

根據《證券及期貨條例》(第571章)第309(2) 條批給的豁免

以下載列就(一)發行在香港聯合交易所有限公司(聯交所)上市的證券的法團及(二)其他人士而批給的豁免。該等豁免就(一)批給該等法團、其大股東、董事及最高行政人員，及就(二)批給其他人士，使其無須就其於聯交所上市證券的權益所衍生的義務而遵從《證券及期貨條例》第XV部。本清單每月更新。

證監會網站內有關《證券及期貨條例》第XV部的網頁已登載證監會指引，列明根據該條例第309條批給豁免的依據。目前提供的豁免分為五類，而該指引已對該五類豁免加以述明。

第1類部份豁免：適用於股份已在香港聯合交易所有限公司及另一證券交易所上市的法團

名稱	聯交所 股份代號 (<i>注解 1</i>)	
1 宏利金融有限公司	0945 - S	
2 渣打集團有限公司	2888	(及 4305, 5348, 5890, 5986, 40276 及 40518)
3 英國保誠有限公司	2378	
4 迅銷有限公司 - 預託證券	6288 - S	(該豁免僅適用於迅銷有限公司及其大股東)
5 京東集團股份有限公司	9618 - SW	
6 華住集團有限公司	1179 - S	
7 萬國數據控股有限公司	9698 - SW	
8 新東方教育科技(集團)有限公司	9901 - S	
9 汽車之家	2518 - S	
10 攜程集團有限公司	9961 - S	
11 微博股份有限公司	9898 - SW	
12 蔚來集團	9866 - SW	
13 騰訊音樂娛樂集團	1698 - SW	
14 360數科股份有限公司	3660 - S	
15 PT Merdeka Gold Resources Tbk - 預託證券	6228 - S	

注解1: S - 第二上市公司
W - 不同投票權架構的公司

第2類豁免：適用於並無股份在香港聯合交易所有限公司上市的法團

(a)第2類豁免：適用於結構性產品現時在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

名稱	豁免期限 (<i>注解 2</i>)	
	豁免開始日	豁免終止日
1 BOCI Asia Limited	2007年12月21日	2026年12月20日
2 BNP Paribas Issuance B.V.	2007年12月21日	2026年12月20日
3 CITIC Securities Brokerage (HK) Limited	2024年2月22日	2027年2月21日
4 Citigroup Global Markets Europe AG	2019年11月1日	2026年10月31日
5 DBS Bank Ltd.	2021年8月24日	2027年8月23日
6 Goldman Sachs Structured Products (Asia) Limited	2007年12月21日	2026年12月20日
7 Guotai Junan Securities (Hong Kong) Limited	2019年10月28日	2026年10月27日
8 Huatai Financial Holdings (Hong Kong) Limited	2023年6月1日	2027年5月31日
9 J.P. Morgan Structured Products B.V.	2007年12月21日	2026年12月20日
10 Korea Investment & Securities Asia Limited	2023年12月4日	2026年12月3日
11 Macquarie Bank Limited	2008年1月1日	2026年12月31日
12 Morgan Stanley Asia Products Limited	2019年7月22日	2027年7月21日
13 SG Issuer	2016年4月1日	2027年3月31日
14 The Hongkong and Shanghai Banking Corporation Ltd	2007年12月23日	2026年12月22日
15 UBS AG	2007年12月21日	2026年12月20日

注解2: 授予以上法團的豁免期為12個月(或更少)，並豁免該法團在豁免期內所發行及在香港聯合交易所有限公司上市的結構性產品直至該結構性產品有效期完結為止。

(b)第2類豁免：適用於債務項目下發行的債務證券在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

名稱	項目
1 Advance I (BVI) Limited	US\$1,000,000,000 Medium Term Note Programme
2 AirAsia Global Notes Limited	US\$1,000,000,000 Multicurrency Medium Term Note Programme
3 Almasar C.I. Ltd.	USD8,000,000,000 Trust Certificate Issuance Programme
4 Amipeace Limited	USD1,200,000,000 Guaranteed Medium Term Note Programme
5 Amipeace Ltd.	HK\$20,000,000,000 Medium Term Note Programme
6 AMTD Group Company Limited	U.S.\$500,000,000 Medium Term Note Programme
7 AMTD IDEA Group	U.S.\$1,000,000,000 Medium Term Note Programme
8 AVIC International Leasing Co., Ltd.	US\$500,000,000 Medium Term Note Programme
9 AVIC International Leasing Co., Ltd.	U.S.\$500,000,000 Medium Term Note Programme
10 Azure Nova International Finance Limited	U.S.\$10,000,000,000 Medium Term Note Programme
11 Azure Orbit II International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
12 Azure Orbit IV International Finance Limited	U.S.\$7,000,000,000 Medium Term Note Programme
13 Bank of China (Hong Kong) Limited	U.S.\$15,000,000,000 Medium Term Note Programme
14 Bank of China (Luxembourg) S.A.	US\$30,000,000,000 Medium Term Note Programme
15 Bank of China (Macau) Limited	US\$40,000,000,000 Medium Term Note Programme
16 Beijing Capital Polaris Investment Co. Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
17 Bluestar Finance Holdings Limited	US\$3,000,000,000 Medium Term Note and Perpetual Securities Programme

