

根據《證券及期貨條例》(第571章)第309(2) 條批給的豁免

以下載列就(一)發行在香港聯合交易所有限公司(聯交所)上市的證券的法團及(二)其他人士而批給的豁免。該等豁免就(一)批給該等法團、其大股東、董事及最高行政人員，及就(二)批給其他人士，使其無須就其於聯交所上市證券的權益所衍生的義務而遵從《證券及期貨條例》第XV部。本清單每月更新。

證監會網站內有關《證券及期貨條例》第XV部的網頁已登載證監會指引，列明根據該條例第309條批給豁免的依據。目前提供的豁免分為五類，而該指引已對該五類豁免加以述明。

第1類部份豁免：適用於股份已在香港聯合交易所有限公司及另一證券交易所上市的法團

名稱	聯交所 股份代號 (<i>注解 1</i>)	
1 宏利金融有限公司	0945 - S	
2 渣打集團有限公司	2888	(及 4305, 5348, 5890, 5986, 40276 及 40518)
3 英國保誠有限公司	2378	
4 迅銷有限公司 - 預託證券	6288 - S	(該豁免僅適用於迅銷有限公司及其大股東)
5 京東集團股份有限公司	9618 - SW	
6 網易股份有限公司	9999 - S	
7 華住集團有限公司	1179 - S	
8 萬國數據控股有限公司	9698 - SW	
9 新東方教育科技(集團)有限公司	9901 - S	
10 汽車之家	2518 - S	
11 百度集團股份有限公司	9888 - SW	
12 攜程集團有限公司	9961 - S	
13 微博股份有限公司	9898 - SW	
14 蔚來集團	9866 - SW	
15 騰訊音樂娛樂集團	1698 - SW	
16 360數科股份有限公司	3660 - S	

注解1:
S - 第二上市公司
W - 不同投票權架構的公司

第2類豁免：適用於並無股份在香港聯合交易所有限公司上市的法團

(a)第2類豁免：適用於結構性產品現時在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

名稱	豁免期限 (<i>注解 2</i>)	豁免開始日	豁免終止日
1 BOCI Asia Limited		2007年12月21日	2026年12月20日
2 BNP Paribas Issuance B.V.		2007年12月21日	2026年12月20日
3 CITIC Securities Brokerage (HK) Limited		2024年2月22日	2026年2月21日
4 Citigroup Global Markets Europe AG		2019年11月1日	2026年10月31日
5 DBS Bank Ltd.		2021年8月24日	2026年8月23日
6 Goldman Sachs Structured Products (Asia) Limited		2007年12月21日	2026年12月20日
7 Guotai Junan Securities (Hong Kong) Limited		2019年10月28日	2026年10月27日
8 Huatai Financial Holdings (Hong Kong) Limited		2023年6月1日	2026年5月31日
9 J.P. Morgan Structured Products B.V.		2007年12月21日	2026年12月20日
10 Korea Investment & Securities Asia Limited		2023年12月4日	2026年12月3日
11 Macquarie Bank Limited		2008年1月1日	2026年12月31日
12 Morgan Stanley Asia Products Limited		2019年7月22日	2026年7月21日
13 SG Issuer		2016年4月1日	2026年3月31日
14 The Hongkong and Shanghai Banking Corporation Ltd		2007年12月23日	2026年12月22日
15 UBS AG		2007年12月21日	2026年12月20日

注解2: 授予以上法團的豁免期為12個月(或更少)，並豁免該法團在豁免期內所發行及在香港聯合交易所有限公司上市的結構性產品直至該結構性產品有效期間完結為止。

(b)第2類豁免：適用於債務項目下發行的債務證券在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

名稱	項目
1 AirAsia Global Notes Limited	US\$1,000,000,000 Multicurrency Medium Term Note Programme
2 Amipeace Ltd.	HK\$20,000,000,000 Medium Term Note Programme
3 AMTD Group Company Limited	U.S.\$500,000,000 Medium Term Note Programme
4 AMTD IDEA Group	U.S.\$1,000,000,000 Medium Term Note Programme
5 AVIC International Leasing Co., Ltd.	US\$500,000,000 Medium Term Note Programme
6 AVIC International Leasing Co., Ltd.	U.S.\$500,000,000 Medium Term Note Programme
7 Azure Nova International Finance Limited	U.S.\$10,000,000,000 Medium Term Note Programme
8 Azure Orbit II International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
9 Azure Orbit IV International Finance Limited	U.S.\$7,000,000,000 Medium Term Note Programme
10 Bank of China (Hong Kong) Limited	U.S.\$15,000,000,000 Medium Term Note Programme
11 Bank of China (Luxembourg) S.A.	US\$30,000,000,000 Medium Term Note Programme
12 Beijing Capital Polaris Investment Co. Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
13 Bluestar Finance Holdings Limited	US\$3,000,000,000 Medium Term Note and Perpetual Securities Programme
14 Bocom Leasing Management Hong Kong Company Limited	US\$10,000,000,000 Medium Term Note Programme
15 Boom Up Investments Limited	US\$1,000,000,000 Medium Term Note Programme
16 CALC Bonds Limited	US\$3,000,000,000 Medium Term Note Programme

