

根據《證券及期貨條例》(第571章)第309(2)條批給的豁免

以下載列就(一)發行在香港聯合交易所有限公司(聯交所)上市的證券的法團及(二)其他人士而批給的豁免。該等豁免就(一)批給該等法團、其大股東、董事及最高行政人員，及就(二)批給其他人士，使其無須就其於聯交所上市證券的權益所衍生的義務而遵從《證券及期貨條例》第XV部。本清單每月更新。

證監會網站內有關《證券及期貨條例》第XV部的網頁已登載證監會指引，列明根據該條例第309條批給豁免的依據。目前提供的豁免分為五類，而該指引已對該五類豁免加以述明。

第1類部份豁免：適用於股份已在香港聯合交易所有限公司及另一證券交易所上市的法團

名稱	聯交所 股份代號 (<i>注解1</i>)	
1 宏利金融有限公司	0945 - S	
2 渣打集團有限公司	2888	(及 4305, 5348, 5890, 5986, 40276 及 40518)
3 英國保誠有限公司	2378	
4 迅銷有限公司 - 預託證券	6288 - S	(該豁免僅適用於迅銷有限公司及其大股東)
5 京東集團股份有限公司	9618 - SW	
6 網易股份有限公司	9999 - S	
7 華住集團有限公司	1179 - S	
8 萬國數據控股有限公司	9698 - SW	
9 新東方教育科技(集團)有限公司	9901 - S	
10 汽車之家	2518 - S	
11 百度集團股份有限公司	9888 - SW	
12 攜程集團有限公司	9961 - S	
13 微博股份有限公司	9898 - SW	
14 蔚來集團	9866 - SW	
15 騰訊音樂娛樂集團	1698 - SW	
16 360數科股份有限公司	3660 - S	

注解1: S - 第二上市公司
W - 不同投票權架構的公司

第2類豁免：適用於並無股份在香港聯合交易所有限公司上市的法團

(a)第2類豁免：適用於結構性產品現時在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

名稱	豁免期限 (<i>注解2</i>)	
	豁免開始日	豁免終止日
1 BOCI Asia Limited	2007年12月21日	2026年12月20日
2 BNP Paribas Issuance B.V.	2007年12月21日	2026年12月20日
3 CITIC Securities Brokerage (HK) Limited	2024年2月22日	2027年2月21日
4 Citigroup Global Markets Europe AG	2019年11月1日	2026年10月31日
5 DBS Bank Ltd.	2021年8月24日	2026年8月23日
6 Goldman Sachs Structured Products (Asia) Limited	2007年12月21日	2026年12月20日
7 Guotai Junan Securities (Hong Kong) Limited	2019年10月28日	2026年10月27日
8 Huatai Financial Holdings (Hong Kong) Limited	2023年6月1日	2026年5月31日
9 J.P. Morgan Structured Products B.V.	2007年12月21日	2026年12月20日
10 Korea Investment & Securities Asia Limited	2023年12月4日	2026年12月3日
11 Macquarie Bank Limited	2008年1月1日	2026年12月31日
12 Morgan Stanley Asia Products Limited	2019年7月22日	2026年7月21日
13 SG Issuer	2016年4月1日	2026年3月31日
14 The Hongkong and Shanghai Banking Corporation Ltd	2007年12月23日	2026年12月22日
15 UBS AG	2007年12月21日	2026年12月20日

注解2: 授予以上法團的豁免期為12個月(或更少)，並豁免該法團在豁免期內所發行及在香港聯合交易所有限公司上市的結構性產品直至該結構性產品有效期間完結為止。

(b)第2類豁免：適用於債務項目下發行的債務證券在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

名稱	項目
1 AirAsia Global Notes Limited	US\$1,000,000,000 Multicurrency Medium Term Note Programme
2 Almasar C.I. Ltd.	USD8,000,000,000 Trust Certificate Issuance Programme
3 Amipeace Ltd.	HK\$20,000,000,000 Medium Term Note Programme
4 AMTD Group Company Limited	U.S.\$500,000,000 Medium Term Note Programme
5 AMTD IDEA Group	U.S.\$1,000,000,000 Medium Term Note Programme
6 AVIC International Leasing Co., Ltd.	US\$500,000,000 Medium Term Note Programme
7 AVIC International Leasing Co., Ltd.	U.S.\$500,000,000 Medium Term Note Programme
8 Azure Nova International Finance Limited	U.S.\$10,000,000,000 Medium Term Note Programme
9 Azure Orbit II International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
10 Azure Orbit IV International Finance Limited	U.S.\$7,000,000,000 Medium Term Note Programme
11 Bank of China (Hong Kong) Limited	U.S.\$15,000,000,000 Medium Term Note Programme
12 Bank of China (Luxembourg) S.A.	US\$30,000,000,000 Medium Term Note Programme
13 Beijing Capital Polaris Investment Co. Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
14 Bluestar Finance Holdings Limited	US\$3,000,000,000 Medium Term Note and Perpetual Securities Programme
15 Bocom Leasing Management Hong Kong Company Limited	US\$10,000,000,000 Medium Term Note Programme
16 Boom Up Investments Limited	US\$1,000,000,000 Medium Term Note Programme