18	Bocom Leasing Management Hong Kong Company Limited	US\$10,000,000,000 Medium Term Note Programme
19	Boom Up Investments Limited	US\$1,000,000,000 Medium Term Note Programme
20	CALC Bonds Limited	US\$3,000,000,000 Medium Term Note Programme
21	Castle Peak Power Finance Company Limited	U.S.\$2,000,000,000 Medium Term Note Programme
22	Cathay Pacific MTN Financing (HK) Limited	US\$2 billion Medium Term Note Programme
23	CCB Shipping and Aviation Leasing Corporation Limited	USD5,000,000,000 Medium Term Note Programme
24	CCBL (Cayman) 1 Corporation Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
25	CDBI Treasure I Limited	US\$500,000,000 Medium Term Note Programme
26	CDBL Funding 1	U.S.\$5,000,000,000 Medium Term Note Programme
27	CDBL Funding 1	US\$3,000,000,000 Medium Term Note Programme
28	CDBL Funding 2	US\$3,000,000,000 Medium Term Note Programme
29	Central Plaza Development Limited	US\$2,000,000,000 Guaranteed Medium Term Programme
30	CGNPC INTERNATIONAL LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
31	Chailease International Finance Corporation	U.S.\$500,000,000 Medium Term Note Programme
32	Champion MTN Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
33	Charming Light Investments Ltd. and United Wealth Development Ltd.	US\$8,000,000,000 Medium Term Note Programme
34	Cheung Kong Finance (MTN) Limited and Joynote Ltd	US\$2,000,000,000 Medium Term Note Programme
35	Cheung Kong Infrastructure Finance (BVI) Limited	US\$5,000,000,000 Euro Medium Term Note Programme
36	China Cinda (2020) I Management Limited	U.S.\$7,400,000,000 Guaranteed Medium Term Note Programme
37	China Cinda (2020) I Management Limited	US\$2,000,000,000 Medium Term Note Programme
38	China Cinda Finance (2015) I Limited and China Cinda Finance (2015) II Limited	US\$3,000,000,000 Guaranteed Medium Term Note Programme
39	China Cinda Finance (2017) I Limited and China Cinda Finance (2017) II Limited	US\$10,000,000,000 Medium Term Note Programme
40	China CITIC Bank International Limited	US\$3,000,000,000 Medium Term Note Programme
41	China Construction Bank (Asia) Corporation Limited	US\$5,000,000,000 Medium Term Note Programme
42	China Development Bank Corporation and China Development Bank Corporation Hong Kong Branch	US\$30,000,000,000 Debt Issuance Programme
43	China Great Wall International Holdings III Limited	U.S.\$6,500,000,000 Medium Term Note Programme
44	China Huadian Overseas Development 2018 Limited	US\$2,000,000,000 Medium Term Note and Perpetual Securities Programme
45	China Overseas Finance (Cayman) VIII Limited	US\$2.5 billion medium note programme
46	China Overseas Finance (Cayman) VIII Limited	US\$4 billion medium note programme
47	Chong Hing Bank Limited	USD2,000,000,000 Medium Term Note and Perpetual Capital Securities Programme
48	CICC Hong Kong Finance 2016 MTN Limited	US\$10,000,000,000 Guaranteed Medium Term Note Programme
49	CITIC Securities Finance MTN Co., Ltd.	US\$3 billion Medium Term Note Programme
50	CK Property Finance (MTN) Limited	US\$5,000,000,000 Euro Medium Term Note Programme
51	CMB International Leasing Management Limited	US\$20,000,000,000 Medium Term Note Programme
52	CMB Wing Lung Bank Limited	U.S. \$2,000,000,000 Euro Medium Term Note Programme
53	CMBLEMTN1 Limited	U.S.\$5,000,000,000 Medium Term Note Programme
54	CMS International Gemstone Limited	US\$2,000,000,000 Medium Term Note Programme
55	CNCBINV 1 (BVI) Limited	US\$2,000,000,000 Medium Term Note Programme
56	CNPC General Capital Limited	US\$7,000,000,000 Euro Medium Term Note Programme
57	Connery Capital Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
58	COSL Singapore Capital Ltd.	US\$3,500,000,000 Euro Medium Term Note Programme
59	Crédit Industriel et Commercial	EUR25,000,000,000 Structured Euro Medium Term Note Programme
60	CSCIF Asia Limited	US\$3,000,000,000 Medium Term Note Programme
61	CSCIF Hong Kong Limited	US\$4,000,000,000 Medium Term Note Programme
62	CSI MTN Limited	U.S.\$3,000,000,000 Medium Term Note Programme
63	CSSC Capital 2015 Limited	USD3,000,000,000 Guaranteed Medium Term Note Programme
64	Dah Sing Bank, Limited	US\$2,000,000,000 Euro Medium Term Note Programme
65	DianJian Haiyu Limited, Dianjian International Finance Limited, Leader Goal International Limited, SEPCO Virgin Limited	U.S.\$2,500,000,000 Medium Term Note and Senior Perpetual Securities Programme
66	Eastern Creation II Investment Holdings Ltd.	US\$6,000,000,000 Guaranteed Euro Medium Term Note Programme
67	FEC Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
68	Franshion Brilliant Limited	US\$2,000,000,000 Medium Term Note Programme
69	Future Days Limited	US\$5,000,000,000 Medium Term Note Programme
70	FWD Group Holdings Limited	US\$5,000,000,000 Medium Term Note and Capital Securities Programme
71	GE (MTN) LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
72	Gemdale Ever Prosperity Investment Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
73	GLP China Holdings Limited	HK\$20,000,000,000 Medium Term Note Programme
74	Goodman HK Finance	US\$2,000,000,000 Medium Term Note Programme
75	Greenland Global Investment Limited	US\$8,000,000,000 Guaranteed Medium Term Notes Programme
76	Guangzhou Metro Investment Finance (BVI) Ltd.	US\$3,000,000,000 Guaranteed Medium Term Note Programme
77	Guotai Junan Holdings Limited	USD3,000,000,000 Medium Term Note Programme
78	Haitong International Finance Holdings Limited	USD1,000,000,000 Guaranteed Medium Term Note Programme
79	Haitong International Securities Group Limited	USD5,000,000,000 Medium Term Note Programme
80	Haitong UT Brilliant Limited	USD1,000,000,000 Guaranteed Medium Term Note Programme
81	Henderson Land MTN Limited	US\$7,000,000,000 Guaranteed Medium Term Note Programme
82	HKCG (Finance) Limited	US\$3,000,000,000 Medium Term Note Programme
83	HLP Finance Limited	US\$4 billion Medium Term Note Programme
84	Hong Kong Science and Technology Parks Corporation	HK\$1,707,000,000 Guaranteed Medium Term Note Programme
85	Horse Gallop Finance Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
86	Horsepower Finance Limited	US \$1,200,000,000 Medium Term Note Programme
87	Huarong Finance 2017 Co., Ltd.	U.S.\$14,500,000,000 Medium Term Note Programme
88	Huarong Finance 2019 Co., Ltd.	US\$2,900,000,000 Medium Term Note Programme
89	Huarong Finance II Co., Ltd	US\$11,000,000,000 Medium Term Note Programme
90	Huarong Leasing Management Hong Kong Company Limited	U.S.\$1,000,000,000 Medium Term Note Programme
91	Huatai International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
92	Hysan (MTN) Limited	US\$4,000,000,000 Medium Term Note Programme
93	ICBCIL Finance Co. Limited	US\$20,000,000,000 Medium Term Note Programme
94	ICIL Aero Treasury Limited	US\$20,000,000,000 Medium Term Note Programme
95	Industrial and Commercial Bank of Asia (China) Limited	U.S.\$6,000,000,000 Medium Term Note Programme
96	Industrial Bank Co., Ltd.	U.S.\$5,000,000,000 Medium Term Note Programme
97	Inventive Global Investments Limited	U.S.\$3,500,000,000 Medium Term Note Programme
98	Inventive Global Investments Limited	U.S.\$3,500,000,000 Medium Term Note Programme
99	Joy Treasure Assets Holdings Inc.	USD1,400,000,000 Medium Term Note Programme
100	Joyous Glory Group Limited	US\$1,000,000,000 Medium Term Note Programme
101	K.Wah International Financial Services Limited	US\$1,000,000,000 Medium Term Note Programme

