

根據《證券及期貨條例》(第571章)第309(2) 條批給的豁免

以下載列就(一)發行在香港聯合交易所有限公司(聯交所)上市的證券的法團及(二)其他人士而批給的豁免。該等豁免就(一)批給該等法團、其大股東、董事及最高行政人員，及就(二)批給其他人士，使其無須就其於聯交所上市證券的權益所衍生的義務而遵從《證券及期貨條例》第XV部。本清單每月更新。

證監會網站內有關《證券及期貨條例》第XV部的網頁已登載證監會指引，列明根據該條例第309條批給豁免的依據。目前提供的豁免分為五類，而該指引已對該五類豁免加以述明。

第1類部份豁免：適用於股份已在香港聯合交易所有限公司及另一證券交易所上市的法團

名稱	聯交所 股份代號 (<i>注解 1</i>)	
1 宏利金融有限公司	0945 - S	
2 渣打集團有限公司	2888	(及 4305, 5348, 5890, 5986, 40276 及 40518)
3 英國保誠有限公司	2378	
4 迅銷有限公司 - 預託證券	6288 - S	(該豁免僅適用於迅銷有限公司及其大股東)
5 京東集團股份有限公司	9618 - SW	
6 華住集團有限公司	1179 - S	
7 萬國數據控股有限公司	9698 - SW	
8 新東方教育科技(集團)有限公司	9901 - S	
9 汽車之家	2518 - S	
10 百度集團股份有限公司	9888 - SW	
11 攜程集團有限公司	9961 - S	
12 微博股份有限公司	9898 - SW	
13 蔚來集團	9866 - SW	
14 騰訊音樂娛樂集團	1698 - SW	
15 360數科股份有限公司	3660 - S	
16 PT Merdeka Gold Resources Tbk - 預託證券	6228 - S	

注解1:
S - 第二上市公司
W - 不同投票權架構的公司

第2類豁免：適用於並無股份在香港聯合交易所有限公司上市的法團

(a)第2類豁免：適用於結構性產品現時在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

名稱	豁免期限 (<i>注解 2</i>)	豁免開始日	豁免終止日
1 BOCI Asia Limited		2007年12月21日	2026年12月20日
2 BNP Paribas Issuance B.V.		2007年12月21日	2026年12月20日
3 CITIC Securities Brokerage (HK) Limited		2024年2月22日	2027年2月21日
4 Citigroup Global Markets Europe AG		2019年11月1日	2026年10月31日
5 DBS Bank Ltd.		2021年8月24日	2026年8月23日
6 Goldman Sachs Structured Products (Asia) Limited		2007年12月21日	2026年12月20日
7 Guotai Junan Securities (Hong Kong) Limited		2019年10月28日	2026年10月27日
8 Huatai Financial Holdings (Hong Kong) Limited		2023年6月1日	2027年5月31日
9 J.P. Morgan Structured Products B.V.		2007年12月21日	2026年12月20日
10 Korea Investment & Securities Asia Limited		2023年12月4日	2026年12月3日
11 Macquarie Bank Limited		2008年1月1日	2026年12月31日
12 Morgan Stanley Asia Products Limited		2019年7月22日	2027年7月21日
13 SG Issuer		2016年4月1日	2027年3月31日
14 The Hongkong and Shanghai Banking Corporation Ltd		2007年12月23日	2026年12月22日
15 UBS AG		2007年12月21日	2026年12月20日

注解2: 授予以上法團的豁免期為12個月(或更少)，並豁免該法團在豁免期內所發行及在香港聯合交易所有限公司上市的結構性產品直至該結構性產品有效期完結為止。

(b)第2類豁免：適用於債務項目下發行的債務證券在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

名稱	項目
1 Advance I (BVI) Limited	US\$1,000,000,000 Medium Term Note Programme
2 AirAsia Global Notes Limited	US\$1,000,000,000 Multicurrency Medium Term Note Programme
3 Almasar C.I. Ltd.	USD8,000,000,000 Trust Certificate Issuance Programme
4 Amipeace Limited	USD1,200,000,000 Guaranteed Medium Term Note Programme
5 Amipeace Ltd.	HK\$20,000,000,000 Medium Term Note Programme
6 AMTD Group Company Limited	U.S.\$500,000,000 Medium Term Note Programme
7 AMTD IDEA Group	U.S.\$1,000,000,000 Medium Term Note Programme
8 AVIC International Leasing Co., Ltd.	US\$500,000,000 Medium Term Note Programme
9 AVIC International Leasing Co., Ltd.	U.S.\$500,000,000 Medium Term Note Programme
10 Azure Nova International Finance Limited	U.S.\$10,000,000,000 Medium Term Note Programme
11 Azure Orbit II International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
12 Azure Orbit IV International Finance Limited	U.S.\$7,000,000,000 Medium Term Note Programme
13 Bank of China (Hong Kong) Limited	U.S.\$15,000,000,000 Medium Term Note Programme
14 Bank of China (Luxembourg) S.A.	US\$30,000,000,000 Medium Term Note Programme
15 Bank of China (Macau) Limited	US\$40,000,000,000 Medium Term Note Programme
16 Beijing Capital Polar Investment Co. Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme

17	Bluestar Finance Holdings Limited	US\$3,000,000,000 Medium Term Note and Perpetual Securities Programme
18	Bocom Leasing Management Hong Kong Company Limited	US\$10,000,000,000 Medium Term Note Programme
19	Boom Up Investments Limited	US\$1,000,000,000 Medium Term Note Programme
20	CALC Bonds Limited	US\$3,000,000,000 Medium Term Note Programme
21	Castle Peak Power Finance Company Limited	U.S.\$2,000,000,000 Medium Term Note Programme
22	Cathay Pacific MTN Financing (HK) Limited	US\$2 billion Medium Term Note Programme
23	CCB Shipping and Aviation Leasing Corporation Limited	USD5,000,000,000 Medium Term Note Programme
24	CCBL (Cayman) 1 Corporation Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
25	CDBI Treasure I Limited	US\$500,000,000 Medium Term Note Programme
26	CDBL Funding 1	U.S.\$5,000,000,000 Medium Term Note Programme
27	CDBL Funding 1	US\$3,000,000,000 Medium Term Note Programme
28	CDBL Funding 2	US\$3,000,000,000 Medium Term Note Programme
29	Central Plaza Development Limited	US\$2,000,000,000 Guaranteed Medium Term Programme
30	CGNPC INTERNATIONAL LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
31	Chailease International Finance Corporation	U.S.\$500,000,000 Medium Term Note Programme
32	Champion MTN Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
33	Charming Light Investments Ltd. and United Wealth Development Ltd.	US\$8,000,000,000 Medium Term Note Programme
34	Cheung Kong Finance (MTN) Limited and Joynote Ltd	US\$2,000,000,000 Medium Term Note Programme
35	Cheung Kong Infrastructure Finance (BVI) Limited	US\$5,000,000,000 Euro Medium Term Note Programme
36	China Cinda (2020) I Management Limited	U.S.\$7,400,000,000 Guaranteed Medium Term Note Programme
37	China Cinda (2020) I Management Limited	US\$2,000,000,000 Medium Term Note Programme
38	China Cinda Finance (2015) I Limited and China Cinda Finance (2015) II Limited	US\$3,000,000,000 Guaranteed Medium Term Note Programme
39	China Cinda Finance (2017) I Limited and China Cinda Finance (2017) II Limited	US\$10,000,000,000 Medium Term Note Programme
40	China CITIC Bank International Limited	US\$3,000,000,000 Medium Term Note Programme
41	China Construction Bank (Asia) Corporation Limited	US\$5,000,000,000 Medium Term Note Programme
42	China Development Bank Corporation and China Development Bank Corporation Hong Kong Branch	US\$30,000,000,000 Debt Issuance Programme
43	China Great Wall International Holdings III Limited	U.S.\$6,500,000,000 Medium Term Note Programme
44	China Huadian Overseas Development 2018 Limited	US\$2,000,000,000 Medium Term Note and Perpetual Securities Programme
45	China Overseas Finance (Cayman) VIII Limited	US\$2.5 billion medium note programme
46	China Overseas Finance (Cayman) VIII Limited	US\$4 billion medium note programme
47	Chong Hing Bank Limited	USD2,000,000,000 Medium Term Note and Perpetual Capital Securities Programme
48	CICC Hong Kong Finance 2016 MTN Limited	US\$10,000,000,000 Guaranteed Medium Term Note Programme
49	CITIC Securities Finance MTN Co., Ltd.	US\$3 billion Medium Term Note Programme
50	CK Property Finance (MTN) Limited	US\$5,000,000,000 Euro Medium Term Note Programme
51	CMB International Leasing Management Limited	US\$20,000,000,000 Medium Term Note Programme
52	CMB Wing Lung Bank Limited	U.S. \$2,000,000,000 Euro Medium Term Note Programme
53	CMBLEMTN1 Limited	U.S.\$5,000,000,000 Medium Term Note Programme
54	CMS International Gemstone Limited	US\$2,000,000,000 Medium Term Note Programme
55	CNCBINV 1 (BVI) Limited	US\$2,000,000,000 Medium Term Note Programme
56	CNPC General Capital Limited	US\$7,000,000,000 Euro Medium Term Note Programme
57	Connery Capital Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
58	COSL Singapore Capital Ltd.	US\$3,500,000,000 Euro Medium Term Note Programme
59	Crédit Industriel et Commercial	EUR25,000,000,000 Structured Euro Medium Term Note Programme
60	CSCIF Asia Limited	US\$3,000,000,000 Medium Term Note Programme
61	CSCIF Hong Kong Limited	US\$4,000,000,000 Medium Term Note Programme
62	CSI MTN Limited	U.S.\$3,000,000,000 Medium Term Note Programme
63	CSSC Capital 2015 Limited	USD3,000,000,000 Guaranteed Medium Term Note Programme
64	Dah Sing Bank, Limited	US\$2,000,000,000 Euro Medium Term Note Programme
65	DianJian Haiyu Limited, Dianjian International Finance Limited, Leader Goal International Limited, SEPCO Virgin Limited	U.S.\$2,500,000,000 Medium Term Note and Senior Perpetual Securities Programme
66	Eastern Creation II Investment Holdings Ltd.	US\$6,000,000,000 Guaranteed Euro Medium Term Note Programme
67	FEC Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
68	Franshion Brilliant Limited	US\$2,000,000,000 Medium Term Note Programme
69	Future Days Limited	US\$5,000,000,000 Medium Term Note Programme
70	FWD Group Holdings Limited	US\$5,000,000,000 Medium Term Note and Capital Securities Programme
71	GE (MTN) LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
72	Gemdale Ever Prosperity Investment Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
73	GLP China Holdings Limited	HK\$20,000,000,000 Medium Term Note Programme
74	Goodman HK Finance	US\$2,000,000,000 Medium Term Note Programme
75	Greenland Global Investment Limited	US\$8,000,000,000 Guaranteed Medium Term Notes Programme
76	Guangzhou Metro Investment Finance (BVI) Ltd.	US\$3,000,000,000 Guaranteed Medium Term Note Programme
77	Guotai Junan Holdings Limited	USD3,000,000,000 Medium Term Note Programme
78	Haitong International Finance Holdings Limited	USD1,000,000,000 Guaranteed Medium Term Note Programme
79	Haitong International Securities Group Limited	USD5,000,000,000 Medium Term Note Programme
80	Haitong UT Brilliant Limited	USD1,000,000,000 Guaranteed Medium Term Note Programme
81	Henderson Land MTN Limited	US\$7,000,000,000 Guaranteed Medium Term Note Programme
82	HKCG (Finance) Limited	US\$3,000,000,000 Medium Term Note Programme
83	HLP Finance Limited	US\$4 billion Medium Term Note Programme
84	Hong Kong Science and Technology Parks Corporation	HK\$1,707,000,000 Guaranteed Medium Term Note Programme
85	Horse Gallop Finance Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
86	Horsepower Finance Limited	US \$1,200,000,000 Medium Term Note Programme
87	Huarong Finance 2017 Co., Ltd.	U.S.\$14,500,000,000 Medium Term Note Programme
88	Huarong Finance 2019 Co., Ltd.	US\$2,900,000,000 Medium Term Note Programme
89	Huarong Finance II Co., Ltd	US\$11,000,000,000 Medium Term Note Programme
90	Huarong Leasing Management Hong Kong Company Limited	U.S.\$1,000,000,000 Medium Term Note Programme
91	Huatai International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
92	Hysan (MTN) Limited	US\$4,000,000,000 Medium Term Note Programme
93	ICBCIL Finance Co. Limited	US\$20,000,000,000 Medium Term Note Programme
94	ICIL Aero Treasury Limited	US\$20,000,000,000 Medium Term Note Programme
95	Industrial and Commercial Bank of Asia (China) Limited	U.S.\$6,000,000,000 Medium Term Note Programme
96	Industrial Bank Co., Ltd.	U.S.\$5,000,000,000 Medium Term Note Programme
97	Inventive Global Investments Limited	U.S.\$3,500,000,000 Medium Term Note Programme
98	Inventive Global Investments Limited	U.S.\$3,500,000,000 Medium Term Note Programme
99	Joy Treasure Assets Holdings Inc.	USD1,400,000,000 Medium Term Note Programme
100	Joyous Glory Group Limited	US\$1,000,000,000 Medium Term Note Programme

101	K.Wah International Financial Services Limited	US\$1,000,000,000 Medium Term Note Programme
102	KazMunayGas	RMB10,000,000,000 Medium Term Note Programme
103	Kunming Traffic Investment Co., Ltd.	US\$2,000,000,000 Medium Term Note Programme
104	Lai Fung MTN Limited	US\$2,000,000,000 Medium Term Note Programme
105	LAI SUN MTN LIMITED	US\$2,000,000,000 Medium Term Note Programme
106	Legend Fortune Limited	US\$2,000,000,000 Medium Term Note Programme
107	Midea Investment Development Company Limited	US\$3,000,000,000 Medium Term Note Programme
108	Modern Terminals Capital Limited	US\$1 billion Medium Term Note Programme
109	MTR Corporation (C.I.) Limited	US\$4 billion Medium Term Note Programme
110	New World China Land Limited	US\$2,000,000,000 Medium Term Note Programme
111	NWD (MTN) Limited	US\$7,000,000,000 Medium Term Note Programme
112	NWS (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
113	OTP Bank Nyrt.	EUR7,000,000,000 euro medium term note programme
114	P&R International Treasury Limited	U.S.\$300,000,000 Medium Term Note and Perpetual Securities Programme
115	PETRONAS Capital Limited and PETRONAS Energy Canada Ltd.	US\$30,000,000,000 Medium Term Note Programme
116	Pingan Real Estate Capital Limited	US\$1,000,000,000 Medium Term Note Programme
117	Pioneer Reward Limited	USD10,000,000,000 Medium Term Note Programme
118	Power Assets Finance Limited	U.S.\$2,000,000,000 Medium Term Note Programme
119	Proven Glory Capital Limited	US\$5,000,000,000 Medium Term Note Programme
120	QNB Finance Ltd	U.S.\$22,500,000,000 Medium Term Note Programme
121	QNB Finance Ltd	USD30,000,000,000 Medium Term Note Programme
122	R-REIT International Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
123	RH International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
124	Rongshi International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
125	Shanghai Pudong Development Bank Co., Ltd., Hong Kong Branch	US\$5,000,000,000 Euro Medium Term Note Programme
126	Sichuan Development Holding Co., Ltd. and Yieldking Investment Limited	US\$2,000,000,000 Medium Term Note Programme
127	Silverbell Asia Limited	US\$1,000,000,000 Medium Term Note Programme
128	Sino (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
129	Sinochem Offshore Capital Company Limited	US\$15,000,000,000 Global Medium Term Note Programme
130	Soar Great Limited	US\$1,500,000,000 Guaranteed Medium Term Note Programme
131	Soar Wind Ltd	US\$3,500,000,000 Guaranteed Medium Term Note and Perpetual Capital Securities Programme
132	Soar Wise Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
133	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l., SPIC MTN Company Ltd.	U.S.\$3,000,000,000 Medium Term Note and Perpetual Securities Programme
134	Splendid International Investment Limited	U.S.\$1,500,000,000 Medium Term Note Programme
135	Standard Chartered Bank (Hong Kong) Limited and Standard Chartered Bank	US\$50,000,000,000 Debt Issuance Programme
136	State Elite Global Limited	US\$2,700,000,000 Medium Term Note Programme
137	State Grid Europe Development (2014) Public Limited Company	USD10,000,000,000 Guaranteed Medium Term Note Programme
138	State Grid Overseas Investment (2016) Limited	US\$15,000,000,000 Medium Term Note Programme
139	Sun Hung Kai Properties (Capital Market) Limited	US\$10,000,000,000 Medium Term Note Programme
140	Sunlight REIT MTN Limited	US\$1,000,000,000 Medium Term Note Programme
141	Swire Pacific MTN Financing (HK) Limited	US\$5,000,000,000 Medium Term Note Programme
142	Swire Pacific MTN Financing Limited	US\$5,000,000,000 Medium Term Note Programme
143	Swire Properties MTN Financing Limited	US\$3,000,000,000 Medium Term Note Programme
144	TCCL (Finance) Limited	U.S.\$2,000,000,000 Medium Term Note Programme
145	TFI Overseas Investment Limited	U.S.\$750,000,000 Structured Note and Medium Term Note Programme
146	The Hongkong and Shanghai Banking Corporation Limited	US\$20,000,000,000 Medium Term Note Programme
147	The Hong Kong Mortgage Corporation Limited	US\$30,000,000,000 Medium Term Note Programme
148	The Link Finance (Cayman) 2009 Limited and LINK QDS (SINGAPORE) PRIVATE LIMITED	US\$5,000,000,000 Guaranteed Euro Medium Term Note Programme
149	THE UNIVERSITY OF HONG KONG	USD1,000,000,000 Medium Term Note Programme
150	Unican Limited	US\$1,000,000,000 Guaranteed Euro Medium Term Note Programme
151	Urban Renewal Authority	US\$4,400,000,000 Medium Term Note Programme
152	Vanke Real Estate (Hong Kong) Company Limited	US\$9,500,000,000 Medium Term Note Programme
153	West Kowloon Cultural District Authority	USD1,000,000,000 Medium Term Note Programme
154	Westwood Group Holdings Limited	US\$3,000,000,000 Medium Term Note Programme
155	Wharf Finance (BVI) Limited, Wharf Finance (BVI) No.1 Limited, Wharf Finance (No.1) Limited and Wharf Finance Limited	US\$7 billion Medium Term Note Programme
156	Wharf REIC Finance (BVI) Limited	U.S.\$5,000,000,000 Medium Term Note Programme
157	Wheelock MTN (BVI) Limited	US\$5 billion Medium Term Note Programme
158	Wuhan Metro Group Co., Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
159	Xingcheng (BVI) Limited	US\$1,500,000,000 Medium Term Note Programme
160	Yuexiu REIT MTN Company Limited	US\$1,500,000,000 Medium Term Note Programme
161	Yuexiu REIT MTN Company Limited and MOON KING LIMITED	USD1,500,000,000 Guaranteed Medium Term Note Programme
162	Zhejiang Energy International Limited	USD2,000,000,000 Medium Term Note Programme