17	Castle Peak Power Finance Company Limited	U.S.\$2,000,000,000 Medium Term Note Programme
18	Cathay Pacific MTN Financing (HK) Limited	US\$2 billion Medium Term Note Programme
19	CCB Shipping and Aviation Leasing Corporation Limited	USD5,000,000,000 Medium Term Note Programme
20	CCBL (Cayman) 1 Corporation Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
21	CDBI Treasure I Limited	US\$500,000,000 Medium Term Note Programme
22	CDBL Funding 1	U.S.\$3,000,000,000 Medium Term Note Programme
23	CDBL Funding 1	US\$3,000,000,000 Medium Term Note Programme
24	CDBL Funding 2	US\$3,000,000,000 Medium Term Note Programme
25	Central Plaza Development Limited	US\$2,000,000,000 Guaranteed Medium Term Programme
26	CGNPC INTERNATIONAL LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
27	Chailease International Finance Corporation	U.S.\$500,000,000 Medium Term Note Programme
28	Champion MTN Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
29	Charming Light Investments Ltd. and United Wealth Development Ltd.	US\$8,000,000,000 Medium Term Note Programme
30	Cheung Kong Finance (MTN) Limited and Joynote Ltd	US\$2,000,000,000 Medium Term Note Programme
31	Cheung Kong Infrastructure Finance (BVI) Limited	US\$5,000,000,000 Euro Medium Term Note Programme
32	China Cinda (2020) I Management Limited	U.S.\$7,400,000,000 Guaranteed Medium Term Note Programme
33	China Cinda (2020) I Management Limited	US\$2,000,000,000 Medium Term Note Programme
34	China Cinda Finance (2015) I Limited and China Cinda Finance (2015) II Limited	US\$3,000,000,000 Guaranteed Medium Term Note Programme
35	China Cinda Finance (2017) I Limited and China Cinda Finance (2017) II Limited	US\$10,000,000,000 Medium Term Note Programme
36	China CITIC Bank International Limited	US\$3,000,000,000 Medium Term Note Programme
37	China Construction Bank (Asia) Corporation Limited	US\$5,000,000,000 Medium Term Note Programme
38	China Development Bank Corporation and China Development Bank Corporation Hong Kong Branch	US\$30,000,000,000 Debt Issuance Programme
39	China Great Wall International Holdings III Limited	U.S.\$6,500,000,000 Medium Term Note Programme
40	China Huadian Overseas Development 2018 Limited	US\$2,000,000,000 Medium Term Note and Perpetual Securities Programme
41	China Overseas Finance (Cayman) VIII Limited	US\$2.5 billion medium note programme
42	China Overseas Finance (Cayman) VIII Limited	US\$4 billion medium note programme
43	Chong Hing Bank Limited	USD2,000,000,000 Medium Term Note and Perpetual Capital Securities Programme
44	CICC Hong Kong Finance 2016 MTN Limited	US\$10,000,000,000 Guaranteed Medium Term Note Programme
45	CITIC Securities Finance MTN Co., Ltd.	US\$3 billion Medium Term Note Programme
46	CK Property Finance (MTN) Limited	US\$5,000,000,000 Euro Medium Term Note Programme
47	CMB International Leasing Management Limited	US\$20,000,000,000 Medium Term Note Programme
48	CMB Wing Lung Bank Limited	U.S. \$2,000,000,000 Euro Medium Term Note Programme
49	CMBLEMTN1 Limited	U.S.\$5,000,000,000 Medium Term Note Programme
50	CMS International Gemstone Limited	US\$2,000,000,000 Medium Term Note Programme
51	CNCBINV 1 (BVI) Limited	US\$2,000,000,000 Medium Term Note Programme
52	CNPC General Capital Limited	US\$7,000,000,000 Euro Medium Term Note Programme
53	Connery Capital Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
54	COSL Singapore Capital Ltd.	US\$3,500,000,000 Euro Medium Term Note Programme
55	CSCIF Asia Limited	US\$3,000,000,000 Medium Term Note Programme
56	CSCIF Hong Kong Limited	US\$4,000,000,000 Medium Term Note Programme
57	CSI MTN Limited	U.S.\$3,000,000,000 Medium Term Note Programme
58	CSSC Capital 2015 Limited	USD3,000,000,000 Guaranteed Medium Term Note Programme
59	Dah Sing Bank, Limited	US\$2,000,000,000 Euro Medium Term Note Programme
60	DianJian Haiyu Limited, Dianjian International Finance Limited, Leader Goal International Limited, SEPCO Virgin Limited	U.S.\$2,500,000,000 Medium Term Note and Senior Perpetual Securities Programme
61	Eastern Creation II Investment Holdings Ltd.	US\$6,000,000,000 Guaranteed Euro Medium Term Note Programme
62	Everbright SHK (BVI) Limited	US\$1,000,000,000 Medium Term Note Programme
63	FEC Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
64	Franshion Brilliant Limited	US\$2,000,000,000 Medium Term Note Programme
65	Future Days Limited	US\$5,000,000,000 Medium Term Note Programme
66	FWD Group Holdings Limited	US\$5,000,000,000 Medium Term Note and Capital Securities Programme
67	GE (MTN) LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
68	Gemdale Ever Prosperity Investment Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
69	GLP China Holdings Limited	HK\$20,000,000,000 Medium Term Note Programme
70	Goodman HK Finance	US\$2,000,000,000 Medium Term Note Programme
71	Greenland Global Investment Limited	US\$8,000,000,000 Guaranteed Medium Term Notes Programme
72	Guangzhou Metro Investment Finance (BVI) Ltd.	US\$3,000,000,000 Guaranteed Medium Term Note Programme
73	Guotai Junan Holdings Limited	USD3,000,000,000 Medium Term Note Programme
74	Haitong International Finance Holdings Limited	USD1,000,000,000 Guaranteed Medium Term Note Programme
75	Haitong International Securities Group Limited	USD5,000,000,000 Medium Term Note Programme
76	Haitong UT Brilliant Limited	USD1,000,000,000 Guaranteed Medium Term Note Programme
77	Henderson Land MTN Limited	US\$7,000,000,000 Guaranteed Medium Term Note Programme
78	HKCG (Finance) Limited	US\$3,000,000,000 Medium Term Note Programme
79	HLP Finance Limited	US\$4 billion Medium Term Note Programme
80	Hong Kong Science and Technology Parks Corporation	HK\$1,707,000,000 Guaranteed Medium Term Note Programme
81	Horse Gallop Finance Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
82	Horsepower Finance Limited	US \$1,200,000,000 Medium Term Note Programme
83	Huarong Finance 2017 Co., Ltd.	U.S.\$14,500,000,000 Medium Term Note Programme
84	Huarong Finance 2019 Co., Ltd.	US\$2,900,000,000 Medium Term Note Programme
85	Huarong Finance II Co., Ltd.	US\$11,000,000,000 Medium Term Note Programme
86	Huarong Leasing Management Hong Kong Company Limited	U.S.\$1,000,000,000 Medium Term Note Programme
87	Huatai International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
88	Hysan (MTN) Limited	US\$4,000,000,000 Medium Term Note Programme
89	ICBCIL Finance Co. Limited	US\$20,000,000,000 Medium Term Note Programme
90	ICIL Aero Treasury Limited	US\$20,000,000,000 Medium Term Note Programme
91	Industrial and Commercial Bank of Asia (China) Limited	U.S.\$6,000,000,000 Medium Term Note Programme
92	Industrial Bank Co., Ltd.	U.S.\$5,000,000,000 Medium Term Note Programme
93	Inventive Global Investments Limited	U.S.\$3,500,000,000 Medium Term Note Programme
94	Inventive Global Investments Limited	U.S.\$3,500,000,000 Medium Term Note Programme
95	Joy Treasure Assets Holdings Inc.	USD1,400,000,000 Medium Term Note Programme
96	Joyous Glory Group Limited	US\$1,000,000,000 Medium Term Note Programme
97	K.Wah International Financial Services Limited	US\$1,000,000,000 Medium Term Note Programme
98	KazMunayGas	RMB10,000,000,000 Medium Term Note Programme
99	Kunming Traffic Investment Co., Ltd.	US\$2,000,000,000 Medium Term Note Programme
100	Lai Fung MTN Limited	US\$2,000,000,000 Medium Term Note Programme

101	LAI SUN MTN LIMITED	US\$2,000,000,000 Medium Term Note Programme
102	Legend Fortune Limited	US\$2,000,000,000 Medium Term Note Programme
103	Midea Investment Development Company Limited	US\$3,000,000,000 Medium Term Note Programme
104	Modern Terminals Capital Limited	US\$1 billion Medium Term Note Programme
105	MTR Corporation (C.I.) Limited	US\$4 billion Medium Term Note Programme
106	New World China Land Limited	US\$2,000,000,000 Medium Term Note Programme
107	NWD (MTN) Limited	US\$7,000,000,000 Medium Term Note Programme
108	NWS (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
109	P&R International Treasury Limited	U.S.\$300,000,000 Medium Term Note and Perpetual Securities Programme
110	PETRONAS Capital Limited and PETRONAS Energy Canada Ltd.	US\$30,000,000,000 Medium Term Note Programme
111	Pingan Real Estate Capital Limited	US\$1,000,000,000 Medium Term Note Programme
112	Pioneer Reward Limited	USD10,000,000,000 Medium Term Note Programme
113	Power Assets Finance Limited	U.S.\$2,000,000,000 Medium Term Note Programme
114	Proven Glory Capital Limited	US\$5,000,000,000 Medium Term Note Programme
115	QNB Finance Ltd	U.S.\$22,500,000,000 Medium Term Note Programme
116	QNB Finance Ltd	USD30,000,000,000 Medium Term Note Programme
117	R-REIT International Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
118	RH International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
119	Rongshi International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
120	Shanghai Pudong Development Bank Co., Ltd., Hong Kong Branch	US\$5,000,000,000 Euro Medium Term Note Programme
121	Sichuan Development Holding Co., Ltd. and Yieldking Investment Limited	US\$2,000,000,000 Medium Term Note Programme
122	Silverbell Asia Limited	US\$1,000,000,000 Medium Term Note Programme
123	Sino (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
124	Sinochem Offshore Capital Company Limited	US\$15,000,000,000 Global Medium Term Note Programme
125	Soar Great Limited	US\$1,500,000,000 Guaranteed Medium Term Note Programme
126	Soar Wind Ltd	US\$3,500,000,000 Guaranteed Medium Term Note and Perpetual Capital Securities Programme
127	Soar Wise Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
128	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l., SPIC MTN Company Ltd.	U.S.\$3,000,000,000 Medium Term Note and Perpetual Securities Programme
129	Splendid International Investment Limited	U.S.\$1,500,000,000 Medium Term Note Programme
130	Standard Chartered Bank (Hong Kong) Limited and Standard Chartered Bank	US\$50,000,000,000 Debt Issuance Programme
131	State Elite Global Limited	US\$2,700,000,000 Medium Term Note Programme
132	State Grid Europe Development (2014) Public Limited Company	USD10,000,000,000 Guaranteed Medium Term Note Programme
133	State Grid Overseas Investment (2016) Limited	US\$15,000,000,000 Medium Term Note Programme
134	Sun Hung Kai Properties (Capital Market) Limited	US\$10,000,000,000 Medium Term Note Programme
135	Sunlight REIT MTN Limited	US\$1,000,000,000 Medium Term Note Programme
136	Swire Pacific MTN Financing (HK) Limited	US\$5,000,000,000 Medium Term Note Programme
137	Swire Pacific MTN Financing Limited	US\$5,000,000,000 Medium Term Note Programme
138	Swire Properties MTN Financing Limited	US\$3,000,000,000 Medium Term Note Programme
139	TCCL (Finance) Limited	U.S.\$2,000,000,000 Medium Term Note Programme
140	TFI Overseas Investment Limited	U.S.\$750,000,000 Structured Note and Medium Term Note Programme
141	The Hongkong and Shanghai Banking Corporation Limited	US\$20,000,000,000 Medium Term Note Programme
142	The Hong Kong Mortgage Corporation Limited	US\$30,000,000,000 Medium Term Note Programme
143	The Link Finance (Cayman) 2009 Limited and LINK QDS (SINGAPORE) PRIVATE LIMITED	US\$5,000,000,000 Guaranteed Euro Medium Term Note Programme
144	Unican Limited	US\$1,000,000,000 Guaranteed Euro Medium Term Note Programme
145	Urban Renewal Authority	US\$4,400,000,000 Medium Term Note Programme
146	Vanke Real Estate (Hong Kong) Company Limited	US\$9,500,000,000 Medium Term Note Programme
147	Westwood Group Holdings Limited	US\$3,000,000,000 Medium Term Note Programme
148	Wharf Finance (BVI) Limited, Wharf Finance (BVI) No.1 Limited, Wharf Finance (No.1) Limited and Wharf Finance Limited	US\$7 billion Medium Term Note Programme
149	Wharf REIC Finance (BVI) Limited	U.S.\$5,000,000,000 Medium Term Note Programme
150	Wheelock MTN (BVI) Limited	US\$5 billion Medium Term Note Programme
151	Wuhan Metro Group Co., Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
152	Xingcheng (BVI) Limited	US\$1,500,000,000 Medium Term Note Programme
153	Yuexiu REIT MTN Company Limited	US\$1,500,000,000 Medium Term Note Programme
154	Yuexiu REIT MTN Company Limited and MOON KING LIMITED	USD1,500,000,000 Guaranteed Medium Term Note Programme
155	Zhejiang Energy International Limited	USD2,000,000,000 Medium Term Note Programme