102	KazMunayGas	RMB10,000,000,000 Medium Term Note Programme
103	Kunming Traffic Investment Co., Ltd.	US\$2,000,000,000 Medium Term Note Programme
104	Lai Fung MTN Limited	US\$2,000,000,000 Medium Term Note Programme
105	LAI SUN MTN LIMITED	US\$2,000,000,000 Medium Term Note Programme
106	Legend Fortune Limited	US\$2,000,000,000 Medium Term Note Programme
107	Midea Investment Development Company Limited	US\$3,000,000,000 Medium Term Note Programme
108	Modern Terminals Capital Limited	US\$1 billion Medium Term Note Programme
109	MTR Corporation (C.I.) Limited	US\$4 billion Medium Term Note Programme
110	New World China Land Limited	US\$2,000,000,000 Medium Term Note Programme
111	NWD (MTN) Limited	US\$7,000,000,000 Medium Term Note Programme
112	NWS (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
113	OTP Bank Nyrt.	EUR7,000,000,000 euro medium term note programme
114	P&R International Treasury Limited	U.S.\$300,000,000 Medium Term Note and Perpetual Securities Programme
115	PETRONAS Capital Limited and PETRONAS Energy Canada Ltd.	US\$30,000,000,000 Medium Term Note Programme
116	Pingan Real Estate Capital Limited	US\$1,000,000,000 Medium Term Note Programme
117	Pioneer Reward Limited	USD10,000,000,000 Medium Term Note Programme
118	Power Assets Finance Limited	U.S.\$2,000,000,000 Medium Term Note Programme
119	Proven Glory Capital Limited	US\$5,000,000,000 Medium Term Note Programme
120	QNB Finance Ltd	U.S.\$22,500,000,000 Medium Term Note Programme
121	QNB Finance Ltd	USD30,000,000,000 Medium Term Note Programme
122	R-REIT International Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
123	RH International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
124	Rongshi International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
125	Shanghai Pudong Development Bank Co., Ltd., Hong Kong Branch	US\$5,000,000,000 Euro Medium Term Note Programme
126	Sichuan Development Holding Co., Ltd. and Yieldking Investment Limited	US\$2,000,000,000 Medium Term Note Programme
127	Silverbell Asia Limited	US\$1,000,000,000 Medium Term Note Programme
128	Sino (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
129	Sinochem Offshore Capital Company Limited	US\$15,000,000,000 Global Medium Term Note Programme
130	Soar Great Limited	US\$1,500,000,000 Guaranteed Medium Term Note Programme
131	Soar Wind Ltd	US\$3,500,000,000 Guaranteed Medium Term Note and Perpetual Capital Securities Programme
132	Soar Wise Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
133	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l., SPIC MTN Company Ltd.	U.S.\$3,000,000,000 Medium Term Note and Perpetual Securities Programme
134	Splendid International Investment Limited	U.S.\$1,500,000,000 Medium Term Note Programme
135	Standard Chartered Bank (Hong Kong) Limited and Standard Chartered Bank	US\$50,000,000,000 Debt Issuance Programme
136	State Elite Global Limited	US\$2,700,000,000 Medium Term Note Programme
137	State Grid Europe Development (2014) Public Limited Company	USD10,000,000,000 Guaranteed Medium Term Note Programme
138	State Grid Overseas Investment (2016) Limited	US\$15,000,000,000 Medium Term Note Programme
139	Sun Hung Kai Properties (Capital Market) Limited	US\$10,000,000,000 Medium Term Note Programme
140	Sunlight REIT MTN Limited	US\$1,000,000,000 Medium Term Note Programme
141	Swire Pacific MTN Financing (HK) Limited	US\$5,000,000,000 Medium Term Note Programme
142	Swire Pacific MTN Financing Limited	US\$5,000,000,000 Medium Term Note Programme
143	Swire Properties MTN Financing Limited	US\$3,000,000,000 Medium Term Note Programme
144	TCCL (Finance) Limited	U.S.\$2,000,000,000 Medium Term Note Programme
145	TFI Overseas Investment Limited	U.S.\$750,000,000 Structured Note and Medium Term Note Programme
146	The Hongkong and Shanghai Banking Corporation Limited	US\$20,000,000,000 Medium Term Note Programme
147	The Hong Kong Mortgage Corporation Limited	US\$30,000,000,000 Medium Term Note Programme
148	The Link Finance (Cayman) 2009 Limited and LINK QDS (SINGAPORE) PRIVATE LIMITED	US\$5,000,000,000 Guaranteed Euro Medium Term Note Programme
149	THE UNIVERSITY OF HONG KONG	USD1,000,000,000 Medium Term Note Programme
150	Unican Limited	US\$1,000,000,000 Guaranteed Euro Medium Term Note Programme
151	Urban Renewal Authority	US\$4,400,000,000 Medium Term Note Programme
152	Vanke Real Estate (Hong Kong) Company Limited	US\$9,500,000,000 Medium Term Note Programme
153	West Kowloon Cultural District Authority	USD1,000,000,000 Medium Term Note Programme
154	Westwood Group Holdings Limited	US\$3,000,000,000 Medium Term Note Programme
155	Wharf Finance (BVI) Limited, Wharf Finance (BVI) No.1 Limited, Wharf Finance (No.1) Limited and Wharf Finance Limited	US\$7 billion Medium Term Note Programme
156	Wharf REIC Finance (BVI) Limited	U.S.\$5,000,000,000 Medium Term Note Programme
157	Wheelock MTN (BVI) Limited	US\$5 billion Medium Term Note Programme
158	Wuhan Metro Group Co., Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
159	Xiamen International Investment Limited	U.S.\$500,000,000 Medium Term Note Programme
160	Xingcheng (BVI) Limited	US\$1,500,000,000 Medium Term Note Programme
161	Yuexiu REIT MTN Company Limited	US\$1,500,000,000 Medium Term Note Programme
162	Yuexiu REIT MTN Company Limited and MOON KING LIMITED	USD1,500,000,000 Guaranteed Medium Term Note Programme
163	Zhejiang Energy International Limited	USD2,000,000,000 Medium Term Note Programme

(c)第2類豁免：適用於就某一特定發行的債務證券現時在香港聯合交易所有限公司上市的法團（並無股份在香港聯合交易所有限公司上市）

名稱	聯交所 股份代號
1 Alibaba Group Holding Limited	5845
2 Anhui Transportation Holding Group (H.K.) Limited	40587
3 Anhui Xihu Investment Holding Group Co., Ltd.	84556
4 Anhui Xihu Investment Holding Group Co., Ltd.	5351
5 Anji Qicai Lingfeng Rural Tourism Investment Co., Ltd	4531
6 AVIC International Finance & Investment Limited	40388
7 AVIC International Finance & Investment Limited	40919
8 AVIC International Finance & Investment Limited	5012
9 Azure Orbit III International Finance Limited	5620
10 Bank of Communications (Hong Kong) Limited	40176
11 Bank of Communications (Hong Kong) Limited	40751
12 Bauhinia ILBS 1 Limited	5797
13 Bauhinia ILBS 1 Limited	5790
14 Bauhinia ILBS 1 Limited	5791
15 Bauhinia ILBS 1 Limited	5792
16 Bauhinia ILBS 1 Limited	5795

17	Bauhinia ILBS 2 Limited	5187
18	Bauhinia ILBS 2 Limited	5188
19	Bauhinia ILBS 2 Limited	5189
20	Bauhinia ILBS 2 Limited	5192
21	Bauhinia ILBS 2 Limited	5193
22	Bauhinia ILBS 3 Limited	5967
23	Bauhinia ILBS 3 Limited	5968
24	Bauhinia ILBS 3 Limited	5985
25	Bauhinia ILBS 3 Limited	5987
26	Bauhinia ILBS 3 Limited	5990
27	BCEG (HongKong) Company Limited	40135
28	BCEG (HongKong) Company Limited	85113
29	Black Peony (Hong Kong) Holding Co., Limited	5839
30	Black Peony (Hong Kong) Holding Co., Limited	85148
31	Blossom Joy Limited	40426
32	Blossom Joy Limited	40427
33	Blue Skyview Company Limited	5364
34	Bluestar Finance Holdings Limited	4550
35	Bluestar Finance Holdings Limited	5089
36	Bluestar Finance Holdings Limited	5599
37	Bluestar Finance Holdings Limited	40274
38	BNY Trust Company of Australia Limited	5766
39	BNY Trust Company of Australia Limited	40142
40	BNY Trust Company of Australia Limited	40878
41	Bolt Innovation Limited	40077
42	Cable and Wireless International Finance B.V.	1077
43	Cable and Wireless International Finance B.V.	1078
44	CALC Bonds Limited	5865
45	CBB Life Insurance Company Limited	5437
46	CCCI Treasure Limited	5893
47	CCCI Treasure Limited	40157
48	CCCI Treasure Limited	40158
49	CCCI Treasure Limited	84546
50	CCCI Treasure Limited	84553
51	CCCI Treasure Limited	84578
52	CCTHK 2021 Ltd.	4411
53	Celestial Dynasty Limited	5594
54	CELESTIAL MILES LIMITED	5706
55	CENTRAL INTERNATIONAL DEVELOPMENT (BVI) LIMITED	5157
56	Central Plaza Development Ltd.	5919
57	CGNPC International Limited	5059
58	CGNPC International Limited	5154
59	Chalco Hong Kong Investment Company Limited	5920
60	Chalco Hong Kong Investment Company Limited	5727
61	Chalco Hong Kong Investment Company Limited	5985
62	Chalieco Hong Kong Corporation Limited	5321
63	Chalieco Hong Kong Corporation Limited	5702
64	Chalieco Hong Kong Corporation Limited	40070
65	Champion Path Holdings Limited	40560
66	Chang Development International Limited	5042
67	Chang Development International Limited	5481
68	Chang Development International Limited	40099
69	Changde Urban Construction and Investment Group Co., Ltd.	5892
70	Changde Urban Development Group Co., Ltd.	84476
71	Changde Urban Development Group Co., Ltd.	84494
72	Changde Urban Development Group Co., Ltd.	84567
73	Changxing Urban Construction Investment Group Co., Ltd.	4552
74	Chengda International Co., Limited	84456
75	Chengda International Co., Limited	40021
76	Chengdu Aerotropolis City Development Group Co., Ltd.	84534
77	Chengdu Aerotropolis City Development Group Co., Ltd.	85115
78	Chengdu Airport Xingcheng Investment Group Co., Ltd.	5361
79	Chengdu Airport Xingcheng Investment Group Co., Ltd.	5469
80	Chengdu Communications Investment Group Corporation Limited	5062
81	Chengdu Communications Investment Group Corporation Limited	5297
82	Chengdu Communications Investment Group Corporation Limited	5298
83	Chengdu ETDZ State-owned Investment Group Co., Ltd.	5313
84	Chengdu ETDZ State-owned Investment Group Co., Ltd.	5283
85	Chengdu Hi-Tech Investment Group Co., Ltd.	40886
86	Chengdu Jianjiang Investment Group Co., Ltd.	5446
87	Chengdu Jianjiang Investment Group Co., Ltd.	5452
88	Chengdu Tianfu New Area Investment Group Co., Ltd.	4569
89	Chengdu Wenjiang Xingrongxi City Operation Group Co., Ltd.	5932
90	Chengdu Wuhou Industrial Development Investment Management Group Co., Ltd.	84589
91	Chengdu Xingcheng Investment Group Co., Ltd.	40028
92	Chengdu Xingjin Construction Investment Group Co., Ltd.	85021
93	Chengdu Xinjin City Industry Development Group Company Ltd.	40180
94	Chengdu Xinjin City Industry Development Group Company Ltd.	84474
95	Chengdu Xisheng Investment Group Co., Ltd.	5840
96	Chengdu Yunlai Investment Group Co., Ltd.	40226
97	Cheung Kong Bond Securities (02) Limited	4559
98	Cheung Kong Bond Securities (03) Limited	4595
99	Cheung Kong Infrastructure Finance (BVI) Limited	40698
100	Cheung Kong Infrastructure Finance (BVI) Limited	40789
101	Chibi City Development Group Co., Ltd.	84505
102	Chibi City Development Group Co., Ltd.	84516
103	China CITIC Bank International Limited	5199
104	China CITIC Bank International Limited	5728
105	China CITIC Bank International Limited	5831
106	China CITIC Bank International Limited	4594
107	China Development Bank Corporation	85904

