,275,703	\$ 232,227,630
659	NWS HOLDINGS	12,653,984	\$ 92,627,163
662	ASIA FINANCIAL	0	\$ 0
665	HAITONG INT'L	101,244,144	\$ 167,052,838
667	CHINA EAST EDU	24,503,213	\$ 152,655,017
669	TECHTRONIC IND	16,002,119	\$ 2,749,164,044
670	CHINA EAST AIR	80,703,249	\$ 230,811,292
683	KERRY PPT	4,260,590	\$ 86,489,977
686	BJ ENERGY INTL	0	\$ 0
688	CHINA OVERSEAS	67,922,675	\$ 1,270,154,023
691	SHANSHUI CEMENT	0	\$ 0
694	BEIJING AIRPORT	151,071,163	\$ 688,884,503
696	TRAVELSKY TECH	40,052,539	\$ 519,881,956
697	SHOUCHEG	26,369,200	\$ 45,355,024
698	TONGDA GROUP	71,801,458	\$ 17,950,365
700	TENCENT	34,337,176	\$ 15,884,377,618
708	EVERG VEHICLE	89,556,265	\$ 292,848,987
709	GIORDANO INT'L	925,895	\$ 1,398,101
710	BOE VARITRONIX	12,299,812	\$ 115,126,240
716	SINGAMAS CONT	2,276,000	\$ 2,845,000
728	CHINA TELECOM	35,539,106	\$ 90,980,111
732	TRULY INT'L	23,769,514	\$ 59,423,785
737	BAY AREA DEV	0	\$ 0
743	ASIA CEMENT CH	13,882,096	\$ 67,466,987
746	L & M CHEMICAL	982,000	\$ 7,777,440
750	SFSY ENERGY	20,427,875	\$ 38,812,963

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
751	SKYWORTH GROUP	103,191,892	\$ 528,342,487
753	AIR CHINA	104,116,095	\$ 528,909,763
754	HOPSON DEV HOLD	30,583,671	\$ 562,127,873
762	CHINA UNICOM	6,788,000	\$ 26,269,560
763	ZTE	8,847,742	\$ 183,590,647
769	CHINA RAREEARTH	21,110,000	\$ 16,254,700
772	CHINA LIT	50,963,916	\$ 2,675,605,590
775	CKLIFE SCIENCES	40,918,054	\$ 29,460,999
776	IMPERIUM TEC GP	0	\$ 0
777	NETDRAGON	3,249,554	\$ 58,167,017
778	FORTUNE REIT	0	\$ 0
780	TONGCHENG-ELONG	37,090,482	\$ 630,538,194
788	CHINA TOWER	1,546,454,177	\$ 1,469,131,468
798	CEOVU	0	\$ 0
799	IGG	32,134,400	\$ 225,583,488
806	VALUE PARTNERS	31,241,222	\$ 124,340,064
807	SIICENVIRONMENT	5,938,200	\$ 8,194,716
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	2,472,965	\$ 14,516,305
813	SHIMAO GROUP	160,234,549	\$ 1,443,713,286
817	CHINA JINMAO	117,051,527	\$ 291,458,302
818	HI SUN TECH	23,397,001	\$ 25,034,791
819	TIANNENG POWER	136,482,900	\$ 1,139,632,215
823	LINK REIT	11,520,155	\$ 767,242,323
826	TIANGONG INT'L	19,800,000	\$ 91,080,000
832	CENTRAL CHINA	31,820,052	\$ 32,456,453
836	CHINA RES POWER	84,222,272	\$ 1,907,634,461
839	CHINA EDU GROUP	73,130,464	\$ 1,035,527,370
853	MICROPORT	73,177,593	\$ 2,305,094,180
855	CHINA WATER	59,289,996	\$ 550,804,063
856	VSTECs	2,558,401	\$ 17,678,551
857	PETROCHINA	389,621,489	\$ 1,367,571,426
860	APOLLO FMG	135,922,666	\$ 67,961,333
861	DC HOLDINGS	14,737,120	\$ 58,653,738
863	BC TECH GROUP	7,009,467	\$ 76,403,190
867	CMS	34,659,214	\$ 436,706,096
868	XINYI GLASS	65,042,063	\$ 1,299,540,419
873	SHIMAO SERVICES	52,008,629	\$ 464,437,057
874	BAIYUNSHAN PH	6,010,911	\$ 114,447,745
880	SJM HOLDINGS	126,372,909	\$ 683,677,438
881	ZHONGSHENG HLDG	233,603,891	\$ 15,546,338,946

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883	CNOOC	64,790,594	\$ 499,535,480
884	CIFI HOLD GP	74,038,497	\$ 320,586,692
902	HUANENG POWER	261,349,203	\$ 985,286,495
906	COFCO PACKAGING	2,295,000	\$ 9,409,500
909	MING YUAN CLOUD	91,977,845	\$ 1,857,952,469
914	CONCH CEMENT	27,172,200	\$ 1,009,447,230
916	CHINA LONGYUAN	107,025,894	\$ 1,834,423,823
921	HISENSE HA	1,445,368	\$ 11,244,963
934	SINOPEC KANTONS	20,699,513	\$ 61,270,558
939	CCB	758,799,247	\$ 4,044,399,987
941	CHINA MOBILE	20,566,115	\$ 960,437,571
950	LEE'S PHARM	2,926,502	\$ 10,125,697
951	CHAOWEI POWER	20,679,000	\$ 43,012,320
952	TONGHAI FIN	0	\$ 0
956	CHINA SUNTIEN	54,974,944	\$ 321,053,673
960	LONGFOR GROUP	48,569,456	\$ 1,845,639,328
966	CHINA TAIPING	36,361,512	\$ 400,703,862
968	XINYI SOLAR	335,651,186	\$ 4,725,968,699
973	L'OCCITANE	0	\$ 0
975	MONGOL MINING	1,080,601	\$ 1,988,306
978	CHI MER LAND	0	\$ 0
981	SMIC	12,645,199	\$ 274,400,818
990	THEME INT'L	29,640,000	\$ 20,155,200
991	DATANG POWER	23,722,951	\$ 30,365,377
992	LENOVO GROUP	1,114,765,808	\$ 9,174,522,600
995	ANHUIEXPRESSWAY	4,666,000	\$ 28,229,300
998	CITIC BANK	238,876,292	\$ 824,123,207
1003	HUANXI MEDIA	8,040,000	\$ 11,577,600
1024	KUAISHOU-W	76,543,278	\$ 6,219,141,338
1030	SEAZEN	38,556,840	\$ 204,736,820
1031	KINGSTON FIN	0	\$ 0
1033	SINOPEC SSC	20,168,000	\$ 13,109,200
1038	CKI HOLDINGS	5,034,979	\$ 227,832,800
1044	HENGAN INT'L	60,866,932	\$ 2,334,246,842
1052	YUEXIUTRANSPORT	10,274,300	\$ 49,830,355
1055	CHINA SOUTH AIR	189,915,764	\$ 839,427,677
1060	ALI PICTURES	571,911,525	\$ 446,090,990
1061	ESSEX BIO-TECH	2,419,112	\$ 12,821,294
1066	WEIGAO GROUP	114,264,328	\$ 1,266,048,754
1068	YURUN FOOD	19,532,000	\$ 16,211,560
1070	TCL ELECTRONICS	25,999,367	\$ 108,677,354