(c)第2類豁免：適用於就某一特定發行的債務證券現時在香港聯合交易所有限公司上市的法團（並無股份在香港聯合交易所有限公司上市）

名稱	聯交所 股份代號
1 Airport Authority	4701
2 Alibaba Group Holding Limited	5845
3 Anhui Transportation Holding Group (H.K.) Limited	40815
4 Anhui Xihu Investment Holding Group Co., Ltd.	84556
5 Anhui Xihu Investment Holding Group Co., Ltd.	5351
6 Anji Qicai Lingfeng Rural Tourism Investment Co., Ltd	4531
7 AVIC International Finance & Investment Limited	40388
8 AVIC International Finance & Investment Limited	40919
9 AVIC International Finance & Investment Limited	5012
10 Azure Orbit III International Finance Limited	5620
11 Bank of Communications (Hong Kong) Limited	40176
12 Bank of Communications (Hong Kong) Limited	40751
13 Bauhinia ILBS 1 Limited	5797
14 Bauhinia ILBS 1 Limited	5790
15 Bauhinia ILBS 1 Limited	5791
16 Bauhinia ILBS 1 Limited	5792
17 Bauhinia ILBS 1 Limited	5795
18 Bauhinia ILBS 2 Limited	5187
19 Bauhinia ILBS 2 Limited	5188
20 Bauhinia ILBS 2 Limited	5189
21 Bauhinia ILBS 2 Limited	5192
22 Bauhinia ILBS 2 Limited	5193
23 Bauhinia ILBS 3 Limited	5967

24	Bauhinia ILBS 3 Limited	5968
25	Bauhinia ILBS 3 Limited	5985
26	Bauhinia ILBS 3 Limited	5987
27	Bauhinia ILBS 3 Limited	5990
28	BCEG (HongKong) Company Limited	40743
29	Black Peony (Hong Kong) Holding Co., Limited	5839
30	Blossom Joy Limited	40426
31	Blossom Joy Limited	40427
32	Blue Bright Limited	40596
33	Blue Skyview Company Limited	5364
34	Bluestar Finance Holdings Limited	4550
35	Bluestar Finance Holdings Limited	5089
36	Bluestar Finance Holdings Limited	5599
37	Bluestar Finance Holdings Limited	40274
38	BNY Trust Company of Australia Limited	5766
39	BNY Trust Company of Australia Limited	40142
40	BNY Trust Company of Australia Limited	40878
41	Bright Galaxy International Limited	40762
42	Cable and Wireless International Finance B.V.	1077
43	Cable and Wireless International Finance B.V.	1078
44	CALC Bonds Limited	5865
45	Cathay Pacific Finance III Limited	40580
46	CCB Life Insurance Company Limited	5437
47	CCCI Treasure Limited	5893
48	CCCI Treasure Limited	40157
49	CCCI Treasure Limited	40158
50	CCCI Treasure Limited	84546
51	CCCI Treasure Limited	84553
52	CCCI Treasure Limited	84578
53	CCTHK 2021 Ltd.	4411
54	Celestial Dynasty Limited	5594
55	CELESTIAL MILES LIMITED	5706
56	CENTRAL INTERNATIONAL DEVELOPMENT (BVI) LIMITED	5157
57	Central Plaza Development Ltd.	5919
58	CGNPC International Limited	5059
59	CGNPC International Limited	5154
60	Chalco Hong Kong Investment Company Limited	5920
61	Chalco Hong Kong Investment Company Limited	5727
62	Chalco Hong Kong Investment Company Limited	5985
63	Chalco Hong Kong Investment Company Limited	40784
64	Chalieco Hong Kong Corporation Limited	5321
65	Chalieco Hong Kong Corporation Limited	5702
66	Chalieco Hong Kong Corporation Limited	40070
67	Champion Path Holdings Limited	40560
68	Chang Development International Limited	40160
69	Chang Development International Limited	5042
70	Chang Development International Limited	5481
71	Changde Urban Construction and Investment Group Co., Ltd.	5892
72	Changde Urban Development Group Co., Ltd.	84476
73	Changde Urban Development Group Co., Ltd.	84494
74	Changde Urban Development Group Co., Ltd.	84567
75	Changxing Urban Construction Investment Group Co., Ltd.	4552
76	Chengda International Co., Limited	84456
77	Chengda International Co., Limited	40021
78	Chengdu Aerotropolis City Development Group Co., Ltd.	5784
79	Chengdu Aerotropolis City Development Group Co., Ltd.	84534
80	Chengdu Airport Xingcheng Investment Group Co., Ltd.	5361
81	Chengdu Airport Xingcheng Investment Group Co., Ltd.	5469
82	Chengdu Communications Investment Group Corporation Limited	5062
83	Chengdu Communications Investment Group Corporation Limited	5297
84	Chengdu Communications Investment Group Corporation Limited	5298
85	CHENGDU DONGJIN HUAITOU RONGHE INDUSTRY INVESTMENT CO., LTD.	84513
86	Chengdu ETDZ State-owned Investment Group Co., Ltd.	5313
87	Chengdu ETDZ State-owned Investment Group Co., Ltd.	5283
88	Chengdu Hi-Tech Investment Group Co., Ltd.	40886
89	Chengdu Jianjiang Investment Group Co., Ltd.	5446
90	Chengdu Jianjiang Investment Group Co., Ltd.	5452
91	Chengdu Jiaozi Financial Holding Group Co., Ltd.	40645
92	Chengdu Tianfu New Area Investment Group Co., Ltd.	4569
93	Chengdu Wenjiang Xingrongxi City Operation Group Co., Ltd.	5932
94	Chengdu Wuhou Industrial Development Investment Management Group Co., Ltd.	84589
95	Chengdu Xingcheng Investment Group Co., Ltd.	40028
96	Chengdu Xingjin Construction Investment Group Co., Ltd.	85021
97	Chengdu Xinjin City Industry Development Group Company Ltd.	40180
98	Chengdu Xinjin City Industry Development Group Company Ltd.	84474
99	Chengdu Xisheng Investment Group Co., Ltd.	5840
100	Chengdu Yunlai Investment Group Co., Ltd.	40226
101	Cheung Kong Bond Securities (02) Limited	4559
102	Cheung Kong Bond Securities (03) Limited	4595
103	Cheung Kong Infrastructure Finance (BVI) Limited	40698
104	Cheung Kong Infrastructure Finance (BVI) Limited	40789
105	Chibi City Development Group Co., Ltd.	84505
106	Chibi City Development Group Co., Ltd.	84516
107	China CITIC Bank International Limited	5199
108	China CITIC Bank International Limited	5728
109	China CITIC Bank International Limited	5831
110	China CITIC Bank International Limited	4594
111	China Development Bank Corporation	85904
112	China Development Bank Corporation	85905
113	China Development Bank Corporation	85934
114	CHINA ENERGY OVERSEAS INVESTMENT (HONG KONG) CO., LIMITED	5995

