

根據《證券及期貨條例》(第571章)第309(2)條批給的豁免

以下載列就(一)發行在香港聯合交易所有限公司(聯交所)上市的證券的法團及(二)其他人士而批給的豁免。該等豁免就(一)批給該等法團、其大股東、董事及最高行政人員，及就(二)批給其他人士，使其無須就其於聯交所上市證券的權益所衍生的義務而遵從《證券及期貨條例》第XV部。本清單每月更新。

證監會網站內有關《證券及期貨條例》第XV部的網頁已登載證監會指引，列明根據該條例第309條批給豁免的依據。目前提供的豁免分為五類，而該指引已對該五類豁免加以述明。

第1類部份豁免：適用於股份已在香港聯合交易所有限公司及另一證券交易所上市的法團

名稱	聯交所 股份代號 (<i>注解1</i>)	
1 宏利金融有限公司	0945 - S	
2 渣打集團有限公司	2888	(及 4305, 5348, 5890, 5986, 40276 及 40518)
3 英國保誠有限公司	2378	
4 迅銷有限公司 - 預託證券	6288 - S	(該豁免僅適用於迅銷有限公司及其大股東)
5 京東集團股份有限公司	9618 - SW	
6 網易股份有限公司	9999 - S	
7 華住集團有限公司	1179 - S	
8 萬國數據控股有限公司	9698 - SW	
9 新東方教育科技(集團)有限公司	9901 - S	
10 汽車之家	2518 - S	
11 百度集團股份有限公司	9888 - SW	
12 攜程集團有限公司	9961 - S	
13 微博股份有限公司	9898 - SW	
14 蔚來集團	9866 - SW	
15 騰訊音樂娛樂集團	1698 - SW	
16 360數科股份有限公司	3660 - S	

注解1: S - 第二上市公司
W - 不同投票權架構的公司

第2類豁免：適用於並無股份在香港聯合交易所有限公司上市的法團

(a)第2類豁免：適用於結構性產品現時在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

名稱	豁免期限 (<i>注解2</i>)	
	豁免開始日	豁免終止日
1 BOCI Asia Limited	2007年12月21日	2026年12月20日
2 BNP Paribas Issuance B.V.	2007年12月21日	2026年12月20日
3 CITIC Securities Brokerage (HK) Limited	2024年2月22日	2027年2月21日
4 Citigroup Global Markets Europe AG	2019年11月1日	2026年10月31日
5 DBS Bank Ltd.	2021年8月24日	2026年8月23日
6 Goldman Sachs Structured Products (Asia) Limited	2007年12月21日	2026年12月20日
7 Guotai Junan Securities (Hong Kong) Limited	2019年10月28日	2026年10月27日
8 Huatai Financial Holdings (Hong Kong) Limited	2023年6月1日	2026年5月31日
9 J.P. Morgan Structured Products B.V.	2007年12月21日	2026年12月20日
10 Korea Investment & Securities Asia Limited	2023年12月4日	2026年12月3日
11 Macquarie Bank Limited	2008年1月1日	2026年12月31日
12 Morgan Stanley Asia Products Limited	2019年7月22日	2026年7月21日
13 SG Issuer	2016年4月1日	2027年3月31日
14 The Hongkong and Shanghai Banking Corporation Ltd	2007年12月23日	2026年12月22日
15 UBS AG	2007年12月21日	2026年12月20日

注解2: 授予以上法團的豁免期為12個月(或更少)，並豁免該法團在豁免期內所發行及在香港聯合交易所有限公司上市的結構性產品直至該結構性產品有效期間完結為止。

(b)第2類豁免：適用於債務項目下發行的債務證券在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

名稱	項目
1 AirAsia Global Notes Limited	US\$1,000,000,000 Multicurrency Medium Term Note Programme
2 Almasar C.I. Ltd.	USD8,000,000,000 Trust Certificate Issuance Programme
3 Amipeace Limited	USD1,200,000,000 Guaranteed Medium Term Note Programme
4 Amipeace Ltd.	HK\$20,000,000,000 Medium Term Note Programme
5 AMTD Group Company Limited	U.S.\$500,000,000 Medium Term Note Programme
6 AMTD IDEA Group	U.S.\$1,000,000,000 Medium Term Note Programme
7 AVIC International Leasing Co., Ltd.	US\$500,000,000 Medium Term Note Programme
8 AVIC International Leasing Co., Ltd.	U.S.\$500,000,000 Medium Term Note Programme
9 Azure Nova International Finance Limited	U.S.\$10,000,000,000 Medium Term Note Programme
10 Azure Orbit II International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
11 Azure Orbit IV International Finance Limited	U.S.\$7,000,000,000 Medium Term Note Programme
12 Bank of China (Hong Kong) Limited	U.S.\$15,000,000,000 Medium Term Note Programme
13 Bank of China (Luxembourg) S.A.	US\$30,000,000,000 Medium Term Note Programme
14 Beijing Capital Polaris Investment Co. Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
15 Bluestar Finance Holdings Limited	US\$3,000,000,000 Medium Term Note and Perpetual Securities Programme
16 Bocom Leasing Management Hong Kong Company Limited	US\$10,000,000,000 Medium Term Note Programme