108	China Development Bank Corporation	85905
109	China Development Bank Corporation	85934
110	CHINA ENERGY OVERSEAS INVESTMENT (HONG KONG) CO., LIMITED	5995
111	CHINA ENERGY OVERSEAS INVESTMENT (HONG KONG) CO., LIMITED	5996
112	China Gezhouba Group (Hong Kong) Overseas Investment Co., Limited	40493
113	China Great Wall International Holdings IV Limited	5620
114	China Great Wall International Holdings V Limited	40350
115	China Great Wall International Holdings V Limited	40930
116	China Great Wall International Holdings VI Limited	5079
117	China Great Wall International Holdings VI Limited	5080
118	China Great Wall International Holdings VI Limited	5504
119	China Huadian Overseas Development 2018 Limited	40261
120	China Huadian Overseas Development Management Company Limited	4533
121	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	5089
122	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40095
123	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40502
124	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40503
125	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40544
126	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40019
127	China Life Insurance (Overseas) Co., Ltd	5250
128	China Life Insurance (Overseas) Company Limited, Hong Kong Branch	5832
129	China Minmetals Corporation	4442
130	China Minmetals Corporation	5009
131	China Overseas Finance (Cayman) III Limited	5989
132	China Overseas Finance (Cayman) V Limited	4580
133	China Overseas Finance (Cayman) VI Limited	5760
134	China Overseas Finance (Cayman) VII Limited	4476
135	China Overseas Grand Oceans Finance V (Cayman) Limited	85109
136	China Resources Power East Foundation Co., Ltd.	4516
137	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5167
138	China Southern Power Grid International Finance (BVI) Co., Limited	4598
139	China State Construction Finance (Cayman) I Limited	5462
140	China State Construction Finance (Cayman) I Limited	40712
141	China State Construction Finance (Cayman) II Limited	5038
142	China State Construction Finance (Cayman) III Limited	40083
143	China Three Gorges International Limited	40013
144	Chinalco Capital Holdings Limited	5745
145	Chinalco Capital Holdings Limited	4497
146	Chinalco Capital Holdings Limited	5152
147	Chinalco Capital Holdings Limited	40137
148	Chiyu Banking Corporation Limited	5034
149	Chiyu Banking Corporation Limited	5109
150	Chiyu Banking Corporation Limited	5564
151	Chongqing International Logistics Hub Park Construction Co., Ltd.	84561
152	Chongqing International Logistics Hub Park Construction Co., Ltd.	5206
153	Chongqing Southern New City Industrial Investment Group Co., Ltd.	5217
154	Chongqing Wansheng Industrial Park Development and Construction Co., Ltd.	84465
155	Chongqing Wansheng Industrial Park Development and Construction Co., Ltd.	84472
156	Chongqing Xinshuangquan Urban Construction and Development Co., Ltd.	84464
157	Chouzhou International Investment Limited	5390
158	Chouzhou International Investment Limited	5946
159	Chubb INA Holdings LLC	85047
160	Chubb INA Holdings LLC	85048
161	Chubb INA Holdings LLC	85049
162	Chubb INA Holdings LLC	85125
163	Chubb INA Holdings LLC	85126
164	Cixi State-owned Assets Investment Holding Co., Ltd.	5341
165	Cixi State-owned Assets Overseas Development Company Limited	40272
166	CLP Power HK Finance Ltd	40044
167	CLP Power HK Finance Ltd	5400
168	CLP Power HK Finance Ltd.	5740
169	CMBC International Funding (HK) Limited	40081
170	CMHI Finance (BVI) Co., Ltd	5135
171	CMHI Finance (BVI) Co., Ltd	40408
172	CMHI Finance (BVI) Co., Ltd	40409
173	CMHI Finance (BVI) Co., Ltd	5275
174	CNOOC Finance (2003) Limited	2568
175	CNOOC Finance (2011) Limited	4507
176	CNOOC Finance (2012) Limited	4547
177	CNOOC Finance (2013) Limited	5942
178	CNOOC Finance (2013) Limited	40016
179	CNOOC Finance (2015) Australia Pty Ltd	5507
180	CNOOC Finance (2015) U.S.A. LLC	4478
181	CNOOC Nexen Finance (2014) ULC	5739
182	CNPC (HK) Overseas Capital Ltd.	4514
183	Contemporary Ruiding Development Limited	40382
184	Contemporary Ruiding Development Limited	40833
185	COSL Singapore Capital Ltd.	40278
186	COSL Singapore Capital Ltd.	85112
187	CRCC Chengan Limited	5581
188	CRCC Huayuan Limited	84570
189	CRCC Huayuan Limited	85087
190	CRCC Huayuan Limited	85088
191	CRCC Yupeng Limited	5778
192	CSCEC Finance (Cayman) II Limited	5233
193	CSSC Capital 2015 Limited	40146
194	CSSC Capital 2015 Limited	40066
195	Dah Sing Bank, Limited	5316
196	Dah Sing Bank, Limited	5524
197	Dazhou Kaisheng Construction Development Group Co., Ltd.	5471
198	Dazhou Kaisheng Construction Development Group Co., Ltd.	5809