115	CHINA ENERGY OVERSEAS INVESTMENT (HONG KONG) CO., LIMITED	5996
116	China Gezhouba Group (Hong Kong) Overseas Investment Co., Limited	40493
117	China Great Wall International Holdings IV Limited	5620
118	China Great Wall International Holdings V Limited	40350
119	China Great Wall International Holdings V Limited	40930
120	China Great Wall International Holdings VI Limited	5079
121	China Great Wall International Holdings VI Limited	5080
122	China Great Wall International Holdings VI Limited	5504
123	China Huadian Overseas Development 2018 Limited	40261
124	China Huadian Overseas Development Management Company Limited	4533
125	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	5089
126	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40095
127	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40502
128	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40503
129	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40544
130	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40019
131	China Life Insurance (Overseas) Co., Ltd	5250
132	China Life Insurance (Overseas) Company Limited, Hong Kong Branch	5832
133	China Minmetals Corporation	4442
134	China Minmetals Corporation	5009
135	China Overseas Finance (Cayman) III Limited	5989
136	China Overseas Finance (Cayman) V Limited	4580
137	China Overseas Finance (Cayman) VI Limited	5760
138	China Overseas Finance (Cayman) VII Limited	4476
139	China Overseas Grand Oceans Finance IV (Cayman) Limited	40592
140	China Railway XunJie Co., Limited	5692
141	China Resources Power East Foundation Co., Ltd.	4516
142	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5167
143	China Southern Power Grid International Finance (BVI) Co., Limited	4598
144	China State Construction Finance (Cayman) I Limited	5462
145	China State Construction Finance (Cayman) I Limited	40712
146	China State Construction Finance (Cayman) II Limited	5038
147	China State Construction Finance (Cayman) III Limited	40083
148	China Three Gorges International Limited	40013
149	Chinalco Capital Holdings Limited	5745
150	Chinalco Capital Holdings Limited	40706
151	Chinalco Capital Holdings Limited	4497
152	Chinalco Capital Holdings Limited	5152
153	Chindata Group Holdings Limited	5697
154	Chiyu Banking Corporation Limited	5034
155	Chiyu Banking Corporation Limited	5109
156	Chiyu Banking Corporation Limited	5564
157	Chongqing International Logistics Hub Park Construction Co., Ltd.	84561
158	Chongqing International Logistics Hub Park Construction Co., Ltd.	5206
159	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5699
160	Chongqing Southern New City Industrial Investment Group Co., Ltd.	5217
161	Chongqing Wansheng Industrial Park Development and Construction Co., Ltd.	84465
162	Chongqing Wansheng Industrial Park Development and Construction Co., Ltd.	84472
163	Chongqing Xinshuangquan Urban Construction and Development Co., Ltd.	84464
164	Chongqing Xinshuangquan Urban Construction and Development Co., Ltd.	84493
165	Chouzhou International Investment Limited	5814
166	Chouzhou International Investment Limited	5390
167	Chouzhou International Investment Limited	5946
168	Chubb INA Holdings LLC	85047
169	Chubb INA Holdings LLC	85048
170	Chubb INA Holdings LLC	85049
171	Cixi State-owned Assets Investment Holding Co., Ltd.	5341
172	Cixi State-owned Assets Overseas Development Company Limited	40272
173	CLP Power HK Finance Ltd	40044
174	CLP Power HK Finance Ltd	5400
175	CLP Power HK Finance Ltd.	5740
176	CMHI Finance (BVI) Co., Ltd	5135
177	CMHI Finance (BVI) Co., Ltd	40408
178	CMHI Finance (BVI) Co., Ltd	40409
179	CMHI Finance (BVI) Co., Ltd	5275
180	CNOOC Finance (2003) Limited	2568
181	CNOOC Finance (2011) Limited	4507
182	CNOOC Finance (2012) Limited	4547
183	CNOOC Finance (2013) Limited	5942
184	CNOOC Finance (2013) Limited	40016
185	CNOOC Finance (2015) Australia Pty Ltd	5507
186	CNOOC Finance (2015) U.S.A. LLC	4478
187	CNOOC Nexen Finance (2014) ULC	5739
188	CNPC (HK) Overseas Capital Ltd.	4514
189	Contemporary Ruiding Development Limited	40382
190	Contemporary Ruiding Development Limited	40833
191	COSL Singapore Capital Ltd.	40278
192	CRCC Chengan Limited	5581
193	CRCC Hean Limited	40686
194	CRCC Hean Limited	40687
195	CRCC Huayuan Limited	84570
196	CRCC Huayuan Limited	85087
197	CRCC Huayuan Limited	85088
198	CRCC Yupeng Limited	5778
199	CSCEC Finance (Cayman) II Limited	5233
200	CSSC Capital 2015 Limited	40066
201	CSSC Capital 2015 Limited	40146
202	CSSC Capital 2015 Limited	40778
203	Dah Sing Bank, Limited	5316
204	Dah Sing Bank, Limited	5524
205	Dazhou Kaisheng Construction Development Group Co., Ltd.	5471