(c)第2類豁免：適用於就某一特定發行的債務證券現時在香港聯合交易所有限公司上市的法團（並無股份在香港聯合交易所有限公司上市）

名稱	聯交所 股份代號
1 Airport Authority	4701
2 Alibaba Group Holding Limited	5845
3 Anhui Transportation Holding Group (H.K.) Limited	40815
4 Anhui Xihu Investment Holding Group Co., Ltd.	84556
5 Anhui Xihu Investment Holding Group Co., Ltd.	5351
6 Anji Qicai Lingfeng Rural Tourism Investment Co., Ltd	4531
7 AVIC International Finance & Investment Limited	40388
8 AVIC International Finance & Investment Limited	40919
9 AVIC International Finance & Investment Limited	5012
10 Azure Orbit III International Finance Limited	5620
11 Bank of Communications (Hong Kong) Limited	40176
12 Bank of Communications (Hong Kong) Limited	40751
13 Bauhinia ILBS 1 Limited	5797
14 Bauhinia ILBS 1 Limited	5790
15 Bauhinia ILBS 1 Limited	5791
16 Bauhinia ILBS 1 Limited	5792

17	Bauhinia ILBS 1 Limited	5795
18	Bauhinia ILBS 2 Limited	5187
19	Bauhinia ILBS 2 Limited	5188
20	Bauhinia ILBS 2 Limited	5189
21	Bauhinia ILBS 2 Limited	5192
22	Bauhinia ILBS 2 Limited	5193
23	Bauhinia ILBS 3 Limited	5967
24	Bauhinia ILBS 3 Limited	5968
25	Bauhinia ILBS 3 Limited	5985
26	Bauhinia ILBS 3 Limited	5987
27	Bauhinia ILBS 3 Limited	5990
28	BCEG (HongKong) Company Limited	40743
29	BCEG (HongKong) Company Limited	40135
30	BCEG (HongKong) Company Limited	85113
31	Black Peony (Hong Kong) Holding Co., Limited	5839
32	Blossom Joy Limited	40426
33	Blossom Joy Limited	40427
34	Blue Skyview Company Limited	5364
35	Bluestar Finance Holdings Limited	4550
36	Bluestar Finance Holdings Limited	5089
37	Bluestar Finance Holdings Limited	5599
38	Bluestar Finance Holdings Limited	40274
39	BNY Trust Company of Australia Limited	5766
40	BNY Trust Company of Australia Limited	40142
41	BNY Trust Company of Australia Limited	40878
42	Bolt Innovation Limited	40077
43	Bright Galaxy International Limited	40762
44	Cable and Wireless International Finance B.V.	1077
45	Cable and Wireless International Finance B.V.	1078
46	CALC Bonds Limited	5865
47	CCB Life Insurance Company Limited	5437
48	CCCI Treasure Limited	5893
49	CCCI Treasure Limited	40157
50	CCCI Treasure Limited	40158
51	CCCI Treasure Limited	84546
52	CCCI Treasure Limited	84553
53	CCCI Treasure Limited	84578
54	CCTHK 2021 Ltd.	4411
55	Celestial Dynasty Limited	5594
56	CELESTIAL MILES LIMITED	5706
57	CENTRAL INTERNATIONAL DEVELOPMENT (BVI) LIMITED	5157
58	Central Plaza Development Ltd.	5919
59	CGNPC International Limited	5059
60	CGNPC International Limited	5154
61	Chalco Hong Kong Investment Company Limited	5920
62	Chalco Hong Kong Investment Company Limited	5727
63	Chalco Hong Kong Investment Company Limited	5985
64	Chalco Hong Kong Investment Company Limited	40784
65	Chalieco Hong Kong Corporation Limited	5321
66	Chalieco Hong Kong Corporation Limited	5702
67	Chalieco Hong Kong Corporation Limited	40070
68	Champion Path Holdings Limited	40560
69	Chang Development International Limited	5042
70	Chang Development International Limited	5481
71	Chang Development International Limited	40099
72	Changde Urban Construction and Investment Group Co., Ltd.	5892
73	Changde Urban Development Group Co., Ltd.	84476
74	Changde Urban Development Group Co., Ltd.	84494
75	Changde Urban Development Group Co., Ltd.	84567
76	Changxing Urban Construction Investment Group Co., Ltd.	4552
77	Chengda International Co., Limited	84456
78	Chengda International Co., Limited	40021
79	Chengdu Aerotropolis City Development Group Co., Ltd.	84534
80	Chengdu Aerotropolis City Development Group Co., Ltd.	85115
81	Chengdu Airport Xingcheng Investment Group Co., Ltd.	5361
82	Chengdu Airport Xingcheng Investment Group Co., Ltd.	5469
83	Chengdu Communications Investment Group Corporation Limited	5062
84	Chengdu Communications Investment Group Corporation Limited	5297
85	Chengdu Communications Investment Group Corporation Limited	5298
86	Chengdu ETDZ State-owned Investment Group Co., Ltd.	5313
87	Chengdu ETDZ State-owned Investment Group Co., Ltd.	5283
88	Chengdu Hi-Tech Investment Group Co., Ltd.	40886
89	Chengdu Jianjiang Investment Group Co., Ltd.	5446
90	Chengdu Jianjiang Investment Group Co., Ltd.	5452
91	Chengdu Tianfu New Area Investment Group Co., Ltd.	4569
92	Chengdu Wenjiang Xingrongxi City Operation Group Co., Ltd.	5932
93	Chengdu Wuhou Industrial Development Investment Management Group Co., Ltd.	84589
94	Chengdu Xingcheng Investment Group Co., Ltd.	40028
95	Chengdu Xingjin Construction Investment Group Co., Ltd.	85021
96	Chengdu Xinjin City Industry Development Group Company Ltd.	40180
97	Chengdu Xinjin City Industry Development Group Company Ltd.	84474
98	Chengdu Xisheng Investment Group Co., Ltd.	5840
99	Chengdu Yunlai Investment Group Co., Ltd.	40226
100	Cheung Kong Bond Securities (02) Limited	4559
101	Cheung Kong Bond Securities (03) Limited	4595
102	Cheung Kong Infrastructure Finance (BVI) Limited	40698
103	Cheung Kong Infrastructure Finance (BVI) Limited	40789
104	Chibi City Development Group Co., Ltd.	84505
105	Chibi City Development Group Co., Ltd.	84516
106	China CITIC Bank International Limited	5199
107	China CITIC Bank International Limited	5728

