

Aggregated Reportable Short Positions of Specified Shares as of 10/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	13,429,758	\$ 670,144,924
2	CLP HOLDINGS	23,996,471	\$ 1,804,534,619
3	HK & CHINA GAS	155,686,685	\$ 1,830,875,416
4	WHARF HOLDINGS	30,583,528	\$ 784,467,493
5	HSBC HOLDINGS	92,293,783	\$ 4,171,678,992
6	POWER ASSETS	17,057,282	\$ 805,956,575
8	PCCW	42,010,688	\$ 167,622,645
10	HANG LUNG GROUP	1,803,992	\$ 31,136,902
11	HANG SENG BANK	19,176,122	\$ 2,786,290,527
12	HENDERSON LAND	35,195,704	\$ 1,184,335,440
13	HUTCHMED	5,242,000	\$ 284,640,600
14	HYSAN DEV	5,781,527	\$ 141,069,259
16	SHK PPT	17,079,961	\$ 1,649,924,233
17	NEW WORLD DEV	21,871,992	\$ 683,499,750
19	SWIRE PACIFIC A	8,899,659	\$ 392,029,979
23	BANK OF E ASIA	7,525,915	\$ 85,795,431
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	68,626,201	\$ 2,872,006,512
28	TIAN AN	0	\$ 0
29	DYNAMIC HOLD	0	\$ 0
31	CHINA AEROSPACE	684,000	\$ 369,360
34	KOWLOON DEV	361,000	\$ 3,653,320
35	FE CONSORT INTL	2,007,842	\$ 5,200,311
38	FIRST TRACTOR	23,816,000	\$ 94,787,680
41	GREAT EAGLE H	163,942	\$ 3,242,773
43	C.P. POKPHAND	0	\$ 0
45	HK&S HOTELS	936,974	\$ 6,521,339
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	108,500	\$ 1,798,930
53	GUOCO GROUP	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
66	MTR CORPORATION	52,171,987	\$ 2,172,963,259
69	SHANGRI-LA ASIA	13,140,114	\$ 84,228,131
71	MIRAMAR HOTEL	0	\$ 0
81	CH OVS G OCEANS	29,783,211	\$ 117,643,683
82	CRAZY SPORTS	20,326,600	\$ 7,114,310
83	SINO LAND	26,743,707	\$ 257,007,024
86	SUN HUNG KAI CO	0	\$ 0
87	SWIRE PACIFIC B	0	\$ 0

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88	TAI CHEUNG HOLD	0	\$ 0
95	LVGEM CHINA	37,846,515	\$ 61,311,354
98	XINGFA ALUM	0	\$ 0
101	HANG LUNG PPT	40,673,603	\$ 646,710,288
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	2,993,000	\$ 5,357,470
116	CHOW SANG SANG	1,252,349	\$ 13,625,557
119	POLY PROPERTY	24,223,036	\$ 47,961,611
120	COSMOPOL INT'L	2,552,000	\$ 3,674,880
123	YUEXIU PROPERTY	11,888,500	\$ 84,408,350
127	CHINESE EST H	2,834,000	\$ 11,052,600
135	KUNLUN ENERGY	84,693,205	\$ 636,892,902
136	HENG TEN NET	184,412,608	\$ 564,302,580
142	FIRST PACIFIC	5,365,311	\$ 15,398,443
144	CHINA MER PORT	17,402,782	\$ 245,379,226
148	KINGBOARD HLDG	2,525,400	\$ 97,227,900
151	WANT WANT CHINA	254,703,395	\$ 1,767,641,561
152	SHENZHEN INT'L	15,281,317	\$ 125,001,173
165	CHINA EB LTD	2,492,777	\$ 22,783,982
168	TSINGTAO BREW	20,951,125	\$ 1,460,293,413
173	K. WAH INT'L	5,954,047	\$ 18,100,303
175	GEELY AUTO	162,516,748	\$ 3,932,905,302
177	JIANGSU EXPRESS	25,158,133	\$ 199,252,413
178	SA SA INT'L	68,193,402	\$ 117,292,651
179	JOHNSON ELEC H	2,133,170	\$ 36,221,227
182	CONCORD NE	41,612,816	\$ 33,290,253
185	ZENSUN ENT	10,251,128	\$ 39,364,332
189	DONGYUE GROUP	31,089,763	\$ 398,570,762
194	LIU CHONG HING	0	\$ 0
200	MELCO INT'L DEV	49,601,899	\$ 492,050,838
207	JOY CITY PPT	0	\$ 0
215	HUTCHTEL HK	19,781,459	\$ 25,518,082
220	U-PRESID CHINA	19,282,444	\$ 147,125,048
225	POKFULAM	0	\$ 0
241	ALI HEALTH	480,110,587	\$ 3,514,409,497
242	SHUN TAK HOLD	19,366,396	\$ 40,475,768
247	TST PROPERTIES	0	\$ 0
256	CITYCHAMP	5,060,177	\$ 6,780,637
257	EB ENVIRONMENT	63,193,071	\$ 350,089,613
261	GBA HOLDINGS	0	\$ 0
267	CITIC	48,197,758	\$ 367,266,916

Aggregated Reportable Short Positions of Specified Shares as of 10/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
268	KINGDEE INT'L	138,967,740	\$ 3,217,103,181
270	GUANGDONG INV	48,615,293	\$ 488,097,542
272	SHUI ON LAND	45,842,951	\$ 48,135,099
285	BYD ELECTRONIC	76,146,660	\$ 2,383,390,458
286	AIDIGONG	104,342,000	\$ 99,124,900
288	WH GROUP	123,163,801	\$ 603,502,625
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	34,497,900	\$ 2,282,036,085
293	CATHAY PAC AIR	192,253,837	\$ 1,245,804,864
297	SINOFERT	39,527,469	\$ 44,270,765
302	CMGE	39,076,697	\$ 125,436,197
303	VTECH HOLDINGS	4,472,669	\$ 269,478,307
305	WULING MOTORS	27,180,000	\$ 52,457,400
308	CHINA TRAVEL HK	54,319,562	\$ 85,824,908
315	SMARTONE TELE	2,316,577	\$ 9,683,292
316	OOIL	403,000	\$ 70,323,500
317	COMEC	518,000	\$ 3,470,600
321	TEXWINCA HOLD	0	\$ 0
322	TINGYI	105,559,770	\$ 1,606,619,699
323	MAANSHAN IRON	17,512,420	\$ 50,610,894
327	PAX GLOBAL	9,663,000	\$ 55,368,990
329	OCI INTL	3,092,856	\$ 9,711,568
330	ESPRIT HOLDINGS	19,935,924	\$ 13,157,710
336	HUABAO INTL	16,636,232	\$ 300,783,075
337	GREENLAND HK	9,114,400	\$ 14,765,328
338	SHANGHAI PEICHEM	72,996,942	\$ 131,394,496
341	CAFE DE CORAL H	5,614,693	\$ 78,605,702
345	VITASOY INT'L	69,592,613	\$ 1,113,481,808
347	ANGANG STEEL	56,825,915	\$ 207,414,590
354	CHINASOFT INT'L	48,433,431	\$ 589,919,190
357	MEILAN AIRPORT	14,039,000	\$ 356,590,600
358	JIANGXI COPPER	46,640,444	\$ 608,191,390
363	SHANGHAI IND H	1,747,396	\$ 20,130,002
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	172,601,185	\$ 502,269,448
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	1,220,000	\$ 1,915,400
382	EDVANTAGE GROUP	982,000	\$ 4,733,240
384	CHINA GAS HOLD	115,428,316	\$ 1,710,647,643
386	SINOPEC CORP	243,010,026	\$ 894,276,896
388	HKEX	11,313,966	\$ 5,297,198,881