206	Dazhou Kaisheng Construction Development Group Co., Ltd.	5809
207	Deep Development 2025 Limited	5570
208	Deqing Construction & Development Group Co., Ltd.	4554
209	Deqing Construction & Development Group Co., Ltd.	5125
210	Deqing County Cultural Tourism Development Group Co., Ltd.	5438
211	Deyang Development Holding Group Co., Ltd.	6001
212	Dianjian Haixing Limited	5816
213	DianJian Haiyu Limited	40396
214	DianJian Haiyu Limited	5144
215	DianJian Haiyu Limited	5197
216	Dongtai Chengxing Investment Development Co., Ltd.	5740
217	Dongxing Voyage Company Limited	5145
218	Dongyang State Owned Assets Investment Co., Ltd.	4329
219	Duijiangyan Minjiang Water Group Co., Ltd.	5658
220	Duijiangyan Urban and Rural Construction Group Co., Ltd.	84463
221	Duijiangyan Urban and Rural Construction Group Co., Ltd.	84483
222	Elect Global Investments Limited	40173
223	Elect Global Investments Limited	40353
224	ENN Clean Energy International Investment Limited	40678
225	Eve Battery Investment Limited	40926
226	Excel Capital Global Limited	4496
227	Expand Lead Limited	40768
228	Foshan Gaoming Construction Investment Group Co., Ltd.	5480
229	FPC Resources Limited	40373
230	Franshion Brilliant Limited	5030
231	Franshion Brilliant Limited	5229
232	Franshion Brilliant Limited	5347
233	Franshion Brilliant Limited	5447
234	Franshion Brilliant Limited	5472
235	Franshion Brilliant Limited	40641
236	Fugao Wantai Group Co., Ltd.	5437
237	Fujian Jinjiang Construction Investment Holding Group Co., Ltd.	84520
238	Fujian Jinjiang Construction Investment Holding Group Co., Ltd.	84552
239	Fujian Jinshang Holding Group Co., Ltd.	5671
240	Fujian Shishi State-owned Capital Operation Group Co., Ltd.	5470
241	Fujian Zhanglong Group Co., Ltd.	5938
242	Fujian Zhangzhou City Investment Group Co., Ltd.	84469
243	Fuqing State-owned Assets Operation Investment Group Co., Ltd.	84581
244	Fuyuan Worldwide Limited	5835
245	Fuyuan Worldwide Limited	5323
246	FWD Group Limited	5019
247	FWD Group Limited	5221
248	FWD Group Limited	5793
249	FWD Limited	4416
250	FWD Limited	5351
251	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	84454
252	Ganzhou Development Investment Holding Group Co., Ltd.	5474
253	Ganzhou Jiankong Investment Holding Group Co., Ltd.	4567
254	Ganzhou Jiankong Investment Holding Group Co., Ltd.	4586
255	Ganzhou Jiankong Investment Holding Group Co., Ltd.	4588
256	Ganzhou Urban Investment Holding Group Co., Ltd.	5715
257	Ganzhou Urban Investment Holding Group Co., Ltd.	5181
258	Ganzhou Urban Investment Holding Group Co., Ltd.	5898
259	Ganzhou Urban Investment Holding Group Co., Ltd.	40031
260	Ganzhou Urban Investment Holding Group Co., Ltd.	85104
261	Gaoxin International Investment Co., Ltd.	6017
262	Gaoyou Construction Investment Development (BVI) Co., Ltd.	40053
263	GF FINANCIAL HOLDINGS BVI LTD.	5467
264	GF FINANCIAL HOLDINGS BVI LTD.	85015
265	GF FINANCIAL HOLDINGS BVI LTD.	5203
266	GOHL Capital Limited	5352
267	Gold Pole Capital Company Limited	5034
268	Guangxi Communications Investment Group Corporation Ltd.	5609
269	Guangzhou Development District Financial Holdings Group Co., Ltd.	4407
270	Guangzhou Development District Holding Group Limited	40014
271	Guangzhou Development District Investment Group Co., Ltd.	5716
272	Guizhou Shuanglong Airport Development & Investment (Group) Co., Ltd.	5735
273	Guoneng Environmental Protection Investment Group Co., Limited	5561
274	Guoren Property and Casualty Insurance Co., Ltd.	40694
275	Guotai Junan Holdings Limited	6013
276	Haichuan International Investment Co., Ltd.	4512
277	Haichuan International Investment Co., Ltd.	5236
278	Hainan State Farms International Capital (HK) Co., Limited	4326
279	Haixi Overseas Investment Company Limited	4557
280	Haixi Overseas Investment Company Limited	5201
281	HaiYan Coastline Co., Limited	5842
282	Hangzhou Fuyang Chengtou Group (HK) Limited	40738
283	Hangzhou Qiantang New Area Construction and Investment Group Co., Ltd.	5825
284	Hanrui Overseas Investment Co Ltd	5416
285	Hebi Investment Group Co., Ltd.	84503
286	Henan Airport Investment Group Co., Ltd.	5479
287	HENAN RAILWAY CONSTRUCTION & INVESTMENT GROUP CO., LTD.	5046
288	HENAN RAILWAY CONSTRUCTION & INVESTMENT GROUP CO., LTD.	5375
289	Henan Water Conservancy Investment Group Co., Ltd.	5880
290	Hengjian International Investment Limited	5684
291	Hong Kong Sukuk 2017 Limited	4233
292	HongKong Xiangyu Investment Co., Limited	85034
293	Huafa 2021 I Company Limited	40806
294	Huafa 2024 I Company Limited	84542
295	Huafa 2024 I Company Limited	85000
296	Huafa 2024 I Company Limited	85077

297	Huai'an Investment Holdings Group Co., Ltd.	85004
298	Huai'an Traffic Holding Group Co., Ltd.	5584
299	Hualu International Finance (BVI) Limited	40889
300	Huaneng Hong Kong Capital Limited	5000
301	Huangshan Tourism Group Co., Ltd.	5711
302	Huantaihu International Investment Co., Ltd.	5691
303	HUBEI NEW TONGDU URBAN INVESTMENT DEVELOPMENT GROUP CO., LTD.	5820
304	Hubei Science & Technology Investment Group (Hong Kong) Company Limited	5922
305	HUBEI UNITED DEVELOPMENT INVESTMENT GROUP CO., LTD.	5442
306	Hunan Xiangjiang New Area Development Group Co., Ltd.	40890
307	Huzhou City Investment Development Group Co., Ltd.	40900
308	Huzhou City Investment Development Group Co., Ltd.	5800
309	Huzhou Moganshan High-tech Group Co., Ltd.	5270
310	Hyd International Holding Limited	40664
311	IFC Development (Corporate Treasury) Limited	6000
312	IFC Development (Corporate Treasury) Limited	40198
313	Industrial and Commercial Bank of China (Asia) Limited	5686
314	Industrial and Commercial Bank of China (Macau) Limited	5750
315	Ji'An Chengtong Holding Group Co., Ltd.	4305
316	Ji'An Chengtong Holding Group Co., Ltd.	4517
317	Jiangmen Hi-tech Industrial Park Co., Ltd.	85005
318	Jiangmen Hi-tech Industrial Park Co., Ltd.	85017
319	Jiangsu Fuxuan Industrial Co., Ltd.	5779
320	Jiangsu Jurong Investment Group Co., Ltd.	5705
321	Jiangsu Zhongyang Clean Energy Development Co., Ltd.	84510
322	Jiangsu Zhongyang Clean Energy Development Co., Ltd.	84511
323	Jiangsu Zhongyang Clean Energy Development Co., Ltd.	5198
324	Jiangyin State-owned Assets Holding (Group) Co., Ltd.	84458
325	Jiaxing City Investment and Development Group Co., Ltd.	84460
326	JIC Zhixin Limited	5032
327	JIC Zhixin Limited	40361
328	Jinan Hi-tech Holding Group Co., Ltd.	5239
329	Jinan Hi-tech Holding Group Co., Ltd.	5707
330	JINAN HI-TECH INTERNATIONAL (CAYMAN) INVESTMENT DEVELOPMENT CO., LIMITED	5934
331	JINAN HI-TECH INTERNATIONAL (CAYMAN) INVESTMENT DEVELOPMENT CO., LIMITED	5992
332	Jinan Lixia Holding Group Co., Ltd.	5928
333	Jinan Urban Construction International Investment Co., Limited	5282
334	JINGDEZHEN HESHENG INDUSTRIAL INVESTMENT DEVELOPMENT CO., LTD.	84466
335	Jingzhou Municipal Urban Development Holding Group Co., Ltd.	84532
336	Jingzhou Municipal Urban Development Holding Group Co., Ltd.	5180
337	Jining City Development Capital Holding Co., Ltd.	84531
338	Jining City Development Capital Holding Co., Ltd.	84535
339	Jinjiang Road and Bridge Construction & Development Co., Ltd.	5445
340	Jinjiang Road and Bridge Construction & Development Co., Ltd.	5595
341	Jinshine International Co., Ltd.	5872
342	JL MAG Green Tech (Hong Kong) Company Limited	5834
343	JOY DELIGHT INTERNATIONAL LIMITED	84529
344	JOY DELIGHT INTERNATIONAL LIMITED	85083
345	Joy Treasure Assets Holdings Inc.	5903
346	Joy Treasure Assets Holdings Inc.	40000
347	Joy Treasure Assets Holdings Inc.	40468
348	Kaifeng Development and Investment Group Co., Ltd.	5606
349	King Talent Management Limited	5026
350	Knowledge City (Guangzhou) Investment Group Co., Ltd.	5465
351	Knowledge City (Guangzhou) Investment Group Co., Ltd.	85050
352	Korean Reinsurance Company	5815
353	LICHENG INTERNATIONAL DEVELOPMENT CO., LTD.	5816
354	LICHENG INTERNATIONAL DEVELOPMENT CO., LTD.	5851
355	LINGANG OASIS INC.	84524
356	Link CB Limited	5662
357	Lintou Overseas Co., Ltd.	5410
358	Lishui Economic and Technological Development Zone Industrial Development Group Co., Ltd.	5406
359	Liyuan International Co., Limited	5947
360	Longchuan Overseas Investment Co., Ltd.	84473
361	Longchuan Overseas Investment Co., Ltd.	84484
362	Longnan Tourism Development and Investment Group Co., Ltd.	5777
363	Longnan Tourism Development and Investment Group Co., Ltd.	5709
364	LS Finance (2017) Limited	40731
365	Luso International Banking Limited	5078
366	Luso International Banking Limited	5817
367	Luso International Banking Limited	6007
368	Malaysia Sovereign Sukuk Berhad	5895
369	Malaysia Sukuk Global Berhad	5633
370	Malaysia Sukuk Global Berhad	5634
371	Malaysia Wakala Sukuk Berhad	40662
372	Malaysia Wakala Sukuk Berhad	40663
373	Maoming Port Group Co., Ltd.	84500
374	MCC Holding (Hong Kong) Corporation Limited	4483
375	MCC Holding (Hong Kong) Corporation Limited	40188
376	MCC Holding (Hong Kong) Corporation Limited	40653
377	Mengcheng Development Zone Xingmeng Investment Co., Ltd.	84438
378	Mianyang Investment Holding (Group) Co., Ltd.	5730
379	Mianyang Science & Technology City Development & Investment (Group) Co., Ltd.	84480
380	Mianyang Science & Technology City Development & Investment (Group) Co., Ltd.	84486
381	Mianyang Science & Technology City Development & Investment (Group) Co., Ltd.	84504
382	Midea Investment Development Company Limited	4501
383	Minghu International (BVI) Investment Development Co., Limited	5213
384	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5689
385	Minmetals Bounteous Finance (BVI) Limited	40175
386	Minmetals Capitals & Securities, INC.	40026
387	Minmetals Capitals & Securities, INC.	40027