108	China CITIC Bank International Limited	5831
109	China CITIC Bank International Limited	4594
110	China Development Bank Corporation	85904
111	China Development Bank Corporation	85905
112	China Development Bank Corporation	85934
113	CHINA ENERGY OVERSEAS INVESTMENT (HONG KONG) CO., LIMITED	5995
114	CHINA ENERGY OVERSEAS INVESTMENT (HONG KONG) CO., LIMITED	5996
115	China Gezhoubu Group (Hong Kong) Overseas Investment Co., Limited	40493
116	China Great Wall International Holdings IV Limited	5620
117	China Great Wall International Holdings V Limited	40350
118	China Great Wall International Holdings V Limited	40930
119	China Great Wall International Holdings VI Limited	5079
120	China Great Wall International Holdings VI Limited	5080
121	China Great Wall International Holdings VI Limited	5504
122	China Huadian Overseas Development 2018 Limited	40261
123	China Huadian Overseas Development Management Company Limited	4533
124	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	5089
125	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40095
126	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40502
127	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40503
128	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40544
129	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40019
130	China Life Insurance (Overseas) Co., Ltd	5250
131	China Life Insurance (Overseas) Company Limited, Hong Kong Branch	5832
132	China Minmetals Corporation	4442
133	China Minmetals Corporation	5009
134	China Overseas Finance (Cayman) III Limited	5989
135	China Overseas Finance (Cayman) V Limited	4580
136	China Overseas Finance (Cayman) VI Limited	5760
137	China Overseas Finance (Cayman) VII Limited	4476
138	China Overseas Grand Oceans Finance V (Cayman) Limited	85109
139	China Railway XunJie Co., Limited	5692
140	China Resources Power East Foundation Co., Ltd.	4516
141	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5167
142	China Southern Power Grid International Finance (BVI) Co., Limited	4598
143	China State Construction Finance (Cayman) I Limited	5462
144	China State Construction Finance (Cayman) I Limited	40712
145	China State Construction Finance (Cayman) II Limited	5038
146	China State Construction Finance (Cayman) III Limited	40083
147	China Three Gorges International Limited	40013
148	Chinalco Capital Holdings Limited	5745
149	Chinalco Capital Holdings Limited	4497
150	Chinalco Capital Holdings Limited	5152
151	Chinalco Capital Holdings Limited	40137
152	Chiyu Banking Corporation Limited	5034
153	Chiyu Banking Corporation Limited	5109
154	Chiyu Banking Corporation Limited	5564
155	Chongqing International Logistics Hub Park Construction Co., Ltd.	84561
156	Chongqing International Logistics Hub Park Construction Co., Ltd.	5206
157	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5699
158	Chongqing Southern New City Industrial Investment Group Co., Ltd.	5217
159	Chongqing Wansheng Industrial Park Development and Construction Co., Ltd.	84465
160	Chongqing Wansheng Industrial Park Development and Construction Co., Ltd.	84472
161	Chongqing Xinshuangquan Urban Construction and Development Co., Ltd.	84464
162	Chouzhou International Investment Limited	5390
163	Chouzhou International Investment Limited	5946
164	Chubb INA Holdings LLC	85047
165	Chubb INA Holdings LLC	85048
166	Chubb INA Holdings LLC	85049
167	Chubb INA Holdings LLC	85125
168	Chubb INA Holdings LLC	85126
169	Cixi State-owned Assets Investment Holding Co., Ltd.	5341
170	Cixi State-owned Assets Overseas Development Company Limited	40272
171	CLP Power HK Finance Ltd	40044
172	CLP Power HK Finance Ltd	5400
173	CLP Power HK Finance Ltd.	5740
174	CMBC International Funding (HK) Limited	40081
175	CMHI Finance (BVI) Co., Ltd	5135
176	CMHI Finance (BVI) Co., Ltd	40408
177	CMHI Finance (BVI) Co., Ltd	40409
178	CMHI Finance (BVI) Co., Ltd	5275
179	CNOOC Finance (2003) Limited	2568
180	CNOOC Finance (2011) Limited	4507
181	CNOOC Finance (2012) Limited	4547
182	CNOOC Finance (2013) Limited	5942
183	CNOOC Finance (2013) Limited	40016
184	CNOOC Finance (2015) Australia Pty Ltd	5507
185	CNOOC Finance (2015) U.S.A. LLC	4478
186	CNOOC Nexen Finance (2014) ULC	5739
187	CNPC (HK) Overseas Capital Ltd.	4514
188	Contemporary Ruiding Development Limited	40382
189	Contemporary Ruiding Development Limited	40833
190	COSL Singapore Capital Ltd.	40278
191	COSL Singapore Capital Ltd.	85112
192	CRCC Chengan Limited	5581
193	CRCC Huayuan Limited	84570
194	CRCC Huayuan Limited	85087
195	CRCC Huayuan Limited	85088
196	CRCC Yupeng Limited	5778
197	CSCEC Finance (Cayman) II Limited	5233
198	CSSC Capital 2015 Limited	40146