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1071	HUADIAN POWER	133,996,917	\$ 349,731,953
1072	DONGFANG ELEC	18,166,504	\$ 245,974,464
1083	TOWNGAS CHINA	15,145,653	\$ 86,481,679
1086	GOODBABY INTL	18,545,720	\$ 21,142,121
1088	CHINA SHENHUA	64,555,733	\$ 1,187,825,487
1091	SOUTH MANGANESE	16,759,000	\$ 16,088,640
1093	CSPC PHARMA	98,302,003	\$ 825,736,825
1098	ROAD KING INFRA	1,014,556	\$ 7,284,512
1099	SINOPHARM	55,936,546	\$ 968,820,977
1108	LUOYANG GLASS	9,002,793	\$ 148,546,085
1109	CHINA RES LAND	53,983,546	\$ 1,805,749,614
1112	H&H INTL HLDG	6,991,477	\$ 93,685,792
1113	CK ASSET	20,406,871	\$ 930,553,318
1114	BRILLIANCE CHI	82,551,694	\$ 602,627,366
1115	TIBET WATER	0	\$ 0
1117	CH MODERN D	372,851,786	\$ 480,978,804
1119	IDREAMSKY	140,276,728	\$ 857,090,808
1121	GOLDENSOLAR	14,080,000	\$ 140,236,800
1122	QINGLING MOTORS	1,903,751	\$ 3,179,264
1128	WYNN MACAU	110,712,181	\$ 704,129,471
1133	HARBIN ELECTRIC	8,293,060	\$ 31,928,281
1137	HK TECH VENTURE	26,534,807	\$ 219,708,202
1138	COSCO SHIP ENGY	64,973,610	\$ 196,220,302
1140	WEALTHKING INV	0	\$ 0
1141	CMBC CAPITAL	243,970,000	\$ 19,273,630
1157	ZOOMLION	99,818,253	\$ 508,074,908
1167	JACOBIO-B	2,906,002	\$ 41,207,108
1171	YANZHOU COAL	252,494,617	\$ 3,605,623,131
1176	ZHUGUANG HOLD	22,292,000	\$ 35,221,360
1177	SINO BIOPHARM	326,008,415	\$ 1,858,247,966
1179	HUAZHU-S	0	\$ 0
1183	MECOM POWER	10,349,001	\$ 35,393,583
1186	CHINA RAIL CONS	1,081,186	\$ 5,438,366
1193	CHINA RES GAS	18,241,830	\$ 755,211,762
1196	REALORD GROUP	9,180,501	\$ 93,641,110
1198	ROYALE HOME	0	\$ 0
1199	COSCO SHIP PORT	11,122,784	\$ 74,745,108
1208	MMG	121,123,366	\$ 346,412,827
1209	CHINA RES MIXC	16,658,765	\$ 664,684,724
1211	BYD COMPANY	31,846,122	\$ 9,483,775,132
1212	LIFESTYLE INT'L	8,877,763	\$ 38,085,603



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1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	0	\$ 0
1231	NEWTON RES	0	\$ 0
1233	TIMES CHINA	18,210,526	\$ 78,123,157
1234	CHINA LILANG	5,056,035	\$ 21,740,951
1238	POWERLONG	28,023,342	\$ 130,588,774
1250	BE CLEAN ENERGY	278,437,559	\$ 26,173,131
1252	CHINA TIANRUI	1,775,000	\$ 9,780,250
1257	CEB GREENTECH	29,632,036	\$ 80,895,458
1258	CHINFMINING	30,317,000	\$ 87,919,300
1268	MEIDONG AUTO	18,494,000	\$ 681,503,900
1271	GRAND MING	0	\$ 0
1277	KINETIC DEV	0	\$ 0
1282	GLORY SUN FIN	60,224,000	\$ 13,791,296
1288	ABC	363,317,661	\$ 973,691,331
1299	AIA	47,586,395	\$ 3,868,773,914
1302	LIFETECH SCI	109,575,659	\$ 435,015,366
1308	SITC	60,853,397	\$ 1,889,497,977
1310	HKBN	16,753,978	\$ 163,518,825
1313	CHINARES CEMENT	80,871,630	\$ 479,568,766
1316	NEXTEER	37,083,172	\$ 373,798,374
1317	MAPLELEAF EDU	249,504,057	\$ 217,068,530
1323	HUASHENG INTL	8,074,000	\$ 15,098,380
1330	DYNAGREEN ENV	1,780,008	\$ 7,191,232
1332	TOUYUN BIOTECH	0	\$ 0
1333	CHINA ZHONGWANG	57,664,323	\$ 96,876,063
1336	NCI	19,734,976	\$ 416,407,994
1337	RAZER	147,741,665	\$ 367,876,746
1339	PICC GROUP	103,035,674	\$ 239,042,764
1347	HUA HONG SEMI	23,852,789	\$ 1,184,290,974
1349	FUDANZHANGJIANG	6,331,998	\$ 26,024,512
1359	CHINA CINDA	209,701,554	\$ 270,515,005
1361	361 DEGREES	26,851,508	\$ 103,915,336
1366	JIANGNAN GP	0	\$ 0
1368	XTEP INT'L	141,723,340	\$ 1,439,909,134
1375	CC SECURITIES	4,981,000	\$ 7,073,020
1378	CHINAHONGQIAO	198,295,738	\$ 1,481,269,163
1381	CANVEST ENV	3,824,000	\$ 15,907,840
1382	PACIFICTEXTILES	9,488,971	\$ 38,809,891
1385	SHANGHAI FUDAN	10,742,000	\$ 296,479,200