Aggregated Reportable Short Positions of Specified Shares as of 10/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
390	CHINA RAILWAY	67,757,150	\$ 270,351,029
392	BEIJING ENT	3,532,882	\$ 90,441,779
398	ORIENTAL WATCH	214,000	\$ 980,120
400	COGOBUY	15,482,795	\$ 45,364,589
405	YUEXIU REIT	0	\$ 0
408	YIP'S CHEMICAL	0	\$ 0
410	SOHO CHINA	59,688,901	\$ 108,633,800
412	CSFG	0	\$ 0
416	BANKOFJINZHOU	0	\$ 0
419	HUAYI TENCENT	36,390,000	\$ 8,624,430
425	MINTH GROUP	13,942,581	\$ 501,932,916
435	SUNLIGHT REIT	0	\$ 0
440	DAH SING	420,800	\$ 10,057,120
451	GCL NEWENERGY	178,652,947	\$ 43,234,013
460	SIHUAN PHARM	257,280,780	\$ 403,930,825
467	UNITEDENERGY GP	49,746,585	\$ 37,309,939
468	GAPACK	5,088,805	\$ 14,706,646
480	HKR INT'L	0	\$ 0
486	RUSAL	6,420,000	\$ 47,187,000
488	LAI SUN DEV	0	\$ 0
489	DONGFENG GROUP	35,386,382	\$ 255,135,814
493	GOME RETAIL	1,301,585,457	\$ 924,125,674
506	CHINA FOODS	709,682	\$ 2,029,691
511	TVB	3,016,498	\$ 14,147,376
512	GRAND PHARMA	20,125,804	\$ 125,786,275
517	COSCO SHIP INTL	742,000	\$ 1,773,380
520	XIABUXIABU	34,165,958	\$ 192,696,003
522	ASM PACIFIC	9,694,859	\$ 805,642,783
525	GUANGSHEN RAIL	8,147,721	\$ 10,836,469
535	GEMDALE PPT	79,124,459	\$ 63,299,567
546	FUFENG GROUP	561,000	\$ 1,615,680
547	DIGITAL DOMAIN	19,509,885	\$ 14,047,117
548	SHENZHENEXPRESS	6,624,431	\$ 48,557,079
551	YUE YUEN IND	12,038,828	\$ 162,283,401
552	CHINACOMSERVICE	25,382,237	\$ 97,721,612
558	LK TECH	14,220,963	\$ 273,611,328
563	SH IND URBAN	6,018,000	\$ 4,453,320
564	ZMJ	16,887,969	\$ 137,130,308
570	TRAD CHI MED	122,161,160	\$ 450,774,680
576	ZHEJIANGEXPRESS	77,288,953	\$ 536,385,334
579	JNCEC	26,368,021	\$ 58,800,687

Aggregated Reportable Short Positions of Specified Shares as of 10/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
580	SUN.KING TECH	22,268,000	\$ 114,680,200
581	CHINA ORIENTAL	32,135,280	\$ 69,090,852
586	CONCH VENTURE	51,258,948	\$ 1,947,840,024
590	LUK FOOK HOLD	670,000	\$ 15,142,000
596	INSPUR INT'L	4,655,998	\$ 19,741,432
598	SINOTRANS	22,366,754	\$ 57,035,223
603	CHINA OIL & GAS	0	\$ 0
604	SHENZHEN INVEST	22,776,761	\$ 40,314,867
606	SCE CM	460,000	\$ 1,738,800
607	FULLSHARE	147,849,305	\$ 15,819,876
622	OSHIDORI	0	\$ 0
631	SANY INT'L	39,680,348	\$ 319,823,605
636	KERRY LOG NET	11,253,163	\$ 238,567,056
639	SHOUGANG RES	21,538,673	\$ 45,661,987
656	FOSUN INTL	39,763,226	\$ 348,723,492
658	C TRANSMISSION	37,179,703	\$ 223,821,812
659	NWS HOLDINGS	11,888,319	\$ 86,309,196
662	ASIA FINANCIAL	0	\$ 0
665	HAITONG INT'L	112,731,978	\$ 188,262,403
667	CHINA EAST EDU	22,534,213	\$ 142,416,226
669	TECHTRONIC IND	16,404,737	\$ 2,736,310,132
670	CHINA EAST AIR	78,079,063	\$ 224,086,911
683	KERRY PPT	3,764,588	\$ 76,044,678
686	BJ ENERGY INTL	0	\$ 0
688	CHINA OVERSEAS	70,730,369	\$ 1,380,656,803
691	SHANSHUI CEMENT	0	\$ 0
694	BEIJING AIRPORT	149,280,563	\$ 713,561,091
696	TRAVELSKY TECH	40,154,539	\$ 540,480,095
697	SHOUCHENG	26,527,600	\$ 44,301,092
698	TONGDA GROUP	74,768,886	\$ 19,439,910
700	TENCENT	34,862,940	\$ 16,155,486,396
708	EVERG VEHICLE	89,413,215	\$ 277,180,967
709	GIORDANO INT'L	925,895	\$ 1,370,325
710	BOE VARITRONIX	12,370,812	\$ 117,522,714
716	SINGAMAS CONT	3,448,000	\$ 4,689,280
728	CHINA TELECOM	35,557,852	\$ 89,961,366
732	TRULY INT'L	23,603,514	\$ 58,772,750
737	BAY AREA DEV	0	\$ 0
743	ASIA CEMENT CH	14,678,844	\$ 73,541,008
746	L & M CHEMICAL	708,000	\$ 5,557,800
750	SFSY ENERGY	21,795,875	\$ 43,373,791

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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
751	SKYWORTH GROUP	101,707,702	\$ 598,041,288
753	AIR CHINA	93,676,758	\$ 499,297,120
754	HOPSON DEV HOLD	51,757,621	\$ 867,457,728
762	CHINA UNICOM	6,788,000	\$ 26,065,920
763	ZTE	8,090,503	\$ 174,754,865
769	CHINA RAREEARTH	10,322,000	\$ 9,083,360
772	CHINA LIT	50,215,742	\$ 3,000,390,585
775	CKLIFE SCIENCES	38,362,054	\$ 28,771,541
776	IMPERIUM TEC GP	0	\$ 0
777	NETDRAGON	3,251,054	\$ 62,355,216
778	FORTUNE REIT	0	\$ 0
780	TONGCHENG-ELONG	36,462,692	\$ 638,826,364
788	CHINA TOWER	1,575,708,977	\$ 1,449,652,259
798	CEOVU	0	\$ 0
799	IGG	31,683,024	\$ 226,216,791
806	VALUE PARTNERS	31,750,222	\$ 124,778,372
807	SIICENVIRONMENT	1,708,200	\$ 2,374,398
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	2,437,965	\$ 14,335,234
813	SHIMAO GROUP	148,567,674	\$ 1,197,455,452
817	CHINA JINMAO	115,062,591	\$ 291,108,355
818	HI SUN TECH	22,932,001	\$ 24,537,241
819	TIANNENG POWER	136,432,900	\$ 1,137,850,386
823	LINK REIT	14,037,925	\$ 949,665,626
826	TIANGONG INT'L	19,534,000	\$ 89,465,720
832	CENTRAL CHINA	40,914,061	\$ 40,914,061
836	CHINA RES POWER	89,310,305	\$ 2,165,774,896
839	CHINA EDU GROUP	67,259,605	\$ 897,243,131
853	MICROPORT	77,545,498	\$ 2,605,528,733
855	CHINA WATER	58,903,996	\$ 501,862,046
856	VSTECS	2,552,401	\$ 18,147,571
857	PETROCHINA	310,890,212	\$ 1,097,442,448
860	APOLLO FMG	135,342,666	\$ 71,731,613
861	DC HOLDINGS	15,324,120	\$ 66,353,440
863	BC TECH GROUP	6,976,259	\$ 75,204,072
867	CMS	32,989,710	\$ 426,227,053
868	XINYI GLASS	52,962,420	\$ 1,043,359,674
873	SHIMAO SERVICES	43,925,444	\$ 344,375,481
874	BAIYUNSHAN PH	5,244,116	\$ 100,582,145
880	SJM HOLDINGS	121,892,394	\$ 657,000,004
881	ZHONGSHENG HLDG	232,663,491	\$ 14,494,935,489