17	CALC Bonds Limited	US\$3,000,000,000 Medium Term Note Programme
18	Castle Peak Power Finance Company Limited	U.S.\$2,000,000,000 Medium Term Note Programme
19	Cathay Pacific MTN Financing (HK) Limited	US\$2 billion Medium Term Note Programme
20	CCB Shipping and Aviation Leasing Corporation Limited	USD5,000,000,000 Medium Term Note Programme
21	CCBL (Cayman) 1 Corporation Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
22	CDBI Treasure I Limited	US\$500,000,000 Medium Term Note Programme
23	CDBL Funding 1	U.S.\$3,000,000,000 Medium Term Note Programme
24	CDBL Funding 1	US\$3,000,000,000 Medium Term Note Programme
25	CDBL Funding 2	US\$3,000,000,000 Medium Term Note Programme
26	Central Plaza Development Limited	US\$2,000,000,000 Guaranteed Medium Term Programme
27	CGNPC INTERNATIONAL LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
28	Chaillese International Finance Corporation	U.S.\$500,000,000 Medium Term Note Programme
29	Champion MTN Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
30	Charming Light Investments Ltd. and United Wealth Development Ltd.	US\$8,000,000,000 Medium Term Note Programme
31	Cheung Kong Finance (MTN) Limited and Joynote Ltd	US\$2,000,000,000 Medium Term Note Programme
32	Cheung Kong Infrastructure Finance (BVI) Limited	US\$5,000,000,000 Euro Medium Term Note Programme
33	China Cinda (2020) I Management Limited	U.S.\$7,400,000,000 Guaranteed Medium Term Note Programme
34	China Cinda (2020) I Management Limited	US\$2,000,000,000 Medium Term Note Programme
35	China Cinda Finance (2015) I Limited and China Cinda Finance (2015) II Limited	US\$3,000,000,000 Guaranteed Medium Term Note Programme
36	China Cinda Finance (2017) I Limited and China Cinda Finance (2017) II Limited	US\$10,000,000,000 Medium Term Note Programme
37	China CITIC Bank International Limited	US\$3,000,000,000 Medium Term Note Programme
38	China Construction Bank (Asia) Corporation Limited	US\$5,000,000,000 Medium Term Note Programme
39	China Development Bank Corporation and China Development Bank Corporation Hong Kong Branch	US\$30,000,000,000 Debt Issuance Programme
40	China Great Wall International Holdings III Limited	U.S.\$6,500,000,000 Medium Term Note Programme
41	China Huadian Overseas Development 2018 Limited	US\$2,000,000,000 Medium Term Note and Perpetual Securities Programme
42	China Overseas Finance (Cayman) VIII Limited	US\$2.5 billion medium note programme
43	China Overseas Finance (Cayman) VIII Limited	US\$4 billion medium note programme
44	Chong Hing Bank Limited	USD2,000,000,000 Medium Term Note and Perpetual Capital Securities Programme
45	CICC Hong Kong Finance 2016 MTN Limited	US\$10,000,000,000 Guaranteed Medium Term Note Programme
46	CITIC Securities Finance MTN Co., Ltd.	US\$3 billion Medium Term Note Programme
47	CK Property Finance (MTN) Limited	US\$5,000,000,000 Euro Medium Term Note Programme
48	CMB International Leasing Management Limited	US\$20,000,000,000 Medium Term Note Programme
49	CMB Wing Lung Bank Limited	U.S. \$2,000,000,000 Euro Medium Term Note Programme
50	CMBLEMTN1 Limited	U.S.\$5,000,000,000 Medium Term Note Programme
51	CMS International Gemstone Limited	US\$2,000,000,000 Medium Term Note Programme
52	CNCBINV 1 (BVI) Limited	US\$2,000,000,000 Medium Term Note Programme
53	CNPC General Capital Limited	US\$7,000,000,000 Euro Medium Term Note Programme
54	Connery Capital Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
55	COSL Singapore Capital Ltd.	US\$3,500,000,000 Euro Medium Term Note Programme
56	CSCIF Asia Limited	US\$3,000,000,000 Medium Term Note Programme
57	CSCIF Hong Kong Limited	US\$4,000,000,000 Medium Term Note Programme
58	CSI MTN Limited	U.S.\$3,000,000,000 Medium Term Note Programme
59	CSSC Capital 2015 Limited	USD3,000,000,000 Guaranteed Medium Term Note Programme
60	Dah Sing Bank, Limited	US\$2,000,000,000 Euro Medium Term Note Programme
61	DianJian Haiyu Limited, Dianjian International Finance Limited, Leader Goal International Limited, SEPCO Virgin Limited	U.S.\$2,500,000,000 Medium Term Note and Senior Perpetual Securities Programme
62	Eastern Creation II Investment Holdings Ltd.	US\$6,000,000,000 Guaranteed Euro Medium Term Note Programme
63	Everbright SHK (BVI) Limited	US\$1,000,000,000 Medium Term Note Programme
64	FEC Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
65	Franshion Brilliant Limited	US\$2,000,000,000 Medium Term Note Programme
66	Future Days Limited	US\$5,000,000,000 Medium Term Note Programme
67	FWD Group Holdings Limited	US\$5,000,000,000 Medium Term Note and Capital Securities Programme
68	GE (MTN) LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
69	Gemdale Ever Prosperity Investment Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
70	GLP China Holdings Limited	HK\$20,000,000,000 Medium Term Note Programme
71	Goodman HK Finance	US\$2,000,000,000 Medium Term Note Programme
72	Greenland Global Investment Limited	US\$8,000,000,000 Guaranteed Medium Term Notes Programme
73	Guangzhou Metro Investment Finance (BVI) Ltd.	US\$3,000,000,000 Guaranteed Medium Term Note Programme
74	Guotai Junan Holdings Limited	USD3,000,000,000 Medium Term Note Programme
75	Haitong International Finance Holdings Limited	USD1,000,000,000 Guaranteed Medium Term Note Programme
76	Haitong International Securities Group Limited	USD5,000,000,000 Medium Term Note Programme
77	Haitong UT Brilliant Limited	USD1,000,000,000 Guaranteed Medium Term Note Programme
78	Henderson Land MTN Limited	US\$7,000,000,000 Guaranteed Medium Term Note Programme
79	HKCG (Finance) Limited	US\$3,000,000,000 Medium Term Note Programme
80	HLP Finance Limited	US\$4 billion Medium Term Note Programme
81	Hong Kong Science and Technology Parks Corporation	HK\$1,707,000,000 Guaranteed Medium Term Note Programme
82	Horse Gallop Finance Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
83	Horsepower Finance Limited	US \$1,200,000,000 Medium Term Note Programme
84	Huarong Finance 2017 Co., Ltd.	U.S.\$14,500,000,000 Medium Term Note Programme
85	Huarong Finance 2019 Co., Ltd.	US\$2,900,000,000 Medium Term Note Programme
86	Huarong Finance II Co., Ltd	US\$11,000,000,000 Medium Term Note Programme
87	Huarong Leasing Management Hong Kong Company Limited	U.S.\$1,000,000,000 Medium Term Note Programme
88	Huatai International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
89	Hysan (MTN) Limited	US\$4,000,000,000 Medium Term Note Programme
90	ICBCIL Finance Co. Limited	US\$20,000,000,000 Medium Term Note Programme
91	ICIL Aero Treasury Limited	US\$20,000,000,000 Medium Term Note Programme
92	Industrial and Commercial Bank of Asia (China) Limited	U.S.\$6,000,000,000 Medium Term Note Programme
93	Industrial Bank Co., Ltd.	U.S.\$5,000,000,000 Medium Term Note Programme
94	Inventive Global Investments Limited	U.S.\$3,500,000,000 Medium Term Note Programme
95	Inventive Global Investments Limited	U.S.\$3,500,000,000 Medium Term Note Programme
96	Joy Treasure Assets Holdings Inc.	USD1,400,000,000 Medium Term Note Programme
97	Joyous Glory Group Limited	US\$1,000,000,000 Medium Term Note Programme
98	K.Wah International Financial Services Limited	US\$1,000,000,000 Medium Term Note Programme
99	KazMunayGas	RMB10,000,000,000 Medium Term Note Programme
100	Kunming Traffic Investment Co., Ltd.	US\$2,000,000,000 Medium Term Note Programme

101	Lai Fung MTN Limited	US\$2,000,000,000 Medium Term Note Programme
102	LAI SUN MTN LIMITED	US\$2,000,000,000 Medium Term Note Programme
103	Legend Fortune Limited	US\$2,000,000,000 Medium Term Note Programme
104	Midea Investment Development Company Limited	US\$3,000,000,000 Medium Term Note Programme
105	Modern Terminals Capital Limited	US\$1 billion Medium Term Note Programme
106	MTR Corporation (C.I.) Limited	US\$4 billion Medium Term Note Programme
107	New World China Land Limited	US\$2,000,000,000 Medium Term Note Programme
108	NWD (MTN) Limited	US\$7,000,000,000 Medium Term Note Programme
109	NWS (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
110	P&R International Treasury Limited	U.S.\$300,000,000 Medium Term Note and Perpetual Securities Programme
111	PETRONAS Capital Limited and PETRONAS Energy Canada Ltd.	US\$30,000,000,000 Medium Term Note Programme
112	Pingan Real Estate Capital Limited	US\$1,000,000,000 Medium Term Note Programme
113	Pioneer Reward Limited	USD10,000,000,000 Medium Term Note Programme
114	Power Assets Finance Limited	U.S.\$2,000,000,000 Medium Term Note Programme
115	Proven Glory Capital Limited	US\$5,000,000,000 Medium Term Note Programme
116	QNB Finance Ltd	U.S.\$22,500,000,000 Medium Term Note Programme
117	QNB Finance Ltd	USD30,000,000,000 Medium Term Note Programme
118	R-REIT International Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
119	RH International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
120	Rongshi International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
121	Shanghai Pudong Development Bank Co., Ltd., Hong Kong Branch	US\$5,000,000,000 Euro Medium Term Note Programme
122	Sichuan Development Holding Co., Ltd. and Yieldking Investment Limited	US\$2,000,000,000 Medium Term Note Programme
123	Silverbell Asia Limited	US\$1,000,000,000 Medium Term Note Programme
124	Sino (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
125	Sinochem Offshore Capital Company Limited	US\$15,000,000,000 Global Medium Term Note Programme
126	Soar Great Limited	US\$1,500,000,000 Guaranteed Medium Term Note Programme
127	Soar Wind Ltd	US\$3,500,000,000 Guaranteed Medium Term Note and Perpetual Capital Securities Programme
128	Soar Wise Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
129	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l., SPIC MTN Company Ltd.	U.S.\$3,000,000,000 Medium Term Note and Perpetual Securities Programme
130	Splendid International Investment Limited	U.S.\$1,500,000,000 Medium Term Note Programme
131	Standard Chartered Bank (Hong Kong) Limited and Standard Chartered Bank	US\$50,000,000,000 Debt Issuance Programme
132	State Elite Global Limited	US\$2,700,000,000 Medium Term Note Programme
133	State Grid Europe Development (2014) Public Limited Company	USD10,000,000,000 Guaranteed Medium Term Note Programme
134	State Grid Overseas Investment (2016) Limited	US\$15,000,000,000 Medium Term Note Programme
135	Sun Hung Kai Properties (Capital Market) Limited	US\$10,000,000,000 Medium Term Note Programme
136	Sunlight REIT MTN Limited	US\$1,000,000,000 Medium Term Note Programme
137	Swire Pacific MTN Financing (HK) Limited	US\$5,000,000,000 Medium Term Note Programme
138	Swire Pacific MTN Financing Limited	US\$5,000,000,000 Medium Term Note Programme
139	Swire Properties MTN Financing Limited	US\$3,000,000,000 Medium Term Note Programme
140	TCCL (Finance) Limited	U.S.\$2,000,000,000 Medium Term Note Programme
141	TFI Overseas Investment Limited	U.S.\$750,000,000 Structured Note and Medium Term Note Programme
142	The Hongkong and Shanghai Banking Corporation Limited	US\$20,000,000,000 Medium Term Note Programme
143	The Hong Kong Mortgage Corporation Limited	US\$30,000,000,000 Medium Term Note Programme
144	The Link Finance (Cayman) 2009 Limited and LINK QDS (SINGAPORE) PRIVATE LIMITED	US\$5,000,000,000 Guaranteed Euro Medium Term Note Programme
145	Unican Limited	US\$1,000,000,000 Guaranteed Euro Medium Term Note Programme
146	Urban Renewal Authority	US\$4,400,000,000 Medium Term Note Programme
147	Vanke Real Estate (Hong Kong) Company Limited	US\$9,500,000,000 Medium Term Note Programme
148	West Kowloon Cultural District Authority	USD1,000,000,000 Medium Term Note Programme
149	Westwood Group Holdings Limited	US\$3,000,000,000 Medium Term Note Programme
150	Wharf Finance (BVI) Limited, Wharf Finance (BVI) No.1 Limited, Wharf Finance (No.1) Limited and Wharf Finance Limited	US\$7 billion Medium Term Note Programme
151	Wharf REIC Finance (BVI) Limited	U.S.\$5,000,000,000 Medium Term Note Programme
152	Wheelock MTN (BVI) Limited	US\$5 billion Medium Term Note Programme
153	Wuhan Metro Group Co., Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
154	Xingcheng (BVI) Limited	US\$1,500,000,000 Medium Term Note Programme
155	Yuexiu REIT MTN Company Limited	US\$1,500,000,000 Medium Term Note Programme
156	Yuexiu REIT MTN Company Limited and MOON KING LIMITED	USD1,500,000,000 Guaranteed Medium Term Note Programme
157	Zhejiang Energy International Limited	USD2,000,000,000 Medium Term Note Programme