## Aggregated Reportable Short Positions of Specified Shares as of 03/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1387	CHINA DILI	0	\$ 0
1398	ICBC	955,234,499	\$ 4,164,822,416
1415	COWELL	6,901,227	\$ 76,189,546
1426	SPRING REIT	0	\$ 0
1432	CHINA SHENGMU	2,733,000	\$ 1,475,820
1448	FU SHOU YUAN	18,696,960	\$ 115,360,243
1456	GUOLIAN SEC	1,261,000	\$ 5,006,170
1458	ZHOU HEI YA	129,288,109	\$ 895,966,595
1468	KINGKEY FIN INT	8,480,000	\$ 5,172,800
1475	NISSIN FOODS	10,634,313	\$ 60,296,555
1476	HENGTOU SEC	0	\$ 0
1477	OCUMENSION-B	6,926,352	\$ 114,146,281
1478	Q TECH	15,970,153	\$ 191,003,030
1503	CMC REIT	0	\$ 0
1508	CHINA RE	21,580,509	\$ 15,969,577
1513	LIVZON PHARMA	4,537,440	\$ 112,755,384
1515	CR MEDICAL	18,083,333	\$ 87,161,665
1516	SUNAC SERVICES	10,316,332	\$ 118,637,818
1521	FRONTAGE	15,266,172	\$ 72,208,994
1528	RS MACALLINE	3,079,521	\$ 12,164,108
1530	3SBIO	122,368,279	\$ 828,433,249
1548	GENSCRIPT BIO	23,330,025	\$ 914,536,980
1551	GRCB	0	\$ 0
1558	HEC PHARM	29,577,196	\$ 165,336,526
1563	IA FIN LEASING	0	\$ 0
1566	CA CULTURAL	17,685,000	\$ 10,787,850
1568	SUNDART HLDGS	0	\$ 0
1576	QILU EXPRESSWAY	0	\$ 0
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	88,881,968	\$ 3,924,138,887
1585	YADEA	37,189,359	\$ 521,394,813
1589	CNLP	60,429,802	\$ 256,222,360
1600	TIAN LUN GAS	3,074,501	\$ 23,888,873
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	23,659,661	\$ 29,811,173
1610	COFCO JOYCOME	175,582,949	\$ 460,027,326
1618	MCC	30,776,111	\$ 67,707,444
1622	REDCO GROUP	58,185,740	\$ 133,827,202
1628	YUZHOU GROUP	138,687,457	\$ 104,015,593
1638	KAISA GROUP	130,503,005	\$ 121,367,795
1658	PSBC	670,454,926	\$ 3,767,956,684

## Aggregated Reportable Short Positions of Specified Shares as of 03/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1660	ZHAOBANGJI PPT	1,352,000	\$ 648,960
1666	TONG REN TANG	7,023,996	\$ 43,478,535
1668	CHINASOUTHCITY	113,288,000	\$ 57,776,880
1672	ASCLETIS-B	9,275,000	\$ 29,030,750
1675	ASIAINFO TECH	2,618,800	\$ 32,682,624
1680	MACAU LEGEND	6,372,000	\$ 3,823,200
1681	CONSUN PHARMA	1,578,000	\$ 5,885,940
1686	SUNEVISION	10,461,648	\$ 69,360,726
1691	JS GLOBAL LIFE	39,445,619	\$ 579,850,599
1696	SISRAM MED	930,024	\$ 9,932,656
1709	DL HOLDINGS GP	867,000	\$ 2,254,200
1717	AUSNUTRIA	22,813,091	\$ 203,036,510
1725	HK AERO TECH	357,800	\$ 11,127,580
1755	S-ENJOY SERVICE	8,562,400	\$ 110,283,712
1760	INTRON TECH	1,748,000	\$ 9,509,120
1763	CIRC	1,060,600	\$ 24,075,620
1765	HOPE EDU	250,980,960	\$ 391,530,298
1766	CRRC	66,378,004	\$ 235,641,914
1769	SCHOLAR EDU	10,631,000	\$ 23,175,580
1772	GANFENGLITHIUM	16,955,468	\$ 2,441,587,392
1773	TIANLI EDU	67,466,996	\$ 128,861,962
1776	GF SEC	21,721,649	\$ 311,922,880
1777	FANTASIA	41,065,412	\$ 12,935,605
1778	COLOUR LIFE	11,475,549	\$ 13,311,637
1787	SD GOLD	62,368,791	\$ 840,731,303
1788	GUOTAI JUNAN I	54,275,778	\$ 58,617,840
1789	AK MEDICAL	64,442,794	\$ 423,389,157
1797	KOOLEARN	53,013,773	\$ 427,291,010
1798	DATANG RENEW	147,805,840	\$ 459,676,162
1799	XINTE ENERGY	22,779,612	\$ 369,029,714
1800	CHINA COMM CONS	8,031,034	\$ 33,650,032
1801	INNOVENT BIO	42,768,490	\$ 2,839,827,736
1810	XIAOMI-W	362,046,181	\$ 7,117,827,918
1811	CGN NEW ENERGY	67,527,617	\$ 462,564,176
1812	CHENMING PAPER	32,809,910	\$ 124,677,658
1813	KWG GROUP	38,188,517	\$ 223,020,939
1816	CGN POWER	124,930,025	\$ 273,596,755
1817	MULSANNE GROUP	783,000	\$ 4,666,680
1818	ZHAOJIN MINING	58,270,535	\$ 415,468,915
1821	ESR	33,145,112	\$ 828,627,800
1830	PERFECT MEDICAL	6,608,000	\$ 39,912,320