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883	CNOOC	65,820,088	\$ 509,447,481
884	CIFI HOLD GP	122,522,110	\$ 573,403,475
902	HUANENG POWER	249,336,252	\$ 1,092,092,784
906	COFCO PACKAGING	2,325,088	\$ 9,184,098
909	MING YUAN CLOUD	94,162,345	\$ 2,071,571,590
914	CONCH CEMENT	31,235,731	\$ 1,199,452,070
916	CHINA LONGYUAN	101,140,084	\$ 1,737,586,643
921	HISENSE HA	1,241,368	\$ 11,594,377
934	SINOPEC KANTONS	20,025,513	\$ 59,676,029
939	CCB	690,412,736	\$ 3,659,187,501
941	CHINA MOBILE	20,924,210	\$ 966,698,502
950	LEE'S PHARM	2,748,002	\$ 9,315,727
951	CHAOWEI POWER	19,733,000	\$ 40,649,980
952	TONGHAI FIN	0	\$ 0
956	CHINA SUNTIEN	56,152,944	\$ 332,425,428
960	LONGFOR GROUP	51,407,577	\$ 2,082,006,869
966	CHINA TAIPING	33,436,947	\$ 367,137,678
968	XINYI SOLAR	321,558,698	\$ 4,598,289,381
973	L'OCCITANE	0	\$ 0
975	MONGOL MINING	1,290,401	\$ 2,296,914
978	CHI MER LAND	0	\$ 0
981	SMIC	11,247,401	\$ 235,633,051
990	THEME INT'L	26,800,000	\$ 19,296,000
991	DATANG POWER	26,332,951	\$ 35,286,154
992	LENOVO GROUP	1,112,311,916	\$ 9,476,897,524
995	ANHUIEXPRESSWAY	3,932,000	\$ 23,670,640
998	CITIC BANK	237,138,789	\$ 825,242,986
1003	HUANXI MEDIA	8,400,000	\$ 11,592,000
1024	KUAISHOU-W	66,879,332	\$ 5,771,686,352
1030	SEAZEN	33,923,587	\$ 183,865,842
1031	KINGSTON FIN	0	\$ 0
1033	SINOPEC SSC	20,258,000	\$ 12,965,120
1038	CKI HOLDINGS	5,068,403	\$ 233,906,798
1044	HENGAN INT'L	58,484,952	\$ 2,301,382,861
1052	YUEXIUTRANSPORT	10,050,000	\$ 47,235,000
1055	CHINA SOUTH AIR	187,454,536	\$ 864,165,411
1060	ALI PICTURES	565,643,670	\$ 446,858,499
1061	ESSEX BIO-TECH	2,450,112	\$ 13,304,108
1066	WEIGAO GROUP	112,883,928	\$ 1,241,723,208
1068	YURUN FOOD	19,073,000	\$ 15,830,590
1070	TCL ELECTRONICS	25,165,855	\$ 112,239,713

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1071	HUADIAN POWER	145,877,051	\$ 456,595,170
1072	DONGFANG ELEC	19,978,104	\$ 258,117,104
1083	TOWNGAS CHINA	24,456,039	\$ 147,225,355
1086	GOODBABY INTL	19,138,720	\$ 21,435,366
1088	CHINA SHENHUA	57,449,399	\$ 1,042,132,098
1091	SOUTH MANGANESE	21,012,000	\$ 22,482,840
1093	CSPC PHARMA	100,726,699	\$ 866,249,611
1098	ROAD KING INFRA	953,806	\$ 7,000,936
1099	SINOPHARM	53,653,003	\$ 898,151,270
1108	LUOYANG GLASS	9,000,793	\$ 160,214,115
1109	CHINA RES LAND	58,059,374	\$ 2,017,563,247
1112	H&H INTL HLDG	7,014,477	\$ 96,378,914
1113	CK ASSET	19,520,835	\$ 912,599,036
1114	BRILLIANCE CHI	82,551,694	\$ 602,627,366
1115	TIBET WATER	0	\$ 0
1117	CH MODERN D	365,601,786	\$ 489,906,393
1119	IDREAMSKY	139,269,128	\$ 874,610,124
1121	GOLDENSOLAR	14,890,000	\$ 149,197,800
1122	QINGLING MOTORS	2,871,751	\$ 4,709,672
1128	WYNN MACAU	110,861,670	\$ 705,080,221
1133	HARBIN ELECTRIC	9,059,060	\$ 32,793,797
1137	HK TECH VENTURE	25,974,696	\$ 211,953,519
1138	COSCO SHIP ENGY	65,607,610	\$ 199,447,134
1140	WEALTHKING INV	0	\$ 0
1141	CMBC CAPITAL	239,360,000	\$ 17,233,920
1157	ZOOMLION	103,344,006	\$ 538,422,271
1167	JACOBIO-B	2,948,002	\$ 42,451,229
1171	YANZHOU COAL	258,723,808	\$ 3,513,469,313
1176	ZHUGUANG HOLD	22,672,000	\$ 35,141,600
1177	SINO BIOPHARM	328,226,609	\$ 1,854,480,341
1179	HUAZHU-S	0	\$ 0
1183	MECOM POWER	7,736,001	\$ 27,694,884
1186	CHINA RAIL CONS	1,591,186	\$ 8,051,401
1193	CHINA RES GAS	18,810,192	\$ 781,563,478
1196	REALORD GROUP	10,294,501	\$ 101,503,780
1198	ROYALE HOME	0	\$ 0
1199	COSCO SHIP PORT	8,492,784	\$ 56,816,725
1208	MMG	122,179,332	\$ 332,327,783
1209	CHINA RES MIXC	16,297,993	\$ 660,068,717
1211	BYD COMPANY	31,999,655	\$ 9,273,500,019
1212	LIFESTYLE INT'L	8,681,316	\$ 36,548,340

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1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	0	\$ 0
1231	NEWTON RES	0	\$ 0
1233	TIMES CHINA	17,030,527	\$ 76,977,982
1234	CHINA LILANG	4,972,035	\$ 21,528,912
1238	POWERLONG	27,366,369	\$ 125,337,970
1250	BE CLEAN ENERGY	281,857,559	\$ 25,649,038
1252	CHINA TIANRUI	1,826,000	\$ 10,481,240
1257	CEB GREENTECH	27,964,036	\$ 76,621,459
1258	CHINFMINING	30,094,000	\$ 96,300,800
1268	MEIDONG AUTO	17,612,000	\$ 641,957,400
1271	GRAND MING	0	\$ 0
1277	KINETIC DEV	0	\$ 0
1282	GLORY SUN FIN	60,548,000	\$ 12,896,724
1288	ABC	344,098,868	\$ 918,743,978
1299	AIA	45,576,084	\$ 3,728,123,671
1302	LIFETECH SCI	111,911,768	\$ 425,264,718
1308	SITC	60,232,613	\$ 1,864,199,372
1310	HKBN	16,750,737	\$ 163,822,208
1313	CHINARES CEMENT	91,495,612	\$ 548,973,672
1316	NEXTEER	40,311,172	\$ 420,848,636
1317	MAPLELEAF EDU	249,504,057	\$ 217,068,530
1323	HUASHENG INTL	8,092,000	\$ 15,212,960
1330	DYNAGREEN ENV	1,926,566	\$ 7,706,264
1332	TOUYUN BIOTECH	0	\$ 0
1333	CHINA ZHONGWANG	57,664,323	\$ 96,876,063
1336	NCI	17,437,466	\$ 372,289,899
1337	RAZER	137,577,665	\$ 338,441,056
1339	PICC GROUP	102,625,674	\$ 240,144,077
1347	HUA HONG SEMI	23,453,866	\$ 1,107,022,475
1349	FUDANZHANGJIANG	6,708,000	\$ 27,167,400
1359	CHINA CINDA	175,362,253	\$ 233,231,796
1361	361 DEGREES	26,149,508	\$ 100,152,616
1366	JIANGNAN GP	0	\$ 0
1368	XTEP INT'L	140,851,145	\$ 1,481,754,045
1375	CC SECURITIES	3,598,000	\$ 5,181,120
1378	CHINAHONGQIAO	198,376,579	\$ 1,535,434,721
1381	CANVEST ENV	3,845,000	\$ 15,764,500
1382	PACIFICTEXTILES	12,509,368	\$ 46,534,849
1385	SHANGHAI FUDAN	11,288,000	\$ 301,389,600