17	Boom Up Investments Limited	US\$1,000,000,000 Medium Term Note Programme
18	CALC Bonds Limited	US\$3,000,000,000 Medium Term Note Programme
19	Castle Peak Power Finance Company Limited	U.S.\$2,000,000,000 Medium Term Note Programme
20	Cathay Pacific MTN Financing (HK) Limited	US\$2 billion Medium Term Note Programme
21	CCB Shipping and Aviation Leasing Corporation Limited	USD5,000,000,000 Medium Term Note Programme
22	CCBL (Cayman) 1 Corporation Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
23	CDBI Treasure I Limited	US\$500,000,000 Medium Term Note Programme
24	CDBL Funding 1	U.S.\$3,000,000,000 Medium Term Note Programme
25	CDBL Funding 1	US\$3,000,000,000 Medium Term Note Programme
26	CDBL Funding 2	US\$3,000,000,000 Medium Term Note Programme
27	Central Plaza Development Limited	US\$2,000,000,000 Guaranteed Medium Term Programme
28	CGNPC INTERNATIONAL LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
29	Chailease International Finance Corporation	U.S.\$500,000,000 Medium Term Note Programme
30	Champion MTN Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
31	Charming Light Investments Ltd. and United Wealth Development Ltd.	US\$8,000,000,000 Medium Term Note Programme
32	Cheung Kong Finance (MTN) Limited and Joynote Ltd	US\$2,000,000,000 Medium Term Note Programme
33	Cheung Kong Infrastructure Finance (BVI) Limited	US\$5,000,000,000 Euro Medium Term Note Programme
34	China Cinda (2020) I Management Limited	U.S.\$7,400,000,000 Guaranteed Medium Term Note Programme
35	China Cinda (2020) I Management Limited	US\$2,000,000,000 Medium Term Note Programme
36	China Cinda Finance (2015) I Limited and China Cinda Finance (2015) II Limited	US\$3,000,000,000 Guaranteed Medium Term Note Programme
37	China Cinda Finance (2017) I Limited and China Cinda Finance (2017) II Limited	US\$10,000,000,000 Medium Term Note Programme
38	China CITIC Bank International Limited	US\$3,000,000,000 Medium Term Note Programme
39	China Construction Bank (Asia) Corporation Limited	US\$5,000,000,000 Medium Term Note Programme
40	China Development Bank Corporation and China Development Bank Corporation Hong Kong Branch	US\$30,000,000,000 Debt Issuance Programme
41	China Great Wall International Holdings III Limited	U.S.\$6,500,000,000 Medium Term Note Programme
42	China Huadian Overseas Development 2018 Limited	US\$2,000,000,000 Medium Term Note and Perpetual Securities Programme
43	China Overseas Finance (Cayman) VIII Limited	US\$2.5 billion medium note programme
44	China Overseas Finance (Cayman) VIII Limited	US\$4 billion medium note programme
45	Chong Hing Bank Limited	USD2,000,000,000 Medium Term Note and Perpetual Capital Securities Programme
46	CICC Hong Kong Finance 2016 MTN Limited	US\$10,000,000,000 Guaranteed Medium Term Note Programme
47	CITIC Securities Finance MTN Co., Ltd.	US\$3 billion Medium Term Note Programme
48	CK Property Finance (MTN) Limited	US\$5,000,000,000 Euro Medium Term Note Programme
49	CMB International Leasing Management Limited	US\$20,000,000,000 Medium Term Note Programme
50	CMB Wing Lung Bank Limited	U.S.\$2,000,000,000 Euro Medium Term Note Programme
51	CMBLEMTN1 Limited	U.S.\$5,000,000,000 Medium Term Note Programme
52	CMS International Gemstone Limited	US\$2,000,000,000 Medium Term Note Programme
53	CNCBINV 1 (BVI) Limited	US\$2,000,000,000 Medium Term Note Programme
54	CNPC General Capital Limited	US\$7,000,000,000 Euro Medium Term Note Programme
55	Connery Capital Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
56	COSL Singapore Capital Ltd.	US\$3,500,000,000 Euro Medium Term Note Programme
57	CSCIF Asia Limited	US\$3,000,000,000 Medium Term Note Programme
58	CSCIF Hong Kong Limited	US\$4,000,000,000 Medium Term Note Programme
59	CSI MTN Limited	U.S.\$3,000,000,000 Medium Term Note Programme
60	CSSC Capital 2015 Limited	USD3,000,000,000 Guaranteed Medium Term Note Programme
61	Dah Sing Bank, Limited	US\$2,000,000,000 Euro Medium Term Note Programme
62	DianJian Haiyu Limited, Dianjian International Finance Limited, Leader Goal International Limited, SEPCO Virgin Limited	U.S.\$2,500,000,000 Medium Term Note and Senior Perpetual Securities Programme
63	Eastern Creation II Investment Holdings Ltd.	US\$6,000,000,000 Guaranteed Euro Medium Term Note Programme
64	Everbright SHK (BVI) Limited	US\$1,000,000,000 Medium Term Note Programme
65	FEC Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
66	Franshion Brilliant Limited	US\$2,000,000,000 Medium Term Note Programme
67	Future Days Limited	US\$5,000,000,000 Medium Term Note Programme
68	FWD Group Holdings Limited	US\$5,000,000,000 Medium Term Note and Capital Securities Programme
69	GE (MTN) LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
70	Gemdale Ever Prosperity Investment Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
71	GLP China Holdings Limited	HK\$20,000,000,000 Medium Term Note Programme
72	Goodman HK Finance	US\$2,000,000,000 Medium Term Note Programme
73	Greenland Global Investment Limited	US\$8,000,000,000 Guaranteed Medium Term Notes Programme
74	Guangzhou Metro Investment Finance (BVI) Ltd.	US\$3,000,000,000 Guaranteed Medium Term Note Programme
75	Guotai Junan Holdings Limited	USD3,000,000,000 Medium Term Note Programme
76	Haitong International Finance Holdings Limited	USD1,000,000,000 Guaranteed Medium Term Note Programme
77	Haitong International Securities Group Limited	USD5,000,000,000 Medium Term Note Programme
78	Haitong UT Brilliant Limited	USD1,000,000,000 Guaranteed Medium Term Note Programme
79	Henderson Land MTN Limited	US\$7,000,000,000 Guaranteed Medium Term Note Programme
80	HKCG (Finance) Limited	US\$3,000,000,000 Medium Term Note Programme
81	HLP Finance Limited	US\$4 billion Medium Term Note Programme
82	Hong Kong Science and Technology Parks Corporation	HK\$1,707,000,000 Guaranteed Medium Term Note Programme
83	Horse Gallop Finance Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
84	Horsepower Finance Limited	US\$1,200,000,000 Medium Term Note Programme
85	Huarong Finance 2017 Co., Ltd.	U.S.\$14,500,000,000 Medium Term Note Programme
86	Huarong Finance 2019 Co., Ltd.	US\$2,900,000,000 Medium Term Note Programme
87	Huarong Finance II Co., Ltd	US\$11,000,000,000 Medium Term Note Programme
88	Huarong Leasing Management Hong Kong Company Limited	U.S.\$1,000,000,000 Medium Term Note Programme
89	Huatai International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
90	Hysan (MTN) Limited	US\$4,000,000,000 Medium Term Note Programme
91	ICBCIL Finance Co. Limited	US\$20,000,000,000 Medium Term Note Programme
92	ICIL Aero Treasury Limited	US\$20,000,000,000 Medium Term Note Programme
93	Industrial and Commercial Bank of Asia (China) Limited	U.S.\$6,000,000,000 Medium Term Note Programme
94	Industrial Bank Co., Ltd.	U.S.\$5,000,000,000 Medium Term Note Programme
95	Inventive Global Investments Limited	U.S.\$3,500,000,000 Medium Term Note Programme
96	Inventive Global Investments Limited	U.S.\$3,500,000,000 Medium Term Note Programme
97	Joy Treasure Assets Holdings Inc.	USD1,400,000,000 Medium Term Note Programme
98	Joyous Glory Group Limited	US\$1,000,000,000 Medium Term Note Programme
99	K.Wah International Financial Services Limited	US\$1,000,000,000 Medium Term Note Programme
100	KazMunayGas	RMB10,000,000,000 Medium Term Note Programme

101	Kunming Traffic Investment Co., Ltd.	US\$2,000,000,000 Medium Term Note Programme
102	Lai Fung MTN Limited	US\$2,000,000,000 Medium Term Note Programme
103	LAI SUN MTN LIMITED	US\$2,000,000,000 Medium Term Note Programme
104	Legend Fortune Limited	US\$2,000,000,000 Medium Term Note Programme
105	Midea Investment Development Company Limited	US\$3,000,000,000 Medium Term Note Programme
106	Modern Terminals Capital Limited	US\$1 billion Medium Term Note Programme
107	MTR Corporation (C.I.) Limited	US\$4 billion Medium Term Note Programme
108	New World China Land Limited	US\$2,000,000,000 Medium Term Note Programme
109	NWD (MTN) Limited	US\$7,000,000,000 Medium Term Note Programme
110	NWS (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
111	P&R International Treasury Limited	U.S.\$300,000,000 Medium Term Note and Perpetual Securities Programme
112	PETRONAS Capital Limited and PETRONAS Energy Canada Ltd.	US\$30,000,000,000 Medium Term Note Programme
113	Pingan Real Estate Capital Limited	US\$1,000,000,000 Medium Term Note Programme
114	Pioneer Reward Limited	USD10,000,000,000 Medium Term Note Programme
115	Power Assets Finance Limited	U.S.\$2,000,000,000 Medium Term Note Programme
116	Proven Glory Capital Limited	US\$5,000,000,000 Medium Term Note Programme
117	QNB Finance Ltd	U.S.\$22,500,000,000 Medium Term Note Programme
118	QNB Finance Ltd	USD30,000,000,000 Medium Term Note Programme
119	R-REIT International Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
120	RH International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
121	Rongshi International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
122	Shanghai Pudong Development Bank Co., Ltd., Hong Kong Branch	US\$5,000,000,000 Euro Medium Term Note Programme
123	Sichuan Development Holding Co., Ltd. and Yieldking Investment Limited	US\$2,000,000,000 Medium Term Note Programme
124	Silverbell Asia Limited	US\$1,000,000,000 Medium Term Note Programme
125	Sino (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
126	Sinochem Offshore Capital Company Limited	US\$15,000,000,000 Global Medium Term Note Programme
127	Soar Great Limited	US\$1,500,000,000 Guaranteed Medium Term Note Programme
128	Soar Wind Ltd	US\$3,500,000,000 Guaranteed Medium Term Note and Perpetual Capital Securities Programme
129	Soar Wise Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
130	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l., SPIC MTN Company Ltd.	U.S.\$3,000,000,000 Medium Term Note and Perpetual Securities Programme
131	Splendid International Investment Limited	U.S.\$1,500,000,000 Medium Term Note Programme
132	Standard Chartered Bank (Hong Kong) Limited and Standard Chartered Bank	US\$50,000,000,000 Debt Issuance Programme
133	State Elite Global Limited	US\$2,700,000,000 Medium Term Note Programme
134	State Grid Europe Development (2014) Public Limited Company	USD10,000,000,000 Guaranteed Medium Term Note Programme
135	State Grid Overseas Investment (2016) Limited	US\$15,000,000,000 Medium Term Note Programme
136	Sun Hung Kai Properties (Capital Market) Limited	US\$10,000,000,000 Medium Term Note Programme
137	Sunlight REIT MTN Limited	US\$1,000,000,000 Medium Term Note Programme
138	Swire Pacific MTN Financing (HK) Limited	US\$5,000,000,000 Medium Term Note Programme
139	Swire Pacific MTN Financing Limited	US\$5,000,000,000 Medium Term Note Programme
140	Swire Properties MTN Financing Limited	US\$3,000,000,000 Medium Term Note Programme
141	TCCL (Finance) Limited	U.S.\$2,000,000,000 Medium Term Note Programme
142	TFI Overseas Investment Limited	U.S.\$750,000,000 Structured Note and Medium Term Note Programme
143	The Hongkong and Shanghai Banking Corporation Limited	US\$20,000,000,000 Medium Term Note Programme
144	The Hong Kong Mortgage Corporation Limited	US\$30,000,000,000 Medium Term Note Programme
145	The Link Finance (Cayman) 2009 Limited and LINK QDS (SINGAPORE) PRIVATE LIMITED	US\$5,000,000,000 Guaranteed Euro Medium Term Note Programme
146	THE UNIVERSITY OF HONG KONG	USD1,000,000,000 Medium Term Note Programme
147	Unican Limited	US\$1,000,000,000 Guaranteed Euro Medium Term Note Programme
148	Urban Renewal Authority	US\$4,400,000,000 Medium Term Note Programme
149	Vanke Real Estate (Hong Kong) Company Limited	US\$9,500,000,000 Medium Term Note Programme
150	West Kowloon Cultural District Authority	USD1,000,000,000 Medium Term Note Programme
151	Westwood Group Holdings Limited	US\$3,000,000,000 Medium Term Note Programme
152	Wharf Finance (BVI) Limited, Wharf Finance (BVI) No.1 Limited, Wharf Finance (No.1) Limited and Wharf Finance Limited	US\$7 billion Medium Term Note Programme
153	Wharf REIC Finance (BVI) Limited	U.S.\$5,000,000,000 Medium Term Note Programme
154	Wheelock MTN (BVI) Limited	US\$5 billion Medium Term Note Programme
155	Wuhan Metro Group Co., Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
156	Xingcheng (BVI) Limited	US\$1,500,000,000 Medium Term Note Programme
157	Yuexiu REIT MTN Company Limited	US\$1,500,000,000 Medium Term Note Programme
158	Yuexiu REIT MTN Company Limited and MOON KING LIMITED	USD1,500,000,000 Guaranteed Medium Term Note Programme
159	Zhejiang Energy International Limited	USD2,000,000,000 Medium Term Note Programme