## Aggregated Reportable Short Positions of Specified Shares as of 03/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1833	PA GOODDOCTOR	133,584,974	\$ 3,780,454,764
1836	STELLA HOLDINGS	507,500	\$ 4,562,425
1848	CALC	1,506,152	\$ 7,621,129
1857	CEB WATER	0	\$ 0
1858	CHUNLI MEDICAL	6,754,700	\$ 118,072,156
1860	MOBVISTA	24,727,000	\$ 155,780,100
1862	JINGRUI HLDGS	10,590,000	\$ 20,544,600
1866	CHINA XLX FERT	3,002,000	\$ 14,829,880
1873	VIVA BIOTECH	80,301,917	\$ 415,160,911
1876	BUD APAC	142,510,053	\$ 2,778,946,034
1877	JUNSHI BIO	10,030,923	\$ 454,902,358
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	9,430,615	\$ 197,571,384
1883	CITIC TELECOM	5,828,494	\$ 15,562,079
1888	KB LAMINATES	26,733,571	\$ 369,457,951
1890	CHINA KEPEI	10,606,000	\$ 41,257,340
1896	MAOYAN ENT	13,251,633	\$ 116,216,821
1898	CHINA COAL	224,300,866	\$ 1,002,624,871
1899	XINGDA INT'L	3,394,981	\$ 5,669,618
1902	YINCHENG INTL	4,198,000	\$ 11,670,440
1905	HAITONG UT	0	\$ 0
1907	CHINA RISUN GP	23,015,000	\$ 110,932,300
1908	C&D INTL GROUP	11,407,772	\$ 170,432,114
1909	FIRE ROCK	19,618,000	\$ 33,154,420
1910	SAMSONITE	37,243,937	\$ 540,781,965
1911	CR HOLDINGS	4,090,000	\$ 64,213,000
1913	PRADA	4,590,980	\$ 240,567,352
1916	JIANGXI BANK	0	\$ 0
1918	SUNAC	111,283,784	\$ 1,497,879,733
1919	COSCO SHIP HOLD	355,553,630	\$ 4,828,418,295
1928	SANDS CHINA LTD	87,755,470	\$ 1,535,720,725
1929	CHOW TAI FOOK	84,378,230	\$ 1,186,357,914
1931	IVD MEDICAL	0	\$ 0
1951	JXR	117,897,789	\$ 1,214,347,227
1952	EVEREST MED-B	1,908,409	\$ 64,313,383
1958	BAIC MOTOR	108,651,211	\$ 341,164,803
1963	BCQ	1,181,809	\$ 5,140,869
1966	CHINA SCE GROUP	26,911,780	\$ 55,707,385
1967	CONFIDENCE IN	66,000	\$ 1,689,600
1970	IMAX CHINA	2,112,593	\$ 26,914,435
1972	SWIREPROPERTIES	13,686,136	\$ 253,740,961

## Aggregated Reportable Short Positions of Specified Shares as of 03/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1981	CATHAY EDU	11,546,000	\$ 27,941,320
1988	MINSHENG BANK	946,320,902	\$ 2,943,058,005
1992	FOSUN TOURISM	6,162,006	\$ 61,188,720
1995	CIFI ES SERVICE	48,889,400	\$ 644,362,292
1996	RSUN PPT	26,157,840	\$ 56,239,356
1997	WHARF REIC	58,007,418	\$ 2,323,197,091
1999	MAN WAH HLDGS	29,607,307	\$ 341,076,177
2001	NEW HIGHER EDU	16,354,000	\$ 56,257,760
2005	SSY GROUP	61,308,841	\$ 221,938,004
2006	JINJIANGCAPITAL	4,625,654	\$ 13,368,140
2007	COUNTRY GARDEN	412,054,459	\$ 2,909,104,481
2009	BBMG	42,389,569	\$ 50,443,587
2013	WEIMOB INC	321,009,002	\$ 2,718,946,247
2015	LI AUTO-W	404,800	\$ 54,850,400
2016	CZBANK	0	\$ 0
2018	AAC TECH	69,875,268	\$ 2,340,821,478
2019	DEXIN CHINA	22,903,889	\$ 58,175,878
2020	ANTA SPORTS	69,759,689	\$ 8,873,432,441
2038	FIH	98,697,932	\$ 120,411,477
2039	CIMC	25,824,528	\$ 391,499,844
2048	E-HOUSE ENT	47,586,148	\$ 89,937,820
2057	ZTO EXPRESS-SW	125,800	\$ 30,670,040
2066	SHENGJINGBANK	0	\$ 0
2096	SIMCERE PHARMA	15,894,379	\$ 140,824,198
2098	ZALL SMARTCOM	2,517,000	\$ 1,157,820
2099	CHINAGOLDINTL	2,250,000	\$ 46,125,000
2101	FULU HOLDINGS	811,000	\$ 6,285,250
2103	SINIC HOLDINGS	2,835,000	\$ 1,417,500
2117	DATANG GROUP	4,603,000	\$ 18,412,000
2126	JW THERAP-B	10,258,083	\$ 119,814,409
2127	HUISEN INTL	10,312,000	\$ 22,583,280
2128	CHINA LESSO	8,206,000	\$ 92,727,800
2131	NETJOY	14,368,000	\$ 43,391,360
2138	EC HEALTHCARE	3,580,000	\$ 43,532,800
2139	BANK OF GANSU	0	\$ 0
2142	HBM HOLDINGS-B	3,052,000	\$ 27,010,200
2148	VESYNC	15,467,000	\$ 166,115,580
2156	C&D PROPERTY	572,000	\$ 2,350,920
2158	YIDU TECH	16,129,707	\$ 334,691,420
2160	CARDIOFLOW-B	15,226,467	\$ 71,564,395
2162	KEYMED BIO-B	486,000	\$ 23,716,800