199	Deep Development 2025 Limited	5570
200	Deqing Construction & Development Group Co., Ltd.	4554
201	Deqing Construction & Development Group Co., Ltd.	5125
202	Deqing County Cultural Tourism Development Group Co., Ltd.	5438
203	Deyang Development Holding Group Co., Ltd.	6001
204	Dianjian Haixing Limited	5816
205	DianJian Haiyu Limited	40396
206	DianJian Haiyu Limited	5144
207	DianJian Haiyu Limited	5197
208	DianJian Haiyu Limited	40136
209	Dongxing Voyage Company Limited	5145
210	Dongyang State Owned Assets Investment Co., Ltd.	4329
211	Dujiangyan Minjiang Water Group Co., Ltd.	5658
212	Dujiangyan Urban and Rural Construction Group Co., Ltd.	84463
213	Dujiangyan Urban and Rural Construction Group Co., Ltd.	84483
214	Elect Global Investments Limited	40173
215	Elect Global Investments Limited	40353
216	Eve Battery Investment Limited	40926
217	Excel Capital Global Limited	4496
218	Foshan Gaoming Construction Investment Group Co., Ltd.	5480
219	FPC Resources Limited	40373
220	Franshion Brilliant Limited	5030
221	Franshion Brilliant Limited	5229
222	Franshion Brilliant Limited	5347
223	Franshion Brilliant Limited	5447
224	Franshion Brilliant Limited	5472
225	Fubon Bank (Hong Kong) Limited	40566
226	Fugao Wantai Group Co., Ltd.	5437
227	Fujian Jinjiang Construction Investment Holding Group Co., Ltd.	84520
228	Fujian Jinjiang Construction Investment Holding Group Co., Ltd.	84552
229	Fujian Jinshang Holding Group Co., Ltd.	5671
230	Fujian Shishi State-owned Capital Operation Group Co., Ltd.	5470
231	Fujian Zhanglong Group Co., Ltd.	5938
232	Fujian Zhangzhou City Investment Group Co., Ltd.	84469
233	Fuqing State-owned Assets Operation Investment Group Co., Ltd.	84581
234	Fuyuan Worldwide Limited	5323
235	Fuyuan Worldwide Limited	85150
236	FWD Group Limited	5019
237	FWD Group Limited	5221
238	FWD Group Limited	5793
239	FWD Limited	4416
240	FWD Limited	5351
241	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	84454
242	Ganzhou Development Investment Holding Group Co., Ltd.	5474
243	Ganzhou Jiankong Investment Holding Group Co., Ltd.	4567
244	Ganzhou Jiankong Investment Holding Group Co., Ltd.	4586
245	Ganzhou Jiankong Investment Holding Group Co., Ltd.	4588
246	Ganzhou Urban Investment Holding Group Co., Ltd.	5181
247	Ganzhou Urban Investment Holding Group Co., Ltd.	5898
248	Ganzhou Urban Investment Holding Group Co., Ltd.	40031
249	Ganzhou Urban Investment Holding Group Co., Ltd.	85104
250	Gaoxin International Investment Co., Ltd.	6017
251	Gaoyou Construction Investment Development (BVI) Co., Ltd.	40053
252	GF FINANCIAL HOLDINGS BVI LTD.	5467
253	GF FINANCIAL HOLDINGS BVI LTD.	85015
254	GF FINANCIAL HOLDINGS BVI LTD.	5203
255	GOHL Capital Limited	5352
256	Gold Pole Capital Company Limited	5034
257	Guangxi Communications Investment Group Corporation Ltd.	5609
258	Guangzhou Development District Financial Holdings Group Co., Ltd.	4407
259	Guangzhou Development District Holding Group Limited	40014
260	Guangzhou Development District Investment Group Co., Ltd.	5716
261	Guoneng Environmental Protection Investment Group Co., Limited	40515
262	Guotai Junan Holdings Limited	6013
263	Haichuan International Investment Co., Ltd.	4512
264	Haichuan International Investment Co., Ltd.	5236
265	Hainan State Farms International Capital (HK) Co., Limited	4326
266	Haixi Overseas Investment Company Limited	4557
267	Haixi Overseas Investment Company Limited	5201
268	HaiYan Coastline Co., Limited	5842
269	Hanrui Overseas Investment Co Ltd	5416
270	Hebi Investment Group Co., Ltd.	84503
271	Henan Airport Investment Group Co., Ltd.	5479
272	HENAN RAILWAY CONSTRUCTION & INVESTMENT GROUP CO., LTD.	5046
273	HENAN RAILWAY CONSTRUCTION & INVESTMENT GROUP CO., LTD.	5375
274	Henan Water Conservancy Investment Group Co., Ltd.	5880
275	Hengjian International Investment Limited	5684
276	Hong Kong Sukuk 2017 Limited	4233
277	HongKong Xiangyu Investment Co., Limited	85034
278	Huafa 2021 I Company Limited	40806
279	Huafa 2024 I Company Limited	84542
280	Huafa 2024 I Company Limited	85000
281	Huafa 2024 I Company Limited	85077
282	Huai'an Investment Holdings Group Co., Ltd.	85004
283	Huai'an Traffic Holding Group Co., Ltd.	5584
284	Hualu International Finance (BVI) Limited	40889
285	Huaneng Hong Kong Capital Limited	5000
286	Huangshan Tourism Group Co., Ltd.	5711
287	Hubei Science & Technology Investment Group (Hong Kong) Company Limited	5922
288	HUBEI UNITED DEVELOPMENT INVESTMENT GROUP CO., LTD.	5442
289	Hunan Xiangjiang New Area Development Group Co., Ltd.	40890

290	Huzhou City Investment Development Group Co., Ltd.	40900
291	Huzhou City Investment Development Group Co., Ltd.	40398
292	Huzhou Moganshan High-tech Group Co., Ltd.	5270
293	IFC Development (Corporate Treasury) Limited	6000
294	IFC Development (Corporate Treasury) Limited	40198
295	Industrial and Commercial Bank of China (Asia) Limited	5686
296	Industrial and Commercial Bank of China (Macau) Limited	5750
297	Ji'An Chengtong Holding Group Co., Ltd	4305
298	Ji'An Chengtong Holding Group Co., Ltd	4517
299	Jiangmen Hi-tech Industrial Park Co., Ltd.	85005
300	Jiangmen Hi-tech Industrial Park Co., Ltd.	85017
301	Jiangsu Jurong Investment Group Co., Ltd	5705
302	Jiangsu Zhongyang Clean Energy Development Co., Ltd.	84510
303	Jiangsu Zhongyang Clean Energy Development Co., Ltd.	84511
304	Jiangsu Zhongyang Clean Energy Development Co., Ltd.	5198
305	Jiangyin State-owned Assets Holding (Group) Co., Ltd.	84458
306	Jiaxing City Investment and Development Group Co., Ltd.	84460
307	JIC Zhixin Limited	5032
308	JIC Zhixin Limited	40361
309	Jinan Hi-tech Holding Group Co., Ltd.	5239
310	Jinan Hi-tech Holding Group Co., Ltd.	5707
311	JINAN HI-TECH INTERNATIONAL (CAYMAN) INVESTMENT DEVELOPMENT CO., LIMITED	5934
312	JINAN HI-TECH INTERNATIONAL (CAYMAN) INVESTMENT DEVELOPMENT CO., LIMITED	5992
313	Jinan Lixia Holding Group Co., Ltd.	5928
314	Jinan Urban Construction International Investment Co., Limited	5282
315	JINGDEZHEN HESHENG INDUSTRIAL INVESTMENT DEVELOPMENT CO., LTD.	84466
316	Jingzhou Municipal Urban Development Holding Group Co., Ltd.	84532
317	Jingzhou Municipal Urban Development Holding Group Co., Ltd.	5180
318	Jining City Development Capital Holding Co., Ltd.	84531
319	Jining City Development Capital Holding Co., Ltd.	84535
320	JINKO POWER (HK) COMPANY LIMITED	40567
321	Jinshine International Co., Ltd	5872
322	JL MAG Green Tech (Hong Kong) Company Limited	5834
323	JOY DELIGHT INTERNATIONAL LIMITED	84529
324	JOY DELIGHT INTERNATIONAL LIMITED	85083
325	JOY DELIGHT INTERNATIONAL LIMITED	85108
326	JOY DELIGHT INTERNATIONAL LIMITED	85128
327	Joy Treasure Assets Holdings Inc.	5903
328	Joy Treasure Assets Holdings Inc.	40000
329	Joy Treasure Assets Holdings Inc.	40468
330	Kaifeng Development and Investment Group Co., Ltd.	5606
331	King Talent Management Limited	5026
332	Knowledge City (Guangzhou) Investment Group Co., Ltd.	5465
333	Knowledge City (Guangzhou) Investment Group Co., Ltd.	85050
334	Korean Reinsurance Company	5815
335	Lai Sun (2026) Limited	40608
336	LICHENG INTERNATIONAL DEVELOPMENT CO., LTD.	5851
337	LICHENG INTERNATIONAL DEVELOPMENT CO., LTD.	40421
338	LINGANG OASIS INC.	84524
339	Link CB Limited	5662
340	Lintou Overseas Co., Ltd	5410
341	Lishui Economic and Technological Development Zone Industrial Development Group Co., Ltd.	5406
342	Liyuan International Co., Limited	5947
343	Longchuan Overseas Investment Co., Ltd.	84473
344	Longchuan Overseas Investment Co., Ltd.	84484
345	Longnan Tourism Development and Investment Group Co., Ltd.	5709
346	Luso International Banking Limited	5078
347	Luso International Banking Limited	5817
348	Luso International Banking Limited	6007
349	Luso International Banking Limited	85158
350	Luso International Banking Limited	40665
351	Malaysia Sovereign Sukuk Berhad	5895
352	Malaysia Sovereign Sukuk Berhad	40619
353	Malaysia Sovereign Sukuk Berhad	40637
354	Malaysia Sukuk Global Berhad	5634
355	Malaysia Wakala Sukuk Berhad	40662
356	Malaysia Wakala Sukuk Berhad	40663
357	Maoming Port Group Co., Ltd.	84500
358	MCC Holding (Hong Kong) Corporation Limited	4483
359	MCC Holding (Hong Kong) Corporation Limited	40188
360	MCC Holding (Hong Kong) Corporation Limited	40653
361	Mianyang Investment Holding (Group) Co., Ltd.	5730
362	Mianyang Science & Technology City Development & Investment (Group) Co., Ltd.	84480
363	Mianyang Science & Technology City Development & Investment (Group) Co., Ltd.	84486
364	Mianyang Science & Technology City Development & Investment (Group) Co., Ltd.	84504
365	Midea Investment Development Company Limited	4501
366	Minghu International (BVI) Investment Development Co., Limited	5213
367	Minmetals Bounteous Finance (BVI) Limited	40175
368	Minmetals Capitals & Securities, INC.	40026
369	Minmetals Capitals & Securities, INC.	40027
370	MOON KING LIMITED	85018
371	Nan Fung Treasury Limited	40336
372	Nanchang Jinkai Group Co., Ltd.	4535
373	Nanchang Jinkai Group Co., Ltd.	5009
374	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5054
375	Nanning Communications Investment Group Co., Ltd.	4578
376	Nanxun Communications Investment Group Co., Ltd.	5303
377	Nanyang Commercial Bank, Limited	5214
378	Nanyang Commercial Bank, Limited	40069
379	Nanyang Commercial Bank, Limited	5207
380	Nanyang Commercial Bank, Limited	5752

