

## 根據《證券及期貨條例》(第571章)第309(2) 條批給的豁免

以下載列就(一)發行在香港聯合交易所有限公司(聯交所)上市的證券的法團及(二)其他人士而批給的豁免。該等豁免就(一)批給該等法團、其大股東、董事及最高行政人員，及就(二)批給其他人士，使其無須就其於聯交所上市證券的權益所衍生的義務而遵從《證券及期貨條例》第XV部。本清單每月更新。

證監會網站內有關《證券及期貨條例》第XV部的網頁已登載證監會指引，列明根據該條例第309條批給豁免的依據。目前提供的豁免分為五類，而該指引已對該五類豁免加以述明。

### 第1類部份豁免：適用於股份已在香港聯合交易所有限公司及另一證券交易所上市的法團

| 名稱                | 聯交所<br>股份代號<br>( <i>注解1</i> ) |                                           |
|-------------------|-------------------------------|-------------------------------------------|
| 1 宏利金融有限公司        | 0945 - S                      |                                           |
| 2 渣打集團有限公司        | 2888                          | (及 4305, 5348, 5890, 5986, 40276 及 40518) |
| 3 英國保誠有限公司        | 2378                          |                                           |
| 4 迅銷有限公司 - 預託證券   | 6288 - S                      | (該豁免僅適用於迅銷有限公司及其大股東)                      |
| 5 京東集團股份有限公司      | 9618 - SW                     |                                           |
| 6 網易股份有限公司        | 9999 - S                      |                                           |
| 7 華住集團有限公司        | 1179 - S                      |                                           |
| 8 萬國數據控股有限公司      | 9698 - SW                     |                                           |
| 9 新東方教育科技(集團)有限公司 | 9901 - S                      |                                           |
| 10 汽車之家           | 2518 - S                      |                                           |
| 11 百度集團股份有限公司     | 9888 - SW                     |                                           |
| 12 攜程集團有限公司       | 9961 - S                      |                                           |
| 13 微博股份有限公司       | 9898 - SW                     |                                           |
| 14 蔚來集團           | 9866 - SW                     |                                           |
| 15 騰訊音樂娛樂集團       | 1698 - SW                     |                                           |
| 16 360數科股份有限公司    | 3660 - S                      |                                           |

注解1:  
S - 第二上市公司  
W - 不同投票權架構的公司

### 第2類豁免：適用於並無股份在香港聯合交易所有限公司上市的法團

(a)第2類豁免：適用於結構性產品現時在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

| 名稱                                                   | 豁免期限 ( <i>注解2</i> ) | 豁免開始日       | 豁免終止日       |
|------------------------------------------------------|---------------------|-------------|-------------|
| 1 BOCI Asia Limited                                  |                     | 2007年12月21日 | 2025年12月20日 |
| 2 BNP Paribas Issuance B.V.                          |                     | 2007年12月21日 | 2025年12月20日 |
| 3 CITIC Securities Brokerage (HK) Limited            |                     | 2024年2月22日  | 2026年2月21日  |
| 4 Citigroup Global Markets Europe AG                 |                     | 2019年11月1日  | 2025年10月31日 |
| 5 DBS Bank Ltd.                                      |                     | 2021年8月24日  | 2026年8月23日  |
| 6 Goldman Sachs Structured Products (Asia) Limited   |                     | 2007年12月21日 | 2025年12月20日 |
| 7 Guotai Junan Securities (Hong Kong) Limited        |                     | 2019年10月28日 | 2025年10月27日 |
| 8 Huatai Financial Holdings (Hong Kong) Limited      |                     | 2023年6月1日   | 2026年5月31日  |
| 9 J.P. Morgan Structured Products B.V.               |                     | 2007年12月21日 | 2025年12月20日 |
| 10 Korea Investment & Securities Asia Limited        |                     | 2023年12月4日  | 2025年12月3日  |
| 11 Macquarie Bank Limited                            |                     | 2008年1月1日   | 2025年12月31日 |
| 12 Morgan Stanley Asia Products Limited              |                     | 2019年7月22日  | 2026年7月21日  |
| 13 SG Issuer                                         |                     | 2016年4月1日   | 2026年3月31日  |
| 14 The Hongkong and Shanghai Banking Corporation Ltd |                     | 2007年12月23日 | 2025年12月22日 |
| 15 UBS AG                                            |                     | 2007年12月21日 | 2025年12月20日 |

注解2: 授予以上法團的豁免期為12個月(或更少)，並豁免該法團在豁免期內所發行及在香港聯合交易所有限公司上市的結構性產品直至該結構性產品有效期完結為止。

(b)第2類豁免：適用於債務項目下發行的債務證券在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

| 名稱                                             | 項目                                                                    |
|------------------------------------------------|-----------------------------------------------------------------------|
| 1 AirAsia Global Notes Limited                 | US\$1,000,000,000 Multicurrency Medium Term Note Programme            |
| 2 Amipeace Ltd.                                | HK\$20,000,000,000 Medium Term Note Programme                         |
| 3 AMTD Group Company Limited                   | U.S.\$500,000,000 Medium Term Note Programme                          |
| 4 AMTD IDEA Group                              | U.S.\$1,000,000,000 Medium Term Note Programme                        |
| 5 AVIC International Leasing Co., Ltd.         | US\$500,000,000 Medium Term Note Programme                            |
| 6 AVIC International Leasing Co., Ltd.         | U.S.\$500,000,000 Medium Term Note Programme                          |
| 7 Azure Nova International Finance Limited     | U.S.\$10,000,000,000 Medium Term Note Programme                       |
| 8 Azure Orbit II International Finance Limited | US\$1,000,000,000 Medium Term Note Programme                          |
| 9 Azure Orbit IV International Finance Limited | U.S.\$7,000,000,000 Medium Term Note Programme                        |
| 10 Bank of China (Hong Kong) Limited           | U.S.\$15,000,000,000 Medium Term Note Programme                       |
| 11 Bank of China (Luxembourg) S.A.             | US\$30,000,000,000 Medium Term Note Programme                         |
| 12 Beijing Capital Polaris Investment Co. Ltd. | U.S.\$1,000,000,000 Medium Term Note Programme                        |
| 13 Bluestar Finance Holdings Limited           | US\$3,000,000,000 Medium Term Note and Perpetual Securities Programme |