Aggregated Reportable Short Positions of Specified Shares as of 10/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1387	CHINA DILI	0	\$ 0
1398	ICBC	927,894,411	\$ 4,027,061,744
1415	COWELL	6,907,227	\$ 84,544,458
1426	SPRING REIT	0	\$ 0
1432	CHINA SHENGMU	2,733,000	\$ 1,503,150
1448	FU SHOU YUAN	18,192,279	\$ 109,335,597
1456	GUOLIAN SEC	1,156,500	\$ 4,660,695
1458	ZHOU HEI YA	126,724,109	\$ 846,517,048
1468	KINGKEY FIN INT	12,750,000	\$ 8,797,500
1475	NISSIN FOODS	10,252,313	\$ 60,078,554
1476	HENGTOU SEC	0	\$ 0
1477	OCUMENSION-B	6,920,352	\$ 113,770,587
1478	Q TECH	15,635,153	\$ 184,807,508
1503	CMC REIT	0	\$ 0
1508	CHINA RE	25,275,416	\$ 18,956,562
1513	LIVZON PHARMA	5,051,153	\$ 136,633,689
1515	CR MEDICAL	18,207,847	\$ 85,576,881
1516	SUNAC SERVICES	14,500,445	\$ 171,105,251
1521	FRONTAGE	16,024,172	\$ 73,230,466
1528	RS MACALLINE	2,620,348	\$ 10,533,799
1530	3SBIO	121,615,084	\$ 824,550,270
1548	GENSCRIPT BIO	23,767,771	\$ 968,536,668
1551	GRCB	0	\$ 0
1558	HEC PHARM	29,239,596	\$ 167,542,885
1563	IA FIN LEASING	0	\$ 0
1566	CA CULTURAL	12,824,000	\$ 7,950,880
1568	SUNDART HLDGS	0	\$ 0
1576	QILU EXPRESSWAY	0	\$ 0
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	85,049,210	\$ 3,793,194,766
1585	YADEA	37,179,159	\$ 542,815,721
1589	CNLP	55,192,802	\$ 234,017,480
1600	TIAN LUN GAS	3,327,501	\$ 26,753,108
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	23,129,381	\$ 30,068,195
1610	COFCO JOYCOME	156,561,949	\$ 436,807,838
1618	MCC	33,785,854	\$ 73,315,303
1622	REDCO GROUP	60,183,740	\$ 161,292,423
1628	YUZHOU GROUP	131,702,812	\$ 98,777,109
1638	KAISA GROUP	124,124,527	\$ 114,194,565
1658	PSBC	689,779,998	\$ 3,890,359,189

Aggregated Reportable Short Positions of Specified Shares as of 10/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1660	ZHAOBANGJI PPT	1,360,000	\$ 584,800
1666	TONG REN TANG	7,541,996	\$ 47,062,055
1668	CHINASOUTHCITY	132,074,000	\$ 67,357,740
1672	ASCLETIS-B	9,880,000	\$ 30,035,200
1675	ASIAINFO TECH	2,568,800	\$ 31,544,864
1680	MACAU LEGEND	6,032,000	\$ 3,739,840
1681	CONSUN PHARMA	1,314,000	\$ 4,861,800
1686	SUNEVISION	10,117,648	\$ 70,621,183
1691	JS GLOBAL LIFE	40,311,119	\$ 597,410,784
1696	SISRAM MED	747,122	\$ 7,456,278
1709	DL HOLDINGS GP	942,000	\$ 2,364,420
1717	AUSNUTRIA	21,549,091	\$ 198,467,128
1725	HK AERO TECH	373,800	\$ 10,821,510
1755	S-ENJOY SERVICE	7,973,400	\$ 107,800,368
1760	INTRON TECH	1,293,000	\$ 8,120,040
1763	CIRC	1,052,800	\$ 23,582,720
1765	HOPE EDU	250,630,960	\$ 406,022,155
1766	CRRC	46,375,586	\$ 165,097,086
1769	SCHOLAR EDU	9,074,000	\$ 22,140,560
1772	GANFENGLITHIUM	18,004,501	\$ 2,497,224,289
1773	TIANLI EDU	67,466,996	\$ 128,861,962
1776	GF SEC	18,072,770	\$ 279,766,480
1777	FANTASIA	41,065,412	\$ 12,935,605
1778	COLOUR LIFE	11,321,549	\$ 12,000,842
1787	SD GOLD	61,866,921	\$ 826,542,065
1788	GUOTAI JUNAN I	52,739,778	\$ 58,541,154
1789	AK MEDICAL	64,108,794	\$ 431,452,184
1797	KOOLEARN	49,886,046	\$ 374,145,345
1798	DATANG RENEW	159,484,840	\$ 476,859,672
1799	XINTE ENERGY	24,878,912	\$ 395,574,701
1800	CHINA COMM CONS	8,034,034	\$ 33,903,623
1801	INNOVENT BIO	43,854,743	\$ 2,907,569,461
1810	XIAOMI-W	360,304,537	\$ 6,917,847,110
1811	CGN NEW ENERGY	62,941,531	\$ 458,214,346
1812	CHENMING PAPER	31,621,690	\$ 117,948,904
1813	KWG GROUP	41,961,606	\$ 256,805,029
1816	CGN POWER	102,309,845	\$ 224,058,561
1817	MULSANNE GROUP	834,500	\$ 4,664,855
1818	ZHAOJIN MINING	46,985,035	\$ 320,907,789
1821	ESR	32,223,225	\$ 829,748,044
1830	PERFECT MEDICAL	6,624,000	\$ 39,346,560