## Aggregated Reportable Short Positions of Specified Shares as of 03/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2163	BROAD HOMES	4,764,300	\$ 46,499,568
2168	KAISA PROSPER	1,293,096	\$ 17,249,901
2171	CARSGEN-B	2,178,000	\$ 87,228,900
2186	LUYE PHARMA	118,691,215	\$ 432,036,023
2190	ZYLOXTB-B	189,500	\$ 4,927,000
2196	FOSUN PHARMA	22,684,112	\$ 842,714,761
2198	CHINA SANJIANG	21,072,200	\$ 51,416,168
2202	CHINA VANKE	48,430,334	\$ 897,898,392
2208	GOLDWIND	81,558,649	\$ 1,370,185,303
2219	CHAOJU EYE CARE	170,000	\$ 1,099,900
2231	JY GRANDMARK	0	\$ 0
2232	CRYSTAL INTL	0	\$ 0
2233	WESTCHINACEMENT	45,424,432	\$ 60,868,739
2238	GAC GROUP	101,529,213	\$ 815,279,580
2269	WUXI BIO	37,119,399	\$ 3,830,721,977
2282	MGM CHINA	81,835,376	\$ 412,450,295
2313	SHENZHOU INTL	17,196,603	\$ 2,651,716,183
2314	LEE & MAN PAPER	31,113,575	\$ 172,680,341
2318	PING AN	443,070,974	\$ 24,568,285,508
2319	MENGNU DAIRY	57,318,561	\$ 2,547,810,036
2322	HK CHAOSHANG GP	2,168,000	\$ 2,081,280
2328	PICC P&C	104,508,703	\$ 712,749,354
2331	LI NING	45,975,640	\$ 4,020,569,718
2333	GREATWALL MOTOR	135,961,250	\$ 4,303,173,563
2337	UNITED STRENGTH	104,000	\$ 1,162,720
2338	WEICHAJ POWER	77,099,778	\$ 1,249,016,404
2342	COMBA	82,073,269	\$ 146,911,152
2343	PACIFIC BASIN	393,043,330	\$ 1,214,503,890
2356	DAHSHING BANKING	767,463	\$ 5,280,145
2357	AVICHINA	78,588,879	\$ 411,019,837
2359	WUXI APTEC	35,866,867	\$ 6,237,248,171
2362	JINCHUAN INTL	141,558,069	\$ 171,285,263
2378	PRU	0	\$ 0
2380	CHINA POWER	329,738,608	\$ 1,361,820,451
2382	SUNNY OPTICAL	34,859,622	\$ 8,198,983,094
2386	SINOPEC SEG	15,158,357	\$ 59,875,510
2388	BOC HONG KONG	69,265,127	\$ 1,679,679,330
2400	XD INC	26,576,918	\$ 1,195,961,310
2500	VENUS MEDTECH-B	28,959,377	\$ 922,356,157
2518	AUTOHOME-S	0	\$ 0
2552	HUA MEDICINE-B	7,693,000	\$ 31,079,720

## Aggregated Reportable Short Positions of Specified Shares as of 03/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2588	BOC AVIATION	10,880,663	\$ 602,788,730
2599	SHINSUN HOLD GP	14,509,000	\$ 16,540,260
2600	CHALCO	317,114,908	\$ 1,227,234,694
2601	CPIC	32,354,184	\$ 742,528,523
2607	SH PHARMA	19,834,970	\$ 287,607,065
2611	GTJA	4,407,552	\$ 47,866,015
2616	CSTONE PHARMA-B	14,158,539	\$ 143,001,244
2618	JD LOGISTICS	55,792,448	\$ 1,436,655,536
2628	CHINA LIFE	127,443,885	\$ 1,672,063,771
2638	HKELECTRIC-SS	59,461,520	\$ 454,286,013
2666	UNI MEDICAL	19,323,965	\$ 104,929,130
2669	CHINA OVS PPT	44,961,997	\$ 330,920,298
2678	TEXHONG TEXTILE	4,727,000	\$ 48,877,180
2688	ENN ENERGY	28,383,175	\$ 4,172,326,725
2689	ND PAPER	49,834,274	\$ 460,967,035
2696	HENLIUS	1,464,599	\$ 38,885,103
2727	SH ELECTRIC	84,085,456	\$ 195,919,112
2768	JIAYUAN INTL	33,624,624	\$ 99,865,133
2772	ZHONGLIANG HLDG	35,435,863	\$ 125,442,955
2777	R&F PROPERTIES	213,967,249	\$ 877,265,721
2778	CHAMPION REIT	0	\$ 0
2798	PER ENERGY	22,225,000	\$ 38,893,750
2799	CHINA HUARONG	146,679,708	\$ 149,613,302
2800	TRACKER FUND	39,024,496	\$ 933,465,944
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PP BEDROCK	0	\$ 0
2804	PREMIA VIET	0	\$ 0
2806	GX CN CONSUME	0	\$ 0
2807	GX CN ROBO&AI	0	\$ 0
2809	GX CN CLN EN	0	\$ 0
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMSUNGCDGN	0	\$ 0
2813	CAM CTPB BOND	0	\$ 0
2814	SAMSUNG FANG	0	\$ 0
2817	PP CGOVT BOND	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2820	GX CN BIOTECH	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	30,149,200	\$ 545,097,536

### Aggregated Reportable Short Positions of Specified Shares as of 03/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2823	ISHARES A50	54,810,816	\$ 1,016,192,529
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2826	GX CN CLOUD	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	3,626,000	\$ 311,400,880
2829	ISHARESCGB	0	\$ 0
2832	BOSERA STAR50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2845	GX CN EV BATT	278,065	\$ 57,781,907
2846	ISHARESCSI300	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	18,856,528	\$ 26,399,139
2866	COSCO SHIP DEV	69,589,491	\$ 105,080,131
2869	GREENTOWN SER	90,558,705	\$ 662,889,721
2877	SHINEWAY PHARM	2,370,000	\$ 16,850,700
2878	SOLOMON SYSTECH	28,104,000	\$ 21,921,120
2883	CHINA OILFIELD	89,320,776	\$ 568,080,135
2888	STANCHART	1,248,150	\$ 55,792,305
2899	ZIJIN MINING	221,763,495	\$ 2,230,940,760
3001	PP CNUSDPROP	0	\$ 0
3005	CSOPCSI500ETF	0	\$ 0
3007	TRFXIC50	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3011	A ICBCICUSD	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0
3022	ISHAREMSCIEM	0	\$ 0
3023	PA AI&ROBOTICS	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X TRMSCIRUSSIA	0	\$ 0
3031	HT CH A ESG	0	\$ 0
3032	HSTECH ETF	0	\$ 0