381	Nanyang Commercial Bank, Limited	5147
382	New Hope Group Co., Ltd.	84475
383	New Hope Group Co., Ltd.	84506
384	New Town Construction Investment (Jizhou, Tianjin) Co., Ltd.	5599
385	Ningbo Fenghua Investment Group Co., Ltd.	84568
386	Ningbo Haishu Development and Construction Investment (Group) Co., Ltd.	5267
387	Ningbo Yincheng Group Co., Ltd.	5137
388	NWD Finance (BVI) Limited	4561
389	NWD Finance (BVI) Limited	5856
390	NWD Finance (BVI) Limited	40262
391	NWD Finance (BVI) Limited	40504
392	NWD Finance (BVI) Limited	40711
393	NWD Finance (BVI) Limited	5312
394	Omni Ireland Credit Card Securitization T3 DAC	40476
395	Omni Ireland Credit Card Securitization T3 DAC	40477
396	Omni Ireland Credit Card Securitization T3 DAC	40478
397	Omni Ireland Credit Card Securitization T3 DAC	40479
398	Omni Ireland Credit Card Securitization T3 DAC	40480
399	Omni Ireland Credit Card Securitization T3 DAC	40481
400	Omni Ireland Credit Card Securitization T3 DAC	40482
401	Omni Ireland Credit Card Securitization T3 DAC	40483
402	Omni Ireland Credit Card Securitization T3 DAC	40484
403	Omni Ireland Credit Card Securitization T3 DAC	40485
404	Omni Ireland Credit Card Securitization T3 DAC	40486
405	Omni Ireland Credit Card Securitization T3 DAC	40487
406	Omni Ireland Credit Card Securitization T3 DAC	40488
407	Omni Ireland Credit Card Securitization T3 DAC	40489
408	Orient ZhiSheng Limited	5953
409	Oriental Capital Company Limited	5883
410	OVPH Limited	5614
411	Panther Ventures Limited	40378
412	Panther Ventures Limited	40512
413	Panther Ventures Limited	40872
414	Peak Re (BVI) Holding Limited	40437
415	Peak Re (BVI) Holding Limited	6012
416	Petron Corporation	5905
417	PHBS Limited	4325
418	Phoenix Charm International Investment Limited	5421
419	Phoenix Charm International Investment Limited	5744
420	Phoenix Lead Limited	5262
421	Pinghu State-owned Assets Holding Group Co., Ltd.	84555
422	Pingtang Comprehensive Pilot Zone City Development Group Co., Ltd.	84470
423	Pingtang Comprehensive Pilot Zone City Development Group Co., Ltd.	84479
424	Pingxiang City Construction Investment Group Co., Ltd.	5431
425	Powerchina Roadbridge Group (British Virgin Islands) Limited	40635
426	Prologis, L.P.	84560
427	PTT Public Company Limited	2527
428	Pujiang State-Owned Capital Investment Group Co., Ltd.	5214
429	Qingdao Bullet Train Town Investment Group Co., Ltd.	5314
430	Qingdao Jiaozhou Bay Development Group Co., Ltd.	84453
431	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5005
432	Qingdao Jiaozhou Bay Development Group Co., Ltd.	84526
433	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5369
434	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5496
435	Qingdao Jiaozhou Urban Development And Investment Co., Ltd.	5450
436	Qingdao Ocean Tec Valley Investment Development Group Co., Ltd.	85009
437	Qingdao Pingdu Holdings Group Co., Ltd.	5349
438	Qingdao Pingdu Holdings Group Co., Ltd.	5466
439	QUAN CHENG FINANCIAL HOLDINGS (BVI) LIMITED	5178
440	Quanzhou Nanyi Investment Group Co., Ltd.	5484
441	Quanzhou Urban Construction Group Co., Ltd.	84517
442	Quzhou Qutong Transportation Investment Development Co., Ltd.	5340
443	Quzhou State-owned Capital Operation Co., Ltd.	5377
444	Radiant Access Limited	5205
445	Rizhao Xinlanshan Caijin Investment Group Co., Ltd.	5973
446	Rongshi International Finance Limited	5449
447	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40213
448	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	4418
449	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40286
450	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40287
451	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	5025
452	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	5029
453	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	5026
454	SAN SHUI INTERNATIONAL DEVELOPMENT CO., LTD	84598
455	SAN SHUI INTERNATIONAL DEVELOPMENT CO., LTD	85006
456	Sanming City Construction and Development Group Co., Ltd.	84477
457	Sanming City Construction and Development Group Co., Ltd.	84487
458	Science City (Guangzhou) Investment Group Co., Ltd.	40111
459	SDG FINANCE I LIMITED	4539
460	SDG Finance Limited	5247
461	SDG Finance Limited	5573
462	SDG Finance Limited	5608
463	SDG Finance Limited	85151
464	SDSC Global Finance Limited	5303
465	SEPCO Virgin Limited	5258
466	SF Holding Investment 2021 Limited	40920
467	SF Holding Investment 2021 Limited	40921
468	SF Holding Investment 2021 Limited	40922
469	SF Holding Investment Limited	40152
470	SFG International Holdings Co. Limited	40418
471	Shaanxi Financial Asset Management Co.,Ltd.	4542