|    |                                                                                                                         |                                                                                |
|----|-------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------|
| 14 | Bocom Leasing Management Hong Kong Company Limited                                                                      | US\$10,000,000,000 Medium Term Note Programme                                  |
| 15 | Boom Up Investments Limited                                                                                             | US\$1,000,000,000 Medium Term Note Programme                                   |
| 16 | CALC Bonds Limited                                                                                                      | US\$3,000,000,000 Medium Term Note Programme                                   |
| 17 | Castle Peak Power Finance Company Limited                                                                               | U.S.\$2,000,000,000 Medium Term Note Programme                                 |
| 18 | Cathay Pacific MTN Financing (HK) Limited                                                                               | US\$2 billion Medium Term Note Programme                                       |
| 19 | CCBL (Cayman) 1 Corporation Limited                                                                                     | US\$5,000,000,000 Guaranteed Medium Term Note Programme                        |
| 20 | CDBI Treasure I Limited                                                                                                 | US\$500,000,000 Medium Term Note Programme                                     |
| 21 | CDBL Funding 1                                                                                                          | U.S.\$3,000,000,000 Medium Term Note Programme                                 |
| 22 | CDBL Funding 1                                                                                                          | US\$3,000,000,000 Medium Term Note Programme                                   |
| 23 | CDBL Funding 2                                                                                                          | US\$3,000,000,000 Medium Term Note Programme                                   |
| 24 | Central Plaza Development Limited                                                                                       | US\$2,000,000,000 Guaranteed Medium Term Programme                             |
| 25 | CGNPC INTERNATIONAL LIMITED                                                                                             | US\$2,000,000,000 Guaranteed Medium Term Note Programme                        |
| 26 | Chailease International Finance Corporation                                                                             | U.S.\$500,000,000 Medium Term Note Programme                                   |
| 27 | Champion MTN Limited                                                                                                    | US\$1,000,000,000 Guaranteed Medium Term Note Programme                        |
| 28 | Charming Light Investments Ltd. and United Wealth Development Ltd.                                                      | US\$8,000,000,000 Medium Term Note Programme                                   |
| 29 | Cheung Kong Finance (MTN) Limited and Joynote Ltd                                                                       | US\$2,000,000,000 Medium Term Note Programme                                   |
| 30 | Cheung Kong Infrastructure Finance (BVI) Limited                                                                        | US\$5,000,000,000 Euro Medium Term Note Programme                              |
| 31 | China Cinda (2020) I Management Limited                                                                                 | U.S.\$5,000,000,000 Guaranteed Medium Term Note Programme                      |
| 32 | China Cinda (2020) I Management Limited                                                                                 | US\$2,000,000,000 Medium Term Note Programme                                   |
| 33 | China Cinda Finance (2015) I Limited and China Cinda Finance (2015) II Limited                                          | US\$3,000,000,000 Guaranteed Medium Term Note Programme                        |
| 34 | China Cinda Finance (2017) I Limited and China Cinda Finance (2017) II Limited                                          | US\$10,000,000,000 Medium Term Note Programme                                  |
| 35 | China CITIC Bank International Limited                                                                                  | US\$3,000,000,000 Medium Term Note Programme                                   |
| 36 | China Construction Bank (Asia) Corporation Limited                                                                      | US\$5,000,000,000 Medium Term Note Programme                                   |
| 37 | China Development Bank Corporation and China Development Bank Corporation Hong Kong Branch                              | US\$30,000,000,000 Debt Issuance Programme                                     |
| 38 | China Great Wall International Holdings III Limited                                                                     | U.S.\$6,500,000,000 Medium Term Note Programme                                 |
| 39 | China Huadian Overseas Development 2018 Limited                                                                         | US\$2,000,000,000 Medium Term Note and Perpetual Securities Programme          |
| 40 | China Overseas Finance (Cayman) VIII Limited                                                                            | US\$2.5 billion medium note programme                                          |
| 41 | China Overseas Finance (Cayman) VIII Limited                                                                            | US\$4 billion medium note programme                                            |
| 42 | CICC Hong Kong Finance 2016 MTN Limited                                                                                 | US\$10,000,000,000 Guaranteed Medium Term Note Programme                       |
| 43 | CITIC Securities Finance MTN Co., Ltd.                                                                                  | US\$3 billion Medium Term Note Programme                                       |
| 44 | CK Property Finance (MTN) Limited                                                                                       | US\$5,000,000,000 Euro Medium Term Note Programme                              |
| 45 | CMB International Leasing Management Limited                                                                            | US\$20,000,000,000 Medium Term Note Programme                                  |
| 46 | CMB Wing Lung Bank Limited                                                                                              | U.S. \$2,000,000,000 Euro Medium Term Note Programme                           |
| 47 | CMBLEMTN1 Limited                                                                                                       | U.S.\$5,000,000,000 Medium Term Note Programme                                 |
| 48 | CMS International Gemstone Limited                                                                                      | US\$2,000,000,000 Medium Term Note Programme                                   |
| 49 | CNCBINV 1 (BVI) Limited                                                                                                 | US\$2,000,000,000 Medium Term Note Programme                                   |
| 50 | CNPC General Capital Limited                                                                                            | US\$7,000,000,000 Euro Medium Term Note Programme                              |
| 51 | Connery Capital Limited                                                                                                 | US\$1,000,000,000 Guaranteed Medium Term Note Programme                        |
| 52 | COSL Singapore Capital Ltd.                                                                                             | US\$3,500,000,000 Euro Medium Term Note Programme                              |
| 53 | CSCIF Asia Limited                                                                                                      | US\$3,000,000,000 Medium Term Note Programme                                   |
| 54 | CSCIF Hong Kong Limited                                                                                                 | US\$4,000,000,000 Medium Term Note Programme                                   |
| 55 | CSI MTN Limited                                                                                                         | U.S.\$3,000,000,000 Medium Term Note Programme                                 |
| 56 | Dah Sing Bank, Limited                                                                                                  | US\$2,000,000,000 Euro Medium Term Note Programme                              |
| 57 | DianJian Haiyu Limited, Dianjian International Finance Limited, Leader Goal International Limited, SEPCO Virgin Limited | U.S.\$2,500,000,000 Medium Term Note and Senior Perpetual Securities Programme |
| 58 | Eastern Creation II Investment Holdings Ltd.                                                                            | US\$6,000,000,000 Guaranteed Euro Medium Term Note Programme                   |
| 59 | Everbright SHK (BVI) Limited                                                                                            | US\$1,000,000,000 Medium Term Note Programme                                   |
| 60 | FEC Finance Limited                                                                                                     | US\$1,000,000,000 Guaranteed Medium Term Note Programme                        |
| 61 | Franshion Brilliant Limited                                                                                             | US\$2,000,000,000 Medium Term Note Programme                                   |
| 62 | Future Days Limited                                                                                                     | US\$5,000,000,000 Medium Term Note Programme                                   |
| 63 | FWD Group Holdings Limited                                                                                              | US\$5,000,000,000 Medium Term Note and Capital Securities Programme            |
| 64 | GE (MTN) LIMITED                                                                                                        | US\$2,000,000,000 Guaranteed Medium Term Note Programme                        |
| 65 | Gemdale Ever Prosperity Investment Limited                                                                              | US\$2,000,000,000 Guaranteed Medium Term Note Programme                        |
| 66 | GLP China Holdings Limited                                                                                              | HK\$20,000,000,000 Medium Term Note Programme                                  |
| 67 | Goodman HK Finance                                                                                                      | US\$2,000,000,000 Medium Term Note Programme                                   |
| 68 | Greenland Global Investment Limited                                                                                     | US\$8,000,000,000 Guaranteed Medium Term Notes Programme                       |
| 69 | Guangzhou Metro Investment Finance (BVI) Ltd.                                                                           | US\$3,000,000,000 Guaranteed Medium Term Note Programme                        |
| 70 | Guotai Junan Holdings Limited                                                                                           | USD3,000,000,000 Medium Term Note Programme                                    |
| 71 | Haitong International Finance Holdings Limited                                                                          | USD1,000,000,000 Guaranteed Medium Term Note Programme                         |
| 72 | Haitong International Securities Group Limited                                                                          | USD5,000,000,000 Medium Term Note Programme                                    |
| 73 | Haitong UT Brilliant Limited                                                                                            | USD1,000,000,000 Guaranteed Medium Term Note Programme                         |
| 74 | Henderson Land MTN Limited                                                                                              | US\$7,000,000,000 Guaranteed Medium Term Note Programme                        |
| 75 | HKCG (Finance) Limited                                                                                                  | US\$3,000,000,000 Medium Term Note Programme                                   |
| 76 | HLP Finance Limited                                                                                                     | US\$4 billion Medium Term Note Programme                                       |
| 77 | Hong Kong Science and Technology Parks Corporation                                                                      | HK\$1,707,000,000 Guaranteed Medium Term Note Programme                        |
| 78 | Horse Gallop Finance Limited                                                                                            | US\$2,000,000,000 Guaranteed Medium Term Note Programme                        |
| 79 | Horsepower Finance Limited                                                                                              | US \$1,200,000,000 Medium Term Note Programme                                  |
| 80 | Huarong Finance 2017 Co., Ltd.                                                                                          | U.S.\$14,500,000,000 Medium Term Note Programme                                |
| 81 | Huarong Finance 2019 Co., Ltd.                                                                                          | US\$2,900,000,000 Medium Term Note Programme                                   |
| 82 | Huarong Finance II Co., Ltd.                                                                                            | US\$11,000,000,000 Medium Term Note Programme                                  |
| 83 | Huarong Leasing Management Hong Kong Company Limited                                                                    | U.S.\$1,000,000,000 Medium Term Note Programme                                 |
| 84 | Huatai International Finance Limited                                                                                    | US\$3,000,000,000 Medium Term Note Programme                                   |
| 85 | Hysan (MTN) Limited                                                                                                     | US\$4,000,000,000 Medium Term Note Programme                                   |
| 86 | ICBCIL Finance Co. Limited                                                                                              | US\$20,000,000,000 Medium Term Note Programme                                  |
| 87 | ICIL Aero Treasury Limited                                                                                              | US\$20,000,000,000 Medium Term Note Programme                                  |
| 88 | Industrial and Commercial Bank of Asia (China) Limited                                                                  | U.S.\$6,000,000,000 Medium Term Note Programme                                 |
| 89 | Industrial Bank Co., Ltd.                                                                                               | U.S.\$5,000,000,000 Medium Term Note Programme                                 |
| 90 | Inventive Global Investments Limited                                                                                    | U.S.\$3,500,000,000 Medium Term Note Programme                                 |
| 91 | Inventive Global Investments Limited                                                                                    | U.S.\$3,500,000,000 Medium Term Note Programme                                 |
| 92 | Joy Treasure Assets Holdings Inc.                                                                                       | USD1,400,000,000 Medium Term Note Programme                                    |