199	CSSC Capital 2015 Limited	40778
200	CSSC Capital 2015 Limited	40066
201	Dah Sing Bank, Limited	5316
202	Dah Sing Bank, Limited	5524
203	Dazhou Kaisheng Construction Development Group Co., Ltd.	5471
204	Dazhou Kaisheng Construction Development Group Co., Ltd.	5809
205	Deep Development 2025 Limited	5570
206	Deqing Construction & Development Group Co., Ltd.	4554
207	Deqing Construction & Development Group Co., Ltd.	5125
208	Deqing County Cultural Tourism Development Group Co., Ltd.	5438
209	Deyang Development Holding Group Co., Ltd.	6001
210	Dianjian Haixing Limited	5816
211	DianJian Haiyu Limited	40396
212	DianJian Haiyu Limited	5144
213	DianJian Haiyu Limited	5197
214	DianJian Haiyu Limited	40136
215	Dongxing Voyage Company Limited	5145
216	Dongyang State Owned Assets Investment Co., Ltd.	4329
217	Dujiangyan Minjiang Water Group Co., Ltd.	5658
218	Dujiangyan Urban and Rural Construction Group Co., Ltd.	84463
219	Dujiangyan Urban and Rural Construction Group Co., Ltd.	84483
220	Elect Global Investments Limited	40173
221	Elect Global Investments Limited	40353
222	Eve Battery Investment Limited	40926
223	Excel Capital Global Limited	4496
224	Expand Lead Limited	40768
225	Foshan Gaoming Construction Investment Group Co., Ltd.	5480
226	FPC Resources Limited	40373
227	Franshion Brilliant Limited	5030
228	Franshion Brilliant Limited	5229
229	Franshion Brilliant Limited	5347
230	Franshion Brilliant Limited	5447
231	Franshion Brilliant Limited	5472
232	Fugao Wantai Group Co., Ltd.	5437
233	Fujian Jinjiang Construction Investment Holding Group Co., Ltd.	84520
234	Fujian Jinjiang Construction Investment Holding Group Co., Ltd.	84552
235	Fujian Jinshang Holding Group Co., Ltd.	5671
236	Fujian Shishi State-owned Capital Operation Group Co., Ltd.	5470
237	Fujian Zhanglong Group Co., Ltd.	5938
238	Fujian Zhangzhou City Investment Group Co., Ltd.	84469
239	Fuqing State-owned Assets Operation Investment Group Co., Ltd.	84581
240	Fuyuan Worldwide Limited	5835
241	Fuyuan Worldwide Limited	5323
242	FWD Group Limited	5019
243	FWD Group Limited	5221
244	FWD Group Limited	5793
245	FWD Limited	4416
246	FWD Limited	5351
247	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	84454
248	Ganzhou Development Investment Holding Group Co., Ltd.	5474
249	Ganzhou Jiankong Investment Holding Group Co., Ltd.	4567
250	Ganzhou Jiankong Investment Holding Group Co., Ltd.	4586
251	Ganzhou Jiankong Investment Holding Group Co., Ltd.	4588
252	Ganzhou Urban Investment Holding Group Co., Ltd.	5181
253	Ganzhou Urban Investment Holding Group Co., Ltd.	5898
254	Ganzhou Urban Investment Holding Group Co., Ltd.	40031
255	Ganzhou Urban Investment Holding Group Co., Ltd.	85104
256	Gaoxin International Investment Co., Ltd.	6017
257	Gaoyou Construction Investment Development (BVI) Co., Ltd.	40053
258	GF FINANCIAL HOLDINGS BVI LTD.	5467
259	GF FINANCIAL HOLDINGS BVI LTD.	85015
260	GF FINANCIAL HOLDINGS BVI LTD.	5203
261	GOHL Capital Limited	5352
262	Gold Pole Capital Company Limited	5034
263	Guangxi Communications Investment Group Corporation Ltd.	5609
264	Guangzhou Development District Financial Holdings Group Co., Ltd.	4407
265	Guangzhou Development District Holding Group Limited	40014
266	Guangzhou Development District Investment Group Co., Ltd.	5716
267	Guoneng Environmental Protection Investment Group Co., Limited	5561
268	Guoneng Environmental Protection Investment Group Co., Limited	40515
269	Guotai Junan Holdings Limited	6013
270	Haichuan International Investment Co., Ltd.	4512
271	Haichuan International Investment Co., Ltd.	5236
272	Hainan State Farms International Capital (HK) Co., Limited	4326
273	Haixi Overseas Investment Company Limited	4557
274	Haixi Overseas Investment Company Limited	5201
275	HaiYan Coastline Co., Limited	5842
276	Hangzhou Qiantang New Area Construction and Investment Group Co., Ltd.	5825
277	Hanrui Overseas Investment Co Ltd	5416
278	Hebi Investment Group Co., Ltd.	84503
279	Henan Airport Investment Group Co., Ltd.	5479
280	HENAN RAILWAY CONSTRUCTION & INVESTMENT GROUP CO., LTD.	5046
281	HENAN RAILWAY CONSTRUCTION & INVESTMENT GROUP CO., LTD.	5375
282	Henan Water Conservancy Investment Group Co., Ltd.	5880
283	Hengjian International Investment Limited	5684
284	Hong Kong Sukuk 2017 Limited	4233
285	HongKong Xiangyu Investment Co., Limited	85034
286	Huafa 2021 I Company Limited	40806
287	Huafa 2024 I Company Limited	84542
288	Huafa 2024 I Company Limited	85000
289	Huafa 2024 I Company Limited	85077

290	Huai'an Investment Holdings Group Co., Ltd.	85004
291	Huai'an Traffic Holding Group Co., Ltd.	5584
292	Hualu International Finance (BVI) Limited	40889
293	Huaneng Hong Kong Capital Limited	5000
294	Huangshan Tourism Group Co., Ltd.	5711
295	HUBEI NEW TONGDU URBAN INVESTMENT DEVELOPMENT GROUP CO., LTD.	5820
296	Hubei Science & Technology Investment Group (Hong Kong) Company Limited	5922
297	HUBEI UNITED DEVELOPMENT INVESTMENT GROUP CO., LTD.	5442
298	Hunan Xiangjiang New Area Development Group Co., Ltd.	40890
299	Huzhou City Investment Development Group Co., Ltd.	40900
300	Huzhou City Investment Development Group Co., Ltd.	40398
301	Huzhou Moganshan High-tech Group Co., Ltd.	5270
302	IFC Development (Corporate Treasury) Limited	6000
303	IFC Development (Corporate Treasury) Limited	40198
304	Industrial and Commercial Bank of China (Asia) Limited	5686
305	Industrial and Commercial Bank of China (Macau) Limited	5750
306	Ji'An Chengtong Holding Group Co., Ltd.	4305
307	Ji'An Chengtong Holding Group Co., Ltd.	4517
308	Jiangmen Hi-tech Industrial Park Co., Ltd.	85005
309	Jiangmen Hi-tech Industrial Park Co., Ltd.	85017
310	Jiangsu Jurong Investment Group Co., Ltd.	5705
311	Jiangsu Zhongyang Clean Energy Development Co., Ltd.	84510
312	Jiangsu Zhongyang Clean Energy Development Co., Ltd.	84511
313	Jiangsu Zhongyang Clean Energy Development Co., Ltd.	5198
314	Jiangyin State-owned Assets Holding (Group) Co., Ltd.	84458
315	Jiaxing City Investment and Development Group Co., Ltd.	84460
316	JIC Zhixin Limited	5032
317	JIC Zhixin Limited	40361
318	Jinan Hi-tech Holding Group Co., Ltd.	5239
319	Jinan Hi-tech Holding Group Co., Ltd.	5707
320	JINAN HI-TECH INTERNATIONAL (CAYMAN) INVESTMENT DEVELOPMENT CO., LIMITED	5934
321	JINAN HI-TECH INTERNATIONAL (CAYMAN) INVESTMENT DEVELOPMENT CO., LIMITED	5992
322	Jinan Lixia Holding Group Co., Ltd.	5928
323	Jinan Urban Construction International Investment Co., Limited	5282
324	JINGDEZHEN HESHENG INDUSTRIAL INVESTMENT DEVELOPMENT CO., LTD.	84466
325	Jingzhou Municipal Urban Development Holding Group Co., Ltd.	84532
326	Jingzhou Municipal Urban Development Holding Group Co., Ltd.	5180
327	Jining City Development Capital Holding Co., Ltd.	84531
328	Jining City Development Capital Holding Co., Ltd.	84535
329	Jinshine International Co., Ltd.	5872
330	JL MAG Green Tech (Hong Kong) Company Limited	5834
331	JOY DELIGHT INTERNATIONAL LIMITED	84529
332	JOY DELIGHT INTERNATIONAL LIMITED	85083
333	JOY DELIGHT INTERNATIONAL LIMITED	85108
334	JOY DELIGHT INTERNATIONAL LIMITED	85128
335	Joy Treasure Assets Holdings Inc.	5903
336	Joy Treasure Assets Holdings Inc.	40000
337	Joy Treasure Assets Holdings Inc.	40468
338	Kaifeng Development and Investment Group Co., Ltd.	5606
339	King Talent Management Limited	5026
340	Knowledge City (Guangzhou) Investment Group Co., Ltd.	5465
341	Knowledge City (Guangzhou) Investment Group Co., Ltd.	85050
342	Korean Reinsurance Company	5815
343	LICHENG INTERNATIONAL DEVELOPMENT CO., LTD.	5851
344	LICHENG INTERNATIONAL DEVELOPMENT CO., LTD.	40421
345	LINGANG OASIS INC.	84524
346	Link CB Limited	5662
347	Lintou Overseas Co., Ltd.	5410
348	Lishui Economic and Technological Development Zone Industrial Development Group Co., Ltd.	5406
349	Liyuan International Co., Limited	5947
350	Longchuan Overseas Investment Co., Ltd.	84473
351	Longchuan Overseas Investment Co., Ltd.	84484
352	Longnan Tourism Development and Investment Group Co., Ltd.	5709
353	Luso International Banking Limited	5078
354	Luso International Banking Limited	5817
355	Luso International Banking Limited	6007
356	Malaysia Sovereign Sukuk Berhad	5895
357	Malaysia Sukuk Global Berhad	5634
358	Malaysia Wakala Sukuk Berhad	40662
359	Malaysia Wakala Sukuk Berhad	40663
360	Maoming Port Group Co., Ltd.	84500
361	MCC Holding (Hong Kong) Corporation Limited	4483
362	MCC Holding (Hong Kong) Corporation Limited	40188
363	MCC Holding (Hong Kong) Corporation Limited	40653
364	Mengcheng Development Zone Xingmeng Investment Co., Ltd.	84438
365	Mianyang Investment Holding (Group) Co., Ltd.	5730
366	Mianyang Science & Technology City Development & Investment (Group) Co., Ltd.	84480
367	Mianyang Science & Technology City Development & Investment (Group) Co., Ltd.	84486
368	Mianyang Science & Technology City Development & Investment (Group) Co., Ltd.	84504
369	Midea Investment Development Company Limited	4501
370	Minghu International (BVI) Investment Development Co., Limited	5213
371	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5689
372	Minmetals Bounteous Finance (BVI) Limited	40175
373	Minmetals Capitals & Securities, INC.	40026
374	Minmetals Capitals & Securities, INC.	40027
375	MOON KING LIMITED	85018
376	Nan Fung Treasury Limited	40336
377	Nanchang Jinkai Group Co., Ltd.	4535
378	Nanchang Jinkai Group Co., Ltd.	5009
379	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd.	5054
380	Nanning Communications Investment Group Co., Ltd.	4578