## Aggregated Reportable Short Positions of Specified Shares as of 03/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3033	CSOP HS TECH	0	\$ 0
3036	TRMSCITAIWAN	0	\$ 0
3037	CSOP HSI ETF	0	\$ 0
3040	GX MSCI CHINA	0	\$ 0
3043	TRMSCIPACEXJ	0	\$ 0
3047	F SSIFIRONORE	0	\$ 0
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3050	GX CN GL LEADER	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3058	A GXCINNOVATOR	0	\$ 0
3065	TRMSCISG	0	\$ 0
3067	ISHARESHSTECH	0	\$ 0
3069	CAM HSBIOTECH	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3071	A CICC HKD	0	\$ 0
3072	NIKKOAM INET	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3077	PREMIA UST	0	\$ 0
3079	CICC CGB	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3086	CAM NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3088	CAM HS TECH	0	\$ 0
3091	A NIKKOAMGAME	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3093	CICCSELECT100	0	\$ 0
3096	CSOP USD MM	0	\$ 0
3097	F GX OIL	0	\$ 0
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI300	0	\$ 0
3108	HGI ESGLEADER	0	\$ 0
3109	CSOP STAR 50	0	\$ 0
3110	GX HS HIGH DIV	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3117	GX CN GAME&ENT	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 03/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3119	GX ASIA SEMICON	0	\$ 0
3121	CAM AP REAL EST	0	\$ 0
3122	CSOP RMB MM	0	\$ 0
3124	GX CN ECOM&LOG	0	\$ 0
3125	ISHARESSDCPBB	0	\$ 0
3127	GX CSI300	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3130	HS CSI300	0	\$ 0
3132	SAMSUNG SEMICON	0	\$ 0
3134	CSOP CSI PV	0	\$ 0
3141	CAM ASIA IGB	0	\$ 0
3143	CAM HK BANKS	0	\$ 0
3145	CAM ASIA HIGHDV	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3151	PREMIA STAR50	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	CAM JAPAN HDG	0	\$ 0
3162	CSOP SMARTDRIVE	0	\$ 0
3163	PA MULTI-FACTOR	0	\$ 0
3165	CAM EU QLTY HDG	0	\$ 0
3166	PA QLTY FACTOR	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0
3173	PP CN NEWECON	0	\$ 0
3174	CSOP HEALTHCARE	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3176	HS CHNEWECON	0	\$ 0
3181	PREMIAASIA TEC	0	\$ 0
3182	WISE NEW ECON50	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3187	SAMSUNG REITS	0	\$ 0
3188	CAM CSI300	12,075,425	\$ 736,600,925
3191	GX CN SEMICON	0	\$ 0
3193	CSOP CSI 5G	0	\$ 0
3194	CSOP CLOUD ETF	0	\$ 0
3197	CAM MSCI AINC	0	\$ 0
3198	PING AN 5HANDL	0	\$ 0
3199	CSOP CTPBBOND	0	\$ 0
3300	CHINA GLASS	27,328,000	\$ 52,469,760
3301	RONSHINECHINA	32,967,200	\$ 96,264,224
3306	JNBY	3,264,000	\$ 43,802,880

## Aggregated Reportable Short Positions of Specified Shares as of 03/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3308	GOLDEN EAGLE	809,000	\$ 5,622,550
3309	C-MER EYE	14,726,309	\$ 101,169,743
3311	CHINA STATE CON	21,671,983	\$ 189,629,851
3316	BINJIANG SER	423,788	\$ 9,153,821
3318	CHINA BOTON	6,683,146	\$ 32,947,910
3319	A-LIVING	59,428,898	\$ 1,030,497,091
3320	CHINARES PHARMA	24,456,020	\$ 84,128,709
3323	CNBM	90,907,705	\$ 814,533,037
3328	BANKCOMM	112,984,760	\$ 536,677,610
3331	VINDA INT'L	43,000,165	\$ 898,703,449
3333	EVERGRANDE	237,460,821	\$ 534,286,847
3336	JU TENG INTL	1,983,424	\$ 2,399,943
3339	LONKING	46,338,476	\$ 98,700,954
3347	TIGERMED	4,791,178	\$ 583,086,363
3360	FE HORIZON	148,323,686	\$ 1,038,265,802
3377	SINO-OCEAN GP	157,367,121	\$ 310,013,228
3380	LOGAN GROUP	55,014,160	\$ 409,855,492
3383	AGILE GROUP	71,420,083	\$ 364,956,624
3393	WASION HOLDINGS	766,000	\$ 2,229,060
3396	LEGENDHOLDING	7,830,709	\$ 95,847,878
3600	MODERN DENTAL	3,371,000	\$ 21,068,750
3606	FUYAO GLASS	18,305,870	\$ 785,321,823
3613	TONGRENTANGCM	5,143,088	\$ 55,339,627
3618	CQRC BANK	35,462,554	\$ 97,167,398
3633	ZHONGYU GAS	0	\$ 0
3662	AOYUAN HEALTHY	20,011,731	\$ 60,635,545
3668	YANCOAL AUS	0	\$ 0
3669	YONGDA AUTO	25,495,012	\$ 292,172,838
3690	MEITUAN-W	54,138,448	\$ 13,058,193,658
3692	HANSOH PHARMA	21,056,434	\$ 354,590,349
3700	INKE	7,720,991	\$ 11,735,906
3709	EEKA FASHION	1,136,500	\$ 12,342,390
3738	VOBILE GROUP	78,098,487	\$ 517,792,969
3759	PHARMARON	8,014,971	\$ 1,223,886,072
3799	DALI FOODS	70,616,161	\$ 300,824,846
3800	GCL-POLY ENERGY	704,606,604	\$ 1,895,391,765
3808	SINOTRUK	18,597,305	\$ 218,704,307
3813	POU SHENG INT'L	22,587,954	\$ 26,202,027
3818	CHINA DONGXIANG	106,415,555	\$ 76,619,200
3836	HARMONY AUTO	10,461,500	\$ 44,252,145
3866	BQD	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 03/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3868	XINYI ENERGY	78,406,953	\$ 318,332,229
3877	CSSC SHIPPING	7,416,000	\$ 7,935,120
3883	CHINA AOYUAN	111,268,764	\$ 198,058,400
3888	KINGSOFT	65,361,870	\$ 2,173,282,178
3898	TIMES ELECTRIC	22,396,097	\$ 1,053,736,364
3899	CIMC ENRIC	76,525,347	\$ 869,327,942
3900	GREENTOWN CHINA	76,386,053	\$ 960,936,547
3908	CICC	38,824,921	\$ 797,852,127
3913	KWG LIVING	25,085,503	\$ 115,895,024
3918	NAGACORP	48,372,934	\$ 321,196,282
3933	UNITED LAB	19,013,741	\$ 88,413,896
3958	DFZQ	16,193,600	\$ 112,707,456
3968	CM BANK	207,984,378	\$ 13,300,600,973
3969	CHINA CRSC	53,435,529	\$ 146,947,705
3983	CHINA BLUECHEM	15,015,062	\$ 34,534,643
3988	BANK OF CHINA	635,381,617	\$ 1,753,653,263
3990	MIDEA REAL EST	7,721,399	\$ 100,069,331
3993	CMOC	243,189,102	\$ 1,172,171,472
3996	CH ENERGY ENG	50,750,000	\$ 66,482,500
3998	BOSIDENG	406,772,929	\$ 2,135,557,877
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	82,815,136	\$ 1,626,489,271
6049	POLY PPT SER	6,963,409	\$ 355,133,859
6055	CTIHK	5,626,303	\$ 101,048,402
6060	ZA ONLINE	81,135,138	\$ 2,105,456,831
6066	CSC	47,364,536	\$ 409,703,236
6068	WISDOM EDU INTL	22,642,923	\$ 33,285,097
6069	SHENGYE CAPITAL	5,610,000	\$ 40,335,900
6078	HYGEIA HEALTH	16,453,410	\$ 974,041,872
6088	FIT HON TENG	51,323,352	\$ 85,709,998
6093	HEVOL SERVICES	0	\$ 0
6098	CG SERVICES	43,548,859	\$ 2,018,489,615
6099	CMSC	12,086,407	\$ 148,179,350
6100	TONGDAO LIEPIN	617,200	\$ 10,480,056
6110	TOPSPORTS	86,032,069	\$ 775,148,942
6111	DAFA PPT	1,532,000	\$ 6,587,600