|     |                                                                                                                       |                                                                                          |
|-----|-----------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|
| 93  | Joyous Glory Group Limited                                                                                            | US\$1,000,000,000 Medium Term Note Programme                                             |
| 94  | K.Wah International Financial Services Limited                                                                        | US\$1,000,000,000 Medium Term Note Programme                                             |
| 95  | Kunming Traffic Investment Co., Ltd.                                                                                  | US\$2,000,000,000 Medium Term Note Programme                                             |
| 96  | Lai Fung MTN Limited                                                                                                  | US\$2,000,000,000 Medium Term Note Programme                                             |
| 97  | LAI SUN MTN LIMITED                                                                                                   | US\$2,000,000,000 Medium Term Note Programme                                             |
| 98  | Legend Fortune Limited                                                                                                | US\$2,000,000,000 Medium Term Note Programme                                             |
| 99  | Midea Investment Development Company Limited                                                                          | US\$3,000,000,000 Medium Term Note Programme                                             |
| 100 | Modern Terminals Capital Limited                                                                                      | US\$1 billion Medium Term Note Programme                                                 |
| 101 | MTR Corporation (C.I.) Limited                                                                                        | US\$4 billion Medium Term Note Programme                                                 |
| 102 | New World China Land Limited                                                                                          | US\$2,000,000,000 Medium Term Note Programme                                             |
| 103 | NWD (MTN) Limited                                                                                                     | US\$7,000,000,000 Medium Term Note Programme                                             |
| 104 | NWS (MTN) Limited                                                                                                     | US\$1,000,000,000 Medium Term Note Programme                                             |
| 105 | P&R International Treasury Limited                                                                                    | U.S.\$300,000,000 Medium Term Note and Perpetual Securities Programme                    |
| 106 | PETRONAS Capital Limited and PETRONAS Energy Canada Ltd.                                                              | US\$30,000,000,000 Medium Term Note Programme                                            |
| 107 | Pingan Real Estate Capital Limited                                                                                    | US\$1,000,000,000 Medium Term Note Programme                                             |
| 108 | Pioneer Reward Limited                                                                                                | USD3,000,000,000 Medium Term Note Programme                                              |
| 109 | Power Assets Finance Limited                                                                                          | U.S.\$2,000,000,000 Medium Term Note Programme                                           |
| 110 | Proven Glory Capital Limited                                                                                          | US\$5,000,000,000 Medium Term Note Programme                                             |
| 111 | QNB Finance Ltd                                                                                                       | U.S.\$22,500,000,000 Medium Term Note Programme                                          |
| 112 | R-REIT International Finance Limited                                                                                  | US\$1,000,000,000 Guaranteed Medium Term Note Programme                                  |
| 113 | RH International Finance Limited                                                                                      | US\$1,000,000,000 Medium Term Note Programme                                             |
| 114 | Rongshi International Finance Limited                                                                                 | US\$3,000,000,000 Medium Term Note Programme                                             |
| 115 | Shanghai Pudong Development Bank Co., Ltd., Hong Kong Branch                                                          | US\$5,000,000,000 Euro Medium Term Note Programme                                        |
| 116 | Sichuan Development Holding Co., Ltd. and Yieldking Investment Limited                                                | US\$2,000,000,000 Medium Term Note Programme                                             |
| 117 | Silverbell Asia Limited                                                                                               | US\$1,000,000,000 Medium Term Note Programme                                             |
| 118 | Sino (MTN) Limited                                                                                                    | US\$1,000,000,000 Medium Term Note Programme                                             |
| 119 | Sinochem Offshore Capital Company Limited                                                                             | US\$15,000,000,000 Global Medium Term Note Programme                                     |
| 120 | Soar Great Limited                                                                                                    | US\$1,500,000,000 Guaranteed Medium Term Note Programme                                  |
| 121 | Soar Wind Ltd                                                                                                         | US\$3,500,000,000 Guaranteed Medium Term Note and Perpetual Capital Securities Programme |
| 122 | Soar Wise Limited                                                                                                     | US\$1,000,000,000 Guaranteed Medium Term Note Programme                                  |
| 123 | SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l., SPIC MTN Company Ltd.                     | U.S.\$3,000,000,000 Medium Term Note and Perpetual Securities Programme                  |
| 124 | Splendid International Investment Limited                                                                             | U.S.\$1,500,000,000 Medium Term Note Programme                                           |
| 125 | Standard Chartered Bank (Hong Kong) Limited and Standard Chartered Bank                                               | US\$50,000,000,000 Debt Issuance Programme                                               |
| 126 | State Elite Global Limited                                                                                            | US\$2,700,000,000 Medium Term Note Programme                                             |
| 127 | State Grid Overseas Investment (2016) Limited                                                                         | US\$15,000,000,000 Medium Term Note Programme                                            |
| 128 | Sun Hung Kai Properties (Capital Market) Limited                                                                      | US\$10,000,000,000 Medium Term Note Programme                                            |
| 129 | Sunlight REIT MTN Limited                                                                                             | US\$1,000,000,000 Medium Term Note Programme                                             |
| 130 | Swire Pacific MTN Financing (HK) Limited                                                                              | US\$5,000,000,000 Medium Term Note Programme                                             |
| 131 | Swire Pacific MTN Financing Limited                                                                                   | US\$5,000,000,000 Medium Term Note Programme                                             |
| 132 | Swire Properties MTN Financing Limited                                                                                | US\$3,000,000,000 Medium Term Note Programme                                             |
| 133 | TCCL (Finance) Limited                                                                                                | U.S.\$2,000,000,000 Medium Term Note Programme                                           |
| 134 | TFI Overseas Investment Limited                                                                                       | U.S.\$750,000,000 Structured Note and Medium Term Note Programme                         |
| 135 | The Hongkong and Shanghai Banking Corporation Limited                                                                 | US\$20,000,000,000 Medium Term Note Programme                                            |
| 136 | The Hong Kong Mortgage Corporation Limited                                                                            | US\$30,000,000,000 Medium Term Note Programme                                            |
| 137 | The Link Finance (Cayman) 2009 Limited and LINK QDS (SINGAPORE) PRIVATE LIMITED                                       | US\$5,000,000,000 Guaranteed Euro Medium Term Note Programme                             |
| 138 | Unican Limited                                                                                                        | US\$1,000,000,000 Guaranteed Euro Medium Term Note Programme                             |
| 139 | Urban Renewal Authority                                                                                               | US\$3 billion Medium Term Note Programme                                                 |
| 140 | Vanke Real Estate (Hong Kong) Company Limited                                                                         | US\$9,500,000,000 Medium Term Note Programme                                             |
| 141 | Westwood Group Holdings Limited                                                                                       | US\$3,000,000,000 Medium Term Note Programme                                             |
| 142 | Wharf Finance (BVI) Limited, Wharf Finance (BVI) No.1 Limited, Wharf Finance (No.1) Limited and Wharf Finance Limited | US\$7 billion Medium Term Note Programme                                                 |
| 143 | Wharf REIC Finance (BVI) Limited                                                                                      | U.S.\$5,000,000,000 Medium Term Note Programme                                           |
| 144 | Wheelock MTN (BVI) Limited                                                                                            | US\$5 billion Medium Term Note Programme                                                 |
| 145 | Wuhan Metro Group Co., Ltd.                                                                                           | U.S.\$1,000,000,000 Medium Term Note Programme                                           |
| 146 | Xingcheng (BVI) Limited                                                                                               | US\$1,500,000,000 Medium Term Note Programme                                             |
| 147 | Yuxiu REIT MTN Company Limited                                                                                        | US\$1,500,000,000 Medium Term Note Programme                                             |
| 148 | Zhejiang Energy International Limited                                                                                 | USD2,000,000,000 Medium Term Note Programme                                              |