381	Nanxun Communications Investment Group Co., Ltd.	5303
382	Nanyang Commercial Bank, Limited	5214
383	Nanyang Commercial Bank, Limited	40069
384	Nanyang Commercial Bank, Limited	5207
385	Nanyang Commercial Bank, Limited	5752
386	Nanyang Commercial Bank, Limited	5147
387	Neijiang Investment Holding Group Co., Ltd.	5822
388	New Hope Group Co., Ltd.	84475
389	New Hope Group Co., Ltd.	84506
390	New Town Construction Investment (Jizhou, Tianjin) Co., Ltd.	5599
391	Ningbo Fenghua Investment Group Co., Ltd.	84568
392	Ningbo Haishu Development and Construction Investment (Group) Co., Ltd.	5267
393	Ningbo Yincheng Group Co., Ltd.	5137
394	NWD Finance (BVI) Limited	4561
395	NWD Finance (BVI) Limited	5856
396	NWD Finance (BVI) Limited	40262
397	NWD Finance (BVI) Limited	40504
398	NWD Finance (BVI) Limited	40711
399	NWD Finance (BVI) Limited	5312
400	Omni Ireland Credit Card Securitization T3 DAC	40476
401	Omni Ireland Credit Card Securitization T3 DAC	40477
402	Omni Ireland Credit Card Securitization T3 DAC	40478
403	Omni Ireland Credit Card Securitization T3 DAC	40479
404	Omni Ireland Credit Card Securitization T3 DAC	40480
405	Omni Ireland Credit Card Securitization T3 DAC	40481
406	Omni Ireland Credit Card Securitization T3 DAC	40482
407	Omni Ireland Credit Card Securitization T3 DAC	40483
408	Omni Ireland Credit Card Securitization T3 DAC	40484
409	Omni Ireland Credit Card Securitization T3 DAC	40485
410	Omni Ireland Credit Card Securitization T3 DAC	40486
411	Omni Ireland Credit Card Securitization T3 DAC	40487
412	Omni Ireland Credit Card Securitization T3 DAC	40488
413	Omni Ireland Credit Card Securitization T3 DAC	40489
414	Orient ZhiSheng Limited	5953
415	Oriental Capital Company Limited	5883
416	OVPH Limited	5614
417	Panther Ventures Limited	40378
418	Panther Ventures Limited	40512
419	Panther Ventures Limited	40872
420	Panzhuhua City Construction and Transportation (Group) Co., Ltd.	84440
421	PCGI Intermediate Holdings (III) Limited	40810
422	Peak Re (BVI) Holding Limited	40437
423	Peak Re (BVI) Holding Limited	6012
424	Petron Corporation	5905
425	PHBS Limited	4325
426	Phoenix Charm International Investment Limited	5421
427	Phoenix Charm International Investment Limited	5744
428	Phoenix Lead Limited	5262
429	Pinghu State-owned Assets Holding Group Co., Ltd.	84555
430	Pingtang Comprehensive Pilot Zone City Development Group Co., Ltd.	84470
431	Pingtang Comprehensive Pilot Zone City Development Group Co., Ltd.	84479
432	Pingxiang City Construction Investment Group Co., Ltd.	5431
433	Powerchina Roadbridge Group (British Virgin Islands) Limited	40635
434	Prologis, L.P.	84560
435	PTT Public Company Limited	2527
436	Pujiang State-Owned Capital Investment Group Co., Ltd.	5214
437	Puyang Investment Group Co., Ltd.	5838
438	Qingdao Bullet Train Town Investment Group Co., Ltd.	5314
439	Qingdao Jiaozhou Bay Development Group Co., Ltd.	84453
440	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5005
441	Qingdao Jiaozhou Bay Development Group Co., Ltd.	84526
442	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5369
443	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5496
444	Qingdao Jiaozhou Urban Development And Investment Co., Ltd.	5450
445	Qingdao Oceanec Valley Investment Development Group Co., Ltd.	85009
446	Qingdao Pingdu Holdings Group Co., Ltd.	5349
447	Qingdao Pingdu Holdings Group Co., Ltd.	5466
448	QUAN CHENG FINANCIAL HOLDINGS (BVI) LIMITED	5178
449	Quanzhou Nanyi Investment Group Co., Ltd.	5484
450	Quanzhou Urban Construction Group Co., Ltd.	84517
451	Quzhou Qutong Transportation Investment Development Co., Ltd.	5340
452	Quzhou State-owned Capital Operation Co., Ltd.	5377
453	Radiant Access Limited	5205
454	Rizhao Xinlanshan Caijin Investment Group Co., Ltd.	5973
455	Rongshi International Finance Limited	5449
456	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40213
457	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	4418
458	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40286
459	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40287
460	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	5025
461	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	5029
462	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	5026
463	SAN SHUI INTERNATIONAL DEVELOPMENT CO., LTD	84598
464	SAN SHUI INTERNATIONAL DEVELOPMENT CO., LTD	85006
465	Sanming City Construction and Development Group Co., Ltd.	84477
466	Sanming City Construction and Development Group Co., Ltd.	84487
467	Science City (Guangzhou) Investment Group Co., Ltd.	40111
468	SDG FINANCE I LIMITED	4539
469	SDG Finance Limited	40817
470	SDG Finance Limited	5247
471	SDG Finance Limited	5573

