

根據《證券及期貨條例》(第571章)第309(2)條批給的豁免

以下載列就(一)發行在香港聯合交易所有限公司(聯交所)上市的證券的法團及(二)其他人士而批給的豁免。該等豁免就(一)批給該等法團、其大股東、董事及最高行政人員，及就(二)批給其他人士，使其無須就其於聯交所上市證券的權益所衍生的義務而遵從《證券及期貨條例》第XV部。本清單每月更新。

證監會網站內有關《證券及期貨條例》第XV部的網頁已登載證監會指引，列明根據該條例第309條批給豁免的依據。目前提供的豁免分為五類，而該指引已對該五類豁免加以述明。

第1類部份豁免：適用於股份已在香港聯合交易所有限公司及另一證券交易所上市的法團

名稱	聯交所 股份代號	
1 宏利金融有限公司	0945	
2 渣打集團有限公司	2888	(及 4305, 5348, 5890, 5986 及 40276)
3 英國保誠有限公司	2378	
4 迅銷有限公司 - 預託證券	6288	(該豁免僅適用於迅銷有限公司及其大股東)
5 阿里巴巴集團控股有限公司	9988	
6 京東集團股份有限公司	9618	
7 網易公司	9999	

第2類豁免：適用於並無股份在香港聯合交易所有限公司上市的法團

(a)第2類豁免：適用於結構性產品現時在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

名稱	豁免期限 (注解 1)	
	豁免開始日	豁免終止日
1 Bank Vontobel AG	2020年5月31日	2021年5月30日
2 BOCI Aisa Limited	2007年12月21日	2020年12月20日
3 BNP Paribas Issuance B.V.	2007年12月21日	2020年12月20日
4 Citigroup Global Markets Europe AG	2019年11月1日	2020年10月31日
5 Credit Suisse AG	2007年12月21日	2020年12月20日
6 Goldman Sachs Structured Products (Asia) Limited	2007年12月21日	2020年12月20日
7 Guotai Junan Securities (Hong Kong) Limited	2019年10月28日	2020年10月27日
8 Haitong International Securities Company Limited (注解 2)	2017年3月16日	2021年3月15日
9 J.P. Morgan Structured Products B.V.	2007年12月21日	2020年12月20日
10 Macquarie Bank Limited	2008年1月1日	2020年12月31日
11 Morgan Stanley Asia Products Limited	2020年7月22日	2021年7月31日
12 SG Issuer	2016年4月1日	2021年3月31日
13 The Hongkong and Shanghai Banking Corporation Ltd	2007年12月23日	2020年12月22日
14 UBS AG	2007年12月21日	2020年12月20日

注解1: 授予以上法團的豁免期為12個月(或更少)，並豁免該法團在豁免期內所發行及在香港聯合交易所有限公司上市的結構性產品直至該結構性產品有效期完結為止。
 注解2: 此前授予Haitong International Securities Company Limited的豁免已於2017年3月6日到期，但該豁免對其在2016年3月7日至2017年3月6日期間發行及在香港聯合交易所有限公司上市的結構性產品維持有效。
 注解3: 授予Citigroup Global Markets Holdings Inc., Daiwa Capital Markets Hong Kong Limited, Nomura International plc, SGA Société Générale Acceptance N.V. 及 Standard Chartered Bank的豁免已分別於2015年6月14日、2016年7月19日、2015年12月9日、2016年12月20日及2016年6月27日到期。前述法團在相關豁免

(b)第2類豁免：適用於債務項目下發行的債務證券在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

名稱	項目
1 AirAsia Global Notes Limited	US\$1,000,000,000 Multicurrency Medium Term Note Programme
2 Amipeace Ltd.	HK\$2,000,000,000 Medium Term Note Programme
3 AMTD Group Company Limited	U.S. \$500,000,000 Medium Term Note Programme
4 AMTD International Inc.	U.S. \$1,000,000,000 Medium Term Note Programme
5 AVIC International Leasing Co., Ltd.	US\$500,000,000 Medium Term Note Programme
6 AVIC International Leasing Co., Ltd.	U.S. \$500,000,000 Medium Term Note Programme
7 Azure Nova International Finance Limited	U.S. \$10,000,000,000 Medium Term Note Programme
8 Azure Orbit II International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
9 Azure Orbit IV International Finance Limited	U.S. \$7,000,000,000 Medium Term Note Programme
10 Bank of China (Luxembourg) S.A.	US\$30,000,000,000 Medium Term Note Programm
11 Beijing Capital Polaris Investment Co. Ltd.	U.S. \$1,000,000,000 Medium Term Note Programme
12 Bocom Leasing Management Hong Kong Company Limited	US\$6,000,000,000 Medium Term Note Programme
13 Boom Up Investments Limited	US\$1,000,000,000 Medium Term Note Programme
14 CALC Bonds Limited	US\$3,000,000,000 Medium Term Note Programme
15 Castle Peak Power Finance Company Limited	U.S. \$2,000,000,000 Medium Term Note Programme
16 Cathay Pacific MTN Financing (HK) Limited	US\$2 billion Medium Term Note Programme
17 CCBL (Cayman) 1 Corporation Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
18 CDBI Treasure I Limited	US\$500,000,000 Medium Term Note Programme
19 CDBL Funding 1	U.S. \$3,000,000,000 Medium Term Note Programme
20 CDBL Funding 1	US\$3,000,000,000 Medium Term Note Programme
21 CDBL Funding 2	US\$3,000,000,000 Medium Term Note Programme
22 Central Plaza Development Limited	US\$2,000,000,000 Guaranteed Medium Term Programme
23 CGNPC INTERNATIONAL LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
24 Chailease International Finance Corporation	U.S. \$500,000,000 Medium Term Note Programme