(c)第2類豁免：適用於就某一特定發行的債務證券現時在香港聯合交易所有限公司上市的法團（並無股份在香港聯合交易所有限公司上市）

| 名稱                                                        | 聯交所<br>股份代號 |
|-----------------------------------------------------------|-------------|
| 1 Agricultural Development Bank of China                  | 85924       |
| 2 Airport Authority                                       | 4701        |
| 3 Alibaba Group Holding Limited                           | 5845        |
| 4 Anhui Boyang Urban Construction Holding Group Co., Ltd. | 5429        |
| 5 Anhui Transportation Holding Group (H.K.) Limited       | 40815       |
| 6 Anhui Xihu Investment Holding Group Co., Ltd.           | 84556       |
| 7 Anhui Xihu Investment Holding Group Co., Ltd.           | 5351        |
| 8 Anji Qicai Lingfeng Rural Tourism Investment Co., Ltd   | 4531        |
| 9 AVIC International Finance & Investment Limited         | 40388       |
| 10 AVIC International Finance & Investment Limited        | 40563       |
| 11 AVIC International Finance & Investment Limited        | 40919       |
| 12 AVIC International Finance & Investment Limited        | 5012        |
| 13 Azure Orbit III International Finance Limited          | 5620        |
| 14 Bank of Communications (Hong Kong) Limited             | 40176       |

|     |                                                                            |       |
|-----|----------------------------------------------------------------------------|-------|
| 15  | Bank of Communications (Hong Kong) Limited                                 | 40751 |
| 16  | Bauhinia ILBS 1 Limited                                                    | 5797  |
| 17  | Bauhinia ILBS 1 Limited                                                    | 5790  |
| 18  | Bauhinia ILBS 1 Limited                                                    | 5791  |
| 19  | Bauhinia ILBS 1 Limited                                                    | 5792  |
| 20  | Bauhinia ILBS 1 Limited                                                    | 5795  |
| 21  | Bauhinia ILBS 2 Limited                                                    | 5187  |
| 22  | Bauhinia ILBS 2 Limited                                                    | 5188  |
| 23  | Bauhinia ILBS 2 Limited                                                    | 5189  |
| 24  | Bauhinia ILBS 2 Limited                                                    | 5192  |
| 25  | Bauhinia ILBS 2 Limited                                                    | 5193  |
| 26  | BCEG (HongKong) Company Limited                                            | 40743 |
| 27  | Bengbu Gaoxin Investment Group Co., Ltd.                                   | 5412  |
| 28  | Binzhou Bincheng Economic Development Investment Company Limited           | 5420  |
| 29  | Black Peony (Hong Kong) Holding Co., Limited                               | 5839  |
| 30  | Blossom Joy Limited                                                        | 40426 |
| 31  | Blossom Joy Limited                                                        | 40427 |
| 32  | Blue Bright Limited                                                        | 40596 |
| 33  | Blue Skyview Company Limited                                               | 5364  |
| 34  | Bluestar Finance Holdings Limited                                          | 4550  |
| 35  | Bluestar Finance Holdings Limited                                          | 5089  |
| 36  | Bluestar Finance Holdings Limited                                          | 5599  |
| 37  | Bluestar Finance Holdings Limited                                          | 40274 |
| 38  | BNY Trust Company of Australia Limited                                     | 5766  |
| 39  | BNY Trust Company of Australia Limited                                     | 40142 |
| 40  | BNY Trust Company of Australia Limited                                     | 40878 |
| 41  | Bright Galaxy International Limited                                        | 40762 |
| 42  | Cable and Wireless International Finance B.V.                              | 1077  |
| 43  | Cable and Wireless International Finance B.V.                              | 1078  |
| 44  | Cathay Pacific Finance III Limited                                         | 40580 |
| 45  | CCB Life Insurance Company Limited                                         | 5437  |
| 46  | CCCI Treasure Limited                                                      | 5893  |
| 47  | CCCI Treasure Limited                                                      | 40157 |
| 48  | CCCI Treasure Limited                                                      | 40158 |
| 49  | CCCI Treasure Limited                                                      | 84546 |
| 50  | CCCI Treasure Limited                                                      | 84553 |
| 51  | CCCI Treasure Limited                                                      | 84578 |
| 52  | CCTHK 2021 Ltd.                                                            | 4411  |
| 53  | Celestial Dynasty Limited                                                  | 5594  |
| 54  | CELESTIAL MILES LIMITED                                                    | 5706  |
| 55  | CENTRAL INTERNATIONAL DEVELOPMENT (BVI) LIMITED                            | 5157  |
| 56  | Central Plaza Development Ltd.                                             | 5919  |
| 57  | CGNPC International Limited                                                | 5059  |
| 58  | CGNPC International Limited                                                | 5154  |
| 59  | Chalco Hong Kong Investment Company Limited                                | 5920  |
| 60  | Chalco Hong Kong Investment Company Limited                                | 5727  |
| 61  | Chalco Hong Kong Investment Company Limited                                | 5985  |
| 62  | Chalco Hong Kong Investment Company Limited                                | 40784 |
| 63  | Chalieco Hong Kong Corporation Limited                                     | 5321  |
| 64  | Chalieco Hong Kong Corporation Limited                                     | 5702  |
| 65  | Chalieco Hong Kong Corporation Limited                                     | 40070 |
| 66  | Champion Path Holdings Limited                                             | 40559 |
| 67  | Champion Path Holdings Limited                                             | 40560 |
| 68  | Chang Development International Limited                                    | 40160 |
| 69  | Chang Development International Limited                                    | 5042  |
| 70  | Chang Development International Limited                                    | 5481  |
| 71  | Changde Urban Construction and Investment Group Co., Ltd.                  | 5439  |
| 72  | Changde Urban Construction and Investment Group Co., Ltd.                  | 5892  |
| 73  | Changde Urban Development Group Co., Ltd.                                  | 84476 |
| 74  | Changde Urban Development Group Co., Ltd.                                  | 84494 |
| 75  | Changde Urban Development Group Co., Ltd.                                  | 84567 |
| 76  | Changxing Urban Construction Investment Group Co., Ltd.                    | 4552  |
| 77  | Chengda International Co., Limited                                         | 5663  |
| 78  | Chengda International Co., Limited                                         | 84456 |
| 79  | Chengdu Aerotropolis City Development Group Co., Ltd.                      | 5784  |
| 80  | Chengdu Aerotropolis City Development Group Co., Ltd.                      | 84534 |
| 81  | Chengdu Airport Xingcheng Investment Group Co., Ltd.                       | 5361  |
| 82  | Chengdu Airport Xingcheng Investment Group Co., Ltd.                       | 5469  |
| 83  | Chengdu Communications Investment Group Corporation Limited                | 5062  |
| 84  | Chengdu Communications Investment Group Corporation Limited                | 5297  |
| 85  | Chengdu Communications Investment Group Corporation Limited                | 5298  |
| 86  | CHENGDU DONGJIN HUAITOU RONGHE INDUSTRY INVESTMENT CO., LTD.               | 84513 |
| 87  | Chengdu ETDZ State-owned Investment Group Co., Ltd.                        | 5313  |
| 88  | Chengdu ETDZ State-owned Investment Group Co., Ltd.                        | 5283  |
| 89  | Chengdu Hi-Tech Investment Group Co., Ltd.                                 | 40886 |
| 90  | Chengdu Jianjiang Investment Group Co., Ltd.                               | 5436  |
| 91  | Chengdu Jianjiang Investment Group Co., Ltd.                               | 5446  |
| 92  | Chengdu Jianjiang Investment Group Co., Ltd.                               | 5452  |
| 93  | Chengdu Jiaozi Financial Holding Group Co., Ltd.                           | 40645 |
| 94  | CHENGDU SINO FRENCH ECOLOGICAL PARK INVESTMENT DEVELOPMENT CO., LTD.       | 5559  |
| 95  | CHENGDU SINO FRENCH ECOLOGICAL PARK INVESTMENT DEVELOPMENT CO., LTD.       | 5675  |
| 96  | Chengdu Tianfu New Area Investment Group Co., Ltd                          | 4569  |
| 97  | Chengdu Wenjiang Xingrongxi City Operation Group Co., Ltd                  | 5932  |
| 98  | Chengdu Wuhou Industrial Development Investment Management Group Co., Ltd. | 84589 |
| 99  | Chengdu Xingjin Construction Investment Group Co., Ltd.                    | 85021 |
| 100 | Chengdu Xinjin City Industry Development Group Company Ltd.                | 40180 |
| 101 | Chengdu Xinjin City Industry Development Group Company Ltd.                | 84474 |
| 102 | Chengdu Xisheng Investment Group Co., Ltd.                                 | 5500  |