## Aggregated Reportable Short Positions of Specified Shares as of 03/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6118	AUSTAR	400,000	\$ 5,704,000
6122	JIUTAI RCB	0	\$ 0
6127	JOINN	1,000,541	\$ 91,449,447
6138	HARBIN BANK	0	\$ 0
6158	ZHENRO PPT	81,489,583	\$ 320,254,061
6160	BEIGENE	2,645,309	\$ 563,979,879
6169	YUHUA EDU	76,446,117	\$ 235,454,040
6178	EB SECURITIES	11,126,806	\$ 67,205,908
6185	CANSINO-BIO-B	14,312,742	\$ 2,195,574,623
6186	CHINA FEIHE	112,817,651	\$ 1,122,535,627
6196	BANKOFZHENGZHOU	443,000	\$ 806,260
6198	QINGDAO PORT	1,625,774	\$ 6,795,735
6199	BANK OF GUIZHOU	0	\$ 0
6600	SCICLONE PHARMA	270,500	\$ 2,258,675
6601	CHEERWIN GP	4,707,500	\$ 14,357,875
6606	NH HEALTH-B	4,266,403	\$ 110,926,478
6608	BAIRONG-W	382,000	\$ 3,781,800
6618	JD HEALTH	53,030,351	\$ 3,356,821,218
6666	EVERG SERVICES	52,324,813	\$ 190,985,567
6668	E-STAR CM	0	\$ 0
6677	SINO-OCEAN SERV	237,000	\$ 919,560
6690	HAIER SMARTHOME	84,706,081	\$ 2,617,417,903
6806	SWHY	12,463,200	\$ 24,552,504
6808	SUNART RETAIL	184,736,499	\$ 546,820,037
6818	CEB BANK	26,366,592	\$ 71,717,130
6823	HKT-SS	32,441,845	\$ 343,883,557
6826	HAOHAI BIOTEC	1,936,943	\$ 104,304,381
6830	HUAZHONG IN-V	5,742,000	\$ 16,249,860
6837	HAITONG SEC	46,719,327	\$ 317,691,424
6855	ASCENTAGE-B	6,260,739	\$ 188,448,244
6862	HAIDILAO	165,223,354	\$ 3,010,369,510
6865	FLAT GLASS	91,583,749	\$ 3,045,159,654
6868	TENFU	0	\$ 0
6869	YOFC	3,054,956	\$ 39,225,635
6878	DIFFER GROUP	136,878,000	\$ 213,529,680
6881	CGS	53,500,974	\$ 238,614,344
6886	HTSC	20,575,021	\$ 248,134,753
6889	DYNAM JAPAN	0	\$ 0
6900	SUNKWAN PPT	6,368,000	\$ 14,582,720
6908	HG SEMI	135,000	\$ 942,300
6958	ZHENRO SERVICES	2,793,000	\$ 13,043,310

## Aggregated Reportable Short Positions of Specified Shares as of 03/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6968	GANGLONG CHINA	8,590,000	\$ 35,562,600
6969	SMOORE INTL	107,270,608	\$ 5,202,624,488
6978	IMMUNOTECH-B	1,908,521	\$ 28,627,815
6988	JOY SPREADER	18,936,000	\$ 40,523,040
6989	EXCELLENCE CM	3,022,535	\$ 13,480,506
6993	BLUE MOON GROUP	68,856,520	\$ 498,521,205
6996	ANTENGENE-B	5,669,055	\$ 60,999,032
6998	GENOR-B	4,719,156	\$ 36,620,651
6999	LEADING HLDGS	2,448,000	\$ 9,669,600
7200	FL2 CSOP HSI	0	\$ 0
7226	XL2CSOPHSTECH	25,148,400	\$ 87,365,542
7230	FL2 GX HSCEI	0	\$ 0
7231	FL2 GX HSI	0	\$ 0
7232	FL2FBFTTAIWAN	0	\$ 0
7233	XL2CSOPCSI300	0	\$ 0
7248	XL2 CSOP A50	0	\$ 0
7261	FL2CAMNDQ100	0	\$ 0
7266	FL2CSOPNASDAQ	0	\$ 0
7272	XL2CAMCSI300	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7299	FL2CSOPGOLD	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7322	XI GX S&P500	0	\$ 0
7331	FICAMNDQ100	0	\$ 0
7332	FIFBFTTAIWAN	0	\$ 0
7333	XICSOPCSI300	0	\$ 0
7336	FI GX HSI	0	\$ 0
7345	FI CSOP OIL	0	\$ 0
7348	XI CSOP A50	0	\$ 0
7362	FI GX HSCEI	0	\$ 0
7373	XICAMCSI300	0	\$ 0
7374	FI CSOPGOLD	0	\$ 0
7500	FI2 CSOP HSI	0	\$ 0
7522	FI2CAMNDQ100	0	\$ 0
7552	XI2CSOPHSTECH	0	\$ 0
7568	FI2CSOPNASDAQ	0	\$ 0
7588	FI2 CSOP HSCEI	0	\$ 0
8032	VIVA CHINA	53,273,143	\$ 85,769,760
8075	MEDIA ASIA	0	\$ 0
8083	CHINA YOUZAN	507,338,048	\$ 334,843,112
8137	HONBRIDGE	11,460,000	\$ 4,240,200