(c)第2類豁免：適用於就某一特定發行的債務證券現時在香港聯合交易所有限公司上市的法團（並無股份在香港聯合交易所有限公司上市）

名稱	聯交所 股份代號	
1	Airport Authority	4701
2	Alibaba Group Holding Limited	5845
3	Anhui Transportation Holding Group (H.K.) Limited	40815
4	Anhui Xihu Investment Holding Group Co., Ltd.	84556
5	Anhui Xihu Investment Holding Group Co., Ltd.	5351
6	Anji Qicai Lingfeng Rural Tourism Investment Co., Ltd	4531
7	AVIC International Finance & Investment Limited	40388
8	AVIC International Finance & Investment Limited	40919
9	AVIC International Finance & Investment Limited	5012
10	Azure Orbit III International Finance Limited	5620
11	Bank of Communications (Hong Kong) Limited	40176
12	Bank of Communications (Hong Kong) Limited	40751
13	Bauhinia ILBS 1 Limited	5797
14	Bauhinia ILBS 1 Limited	5790
15	Bauhinia ILBS 1 Limited	5791
16	Bauhinia ILBS 1 Limited	5792
17	Bauhinia ILBS 1 Limited	5795
18	Bauhinia ILBS 2 Limited	5187
19	Bauhinia ILBS 2 Limited	5188
20	Bauhinia ILBS 2 Limited	5189
21	Bauhinia ILBS 2 Limited	5192

22	Bauhinia ILBS 2 Limited	5193
23	Bauhinia ILBS 3 Limited	5967
24	Bauhinia ILBS 3 Limited	5968
25	Bauhinia ILBS 3 Limited	5985
26	Bauhinia ILBS 3 Limited	5987
27	Bauhinia ILBS 3 Limited	5990
28	BCEG (HongKong) Company Limited	40743
29	Black Peony (Hong Kong) Holding Co., Limited	5839
30	Blossom Joy Limited	40426
31	Blossom Joy Limited	40427
32	Blue Skyview Company Limited	5364
33	Bluestar Finance Holdings Limited	4550
34	Bluestar Finance Holdings Limited	5089
35	Bluestar Finance Holdings Limited	5599
36	Bluestar Finance Holdings Limited	40274
37	BNY Trust Company of Australia Limited	5766
38	BNY Trust Company of Australia Limited	40142
39	BNY Trust Company of Australia Limited	40878
40	Bolt Innovation Limited	40077
41	Bright Galaxy International Limited	40762
42	Cable and Wireless International Finance B.V.	1077
43	Cable and Wireless International Finance B.V.	1078
44	CALC Bonds Limited	5865
45	CCB Life Insurance Company Limited	5437
46	CCCI Treasure Limited	5893
47	CCCI Treasure Limited	40157
48	CCCI Treasure Limited	40158
49	CCCI Treasure Limited	84546
50	CCCI Treasure Limited	84553
51	CCCI Treasure Limited	84578
52	CCTHK 2021 Ltd.	4411
53	Celestial Dynasty Limited	5594
54	CELESTIAL MILES LIMITED	5706
55	CENTRAL INTERNATIONAL DEVELOPMENT (BVI) LIMITED	5157
56	Central Plaza Development Ltd.	5919
57	CGNPC International Limited	5059
58	CGNPC International Limited	5154
59	Chalco Hong Kong Investment Company Limited	5920
60	Chalco Hong Kong Investment Company Limited	5727
61	Chalco Hong Kong Investment Company Limited	5985
62	Chalco Hong Kong Investment Company Limited	40784
63	Chalieco Hong Kong Corporation Limited	5321
64	Chalieco Hong Kong Corporation Limited	5702
65	Chalieco Hong Kong Corporation Limited	40070
66	Champion Path Holdings Limited	40560
67	Chang Development International Limited	40160
68	Chang Development International Limited	5042
69	Chang Development International Limited	5481
70	Changde Urban Construction and Investment Group Co., Ltd.	5892
71	Changde Urban Development Group Co., Ltd.	84476
72	Changde Urban Development Group Co., Ltd.	84494
73	Changde Urban Development Group Co., Ltd.	84567
74	Changxing Urban Construction Investment Group Co., Ltd.	4552
75	Chengda International Co., Limited	84456
76	Chengda International Co., Limited	40021
77	Chengdu Aerotropolis City Development Group Co., Ltd.	5784
78	Chengdu Aerotropolis City Development Group Co., Ltd.	84534
79	Chengdu Airport Xingcheng Investment Group Co., Ltd.	5361
80	Chengdu Airport Xingcheng Investment Group Co., Ltd.	5469
81	Chengdu Communications Investment Group Corporation Limited	5062
82	Chengdu Communications Investment Group Corporation Limited	5297
83	Chengdu Communications Investment Group Corporation Limited	5298
84	CHENGDU DONGJIN HUAITOU RONGHE INDUSTRY INVESTMENT CO., LTD.	84513
85	Chengdu ETDZ State-owned Investment Group Co., Ltd.	5313
86	Chengdu ETDZ State-owned Investment Group Co., Ltd.	5283
87	Chengdu Hi-Tech Investment Group Co., Ltd.	40886
88	Chengdu Jianjiang Investment Group Co., Ltd.	5446
89	Chengdu Jianjiang Investment Group Co., Ltd.	5452
90	Chengdu Jiaozhi Financial Holding Group Co., Ltd.	40645
91	Chengdu Tianfu New Area Investment Group Co., Ltd.	4569
92	Chengdu Wenjiang Xingrongxi City Operation Group Co., Ltd.	5932
93	Chengdu Wuhou Industrial Development Investment Management Group Co., Ltd.	84589
94	Chengdu Xingcheng Investment Group Co., Ltd.	40028
95	Chengdu Xingjin Construction Investment Group Co., Ltd.	85021
96	Chengdu Xinjin City Industry Development Group Company Ltd.	40180
97	Chengdu Xinjin City Industry Development Group Company Ltd.	84474
98	Chengdu Xisheng Investment Group Co., Ltd.	5840
99	Chengdu Yunlai Investment Group Co., Ltd.	40226
100	Cheung Kong Bond Securities (02) Limited	4559
101	Cheung Kong Bond Securities (03) Limited	4595
102	Cheung Kong Infrastructure Finance (BVI) Limited	40698
103	Cheung Kong Infrastructure Finance (BVI) Limited	40789
104	Chibi City Development Group Co., Ltd.	84505
105	Chibi City Development Group Co., Ltd.	84516
106	China CITIC Bank International Limited	5199
107	China CITIC Bank International Limited	5728
108	China CITIC Bank International Limited	5831
109	China CITIC Bank International Limited	4594
110	China Development Bank Corporation	85904
111	China Development Bank Corporation	85905
112	China Development Bank Corporation	85934