472	SDG Finance Limited	5608
473	SDSC Global Finance Limited	5303
474	SEPCO Virgin Limited	5258
475	SF Holding Investment 2021 Limited	40920
476	SF Holding Investment 2021 Limited	40921
477	SF Holding Investment 2021 Limited	40922
478	SF Holding Investment 2023 Limited	5724
479	SF Holding Investment Limited	40152
480	SFG International Holdings Co. Limited	40418
481	Shaanxi Financial Asset Management Co.,Ltd.	4542
482	Shaanxi Xixian New Area Fengxi Development Group Co., Ltd.	84455
483	Shandong Commercial Group Co., Ltd.	84439
484	Shandong Gaochuang Holding Group Co., Ltd.	84594
485	Shandong Hesheng Investment Co., Ltd.	84528
486	Shandong Hesheng Investment Co., Ltd.	84541
487	Shandong Hesheng Investment Co., Ltd.	84545
488	Shanghai Commercial Bank Limited	5043
489	Shanghai Commercial Bank Limited	5530
490	Shanghai Commercial Bank Limited	5713
491	Shanghai Port Group (BVI) Development 2 Co., Limited	40299
492	Shanghai Port Group (BVI) Development Co., Limited	5741
493	Shangrao City Construction Investment Development Group Company Limited	5893
494	Shangrao City Construction Investment Development Group Company Limited	5910
495	Shangrao Investment Holdings International Company Limited	6002
496	Shangrao Urban Operation (Holding) Group Co., Ltd.	84571
497	Shangrao Urban Operation (Holding) Group Co., Ltd.	84580
498	Shangrao Urban Operation (Holding) Group Co., Ltd.	85019
499	SHANGYU URBAN CONSTRUCTION HONG KONG COMPANY LIMITED	40932
500	Shaoxing City Development Group Limited	40536
501	Shaoxing City Investment Group Limited	40807
502	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	4439
503	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	5907
504	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	40093
505	Shengcheng Culture and Tourism Group International Trade Co., Ltd	84557
506	Shengcheng Culture and Tourism Group International Trade Co., Ltd	84599
507	Shengzhou Communications Investment and Development Group Co., Ltd.	5473
508	Shengzhou Investment Holdings Co., Ltd.	5993
509	Shengzhou Investment Holdings Co., Ltd.	40085
510	Shenwan Hongyuan International Finance Limited	40766
511	Shenwan Hongyuan International Finance Limited	5460
512	Shenzhen Futian Investment Holdings Co., Ltd	84573
513	Shuifa International Holdings (BVI) Co., Ltd.	4546
514	Sichuan Gloport Investment Development Group Co., Ltd	85094
515	Sichuan Gloport Investment Development Group Co., Ltd	85101
516	Sihai International Investment Limited	5175
517	Sihai International Investment Limited	5518
518	Sihai International Investment Limited	5534
519	Sino Trendy Investment Limited	5952
520	Sinochem Global Capital Co., Ltd.	5935
521	Sinochem Overseas Capital Company Limited	4502
522	Sino-Ocean Land Treasure Finance II Limited	5869
523	Sino-Ocean Land Treasure III Limited	5276
524	Sino-Ocean Land Treasure IV Limited	5623
525	Sino-Ocean Land Treasure IV Limited	40115
526	Sinosing Services Pte. Ltd.	40156
527	SJM International Limited	40045
528	Societe Generale	5947
529	Societe Generale	5959
530	Societe Generale	5960
531	Societe Generale	5961
532	Societe Generale	5962
533	Songyang County State Capital Investment and Operation Group Co., LTD	84514
534	Songyang County State Capital Investment and Operation Group Co., LTD	5327
535	Songyang County State Capital Investment and Operation Group Co., LTD	84583
536	SPIC 2016 US dollar Bond Company Limited	5325
537	SPIC 2018 USD Senior Perpetual Bond Company Limited	5453
538	State Grid Europe Development (2014) Public Limited Company	5121
539	State Grid Overseas Investment (2013) Limited	5955
540	State Grid Overseas Investment (2014) Limited	5743
541	Suizhou Huasheng Industrial Development Co., Ltd.	85032
542	Sunny Express Enterprises Corp.	40030
543	Sunny Express Enterprises Corp.	40031
544	Sunny Express Enterprises Corp.	40204
545	Sunny Express Enterprises Corp.	4505
546	Suzhou City Construction Investment Group (Holding) Co., Ltd.	5751
547	Tai Fung Bank Limited	5686
548	Taishan City Investment Co., Limited	84496
549	Taishan City Investment Co., Limited	84530
550	Taishan Finance International Business Co., Limited	5301
551	TAIZHOU HUANGYAN ECONOMIC DEVELOPMENT GROUP CO., LTD.	5374
552	Taizhou Huangyan Transportation and Tourism Investment Group Co., Ltd.	5488
553	Taizhou State-owned Assets Investment Group Co., Ltd.	5242
554	Taizhou Urban Construction and Investment Development Group Co., Ltd.	5849
555	Taizhou Urban Construction and Investment Development Group Co., Ltd.	5107
556	Talent Yield International Limited	40676
557	Tencent Music Entertainment Group	40366
558	Three Gorges Finance I (Cayman Islands) Limited	5999
559	Tianfeng Securities Co., Ltd.	40084
560	Tianjin Binhai New Area Construction & Investment Group Co., Ltd.	5773
561	Tianjin Lingang Investment Holding Group Co., Ltd.	5753
562	Tianjin State-owned Capital Investment and Management Co., Ltd.	40104

563	Tianjin State-owned Capital Investment and Management Co., Ltd.	40105
564	Tianjin State-owned Capital Investment and Management Co., Ltd.	40106
565	Tongling State-owned Capital Operation Holding Group Company Limited	5889
566	TONGREN STATE-OWNED CAPITAL OPERATION CO., LTD.	5911
567	Top Luxury Investment Limited	5598
568	Towngas (Finance) Limited	5749
569	Towngas (Finance) Limited	6018
570	Tsinghua Unic Limited	4415
571	UETD Construction & Development State-owned Capital Investment Operation (Group) Co., Ltd.	40499
572	Universe Trek Limited	40797
573	Urumqi Gaoxin Investment and Development Group Co., Ltd.	84444
574	Urumqi Gaoxin Investment and Development Group Co., Ltd.	5871
575	Vertex Capital Investment Limited	40780
576	Vertex Capital Investment Limited	84499
577	Vertex Capital Investment Limited	85111
578	Vertex Capital Investment Limited	85133
579	Weifang Urban Construction and Development Investment Group Co., Ltd.	5176
580	Weifang Urban Construction and Development Investment Group Co., Ltd.	84569
581	Weifang Urban Construction and Development Investment Group Co., Ltd.	5762
582	Weimob Investment Limited	5186
583	Well Link Life Insurance Company Limited	40494
584	WENS FOODSTUFF GROUP CO., LTD.	40443
585	Wenzhou Lucheng District State-owned Holding Group Co., Ltd.	84588
586	Wuhan Financial Holdings (Group) Co., Ltd.	5281
587	Wuhan Metro Group Co., Ltd.	5467
588	Wuhan Urban Construction Group Co., Ltd.	5031
589	Wuxing City Investment HK Company Limited	5067
590	XI'AN PORT INDUSTRIAL CO., LIMITED	5921
591	XI'AN PORT INDUSTRIAL CO., LIMITED	6003
592	Xiangyu Investment (BVI) Co., Ltd.	5486
593	Xianjin Industry Investment Company Limited	5848
594	Xihui Haiwai I Investment Holdings Co., Limited	84582
595	Xin Yue Company Limited	4442
596	Xinchang County Transportation Investment Group Company Limited	5964
597	Xingye Gold (Hong Kong) Mining Company Limited	40090
598	Yancheng Dafeng Haicheng Industrial Development Co., Ltd.	40073
599	Yancheng Dafeng Xiecheng Industrial Development Co., Ltd.	85097
600	Yancheng High-tech Zone Investment Group Co., Ltd.	5151
601	Yancheng High-tech Zone Investment Group Co., Ltd.	5150
602	Yancheng High-tech Zone Investment Group Co., Ltd.	5355
603	Yancheng State-Owned Energy Investment Co., Ltd	84467
604	Yancoal International Resources Development Co., Limited	5424
605	Yancoal International Trading Co., Limited	5753
606	Yangzhou Economic and Technological Development Zone Development (Group) Co., Ltd	5472
607	Yangzhou Hanjiang District State-owned Capital Investment Group Co., Ltd.	84584
608	Yankuang Group (Cayman) Limited	5238
609	Yankuang Group (Cayman) Limited	5403
610	YCIC International (HK) Co., Limited	40908
611	YCIC International (HK) Co., Limited	5146
612	Yi Bright International Limited	5833
613	Yi Bright International Limited	84468
614	Yi Bright International Limited	84489
615	Yi Bright International Limited	5024
616	Yibin Emerging Industry Investment Group Co., Ltd	84521
617	Yibin Emerging Industry Investment Group Co., Ltd	5173
618	Yichang High-Tech Investment Development Co., Ltd.	5300
619	Yichun Yuanzhou District State-owned Investment Group Co., Limited	5324
620	Yiwu State-owned Capital Operation Co., Ltd.	40413
621	YONGDA Investment Limited	5603
622	Yongzhou Lingling Asset Management Co., Ltd.	84482
623	Yueyang Construction and Investment Group Co., Ltd.	40935
624	Yueyang Construction and Investment Group Co., Ltd.	5427
625	Yunnan Energy Investment Overseas Finance Company Ltd.	40352
626	Yunnan Energy Investment Overseas Finance Company Ltd.	5829
627	Zhangzhou Jiulongjiang Group Co., Ltd.	5722
628	Zhanjiang Infrastructure Construction Investment Group Co., Ltd.	84491
629	Zhaoqing Guolian Investment Holding Co., Ltd	5254
630	Zhejiang Baron (BVI) Company Limited	5836
631	Zhejiang Baron (BVI) Company Limited	5128
632	Zhejiang Changxing Financial Holdings Group Co., Ltd.	5316
633	Zhejiang Changxing Financial Holdings Group Co., Ltd.	5462
634	Zhejiang Changxing Jingkai Construction & Development Co., Ltd	40149
635	Zhejiang Xinchang Investment Development Co., Ltd.	4304
636	Zhejiang Xinchang Investment Development Co., Ltd.	5218
637	Zhengzhou Jinshui Holding Group Co., Ltd.	5489
638	Zhengzhou Metro Group Co., Ltd.	5278
639	Zhengzhou Urban Construction Investment Group Co., Ltd.	5347
640	Zhengzhou Urban Construction Investment Group Co., Ltd.	5694
641	ZHENGZHOU URBAN DEVELOPMENT GROUP CO., LTD.	85040
642	ZHENGZHOU URBAN DEVELOPMENT GROUP CO., LTD.	85055
643	ZHENGZHOU URBAN DEVELOPMENT GROUP CO., LTD.	5853
644	Zhenjiang Culture & Broadcasting Industry Group Co., Ltd.	4324
645	Zhenjiang Culture & Broadcasting Industry Group Co., Ltd.	4568
646	Zhenjiang Transportation Industry Group Co., Ltd.	5737
647	Zhongyuan Asset Management Co., Ltd	5533
648	Zhongyuan Yuzi Investment Holding Group Co., Ltd.	85139
649	Zhongyuan Zhicheng Co., Ltd.	40747
650	Zhongyuan Zhicheng Co., Ltd.	5021
651	Zhoukou Urban Construction Investment Development Limited Company	5448
652	Zhuji Development Limited	5156
653	Zhuzhou City Construction Development Group Co., Ltd.	5477