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| 103 | Chengdu Xisheng Investment Group Co., Ltd.                                | 5840  |
| 104 | Chengdu Yunlai Investment Group Co., Ltd.                                 | 40226 |
| 105 | Cheung Kong Bond Securities (02) Limited                                  | 4559  |
| 106 | Cheung Kong Bond Securities (03) Limited                                  | 4595  |
| 107 | Cheung Kong Infrastructure Finance (BVI) Limited                          | 40698 |
| 108 | Cheung Kong Infrastructure Finance (BVI) Limited                          | 40789 |
| 109 | Chibi City Development Group Co., Ltd.                                    | 84505 |
| 110 | Chibi City Development Group Co., Ltd.                                    | 84516 |
| 111 | China CITIC Bank International Limited                                    | 5199  |
| 112 | China CITIC Bank International Limited                                    | 5728  |
| 113 | China CITIC Bank International Limited                                    | 5831  |
| 114 | China CITIC Bank International Limited                                    | 4594  |
| 115 | China Clean Energy Development Limited                                    | 5568  |
| 116 | China Development Bank Corporation                                        | 85904 |
| 117 | China Development Bank Corporation                                        | 85905 |
| 118 | China Development Bank Corporation                                        | 85934 |
| 119 | China Gezhouba Group (Hong Kong) Overseas Investment Co., Limited         | 40493 |
| 120 | China Great Wall International Holdings IV Limited                        | 5620  |
| 121 | China Great Wall International Holdings V Limited                         | 40350 |
| 122 | China Great Wall International Holdings V Limited                         | 40930 |
| 123 | China Great Wall International Holdings VI Limited                        | 5079  |
| 124 | China Great Wall International Holdings VI Limited                        | 5080  |
| 125 | China Great Wall International Holdings VI Limited                        | 5504  |
| 126 | China Huadian Overseas Development 2018 Limited                           | 40261 |
| 127 | China Huadian Overseas Development Management Company Limited             | 4533  |
| 128 | China Huaneng Group (Hong Kong) Treasury Management Holding Limited       | 40095 |
| 129 | China Huaneng Group (Hong Kong) Treasury Management Holding Limited       | 40502 |
| 130 | China Huaneng Group (Hong Kong) Treasury Management Holding Limited       | 40503 |
| 131 | China Huaneng Group (Hong Kong) Treasury Management Holding Limited       | 5089  |
| 132 | China Huaneng Group (Hong Kong) Treasury Management Holding Limited       | 40543 |
| 133 | China Huaneng Group (Hong Kong) Treasury Management Holding Limited       | 40544 |
| 134 | China Life Insurance (Overseas) Co., Ltd                                  | 5250  |
| 135 | China Life Insurance (Overseas) Company Limited, Hong Kong Branch         | 5832  |
| 136 | China Merchants Finance Company Limited                                   | 5555  |
| 137 | China Minmetals Corporation                                               | 4442  |
| 138 | China Minmetals Corporation                                               | 5009  |
| 139 | China Overseas Finance (Cayman) III Limited                               | 5989  |
| 140 | China Overseas Finance (Cayman) V Limited                                 | 4580  |
| 141 | China Overseas Finance (Cayman) VI Limited                                | 5760  |
| 142 | China Overseas Finance (Cayman) VII Limited                               | 4476  |
| 143 | China Overseas Grand Oceans Finance IV (Cayman) Limited                   | 40592 |
| 144 | China Railway XunJie Co., Limited                                         | 5692  |
| 145 | China Resources Power East Foundation Co., Ltd.                           | 4516  |
| 146 | China Southern Power Grid International Finance (BVI 2018) Co., Limited   | 5167  |
| 147 | China Southern Power Grid International Finance (BVI) Co., Limited        | 4598  |
| 148 | China State Construction Finance (Cayman) I Limited                       | 5462  |
| 149 | China State Construction Finance (Cayman) I Limited                       | 40712 |
| 150 | China State Construction Finance (Cayman) II Limited                      | 5038  |
| 151 | China State Construction Finance (Cayman) III Limited                     | 40083 |
| 152 | Chinalco Capital Holdings Limited                                         | 5745  |
| 153 | Chinalco Capital Holdings Limited                                         | 40706 |
| 154 | Chinalco Capital Holdings Limited                                         | 4497  |
| 155 | Chinalco Capital Holdings Limited                                         | 5152  |
| 156 | Chindata Group Holdings Limited                                           | 5697  |
| 157 | Chiyu Banking Corporation Limited                                         | 5034  |
| 158 | Chiyu Banking Corporation Limited                                         | 5109  |
| 159 | Chiyu Banking Corporation Limited                                         | 5564  |
| 160 | Chongqing Fuling District New Urban Area Development (Group) Co., Ltd.    | 5509  |
| 161 | Chongqing International Logistics Hub Park Construction Co., Ltd.         | 84561 |
| 162 | Chongqing International Logistics Hub Park Construction Co., Ltd.         | 5206  |
| 163 | Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.       | 5699  |
| 164 | Chongqing Southern New City Industrial Investment Group Co., Ltd.         | 5217  |
| 165 | Chongqing Wansheng Industrial Park Development and Construction Co., Ltd. | 84465 |
| 166 | Chongqing Wansheng Industrial Park Development and Construction Co., Ltd. | 84472 |
| 167 | Chongqing Xinshuangquan Urban Construction and Development Co., Ltd.      | 84464 |
| 168 | Chongqing Xinshuangquan Urban Construction and Development Co., Ltd.      | 84493 |
| 169 | Chouzhou International Investment Limited                                 | 5814  |
| 170 | Chouzhou International Investment Limited                                 | 5390  |
| 171 | Cixi State-owned Assets Investment Holding Co., Ltd.                      | 5341  |
| 172 | Cixi State-owned Assets Overseas Development Company Limited              | 40272 |
| 173 | CLP Power HK Finance Ltd                                                  | 40044 |
| 174 | CLP Power HK Finance Ltd                                                  | 5400  |
| 175 | CLP Power HK Finance Ltd.                                                 | 5740  |
| 176 | CMHI Finance (BVI) Co., Ltd                                               | 5135  |
| 177 | CMHI Finance (BVI) Co., Ltd                                               | 40408 |
| 178 | CMHI Finance (BVI) Co., Ltd                                               | 40409 |
| 179 | CMHI Finance (BVI) Co., Ltd                                               | 5275  |
| 180 | CNOOC Finance (2003) Limited                                              | 2568  |
| 181 | CNOOC Finance (2011) Limited                                              | 4507  |
| 182 | CNOOC Finance (2012) Limited                                              | 4547  |
| 183 | CNOOC Finance (2013) Limited                                              | 5942  |
| 184 | CNOOC Finance (2013) Limited                                              | 40016 |
| 185 | CNOOC Finance (2015) Australia Pty Ltd                                    | 5507  |
| 186 | CNOOC Finance (2015) U.S.A. LLC                                           | 4478  |
| 187 | CNOOC Nexen Finance (2014) ULC                                            | 5739  |
| 188 | CNPC (HK) Overseas Capital Ltd.                                           | 4514  |
| 189 | CNPC Global Capital Limited                                               | 84485 |
| 190 | Contemporary Ruiding Development Limited                                  | 40381 |