(c)第2類豁免：適用於就某一特定發行的債務證券現時在香港聯合交易所有限公司上市的法團（並無股份在香港聯合交易所有限公司上市）

名稱	聯交所 股份代號	
1	Airport Authority	4701
2	Alibaba Group Holding Limited	5845
3	Anhui Transportation Holding Group (H.K.) Limited	40815
4	Anhui Xihu Investment Holding Group Co., Ltd.	84556
5	Anhui Xihu Investment Holding Group Co., Ltd.	5351
6	Anji Qicai Lingfeng Rural Tourism Investment Co., Ltd	4531
7	AVIC International Finance & Investment Limited	40388
8	AVIC International Finance & Investment Limited	40919
9	AVIC International Finance & Investment Limited	5012
10	Azure Orbit III International Finance Limited	5620
11	Bank of Communications (Hong Kong) Limited	40176
12	Bank of Communications (Hong Kong) Limited	40751
13	Bauhinia ILBS 1 Limited	5797
14	Bauhinia ILBS 1 Limited	5790
15	Bauhinia ILBS 1 Limited	5791
16	Bauhinia ILBS 1 Limited	5792
17	Bauhinia ILBS 1 Limited	5795
18	Bauhinia ILBS 2 Limited	5187
19	Bauhinia ILBS 2 Limited	5188

20	Bauhinia ILBS 2 Limited	5189
21	Bauhinia ILBS 2 Limited	5192
22	Bauhinia ILBS 2 Limited	5193
23	Bauhinia ILBS 3 Limited	5967
24	Bauhinia ILBS 3 Limited	5968
25	Bauhinia ILBS 3 Limited	5985
26	Bauhinia ILBS 3 Limited	5987
27	Bauhinia ILBS 3 Limited	5990
28	BCEG (HongKong) Company Limited	40743
29	BCEG (HongKong) Company Limited	40135
30	BCEG (HongKong) Company Limited	85113
31	Black Peony (Hong Kong) Holding Co., Limited	5839
32	Blossom Joy Limited	40426
33	Blossom Joy Limited	40427
34	Blue Skyview Company Limited	5364
35	Bluestar Finance Holdings Limited	4550
36	Bluestar Finance Holdings Limited	5089
37	Bluestar Finance Holdings Limited	5599
38	Bluestar Finance Holdings Limited	40274
39	BNY Trust Company of Australia Limited	5766
40	BNY Trust Company of Australia Limited	40142
41	BNY Trust Company of Australia Limited	40878
42	Bolt Innovation Limited	40077
43	Bright Galaxy International Limited	40762
44	Cable and Wireless International Finance B.V.	1077
45	Cable and Wireless International Finance B.V.	1078
46	CALC Bonds Limited	5865
47	CCB Life Insurance Company Limited	5437
48	CCCI Treasure Limited	5893
49	CCCI Treasure Limited	40157
50	CCCI Treasure Limited	40158
51	CCCI Treasure Limited	84546
52	CCCI Treasure Limited	84553
53	CCCI Treasure Limited	84578
54	CCTHK 2021 Ltd.	4411
55	Celestial Dynasty Limited	5594
56	CELESTIAL MILES LIMITED	5706
57	CENTRAL INTERNATIONAL DEVELOPMENT (BVI) LIMITED	5157
58	Central Plaza Development Ltd.	5919
59	CGNPC International Limited	5059
60	CGNPC International Limited	5154
61	Chalco Hong Kong Investment Company Limited	5920
62	Chalco Hong Kong Investment Company Limited	5727
63	Chalco Hong Kong Investment Company Limited	5985
64	Chalco Hong Kong Investment Company Limited	40784
65	Chalieco Hong Kong Corporation Limited	5321
66	Chalieco Hong Kong Corporation Limited	5702
67	Chalieco Hong Kong Corporation Limited	40070
68	Champion Path Holdings Limited	40560
69	Chang Development International Limited	40160
70	Chang Development International Limited	5042
71	Chang Development International Limited	5481
72	Chang Development International Limited	40099
73	Changde Urban Construction and Investment Group Co., Ltd.	5892
74	Changde Urban Development Group Co., Ltd.	84476
75	Changde Urban Development Group Co., Ltd.	84494
76	Changde Urban Development Group Co., Ltd.	84567
77	Changxing Urban Construction Investment Group Co., Ltd.	4552
78	Chengda International Co., Limited	84456
79	Chengda International Co., Limited	40021
80	Chengdu Aerotropolis City Development Group Co., Ltd.	5784
81	Chengdu Aerotropolis City Development Group Co., Ltd.	84534
82	Chengdu Aerotropolis City Development Group Co., Ltd.	85115
83	Chengdu Airport Xingcheng Investment Group Co., Ltd.	5361
84	Chengdu Airport Xingcheng Investment Group Co., Ltd.	5469
85	Chengdu Communications Investment Group Corporation Limited	5062
86	Chengdu Communications Investment Group Corporation Limited	5297
87	Chengdu Communications Investment Group Corporation Limited	5298
88	CHENGDU DONGJIN HUAITOU RONGHE INDUSTRY INVESTMENT CO., LTD.	84513
89	Chengdu ETZ State-owned Investment Group Co., Ltd.	5313
90	Chengdu ETZ State-owned Investment Group Co., Ltd.	5283
91	Chengdu Hi-Tech Investment Group Co., Ltd.	40886
92	Chengdu Jianjiang Investment Group Co., Ltd.	5446
93	Chengdu Jianjiang Investment Group Co., Ltd.	5452
94	Chengdu Jiaozi Financial Holding Group Co., Ltd.	40645
95	Chengdu Tianfu New Area Investment Group Co., Ltd.	4569
96	Chengdu Wenjiang Xingrongxi City Operation Group Co., Ltd.	5932
97	Chengdu Wuhou Industrial Development Investment Management Group Co., Ltd.	84589
98	Chengdu Xingcheng Investment Group Co., Ltd.	40028
99	Chengdu Xingjin Construction Investment Group Co., Ltd.	85021
100	Chengdu Xinjin City Industry Development Group Company Ltd.	40180
101	Chengdu Xinjin City Industry Development Group Company Ltd.	84474
102	Chengdu Xisheng Investment Group Co., Ltd.	5840
103	Chengdu Yunlai Investment Group Co., Ltd.	40226
104	Cheung Kong Bond Securities (02) Limited	4559
105	Cheung Kong Bond Securities (03) Limited	4595
106	Cheung Kong Infrastructure Finance (BVI) Limited	40698
107	Cheung Kong Infrastructure Finance (BVI) Limited	40789
108	Chibi City Development Group Co., Ltd.	84505
109	Chibi City Development Group Co., Ltd.	84516
110	China CITIC Bank International Limited	5199