25	Champion MTN Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
26	Charming Light Investments Ltd. and United Wealth Development Ltd.	US\$8,000,000,000 Medium Term Note Programme
27	Cheung Kong Finance (MTN) Limited and Joynote Ltd	US\$2,000,000,000 Medium Term Note Programme
28	Cheung Kong Infrastructure Finance (BVI) Limited	US\$2,000,000,000 Euro Medium Term Note Programme
29	China Cinda (2020) I Management Limited	U.S. \$2,000,000,000 Guaranteed Medium Term Note Programme
30	China Cinda Finance (2015) I Limited and China Cinda Finance (2015) II Limited	US\$3,000,000,000 Guaranteed Medium Term Note Programme
31	China Cinda Finance (2017) I Limited and China Cinda Finance (2017) II Limited	US\$10,000,000,000 Medium Term Note Programme
32	China Construction Bank (Asia) Corporation Limited	US\$5,000,000,000 Medium Term Note Programme
33	China Development Bank Corporation and China Development Bank Corporation Hong Kong Branch	US\$30,000,000,000 Debt Issuance Programme
34	China Great Wall International Holdings III Limited	U.S. \$6,500,000,000 Medium Term Note Programme
35	China Overseas Finance (Cayman) VIII Limited	US\$2.5 billion medium note programme
36	CICC Hong Kong Finance 2016 MTN Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
37	CITIC Securities Finance MTN Co., Ltd.	US\$3 billion Medium Term Note Programme
38	CK Property Finance (MTN) Limited	US\$5,000,000,000 Euro Medium Term Note Programme
39	CMB International Leasing Management Limited	US\$20,000,000,000 Medium Term Note Programme
40	CMB Wing Lung Bank Limited	U.S. \$2,000,000,000 Euro Medium Term Note Programme
41	CMBLEMTN1 Limited	U.S. \$5,000,000,000 Medium Term Note Programme
42	CNPC General Capital Limited	US\$7,000,000,000 Euro Medium Term Note Programme
43	Connery Capital Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
44	COSL Singapore Capital Ltd.	US\$3,500,000,000 Euro Medium Term Note Programme
45	CSCIF Asia Limited	US\$3,000,000,000 Medium Term Note Programme
46	DianJian Haiyu Limited, Dianjian International Finance Limited, Leader Goal International Limited, SEPCO Virgin Limited	U.S. \$2,500,000,000 Medium Term Note and Senior Perpetual Securities Programme
47	Eastern Creation II Investment Holdings Ltd.	US\$6,000,000,000 Guaranteed Euro Medium Term Note Programme
48	Everbright SHK (BVI) Limited	US\$1,000,000,000 Medium Term Note Programme
49	FEC Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
50	Franshion Brilliant Limited	US\$2,000,000,000 Medium Term Note Programme
51	Future Days Limited	US\$5,000,000,000 Medium Term Note Programme
52	GLP China Holdings Limited	HK\$20,000,000,000 Medium Term Note Programme
53	Goodman HK Finance	US\$2,000,000,000 Medium Term Note Programme
54	Greenland Global Investment Limited	US\$8,000,000,000 Guaranteed Medium Term Notes Programme
55	Guangzhou Metro Investment Finance (BVI) Ltd.	US\$3,000,000,000 Guaranteed Medium Term Note Programme
56	Henderson Land MTN Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
57	HKCG (Finance) Limited	US\$3,000,000,000 Medium Term Note Programme
58	HLP Finance Limited	US\$4 billion Medium Term Note Programme
59	Hong Kong Science and Technology Parks Corporation	HK\$1,707,000,000 Guaranteed Medium Term Note Programme
60	Horse Gallop Finance Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
61	Horsepower Finance Limited	US \$1,200,000,000 Medium Term Note Programme
62	Huarong Finance 2017 Co., Ltd.	U.S. \$14,500,000,000 Medium Term Note Programme
63	Huarong Finance 2019 Co., Ltd.	US\$2,900,000,000 Medium Term Note Programme
64	Huarong Finance II Co., Ltd	US\$11,000,000,000 Medium Term Note Programme
65	Huarong Leasing Management Hong Kong Company Limited	U.S. \$1,000,000,000 Medium Term Note Programme
66	Hysan (MTN) Limited	US\$2,500,000,000 Medium Term Note Programme
67	ICBCIL Finance Co. Limited	US\$20,000,000,000 Medium Term Note Programme
68	Industrial and Commercial Bank of Asia (China) Limited	U.S. \$6,000,000,000 Medium Term Note Programme
69	Industrial Bank Co., Ltd.	U.S. \$5,000,000,000 Medium Term Note Programme
70	Inventive Global Investments Limited	U.S. \$3,500,000,000 Medium Term Note Programme
71	Inventive Global Investments Limited	U.S. \$3,500,000,000 Medium Term Note Programme
72	Joyous Glory Group Limited	US\$1,000,000,000 Medium Term Note Programme
73	K.Wah International Financial Services Limited	US\$1,000,000,000 Medium Term Note Programme
74	Kunming Traffic Investment Co., Ltd.	US\$2,000,000,000 Medium Term Note Programme
75	Midea Investment Development Company Limited	US\$3,000,000,000 Medium Term Note Programme
76	Modern Terminals Capital Limited	US\$1 billion Medium Term Note Programme
77	MTR Corporation (C.I.) Limited	US\$4 billion Medium Term Note Programme
78	New World China Land Limited	US\$2,000,000,000 Medium Term Note Programme
79	NWD (MTN) Limited	US\$6,000,000,000 Medium Term Note Programme
80	NWS (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
81	P&R International Treasury Limited	U.S. \$300,000,000 Medium Term Note and Perpetual Securities Programme
82	PETRONAS Capital Limited	US\$15,000,000,000 Medium Term Note Programme
83	Power Assets Finance Limited	U.S. \$2,000,000,000 Medium Term Note Programme
84	Proven Glory Capital Limited	US\$5,000,000,000 Medium Term Note Programme
85	R-REIT International Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
86	RH International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
87	Rongshi International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
88	Shanghai Pudong Development Bank Co., Ltd., Hong Kong Branch	US\$5,000,000,000 Euro Medium Term Note Programme
89	Sichuan Development Holding Co., Ltd. and Yieldking Investment Limited	US\$2,000,000,000 Medium Term Note Programme
90	Silverbell Asia Limited	US\$1,000,000,000 Medium Term Note Programme
91	Sino (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
92	Sinochem Offshore Capital Company Limited	US\$10,000,000,000 Global Medium Term Note Programme
93	Soar Great Limited	US\$1,500,000,000 Guaranteed Medium Term Note Programme
94	Soar Wise Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
95	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l., SPIC MTN Company Ltd.	U.S. \$3,000,000,000 Medium Term Note and Perpetual Securities Programme
96	Splendid International Investment Limited	U.S. \$1,500,000,000 Medium Term Note Programme
97	Standard Chartered Bank (Hong Kong) Limited and Standard Chartered Bank	US\$50,000,000,000 Debt Issuance Programme
98	State Elite Global Limited	US\$2,700,000,000 Medium Term Note Programme
99	State Grid Overseas Investment (2016) Limited	US\$15,000,000,000 Medium Term Note Programme
100	Sun Hung Kai Properties (Capital Market) Limited	US\$7,000,000,000 Medium Term Note Programme
101	Sunlight REIT MTN Limited	US\$1,000,000,000 Medium Term Note Programme