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| 191 | Contemporary Ruiding Development Limited                              | 40382 |
| 192 | Contemporary Ruiding Development Limited                              | 40833 |
| 193 | COSL Singapore Capital Ltd.                                           | 40278 |
| 194 | CRCC Chengan Limited                                                  | 5581  |
| 195 | CRCC Hean Limited                                                     | 40686 |
| 196 | CRCC Hean Limited                                                     | 40687 |
| 197 | CRCC Huayuan Limited                                                  | 84570 |
| 198 | CRCC Yupeng Limited                                                   | 5778  |
| 199 | CSCEC Finance (Cayman) II Limited                                     | 5233  |
| 200 | CSSC Capital 2015 Limited                                             | 40146 |
| 201 | CSSC Capital 2015 Limited                                             | 40778 |
| 202 | Dah Sing Bank, Limited                                                | 5316  |
| 203 | Dah Sing Bank, Limited                                                | 5524  |
| 204 | Dazhou Kaisheng Construction Development Group Co., Ltd.              | 5471  |
| 205 | Dazhou Kaisheng Construction Development Group Co., Ltd.              | 5809  |
| 206 | Deep Development 2025 Limited                                         | 5570  |
| 207 | Deqing Construction & Development Group Co., Ltd.                     | 4554  |
| 208 | Deqing Construction & Development Group Co., Ltd.                     | 5125  |
| 209 | Deqing County Cultural Tourism Development Group Co., Ltd.            | 5438  |
| 210 | Deyang Development Holding Group Co., Ltd.                            | 5659  |
| 211 | Dianjian Haixing Limited                                              | 5816  |
| 212 | DianJian Haiyu Limited                                                | 40396 |
| 213 | DianJian Haiyu Limited                                                | 5144  |
| 214 | DianJian Haiyu Limited                                                | 5197  |
| 215 | Dongtai Chengxing Investment Development Co., Ltd.                    | 5740  |
| 216 | DONGTAI HUIMIN URBANIZATION CONSTRUCTION GROUP CO., LTD.              | 5458  |
| 217 | DONGTAI HUIMIN URBANIZATION CONSTRUCTION GROUP CO., LTD.              | 5586  |
| 218 | Dongxing Voyage Company Limited                                       | 5145  |
| 219 | Dongyang State Owned Assets Investment Co., Ltd.                      | 4329  |
| 220 | Dujiangyan Minjiang Water Group Co., Ltd.                             | 5658  |
| 221 | Dujiangyan Urban and Rural Construction Group Co., Ltd.               | 84463 |
| 222 | Dujiangyan Urban and Rural Construction Group Co., Ltd.               | 84483 |
| 223 | Ease Trade Global Limited                                             | 40452 |
| 224 | Elect Global Investments Limited                                      | 40173 |
| 225 | Elect Global Investments Limited                                      | 40353 |
| 226 | ENN Clean Energy International Investment Limited                     | 40678 |
| 227 | Eve Battery Investment Limited                                        | 40926 |
| 228 | Excel Capital Global Limited                                          | 4496  |
| 229 | Expand Lead Limited                                                   | 40768 |
| 230 | Foshan Gaoming Construction Investment Group Co., Ltd.                | 5480  |
| 231 | FPC Resources Limited                                                 | 40373 |
| 232 | Franshion Brilliant Limited                                           | 5030  |
| 233 | Franshion Brilliant Limited                                           | 5229  |
| 234 | Franshion Brilliant Limited                                           | 5347  |
| 235 | Franshion Brilliant Limited                                           | 5447  |
| 236 | Franshion Brilliant Limited                                           | 5472  |
| 237 | Franshion Brilliant Limited                                           | 40641 |
| 238 | Fugao Wantai Group Co., Ltd.                                          | 5437  |
| 239 | Fujian Jinjiang Construction Investment Holding Group Co., Ltd.       | 84520 |
| 240 | Fujian Jinjiang Construction Investment Holding Group Co., Ltd.       | 84552 |
| 241 | Fujian Jinshang Holding Group Co., Ltd.                               | 5671  |
| 242 | Fujian Shishi State-owned Capital Operation Group Co., Ltd.           | 5470  |
| 243 | Fujian Zhanglong Group Co., Ltd.                                      | 5938  |
| 244 | Fujian Zhangzhou City Investment Group Co., Ltd.                      | 84469 |
| 245 | Fuqing State-owned Assets Operation Investment Group Co., Ltd.        | 84581 |
| 246 | Fuyuan Worldwide Limited                                              | 5835  |
| 247 | Fuyuan Worldwide Limited                                              | 5323  |
| 248 | Fuzhou Digital Economy Investment Group Co., Ltd                      | 5529  |
| 249 | FWD Group Limited                                                     | 5019  |
| 250 | FWD Group Limited                                                     | 5221  |
| 251 | FWD Group Limited                                                     | 5793  |
| 252 | FWD Limited                                                           | 4416  |
| 253 | FWD Limited                                                           | 5351  |
| 254 | Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.  | 84454 |
| 255 | Ganzhou Development Investment Holding Group Co., Ltd.                | 5474  |
| 256 | Ganzhou Jiankong Investment Holding Group Co., Ltd.                   | 4567  |
| 257 | Ganzhou Jiankong Investment Holding Group Co., Ltd.                   | 4586  |
| 258 | Ganzhou Jiankong Investment Holding Group Co., Ltd.                   | 4588  |
| 259 | Ganzhou Urban Investment Holding Group Co., Ltd.                      | 5526  |
| 260 | Ganzhou Urban Investment Holding Group Co., Ltd.                      | 5715  |
| 261 | Ganzhou Urban Investment Holding Group Co., Ltd.                      | 5717  |
| 262 | Ganzhou Urban Investment Holding Group Co., Ltd.                      | 5181  |
| 263 | Gaoxin International Investment Co., Ltd                              | 5649  |
| 264 | GF FINANCIAL HOLDINGS BVI LTD.                                        | 5467  |
| 265 | GF FINANCIAL HOLDINGS BVI LTD.                                        | 85015 |
| 266 | GF FINANCIAL HOLDINGS BVI LTD.                                        | 5203  |
| 267 | GOHL Capital Limited                                                  | 5352  |
| 268 | Gold Pole Capital Company Limited                                     | 5034  |
| 269 | Guangxi Communications Investment Group Corporation Ltd.              | 5609  |
| 270 | Guangxi Financial Investment Group Co., Ltd.                          | 5949  |
| 271 | Guangxi Liuzhou Dongcheng Investment Development Group Co., Ltd.      | 5543  |
| 272 | Guangzhou Development District Financial Holdings Group Co., Ltd.     | 4407  |
| 273 | Guangzhou Development District Holding Group Limited                  | 40148 |
| 274 | Guangzhou Development District Investment Group Co., Ltd              | 5716  |
| 275 | Guilin ETDZ Investment Holding Co., Ltd.                              | 5653  |
| 276 | Guizhou Shuanglong Airport Development & Investment (Group) Co., Ltd. | 5735  |
| 277 | Guohui International (BVI) Co., Ltd.                                  | 40362 |
| 278 | Guoneng Environmental Protection Investment Group Co., Limited        | 5561  |

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| 279 | Guoren Property and Casualty Insurance Co., Ltd.                         | 40694 |
| 280 | Haichuan International Investment Co., Ltd.                              | 4512  |
| 281 | Haichuan International Investment Co., Ltd.                              | 5236  |
| 282 | Hainan State Farms International Capital (HK) Co., Limited               | 4326  |
| 283 | Haixi Overseas Investment Company Limited                                | 4557  |
| 284 | Haixi Overseas Investment Company Limited                                | 5201  |
| 285 | HaiYan Coastline Co., Limited                                            | 5842  |
| 286 | Hangzhou Fuyang Chengtuo Group (HK) Limited                              | 40738 |
| 287 | Hangzhou Qiantang New Area Construction and Investment Group Co., Ltd.   | 5825  |
| 288 | Hanrui Overseas Investment Co Ltd                                        | 5416  |
| 289 | Hebi Investment Group Co., Ltd.                                          | 84503 |
| 290 | Henan Airport Investment Group Co., Ltd.                                 | 5479  |
| 291 | HENAN RAILWAY CONSTRUCTION & INVESTMENT GROUP CO., LTD.                  | 5046  |
| 292 | HENAN RAILWAY CONSTRUCTION & INVESTMENT GROUP CO., LTD.                  | 5375  |
| 293 | Henan Water Conservancy Investment Group Co., Ltd                        | 40387 |
| 294 | Heng Yuan International Company Ltd                                      | 5392  |
| 295 | Hengjian International Investment Limited                                | 5684  |
| 296 | Hong Kong Sukuk 2017 Limited                                             | 4233  |
| 297 | HongKong Xiangyu Investment Co., Limited                                 | 5544  |
| 298 | HongKong Xiangyu Investment Co., Limited                                 | 85034 |
| 299 | Huafa 2020 I Company Limited                                             | 40455 |
| 300 | Huafa 2021 I Company Limited                                             | 40806 |
| 301 | Huafa 2024 I Company Limited                                             | 84542 |
| 302 | Huafa 2024 I Company Limited                                             | 85000 |
| 303 | Huai'an Investment Holdings Group Co., Ltd.                              | 85004 |
| 304 | Huai'an Traffic Holding Group Co., Ltd.                                  | 5584  |
| 305 | Hualu International Finance (BVI) Limited                                | 40889 |
| 306 | Huaneng Hong Kong Capital Limited                                        | 5000  |
| 307 | Huangshan Tourism Group Co., Ltd.                                        | 40364 |
| 308 | Huangshan Tourism Group Co., Ltd.                                        | 5711  |
| 309 | Huantaihu International Investment Co., Ltd.                             | 5691  |
| 310 | HUBEI NEW TONGDU URBAN INVESTMENT DEVELOPMENT GROUP CO., LTD.            | 5820  |
| 311 | Hubei Science & Technology Investment Group (Hong Kong) Company Limited  | 40440 |
| 312 | HUBEI UNITED DEVELOPMENT INVESTMENT GROUP CO., LTD.                      | 5442  |
| 313 | Hunan Xiangjiang New Area Development Group Co., Ltd.                    | 40890 |
| 314 | Huzhou City Investment Development Group Co., Ltd.                       | 40900 |
| 315 | Huzhou City Investment Development Group Co., Ltd.                       | 5800  |
| 316 | Huzhou Moganshan High-tech Group Co., Ltd.                               | 5270  |
| 317 | Hyd International Holding Limited                                        | 40664 |
| 318 | IFC Development (Corporate Treasury) Limited                             | 6000  |
| 319 | IFC Development (Corporate Treasury) Limited                             | 40198 |
| 320 | Industrial and Commercial Bank of China (Asia) Limited                   | 5686  |
| 321 | Industrial and Commercial Bank of China (Macau) Limited                  | 5750  |
| 322 | Ji'An Chengtuo Holding Group Co., Ltd                                    | 4305  |
| 323 | Ji'An Chengtuo Holding Group Co., Ltd                                    | 4517  |
| 324 | Jiangmen Hi-tech Industrial Park Co., Ltd.                               | 85005 |
| 325 | Jiangmen Hi-tech Industrial Park Co., Ltd.                               | 85017 |
| 326 | Jiangsu Fuxuan Industrial Co., Ltd.                                      | 5779  |
| 327 | Jiangsu Jurong Investment Group Co., Ltd                                 | 5401  |
| 328 | Jiangsu Jurong Investment Group Co., Ltd                                 | 5428  |
| 329 | Jiangsu Jurong Investment Group Co., Ltd                                 | 5705  |
| 330 | Jiangsu Tenghai Investment Holding Group Co., Ltd.                       | 5531  |
| 331 | Jiangsu Zhongguancun Holding Group (International) Co Ltd                | 5547  |
| 332 | Jiangsu Zhongyang Clean Energy Development Co., Ltd.                     | 84510 |
| 333 | Jiangsu Zhongyang Clean Energy Development Co., Ltd.                     | 84511 |
| 334 | Jiangsu Zhongyang Clean Energy Development Co., Ltd.                     | 5198  |
| 335 | Jiangyin State-owned Assets Holding (Group) Co., Ltd.                    | 84458 |
| 336 | Jiaxing City Investment and Development Group Co., Ltd.                  | 84460 |
| 337 | JIC Zhixin Limited                                                       | 5032  |
| 338 | JIC Zhixin Limited                                                       | 40360 |
| 339 | JIC Zhixin Limited                                                       | 40361 |
| 340 | Jinan Economic Development Investment Co., Ltd.                          | 5540  |
| 341 | Jinan Hi-tech Holding Group Co., Ltd.                                    | 5402  |
| 342 | Jinan Hi-tech Holding Group Co., Ltd.                                    | 5239  |
| 343 | Jinan Hi-tech Holding Group Co., Ltd.                                    | 5707  |
| 344 | JINAN HI-TECH INTERNATIONAL (CAYMAN) INVESTMENT DEVELOPMENT CO., LIMITED | 5605  |
| 345 | JINAN HI-TECH INTERNATIONAL (CAYMAN) INVESTMENT DEVELOPMENT CO., LIMITED | 5934  |
| 346 | Jinan Lixia Holding Group Co., Ltd.                                      | 5928  |
| 347 | Jinan Urban Construction International Investment Co., Limited           | 5282  |
| 348 | Jincheng State-owned Capital Investment Operation Co., Ltd.              | 5514  |
| 349 | JINGDEZHEN HESHENG INDUSTRIAL INVESTMENT DEVELOPMENT CO., LTD.           | 84466 |
| 350 | Jingfa Overseas Investment Company Limited                               | 5655  |
| 351 | Jingzhou Municipal Urban Development Holding Group Co., Ltd.             | 84532 |
| 352 | Jingzhou Municipal Urban Development Holding Group Co., Ltd.             | 5180  |
| 353 | Jining City Development Capital Holding Co., Ltd.                        | 84531 |
| 354 | Jining City Development Capital Holding Co., Ltd.                        | 84535 |
| 355 | Jinjiang Road and Bridge Construction & Development Co., Ltd.            | 5445  |
| 356 | Jinjiang Road and Bridge Construction & Development Co., Ltd.            | 5595  |
| 357 | Jinko Power Technology Co., Ltd                                          | 5539  |
| 358 | Jinshine International Co., Ltd                                          | 5872  |
| 359 | JL MAG Green Tech (Hong Kong) Company Limited                            | 5834  |
| 360 | JOY DELIGHT INTERNATIONAL LIMITED                                        | 84529 |
| 361 | Joy Treasure Assets Holdings Inc.                                        | 5903  |
| 362 | Joy Treasure Assets Holdings Inc.                                        | 40000 |
| 363 | Joy Treasure Assets Holdings Inc.                                        | 40467 |
| 364 | Joy Treasure Assets Holdings Inc.                                        | 40468 |
| 365 | Kaifeng Development and Investment Group Co., Ltd.                       | 5606  |
| 366 | King Talent Management Limited                                           | 5026  |