111	China CITIC Bank International Limited	5728
112	China CITIC Bank International Limited	5831
113	China CITIC Bank International Limited	4594
114	China Development Bank Corporation	85904
115	China Development Bank Corporation	85905
116	China Development Bank Corporation	85934
117	CHINA ENERGY OVERSEAS INVESTMENT (HONG KONG) CO., LIMITED	5995
118	CHINA ENERGY OVERSEAS INVESTMENT (HONG KONG) CO., LIMITED	5996
119	China Gezhouba Group (Hong Kong) Overseas Investment Co., Limited	40493
120	China Great Wall International Holdings IV Limited	5620
121	China Great Wall International Holdings V Limited	40350
122	China Great Wall International Holdings V Limited	40930
123	China Great Wall International Holdings VI Limited	5079
124	China Great Wall International Holdings VI Limited	5080
125	China Great Wall International Holdings VI Limited	5504
126	China Huadian Overseas Development 2018 Limited	40261
127	China Huadian Overseas Development Management Company Limited	4533
128	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	5089
129	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40095
130	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40502
131	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40503
132	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40544
133	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40019
134	China Life Insurance (Overseas) Co., Ltd	5250
135	China Life Insurance (Overseas) Company Limited, Hong Kong Branch	5832
136	China Minmetals Corporation	4442
137	China Minmetals Corporation	5009
138	China Overseas Finance (Cayman) III Limited	5989
139	China Overseas Finance (Cayman) V Limited	4580
140	China Overseas Finance (Cayman) VI Limited	5760
141	China Overseas Finance (Cayman) VII Limited	4476
142	China Overseas Grand Oceans Finance V (Cayman) Limited	85109
143	China Railway XunJie Co., Limited	5692
144	China Resources Power East Foundation Co., Ltd.	4516
145	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5167
146	China Southern Power Grid International Finance (BVI) Co., Limited	4598
147	China State Construction Finance (Cayman) I Limited	5462
148	China State Construction Finance (Cayman) I Limited	40712
149	China State Construction Finance (Cayman) II Limited	5038
150	China State Construction Finance (Cayman) III Limited	40083
151	China Three Gorges International Limited	40013
152	Chinalco Capital Holdings Limited	5745
153	Chinalco Capital Holdings Limited	40706
154	Chinalco Capital Holdings Limited	4497
155	Chinalco Capital Holdings Limited	5152
156	Chiyu Banking Corporation Limited	5034
157	Chiyu Banking Corporation Limited	5109
158	Chiyu Banking Corporation Limited	5564
159	Chongqing International Logistics Hub Park Construction Co., Ltd.	84561
160	Chongqing International Logistics Hub Park Construction Co., Ltd.	5206
161	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5699
162	Chongqing Southern New City Industrial Investment Group Co., Ltd.	5217
163	Chongqing Wansheng Industrial Park Development and Construction Co., Ltd.	84465
164	Chongqing Wansheng Industrial Park Development and Construction Co., Ltd.	84472
165	Chongqing Xinshuangquan Urban Construction and Development Co., Ltd.	84464
166	Chouzhou International Investment Limited	5814
167	Chouzhou International Investment Limited	5390
168	Chouzhou International Investment Limited	5946
169	Chubb INA Holdings LLC	85047
170	Chubb INA Holdings LLC	85048
171	Chubb INA Holdings LLC	85049
172	Cixi State-owned Assets Investment Holding Co., Ltd.	5341
173	Cixi State-owned Assets Overseas Development Company Limited	40272
174	CLP Power HK Finance Ltd	40044
175	CLP Power HK Finance Ltd	5400
176	CLP Power HK Finance Ltd.	5740
177	CMBC International Funding (HK) Limited	40081
178	CMHI Finance (BVI) Co., Ltd	5135
179	CMHI Finance (BVI) Co., Ltd	40408
180	CMHI Finance (BVI) Co., Ltd	40409
181	CMHI Finance (BVI) Co., Ltd	5275
182	CNOOC Finance (2003) Limited	2568
183	CNOOC Finance (2011) Limited	4507
184	CNOOC Finance (2012) Limited	4547
185	CNOOC Finance (2013) Limited	5942
186	CNOOC Finance (2013) Limited	40016
187	CNOOC Finance (2015) Australia Pty Ltd	5507
188	CNOOC Finance (2015) U.S.A. LLC	4478
189	CNOOC Nexen Finance (2014) ULC	5739
190	CNPC (HK) Overseas Capital Ltd.	4514
191	Contemporary Ruiding Development Limited	40382
192	Contemporary Ruiding Development Limited	40833
193	COSL Singapore Capital Ltd.	40278
194	COSL Singapore Capital Ltd.	85112
195	CRCC Chengnan Limited	5581
196	CRCC Hean Limited	40686
197	CRCC Hean Limited	40687
198	CRCC Huayuan Limited	84570
199	CRCC Huayuan Limited	85087
200	CRCC Huayuan Limited	85088
201	CRCC Yupeng Limited	5778