102	Swire Pacific MTN Financing (HK) Limited	US\$5,000,000,000 Medium Term Note Programme
103	Swire Pacific MTN Financing Limited	US\$5,000,000,000 Medium Term Note Programme
104	Swire Properties MTN Financing Limited	US\$3,000,000,000 Medium Term Note Programme
105	The Hongkong and Shanghai Banking Corporation Limited	US\$20,000,000,000 Medium Term Note Programme
106	The Hong Kong Mortgage Corporation Limited	US\$6,000,000,000 Medium Term Note Programme
107	The Link Finance (Cayman) 2009 Limited	US\$5,000,000,000 Guaranteed Euro Medium Term Note Programme
108	Unican Limited	US\$1,000,000,000 Guaranteed Euro Medium Term Note Programme
109	Urban Renewal Authority	US\$1 billion Medium Term Note Programme
110	Vanke Real Estate (Hong Kong) Company Limited	US\$9,500,000,000 Medium Term Note Programme
111	Westwood Group Holdings Limited	US\$3,000,000,000 Medium Term Note Programme
112	Wharf Finance (BVI) Limited, Wharf Finance (BVI) No.1 Limited, Wharf Finance (No.1) Limited and Wharf Finance Limited	US\$7 billion Medium Term Note Programme
113	Wharf REIC Finance (BVI) Limited	U.S.\$5,000,000,000 Medium Term Note Programme
114	Wheelock Finance (BVI) Limited, Wheelock MTN (BVI) Limited	US\$5 billion Medium Term Note Programme
115	Wuhan Metro Group Co., Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
116	Yuexiu REIT MTN Company Limited	US\$1,500,000,000 Medium Term Note Programme
117	Zhejiang Energy International Limited	USD2,000,000,000 Medium Term Note Programme

(c)第2類豁免：適用於就某一特定發行的債務證券現時在香港聯合交易所有限公司上市的法團（並無股份在香港聯合交易所有限公司上市）

名稱	聯交所 股份代號
1 ABCL Glory Capital Limited	5677
2 Agricultural Development Bank of China	5180
3 Agricultural Development Bank of China	5455
4 Agricultural Development Bank of China	85737
5 Agricultural Development Bank of China	85901
6 Agricultural Development Bank of China	85909
7 Agricultural Development Bank of China	85910
8 Agricultural Development Bank of China	85922
9 Agricultural Development Bank of China	85924
10 Alibaba Group Holding Limited	5843
11 Alibaba Group Holding Limited	5844
12 Alibaba Group Holding Limited	5845
13 Amber Circle Funding Limited	4586
14 Amber Treasure Ventures Limited	40216
15 Amber Treasure Ventures Limited	40251
16 Anhui Provincial Investment Group Holding Co., Ltd.	5186
17 Anhui Transportation Holding Group (H.K.) Limited	5158
18 Avenue International Holding Limited	40037
19 Avenue International Holding Limited	40160
20 AVIC International Finance & Investment Limited	4497
21 AVIC International Finance & Investment Limited	5966
22 Azure Orbit III International Finance Limited	5620
23 Azure Orbit III International Finance Limited	5621
24 Azure Orbit International Finance Limited	5909
25 Bank of Communications (Hong Kong) Limited	40176
26 Baoji Investment (Group) Co., Ltd.	5492
27 BCEG (HongKong) Company Limited	5145
28 Beijing Enterprises Water Capital Management Limited	4480
29 Beijing Gas Singapore Capital Corporation	5213
30 Beijing State-Owned Assets Management (Hong Kong) Company Limited	5521
31 Bengbu Gaoxin Investment Group Co., Ltd.	40131
32 Bengbu Gaoxin Investment Group Co., Ltd.	40085
33 Bi Hai Co., Ltd.	5185
34 Blue Bright Limited	40245
35 Blue Skyview Company Limited	5364
36 Bluestar Finance Holdings Limited	4550
37 Bluestar Finance Holdings Limited	4556
38 Bluestar Finance Holdings Limited	5089
39 Bluestar Finance Holdings Limited	5599
40 Bluestar Finance Holdings Limited	40274
41 Bluestar Finance Holdings Limited	4487
42 BNY Trust Company of Australia Limited	5766
43 BNY Trust Company of Australia Limited	40142
44 Boen Investment Limited	40358
45 Boxinyuan International Co., Ltd.	40036
46 BPHL Capital Management Limited	40171
47 Bright Galaxy International Limited	5927
48 Bright Scholar Education Holdings Limited	5615
49 Cable and Wireless International Finance B.V.	1077
50 Cable and Wireless International Finance B.V.	1078
51 Caiyun International Investment Limited	5947
52 CALC Bond 2 Limited	4300
53 CALC Bond 3 Limited	5390
54 CALC Bond 3 Limited	5391
55 CCB Life Insurance Company Limited	5437
56 CCCI Treasure Limited	5893
57 CCCI Treasure Limited	40157
58 CCCI Treasure Limited	40158
59 CCTI 2017 Ltd	5258
60 CDBL Funding 1	5850
61 Celestial Dynasty Limited	5594
62 CELESTIAL MILES LIMITED	5706
63 Central Plaza Development Ltd.	5919