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| 367 | Knowledge City (Guangzhou) Investment Group Co., Ltd.                                     | 5465  |
| 368 | Korean Reinsurance Company                                                                | 5815  |
| 369 | Kunming Anju Group Co., Ltd.                                                              | 5020  |
| 370 | Kunming Rail Transit Group Co., Ltd.                                                      | 5661  |
| 371 | Laohekou Highway International Limited                                                    | 84547 |
| 372 | Laohekou Highway International Limited                                                    | 84579 |
| 373 | LICHENG INTERNATIONAL DEVELOPMENT CO., LTD.                                               | 5549  |
| 374 | LICHENG INTERNATIONAL DEVELOPMENT CO., LTD.                                               | 5816  |
| 375 | LINGANG OASIS INC.                                                                        | 84524 |
| 376 | LinJiang Investment and Development (BVI) Co., Ltd                                        | 5644  |
| 377 | Link CB Limited                                                                           | 5662  |
| 378 | Lintou Overseas Co., Ltd                                                                  | 84478 |
| 379 | Lintou Overseas Co., Ltd                                                                  | 5410  |
| 380 | Lishui Economic and Technological Development Zone Industrial Development Group Co., Ltd. | 5406  |
| 381 | Longchuan Overseas Investment Co., Ltd.                                                   | 84473 |
| 382 | Longchuan Overseas Investment Co., Ltd.                                                   | 84484 |
| 383 | Longnan Tourism Development and Investment Group Co., Ltd.                                | 5777  |
| 384 | Longnan Tourism Development and Investment Group Co., Ltd.                                | 5709  |
| 385 | LS Finance (2017) Limited                                                                 | 40731 |
| 386 | Luso International Banking Limited                                                        | 5078  |
| 387 | Luso International Banking Limited                                                        | 5817  |
| 388 | Luso International Banking Limited                                                        | 6007  |
| 389 | Malaysia Sovereign Sukuk Berhad                                                           | 5895  |
| 390 | Malaysia Sukuk Global Berhad                                                              | 5633  |
| 391 | Malaysia Sukuk Global Berhad                                                              | 5634  |
| 392 | Malaysia Wakala Sukuk Berhad                                                              | 40662 |
| 393 | Malaysia Wakala Sukuk Berhad                                                              | 40663 |
| 394 | Maoming Port Group Co., Ltd.                                                              | 84500 |
| 395 | MCC Holding (Hong Kong) Corporation Limited                                               | 4483  |
| 396 | MCC Holding (Hong Kong) Corporation Limited                                               | 40188 |
| 397 | MCC Holding (Hong Kong) Corporation Limited                                               | 40653 |
| 398 | Mengcheng Development Zone Xingmeng Investment Co., Ltd.                                  | 84438 |
| 399 | Mianyang Investment Holding (Group) Co., Ltd.                                             | 5408  |
| 400 | Mianyang Investment Holding (Group) Co., Ltd.                                             | 5730  |
| 401 | Mianyang Science & Technology City Development & Investment (Group) Co., Ltd.             | 84480 |
| 402 | Mianyang Science & Technology City Development & Investment (Group) Co., Ltd.             | 84486 |
| 403 | Mianyang Science & Technology City Development & Investment (Group) Co., Ltd.             | 84504 |
| 404 | Midea Investment Development Company Limited                                              | 4501  |
| 405 | Minghu International (BVI) Investment Development Co., Limited                            | 5213  |
| 406 | MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED                                                 | 5689  |
| 407 | Minmetals Bounteous Finance (BVI) Limited                                                 | 40175 |
| 408 | MOON KING LIMITED                                                                         | 85018 |
| 409 | Nanchang Jinkai Group Co., Ltd.                                                           | 4535  |
| 410 | Nanchang Jinkai Group Co., Ltd.                                                           | 5009  |
| 411 | Nanjing Yangzi State-owned Assets Investment Group Co., Ltd                               | 5054  |
| 412 | Nanjing Yangzi State-owned Investment Group Co., Ltd.                                     | 5614  |
| 413 | Nanning Communications Investment Group Co., Ltd.                                         | 4578  |
| 414 | Nanxun Communications Investment Group Co., Ltd.                                          | 5303  |
| 415 | Nanyang Commercial Bank, Limited                                                          | 5214  |
| 416 | Nanyang Commercial Bank, Limited                                                          | 40069 |
| 417 | Nanyang Commercial Bank, Limited                                                          | 5207  |
| 418 | Nanyang Commercial Bank, Limited                                                          | 5752  |
| 419 | Nanyang Commercial Bank, Limited                                                          | 5147  |
| 420 | Neijiang Investment Holding Group Co., Ltd.                                               | 5822  |
| 421 | New Hope Group Co., Ltd.                                                                  | 84475 |
| 422 | New Hope Group Co., Ltd.                                                                  | 84506 |
| 423 | New Town Construction Investment (Jizhou, Tianjin) Co., Ltd.                              | 5599  |
| 424 | Ningbo Fenghua Investment Group Co., Ltd.                                                 | 84568 |
| 425 | Ningbo Haishu Development and Construction Investment (Group) Co., Ltd.                   | 5267  |
| 426 | Ningbo Yincheng Group Co., Ltd.                                                           | 5137  |
| 427 | NWD Finance (BVI) Limited                                                                 | 4561  |
| 428 | NWD Finance (BVI) Limited                                                                 | 5856  |
| 429 | NWD Finance (BVI) Limited                                                                 | 40262 |
| 430 | NWD Finance (BVI) Limited                                                                 | 40504 |
| 431 | NWD Finance (BVI) Limited                                                                 | 40711 |
| 432 | NWD Finance (BVI) Limited                                                                 | 5312  |
| 433 | Ocean Laurel Co. Limited                                                                  | 40414 |
| 434 | Omni Ireland Credit Card Securitization T3 DAC                                            | 40476 |
| 435 | Omni Ireland Credit Card Securitization T3 DAC                                            | 40477 |
| 436 | Omni Ireland Credit Card Securitization T3 DAC                                            | 40478 |
| 437 | Omni Ireland Credit Card Securitization T3 DAC                                            | 40479 |
| 438 | Omni Ireland Credit Card Securitization T3 DAC                                            | 40480 |
| 439 | Omni Ireland Credit Card Securitization T3 DAC                                            | 40481 |
| 440 | Omni Ireland Credit Card Securitization T3 DAC                                            | 40482 |
| 441 | Omni Ireland Credit Card Securitization T3 DAC                                            | 40483 |
| 442 | Omni Ireland Credit Card Securitization T3 DAC                                            | 40484 |
| 443 | Omni Ireland Credit Card Securitization T3 DAC                                            | 40485 |
| 444 | Omni Ireland Credit Card Securitization T3 DAC                                            | 40486 |
| 445 | Omni Ireland Credit Card Securitization T3 DAC                                            | 40487 |
| 446 | Omni Ireland Credit Card Securitization T3 DAC                                            | 40488 |
| 447 | Omni Ireland Credit Card Securitization T3 DAC                                            | 40489 |
| 448 | Orient ZhiSheng Limited                                                                   | 5574  |
| 449 | Oriental Capital Company Limited                                                          | 5550  |
| 450 | OVPH Limited                                                                              | 5614  |
| 451 | Panther Ventures Limited                                                                  | 40378 |
| 452 | Panther Ventures Limited                                                                  | 40512 |
| 453 | Panther Ventures Limited                                                                  | 40872 |
| 454 | Panzhuhua City Construction and Transportation (Group) Co., Ltd.                          | 84440 |