202	CSECE Finance (Cayman) II Limited	5233
203	CSSC Capital 2015 Limited	40146
204	CSSC Capital 2015 Limited	40778
205	CSSC Capital 2015 Limited	40066
206	Dah Sing Bank, Limited	5316
207	Dah Sing Bank, Limited	5524
208	Dazhou Kaisheng Construction Development Group Co., Ltd.	5471
209	Dazhou Kaisheng Construction Development Group Co., Ltd.	5809
210	Deep Development 2025 Limited	5570
211	Deqing Construction & Development Group Co., Ltd.	4554
212	Deqing Construction & Development Group Co., Ltd.	5125
213	Deqing County Cultural Tourism Development Group Co., Ltd.	5438
214	Deyang Development Holding Group Co., Ltd.	6001
215	Dianjian Haixing Limited	5816
216	DianJian Haiyu Limited	40396
217	DianJian Haiyu Limited	5144
218	DianJian Haiyu Limited	5197
219	DianJian Haiyu Limited	40136
220	Dongxing Voyage Company Limited	5145
221	Dongyang State Owned Assets Investment Co., Ltd.	4329
222	Dujiangyan Minjiang Water Group Co., Ltd.	5658
223	Dujiangyan Urban and Rural Construction Group Co., Ltd.	84463
224	Dujiangyan Urban and Rural Construction Group Co., Ltd.	84483
225	Elect Global Investments Limited	40173
226	Elect Global Investments Limited	40353
227	ENN Clean Energy International Investment Limited	40678
228	Eve Battery Investment Limited	40926
229	Excel Capital Global Limited	4496
230	Expand Lead Limited	40768
231	Foshan Gaoming Construction Investment Group Co., Ltd.	5480
232	FPC Resources Limited	40373
233	Franshion Brilliant Limited	5030
234	Franshion Brilliant Limited	5229
235	Franshion Brilliant Limited	5347
236	Franshion Brilliant Limited	5447
237	Franshion Brilliant Limited	5472
238	Franshion Brilliant Limited	40641
239	Fugao Wantai Group Co., Ltd.	5437
240	Fujian Jinjiang Construction Investment Holding Group Co., Ltd.	84520
241	Fujian Jinjiang Construction Investment Holding Group Co., Ltd.	84552
242	Fujian Jinshang Holding Group Co., Ltd.	5671
243	Fujian Shishi State-owned Capital Operation Group Co., Ltd.	5470
244	Fujian Zhanglong Group Co., Ltd.	5938
245	Fujian Zhangzhou City Investment Group Co., Ltd.	84469
246	Fuqing State-owned Assets Operation Investment Group Co., Ltd.	84581
247	Fuyuan Worldwide Limited	5835
248	Fuyuan Worldwide Limited	5323
249	FWD Group Limited	5019
250	FWD Group Limited	5221
251	FWD Group Limited	5793
252	FWD Limited	4416
253	FWD Limited	5351
254	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	84454
255	Ganzhou Development Investment Holding Group Co., Ltd.	5474
256	Ganzhou Jiankong Investment Holding Group Co., Ltd.	4567
257	Ganzhou Jiankong Investment Holding Group Co., Ltd.	4586
258	Ganzhou Jiankong Investment Holding Group Co., Ltd.	4588
259	Ganzhou Urban Investment Holding Group Co., Ltd.	5181
260	Ganzhou Urban Investment Holding Group Co., Ltd.	5898
261	Ganzhou Urban Investment Holding Group Co., Ltd.	40031
262	Ganzhou Urban Investment Holding Group Co., Ltd.	85104
263	Gaoxin International Investment Co., Ltd	6017
264	Gaoyou Construction Investment Development (BVI) Co., Ltd.	40053
265	GF FINANCIAL HOLDINGS BVI LTD.	5467
266	GF FINANCIAL HOLDINGS BVI LTD.	85015
267	GF FINANCIAL HOLDINGS BVI LTD.	5203
268	GOHL Capital Limited	5352
269	Gold Pole Capital Company Limited	5034
270	Guangxi Communications Investment Group Corporation Ltd.	5609
271	Guangzhou Development District Financial Holdings Group Co., Ltd.	4407
272	Guangzhou Development District Holding Group Limited	40014
273	Guangzhou Development District Investment Group Co., Ltd	5716
274	Guoneng Environmental Protection Investment Group Co., Limited	5561
275	Guoren Property and Casualty Insurance Co., Ltd.	40694
276	Guotai Junan Holdings Limited	6013
277	Haichuan International Investment Co., Ltd.	4512
278	Haichuan International Investment Co., Ltd.	5236
279	Hainan State Farms International Capital (HK) Co., Limited	4326
280	Haixi Overseas Investment Company Limited	4557
281	Haixi Overseas Investment Company Limited	5201
282	HaiYan Coastline Co., Limited	5842
283	Hangzhou Fuyang Chengtou Group (HK) Limited	40738
284	Hangzhou Qiantang New Area Construction and Investment Group Co., Ltd.	5825
285	Hanrui Overseas Investment Co Ltd	5416
286	Hebi Investment Group Co., Ltd.	84503
287	Henan Airport Investment Group Co., Ltd.	5479
288	HENAN RAILWAY CONSTRUCTION & INVESTMENT GROUP CO., LTD.	5046
289	HENAN RAILWAY CONSTRUCTION & INVESTMENT GROUP CO., LTD.	5375
290	Henan Water Conservancy Investment Group Co., Ltd	5880
291	Hengjian International Investment Limited	5684
292	Hong Kong Sukuk 2017 Limited	4233

293	HongKong Xiangyu Investment Co., Limited	85034
294	Huafa 2021 I Company Limited	40806
295	Huafa 2024 I Company Limited	84542
296	Huafa 2024 I Company Limited	85000
297	Huafa 2024 I Company Limited	85077
298	Huai'an Investment Holdings Group Co., Ltd.	85004
299	Huai'an Traffic Holding Group Co., Ltd.	5584
300	Hualu International Finance (BVI) Limited	40889
301	Huaneng Hong Kong Capital Limited	5000
302	Huangshan Tourism Group Co., Ltd.	5711
303	HUBEI NEW TONGDU URBAN INVESTMENT DEVELOPMENT GROUP CO., LTD.	5820
304	Hubei Science & Technology Investment Group (Hong Kong) Company Limited	5922
305	HUBEI UNITED DEVELOPMENT INVESTMENT GROUP CO., LTD.	5442
306	Hunan Xiangjiang New Area Development Group Co., Ltd.	40890
307	Huzhou City Investment Development Group Co., Ltd.	40900
308	Huzhou City Investment Development Group Co., Ltd.	5800
309	Huzhou Moganshan High-tech Group Co., Ltd.	5270
310	Hyd International Holding Limited	40664
311	IFC Development (Corporate Treasury) Limited	6000
312	IFC Development (Corporate Treasury) Limited	40198
313	Industrial and Commercial Bank of China (Asia) Limited	5686
314	Industrial and Commercial Bank of China (Macau) Limited	5750
315	Ji'An Chengtuo Holding Group Co., Ltd	4305
316	Ji'An Chengtuo Holding Group Co., Ltd	4517
317	Jiangmen Hi-tech Industrial Park Co., Ltd.	85005
318	Jiangmen Hi-tech Industrial Park Co., Ltd.	85017
319	Jiangsu Fuxuan Industrial Co., Ltd.	5779
320	Jiangsu Jurong Investment Group Co., Ltd	5705
321	Jiangsu Zhongyang Clean Energy Development Co., Ltd.	84510
322	Jiangsu Zhongyang Clean Energy Development Co., Ltd.	84511
323	Jiangsu Zhongyang Clean Energy Development Co., Ltd.	5198
324	Jiangyin State-owned Assets Holding (Group) Co., Ltd.	84458
325	Jiaxing City Investment and Development Group Co., Ltd.	84460
326	JIC Zhixin Limited	5032
327	JIC Zhixin Limited	40361
328	Jinan Hi-tech Holding Group Co., Ltd.	5239
329	Jinan Hi-tech Holding Group Co., Ltd.	5707
330	JINAN HI-TECH INTERNATIONAL (CAYMAN) INVESTMENT DEVELOPMENT CO., LIMITED	5934
331	JINAN HI-TECH INTERNATIONAL (CAYMAN) INVESTMENT DEVELOPMENT CO., LIMITED	5992
332	Jinan Lixia Holding Group Co., Ltd.	5928
333	Jinan Urban Construction International Investment Co., Limited	5282
334	JINGDEZHEN HESHENG INDUSTRIAL INVESTMENT DEVELOPMENT CO., LTD.	84466
335	Jingzhou Municipal Urban Development Holding Group Co., Ltd.	84532
336	Jingzhou Municipal Urban Development Holding Group Co., Ltd.	5180
337	Jining City Development Capital Holding Co., Ltd.	84531
338	Jining City Development Capital Holding Co., Ltd.	84535
339	Jinjiang Road and Bridge Construction & Development Co., Ltd.	5595
340	Jinshine International Co., Ltd	5872
341	JL MAG Green Tech (Hong Kong) Company Limited	5834
342	JOY DELIGHT INTERNATIONAL LIMITED	84529
343	JOY DELIGHT INTERNATIONAL LIMITED	85083
344	JOY DELIGHT INTERNATIONAL LIMITED	85108
345	Joy Treasure Assets Holdings Inc.	5903
346	Joy Treasure Assets Holdings Inc.	40000
347	Joy Treasure Assets Holdings Inc.	40468
348	Kaifeng Development and Investment Group Co., Ltd.	5606
349	King Talent Management Limited	5026
350	Knowledge City (Guangzhou) Investment Group Co., Ltd.	5465
351	Knowledge City (Guangzhou) Investment Group Co., Ltd.	85050
352	Korean Reinsurance Company	5815
353	LICHENG INTERNATIONAL DEVELOPMENT CO., LTD.	5816
354	LICHENG INTERNATIONAL DEVELOPMENT CO., LTD.	5851
355	LINGANG OASIS INC.	84524
356	Link CB Limited	5662
357	Lintou Overseas Co., Ltd	5410
358	Lishui Economic and Technological Development Zone Industrial Development Group Co., Ltd.	5406
359	Liyuan International Co., Limited	5947
360	Longchuan Overseas Investment Co., Ltd.	84473
361	Longchuan Overseas Investment Co., Ltd.	84484
362	Longnan Tourism Development and Investment Group Co., Ltd.	5709
363	LS Finance (2017) Limited	40731
364	Luso International Banking Limited	5078
365	Luso International Banking Limited	5817
366	Luso International Banking Limited	6007
367	Malaysia Sovereign Sukuk Berhad	5895
368	Malaysia Sukuk Global Berhad	5633
369	Malaysia Sukuk Global Berhad	5634
370	Malaysia Wakala Sukuk Berhad	40662
371	Malaysia Wakala Sukuk Berhad	40663
372	Maoming Port Group Co., Ltd.	84500
373	MCC Holding (Hong Kong) Corporation Limited	4483
374	MCC Holding (Hong Kong) Corporation Limited	40188
375	MCC Holding (Hong Kong) Corporation Limited	40653
376	Mengcheng Development Zone Xingmeng Investment Co., Ltd.	84438
377	Mianyang Investment Holding (Group) Co., Ltd.	5730
378	Mianyang Science & Technology City Development & Investment (Group) Co., Ltd.	84480
379	Mianyang Science & Technology City Development & Investment (Group) Co., Ltd.	84486
380	Mianyang Science & Technology City Development & Investment (Group) Co., Ltd.	84504
381	Midea Investment Development Company Limited	4501
382	Minghu International (BVI) Investment Development Co., Limited	5213
383	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5689