64	CGNPC International Limited	5058
65	CGNPC International Limited	5059
66	CGNPC International Limited	5153
67	CGNPC International Limited	5154
68	CGNPC International Limited	5519
69	Chalco Hong Kong Investment Company Limited	5151
70	Chalco Hong Kong Investment Company Limited	5920
71	Chalco Hong Kong Investment Company Limited	5727
72	Chalco Hong Kong Investment Company Limited	5985
73	Chalieco Hong Kong Corporation Limited	5321
74	Chalieco Hong Kong Corporation Limited	5702
75	Chalieco Hong Kong Corporation Limited	40070
76	Chang Development International Limited	5090
77	Chang Development International Limited	5744
78	Changde Economic Construction Investment Group Co., Ltd.	5642
79	Changde Urban Construction and Investment Group Co., Ltd.	5509
80	Changde Urban Construction and Investment Group Co., Ltd.	5959
81	Changsha Pilot Investment Holdings Co., Ltd.	40064
82	Changxing Communications Investment Group Co., Ltd.	40264
83	Charter Style International Limited	85993
84	Chengdu Airport Xingcheng Investment Group Co., Ltd.	5201
85	Chengdu Communications Investment Group Corporation Limited	5062
86	Chengdu Communications Investment Group Corporation Limited	5493
87	Chengdu Economic and Technological Development Zone Construction and Development Co., Ltd.	5714
88	Chengdu Economic and Technological Development Zone State-Owned Assets Investment Co., Ltd.	5751
89	Chengdu Hi-tech Investment Group Co., Ltd.	5206
90	Chengdu Jiaozhi Financial Holding Group Co., Ltd.	40061
91	Chengdu Tianfu New Area Investment Group Co., Ltd.	6011
92	Chengdu Xingcheng Investment Group Co., Ltd.	5314
93	Chenzhou Industry Investment Group Co., Ltd.	40197
94	Cheung Kong Bond Securities (02) Limited	4559
95	Cheung Kong Bond Securities (03) Limited	4595
96	Cheung Kong Infrastructure Finance (BVI) Limited	5060
97	China Bright (Hong Kong) Limited	5937
98	China Cinda Finance (2014) Limited	5748
99	China CITIC Bank International Limited	5199
100	China CITIC Bank International Limited	5728
101	China CITIC Bank International Limited	5831
102	China CITIC Bank International Limited	5992
103	China CITIC Bank International Limited	4594
104	China Clean Energy Development Limited	5568
105	China Development Bank Corporation	85904
106	China Development Bank Corporation	85905
107	China Development Bank Corporation	85934
108	China Energy Reserve and Chemicals Group Holding Limited	5639
109	China Energy Reserve and Chemicals Group Overseas Company Limited	5503
110	China Great Wall International Holdings IV Limited	5617
111	China Great Wall International Holdings IV Limited	5620
112	China Great Wall International Holdings V Limited	40350
113	China Huadian Overseas Development 2018 Limited	4491
114	China Huadian Overseas Development 2018 Limited	40261
115	China Huadian Overseas Development Management Company Limited	4533
116	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40093
117	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40094
118	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40095
119	China Life Insurance (Overseas) Co., Ltd.	5250
120	China Merchants Finance Company Limited	4548
121	China Merchants Finance Company Limited	5555
122	China Minmetals Corporation	4442
123	China Minmetals Corporation	5009
124	China Overseas Finance (Cayman) II Limited	4503
125	China Overseas Finance (Cayman) III Limited	5988
126	China Overseas Finance (Cayman) III Limited	5989
127	China Overseas Finance (Cayman) V Limited	4579
128	China Overseas Finance (Cayman) V Limited	4580
129	China Overseas Finance (Cayman) VI Limited	5746
130	China Overseas Finance (Cayman) VI Limited	5760
131	China Overseas Finance (Cayman) VII Limited	4475
132	China Overseas Finance (Cayman) VII Limited	4476
133	China Overseas Finance Investment (Cayman) V Limited	5600
134	China Overseas Grand Oceans Finance IV (Cayman) Limited	5106
135	China Railway Resources Huitung Limited	5904
136	China Railway XunJie Co., Limited	5244
137	China Railway XunJie Co., Limited	5692
138	China Resources Power East Foundation Co., Ltd.	4516
139	China Shenhua Overseas Capital Company Limited	5863
140	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5166
141	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5167
142	China Southern Power Grid International Finance (BVI) Co., Limited	4583
143	China Southern Power Grid International Finance (BVI) Co., Limited	4598
144	China State Construction Finance (Cayman) I Limited	5462
145	China State Construction Finance (Cayman) II Limited	5037
146	China State Construction Finance (Cayman) II Limited	5038
147	China State Construction Finance (Cayman) III Limited	40083
148	China Taiping Capital Limited	4582
149	China Taiping New Horizon Limited	5984
150	China Yangtze Power International (BVI) 1 Limited	5300
151	China Yangtze Power International (BVI) 2 Limited	5301
152	Chinalco Capital Holdings Limited	4301

153	Chinalco Capital Holdings Limited	5438
154	Chinalco Capital Holdings Limited	5745
155	Chiyu Banking Corporation Limited	5034
156	Chongqing Casin Group Co., Ltd	5595
157	Chongqing Energy Investment Group Co., Ltd.	5898
158	Chongqing Hechuan City Construction Investment (Group) Co., Ltd.	5088
159	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	4562
160	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5488
161	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5699
162	Chongqing Wansheng Economic and Technological Development Zone Development & Investment	5157
163	Chouzhou International Investment Limited	4542
164	Chouzhou International Investment Limited	5056
165	Chouzhou International Investment Limited	40154
166	Chouzhou International Investment Limited	40340
167	CITIC Telecom International Finance Limited	5908
168	City Development Company of Lan Zhou	40066
169	CLP Power HK Finance Ltd	40044
170	CLP Power HK Finance Ltd.	5740
171	CLP Power Hong Kong Financing Limited	4306
172	CMHI Finance (BVI) Co., Ltd	5134
173	CMHI Finance (BVI) Co., Ltd	5135
174	CMOC Capital Limited	5722
175	CNI Capital Limited	85908
176	CNOOC Curtis Funding No. 1 Pty Ltd	5972
177	CNOOC Curtis Funding No. 1 Pty Ltd	5973
178	CNOOC Finance (2003) Limited	2568
179	CNOOC Finance (2011) Limited	4506
180	CNOOC Finance (2011) Limited	4507
181	CNOOC Finance (2012) Limited	4546
182	CNOOC Finance (2012) Limited	4547
183	CNOOC Finance (2013) Limited	5941
184	CNOOC Finance (2013) Limited	5942
185	CNOOC Finance (2013) Limited	40016
186	CNOOC Finance (2015) Australia Pty Ltd	5507
187	CNOOC Finance (2015) U.S.A. LLC	4478
188	CNOOC Finance (2015) U.S.A. LLC	4479
189	CNOOC Finance (2015) U.S.A. LLC	5508
190	CNOOC Nexen Finance (2014) ULC	5738
191	CNOOC Nexen Finance (2014) ULC	5739
192	CNPC (HK) Overseas Capital Ltd.	4513
193	CNPC (HK) Overseas Capital Ltd.	4514
194	CNPC General Capital Limited	4544
195	CNPC General Capital Limited	5923
196	COSCO Pacific Finance (2013) Company Limited	5900
197	COSL Finance (BVI) Limited	4563
198	COSL Singapore Capital Ltd.	40277
199	COSL Singapore Capital Ltd.	40278
200	CRCC Chengan Limited	5581
201	CRCC Yupeng Limited	5778
202	CRCC Yuxiang Limited	5945
203	CSCEC Finance (Cayman) I Limited	5574
204	CSCEC Finance (Cayman) II Limited	5232
205	CSCEC Finance (Cayman) II Limited	5233
206	CSCEC Finance (Cayman) II Limited	5669
207	CSCI Finance (2015) Co. Ltd	5564
208	CSSC Capital 2015 Limited	40145
209	CSSC Capital 2015 Limited	40146
210	CSSC Capital One Limited	5181
211	CSSC Capital Two Limited	5182
212	Dah Sing Bank, Limited	5316
213	Dah Sing Bank, Limited	5524
214	Dah Sing Bank, Limited	6021
215	Dalian Deta Holding Co., Ltd.	5608
216	Dangdai International Investments Ltd	5027
217	Dangdai International Investments Ltd	40121
218	Dianjian Haixing Limited	5816
219	Dongxing Voyage Company Limited	5643
220	Ease Trade Global Limited	4458
221	Eastern Air Overseas (Hong Kong) Corporation Limited	5022
222	Elect Global Investments Limited	40173
223	Elect Global Investments Limited	40353
224	ENN CLEAN ENERGY INTERNATIONAL INVESTMENT LIMITED	5814
225	Excel Capital Global Limited	4496
226	Expand Lead Limited	5165
227	First FZ Bond Limited	5275
228	FPC Capital Limited	5107
229	FPC Treasury Limited	5924
230	Franshion Brilliant Limited	5030
231	Franshion Brilliant Limited	5229
232	Franshion Brilliant Limited	5289
233	Franshion Brilliant Limited	5347
234	Franshion Brilliant Limited	5385
235	Franshion Brilliant Limited	5447
236	Franshion Brilliant Limited	5472
237	Fujian Zhanglong Group Co., Ltd.	5700
238	Full Dragon (Hong Kong) International Development Limited	4428
239	Fuyuan Worldwide Limited	40363
240	FWD Group Limited	4403