Aggregated Reportable Short Positions of Specified Shares as of 10/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1833	PA GOODDOCTOR	134,848,267	\$ 3,917,342,156
1836	STELLA HOLDINGS	670,000	\$ 6,030,000
1848	CALC	1,488,652	\$ 7,740,990
1857	CEB WATER	0	\$ 0
1858	CHUNLI MEDICAL	6,808,450	\$ 114,518,129
1860	MOBVISTA	22,684,000	\$ 149,714,400
1862	JINGRUI HLDGS	9,712,000	\$ 19,035,520
1866	CHINA XLX FERT	2,873,512	\$ 14,310,090
1873	VIVA BIOTECH	83,093,419	\$ 453,690,068
1876	BUD APAC	143,759,034	\$ 2,961,436,100
1877	JUNSHI BIO	11,203,218	\$ 511,426,902
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	6,659,615	\$ 135,523,165
1883	CITIC TELECOM	5,888,494	\$ 15,545,624
1888	KB LAMINATES	26,222,571	\$ 356,102,514
1890	CHINA KEPEI	11,674,002	\$ 42,960,327
1896	MAOYAN ENT	12,769,911	\$ 115,695,394
1898	CHINA COAL	200,013,693	\$ 896,061,345
1899	XINGDA INT'L	3,310,227	\$ 5,461,875
1902	YINCHENG INTL	4,682,000	\$ 11,611,360
1905	HAITONG UT	0	\$ 0
1907	CHINA RISUN GP	23,958,000	\$ 111,883,860
1908	C&D INTL GROUP	10,690,101	\$ 165,482,763
1909	FIRE ROCK	24,642,000	\$ 32,281,020
1910	SAMSONITE	41,622,941	\$ 672,626,727
1911	CR HOLDINGS	5,070,600	\$ 76,261,824
1913	PRADA	4,676,556	\$ 249,260,435
1916	JIANGXI BANK	0	\$ 0
1918	SUNAC	119,010,929	\$ 1,832,768,307
1919	COSCO SHIP HOLD	353,279,513	\$ 5,369,848,598
1928	SANDS CHINA LTD	94,538,864	\$ 1,781,112,198
1929	CHOW TAI FOOK	81,340,530	\$ 1,146,901,473
1931	IVD MEDICAL	0	\$ 0
1951	JXR	121,136,789	\$ 1,152,010,863
1952	EVEREST MED-B	1,726,409	\$ 58,697,906
1958	BAIC MOTOR	97,261,211	\$ 310,263,263
1963	BCQ	1,174,309	\$ 5,190,446
1966	CHINA SCE GROUP	26,201,780	\$ 56,595,845
1967	CONFIDENCE IN	66,000	\$ 1,372,800
1970	IMAX CHINA	2,148,993	\$ 26,475,594
1972	SWIREPROPERTIES	14,985,076	\$ 291,609,579

Aggregated Reportable Short Positions of Specified Shares as of 10/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1981	CATHAY EDU	9,389,000	\$ 19,904,680
1988	MINSHENG BANK	908,096,225	\$ 2,787,855,411
1992	FOSUN TOURISM	6,073,006	\$ 58,665,238
1995	CIFI ES SERVICE	48,776,400	\$ 712,135,440
1996	RSUN PPT	27,054,840	\$ 60,332,293
1997	WHARF REIC	59,529,134	\$ 2,440,694,494
1999	MAN WAH HLDGS	30,268,253	\$ 381,379,988
2001	NEW HIGHER EDU	18,304,265	\$ 60,953,202
2005	SSY GROUP	59,020,035	\$ 205,389,722
2006	JINJIANGCAPITAL	4,835,075	\$ 14,166,770
2007	COUNTRY GARDEN	417,385,724	\$ 3,138,740,644
2009	BBMG	42,173,557	\$ 50,186,533
2013	WEIMOB INC	326,831,622	\$ 2,925,143,017
2015	LI AUTO-W	715,175	\$ 87,680,455
2016	CZBANK	0	\$ 0
2018	AAC TECH	69,580,880	\$ 2,285,731,908
2019	DEXIN CHINA	22,742,889	\$ 57,766,938
2020	ANTA SPORTS	68,868,549	\$ 8,884,042,821
2038	FIH	94,921,932	\$ 117,703,196
2039	CIMC	24,319,168	\$ 356,519,003
2048	E-HOUSE ENT	68,918,348	\$ 179,187,705
2057	ZTO EXPRESS-SW	128,100	\$ 31,051,440
2066	SHENGJINGBANK	0	\$ 0
2096	SIMCERE PHARMA	16,273,379	\$ 154,597,101
2098	ZALL SMARTCOM	2,517,000	\$ 1,245,915
2099	CHINAGOLDINTL	2,664,243	\$ 55,549,467
2101	FULU HOLDINGS	892,500	\$ 6,747,300
2103	SINIC HOLDINGS	2,835,000	\$ 1,417,500
2117	DATANG GROUP	4,939,000	\$ 20,941,360
2126	JW THERAP-B	11,713,583	\$ 140,328,724
2127	HUISEN INTL	10,362,000	\$ 22,278,300
2128	CHINA LESSO	10,329,000	\$ 128,699,340
2131	NETJOY	14,625,000	\$ 41,827,500
2138	EC HEALTHCARE	3,848,000	\$ 47,638,240
2139	BANK OF GANSU	0	\$ 0
2142	HBM HOLDINGS-B	2,512,000	\$ 20,924,960
2148	VESYNC	15,870,000	\$ 167,269,800
2156	C&D PROPERTY	0	\$ 0
2158	YIDU TECH	16,867,707	\$ 374,463,095
2160	CARDIOFLOW-B	16,321,696	\$ 72,794,764
2162	KEYMED BIO-B	614,000	\$ 26,494,100

Aggregated Reportable Short Positions of Specified Shares as of 10/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2163	BROAD HOMES	4,568,699	\$ 49,524,697
2168	KAISA PROSPER	1,394,596	\$ 18,827,046
2171	CARSGEN-B	2,460,000	\$ 101,106,000
2186	LUYE PHARMA	115,988,075	\$ 425,676,235
2190	ZYLOXTB-B	213,500	\$ 5,252,100
2196	FOSUN PHARMA	21,897,420	\$ 823,342,992
2198	CHINA SANJIANG	19,977,200	\$ 50,542,316
2202	CHINA VANKE	46,852,368	\$ 901,439,560
2208	GOLDWIND	83,839,341	\$ 1,443,713,452
2219	CHAOJU EYE CARE	0	\$ 0
2231	JY GRANDMARK	0	\$ 0
2232	CRYSTAL INTL	0	\$ 0
2233	WESTCHINACEMENT	44,056,432	\$ 59,476,183
2238	GAC GROUP	116,143,786	\$ 1,001,159,435
2269	WUXI BIO	33,730,536	\$ 3,389,918,868
2282	MGM CHINA	74,665,406	\$ 365,860,489
2313	SHENZHOU INTL	17,682,775	\$ 2,797,415,005
2314	LEE & MAN PAPER	28,724,575	\$ 160,857,620
2318	PING AN	441,430,280	\$ 25,514,670,184
2319	MENGNU DAIRY	58,575,307	\$ 2,673,962,765
2322	HK CHAOSHANG GP	1,304,000	\$ 1,186,640
2328	PICC P&C	108,212,559	\$ 741,256,029
2331	LI NING	46,618,113	\$ 4,370,448,094
2333	GREATWALL MOTOR	125,236,787	\$ 3,769,627,289
2337	UNITED STRENGTH	290,000	\$ 3,845,400
2338	WEICHAH POWER	74,154,426	\$ 1,149,393,603
2342	COMBA	84,379,269	\$ 150,195,099
2343	PACIFIC BASIN	387,135,804	\$ 1,231,091,857
2356	DAHSING BANKING	744,681	\$ 5,093,618
2357	AVICHINA	81,689,488	\$ 440,306,340
2359	WUXI APTEC	32,801,379	\$ 5,431,908,362
2362	JINCHUAN INTL	145,738,469	\$ 177,800,932
2378	PRU	0	\$ 0
2380	CHINA POWER	277,367,608	\$ 1,314,722,462
2382	SUNNY OPTICAL	33,839,727	\$ 8,297,501,060
2386	SINOPEC SEG	15,114,357	\$ 59,701,710
2388	BOC HONG KONG	62,631,795	\$ 1,553,268,516
2400	XD INC	26,851,199	\$ 1,200,248,595
2500	VENUS MEDTECH-B	29,471,248	\$ 943,079,936
2518	AUTOHOME-S	0	\$ 0
2552	HUA MEDICINE-B	7,708,000	\$ 29,675,800