384	Minmetals Bounteous Finance (BVI) Limited	40175
385	Minmetals Capitals & Securities, INC.	40026
386	Minmetals Capitals & Securities, INC.	40027
387	MOON KING LIMITED	85018
388	Nanchang Jinkai Group Co., Ltd.	4535
389	Nanchang Jinkai Group Co., Ltd.	5009
390	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5054
391	Nanning Communications Investment Group Co., Ltd.	4578
392	Nanxun Communications Investment Group Co., Ltd.	5303
393	Nanyang Commercial Bank, Limited	5214
394	Nanyang Commercial Bank, Limited	40069
395	Nanyang Commercial Bank, Limited	5207
396	Nanyang Commercial Bank, Limited	5752
397	Nanyang Commercial Bank, Limited	5147
398	Neijiang Investment Holding Group Co., Ltd.	5822
399	New Hope Group Co., Ltd.	84475
400	New Hope Group Co., Ltd.	84506
401	New Town Construction Investment (Jizhou, Tianjin) Co., Ltd.	5599
402	Ningbo Fenghua Investment Group Co., Ltd.	84568
403	Ningbo Haishu Development and Construction Investment (Group) Co., Ltd.	5267
404	Ningbo Yincheng Group Co., Ltd.	5137
405	NWD Finance (BVI) Limited	4561
406	NWD Finance (BVI) Limited	5856
407	NWD Finance (BVI) Limited	40262
408	NWD Finance (BVI) Limited	40504
409	NWD Finance (BVI) Limited	40711
410	NWD Finance (BVI) Limited	5312
411	Omni Ireland Credit Card Securitization T3 DAC	40476
412	Omni Ireland Credit Card Securitization T3 DAC	40477
413	Omni Ireland Credit Card Securitization T3 DAC	40478
414	Omni Ireland Credit Card Securitization T3 DAC	40479
415	Omni Ireland Credit Card Securitization T3 DAC	40480
416	Omni Ireland Credit Card Securitization T3 DAC	40481
417	Omni Ireland Credit Card Securitization T3 DAC	40482
418	Omni Ireland Credit Card Securitization T3 DAC	40483
419	Omni Ireland Credit Card Securitization T3 DAC	40484
420	Omni Ireland Credit Card Securitization T3 DAC	40485
421	Omni Ireland Credit Card Securitization T3 DAC	40486
422	Omni Ireland Credit Card Securitization T3 DAC	40487
423	Omni Ireland Credit Card Securitization T3 DAC	40488
424	Omni Ireland Credit Card Securitization T3 DAC	40489
425	Orient ZhiSheng Limited	5953
426	Oriental Capital Company Limited	5883
427	OVPH Limited	5614
428	Panther Ventures Limited	40378
429	Panther Ventures Limited	40512
430	Panther Ventures Limited	40872
431	Panzhuhua City Construction and Transportation (Group) Co., Ltd.	84440
432	PCGI Intermediate Holdings (III) Limited	40810
433	Peak Re (BVI) Holding Limited	40437
434	Peak Re (BVI) Holding Limited	6012
435	Petron Corporation	5905
436	PHBS Limited	4325
437	Phoenix Charm International Investment Limited	5421
438	Phoenix Charm International Investment Limited	5744
439	Phoenix Lead Limited	5262
440	Pinghu State-owned Assets Holding Group Co., Ltd.	84555
441	Pingtang Comprehensive Pilot Zone City Development Group Co., Ltd.	84470
442	Pingtang Comprehensive Pilot Zone City Development Group Co., Ltd.	84479
443	Pingxiang City Construction Investment Group Co., Ltd	5431
444	Pioneer Reward Limited	40644
445	Powerchina Roadbridge Group (British Virgin Islands) Limited	40635
446	Prologis, L.P.	84560
447	Proven Honour Capital Limited	5641
448	PTT Public Company Limited	2527
449	Pujiang State-Owned Capital Investment Group Co., Ltd.	5214
450	Puyang Investment Group Co., Ltd.	5838
451	Qingdao Bullet Train Town Investment Group Co., Ltd	5314
452	Qingdao Jiaozhou Bay Development Group Co., Ltd.	84453
453	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5005
454	Qingdao Jiaozhou Bay Development Group Co., Ltd.	84526
455	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5369
456	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5496
457	Qingdao Jiaozhou Urban Development And Investment Co., Ltd.	5450
458	Qingdao Oceanec Valley Investment Development Group Co., Ltd.	85009
459	Qingdao Pingdu Holdings Group Co., Ltd.	5349
460	Qingdao Pingdu Holdings Group Co., Ltd.	5466
461	QUAN CHENG FINANCIAL HOLDINGS (BVI) LIMITED	5178
462	Quanzhou Nanyi Investment Group Co., Ltd.	5484
463	Quanzhou Urban Construction Group Co., Ltd.	84517
464	Quzhou Qutong Transportation Investment Development Co., Ltd.	5340
465	Quzhou State-owned Capital Operation Co., Ltd.	5377
466	Radiant Access Limited	5205
467	Rizhao Xinlanshan Caijin Investment Group Co., Ltd.	5973
468	Rongshi International Finance Limited	5449
469	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40213
470	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	4418
471	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40286
472	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40287
473	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	5025
474	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	5029