241	FWD Group Limited	5019
242	FWD Group Limited	5221
243	FWD Group Limited	5793
244	FWD Limited	4416
245	FWD Limited	5351
246	FWD Limited	5803
247	Gansu Province Electric Power Investment Group Co., Ltd.	5466
248	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5057
249	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5132
250	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5999
251	Gaoxin International Investment Co., Ltd	40081
252	Gemdale Ever Prosperity Investment Limited	4578
253	GET International Investment Holdings Limited	5381
254	GOHL Capital Limited	5352
255	GRAM International Limited	5587
256	Granda Century Limited	5152
257	Green Fortune Capital Limited	40010
258	Greenland Global Investment Limited	5769
259	Guang Ying Investment Limited	4492
260	Guangsha Holding Group Co., Ltd.	5456
261	Guangxi Communications Investment Group Corporation. Ltd	5880
262	Guangxi Financial Investment Group Co., Ltd.	5098
263	Guangxi Liuzhou Dongcheng Investment Development Group Co., Ltd.	40013
264	GUANGZHOU Silk Road Investment Limited	5323
265	Guofa Overseas Investment Company Limited	40098
266	Guohui International (BVI) Co., Ltd.	6002
267	Guohui International (BVI) Co., Ltd.	40362
268	Guotai Junan Holdings Limited	5853
269	Haichuan International Investment Co., Ltd.	5460
270	Haiguo Xintai Capital Limited	40011
271	Haimen Zhongnan Investment Development (International) Co., Ltd.	4585
272	Haimen Zhongnan Investment Development (International) Co., Ltd.	40336
273	Haitian (BVI) International Investment Development Limited	5061
274	Haitong International Finance Holdings 2015 Limited	40186
275	Hangzhou Fuyang Chengtou Group (HK) Limited	5469
276	Hangzhou Qiantang New Area Construction and Investment Group Co., Ltd.	40349
277	Hanhui International Limited	5657
278	Hanhui International Limited	40348
279	Hanrui Overseas Investment Co Ltd	5081
280	Harvest International Company	5024
281	HBIS Group Hong Kong Co., Limited	40108
282	Hengjian International Investment Limited	40268
283	HFI International (HK) Limited	40309
284	HK Onlink Technology Co., Limited	40168
285	Hong Kong JY Flower Limited	40163
286	Hong Kong Red Star Macalline Universal Home Furnishings Limited	5278
287	Hong Kong Sukuk 2017 Limited	4233
288	Hong Seng Limited	40172
289	Honghe Development Group Co. Ltd.	40215
290	Hongkong Baorong Development Limited	5465
291	Hongkong Electric Finance Limited	4505
292	Hongkong Ideal Investment Limited	40019
293	Hongkong International (Qingdao) Company Limited	5023
294	Hongkong International (Qingdao) Company Limited	5048
295	Hongkong International (Qingdao) Company Limited	5875
296	Hongkong International (Qingdao) Company Limited	40017
297	Hongkong International (Qingdao) Company Limited	40053
298	Hongkong International (Qingdao) Company Limited	40206
299	HongKong Xiangyu Investment Co., Limited	4409
300	Huafa 2019 I Company Limited	5976
301	Huafa Group 2018 I Company Limited	5150
302	Huai'an Traffic Holding Co., Ltd.	6006
303	Huai'an Water Conservancy Holding Group Co., Ltd.	6009
304	Huaibei City Construction Investment Holding Group Company Limited	5474
305	Huaibei City Construction Investment Holding Group Company Limited	5946
306	Huaneng Hong Kong Capital Limited	5000
307	Huangshan Tourism Group Co., Ltd.	40364
308	Huantaihu International investment Co., Ltd	40194
309	Huasing International Holdings Co., Limited	4448
310	Huasing International Holdings Co., Limited	4449
311	Huatong International Investment Holdings Co., Limited	40344
312	Huaxin Pharmaceutical (Hong Kong) Co., Ltd.	4447
313	Huayi Finance I Ltd.	40042
314	HUAYUAN PROPERTY CO., LTD	5922
315	Huayuan Property Co., Ltd.	5239
316	Hubei Science & Technology Investment Group (Hong Kong) Company Limited	4431
317	Hunan Xiangjiang New Area Development Group Co Ltd	5223
318	Huzhou City Investment Development Group Co., Ltd.	5074
319	Huzhou Communications Investment Group Co., Ltd.	85740
320	IFC Development (Corporate Treasury) Limited	6000
321	IFC Development (Corporate Treasury) Limited	40198
322	Industrial and Commercial Bank of China (Asia) Limited	5686
323	Industrial and Commercial Bank of China (Asia) Limited	5976
324	Industrial and Commercial Bank of China (Macau) Limited	5750
325	Industrial and Commercial Bank of China (Macau) Limited	5797
326	Industrial Investment Overseas Limited	40226
327	Jiangsu Yueda Group Co., Ltd.	40243
328	Jiangsu Zhongguancun Holding Group (International) Co Ltd	40038
329	Jiangxi Provincial Water Conservancy Investment Group (China) Limited	40086
330	Jiangxi Railway Investment Group Corporation	5770