113	CHINA ENERGY OVERSEAS INVESTMENT (HONG KONG) CO., LIMITED	5995
114	CHINA ENERGY OVERSEAS INVESTMENT (HONG KONG) CO., LIMITED	5996
115	China Gezhoubu Group (Hong Kong) Overseas Investment Co., Limited	40493
116	China Great Wall International Holdings IV Limited	5620
117	China Great Wall International Holdings V Limited	40350
118	China Great Wall International Holdings V Limited	40930
119	China Great Wall International Holdings VI Limited	5079
120	China Great Wall International Holdings VI Limited	5080
121	China Great Wall International Holdings VI Limited	5504
122	China Huadian Overseas Development 2018 Limited	40261
123	China Huadian Overseas Development Management Company Limited	4533
124	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	5089
125	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40095
126	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40502
127	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40503
128	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40544
129	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40019
130	China Life Insurance (Overseas) Co., Ltd	5250
131	China Life Insurance (Overseas) Company Limited, Hong Kong Branch	5832
132	China Minmetals Corporation	4442
133	China Minmetals Corporation	5009
134	China Overseas Finance (Cayman) III Limited	5989
135	China Overseas Finance (Cayman) V Limited	4580
136	China Overseas Finance (Cayman) VI Limited	5760
137	China Overseas Finance (Cayman) VII Limited	4476
138	China Overseas Grand Oceans Finance V (Cayman) Limited	85109
139	China Railway XunJie Co., Limited	5692
140	China Resources Power East Foundation Co., Ltd.	4516
141	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5167
142	China Southern Power Grid International Finance (BVI) Co., Limited	4598
143	China State Construction Finance (Cayman) I Limited	5462
144	China State Construction Finance (Cayman) I Limited	40712
145	China State Construction Finance (Cayman) II Limited	5038
146	China State Construction Finance (Cayman) III Limited	40083
147	China Three Gorges International Limited	40013
148	Chinalco Capital Holdings Limited	5745
149	Chinalco Capital Holdings Limited	40706
150	Chinalco Capital Holdings Limited	4497
151	Chinalco Capital Holdings Limited	5152
152	Chiyu Banking Corporation Limited	5034
153	Chiyu Banking Corporation Limited	5109
154	Chiyu Banking Corporation Limited	5564
155	Chongqing International Logistics Hub Park Construction Co., Ltd.	84561
156	Chongqing International Logistics Hub Park Construction Co., Ltd.	5206
157	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5699
158	Chongqing Southern New City Industrial Investment Group Co., Ltd.	5217
159	Chongqing Wansheng Industrial Park Development and Construction Co., Ltd.	84465
160	Chongqing Wansheng Industrial Park Development and Construction Co., Ltd.	84472
161	Chongqing Xinshuangquan Urban Construction and Development Co., Ltd.	84464
162	Chongqing Xinshuangquan Urban Construction and Development Co., Ltd.	84493
163	Chouzhou International Investment Limited	5814
164	Chouzhou International Investment Limited	5390
165	Chouzhou International Investment Limited	5946
166	Chubb INA Holdings LLC	85047
167	Chubb INA Holdings LLC	85048
168	Chubb INA Holdings LLC	85049
169	Cixi State-owned Assets Investment Holding Co., Ltd.	5341
170	Cixi State-owned Assets Overseas Development Company Limited	40272
171	CLP Power HK Finance Ltd	40044
172	CLP Power HK Finance Ltd	5400
173	CLP Power HK Finance Ltd.	5740
174	CMBC International Funding (HK) Limited	40081
175	CMHI Finance (BVI) Co., Ltd	5135
176	CMHI Finance (BVI) Co., Ltd	40408
177	CMHI Finance (BVI) Co., Ltd	40409
178	CMHI Finance (BVI) Co., Ltd	5275
179	CNOOC Finance (2003) Limited	2568
180	CNOOC Finance (2011) Limited	4507
181	CNOOC Finance (2012) Limited	4547
182	CNOOC Finance (2013) Limited	5942
183	CNOOC Finance (2013) Limited	40016
184	CNOOC Finance (2015) Australia Pty Ltd	5507
185	CNOOC Finance (2015) U.S.A. LLC	4478
186	CNOOC Nexen Finance (2014) ULC	5739
187	CNPC (HK) Overseas Capital Ltd.	4514
188	Contemporary Ruiding Development Limited	40382
189	Contemporary Ruiding Development Limited	40833
190	COSL Singapore Capital Ltd.	40278
191	CRCC Chengan Limited	5581
192	CRCC Hean Limited	40686
193	CRCC Hean Limited	40687
194	CRCC Huayuan Limited	84570
195	CRCC Huayuan Limited	85087
196	CRCC Huayuan Limited	85088
197	CRCC Yupeng Limited	5778
198	CSCEC Finance (Cayman) II Limited	5233
199	CSSC Capital 2015 Limited	40146
200	CSSC Capital 2015 Limited	40778
201	CSSC Capital 2015 Limited	40066
202	Dah Sing Bank, Limited	5316
203	Dah Sing Bank, Limited	5524