475	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	5026
476	SAN SHUI INTERNATIONAL DEVELOPMENT CO., LTD	84598
477	SAN SHUI INTERNATIONAL DEVELOPMENT CO., LTD	85006
478	Sanming City Construction and Development Group Co., Ltd.	84477
479	Sanming City Construction and Development Group Co., Ltd.	84487
480	Science City (Guangzhou) Investment Group Co., Ltd.	40111
481	SDG FINANCE I LIMITED	4539
482	SDG Finance Limited	40817
483	SDG Finance Limited	5247
484	SDG Finance Limited	5573
485	SDG Finance Limited	5608
486	SDSC Global Finance Limited	5303
487	SEPCO Virgin Limited	5258
488	SF Holding Investment 2021 Limited	40920
489	SF Holding Investment 2021 Limited	40921
490	SF Holding Investment 2021 Limited	40922
491	SF Holding Investment 2023 Limited	5724
492	SF Holding Investment Limited	40152
493	SFG International Holdings Co. Limited	40704
494	Shaanxi Financial Asset Management Co.,Ltd.	4542
495	Shaanxi Xixian New Area Fengxi Development Group Co., Ltd.	84455
496	Shandong Commercial Group Co., Ltd.	84439
497	Shandong Gaochuang Holding Group Co., Ltd.	84594
498	Shandong Hesheng Investment Co., Ltd.	84528
499	Shandong Hesheng Investment Co., Ltd.	84541
500	Shandong Hesheng Investment Co., Ltd.	84545
501	Shanghai Commercial Bank Limited	5043
502	Shanghai Commercial Bank Limited	5530
503	Shanghai Commercial Bank Limited	5713
504	Shanghai Port Group (BVI) Development 2 Co., Limited	40299
505	Shanghai Port Group (BVI) Development Co., Limited	5741
506	Shangrao City Construction Investment Development Group Company Limited	5893
507	Shangrao City Construction Investment Development Group Company Limited	5910
508	Shangrao Investment Holdings International Company Limited	6002
509	Shangrao Urban Operation (Holding) Group Co., Ltd.	84571
510	Shangrao Urban Operation (Holding) Group Co., Ltd.	84580
511	Shangrao Urban Operation (Holding) Group Co., Ltd.	85019
512	SHANGYU URBAN CONSTRUCTION HONG KONG COMPANY LIMITED	40932
513	Shaoxing City Investment Group Limited	40807
514	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	4439
515	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	5907
516	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	40093
517	Shengcheng Culture and Tourism Group International Trade Co., Ltd	84557
518	Shengcheng Culture and Tourism Group International Trade Co., Ltd	84599
519	Shengzhou Communications Investment and Development Group Co., Ltd.	5473
520	Shengzhou Investment Holdings Co., Ltd.	5993
521	Shengzhou Investment Holdings Co., Ltd.	40085
522	Shenwan Hongyuan International Finance Limited	40766
523	Shenwan Hongyuan International Finance Limited	5460
524	Shenzhen Futian Investment Holdings Co., Ltd	84573
525	Shuifa International Holdings (BVI) Co., Ltd.	4546
526	Si Fa Investment Limited	40640
527	Sichuan Gloport Investment Development Group Co., Ltd	85094
528	Sichuan Gloport Investment Development Group Co., Ltd	85101
529	Sihai International Investment Limited	5175
530	Sihai International Investment Limited	5518
531	Sihai International Investment Limited	5534
532	Sino Trendy Investment Limited	5952
533	Sinochem Global Capital Co., Ltd.	5935
534	Sinochem Overseas Capital Company Limited	4502
535	Sino-Ocean Land Treasure Finance II Limited	5869
536	Sino-Ocean Land Treasure III Limited	5276
537	Sino-Ocean Land Treasure IV Limited	5623
538	Sino-Ocean Land Treasure IV Limited	40115
539	Sino-Ocean Land Treasure IV Limited	40670
540	Sinosing Services Pte. Ltd.	40156
541	SJM International Limited	40045
542	Societe Generale	5947
543	Societe Generale	5959
544	Societe Generale	5960
545	Societe Generale	5961
546	Societe Generale	5962
547	Songyang County State Capital Investment and Operation Group Co., LTD	84514
548	Songyang County State Capital Investment and Operation Group Co., LTD	5327
549	Songyang County State Capital Investment and Operation Group Co., LTD	84583
550	SPIC 2016 US dollar Bond Company Limited	5325
551	SPIC 2018 USD Senior Perpetual Bond Company Limited	5453
552	State Grid Europe Development (2014) Public Limited Company	5121
553	State Grid Overseas Investment (2013) Limited	5955
554	State Grid Overseas Investment (2014) Limited	5743
555	State Grid Overseas Investment (2016) Limited	5650
556	Suizhou Huasheng Industrial Development Co., Ltd.	85032
557	Sunny Express Enterprises Corp.	40030
558	Sunny Express Enterprises Corp.	40031
559	Sunny Express Enterprises Corp.	40204
560	Sunny Express Enterprises Corp.	4505
561	Sunshine Life Insurance Corporation Limited	5629
562	Suzhou City Construction Investment Group (Holding) Co., Ltd.	5751
563	Syngenta Group Finance Limited	5557
564	Tai Fung Bank Limited	5686
565	Taishan City Investment Co., Limited	84496

566	Taishan City Investment Co., Limited	84530
567	Taishan Finance International Business Co., Limited	5301
568	TAIZHOU HUANGYAN ECONOMIC DEVELOPMENT GROUP CO., LTD.	5374
569	Taizhou Huangyan Transportation and Tourism Investment Group Co., Ltd.	5488
570	Taizhou State-owned Assets Investment Group Co., Ltd.	5242
571	Taizhou Urban Construction and Investment Development Group Co., Ltd.	5849
572	Taizhou Urban Construction and Investment Development Group Co., Ltd.	5107
573	Talent Yield International Limited	40675
574	Talent Yield International Limited	40676
575	Tencent Music Entertainment Group	40366
576	Three Gorges Finance I (Cayman Islands) Limited	5999
577	Tianfeng Securities Co., Ltd.	40084
578	Tianjin Binhai New Area Construction & Investment Group Co., Ltd.	5773
579	Tianjin Lingang Investment Holding Group Co., Ltd.	5753
580	Tianjin State-owned Capital Investment and Management Co., Ltd.	40104
581	Tianjin State-owned Capital Investment and Management Co., Ltd.	40105
582	Tianjin State-owned Capital Investment and Management Co., Ltd.	40106
583	Tongling State-owned Capital Operation Holding Group Company Limited	5889
584	TONGREN STATE-OWNED CAPITAL OPERATION CO., LTD.	5911
585	Top Luxury Investment Limited	5598
586	Towngas (Finance) Limited	5749
587	Towngas (Finance) Limited	6018
588	Tsinghua Unic Limited	4415
589	UETD Construction & Development State-owned Capital Investment Operation (Group) Co., Ltd.	40499
590	Universe Trek Limited	40722
591	Universe Trek Limited	40797
592	Urumqi Gaoxin Investment and Development Group Co., Ltd.	84444
593	Urumqi Gaoxin Investment and Development Group Co., Ltd.	5871
594	Vertex Capital Investment Limited	40780
595	Vertex Capital Investment Limited	84499
596	Vertex Capital Investment Limited	85111
597	Weifang Urban Construction and Development Investment Group Co., Ltd.	5176
598	Weifang Urban Construction and Development Investment Group Co., Ltd.	84569
599	Weifang Urban Construction and Development Investment Group Co., Ltd.	5762
600	Weimob Investment Limited	40702
601	Weimob Investment Limited	5186
602	WENS FOODSTUFF GROUP CO., LTD.	40443
603	Wenzhou Lucheng District State-owned Holding Group Co., Ltd.	84588
604	Wuhan Financial Holdings (Group) Co., Ltd.	5281
605	Wuhan Metro Group Co., Ltd.	5467
606	Wuhan Urban Construction Group Co., Ltd.	5031
607	Wuxing City Investment HK Company Limited	5067
608	XI'AN PORT INDUSTRIAL CO., LIMITED	5921
609	XI'AN PORT INDUSTRIAL CO., LIMITED	6003
610	Xiangyu Investment (BVI) Co., Ltd.	5486
611	Xianjin Industry Investment Company Limited	5848
612	Xihui Haiwai I Investment Holdings Co., Limited	84582
613	Xin Yue Company Limited	4442
614	Xinchang County Transportation Investment Group Company Limited	5964
615	Xingchang International Company Limited	5801
616	Xingye Gold (Hong Kong) Mining Company Limited	40090
617	Yancheng Dafeng Haicheng Industrial Development Co., Ltd.	40073
618	Yancheng Dafeng Xiecheng Industrial Development Co., Ltd.	85097
619	Yancheng High-tech Zone Investment Group Co., Ltd.	5151
620	Yancheng High-tech Zone Investment Group Co., Ltd.	5150
621	Yancheng High-tech Zone Investment Group Co., Ltd.	5355
622	Yancheng State-Owned Energy Investment Co., Ltd	84467
623	Yancoal International Resources Development Co., Limited	5424
624	Yancoal International Trading Co., Limited	5753
625	Yangzhou Economic and Technological Development Zone Development (Group) Co., Ltd	5472
626	Yangzhou Hanjiang District State-owned Capital Investment Group Co., Ltd.	84584
627	Yankuang Group (Cayman) Limited	5238
628	Yankuang Group (Cayman) Limited	5403
629	YCIC International (HK) Co., Limited	40908
630	YCIC International (HK) Co., Limited	5146
631	Yi Bright International Limited	5833
632	Yi Bright International Limited	84468
633	Yi Bright International Limited	84489
634	Yi Bright International Limited	5024
635	Yibin Emerging Industry Investment Group Co., Ltd	84521
636	Yibin Emerging Industry Investment Group Co., Ltd	5173
637	Yichang High-Tech Investment Development Co., Ltd.	5300
638	Yichun Yuanzhou District State-owned Investment Group Co., Limited	5324
639	YONGDA Investment Limited	5603
640	Yongzhou Lingling Asset Management Co., Ltd.	84482
641	Yueyang Construction and Investment Group Co., Ltd.	40935
642	Yueyang Construction and Investment Group Co., Ltd.	5427
643	Yunnan Energy Investment Overseas Finance Company Ltd.	40352
644	Yunnan Energy Investment Overseas Finance Company Ltd.	5829
645	Zhangzhou Jiulongjiang Group Co., Ltd.	5722
646	Zhanjiang Infrastructure Construction Investment Group Co., Ltd.	84491
647	Zhaoqing Guolian Investment Holding Co., Ltd	5254
648	Zhejiang Baron (BVI) Company Limited	5836
649	Zhejiang Baron (BVI) Company Limited	5128
650	Zhejiang Changxing Financial Holdings Group Co., Ltd.	5316
651	Zhejiang Changxing Financial Holdings Group Co., Ltd.	5462
652	Zhejiang Changxing Jingkai Construction & Development Co., Ltd	40149
653	Zhejiang Xinchang Investment Development Co., Ltd.	4304
654	Zhejiang Xinchang Investment Development Co., Ltd.	5218
655	Zhengzhou Jinshui Holding Group Co., Ltd.	5489
656	Zhengzhou Metro Group Co., Ltd.	5278