472	Shaanxi Xixian New Area Fengxi Development Group Co., Ltd.	84455
473	Shandong Commercial Group Co., Ltd.	85144
474	Shandong Gaochuang Holding Group Co., Ltd.	84594
475	Shandong Hesheng Investment Co., Ltd.	84528
476	Shandong Hesheng Investment Co., Ltd.	84541
477	Shandong Hesheng Investment Co., Ltd.	84545
478	Shanghai Commercial Bank Limited	5043
479	Shanghai Commercial Bank Limited	5530
480	Shanghai Commercial Bank Limited	5713
481	Shanghai Electric Global Capital Limited	85153
482	Shanghai Port Group (BVI) Development 2 Co., Limited	40299
483	Shanghai Port Group (BVI) Development Co., Limited	5741
484	Shangrao City Construction Investment Development Group Company Limited	5893
485	Shangrao City Construction Investment Development Group Company Limited	5910
486	Shangrao Investment Holdings International Company Limited	6002
487	Shangrao Urban Operation (Holding) Group Co., Ltd.	84571
488	Shangrao Urban Operation (Holding) Group Co., Ltd.	84580
489	Shangrao Urban Operation (Holding) Group Co., Ltd.	85019
490	SHANGYU URBAN CONSTRUCTION HONG KONG COMPANY LIMITED	40932
491	Shaoxing City Development Group Limited	40536
492	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	4439
493	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	5907
494	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	40093
495	Shengcheng Culture and Tourism Group International Trade Co., Ltd	84599
496	Shengzhou Communications Investment and Development Group Co., Ltd.	5473
497	Shengzhou Investment Holdings Co., Ltd.	5993
498	Shengzhou Investment Holdings Co., Ltd.	40085
499	Shenwan Hongyuan International Finance Limited	5460
500	Shenwan Hongyuan International Finance Limited	40554
501	Shenzhen Futian Investment Holdings Co., Ltd	84573
502	Shuifa International Holdings (BVI) Co., Ltd.	4546
503	Sichuan Gloport Investment Development Group Co., Ltd	85094
504	Sichuan Gloport Investment Development Group Co., Ltd	85101
505	Sihai International Investment Limited	5175
506	Sihai International Investment Limited	5518
507	Sihai International Investment Limited	5534
508	Sino Trendy Investment Limited	5952
509	Sinochem Global Capital Co., Ltd.	5935
510	Sinochem Overseas Capital Company Limited	4502
511	Sino-Ocean Land Treasure Finance II Limited	5869
512	Sino-Ocean Land Treasure III Limited	5276
513	Sino-Ocean Land Treasure IV Limited	5623
514	Sino-Ocean Land Treasure IV Limited	40115
515	Sinosing Services Pte. Ltd.	40156
516	SJM International Limited	40045
517	Societe Generale	5947
518	Societe Generale	5959
519	Societe Generale	5960
520	Societe Generale	5961
521	Societe Generale	5962
522	Songyang County State Capital Investment and Operation Group Co., LTD	84514
523	Songyang County State Capital Investment and Operation Group Co., LTD	5327
524	Songyang County State Capital Investment and Operation Group Co., LTD	84583
525	SPIC 2016 US dollar Bond Company Limited	5325
526	SPIC 2018 USD Senior Perpetual Bond Company Limited	5453
527	State Grid Europe Development (2014) Public Limited Company	5121
528	State Grid Overseas Investment (2013) Limited	5955
529	State Grid Overseas Investment (2014) Limited	5743
530	Suizhou Huasheng Industrial Development Co., Ltd.	85032
531	Sunny Express Enterprises Corp.	40030
532	Sunny Express Enterprises Corp.	40031
533	Sunny Express Enterprises Corp.	40204
534	Sunny Express Enterprises Corp.	4505
535	Suzhou City Construction Investment Group (Holding) Co., Ltd.	5751
536	Tai Fung Bank Limited	5686
537	Taishan City Investment Co., Limited	84496
538	Taishan City Investment Co., Limited	84530
539	Taishan Finance International Business Co., Limited	5301
540	TAIZHOU HUANGYAN ECONOMIC DEVELOPMENT GROUP CO., LTD.	5374
541	Taizhou Huangyan Transportation and Tourism Investment Group Co., Ltd.	5488
542	Taizhou State-owned Assets Investment Group Co., Ltd.	5242
543	Taizhou Urban Construction and Investment Development Group Co., Ltd.	5849
544	Taizhou Urban Construction and Investment Development Group Co., Ltd.	5107
545	Taizhou Urban Construction and Investment Development Group Co., Ltd.	40599
546	Talent Yield International Limited	40676
547	Tencent Music Entertainment Group	40366
548	Three Gorges Finance I (Cayman Islands) Limited	5999
549	Tianfeng Securities Co., Ltd.	40084
550	Tianjin Binhai New Area Construction & Investment Group Co., Ltd.	5773
551	Tianjin Lingang Investment Holding Group Co., Ltd.	5753
552	Tianjin State-owned Capital Investment and Management Co., Ltd.	40104
553	Tianjin State-owned Capital Investment and Management Co., Ltd.	40105
554	Tianjin State-owned Capital Investment and Management Co., Ltd.	40106
555	Tongling State-owned Capital Operation Holding Group Company Limited	5889
556	TONGREN STATE-OWNED CAPITAL OPERATION CO., LTD.	5911
557	Top Luxury Investment Limited	5598
558	Towngas (Finance) Limited	5749
559	Towngas (Finance) Limited	6018
560	Tsinghua Unic Limited	4415
561	UETD Construction & Development State-owned Capital Investment Operation (Group) Co., Ltd.	40499
562	Urumqi Gaoxin Investment and Development Group Co., Ltd.	84444

563	Urumqi Gaoxin Investment and Development Group Co., Ltd.	5871
564	Vertex Capital Investment Limited	84499
565	Vertex Capital Investment Limited	85111
566	Vertex Capital Investment Limited	85133
567	Weifang Urban Construction and Development Investment Group Co., Ltd.	5176
568	Weifang Urban Construction and Development Investment Group Co., Ltd.	84569
569	Weifang Urban Construction and Development Investment Group Co., Ltd.	5762
570	Weimob Investment Limited	5186
571	Well Link Life Insurance Company Limited	40494
572	WENS FOODSTUFF GROUP CO., LTD.	40443
573	Wenzhou Lucheng District State-owned Holding Group Co., Ltd.	84588
574	Wuhan Financial Holdings (Group) Co., Ltd.	5281
575	Wuhan Metro Group Co., Ltd.	5467
576	Wuhan Urban Construction Group Co., Ltd.	5031
577	Wuxing City Investment HK Company Limited	5067
578	XI'AN PORT INDUSTRIAL CO., LIMITED	5921
579	XI'AN PORT INDUSTRIAL CO., LIMITED	6003
580	Xiangyu Investment (BVI) Co., Ltd.	5486
581	Xianjin Industry Investment Company Limited	5848
582	Xianjin Industry Investment Company Limited	85147
583	Xihui Haiwai I Investment Holdings Co., Limited	84582
584	Xin Yue Company Limited	4442
585	Xin Yue Company Limited	85160
586	Xinchang County Transportation Investment Group Company Limited	5964
587	Xingye Gold (Hong Kong) Mining Company Limited	40090
588	Yancheng Dafeng Haicheng Industrial Development Co., Ltd.	40073
589	Yancheng Dafeng Xiecheng Industrial Development Co., Ltd.	85097
590	Yancheng High-tech Zone Investment Group Co., Ltd.	5151
591	Yancheng High-tech Zone Investment Group Co., Ltd.	5150
592	Yancheng High-tech Zone Investment Group Co., Ltd.	5355
593	Yancheng State-Owned Energy Investment Co., Ltd	84467
594	Yancoal International Resources Development Co., Limited	5424
595	Yancoal International Trading Co., Limited	5753
596	Yangzhou Economic and Technological Development Zone Development (Group) Co., Ltd	5472
597	Yangzhou Hanjiang District State-owned Capital Investment Group Co., Ltd.	84584
598	Yankuang Group (Cayman) Limited	5238
599	Yankuang Group (Cayman) Limited	5403
600	YCIC International (HK) Co., Limited	40908
601	Yi Bright International Limited	84468
602	Yi Bright International Limited	84489
603	Yi Bright International Limited	5024
604	Yibin Development Holding Group Co., Ltd	85143
605	Yibin Emerging Industry Investment Group Co., Ltd	84521
606	Yibin Emerging Industry Investment Group Co., Ltd	5173
607	Yichang High-Tech Investment Development Co., Ltd.	5300
608	Yichun Yuanzhou District State-owned Investment Group Co., Limited	5324
609	Yiwu State-owned Capital Operation Co., Ltd.	40413
610	YONGDA Investment Limited	5603
611	Yongzhou Lingling Asset Management Co., Ltd.	84482
612	Yueyang Construction and Investment Group Co., Ltd.	40935
613	Yueyang Construction and Investment Group Co., Ltd.	5427
614	Yunnan Energy Investment Overseas Finance Company Ltd.	40352
615	Zhangzhou Jiulongjiang Group Co., Ltd.	5722
616	Zhanjiang Infrastructure Construction Investment Group Co., Ltd.	84491
617	Zhaoqing Guolian Investment Holding Co., Ltd	5254
618	Zhejiang Baron (BVI) Company Limited	5128
619	Zhejiang Changxing Financial Holdings Group Co., Ltd.	5316
620	Zhejiang Changxing Financial Holdings Group Co., Ltd.	5462
621	Zhejiang Changxing Jingkai Construction & Development Co., Ltd	40149
622	Zhejiang Energy International Limited	85142
623	Zhejiang Xinchang Investment Development Co., Ltd.	4304
624	Zhejiang Xinchang Investment Development Co., Ltd.	5218
625	Zhengzhou Jinshui Holding Group Co., Ltd.	5489
626	Zhengzhou Metro Group Co., Ltd.	5278
627	Zhengzhou Urban Construction Investment Group Co., Ltd.	5347
628	Zhengzhou Urban Construction Investment Group Co., Ltd.	5694
629	ZHENGZHOU URBAN DEVELOPMENT GROUP CO., LTD.	85040
630	ZHENGZHOU URBAN DEVELOPMENT GROUP CO., LTD.	85055
631	ZHENGZHOU URBAN DEVELOPMENT GROUP CO., LTD.	5853
632	Zhenjiang Culture & Broadcasting Industry Group Co., Ltd.	4324
633	Zhenjiang Culture & Broadcasting Industry Group Co., Ltd.	4568
634	Zhenjiang Transportation Industry Group Co., Ltd.	5737
635	Zhongyuan Asset Management Co., Ltd	5533
636	Zhongyuan Yuzi Investment Holding Group Co., Ltd.	85139
637	Zhongyuan Zhicheng Co., Ltd.	5021
638	Zhoukou Urban Construction Investment Development Limited Company	5448
639	Zhuji Development Limited	5156
640	Zhuzhou City Construction Development Group Co., Ltd.	5477
641	Zibo Caijin Holding Group Co., Ltd.	84448
642	Zibo Caijin Holding Group Co., Ltd.	85159
643	Zibo City Asset Operation Group Co., Ltd.	84481
644	Zibo City Asset Operation Group Co., Ltd.	84507
645	Zibo City Asset Operation Group Co., Ltd.	84519
646	Zibo City Asset Operation Group Co., Ltd.	85011
647	Zibo High-Tech International Investment Co., Limited	5354
648	Zibo High-Tech International Investment Co., Limited	84527
649	Zoomwe Hong Kong New Energy Technology Co., Limited	4581
650	ZOUCHENG LIMIN CONSTRUCTION DEVELOPMENT GROUP CO., LTD.	85003