388	MOON KING LIMITED	85018
389	Nanchang Jinkai Group Co., Ltd.	4535
390	Nanchang Jinkai Group Co., Ltd.	5009
391	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5054
392	Nanning Communications Investment Group Co., Ltd.	4578
393	Nanxun Communications Investment Group Co., Ltd.	5303
394	Nanyang Commercial Bank, Limited	5214
395	Nanyang Commercial Bank, Limited	40069
396	Nanyang Commercial Bank, Limited	5207
397	Nanyang Commercial Bank, Limited	5752
398	Nanyang Commercial Bank, Limited	5147
399	Neijiang Investment Holding Group Co., Ltd.	5822
400	New Hope Group Co., Ltd.	84475
401	New Hope Group Co., Ltd.	84506
402	New Town Construction Investment (Jizhou, Tianjin) Co., Ltd.	5599
403	Ningbo Fenghua Investment Group Co., Ltd.	84568
404	Ningbo Haishu Development and Construction Investment (Group) Co., Ltd.	5267
405	Ningbo Yincheng Group Co., Ltd.	5137
406	NWD Finance (BVI) Limited	4561
407	NWD Finance (BVI) Limited	5856
408	NWD Finance (BVI) Limited	40262
409	NWD Finance (BVI) Limited	40504
410	NWD Finance (BVI) Limited	40711
411	NWD Finance (BVI) Limited	5312
412	Omni Ireland Credit Card Securitization T3 DAC	40476
413	Omni Ireland Credit Card Securitization T3 DAC	40477
414	Omni Ireland Credit Card Securitization T3 DAC	40478
415	Omni Ireland Credit Card Securitization T3 DAC	40479
416	Omni Ireland Credit Card Securitization T3 DAC	40480
417	Omni Ireland Credit Card Securitization T3 DAC	40481
418	Omni Ireland Credit Card Securitization T3 DAC	40482
419	Omni Ireland Credit Card Securitization T3 DAC	40483
420	Omni Ireland Credit Card Securitization T3 DAC	40484
421	Omni Ireland Credit Card Securitization T3 DAC	40485
422	Omni Ireland Credit Card Securitization T3 DAC	40486
423	Omni Ireland Credit Card Securitization T3 DAC	40487
424	Omni Ireland Credit Card Securitization T3 DAC	40488
425	Omni Ireland Credit Card Securitization T3 DAC	40489
426	Orient ZhiSheng Limited	5953
427	Oriental Capital Company Limited	5883
428	OVPH Limited	5614
429	Panther Ventures Limited	40378
430	Panther Ventures Limited	40512
431	Panther Ventures Limited	40872
432	Panzhihua City Construction and Transportation (Group) Co., Ltd.	84440
433	PCGI Intermediate Holdings (III) Limited	40810
434	Peak Re (BVI) Holding Limited	40437
435	Peak Re (BVI) Holding Limited	6012
436	Petron Corporation	5905
437	PHBS Limited	4325
438	Phoenix Charm International Investment Limited	5421
439	Phoenix Charm International Investment Limited	5744
440	Phoenix Lead Limited	5262
441	Pinghu State-owned Assets Holding Group Co., Ltd.	84555
442	Pingtian Comprehensive Pilot Zone City Development Group Co., Ltd.	84470
443	Pingtian Comprehensive Pilot Zone City Development Group Co., Ltd.	84479
444	Pingxiang City Construction Investment Group Co., Ltd	5431
445	Pioneer Reward Limited	40644
446	Powerchina Roadbridge Group (British Virgin Islands) Limited	40635
447	Prologis, L.P.	84560
448	Proven Honour Capital Limited	5641
449	PTT Public Company Limited	2527
450	Pujiang State-Owned Capital Investment Group Co., Ltd.	5214
451	Puyang Investment Group Co., Ltd.	5838
452	Qingdao Bullet Train Town Investment Group Co., Ltd	5314
453	Qingdao Jiaozhou Bay Development Group Co., Ltd.	84453
454	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5005
455	Qingdao Jiaozhou Bay Development Group Co., Ltd.	84526
456	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5369
457	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5496
458	Qingdao Jiaozhou Urban Development And Investment Co., Ltd.	5450
459	Qingdao Oceanec Valley Investment Development Group Co., Ltd.	85009
460	Qingdao Pingdu Holdings Group Co., Ltd.	5349
461	Qingdao Pingdu Holdings Group Co., Ltd.	5466
462	QUAN CHENG FINANCIAL HOLDINGS (BVI) LIMITED	5178
463	Quanzhou Nanyi Investment Group Co., Ltd.	5484
464	Quanzhou Urban Construction Group Co., Ltd.	84517
465	Quzhou Qutong Transportation Investment Development Co., Ltd.	5340
466	Quzhou State-owned Capital Operation Co., Ltd.	5377
467	Radiant Access Limited	5205
468	Rizhao Xinlanshan Caijin Investment Group Co., Ltd.	5973
469	Rongshi International Finance Limited	5449
470	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40213
471	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	4418
472	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40286
473	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40287
474	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	5025
475	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	5029
476	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	5026
477	SAN SHUI INTERNATIONAL DEVELOPMENT CO., LTD	84598
478	SAN SHUI INTERNATIONAL DEVELOPMENT CO., LTD	85006