Aggregated Reportable Short Positions of Specified Shares as of 10/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2588	BOC AVIATION	10,599,563	\$ 614,774,654
2599	SHINSUN HOLD GP	14,540,000	\$ 15,557,800
2600	CHALCO	315,993,105	\$ 1,355,610,420
2601	CPIC	30,515,417	\$ 701,854,591
2607	SH PHARMA	19,054,467	\$ 268,286,895
2611	GTJA	4,117,834	\$ 45,460,887
2616	CSTONE PHARMA-B	16,610,507	\$ 154,976,030
2618	JD LOGISTICS	45,000,797	\$ 1,260,022,316
2628	CHINA LIFE	114,505,114	\$ 1,525,208,118
2638	HKELECTRIC-SS	59,493,015	\$ 455,121,565
2666	UNI MEDICAL	19,797,989	\$ 106,315,201
2669	CHINA OVS PPT	42,561,997	\$ 337,942,256
2678	TEXHONG TEXTILE	4,624,500	\$ 46,152,510
2688	ENN ENERGY	25,174,334	\$ 3,466,505,792
2689	ND PAPER	47,688,274	\$ 445,885,362
2696	HENLIUS	1,599,799	\$ 46,314,181
2727	SH ELECTRIC	83,249,556	\$ 188,976,492
2768	JIAYUAN INTL	35,008,224	\$ 102,924,179
2772	ZHONGLIANG HLDG	35,034,363	\$ 133,130,579
2777	R&F PROPERTIES	208,770,020	\$ 868,483,283
2778	CHAMPION REIT	0	\$ 0
2798	PER ENERGY	20,920,000	\$ 35,564,000
2799	CHINA HUARONG	146,679,708	\$ 149,613,302
2800	TRACKER FUND	30,402,996	\$ 733,320,264
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PP BEDROCK	0	\$ 0
2804	PREMIA VIET	0	\$ 0
2806	GX CN CONSUME	0	\$ 0
2807	GX CN ROBO&AI	0	\$ 0
2809	GX CN CLN EN	0	\$ 0
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMSUNGCDGN	0	\$ 0
2813	CAM CTPB BOND	0	\$ 0
2814	SAMSUNG FANG	0	\$ 0
2817	PP CGOVT BOND	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2820	GX CN BIOTECH	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	30,709,519	\$ 578,874,433

Aggregated Reportable Short Positions of Specified Shares as of 10/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2823	ISHARES A50	48,831,944	\$ 941,968,200
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2826	GX CN CLOUD	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	2,312,800	\$ 200,704,784
2829	ISHARESCGB	0	\$ 0
2832	BOSERA STAR50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2845	GX CN EV BATT	91,265	\$ 18,545,048
2846	ISHARESCSI300	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2849	GX AUTO EV	0	\$ 0
2858	YIXIN	18,636,028	\$ 26,276,799
2866	COSCO SHIP DEV	69,128,827	\$ 103,693,241
2869	GREENTOWN SER	88,676,691	\$ 703,206,160
2877	SHINEWAY PHARM	2,525,000	\$ 17,346,750
2878	SOLOMON SYSTECH	30,402,000	\$ 24,017,580
2883	CHINA OILFIELD	90,121,194	\$ 586,688,973
2888	STANCHART	1,352,200	\$ 60,172,900
2899	ZIJIN MINING	216,045,937	\$ 2,182,063,964
3001	PP CNUSDPROP	0	\$ 0
3005	CSOPCSI500ETF	0	\$ 0
3007	TRFXIC50	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3011	A ICBCICUSD	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0
3022	ISHARESMSCIEM	0	\$ 0
3023	PA AI&ROBOTICS	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3031	HT CH A ESG	0	\$ 0
3032	HSTECH ETF	0	\$ 0
3033	CSOP HS TECH	0	\$ 0
3036	TRMSCITAIWAN	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 10/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3037	CSOP HSI ETF	0	\$ 0
3040	GX MSCI CHINA	0	\$ 0
3047	F SSIFIRONORE	0	\$ 0
3050	GX CN GL LEADER	0	\$ 0
3051	A GXASINNOVATOR	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3058	A GXCINNOVATOR	0	\$ 0
3067	ISHARESHSTECH	0	\$ 0
3069	CAM HSBIOTECH	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3071	A CICC HKD	0	\$ 0
3072	NIKKOAM INET	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3077	PREMIA UST	0	\$ 0
3079	CICC CGB	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3086	CAM NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3088	CAM HS TECH	0	\$ 0
3091	A NIKKOAMGAME	0	\$ 0
3093	CICCSELECT100	0	\$ 0
3096	CSOP USD MM	0	\$ 0
3097	F GX OIL	0	\$ 0
3100	EFUND CSI300	0	\$ 0
3108	HGI ESGLEADER	0	\$ 0
3109	CSOP STAR 50	0	\$ 0
3110	GX HS HIGH DIV	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3117	GX CN GAME&ENT	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3119	GX ASIA SEMICON	0	\$ 0
3121	CAM AP REAL EST	0	\$ 0
3122	CSOP RMB MM	0	\$ 0
3124	GX CN ECOM&LOG	0	\$ 0
3125	ISHARESSDCPBB	0	\$ 0
3127	GX CSI300	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3130	HS CSI300	0	\$ 0
3132	SAMSUNG SEMICON	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 10/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3134	CSOP CSI PV	0	\$ 0
3141	CAM ASIA IGB	0	\$ 0
3143	CAM HK BANKS	0	\$ 0
3145	CAM ASIA HIGHDV	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3151	PREMIA STAR50	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	CAM JAPAN HDG	0	\$ 0
3162	CSOP SMARTDRIVE	0	\$ 0
3163	PA MULTI-FACTOR	0	\$ 0
3165	CAM EU QLTY HDG	0	\$ 0
3166	PA QLTY FACTOR	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0
3173	PP CN NEWECN	0	\$ 0
3174	CSOP HEALTHCARE	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3176	HS CHNEWECN	0	\$ 0
3181	PREMIAASIA TEC	0	\$ 0
3182	WISE NEW ECON50	0	\$ 0
3185	GX FINTECH	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3187	SAMSUNG REITS	0	\$ 0
3188	CAM CSI300	11,131,355	\$ 702,611,128
3191	GX CN SEMICON	0	\$ 0
3193	CSOP CSI 5G	0	\$ 0
3194	CSOP CLOUD ETF	0	\$ 0
3197	CAM MSCI AINC	0	\$ 0
3198	PING AN 5HANDL	0	\$ 0
3199	CSOP CTPBBOND	0	\$ 0
3300	CHINA GLASS	24,482,000	\$ 51,412,200
3301	RONSHINECHINA	31,518,700	\$ 97,077,596
3306	JNBY	3,297,500	\$ 42,471,800
3308	GOLDEN EAGLE	809,000	\$ 5,387,940
3309	C-MER EYE	14,224,309	\$ 99,143,434
3311	CHINA STATE CON	23,200,983	\$ 200,456,493
3316	BINJIANG SER	379,788	\$ 8,659,166
3318	CHINA BOTON	6,677,146	\$ 31,182,272
3319	A-LIVING	60,424,828	\$ 1,076,770,435
3320	CHINARES PHARMA	25,665,520	\$ 87,519,423
3323	CNBM	90,228,174	\$ 823,783,229