331	JIC Zhixin Limited	5031
332	JIC Zhixin Limited	5032
333	JIC Zhixin Limited	40360
334	JIC Zhixin Limited	40361
335	Jinan Hi-tech Holding Group Co., Ltd.	5481
336	Jinan Hi-tech Holding Group Co., Ltd.	40018
337	Jinan West City Investment and Development Group Co., Ltd.	4588
338	Jining High Tech Urban Construction Investment Co., Ltd.	40230
339	Jinke Property Group Co., Ltd.	5292
340	Jinshine International Co., Ltd.	4451
341	Jisco SR Pearl Ltd.	5953
342	Jiu An Finance Co., Ltd	40313
343	Joy Treasure Assets Holdings Inc.	5901
344	Joy Treasure Assets Holdings Inc.	5903
345	Joy Treasure Assets Holdings Inc.	6027
346	Joy Treasure Assets Holdings Inc.	40000
347	King Power Capital Ltd.	5823
348	King Talent Management Limited	5026
349	Korean Reinsurance Company	5815
350	Kunming Dianchi Investment Co. Ltd.	5569
351	Kunming Municipal Urban Construction Investment & Development Co., Ltd.	40026
352	Kunming Rail Transit Group Co., Ltd.	40100
353	Kunming Rail Transit Group Co., Ltd.	40101
354	Kunzhi Limited	4464
355	Kunzhi Limited	5100
356	Lai Fung Bonds (2018) Limited	5087
357	Legend Fortune Limited	5128
358	Liang Shan International Development Limited	5585
359	Lingang Wings Inc	5149
360	LinJiang Investment and Development (BVI) Co., Ltd	40087
361	Liuzhou Dongtong Investment & Development Co., Ltd.	4329
362	LS Finance (2017) Limited	4471
363	LS Finance (2022) Limited	4571
364	LS Finance (2025) Limited	5535
365	LSD Bonds (2017) Limited	5270
366	Luso International Banking Limited	5078
367	Malaysia Sovereign Sukuk Berhad	5894
368	Malaysia Sovereign Sukuk Berhad	5895
369	Malaysia Sukuk Global Berhad	5633
370	Malaysia Sukuk Global Berhad	5634
371	MCC Holding (Hong Kong) Corporation Limited	4483
372	MCC Holding (Hong Kong) Corporation Limited	40188
373	Mega Wisdom Global Limited	40287
374	Mei Nian Investment Limited	5932
375	Meilun (BVI) Limited	5625
376	Mianyang Investment Holding (Group) Co., Ltd.	40039
377	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5553
378	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5688
379	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5689
380	Minmetals Bounteous Finance (BVI) Limited	40175
381	Minmetals Land Capital Limited	5933
382	Mudanjiang City Investment Group Co., Ltd.	5297
383	Nanchang Linkong Economic Zone City Construction Investment and Development Group Co., Ltd.	40288
384	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5053
385	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5054
386	Nanyang Commercial Bank, Limited	5214
387	Nanyang Commercial Bank, Limited	40069
388	Neijiang Investment Holding Group Co., Ltd.	40293
389	Neijiang Investment Holding Group Co., Ltd.	40297
390	New Lion Bridge Company Limited	5290
391	New Momentum International Limited	5997
392	Nuoxi Capital Limited	4401
393	Nuoxi Capital Limited	4402
394	Nuoxi Capital Limited	5365
395	Nuoxi Capital Limited	5484
396	Nuoxi Capital Limited	5704
397	Nuoxi Capital Limited	5996
398	NWD Finance (BVI) Limited	4561
399	NWD Finance (BVI) Limited	5856
400	NWD Finance (BVI) Limited	40262
401	Oceanwide Holdings International Development III Co., Ltd.	4532
402	Oceanwide Holdings International Development III Co., Ltd.	5196
403	Orient Huizhi Limited	5041
404	Oriental Capital Company Limited	40025
405	OVPH Limited	5614
406	PB Issuer (No.4) Limited	5525
407	PB Issuer (No.5) Limited	40097
408	PCGI Intermediate Holdings (II) Limited	40074
409	PCGI Intermediate Limited	40040
410	PCGI Intermediate Limited	40040
411	Perfect Point Ventures Limited	4566
412	Petron Corporation	5905
413	PHBS Limited	4325
414	Phoenix Charm International Investment Limited	40058
415	Phoenix Charm International Investment Limited	40071
416	Phoenix Lead Limited	5262
417	Pingdu State-owned Assets Management Co., Ltd.	40207
418	Pingxiang Urban Construction Investment Developing Company	4535
419	Pioneer Reward Limited	4530

420	Pioneer Reward Limited	40143
421	Poly Real Estate Finance Ltd	4417
422	Poly Real Estate Finance Ltd	5164
423	Poly Real Estate Finance Ltd	5916
424	Power Best Global Investments Limited	5940
425	Powerchina Real Estate Group Ltd.	5471
426	Press Metal (Labuan) Ltd.	5299
427	Prosperous Ray Limited	5994
428	Proven Honour Capital Limited	5516
429	Proven Honour Capital Limited	5641
430	Pterosaur Capital Co., Limited	6021
431	PTT Public Company Limited	2527
432	Qingdao Jiaozhou Bay Development Co., Ltd.	5117
433	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5951
434	Qingdao Jimo District Urban Development Investment Co., Ltd.	5658
435	Qinghai Provincial Investment Group Co., Ltd.	4450
436	Radiant Access Limited	5205
437	Rail Transit International Investment Company Limited	5644
438	RKI Overseas Finance 2016 (B) Limited	4309
439	Rongshi International Finance Limited	5448
440	Rongshi International Finance Limited	5449
441	Rosy Delta Investments Limited	5097
442	Rugao Economic and Trade Development Company	40041
443	Rugao Economic and Trade Development Company	40133
444	Rugao Economic and Trade Development Company	40291
445	SCIG International Financial Limited	5174
446	SDG FINANCE I LIMITED	4539
447	SDG FINANCE I LIMITED	5192
448	SDSC Global Finance Limited	5303
449	SDSC International Development Limited	40022
450	SF Holding Investment Limited	5130
451	SF Holding Investment Limited	40152
452	Shaanxi Xixian New Area Fengxi New City Development and Construction (Group) Co., Ltd.	40073
453	Shaanxi Xixian New Area Fengxi New City Development and Construction (Group) Co., Ltd.	5504
454	Shaanxi Xixian New Area Qinhan New City Development and Construction Group Co., Ltd.	5935
455	Shandong Commercial Group Co., Ltd.	5512
456	Shandong Iron and Steel Xinheng International Company Limited	5063
457	Shandong Iron and Steel Xinheng International Company Limited	5470
458	Shandong Iron and Steel Xinheng International Company Limited	5601
459	Shandong Iron and Steel Xinheng International Company Limited	40003
460	Shanghai Commercial Bank Limited	5043
461	Shanghai Commercial Bank Limited	5530
462	Shanghai Port Group (BVI) Development 2 Co., Limited	40298
463	Shanghai Port Group (BVI) Development 2 Co., Limited	40299
464	Shanghai Port Group (BVI) Development Co., Limited	4587
465	Shanghai Port Group (BVI) Development Co., Limited	5734
466	Shanghai Port Group (BVI) Development Co., Limited	5741
467	Shanghai Port Group (BVI) Holding Co., Limited	5255
468	Shanghai Port Group (BVI) Holding Co., Limited	5256
469	Shangrao Investment Holdings International Company Limited	4429
470	Shangrao Investment Holdings International Company Limited	40122
471	Shanghai (Hong Kong) International Investments Limited	40009
472	Shaoxing City Investment Group Limited	5489
473	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	40082
474	Shengzhou Investment Holdings Co., Ltd.	40119
475	Shengzhou Investment Holdings Co., Ltd.	40267
476	Shouguang City Construction Investment Development Co., Ltd.	40233
477	Shuifa International Holdings (BVI) Co., Ltd.	5992
478	Shuifa International Holdings (BVI) Co., Ltd.	40214
479	Si Fa Investment Limited	5490
480	Sichuan Communications Overseas Development Co., Ltd.	5399
481	Sichuan Gloport Investment Development Group Co., Ltd.	40196
482	Sichuan Railway Investment Group Co., Ltd.	5575
483	SIHC International Capital Ltd	5176
484	SIHC International Capital Ltd	5177
485	Sino Trendy Investment Limited	4407
486	Sinochem Global Capital Co., Ltd.	5935
487	Sinochem Overseas Capital Company Limited	4501
488	Sinochem Overseas Capital Company Limited	4502
489	Sino-Ocean Land Treasure Finance I Limited	5782
490	Sino-Ocean Land Treasure Finance II Limited	5869
491	Sino-Ocean Land Treasure III Limited	5276
492	Sino-Ocean Land Treasure IV Limited	5131
493	Sino-Ocean Land Treasure IV Limited	5623
494	Sino-Ocean Land Treasure IV Limited	5712
495	Sino-Ocean Land Treasure IV Limited	40115
496	Sinosing Services Pte. Ltd.	40155
497	Sinosing Services Pte. Ltd.	40156
498	Skyland Mining (BVI) Limited	40266
499	Skysea International Capital Management Limited	4529
500	SmarTone Finance Limited	5917
501	Societe Generale	5947
502	Societe Generale	5959
503	Societe Generale	5960
504	Societe Generale	5961
505	Societe Generale	5962
506	SPIC 2016 US dollar Bond Company Limited	5324
507	SPIC 2016 US dollar Bond Company Limited	5325
508	SPIC 2018 USD Senior Perpetual Bond Company Limited	5453