204	Dazhou Kaisheng Construction Development Group Co., Ltd.	5471
205	Dazhou Kaisheng Construction Development Group Co., Ltd.	5809
206	Deep Development 2025 Limited	5570
207	Deqing Construction & Development Group Co., Ltd.	4554
208	Deqing Construction & Development Group Co., Ltd.	5125
209	Deqing County Cultural Tourism Development Group Co., Ltd.	5438
210	Deyang Development Holding Group Co., Ltd.	6001
211	Dianjian Haixing Limited	5816
212	DianJian Haiyu Limited	40396
213	DianJian Haiyu Limited	5144
214	DianJian Haiyu Limited	5197
215	Dongtai Chengxing Investment Development Co., Ltd.	5740
216	Dongxing Voyage Company Limited	5145
217	Dongyang State Owned Assets Investment Co., Ltd.	4329
218	Duijiangyan Minjiang Water Group Co., Ltd.	5658
219	Duijiangyan Urban and Rural Construction Group Co., Ltd.	84463
220	Duijiangyan Urban and Rural Construction Group Co., Ltd.	84483
221	Elect Global Investments Limited	40173
222	Elect Global Investments Limited	40353
223	ENN Clean Energy International Investment Limited	40678
224	Eve Battery Investment Limited	40926
225	Excel Capital Global Limited	4496
226	Expand Lead Limited	40768
227	Foshan Gaoming Construction Investment Group Co., Ltd.	5480
228	FPC Resources Limited	40373
229	Franshion Brilliant Limited	5030
230	Franshion Brilliant Limited	5229
231	Franshion Brilliant Limited	5347
232	Franshion Brilliant Limited	5447
233	Franshion Brilliant Limited	5472
234	Franshion Brilliant Limited	40641
235	Fugao Wantai Group Co., Ltd.	5437
236	Fujian Jinjiang Construction Investment Holding Group Co., Ltd.	84520
237	Fujian Jinjiang Construction Investment Holding Group Co., Ltd.	84552
238	Fujian Jinshang Holding Group Co., Ltd.	5671
239	Fujian Shishi State-owned Capital Operation Group Co., Ltd.	5470
240	Fujian Zhanglong Group Co., Ltd.	5938
241	Fujian Zhangzhou City Investment Group Co., Ltd.	84469
242	Fuqing State-owned Assets Operation Investment Group Co., Ltd.	84581
243	Fuyuan Worldwide Limited	5835
244	Fuyuan Worldwide Limited	5323
245	FWD Group Limited	5019
246	FWD Group Limited	5221
247	FWD Group Limited	5793
248	FWD Limited	4416
249	FWD Limited	5351
250	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	84454
251	Ganzhou Development Investment Holding Group Co., Ltd.	5474
252	Ganzhou Jiankong Investment Holding Group Co., Ltd.	4567
253	Ganzhou Jiankong Investment Holding Group Co., Ltd.	4586
254	Ganzhou Jiankong Investment Holding Group Co., Ltd.	4588
255	Ganzhou Urban Investment Holding Group Co., Ltd.	5181
256	Ganzhou Urban Investment Holding Group Co., Ltd.	5898
257	Ganzhou Urban Investment Holding Group Co., Ltd.	40031
258	Ganzhou Urban Investment Holding Group Co., Ltd.	85104
259	Gaoxin International Investment Co., Ltd	6017
260	Gaoyou Construction Investment Development (BVI) Co., Ltd.	40053
261	GF FINANCIAL HOLDINGS BVI LTD.	5467
262	GF FINANCIAL HOLDINGS BVI LTD.	85015
263	GF FINANCIAL HOLDINGS BVI LTD.	5203
264	GOHL Capital Limited	5352
265	Gold Pole Capital Company Limited	5034
266	Guangxi Communications Investment Group Corporation Ltd.	5609
267	Guangzhou Development District Financial Holdings Group Co., Ltd.	4407
268	Guangzhou Development District Holding Group Limited	40014
269	Guangzhou Development District Investment Group Co., Ltd	5716
270	Guoneng Environmental Protection Investment Group Co., Limited	5561
271	Guoren Property and Casualty Insurance Co., Ltd.	40694
272	Guotai Junan Holdings Limited	6013
273	Haichuan International Investment Co., Ltd.	4512
274	Haichuan International Investment Co., Ltd.	5236
275	Hainan State Farms International Capital (HK) Co., Limited	4326
276	Haixi Overseas Investment Company Limited	4557
277	Haixi Overseas Investment Company Limited	5201
278	HaiYan Coastline Co., Limited	5842
279	Hangzhou Fuyang Chengtuo Group (HK) Limited	40738
280	Hangzhou Qiantang New Area Construction and Investment Group Co., Ltd.	5825
281	Hanrui Overseas Investment Co Ltd	5416
282	Hebi Investment Group Co., Ltd.	84503
283	Henan Airport Investment Group Co., Ltd.	5479
284	HENAN RAILWAY CONSTRUCTION & INVESTMENT GROUP CO., LTD.	5046
285	HENAN RAILWAY CONSTRUCTION & INVESTMENT GROUP CO., LTD.	5375
286	Henan Water Conservancy Investment Group Co., Ltd	5880
287	Hengjian International Investment Limited	5684
288	Hong Kong Sukuk 2017 Limited	4233
289	HongKong Xiangyu Investment Co., Limited	85034
290	Huafa 2021 I Company Limited	40806
291	Huafa 2024 I Company Limited	84542
292	Huafa 2024 I Company Limited	85000
293	Huafa 2024 I Company Limited	85077
294	Huai'an Investment Holdings Group Co., Ltd.	85004

295	Huai'an Traffic Holding Group Co., Ltd.	5584
296	Hualu International Finance (BVI) Limited	40889
297	Huaneng Hong Kong Capital Limited	5000
298	Huangshan Tourism Group Co., Ltd.	5711
299	HUBEI NEW TONGDU URBAN INVESTMENT DEVELOPMENT GROUP CO., LTD.	5820
300	Hubei Science & Technology Investment Group (Hong Kong) Company Limited	5922
301	HUBEI UNITED DEVELOPMENT INVESTMENT GROUP CO., LTD.	5442
302	Hunan Xiangjiang New Area Development Group Co., Ltd.	40890
303	Huzhou City Investment Development Group Co., Ltd.	40900
304	Huzhou City Investment Development Group Co., Ltd.	5800
305	Huzhou Moganshan High-tech Group Co., Ltd.	5270
306	Hyd International Holding Limited	40664
307	IFC Development (Corporate Treasury) Limited	6000
308	IFC Development (Corporate Treasury) Limited	40198
309	Industrial and Commercial Bank of China (Asia) Limited	5686
310	Industrial and Commercial Bank of China (Macau) Limited	5750
311	Ji'An Chengtong Holding Group Co., Ltd	4305
312	Ji'An Chengtong Holding Group Co., Ltd	4517
313	Jiangmen Hi-tech Industrial Park Co., Ltd.	85005
314	Jiangmen Hi-tech Industrial Park Co., Ltd.	85017
315	Jiangsu Fuxuan Industrial Co., Ltd.	5779
316	Jiangsu Jurong Investment Group Co., Ltd	5705
317	Jiangsu Zhongyang Clean Energy Development Co., Ltd.	84510
318	Jiangsu Zhongyang Clean Energy Development Co., Ltd.	84511
319	Jiangsu Zhongyang Clean Energy Development Co., Ltd.	5198
320	Jiangyin State-owned Assets Holding (Group) Co., Ltd.	84458
321	Jiaxing City Investment and Development Group Co., Ltd.	84460
322	JIC Zhixin Limited	5032
323	JIC Zhixin Limited	40361
324	Jinan Hi-tech Holding Group Co., Ltd.	5239
325	Jinan Hi-tech Holding Group Co., Ltd.	5707
326	JINAN HI-TECH INTERNATIONAL (CAYMAN) INVESTMENT DEVELOPMENT CO., LIMITED	5934
327	JINAN HI-TECH INTERNATIONAL (CAYMAN) INVESTMENT DEVELOPMENT CO., LIMITED	5992
328	Jinan Lixia Holding Group Co., Ltd.	5928
329	Jinan Urban Construction International Investment Co., Limited	5282
330	JINGDEZHEN HESHENG INDUSTRIAL INVESTMENT DEVELOPMENT CO., LTD.	84466
331	Jingzhou Municipal Urban Development Holding Group Co., Ltd.	84532
332	Jingzhou Municipal Urban Development Holding Group Co., Ltd.	5180
333	Jining City Development Capital Holding Co., Ltd.	84531
334	Jining City Development Capital Holding Co., Ltd.	84535
335	Jinjiang Road and Bridge Construction & Development Co., Ltd.	5595
336	Jinshine International Co., Ltd	5872
337	JL MAG Green Tech (Hong Kong) Company Limited	5834
338	JOY DELIGHT INTERNATIONAL LIMITED	84529
339	JOY DELIGHT INTERNATIONAL LIMITED	85083
340	JOY DELIGHT INTERNATIONAL LIMITED	85108
341	Joy Treasure Assets Holdings Inc.	5903
342	Joy Treasure Assets Holdings Inc.	40000
343	Joy Treasure Assets Holdings Inc.	40468
344	Kaifeng Development and Investment Group Co., Ltd.	5606
345	King Talent Management Limited	5026
346	Knowledge City (Guangzhou) Investment Group Co., Ltd.	5465
347	Knowledge City (Guangzhou) Investment Group Co., Ltd.	85050
348	Korean Reinsurance Company	5815
349	LICHENG INTERNATIONAL DEVELOPMENT CO., LTD.	5816
350	LICHENG INTERNATIONAL DEVELOPMENT CO., LTD.	5851
351	LINGANG OASIS INC.	84524
352	Link CB Limited	5662
353	Lintou Overseas Co., Ltd	5410
354	Lishui Economic and Technological Development Zone Industrial Development Group Co., Ltd.	5406
355	Liyuan International Co., Limited	5947
356	Longchuan Overseas Investment Co., Ltd.	84473
357	Longchuan Overseas Investment Co., Ltd.	84484
358	Longnan Tourism Development and Investment Group Co., Ltd.	5709
359	LS Finance (2017) Limited	40731
360	Luso International Banking Limited	5078
361	Luso International Banking Limited	5817
362	Luso International Banking Limited	6007
363	Malaysia Sovereign Sukuk Berhad	5895
364	Malaysia Sukuk Global Berhad	5633
365	Malaysia Sukuk Global Berhad	5634
366	Malaysia Wakala Sukuk Berhad	40662
367	Malaysia Wakala Sukuk Berhad	40663
368	Maoming Port Group Co., Ltd.	84500
369	MCC Holding (Hong Kong) Corporation Limited	4483
370	MCC Holding (Hong Kong) Corporation Limited	40188
371	MCC Holding (Hong Kong) Corporation Limited	40653
372	Mengcheng Development Zone Xingmeng Investment Co., Ltd.	84438
373	Mianyang Investment Holding (Group) Co., Ltd.	5730
374	Mianyang Science & Technology City Development & Investment (Group) Co., Ltd.	84480
375	Mianyang Science & Technology City Development & Investment (Group) Co., Ltd.	84486
376	Mianyang Science & Technology City Development & Investment (Group) Co., Ltd.	84504
377	Midea Investment Development Company Limited	4501
378	Minghu International (BVI) Investment Development Co., Limited	5213
379	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5689
380	Minmetals Bounteous Finance (BVI) Limited	40175
381	Minmetals Capitals & Securities, INC.	40026
382	Minmetals Capitals & Securities, INC.	40027
383	MOON KING LIMITED	85018
384	Nanchang Jinkai Group Co., Ltd.	4535
385	Nanchang Jinkai Group Co., Ltd.	5009