Aggregated Reportable Short Positions of Specified Shares as of 10/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3328	BANKCOMM	102,741,111	\$ 482,883,222
3331	VINDA INT'L	41,448,165	\$ 878,701,098
3333	EVERGRANDE	281,309,279	\$ 497,917,424
3336	JU TENG INTL	1,983,424	\$ 2,439,612
3339	LONKING	46,449,476	\$ 98,008,394
3347	TIGERMED	5,209,578	\$ 637,652,347
3360	FE HORIZON	144,955,498	\$ 978,449,612
3377	SINO-OCEAN GP	155,003,114	\$ 291,405,854
3380	LOGAN GROUP	37,101,927	\$ 254,148,200
3383	AGILE GROUP	71,396,710	\$ 359,839,418
3393	WASION HOLDINGS	468,000	\$ 1,324,440
3396	LEGENDHOLDING	7,308,383	\$ 89,600,776
3600	MODERN DENTAL	3,630,000	\$ 22,905,300
3606	FUYAO GLASS	15,408,634	\$ 656,407,808
3613	TONGRENTANGCM	5,219,088	\$ 55,322,333
3618	CQRC BANK	31,927,682	\$ 86,843,295
3633	ZHONGYU GAS	0	\$ 0
3662	AOYUAN HEALTHY	19,419,731	\$ 55,928,825
3668	YANCOAL AUS	0	\$ 0
3669	YONGDA AUTO	24,829,512	\$ 278,090,534
3690	MEITUAN-W	53,100,892	\$ 12,903,516,756
3692	HANSOH PHARMA	25,596,659	\$ 468,930,793
3700	INKE	6,284,991	\$ 9,678,886
3709	EEKA FASHION	1,136,500	\$ 12,660,610
3738	VOBILE GROUP	81,315,487	\$ 552,945,312
3759	PHARMARON	7,475,181	\$ 1,102,589,198
3799	DALI FOODS	73,553,658	\$ 306,718,754
3800	GCL-POLY ENERGY	732,989,602	\$ 1,964,412,133
3808	SINOTRUK	21,563,102	\$ 231,587,715
3813	POU SHENG INT'L	23,388,954	\$ 27,365,076
3818	CHINA DONGXIANG	105,410,555	\$ 76,949,705
3836	HARMONY AUTO	10,561,788	\$ 46,577,485
3866	BQD	0	\$ 0
3868	XINYI ENERGY	71,610,953	\$ 308,643,207
3877	CSSC SHIPPING	7,500,000	\$ 8,175,000
3883	CHINA AOYUAN	103,277,806	\$ 182,801,717
3888	KINGSOFT	62,251,418	\$ 2,181,912,201
3898	TIMES ELECTRIC	20,048,497	\$ 930,250,261
3899	CIMC ENRIC	76,852,009	\$ 817,705,376
3900	GREENTOWN CHINA	71,100,553	\$ 912,931,101
3908	CICC	37,326,814	\$ 824,922,589

Aggregated Reportable Short Positions of Specified Shares as of 10/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3913	KWG LIVING	22,855,727	\$ 87,765,992
3918	NAGACORP	48,051,107	\$ 320,020,373
3933	UNITED LAB	18,565,741	\$ 85,773,723
3958	DFZQ	16,344,400	\$ 119,967,896
3968	CM BANK	211,574,414	\$ 14,006,226,207
3969	CHINA CRSC	51,008,571	\$ 141,803,827
3983	CHINA BLUECHEM	12,095,009	\$ 27,334,720
3988	BANK OF CHINA	630,203,726	\$ 1,739,362,284
3990	MIDEA REAL EST	7,011,399	\$ 89,044,767
3993	CMOC	229,142,002	\$ 1,026,556,169
3996	CH ENERGY ENG	48,672,000	\$ 58,893,120
3998	BOSIDENG	400,635,704	\$ 2,035,229,376
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	83,480,470	\$ 1,736,393,776
6049	POLY PPT SER	7,562,857	\$ 437,133,135
6055	CTIHK	5,413,303	\$ 92,026,151
6060	ZA ONLINE	80,354,888	\$ 2,257,972,353
6066	CSC	45,685,717	\$ 406,602,881
6068	WISDOM EDU INTL	22,642,923	\$ 33,285,097
6069	SHENGYE CAPITAL	5,797,000	\$ 41,100,730
6078	HYGEIA HEALTH	14,167,450	\$ 829,504,198
6088	FIT HON TENG	49,580,352	\$ 81,807,581
6093	HEVOL SERVICES	0	\$ 0
6098	CG SERVICES	44,694,745	\$ 2,409,046,756
6099	CMSC	11,321,807	\$ 142,428,332
6100	TONGDAO LIEPIN	800,800	\$ 13,805,792
6110	TOPSPORTS	86,627,469	\$ 767,519,375
6111	DAFA PPT	1,641,000	\$ 7,056,300
6118	AUSTAR	256,000	\$ 3,691,520
6122	JIUTAI RCB	0	\$ 0
6127	JOINN	814,701	\$ 72,101,039
6138	HARBIN BANK	0	\$ 0
6158	ZHENRO PPT	82,883,583	\$ 322,417,138
6160	BEIGENE	3,391,967	\$ 622,086,748
6169	YUHUA EDU	77,132,117	\$ 246,051,453
6178	EB SECURITIES	10,429,606	\$ 63,829,189

Aggregated Reportable Short Positions of Specified Shares as of 10/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6185	CANSINO BIO-B	13,931,851	\$ 2,159,436,905
6186	CHINA FEIHE	104,803,284	\$ 1,148,643,993
6196	BANK OF ZHENGZHOU	491,000	\$ 829,790
6198	QINGDAO PORT	2,009,292	\$ 8,258,190
6199	BANK OF GUIZHOU	0	\$ 0
6600	SCICLONE PHARMA	296,000	\$ 2,584,080
6601	CHEERWIN GP	4,005,000	\$ 12,655,800
6606	NH HEALTH-B	4,279,903	\$ 114,487,405
6608	BAIRONG-W	332,500	\$ 3,524,500
6618	JD HEALTH	50,589,018	\$ 3,571,584,671
6666	EVERG SERVICES	100,566,301	\$ 352,987,717
6668	E-STAR CM	208,000	\$ 728,000
6677	SINO-OCEAN SERV	237,000	\$ 933,780
6690	HAIER SMARTHOME	81,974,936	\$ 2,709,271,635
6806	SWHY	13,061,600	\$ 25,731,352
6808	SUNART RETAIL	176,334,606	\$ 573,087,470
6818	CEB BANK	26,535,436	\$ 73,503,158
6823	HKT-SS	32,370,741	\$ 343,777,269
6826	HAOHAI BIOTEC	1,923,143	\$ 107,407,537
6830	HUAZHONG IN-V	8,928,000	\$ 28,212,480
6837	HAITONG SEC	44,936,335	\$ 308,263,258
6855	ASCENTAGE-B	6,299,539	\$ 177,647,000
6862	HAIDILAO	160,139,320	\$ 2,892,116,119
6865	FLAT GLASS	90,014,749	\$ 3,177,520,640
6868	TENFU	0	\$ 0
6869	YOFC	3,816,956	\$ 43,971,333
6878	DIFFER GROUP	130,364,000	\$ 215,100,600
6881	CGS	48,460,233	\$ 219,040,253
6886	HTSC	20,789,462	\$ 256,957,750
6889	DYNAM JAPAN	0	\$ 0
6900	SUNKWAN PPT	6,550,000	\$ 14,410,000
6908	HG SEMI	138,000	\$ 946,680
6958	ZHENRO SERVICES	3,348,000	\$ 16,003,440
6968	GANGLONG CHINA	9,769,000	\$ 39,564,450
6969	SMOORE INTL	102,516,268	\$ 4,633,735,314
6978	IMMUNOTECH-B	1,941,521	\$ 29,705,271
6988	JOY SPREADER	18,029,000	\$ 40,565,250
6989	EXCELLENCE CM	2,129,535	\$ 10,434,722
6993	BLUE MOON GROUP	69,808,520	\$ 523,563,900
6996	ANTENGENE-B	6,345,055	\$ 66,496,176
6998	GENOR-B	4,570,656	\$ 36,793,781