657	Zhengzhou Urban Construction Investment Group Co., Ltd.	5347
658	Zhengzhou Urban Construction Investment Group Co., Ltd.	5694
659	ZHENGZHOU URBAN DEVELOPMENT GROUP CO., LTD.	85040
660	ZHENGZHOU URBAN DEVELOPMENT GROUP CO., LTD.	85055
661	ZHENGZHOU URBAN DEVELOPMENT GROUP CO., LTD.	5853
662	Zhenjiang Culture & Broadcasting Industry Group Co., Ltd.	4324
663	Zhenjiang Culture & Broadcasting Industry Group Co., Ltd.	4568
664	Zhenjiang Transportation Industry Group Co., Ltd.	5737
665	Zhongyuan Asset Management Co., Ltd	5533
666	Zhongyuan Zhicheng Co., Ltd.	40747
667	Zhongyuan Zhicheng Co., Ltd.	5021
668	Zhoukou Urban Construction Investment Development Limited Company	5448
669	Zhuji Development Limited	5156
670	Zhuzhou City Construction Development Group Co., Ltd.	5477
671	Zibo Caijin Holding Group Co., Ltd.	84448
672	Zibo City Asset Operation Group Co., Ltd.	84481
673	Zibo City Asset Operation Group Co., Ltd.	84507
674	Zibo City Asset Operation Group Co., Ltd.	84519
675	Zibo City Asset Operation Group Co., Ltd.	85011
676	Zibo High-Tech International Investment Co., Limited	5354
677	Zibo High-Tech International Investment Co., Limited	84527
678	Zoomwe Hong Kong New Energy Technology Co., Limited	4581
679	ZOUCHENG LIMIN CONSTRUCTION DEVELOPMENT GROUP CO., LTD.	85003

第3類豁免：適用於股份在香港聯合交易所有限公司上市的以法團形式運作的開放式集體投資計劃

名稱	聯交所 股份代號
1 Xtrackers Vietnam Swap UCITS ETF*	3087
2 Xtrackers MSCI China A UCITS ETF	3007
3 Xtrackers MSCI Korea UCITS ETF	2848
4 Xtrackers MSCI Taiwan UCITS ETF	3036
5 Xtrackers MSCI USA Swap UCITS ETF*	3020
6 Xtrackers Nifty 50 Swap UCITS ETF*	3015
7 Global X China Consumer Brand ETF	2806 / 9806
8 Global X China Electric Vehicle and Battery ETF	2845 / 9845
9 Global X China Clean Energy ETF	2809 / 9809
10 Global X China Semiconductor ETF	3191 / 9191
11 Global X China Robotics and AI ETF	2807 / 9807
12 CSOP STAR 50 Index ETF	3109
13 Global X China Innovator Active ETF	3058
14 Global X China Global Leaders ETF	3050
15 CSOP Huatai-PineBridge CSI Photovoltaic Industry ETF	3134
16 CSOP Hang Seng Biotech ETF	3174
17 Global X Asia Semiconductor ETF	3119
18 Global X China E-commerce and Logistics ETF	3124
19 Global X China Games and Entertainment ETF	3117
20 CSOP MSCI China A 50 Connect ETF	3003
21 Global X Autonomous and Electric Vehicles ETF	2849
22 Global X FinTech ETF	3185
23 Global X Asia Innovator Active ETF	3051
24 CSOP NASDAQ 100 ETF	3034
25 Fubon FTSE Taiwan RIC Capped Index ETF	3021
26 Fubon ICE FactSet Taiwan Core Semiconductor Index ETF	3076
27 Fubon Hang Seng Shanghai-Shenzhen-Hong Kong (Selected Corporations) High Dividend Yield Index ETF	3190
28 CSOP FTSE Vietnam 30 ETF	3004
29 E Fund (HK) HSI ESG Enhanced Index ETF	3039
30 Boserá RMB Money Market ETF	3192 / 83192
31 Phillip HK Newly Listed Equities Index ETF	2835
32 Pando Blockchain ETF	3112
33 Pando Innovation ETF	3056
34 Boserá HKD Money Market ETF	3152
35 Boserá USD Money Market ETF	3196 / 83196 / 9196
36 Global X China MedTech ETF	2841
37 Global X Asia USD Investment Grade Bond ETF	3075 / 9075
38 Global X China Little Giant ETF	2815
39 Boserá 20+ Year US Treasury Bond ETF	3156 / 9156 / 9107
40 Global X HSCEI Components Covered Call Active ETF	3416
41 Global X HSI Components Covered Call Active ETF	3419
42 Global X K-pop and Culture ETF	3158
43 Boserá HashKey Bitcoin ETF	3008 / 9008
44 Boserá HashKey Ether ETF	3009 / 9009
45 ChinaAMC Bitcoin ETF	3042 / 83042 / 9042
46 ChinaAMC Ether ETF	3046 / 83046 / 9046
47 Harvest Bitcoin Spot ETF	3439 / 9439
48 Harvest Ether Spot ETF	3179 / 9179
49 Doo Wealth Selected AI and Automation Active ETF	3413
50 Doo Wealth Selected Digital Payments ETF	3412
51 Doo Wealth Selected Web3 ETF	3426
52 Boserá China Reform Hong Kong Central-SOEs High Dividend Yield Index ETF	3437 / 83437 / 9437
53 Fubon Solactive Core Diversified Multi Asset Index ETF	3427
54 Global X US Treasury 0-3 Month ETF	3440 / 9440
55 Global X G2 Tech ETF	3402
56 Global X AI Infrastructure ETF	3401
57 Global X Hang Seng TECH Components Covered Call Active ETF	3417
58 CMS Hang Seng Tech Index ETF	3423
59 Global X FTSE Greater China ETF	3470
60 Global X MSCI Asia Pacific ex Japan ETF	3064 / 9064
61 Global X China Core TECH ETF	3448
62 Pando Bitcoin ETF	2818
63 Global X S&P 500 Covered Call Active ETF	3415 / 9415
64 MicroBit Bitcoin Spot ETF	3430 / 9430
65 MicroBit Ether Spot ETF	3425 / 9425
66 Global X Nasdaq 100 Covered Call Active ETF	3451 / 9451
67 Value Partners HKD Money Market ETF	3421
68 Value Partners RMB Money Market ETF	3420 / 83420
69 Value Partners USD Money Market ETF	3480 / 9480
70 ChinaAMC Solana ETF	3460 / 83460 / 9460
71 ChinaAMC HKD Digital Money Market Fund	3471
72 ChinaAMC RMB Digital Money Market Fund	3461 / 83461
73 ChinaAMC USD Digital Money Market Fund	3472 / 9472
74 Pando Ethereum ETF	3085
75 Galaxy Boserá MSCI China ASEAN Econ Linkage Select Index ETF	2805 / 82805 / 9805
76 Global X China Life Franklin HK-US Equity Select ETF	3428 / 83428 / 9428
77 Value Partners HK-US Dividend Low Volatility ETF	3488

* 此基金為一隻合成交易所買賣基金

第4類豁免：適用於聯交所的交易所參與者

名稱

- 1 中國創盈市場服務有限公司
- 2 中國投資資訊有限公司

第5類豁免：適用於屬結算參與者的結算所

名稱

- 1 中國證券登記結算有限責任公司
- 2 中國證券登記結算(香港)有限公司

根據《證券及期貨條例》(第571章)第309(2)條批給的其他豁免

名稱

- 1 **Budweiser Brewing Company APAC Limited**
- 2 京東健康股份有限公司
- 3 京東物流股份有限公司
- 4 雲音樂股份有限公司
- 5 京東工業股份有限公司