654	Zibo Caijin Holding Group Co., Ltd.	84448
655	Zibo City Asset Operation Group Co., Ltd.	84481
656	Zibo City Asset Operation Group Co., Ltd.	84507
657	Zibo City Asset Operation Group Co., Ltd.	84519
658	Zibo City Asset Operation Group Co., Ltd.	85011
659	Zibo High-Tech International Investment Co., Limited	5354
660	Zibo High-Tech International Investment Co., Limited	84527
661	Zoomwe Hong Kong New Energy Technology Co., Limited	4581
662	ZOUCHENG LIMIN CONSTRUCTION DEVELOPMENT GROUP CO., LTD.	85003

第3類豁免：適用於股份在香港聯合交易所有限公司上市的以法團形式運作的開放式集體投資計劃

名稱	聯交所 股份代號
1 Xtrackers Vietnam Swap UCITS ETF*	3087
2 Xtrackers MSCI China A UCITS ETF	3007
3 Xtrackers MSCI Korea UCITS ETF	2848
4 Xtrackers MSCI Taiwan UCITS ETF	3036
5 Xtrackers MSCI USA Swap UCITS ETF*	3020
6 Xtrackers Nifty 50 Swap UCITS ETF*	3015
7 Global X China Consumer Brand ETF	2806 / 9806
8 Global X China Electric Vehicle and Battery ETF	2845 / 9845
9 Global X China Clean Energy ETF	2809 / 9809
10 Global X China Semiconductor ETF	3191 / 9191
11 Global X China Robotics and AI ETF	2807 / 9807
12 CSOP STAR 50 Index ETF	3109
13 Global X China Innovator Active ETF	3058
14 Global X China Global Leaders ETF	3050
15 CSOP Huatai-PineBridge CSI Photovoltaic Industry ETF	3134
16 CSOP Hang Seng Biotech ETF	3174
17 Global X Asia Semiconductor ETF	3119
18 Global X China E-commerce and Logistics ETF	3124
19 Global X China Games and Entertainment ETF	3117
20 CSOP MSCI China A 50 Connect ETF	3003
21 Global X Autonomous and Electric Vehicles ETF	2849
22 Global X FinTech ETF	3185
23 Global X Asia Innovator Active ETF	3051
24 CSOP NASDAQ 100 ETF	3034
25 Fubon ICE FactSet Taiwan Core Semiconductor Index ETF	3076
26 Fubon Hang Seng Shanghai-Shenzhen-Hong Kong (Selected Corporations) High Dividend Yield Index ETF	3190
27 CSOP FTSE Vietnam 30 ETF	3004
28 E Fund (HK) HSI ESG Enhanced Index ETF	3039
29 Boserá RMB Money Market ETF	3192 / 83192
30 Phillip HK Newly Listed Equities Index ETF	2835
31 Pando Blockchain ETF	3112
32 Pando Innovation ETF	3056
33 Boserá HKD Money Market ETF	3152
34 Boserá USD Money Market ETF	3196 / 83196 / 9196
35 Global X China MedTech ETF	2841
36 Global X Asia USD Investment Grade Bond ETF	3075 / 9075
37 Global X China Little Giant ETF	2815
38 Boserá 20+ Year US Treasury Bond ETF	3156 / 9156 / 9107
39 Global X HSCEI Components Covered Call Active ETF	3416
40 Global X HSI Components Covered Call Active ETF	3419
41 Global X K-pop and Culture ETF	3158
42 Boserá HashKey Bitcoin ETF	3008 / 9008
43 Boserá HashKey Ether ETF	3009 / 9009
44 ChinaAMC Bitcoin ETF	3042 / 83042 / 9042
45 ChinaAMC Ether ETF	3046 / 83046 / 9046
46 Harvest Bitcoin Spot ETF	3439 / 9439
47 Harvest Ether Spot ETF	3179 / 9179
48 Doo Wealth Selected AI and Automation Active ETF	3413
49 Doo Wealth Selected Digital Payments ETF	3412
50 Doo Wealth Selected Web3 ETF	3426
51 Boserá China Reform Hong Kong Central-SOEs High Dividend Yield Index ETF	3437 / 83437 / 9437
52 Fubon Solactive Core Diversified Multi Asset Index ETF	3427
53 Global X US Treasury 0-3 Month ETF	3440 / 9440
54 Global X G2 Tech ETF	3402
55 Global X AI Infrastructure ETF	3401
56 Global X Hang Seng TECH Components Covered Call Active ETF	3417
57 CMS Hang Seng Tech Index ETF	3423
58 Global X FTSE Greater China ETF	3470
59 Global X MSCI Asia Pacific ex Japan ETF	3064 / 9064
60 Global X China Core TECH ETF	3448
61 Pando Bitcoin ETF	2818
62 Global X S&P 500 Covered Call Active ETF	3415 / 9415
63 MicroBit Bitcoin Spot ETF	3430 / 9430
64 MicroBit Ether Spot ETF	3425 / 9425
65 Global X Nasdaq 100 Covered Call Active ETF	3451 / 9451
66 Value Partners HKD Money Market ETF	3421
67 Value Partners RMB Money Market ETF	3420 / 83420
68 Value Partners USD Money Market ETF	3480 / 9480
69 ChinaAMC Solana ETF	3460 / 83460 / 9460
70 ChinaAMC HKD Digital Money Market Fund	3471
71 ChinaAMC RMB Digital Money Market Fund	3461 / 83461
72 ChinaAMC USD Digital Money Market Fund	3472 / 9472
73 Pando Ethereum ETF	3085
74 Galaxy Boserá MSCI China ASEAN Econ Linkage Select Index ETF	2805 / 82805 / 9805
75 Global X China Life Franklin HK-US Equity Select ETF	3428 / 83428 / 9428
76 Value Partners HK-US Dividend Low Volatility ETF	3488
77 ChinaAMC Digital Gold ETF	3418 / 83418 / 9418
78 Global X Gold Covered Call Active ETF*	3533 / 41533

* 此基金為一隻合成交易所買賣基金

第4類豁免：適用於聯交所的交易所參與者

名稱

- 1 中國創盈市場服務有限公司
- 2 中國投資資訊有限公司

第5類豁免：適用於屬結算參與者的結算所

名稱

- 1 中國證券登記結算有限責任公司
- 2 中國證券登記結算（香港）有限公司

根據《證券及期貨條例》(第571章)第309(2) 條批給的其他豁免

名稱

- 1 Budweiser Brewing Company APAC Limited
- 2 京東健康股份有限公司
- 3 京東物流股份有限公司
- 4 雲音樂股份有限公司
- 5 京東工業股份有限公司