Aggregated Reportable Short Positions of Specified Shares as of 10/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6999	LEADING HLDGS	2,537,000	\$ 10,807,620
7200	FL2 CSOP HSI	0	\$ 0
7226	XL2CSOPHSTECH	0	\$ 0
7230	FL2 GX HSCEI	0	\$ 0
7231	FL2 GX HSI	0	\$ 0
7232	FL2FBFTTAIWAN	0	\$ 0
7233	XL2CSOPCSI300	0	\$ 0
7248	XL2 CSOP A50	0	\$ 0
7261	FL2CAMNDQ100	0	\$ 0
7266	FL2CSOPNASDAQ	0	\$ 0
7272	XL2CAMCSI300	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7299	FL2CSOPGOLD	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7322	XI GX S&P500	0	\$ 0
7331	FICAMNDQ100	0	\$ 0
7332	FIFBFTTAIWAN	0	\$ 0
7333	XICSOPCSI300	0	\$ 0
7336	FI GX HSI	0	\$ 0
7345	FI CSOP OIL	0	\$ 0
7348	XI CSOP A50	0	\$ 0
7362	FI GX HSCEI	0	\$ 0
7373	XICAMCSI300	0	\$ 0
7374	FI CSOPGOLD	0	\$ 0
7500	FI2 CSOP HSI	0	\$ 0
7522	FI2CAMNDQ100	0	\$ 0
7552	XI2CSOPHSTECH	0	\$ 0
7568	FI2CSOPNASDAQ	0	\$ 0
7588	FI2 CSOP HSCEI	0	\$ 0
8032	VIVA CHINA	58,008,000	\$ 90,492,480
8075	MEDIA ASIA	0	\$ 0
8083	CHINA YOUZAN	503,148,414	\$ 362,266,858
8137	HONBRIDGE	11,454,000	\$ 4,066,170
9001	PP CNUSDPROP-U	0	\$ 0
9010	ISHARES AXJ-U	0	\$ 0
9011	A ICBCICUSD-U	0	\$ 0
9022	ISHAREMSCIEM-U	0	\$ 0
9031	HT CH A ESG-U	0	\$ 0
9047	F SSIFIRONORE-U	0	\$ 0
9067	ISHARESHSTECH-U	0	\$ 0
9069	CAM HSBBIOTECH-U	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 10/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9072	NIKKOAM INET-U	0	\$ 0
9074	ISHAREMSCITW-U	0	\$ 0
9077	PREMIA UST-U	0	\$ 0
9078	PREMIA UST A-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9086	CAM NASDAQ100-U	0	\$ 0
9088	CAM HS TECH-U	0	\$ 0
9091	A NIKKOAMGAME-U	0	\$ 0
9096	CSOP USD MM-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9125	ISHARESSDCPBB-U	0	\$ 0
9141	CAM ASIA IGB-U	0	\$ 0
9151	PREMIA STAR50-U	0	\$ 0
9167	ICBCCSOPCHINA-U	0	\$ 0
9173	PP CN NEWECON-U	0	\$ 0
9181	PREMIAASIATEC-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9187	SAMSUNG REITS-U	0	\$ 0
9191	GX CN SEMICON-U	0	\$ 0
9618	JD-SW	26,855,304	\$ 8,384,225,909
9626	BILIBILI-SW	3,179,546	\$ 1,453,052,522
9633	NONGFU SPRING	71,648,557	\$ 3,385,394,318
9666	JINKE SERVICES	3,366,036	\$ 112,425,602
9668	CBHB	20,900,059	\$ 39,710,112
9677	WEIHAI BANK	0	\$ 0
9688	ZAI LAB-SB	88,352	\$ 47,445,024
9698	GDS-SW	12,063,579	\$ 635,750,613
9801	ISHARES CHINA-U	0	\$ 0
9803	PP BEDROCK-U	0	\$ 0
9804	PREMIA VIET-U	0	\$ 0
9806	GX CN CONSUME-U	0	\$ 0
9807	GX CN ROBO&AI-U	0	\$ 0
9809	GX CN CLN EN-U	0	\$ 0
9810	PREMIAEMASEAN-U	0	\$ 0
9812	SAMUNGC DGN-U	0	\$ 0
9813	CAM CTPB BOND-U	0	\$ 0
9814	SAMUNGFANG-U	0	\$ 0
9817	PP CGOVT BOND-U	0	\$ 0
9820	GX CN BIOTECH-U	0	\$ 0
9826	GX CN CLOUD-U	0	\$ 0
9829	ISHARESCGB-U	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 10/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9845	GX CN EV BATT-U	165,968	\$ 33,567,028
9846	ISHARESCSI300-U	0	\$ 0
9858	YOURAN DAIRY	2,509,000	\$ 10,412,350
9868	XPENG-W	4,011,264	\$ 722,829,773
9888	BIDU-SW	14,679,575	\$ 2,141,749,993
9898	WB-SW	0	\$ 0
9901	NEW ORIENTAL-S	6,183,500	\$ 105,737,850
9909	POWERLONG CM	3,168,920	\$ 49,308,395
9911	NEWBORNTOWN	16,120,000	\$ 62,868,000
9922	JIUMAOJIU	106,919,394	\$ 1,603,790,910
9923	YEAHKA	16,244,867	\$ 441,860,382
9926	AKESO-B	22,521,623	\$ 965,051,546
9928	TIMES NEIGHBOR	43,827,394	\$ 150,766,235
9939	KINTOR PHARMA-B	19,757,500	\$ 1,093,577,625
9959	LINKLOGIS-W	8,550,500	\$ 60,879,560
9961	TRIP.COM-S	1,042,050	\$ 214,037,070
9966	ALPHAMAB-B	14,818,475	\$ 245,690,316
9968	HUIJING HLDGS	16,010,000	\$ 31,219,500
9969	INNOCARE-B	14,382,446	\$ 272,691,176
9979	GREENTOWN MGMT	8,264,723	\$ 38,678,904
9982	CENTRALCHINA MT	4,789,052	\$ 7,758,264
9983	CC NEW LIFE	17,287,000	\$ 95,078,500
9987	YUM CHINA-S	278,850	\$ 112,097,700
9988	BABA-SW	85,055,079	\$ 10,308,675,575
9989	HEPALINK	3,276,500	\$ 26,769,005
9990	ARCHOSAUR GAMES	9,674,426	\$ 82,909,831
9991	BAOZUN-SW	323,300	\$ 11,897,440
9992	POP MART	45,055,560	\$ 2,162,666,880
9993	RADIANCE HLDGS	17,759,000	\$ 69,260,100
9995	REMEGEN-B	4,169,484	\$ 397,977,248
9996	PEIJIA-B	4,050,755	\$ 60,356,250
9997	KANGJI MEDICAL	18,172,292	\$ 145,014,890
9999	NTES-S	21,892,593	\$ 3,564,114,140
80737	BAY AREA DEV-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82813	CAM CTPB BOND-R	0	\$ 0
82817	PP CGOVT BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0

Aggregated Reportable Short Positions of Specified Shares as of 10/12/2021

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
82828	HSCEI ETF-R	0	\$ 0
82829	ISHARESCGB-R	0	\$ 0
82832	BOSERA STAR50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
83001	PP CNUSDPROP-R	0	\$ 0
83005	CSOPCSI500ETF-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83031	HT CH A ESG-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83079	CICC CGB-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83093	CICCSELECT100-R	0	\$ 0
83100	EFUND CSI300-R	0	\$ 0
83108	HGI ESGLEADER-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83122	CSOP RMB MM-R	0	\$ 0
83125	ISHARESSDCPBB-R	0	\$ 0
83127	GX CSI300-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83130	HS CSI300-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0
83151	PREMIA STAR50-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP CTPBBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0