386	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5054
387	Nanning Communications Investment Group Co., Ltd.	4578
388	Nanxun Communications Investment Group Co., Ltd.	5303
389	Nanyang Commercial Bank, Limited	5214
390	Nanyang Commercial Bank, Limited	40069
391	Nanyang Commercial Bank, Limited	5207
392	Nanyang Commercial Bank, Limited	5752
393	Nanyang Commercial Bank, Limited	5147
394	Neijiang Investment Holding Group Co., Ltd.	5822
395	New Hope Group Co., Ltd.	84475
396	New Hope Group Co., Ltd.	84506
397	New Town Construction Investment (Jizhou, Tianjin) Co., Ltd.	5599
398	Ningbo Fenghua Investment Group Co., Ltd.	84568
399	Ningbo Haishu Development and Construction Investment (Group) Co., Ltd.	5267
400	Ningbo Yincheng Group Co., Ltd.	5137
401	NWD Finance (BVI) Limited	4561
402	NWD Finance (BVI) Limited	5856
403	NWD Finance (BVI) Limited	40262
404	NWD Finance (BVI) Limited	40504
405	NWD Finance (BVI) Limited	40711
406	NWD Finance (BVI) Limited	5312
407	Omni Ireland Credit Card Securitization T3 DAC	40476
408	Omni Ireland Credit Card Securitization T3 DAC	40477
409	Omni Ireland Credit Card Securitization T3 DAC	40478
410	Omni Ireland Credit Card Securitization T3 DAC	40479
411	Omni Ireland Credit Card Securitization T3 DAC	40480
412	Omni Ireland Credit Card Securitization T3 DAC	40481
413	Omni Ireland Credit Card Securitization T3 DAC	40482
414	Omni Ireland Credit Card Securitization T3 DAC	40483
415	Omni Ireland Credit Card Securitization T3 DAC	40484
416	Omni Ireland Credit Card Securitization T3 DAC	40485
417	Omni Ireland Credit Card Securitization T3 DAC	40486
418	Omni Ireland Credit Card Securitization T3 DAC	40487
419	Omni Ireland Credit Card Securitization T3 DAC	40488
420	Omni Ireland Credit Card Securitization T3 DAC	40489
421	Orient ZhiSheng Limited	5953
422	Oriental Capital Company Limited	5883
423	OVPH Limited	5614
424	Panther Ventures Limited	40378
425	Panther Ventures Limited	40512
426	Panther Ventures Limited	40872
427	Panzhuhua City Construction and Transportation (Group) Co., Ltd.	84440
428	PCGI Intermediate Holdings (III) Limited	40810
429	Peak Re (BVI) Holding Limited	40437
430	Peak Re (BVI) Holding Limited	6012
431	Petron Corporation	5905
432	PHBS Limited	4325
433	Phoenix Charm International Investment Limited	5421
434	Phoenix Charm International Investment Limited	5744
435	Phoenix Lead Limited	5262
436	Pinghu State-owned Assets Holding Group Co., Ltd.	84555
437	Pingtang Comprehensive Pilot Zone City Development Group Co., Ltd.	84470
438	Pingtang Comprehensive Pilot Zone City Development Group Co., Ltd.	84479
439	Pingxiang City Construction Investment Group Co., Ltd	5431
440	Pioneer Reward Limited	40644
441	Powerchina Roadbridge Group (British Virgin Islands) Limited	40635
442	Prologis, L.P.	84560
443	Proven Honour Capital Limited	5641
444	PTT Public Company Limited	2527
445	Pujiang State-Owned Capital Investment Group Co., Ltd.	5214
446	Puyang Investment Group Co., Ltd.	5838
447	Qingdao Bullet Train Town Investment Group Co., Ltd	5314
448	Qingdao Jiaozhou Bay Development Group Co., Ltd.	84453
449	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5005
450	Qingdao Jiaozhou Bay Development Group Co., Ltd.	84526
451	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5369
452	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5496
453	Qingdao Jiaozhou Urban Development And Investment Co., Ltd.	5450
454	Qingdao Oceantec Valley Investment Development Group Co., Ltd.	85009
455	Qingdao Pingdu Holdings Group Co., Ltd.	5349
456	Qingdao Pingdu Holdings Group Co., Ltd.	5466
457	QUAN CHENG FINANCIAL HOLDINGS (BVI) LIMITED	5178
458	Quanzhou Nanyi Investment Group Co., Ltd.	5484
459	Quanzhou Urban Construction Group Co., Ltd.	84517
460	Quzhou Qutong Transportation Investment Development Co., Ltd.	5340
461	Quzhou State-owned Capital Operation Co., Ltd.	5377
462	Radiant Access Limited	5205
463	Rizhao Xinlanshan Caijin Investment Group Co., Ltd.	5973
464	Rongshi International Finance Limited	5449
465	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40213
466	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	4418
467	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40286
468	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40287
469	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	5025
470	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	5029
471	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	5026
472	SAN SHUI INTERNATIONAL DEVELOPMENT CO., LTD	84598
473	SAN SHUI INTERNATIONAL DEVELOPMENT CO., LTD	85006
474	Sanming City Construction and Development Group Co., Ltd.	84477
475	Sanming City Construction and Development Group Co., Ltd.	84487
476	SCI (HK) Development Limited	40632