509	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l.	5193
510	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l.	5194
511	State Grid Overseas Investment (2013) Limited	5954
512	State Grid Overseas Investment (2013) Limited	5955
513	State Grid Overseas Investment (2014) Limited	5742
514	State Grid Overseas Investment (2014) Limited	5743
515	State Grid Overseas Investment (2016) Limited	5649
516	State Grid Overseas Investment (2016) Limited	5650
517	State Grid Overseas Investment (2016) Limited	5651
518	State Grid Overseas Investment (2016) Limited	5652
519	Strategic International Group Limited	5241
520	Strategic International Group Limited	40285
521	Sunny Express Enterprises Corp.	5906
522	Sunny Express Enterprises Corp.	40030
523	Sunny Express Enterprises Corp.	40031
524	Sunny Express Enterprises Corp.	40203
525	Sunny Express Enterprises Corp.	40204
526	Sunshine Life Insurance Corporation Limited	5628
527	Sunshine Life Insurance Corporation Limited	5629
528	Suqian Economic Development (BVI) Co., Limited	40034
529	Suzhou City Construction Investment Group (Holding) Co., Ltd.	5723
530	Taihu Pearl Oriental Company Limited	40326
531	Taikang Insurance Group Inc.	5349
532	Taiyuan Longcheng Development Investment Group Co., Ltd.	40281
533	Taiyuan State-owned Investment Group Company Limited	6005
534	Taizhou Infrastructure Construction Investment Group Co., Ltd	5197
535	The Hongkong and Shanghai Banking Corporation Limited	4323
536	Tianfeng Securities Co., Ltd.	40090
537	Tianjin Lingang Investment Holding Co., Ltd.	5716
538	Tianjin Lingang Investment Holding Co., Ltd.	40234
539	Tianjin Real Estate Group Co., Ltd	5286
540	Tianjin State-owned Capital Investment and Management Co., Ltd.	40103
541	Tianjin State-owned Capital Investment and Management Co., Ltd.	40104
542	Tianjin State-owned Capital Investment and Management Co., Ltd.	40105
543	Tianjin State-owned Capital Investment and Management Co., Ltd.	40106
544	Tianqi Finco Co., Ltd	5036
545	Tibet Financial Leasing Co., Ltd.	5707
546	Tongfang Aqua 2017 Limited	4454
547	Tongfang Aqua Limited	6001
548	Tongling Development Investment Group Company Limited	40153
549	Top Luxury Investment Limited	5598
550	Towngas (Finance) Limited	5749
551	Towngas (Finance) Limited	6018
552	Trade Horizon Global Limited	5133
553	Treasure Generator Limited	5709
554	Triceratops Capital Co., Limited	4408
555	Tsinghua Unic Limited	4413
556	Tsinghua Unic Limited	4414
557	Tsinghua Unic Limited	4415
558	Tuspark Forward Ltd.	4599
559	Tuspark Forward Ltd.	5143
560	Tuspark Forward Ltd.	5857
561	TVB Finance Limited	4577
562	UHI Capital Ltd.	4572
563	Unigroup International Holdings Ltd.	5597
564	Union Life Insurance Co., Ltd.	4322
565	Universe Trek Limited	40295
566	Urumqi Gaoxin Investment and Development Group Co., Ltd.	5073
567	Vertex Capital Investment Limited	5931
568	Viva Incubator Investment Management Limited	40144
569	Wakala Global Sukuk Berhad	4519
570	Wanda Group Overseas Limited	5599
571	Wanda Properties International Co. Limited	6023
572	Wanda Properties Overseas Limited	40088
573	Wanda Properties Overseas Limited	40139
574	Want Want China Finance Limited	5446
575	Weifang Urban Construction and Development Investment Group Co., Ltd.	5189
576	Weimob Investment Limited	40218
577	Wuhan Financial Holdings (Group) Co., Ltd.	5498
578	Wuhan Metro Group Co., Ltd.	5467
579	Wuhan Real Estate Development & Investment Group Co., Ltd.	5139
580	Wuhan State-owned Assets Management Limited Company	5070
581	Xi Hai An 2019 Limited	40067
582	Xi Yang Overseas Limited	4565
583	Xi'an Aerospace High-Tech Industry Development Co., Ltd	40187
584	Xi'an Aerospace High-Tech Industry Development Co., Ltd	40231
585	Xi'an Quijiang Culture Holdings Co., Ltd.	40002
586	Xi'an Quijiang Culture Holdings Co., Ltd.	40183
587	Xiangtan Urban & Rural Construction Development Group Co., Ltd.	40244
588	Xiangyu Investment (BVI) Co., Ltd.	5572
589	Xianyang Financial Holding Group Co., Ltd.	40263
590	Xihui Haiwai I Investment Holdings Co., Limited	5990
591	Xin Fu (BVI) Co., Limited	5985
592	Xingang International Holding Limited	40099
593	Xingchang International Company Limited	40294
594	Xingsheng (BVI) Company Limited	5172
595	Xingsheng (BVI) Company Limited	5606
596	Xinhu (BVI) 2018 Holding Company Limited	4569
597	Xinhu (BVI) 2018 Holding Company Limited	5497