## Aggregated Reportable Short Positions of Specified Shares as of 03/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9001	PP CNUSDPROP-U	0	\$ 0
9010	ISHARES AXJ-U	0	\$ 0
9011	A ICBCICCUSD-U	0	\$ 0
9022	ISHAREMSCIEM-U	0	\$ 0
9031	HT CH A ESG-U	0	\$ 0
9047	F SSIFIRONORE-U	0	\$ 0
9067	ISHARESHSTECH-U	0	\$ 0
9069	CAM HSBIOTECH-U	0	\$ 0
9072	NIKKOAM INET-U	0	\$ 0
9074	ISHAREMSCITW-U	0	\$ 0
9077	PREMIA UST-U	0	\$ 0
9078	PREMIA UST A-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9086	CAM NASDAQ100-U	0	\$ 0
9088	CAM HS TECH-U	0	\$ 0
9091	A NIKKOAMGAME-U	0	\$ 0
9096	CSOP USD MM-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9125	ISHARESSDCPBB-U	0	\$ 0
9141	CAM ASIA IGB-U	0	\$ 0
9151	PREMIA STAR50-U	0	\$ 0
9167	ICBCCSOPCHINA-U	0	\$ 0
9173	PP CN NEWCON-U	0	\$ 0
9181	PREMIAASIA TEC-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9187	SAMSUNG REITS-U	0	\$ 0
9191	GX CN SEMICON-U	0	\$ 0
9618	JD-SW	17,714,841	\$ 5,697,092,866
9626	BILIBILI-SW	3,113,247	\$ 1,400,961,150
9633	NONGFU SPRING	75,541,356	\$ 3,524,004,257
9666	JINKE SERVICES	3,335,136	\$ 109,392,461
9668	CBHB	22,142,639	\$ 50,263,791
9677	WEIHAI BANK	0	\$ 0
9688	ZAI LAB-SB	71,250	\$ 39,365,625
9698	GDS-SW	10,653,689	\$ 560,384,041
9801	ISHARES CHINA-U	0	\$ 0
9803	PP BEDROCK-U	0	\$ 0
9804	PREMIA VIET-U	0	\$ 0
9806	GX CN CONSUME-U	0	\$ 0
9807	GX CN ROBO&AI-U	0	\$ 0
9809	GX CN CLN EN-U	0	\$ 0

## Aggregated Reportable Short Positions of Specified Shares as of 03/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9810	PREMIAEMASEAN-U	0	\$ 0
9812	SAMUNGCDCGN-U	0	\$ 0
9813	CAM CTPB BOND-U	0	\$ 0
9814	SAMUNGFANG-U	0	\$ 0
9817	PP CGOVT BOND-U	0	\$ 0
9820	GX CN BIOTECH-U	0	\$ 0
9826	GX CN CLOUD-U	0	\$ 0
9829	ISHARESCGB-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9845	GX CN EV BATT-U	138,350	\$ 28,628,766
9846	ISHARESCSI300-U	0	\$ 0
9858	YOURAN DAIRY	808,000	\$ 3,280,480
9868	XPENG-W	4,763,368	\$ 933,620,128
9888	BIDU-SW	15,421,002	\$ 2,205,203,286
9901	NEW ORIENTAL-S	5,675,300	\$ 88,534,680
9909	POWERLONG CM	3,542,876	\$ 56,402,586
9911	NEWBORNTOWN	17,674,000	\$ 65,040,320
9922	JIUMAOJIU	105,360,694	\$ 1,637,305,185
9923	YEAHKA	16,519,173	\$ 449,321,506
9926	AKESO-B	19,683,623	\$ 873,952,861
9928	TIMES NEIGHBOR	45,072,394	\$ 154,598,311
9939	KINTOR PHARMA-B	18,811,500	\$ 1,086,364,125
9959	LINKLOGIS-W	6,062,000	\$ 34,007,820
9961	TRIP.COM-S	1,334,900	\$ 298,216,660
9966	ALPHAMAB-B	14,796,475	\$ 268,112,127
9968	HUIJING HLDGS	14,744,000	\$ 28,013,600
9969	INNOCARE-B	14,003,446	\$ 261,864,440
9979	GREENTOWN MGMT	9,656,723	\$ 43,455,254
9982	CENTRALCHINA MT	5,083,052	\$ 8,488,697
9983	CC NEW LIFE	17,240,000	\$ 87,062,000
9987	YUM CHINA-S	304,997	\$ 120,839,811
9988	BABA-SW	91,344,012	\$ 10,906,475,033
9989	HEPALINK	3,332,500	\$ 27,226,525
9990	ARCHOSAUR GAMES	10,093,426	\$ 108,403,395
9991	BAOZUN-SW	271,100	\$ 9,651,160
9992	POP MART	43,157,160	\$ 2,162,173,716
9993	RADIANCE HLDGS	17,826,000	\$ 67,738,800
9995	REMEGEN-B	2,699,599	\$ 269,824,920
9996	PEIJIA-B	4,091,755	\$ 65,795,420
9997	KANGJI MEDICAL	17,863,792	\$ 144,339,439



## Aggregated Reportable Short Positions of Specified Shares as of 03/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9999	NTES-S	24,493,631	\$ 4,144,322,365
80737	BAY AREA DEV-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82813	CAM CTPB BOND-R	0	\$ 0
82817	PP CGOVT BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HSCEI ETF-R	0	\$ 0
82829	ISHARESCGB-R	0	\$ 0
82832	BOSERA STAR50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
83001	PP CNUSDPROP-R	0	\$ 0
83005	CSOPCSI500ETF-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83031	HT CH A ESG-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83079	CICC CGB-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83093	CICCSELECT100-R	0	\$ 0
83100	EFUND CSI300-R	0	\$ 0
83108	HGI ESGLEADER-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83122	CSOP RMB MM-R	0	\$ 0
83125	ISHARESSDCPBB-R	0	\$ 0
83127	GX CSI300-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83130	HS CSI300-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0
83151	PREMIA STAR50-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP CTPBBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0