477	SDG FINANCE I LIMITED	4539
478	SDG Finance Limited	40817
479	SDG Finance Limited	5247
480	SDG Finance Limited	5573
481	SDG Finance Limited	5608
482	SDSC Global Finance Limited	5303
483	SEPCO Virgin Limited	5258
484	SF Holding Investment 2021 Limited	40920
485	SF Holding Investment 2021 Limited	40921
486	SF Holding Investment 2021 Limited	40922
487	SF Holding Investment 2023 Limited	5724
488	SF Holding Investment Limited	40152
489	SFG International Holdings Co. Limited	40704
490	Shaanxi Financial Asset Management Co.,Ltd.	4542
491	Shaanxi Xixian New Area Fengxi Development Group Co., Ltd.	84455
492	Shandong Commercial Group Co., Ltd.	84439
493	Shandong Gaochuang Holding Group Co., Ltd.	84594
494	Shandong Hesheng Investment Co., Ltd.	84528
495	Shandong Hesheng Investment Co., Ltd.	84541
496	Shandong Hesheng Investment Co., Ltd.	84545
497	Shanghai Commercial Bank Limited	5043
498	Shanghai Commercial Bank Limited	5530
499	Shanghai Commercial Bank Limited	5713
500	Shanghai Port Group (BVI) Development 2 Co., Limited	40299
501	Shanghai Port Group (BVI) Development Co., Limited	5741
502	Shangrao City Construction Investment Development Group Company Limited	5893
503	Shangrao City Construction Investment Development Group Company Limited	5910
504	Shangrao Investment Holdings International Company Limited	6002
505	Shangrao Urban Operation (Holding) Group Co., Ltd.	84571
506	Shangrao Urban Operation (Holding) Group Co., Ltd.	84580
507	Shangrao Urban Operation (Holding) Group Co., Ltd.	85019
508	SHANGYU URBAN CONSTRUCTION HONG KONG COMPANY LIMITED	40932
509	Shaoxing City Investment Group Limited	40807
510	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	40618
511	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	4439
512	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	5907
513	Shengcheng Culture and Tourism Group International Trade Co., Ltd	84557
514	Shengcheng Culture and Tourism Group International Trade Co., Ltd	84599
515	Shengzhou Communications Investment and Development Group Co., Ltd.	5473
516	Shengzhou Investment Holdings Co., Ltd.	5768
517	Shengzhou Investment Holdings Co., Ltd.	5993
518	Shengzhou Investment Holdings Co., Ltd.	40085
519	Shenwan Hongyuan International Finance Limited	40766
520	Shenwan Hongyuan International Finance Limited	5460
521	Shenzhen Futian Investment Holdings Co., Ltd	84573
522	Shuifa International Holdings (BVI) Co., Ltd.	4546
523	Si Fa Investment Limited	40640
524	Sichuan Gloport Investment Development Group Co., Ltd	85094
525	Sichuan Gloport Investment Development Group Co., Ltd	85101
526	Sihai International Investment Limited	5175
527	Sihai International Investment Limited	5518
528	Sihai International Investment Limited	5534
529	Sino Trendy Investment Limited	5952
530	Sinochem Global Capital Co., Ltd.	5935
531	Sinochem Overseas Capital Company Limited	4502
532	Sino-Ocean Land Treasure Finance II Limited	5869
533	Sino-Ocean Land Treasure III Limited	5276
534	Sino-Ocean Land Treasure IV Limited	5623
535	Sino-Ocean Land Treasure IV Limited	40115
536	Sino-Ocean Land Treasure IV Limited	40670
537	Sinosing Services Pte. Ltd.	40156
538	SJM International Limited	40045
539	Societe Generale	5947
540	Societe Generale	5959
541	Societe Generale	5960
542	Societe Generale	5961
543	Societe Generale	5962
544	Songyang County State Capital Investment and Operation Group Co., LTD	84514
545	Songyang County State Capital Investment and Operation Group Co., LTD	5327
546	Songyang County State Capital Investment and Operation Group Co., LTD	84583
547	SPIC 2016 US dollar Bond Company Limited	5325
548	SPIC 2018 USD Senior Perpetual Bond Company Limited	5453
549	State Grid Europe Development (2014) Public Limited Company	5121
550	State Grid Overseas Investment (2013) Limited	5955
551	State Grid Overseas Investment (2014) Limited	5743
552	State Grid Overseas Investment (2016) Limited	5650
553	Suizhou Huasheng Industrial Development Co., Ltd.	85032
554	Sunny Express Enterprises Corp.	40030
555	Sunny Express Enterprises Corp.	40031
556	Sunny Express Enterprises Corp.	40204
557	Sunny Express Enterprises Corp.	4505
558	Sunshine Life Insurance Corporation Limited	5629
559	Suzhou City Construction Investment Group (Holding) Co., Ltd.	5751
560	Syngenta Group Finance Limited	5557
561	Tai Fung Bank Limited	5686
562	Taishan City Investment Co., Limited	84496
563	Taishan City Investment Co., Limited	84530
564	Taishan Finance International Business Co., Limited	5301
565	TAIZHOU HUANGYAN ECONOMIC DEVELOPMENT GROUP CO., LTD.	5374
566	Taizhou Huangyan Transportation and Tourism Investment Group Co., Ltd.	5488
567	Taizhou State-owned Assets Investment Group Co., Ltd.	5242

568	Taizhou Urban Construction and Investment Development Group Co., Ltd.	5849
569	Taizhou Urban Construction and Investment Development Group Co., Ltd.	5107
570	Talent Yield International Limited	40675
571	Talent Yield International Limited	40676
572	Tencent Music Entertainment Group	40366
573	Tequ Mayflower Limited	40600
574	Three Gorges Finance I (Cayman Islands) Limited	5999
575	Tianfeng Securities Co., Ltd.	4536
576	Tianfeng Securities Co., Ltd.	40084
577	Tianjin Binhai New Area Construction & Investment Group Co., Ltd.	5773
578	Tianjin Lingang Investment Holding Group Co., Ltd.	5753
579	Tianjin State-owned Capital Investment and Management Co., Ltd.	40104
580	Tianjin State-owned Capital Investment and Management Co., Ltd.	40105
581	Tianjin State-owned Capital Investment and Management Co., Ltd.	40106
582	Tongling State-owned Capital Operation Holding Group Company Limited	5889
583	TONGREN STATE-OWNED CAPITAL OPERATION CO., LTD.	5911
584	Top Luxury Investment Limited	5598
585	Towngas (Finance) Limited	5749
586	Towngas (Finance) Limited	6018
587	Tsinghua Unic Limited	4415
588	UETD Construction & Development State-owned Capital Investment Operation (Group) Co., Ltd.	5767
589	UETD Construction & Development State-owned Capital Investment Operation (Group) Co., Ltd.	40499
590	Universe Trek Limited	40722
591	Universe Trek Limited	40797
592	Urumqi Gaoxin Investment and Development Group Co., Ltd.	84444
593	Urumqi Gaoxin Investment and Development Group Co., Ltd.	5871
594	Vertex Capital Investment Limited	40780
595	Vertex Capital Investment Limited	4548
596	Vertex Capital Investment Limited	84499
597	Weifang Urban Construction and Development Investment Group Co., Ltd.	5176
598	Weifang Urban Construction and Development Investment Group Co., Ltd.	84569
599	Weifang Urban Construction and Development Investment Group Co., Ltd.	5762
600	Weimob Investment Limited	40702
601	Weimob Investment Limited	5186
602	WENS FOODSTUFF GROUP CO., LTD.	40443
603	Wenzhou Lucheng District State-owned Holding Group Co., Ltd.	84588
604	Wuhan Financial Holdings (Group) Co., Ltd.	5281
605	Wuhan Metro Group Co., Ltd.	5467
606	Wuhan Urban Construction Group Co., Ltd.	5031
607	Wuxing City Investment HK Company Limited	5067
608	XI'AN PORT INDUSTRIAL CO., LIMITED	5921
609	XI'AN PORT INDUSTRIAL CO., LIMITED	6003
610	Xiangyu Investment (BVI) Co., Ltd.	5486
611	Xianjin Industry Investment Company Limited	5848
612	Xihui Haiwai I Investment Holdings Co., Limited	84582
613	Xin Yue Company Limited	4442
614	Xinchang County Transportation Investment Group Company Limited	5964
615	Xingchang International Company Limited	5801
616	Xingye Gold (Hong Kong) Mining Company Limited	40090
617	YAN GANG LIMITED	40622
618	Yancheng Dafeng Haicheng Industrial Development Co., Ltd.	5765
619	Yancheng Dafeng Haicheng Industrial Development Co., Ltd.	40073
620	Yancheng Dafeng Xiecheng Industrial Development Co., Ltd.	85097
621	Yancheng High-tech Zone Investment Group Co., Ltd.	5151
622	Yancheng High-tech Zone Investment Group Co., Ltd.	5150
623	Yancheng High-tech Zone Investment Group Co., Ltd.	5355
624	Yancheng State-Owned Energy Investment Co., Ltd	84467
625	Yancoal International Resources Development Co., Limited	5424
626	Yancoal International Trading Co., Limited	5753
627	Yangzhou Economic and Technological Development Zone Development (Group) Co., Ltd	5472
628	Yangzhou Hanjiang District State-owned Capital Investment Group Co., Ltd.	84584
629	Yankuang Group (Cayman) Limited	5238
630	Yankuang Group (Cayman) Limited	5403
631	YCIC International (HK) Co., Limited	40908
632	YCIC International (HK) Co., Limited	5146
633	Yi Bright International Limited	5833
634	Yi Bright International Limited	84468
635	Yi Bright International Limited	84489
636	Yi Bright International Limited	5024
637	Yibin Emerging Industry Investment Group Co., Ltd	84521
638	Yibin Emerging Industry Investment Group Co., Ltd	5173
639	Yichang High-Tech Investment Development Co., Ltd.	5300
640	Yichun Yuanzhou District State-owned Investment Group Co., Limited	5324
641	Yidu State-owned Assets Investment, Operation and Holding Group Co., Ltd.	84502
642	YONGDA Investment Limited	5603
643	Yongzhou Lingling Asset Management Co., Ltd.	84482
644	Yueyang Construction and Investment Group Co., Ltd.	40935
645	Yueyang Construction and Investment Group Co., Ltd.	5427
646	Yunnan Energy Investment Overseas Finance Company Ltd.	40352
647	Yunnan Energy Investment Overseas Finance Company Ltd.	5829
648	Zhangzhou Jiulongjiang Group Co., Ltd.	5722
649	Zhanjiang Infrastructure Construction Investment Group Co., Ltd.	84491
650	Zhaoqing Guolian Investment Holding Co., Ltd	5254
651	Zhejiang Baron (BVI) Company Limited	5836
652	Zhejiang Baron (BVI) Company Limited	5128
653	Zhejiang Changxing Financial Holdings Group Co., Ltd.	5316
654	Zhejiang Changxing Financial Holdings Group Co., Ltd.	5462
655	Zhejiang Changxing Jingkai Construction & Development Co., Ltd	40149
656	Zhejiang Seaport International Co., Limited	40614
657	Zhejiang Xinchang Investment Development Co., Ltd.	4304
658	Zhejiang Xinchang Investment Development Co., Ltd.	5218