479	Sanming City Construction and Development Group Co., Ltd.	84477
480	Sanming City Construction and Development Group Co., Ltd.	84487
481	SCI (HK) Development Limited	40632
482	SDG FINANCE I LIMITED	4539
483	SDG Finance Limited	40817
484	SDG Finance Limited	5247
485	SDG Finance Limited	5573
486	SDG Finance Limited	5608
487	SDSC Global Finance Limited	5303
488	SEPCO Virgin Limited	5258
489	SF Holding Investment 2021 Limited	40920
490	SF Holding Investment 2021 Limited	40921
491	SF Holding Investment 2021 Limited	40922
492	SF Holding Investment 2023 Limited	5724
493	SF Holding Investment Limited	40152
494	SFG International Holdings Co. Limited	40704
495	Shaanxi Financial Asset Management Co.,Ltd.	4542
496	Shaanxi Xixian New Area Fengxi Development Group Co., Ltd.	84455
497	Shandong Commercial Group Co., Ltd.	84439
498	Shandong Gaochuang Holding Group Co., Ltd.	84594
499	Shandong Hesheng Investment Co., Ltd.	84528
500	Shandong Hesheng Investment Co., Ltd.	84541
501	Shandong Hesheng Investment Co., Ltd.	84545
502	Shanghai Commercial Bank Limited	5043
503	Shanghai Commercial Bank Limited	5530
504	Shanghai Commercial Bank Limited	5713
505	Shanghai Port Group (BVI) Development 2 Co., Limited	40299
506	Shanghai Port Group (BVI) Development Co., Limited	5741
507	Shangrao City Construction Investment Development Group Company Limited	5893
508	Shangrao City Construction Investment Development Group Company Limited	5910
509	Shangrao Investment Holdings International Company Limited	6002
510	Shangrao Urban Operation (Holding) Group Co., Ltd.	84571
511	Shangrao Urban Operation (Holding) Group Co., Ltd.	84580
512	Shangrao Urban Operation (Holding) Group Co., Ltd.	85019
513	SHANGYU URBAN CONSTRUCTION HONG KONG COMPANY LIMITED	40932
514	Shaoxing City Investment Group Limited	40807
515	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	40618
516	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	4439
517	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	5907
518	Shengcheng Culture and Tourism Group International Trade Co., Ltd	84557
519	Shengcheng Culture and Tourism Group International Trade Co., Ltd	84599
520	Shengzhou Communications Investment and Development Group Co., Ltd.	5473
521	Shengzhou Investment Holdings Co., Ltd.	5768
522	Shengzhou Investment Holdings Co., Ltd.	5993
523	Shenwan Hongyuan International Finance Limited	40766
524	Shenwan Hongyuan International Finance Limited	5460
525	Shenzhen Futian Investment Holdings Co., Ltd	84573
526	Shuifa International Holdings (BVI) Co., Ltd.	4546
527	Si Fa Investment Limited	40640
528	Sichuan Gloport Investment Development Group Co., Ltd	85094
529	Sichuan Gloport Investment Development Group Co., Ltd	85101
530	Sihai International Investment Limited	5175
531	Sihai International Investment Limited	5518
532	Sihai International Investment Limited	5534
533	Sino Trendy Investment Limited	5952
534	Sinochem Global Capital Co., Ltd.	5935
535	Sinochem Overseas Capital Company Limited	4502
536	Sino-Ocean Land Treasure Finance II Limited	5869
537	Sino-Ocean Land Treasure III Limited	5276
538	Sino-Ocean Land Treasure IV Limited	5623
539	Sino-Ocean Land Treasure IV Limited	40115
540	Sino-Ocean Land Treasure IV Limited	40670
541	Sinosing Services Pte. Ltd.	40156
542	SJM International Limited	40045
543	Societe Generale	5947
544	Societe Generale	5959
545	Societe Generale	5960
546	Societe Generale	5961
547	Societe Generale	5962
548	Songyang County State Capital Investment and Operation Group Co., LTD	84514
549	Songyang County State Capital Investment and Operation Group Co., LTD	5327
550	Songyang County State Capital Investment and Operation Group Co., LTD	84583
551	SPIC 2016 US dollar Bond Company Limited	5325
552	SPIC 2018 USD Senior Perpetual Bond Company Limited	5453
553	State Grid Europe Development (2014) Public Limited Company	5121
554	State Grid Overseas Investment (2013) Limited	5955
555	State Grid Overseas Investment (2014) Limited	5743
556	State Grid Overseas Investment (2016) Limited	5650
557	Suizhou Huasheng Industrial Development Co., Ltd.	85032
558	Sunny Express Enterprises Corp.	40030
559	Sunny Express Enterprises Corp.	40031
560	Sunny Express Enterprises Corp.	40204
561	Sunny Express Enterprises Corp.	4505
562	Sunshine Life Insurance Corporation Limited	5629
563	Suzhou City Construction Investment Group (Holding) Co., Ltd.	5751
564	Syngenta Group Finance Limited	5557
565	Tai Fung Bank Limited	5686
566	Taishan City Investment Co., Limited	84496
567	Taishan City Investment Co., Limited	84530
568	Taishan Finance International Business Co., Limited	5301
569	TAIZHOU HUANGYAN ECONOMIC DEVELOPMENT GROUP CO., LTD.	5374

570	Taizhou Huangyan Transportation and Tourism Investment Group Co., Ltd.	5488
571	Taizhou State-owned Assets Investment Group Co., Ltd.	5242
572	Taizhou Urban Construction and Investment Development Group Co., Ltd.	5849
573	Taizhou Urban Construction and Investment Development Group Co., Ltd.	5107
574	Talent Yield International Limited	40675
575	Talent Yield International Limited	40676
576	Tencent Music Entertainment Group	40366
577	Tequ Mayflower Limited	40600
578	Three Gorges Finance I (Cayman Islands) Limited	5999
579	Tianfeng Securities Co., Ltd.	4536
580	Tianjin Binhai New Area Construction & Investment Group Co., Ltd.	5773
581	Tianjin Lingang Investment Holding Group Co., Ltd.	5753
582	Tianjin State-owned Capital Investment and Management Co., Ltd.	40104
583	Tianjin State-owned Capital Investment and Management Co., Ltd.	40105
584	Tianjin State-owned Capital Investment and Management Co., Ltd.	40106
585	Tongling State-owned Capital Operation Holding Group Company Limited	5889
586	TONGREN STATE-OWNED CAPITAL OPERATION CO., LTD.	5911
587	Top Luxury Investment Limited	5598
588	Towngas (Finance) Limited	5749
589	Towngas (Finance) Limited	6018
590	Tsinghua Unic Limited	4415
591	UETD Construction & Development State-owned Capital Investment Operation (Group) Co., Ltd.	5767
592	UETD Construction & Development State-owned Capital Investment Operation (Group) Co., Ltd.	40499
593	Universe Trek Limited	40722
594	Universe Trek Limited	40797
595	Urumqi Gaoxin Investment and Development Group Co., Ltd.	84444
596	Urumqi Gaoxin Investment and Development Group Co., Ltd.	5871
597	Vertex Capital Investment Limited	40780
598	Vertex Capital Investment Limited	4548
599	Vertex Capital Investment Limited	84499
600	Weifang Urban Construction and Development Investment Group Co., Ltd.	5176
601	Weifang Urban Construction and Development Investment Group Co., Ltd.	84569
602	Weifang Urban Construction and Development Investment Group Co., Ltd.	5762
603	Weimob Investment Limited	40702
604	Weimob Investment Limited	5186
605	WENS FOODSTUFF GROUP CO., LTD.	40443
606	Wenzhou Lucheng District State-owned Holding Group Co., Ltd.	84588
607	Wuhan Financial Holdings (Group) Co., Ltd.	5281
608	Wuhan Metro Group Co., Ltd.	5467
609	Wuhan Urban Construction Group Co., Ltd.	5031
610	Wuxing City Investment HK Company Limited	5067
611	XI'AN PORT INDUSTRIAL CO., LIMITED	5921
612	XI'AN PORT INDUSTRIAL CO., LIMITED	6003
613	Xiangyu Investment (BVI) Co., Ltd.	5486
614	Xianjin Industry Investment Company Limited	5848
615	Xihui Haiwai I Investment Holdings Co., Limited	84582
616	Xin Yue Company Limited	4442
617	Xinchang County Transportation Investment Group Company Limited	5964
618	Xingchang International Company Limited	5801
619	YAN GANG LIMITED	40622
620	Yancheng Dafeng Haicheng Industrial Development Co., Ltd.	5765
621	Yancheng Dafeng Haicheng Industrial Development Co., Ltd.	40073
622	Yancheng Dafeng Xiecheng Industrial Development Co., Ltd.	85097
623	Yancheng High-tech Zone Investment Group Co., Ltd.	5151
624	Yancheng High-tech Zone Investment Group Co., Ltd.	5150
625	Yancheng High-tech Zone Investment Group Co., Ltd.	5355
626	Yancheng State-Owned Energy Investment Co., Ltd	84467
627	Yancoal International Resources Development Co., Limited	5424
628	Yancoal International Trading Co., Limited	5753
629	Yangzhou Economic and Technological Development Zone Development (Group) Co., Ltd	5472
630	Yangzhou Hanjiang District State-owned Capital Investment Group Co., Ltd.	84584
631	Yankuang Group (Cayman) Limited	5238
632	Yankuang Group (Cayman) Limited	5403
633	YCIC International (HK) Co., Limited	40908
634	YCIC International (HK) Co., Limited	5146
635	Yi Bright International Limited	5833
636	Yi Bright International Limited	84468
637	Yi Bright International Limited	84489
638	Yi Bright International Limited	5024
639	Yibin Emerging Industry Investment Group Co., Ltd	84521
640	Yibin Emerging Industry Investment Group Co., Ltd	5173
641	Yichang High-Tech Investment Development Co., Ltd.	5300
642	Yichun Yuanzhou District State-owned Investment Group Co., Limited	5324
643	Yidu State-owned Assets Investment, Operation and Holding Group Co., Ltd.	84502
644	YONGDA Investment Limited	5603
645	Yongzhou Lingling Asset Management Co., Ltd.	84482
646	Yueyang Construction and Investment Group Co., Ltd.	40935
647	Yueyang Construction and Investment Group Co., Ltd.	5427
648	Yunnan Energy Investment Overseas Finance Company Ltd.	40352
649	Yunnan Energy Investment Overseas Finance Company Ltd.	5829
650	Zhangzhou Jiulongjiang Group Co., Ltd.	5722
651	Zhanjiang Infrastructure Construction Investment Group Co., Ltd.	84491
652	Zhaoqing Guolian Investment Holding Co., Ltd	5254
653	Zhejiang Baron (BVI) Company Limited	5836
654	Zhejiang Baron (BVI) Company Limited	5128
655	Zhejiang Changxing Financial Holdings Group Co., Ltd.	5316
656	Zhejiang Changxing Financial Holdings Group Co., Ltd.	5462
657	Zhejiang Changxing Jingkai Construction & Development Co., Ltd	40149
658	Zhejiang Seaport International Co., Limited	40614
659	Zhejiang Xinchang Investment Development Co., Ltd.	4304
660	Zhejiang Xinchang Investment Development Co., Ltd.	5218