598	Xinhu (BVI) 2018 Holding Company Limited	5889
599	Xinjiang Financial Investment Co., Ltd.	5907
600	Xinjiang Transportation Construction Investment Holding Co. Ltd.	5570
601	Xinjiang Transportation Construction Investment Holding Co. Ltd.	5952
602	Xinjiang Zhongtai (Group) Co., Ltd.	4567
603	Xinxing Industry Investment Company Limited	5065
604	Xinzhan Limited	40327
605	Yancoal International Resources Development Co., Limited	4552
606	Yancoal International Resources Development Co., Limited	5424
607	Yancoal International Resources Development Co., Ltd	5461
608	Yancoal International Trading Co., Limited	5753
609	Yango (Cayman) Investment Limited	4470
610	Yangzhou Economic and Technological Development Zone Development Corporation	5513
611	Yangzhou Urban Construction State-Owned Assets Holding (Group) Co., Ltd.	5072
612	Yangzhou Urban Construction State-Owned Assets Holding (Group) Co., Ltd.	40355
613	Yankuang Group (Cayman) Limited	5044
614	Yankuang Group (Cayman) Limited	5705
615	Yankuang Group (Cayman) Limited	40312
616	Yichang High-Tech Investment Development Co., Ltd.	5495
617	Yichun Development Investment HaiTong Co., Limited	40084
618	Yihua Overseas Investment Ltd	5293
619	Yinchuan Tonglian Capital Investment Operation Co., Ltd.	40249
620	YONGDA Investment Limited	40252
621	Yunda Holding Investment Limited	40347
622	Yunnan Energy Investment Overseas Finance Company Ltd.	5012
623	Yunnan Energy Investment Overseas Finance Company Ltd.	5013
624	Yunnan Energy Investment Overseas Finance Company Ltd.	5327
625	Yunnan Energy Investment Overseas Finance Company Ltd.	5457
626	Yunnan Energy Investment Overseas Finance Company Ltd.	40351
627	Yunnan Energy Investment Overseas Finance Company Ltd.	40352
628	Yun-Top International Investment Company (BVI) Limited	40318
629	Yuyao Economic Development Zone Construction Investment and Development Co., Ltd	5494
630	Yuyao Economic Development Zone Construction Investment and Development Co., Ltd	5998
631	ZGC INTERNATIONAL INVESTMENT LIMITED	40140
632	Zhangzhou Jiulongjiang Group Co., Ltd.	5730
633	Zhangzhou Transportation Development Group Co., Ltd.	5584
634	Zhaobing Investment (BVI) Limited	6018
635	Zhaojin Mining International Finance Limited	5851
636	Zhejiang Baron (BVI) Company Limited	5146
637	Zhengzhou Airport Economy Zone Xinggong Investment Group Co., Ltd.	40255
638	Zhengzhou Metro Group Co., Ltd.	5496
639	Zhengzhou Real Estate Group Co., Ltd.	40014
640	Zhengzhou Urban Construction Investment Group Co., Ltd.	40063
641	Zhengzhou Urban Construction Investment Group Co., Ltd.	40118
642	Zhenjiang Cultural Tourism Industry Group Co., Ltd.	40072
643	Zhongtai International Finance (BVI) Company Limited	40339
644	Zhongyuan Asset Management Co., Ltd	40078
645	Zhongyuan Sincere Investment Co. Ltd.	5095
646	Zhongyuan Sincere Investment Co. Ltd.	5596
647	Zhoushan City Investment Group Corporation Limited	5487
648	Zhuhai Da Heng Qin Investment Co., Ltd.	40080
649	Zhuhai Da Heng Qin Investment Co., Ltd.	85915
650	Zhuji Development Limited	5480
651	Zhuzhou City Construction Development Group Co., Ltd.	4531
652	Zijin International Capital Company Limited	5188

第3類豁免：適用於股份在香港聯合交易所有限公司上市的以法團形式運作的開放式集體投資計劃

名稱	聯交所 股份代號	
1	Xtrackers FTSE Vietnam Swap UCITS ETF*	3087
2	Xtrackers FTSE China 50 UCITS ETF	3007
3	Xtrackers MSCI Brazil UCITS ETF	3048
4	Xtrackers MSCI Korea UCITS ETF	2848
5	Xtrackers MSCI Pacific ex Japan UCITS ETF	3043
6	Xtrackers MSCI Russia Capped Swap UCITS ETF*	3027
7	Xtrackers MSCI Taiwan UCITS ETF	3036
8	Xtrackers MSCI USA Swap UCITS ETF*	3020
9	Xtrackers MSCI World Swap UCITS ETF*	3019
10	Xtrackers Nifty 50 Swap UCITS ETF*	3015
11	Xtrackers CSI300 Swap UCITS ETF*	3049
12	Xtrackers MSCI China UCITS ETF	3055
13	Xtrackers MSCI Indonesia Swap UCITS ETF*	3099
14	Xtrackers MSCI Malaysia UCITS ETF	3082
15	Xtrackers MSCI Thailand UCITS ETF	3092
16	Xtrackers MSCI Philippines UCITS ETF	3016
17	Xtrackers MSCI Singapore UCITS ETF	3065
18	Global X China Consumer Brand ETF	2806 / 9806
19	Global X China Electric Vehicle ETF	2845 / 9845
20	Global X China Clean Energy ETF	2809 / 9809
21	Global X China Semiconductor ETF	3191 / 9191
22	Global X China Robotics and AI ETF	2807 / 9807

* 此基金為一隻合成交易所買賣基金

第4類豁免：適用於聯交所的交易所參與者

名稱

- 1 中國創盈市場服務有限公司
- 2 中國投資信息有限公司

第5類豁免：適用於屬結算參與者的結算所

名稱

- 1 中國證券登記結算有限責任公司
- 2 中國證券登記結算（香港）有限公司

根據《證券及期貨條例》(第571章)第309(2)條批給的其他豁免

名稱

- 1 **Budweiser Brewing Company APAC Limited**