659	Zhengzhou Jinshui Holding Group Co., Ltd.	5489
660	Zhengzhou Metro Group Co., Ltd.	5278
661	Zhengzhou Urban Construction Investment Group Co., Ltd.	5347
662	Zhengzhou Urban Construction Investment Group Co., Ltd.	5694
663	ZHENGZHOU URBAN DEVELOPMENT GROUP CO., LTD.	85040
664	ZHENGZHOU URBAN DEVELOPMENT GROUP CO., LTD.	85055
665	ZHENGZHOU URBAN DEVELOPMENT GROUP CO., LTD.	5853
666	Zhenjiang Culture & Broadcasting Industry Group Co., Ltd.	4324
667	Zhenjiang Culture & Broadcasting Industry Group Co., Ltd.	4568
668	Zhenjiang Transportation Industry Group Co., Ltd.	5737
669	Zhongyuan Asset Management Co., Ltd	5533
670	Zhongyuan Zhicheng Co., Ltd.	40747
671	Zhongyuan Zhicheng Co., Ltd.	5021
672	Zhoukou Urban Construction Investment Development Limited Company	5448
673	Zhuji Development Limited	5156
674	Zhuzhou City Construction Development Group Co., Ltd.	5477
675	Zibo Caijin Holding Group Co., Ltd.	84448
676	Zibo City Asset Operation Group Co., Ltd.	84481
677	Zibo City Asset Operation Group Co., Ltd.	84507
678	Zibo City Asset Operation Group Co., Ltd.	84519
679	Zibo City Asset Operation Group Co., Ltd.	85011
680	Zibo High-Tech International Investment Co., Limited	5354
681	Zibo High-Tech International Investment Co., Limited	84527
682	Zoomwe Hong Kong New Energy Technology Co., Limited	4581
683	ZOUCHENG LIMIN CONSTRUCTION DEVELOPMENT GROUP CO., LTD.	85003

第3類豁免：適用於股份在香港聯合交易所有限公司上市的以法團形式運作的開放式集體投資計劃

名稱	聯交所 股份代號
1 Xtrackers Vietnam Swap UCITS ETF*	3087
2 Xtrackers MSCI China A UCITS ETF	3007
3 Xtrackers MSCI Korea UCITS ETF	2848
4 Xtrackers MSCI Taiwan UCITS ETF	3036
5 Xtrackers MSCI USA Swap UCITS ETF*	3020
6 Xtrackers Nifty 50 Swap UCITS ETF*	3015
7 Global X China Consumer Brand ETF	2806 / 9806
8 Global X China Electric Vehicle and Battery ETF	2845 / 9845
9 Global X China Clean Energy ETF	2809 / 9809
10 Global X China Semiconductor ETF	3191 / 9191
11 Global X China Robotics and AI ETF	2807 / 9807
12 CSOP STAR 50 Index ETF	3109
13 Global X China Innovator Active ETF	3058
14 Global X China Global Leaders ETF	3050
15 CSOP Huatai-PineBridge CSI Photovoltaic Industry ETF	3134
16 CSOP Hang Seng Biotech ETF	3174
17 Global X Asia Semiconductor ETF	3119
18 Global X China E-commerce and Logistics ETF	3124
19 Global X China Games and Entertainment ETF	3117
20 CSOP MSCI China A 50 Connect ETF	3003
21 Global X Autonomous and Electric Vehicles ETF	2849
22 Global X FinTech ETF	3185
23 Global X Asia Innovator Active ETF	3051
24 CSOP NASDAQ 100 ETF	3034
25 Fubon FTSE Taiwan RIC Capped Index ETF	3021
26 Fubon ICE FactSet Taiwan Core Semiconductor Index ETF	3076
27 Fubon Hang Seng Shanghai-Shenzhen-Hong Kong (Selected Corporations) High Dividend Yield Index ETF	3190
28 CSOP FTSE Vietnam 30 ETF	3004
29 E Fund (HK) HSI ESG Enhanced Index ETF	3039
30 Boserá RMB Money Market ETF	3192 / 83192
31 Phillip HK Newly Listed Equities Index ETF	2835
32 Pando Blockchain ETF	3112
33 Pando Innovation ETF	3056
34 Boserá HKD Money Market ETF	3152
35 Fubon ICE FactSet Asia Battery and Energy Storage Technology Index ETF	3405
36 Boserá USD Money Market ETF	3196 / 83196 / 9196
37 Global X China MedTech ETF	2841
38 Global X Asia USD Investment Grade Bond ETF	3075 / 9075
39 Global X China Little Giant ETF	2815
40 Boserá 20+ Year US Treasury Bond ETF	3156 / 9156 / 9107
41 Global X HSCFI Components Covered Call Active ETF	3416
42 Global X HSI Components Covered Call Active ETF	3419
43 Global X K-pop and Culture ETF	3158
44 Boserá HashKey Bitcoin ETF	3008 / 9008
45 Boserá HashKey Ether ETF	3009 / 9009
46 ChinaAMC Bitcoin ETF	3042 / 83042 / 9042
47 ChinaAMC Ether ETF	3046 / 83046 / 9046
48 Harvest Bitcoin Spot ETF	3439 / 9439
49 Harvest Ether Spot ETF	3179 / 9179
50 Doo Wealth Selected AI and Automation Active ETF	3413
51 Doo Wealth Selected Digital Payments ETF	3412
52 Doo Wealth Selected Web3 ETF	3426
53 Boserá China Reform Hong Kong Central-SOEs High Dividend Yield Index ETF	3437 / 83437 / 9437
54 Fubon Solactive Core Diversified Multi Asset Index ETF	3427
55 Global X US Treasury 0-3 Month ETF	3440 / 9440
56 Global X G2 Tech ETF	3402
57 Global X AI Infrastructure ETF	3401
58 Global X Hang Seng TECH Components Covered Call Active ETF	3417
59 CMS Hang Seng Tech Index ETF	3423
60 Global X FTSE Greater China ETF	3470
61 Global X MSCI Asia Pacific ex Japan ETF	3064 / 9064
62 Global X China Core TECH ETF	3448
63 Pando Bitcoin ETF	2818
64 Global X S&P 500 Covered Call Active ETF	3415 / 9415
65 MicroBit Bitcoin Spot ETF	3430 / 9430
66 MicroBit Ether Spot ETF	3425 / 9425
67 Global X Nasdaq 100 Covered Call Active ETF	3451 / 9451
68 Value Partners HKD Money Market ETF	3421
69 Value Partners RMB Money Market ETF	3420 / 83420
70 Value Partners USD Money Market ETF	3480 / 9480
71 ChinaAMC Solana ETF	3460 / 83460 / 9460
72 ChinaAMC HKD Digital Money Market Fund	3471
73 ChinaAMC RMB Digital Money Market Fund	3461 / 83461
74 ChinaAMC USD Digital Money Market Fund	3472 / 9472
75 Pando Ethereum ETF	3085
76 Galaxy Boserá MSCI China ASEAN Econ Linkage Select Index ETF	2805 / 82805 / 9805

* 此基金為一隻合成交易所買賣基金

第4類豁免：適用於聯交所的交易所參與者

名稱

- 1 中國創盈市場服務有限公司
- 2 中國投資資訊有限公司

第5類豁免：適用於屬結算參與者的結算所

名稱

- 1 中國證券登記結算有限責任公司
- 2 中國證券登記結算(香港)有限公司

根據《證券及期貨條例》(第571章)第309(2)條批給的其他豁免

名稱

- 1 **Budweiser Brewing Company APAC Limited**
- 2 京東健康股份有限公司
- 3 京東物流股份有限公司
- 4 雲音樂股份有限公司
- 5 京東工業股份有限公司