第3類豁免：適用於股份在香港聯合交易所有限公司上市的以法團形式運作的開放式集體投資計劃

名稱	聯交所 股份代號
1 Xtrackers Vietnam Swap UCITS ETF*	3087
2 Xtrackers MSCI China A UCITS ETF	3007
3 Xtrackers MSCI Korea UCITS ETF	2848
4 Xtrackers MSCI Taiwan UCITS ETF	3036
5 Xtrackers MSCI USA Swap UCITS ETF*	3020
6 Xtrackers Nifty 50 Swap UCITS ETF*	3015
7 Global X China Consumer Brand ETF	2806 / 9806
8 Global X China Electric Vehicle and Battery ETF	2845 / 9845
9 Global X China Clean Energy ETF	2809 / 9809
10 Global X China Semiconductor ETF	3191 / 9191
11 Global X China Robotics and AI ETF	2807 / 9807
12 CSOP STAR 50 Index ETF	3109
13 Global X China Innovator Active ETF	3058
14 Global X China Global Leaders ETF	3050
15 CSOP Huatai-PineBridge CSI Photovoltaic Industry ETF	3134
16 CSOP Hang Seng Biotech ETF	3174
17 Global X Asia Semiconductor ETF	3119
18 Global X China E-commerce and Logistics ETF	3124
19 Global X China Games and Entertainment ETF	3117
20 CSOP MSCI China A 50 Connect ETF	3003
21 Global X Autonomous and Electric Vehicles ETF	2849
22 Global X FinTech ETF	3185
23 Global X Asia Innovator Active ETF	3051
24 CSOP NASDAQ 100 ETF	3034
25 Fubon ICE FactSet Taiwan Core Semiconductor Index ETF	3076
26 Fubon Hang Seng Shanghai-Shenzhen-Hong Kong (Selected Corporations) High Dividend Yield Index ETF	3190
27 CSOP FTSE Vietnam 30 ETF	3004
28 E Fund (HK) HSI ESG Enhanced Index ETF	3039
29 Boserá RMB Money Market ETF	3192 / 83192
30 Phillip HK Newly Listed Equities Index ETF	2835
31 Pando Blockchain ETF	3112
32 Pando Innovation ETF	3056
33 Boserá HKD Money Market ETF	3152
34 Boserá USD Money Market ETF	3196 / 83196 / 9196
35 Global X China MedTech ETF	2841
36 Global X Asia USD Investment Grade Bond ETF	3075 / 9075
37 Global X China Little Giant ETF	2815
38 Boserá 20+ Year US Treasury Bond ETF	3156 / 9156 / 9107
39 Global X HSCEI Components Covered Call Active ETF	3416
40 Global X HSI Components Covered Call Active ETF	3419
41 Global X K-pop and Culture ETF	3158
42 Boserá HashKey Bitcoin ETF	3008 / 9008
43 Boserá HashKey Ether ETF	3009 / 9009
44 ChinaAMC Bitcoin ETF	3042 / 83042 / 9042
45 ChinaAMC Ether ETF	3046 / 83046 / 9046
46 Harvest Bitcoin Spot ETF	3439 / 9439
47 Harvest Ether Spot ETF	3179 / 9179
48 Doo Wealth Selected AI and Automation Active ETF	3413
49 Doo Wealth Selected Digital Payments ETF	3412
50 Doo Wealth Selected Web3 ETF	3426
51 Boserá China Reform Hong Kong Central-SOEs High Dividend Yield Index ETF	3437 / 83437 / 9437
52 Fubon Solactive Core Diversified Multi Asset Index ETF	3427
53 Global X US Treasury 0-3 Month ETF	3440 / 9440
54 Global X G2 Tech ETF	3402
55 Global X AI Infrastructure ETF	3401
56 Global X Hang Seng TECH Components Covered Call Active ETF	3417
57 CMS Hang Seng Tech Index ETF	3423
58 Global X FTSE Greater China ETF	3470
59 Global X MSCI Asia Pacific ex Japan ETF	3064 / 9064
60 Global X China Core TECH ETF	3448
61 Pando Bitcoin ETF	2818
62 Global X S&P 500 Covered Call Active ETF	3415 / 9415
63 MicroBit Bitcoin Spot ETF	3430 / 9430
64 MicroBit Ether Spot ETF	3425 / 9425
65 Global X Nasdaq 100 Covered Call Active ETF	3451 / 9451
66 Value Partners HKD Money Market ETF	3421
67 Value Partners RMB Money Market ETF	3420 / 83420
68 Value Partners USD Money Market ETF	3480 / 9480
69 ChinaAMC Solana ETF	3460 / 83460 / 9460
70 ChinaAMC HKD Digital Money Market Fund	3471
71 ChinaAMC RMB Digital Money Market Fund	3461 / 83461
72 ChinaAMC USD Digital Money Market Fund	3472 / 9472
73 Pando Ethereum ETF	3085
74 Galaxy Boserá MSCI China ASEAN Econ Linkage Select Index ETF	2805 / 82805 / 9805
75 Global X China Life Franklin HK-US Equity Select ETF	3428 / 83428 / 9428
76 Value Partners HK-US Dividend Low Volatility ETF	3488
77 ChinaAMC Digital Gold ETF	3418 / 83418 / 9418
78 Global X Gold Covered Call Active ETF*	3533 / 41533
79 MicroBit Bitcoin & Gold Value ETF	3002 / 9002
80 Global X Hang Seng High Dividend Yield Enhanced Income ETF	3555 / 83555 / 41555

* 此基金為一隻合成交易所買賣基金

第4類豁免：適用於聯交所的交易所參與者

名稱

- 1 中國創盈市場服務有限公司
- 2 中國投資資訊有限公司

第5類豁免：適用於屬結算參與者的結算所

名稱

- 1 中國證券登記結算有限責任公司
- 2 中國證券登記結算（香港）有限公司

根據《證券及期貨條例》(第571章)第309(2) 條批給的其他豁免

名稱

- 1 Budweiser Brewing Company APAC Limited
- 2 京東健康股份有限公司
- 3 京東物流股份有限公司
- 4 雲音樂股份有限公司
- 5 京東工業股份有限公司