661	Zhengzhou Jinshui Holding Group Co., Ltd.	5489
662	Zhengzhou Metro Group Co., Ltd.	5278
663	Zhengzhou Urban Construction Investment Group Co., Ltd.	5347
664	Zhengzhou Urban Construction Investment Group Co., Ltd.	5694
665	ZHENGZHOU URBAN DEVELOPMENT GROUP CO., LTD.	85040
666	ZHENGZHOU URBAN DEVELOPMENT GROUP CO., LTD.	85055
667	ZHENGZHOU URBAN DEVELOPMENT GROUP CO., LTD.	5853
668	Zhenjiang Culture & Broadcasting Industry Group Co., Ltd.	4324
669	Zhenjiang Culture & Broadcasting Industry Group Co., Ltd.	4568
670	Zhenjiang Transportation Industry Group Co., Ltd.	5737
671	Zhongyuan Asset Management Co., Ltd	5533
672	Zhongyuan Zhicheng Co., Ltd.	40747
673	Zhongyuan Zhicheng Co., Ltd.	5021
674	Zhoukou Urban Construction Investment Development Limited Company	5448
675	Zhuji Development Limited	5156
676	Zhuzhou City Construction Development Group Co., Ltd.	5477
677	Zibo Caijin Holding Group Co., Ltd.	84448
678	Zibo City Asset Operation Group Co., Ltd.	84481
679	Zibo City Asset Operation Group Co., Ltd.	84507
680	Zibo City Asset Operation Group Co., Ltd.	84519
681	Zibo City Asset Operation Group Co., Ltd.	85011
682	Zibo High-Tech International Investment Co., Limited	5354
683	Zibo High-Tech International Investment Co., Limited	84527
684	Zoomwe Hong Kong New Energy Technology Co., Limited	4581
685	ZOUCHENG LIMIN CONSTRUCTION DEVELOPMENT GROUP CO., LTD.	85003

第3類豁免：適用於股份在香港聯合交易所有限公司上市的以法團形式運作的開放式集體投資計劃

名稱	聯交所 股份代號
1 Xtrackers Vietnam Swap UCITS ETF*	3087
2 Xtrackers MSCI China A UCITS ETF	3007
3 Xtrackers MSCI Korea UCITS ETF	2848
4 Xtrackers MSCI Taiwan UCITS ETF	3036
5 Xtrackers MSCI USA Swap UCITS ETF*	3020
6 Xtrackers Nifty 50 Swap UCITS ETF*	3015
7 Global X China Consumer Brand ETF	2806 / 9806
8 Global X China Electric Vehicle and Battery ETF	2845 / 9845
9 Global X China Clean Energy ETF	2809 / 9809
10 Global X China Semiconductor ETF	3191 / 9191
11 Global X China Robotics and AI ETF	2807 / 9807
12 CSOP STAR 50 Index ETF	3109
13 Global X China Innovator Active ETF	3058
14 Global X China Global Leaders ETF	3050
15 CSOP Huatai-PineBridge CSI Photovoltaic Industry ETF	3134
16 CSOP Hang Seng Biotech ETF	3174
17 Global X Asia Semiconductor ETF	3119
18 Global X China E-commerce and Logistics ETF	3124
19 Global X China Games and Entertainment ETF	3117
20 CSOP MSCI China A 50 Connect ETF	3003
21 Global X Autonomous and Electric Vehicles ETF	2849
22 Global X FinTech ETF	3185
23 Global X Asia Innovator Active ETF	3051
24 CSOP NASDAQ 100 ETF	3034
25 Fubon FTSE Taiwan RIC Capped Index ETF	3021
26 Fubon ICE FactSet Taiwan Core Semiconductor Index ETF	3076
27 Fubon Hang Seng Shanghai-Shenzhen-Hong Kong (Selected Corporations) High Dividend Yield Index ETF	3190
28 CSOP FTSE Vietnam 30 ETF	3004
29 E Fund (HK) HSI ESG Enhanced Index ETF	3039
30 Boserá RMB Money Market ETF	3192 / 83192
31 Phillip HK Newly Listed Equities Index ETF	2835
32 Pando Blockchain ETF	3112
33 Pando Innovation ETF	3056
34 Boserá HKD Money Market ETF	3152
35 Fubon ICE FactSet Asia Battery and Energy Storage Technology Index ETF	3405
36 Boserá USD Money Market ETF	3196 / 83196 / 9196
37 Global X China MedTech ETF	2841
38 Global X Asia USD Investment Grade Bond ETF	3075 / 9075
39 Global X China Little Giant ETF	2815
40 Boserá 20+ Year US Treasury Bond ETF	3156 / 9156 / 9107
41 Global X HSCFI Components Covered Call Active ETF	3416
42 Global X HSI Components Covered Call Active ETF	3419
43 Global X K-pop and Culture ETF	3158
44 Boserá HashKey Bitcoin ETF	3008 / 9008
45 Boserá HashKey Ether ETF	3009 / 9009
46 ChinaAMC Bitcoin ETF	3042 / 83042 / 9042
47 ChinaAMC Ether ETF	3046 / 83046 / 9046
48 Harvest Bitcoin Spot ETF	3439 / 9439
49 Harvest Ether Spot ETF	3179 / 9179
50 Doo Wealth Selected AI and Automation Active ETF	3413
51 Doo Wealth Selected Digital Payments ETF	3412
52 Doo Wealth Selected Web3 ETF	3426
53 Boserá China Reform Hong Kong Central-SOEs High Dividend Yield Index ETF	3437 / 83437 / 9437
54 Fubon Solactive Core Diversified Multi Asset Index ETF	3427
55 Global X US Treasury 0-3 Month ETF	3440 / 9440
56 Global X G2 Tech ETF	3402
57 Global X AI Infrastructure ETF	3401
58 Global X Hang Seng TECH Components Covered Call Active ETF	3417
59 CMS Hang Seng Tech Index ETF	3423
60 Global X FTSE Greater China ETF	3470
61 Global X MSCI Asia Pacific ex Japan ETF	3064 / 9064
62 Global X China Core TECH ETF	3448
63 Pando Bitcoin ETF	2818
64 Global X S&P 500 Covered Call Active ETF	3415 / 9415
65 MicroBit Bitcoin Spot ETF	3430 / 9430
66 MicroBit Ether Spot ETF	3425 / 9425
67 Global X Nasdaq 100 Covered Call Active ETF	3451 / 9451
68 Value Partners HKD Money Market ETF	3421
69 Value Partners RMB Money Market ETF	3420 / 83420
70 Value Partners USD Money Market ETF	3480 / 9480
71 ChinaAMC Solana ETF	3460 / 83460 / 9460
72 ChinaAMC HKD Digital Money Market Fund	3471
73 ChinaAMC RMB Digital Money Market Fund	3461 / 83461
74 ChinaAMC USD Digital Money Market Fund	3472 / 9472
75 Pando Ethereum ETF	3085
76 Galaxy Boserá MSCI China ASEAN Econ Linkage Select Index ETF	2805 / 82805 / 9805

* 此基金為一隻合成交易所買賣基金

第4類豁免：適用於聯交所的交易所參與者

名稱

- 1 中國創盈市場服務有限公司
- 2 中國投資資訊有限公司

第5類豁免：適用於屬結算參與者的結算所

名稱

- 1 中國證券登記結算有限責任公司
- 2 中國證券登記結算（香港）有限公司

根據《證券及期貨條例》(第571章)第309(2) 條批給的其他豁免

名稱

- 1 Budweiser Brewing Company APAC Limited
- 2 京東健康股份有限公司
- 3 京東物流股份有限公司
- 4 雲音樂股份有限公司
- 5 京東工業股份有限公司