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| 455 | PB Issuer (No.5) Limited                                                          | 40097 |
| 456 | PCGI Intermediate Holdings (III) Limited                                          | 40810 |
| 457 | Peak Re (BVI) Holding Limited                                                     | 40437 |
| 458 | Peng Ying International Development Company Limited                               | 5373  |
| 459 | Pengze County Development and Construction Group Co., Ltd.                        | 5664  |
| 460 | Perfect Point Ventures Limited                                                    | 40850 |
| 461 | Petron Corporation                                                                | 5905  |
| 462 | PHBS Limited                                                                      | 4325  |
| 463 | Phoenix Charm International Investment Limited                                    | 5409  |
| 464 | Phoenix Charm International Investment Limited                                    | 5421  |
| 465 | Phoenix Charm International Investment Limited                                    | 5744  |
| 466 | Phoenix Lead Limited                                                              | 5262  |
| 467 | Pinghu State-owned Assets Holding Group Co., Ltd.                                 | 84555 |
| 468 | Pingtian Comprehensive Pilot Zone City Development Group Co., Ltd.                | 84470 |
| 469 | Pingtian Comprehensive Pilot Zone City Development Group Co., Ltd.                | 84479 |
| 470 | Pingxiang City Construction Investment Group Co., Ltd.                            | 5431  |
| 471 | Pioneer Reward Limited                                                            | 40644 |
| 472 | Pioneer Reward Limited                                                            | 84407 |
| 473 | Powerchina Roadbridge Group (British Virgin Islands) Limited                      | 40635 |
| 474 | Prologis, L.P.                                                                    | 84560 |
| 475 | Proven Honour Capital Limited                                                     | 5641  |
| 476 | PTT Public Company Limited                                                        | 2527  |
| 477 | Pujiang State-Owned Capital Investment Group Co., Ltd.                            | 5214  |
| 478 | Puyang Investment Group Co., Ltd.                                                 | 5838  |
| 479 | Qingdao Bullet Train Town Investment Group Co., Ltd.                              | 5314  |
| 480 | Qingdao Jiaozhou Bay Development Group Co., Ltd.                                  | 84453 |
| 481 | Qingdao Jiaozhou Bay Development Group Co., Ltd.                                  | 5005  |
| 482 | Qingdao Jiaozhou Bay Development Group Co., Ltd.                                  | 84526 |
| 483 | Qingdao Jiaozhou Bay Development Group Co., Ltd.                                  | 5369  |
| 484 | Qingdao Jiaozhou Bay Development Group Co., Ltd.                                  | 5496  |
| 485 | Qingdao Jiaozhou Urban Development And Investment Co., Ltd.                       | 5450  |
| 486 | Qingdao Oceanec Valley Investment Development Group Co., Ltd.                     | 85009 |
| 487 | Qingdao Pingdu Holdings Group Co., Ltd.                                           | 5349  |
| 488 | Qingdao Pingdu Holdings Group Co., Ltd.                                           | 5466  |
| 489 | Qinglun International (BVI) Co., Limited                                          | 5532  |
| 490 | QUAN CHENG FINANCIAL HOLDINGS (BVI) LIMITED                                       | 5178  |
| 491 | Quanzhou Nanyi Investment Group Co., Ltd.                                         | 5484  |
| 492 | Quanzhou Urban Construction Group Co., Ltd.                                       | 84517 |
| 493 | Quzhou Qutong Transportation Investment Development Co., Ltd.                     | 5340  |
| 494 | Quzhou State-owned Capital Operation Co., Ltd.                                    | 5377  |
| 495 | Radiant Access Limited                                                            | 5205  |
| 496 | Rizhao Xinlanshan Caijin Investment Group Co., Ltd.                               | 5973  |
| 497 | Rongshi International Finance Limited                                             | 5449  |
| 498 | Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd. | 40213 |
| 499 | Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd. | 4418  |
| 500 | Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd. | 40286 |
| 501 | Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd. | 40287 |
| 502 | Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd. | 5025  |
| 503 | Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd. | 5029  |
| 504 | Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd. | 5026  |
| 505 | Rudong County Mintai Urban and Rural Construction Engineering Co., Ltd.           | 5456  |
| 506 | Rudong County Mintai Urban and Rural Construction Engineering Co., Ltd.           | 5499  |
| 507 | SAN SHUI INTERNATIONAL DEVELOPMENT CO., LTD                                       | 84598 |
| 508 | SAN SHUI INTERNATIONAL DEVELOPMENT CO., LTD                                       | 85006 |
| 509 | Sanming City Construction and Development Group Co., Ltd.                         | 84477 |
| 510 | Sanming City Construction and Development Group Co., Ltd.                         | 84487 |
| 511 | SCI (HK) Development Limited                                                      | 40632 |
| 512 | Science City (Guangzhou) Investment Group Co., Ltd.                               | 4519  |
| 513 | SDG FINANCE I LIMITED                                                             | 4539  |
| 514 | SDG Finance Limited                                                               | 40817 |
| 515 | SDG Finance Limited                                                               | 5247  |
| 516 | SDG Finance Limited                                                               | 5573  |
| 517 | SDG Finance Limited                                                               | 5608  |
| 518 | SDSC Global Finance Limited                                                       | 5303  |
| 519 | SDSC International Development Limited                                            | 5426  |
| 520 | SEPCO Virgin Limited                                                              | 5258  |
| 521 | SF Holding Investment 2021 Limited                                                | 40920 |
| 522 | SF Holding Investment 2021 Limited                                                | 40921 |
| 523 | SF Holding Investment 2021 Limited                                                | 40922 |
| 524 | SF Holding Investment 2023 Limited                                                | 5724  |
| 525 | SF Holding Investment Limited                                                     | 40152 |
| 526 | SFG International Holdings Co. Limited                                            | 40704 |
| 527 | Shaanxi Financial Asset Management Co.,Ltd.                                       | 4542  |
| 528 | Shaanxi Xixian New Area Fengxi Development Group Co., Ltd.                        | 84455 |
| 529 | Shaanxi Yushen Energy Development and Construction Group Co., Ltd.                | 5624  |
| 530 | Shandong Commercial Group Co., Ltd.                                               | 84439 |
| 531 | Shandong Gaochuang Holding Group Co., Ltd.                                        | 84594 |
| 532 | Shandong Hesheng Investment Co., Ltd.                                             | 84528 |
| 533 | Shandong Hesheng Investment Co., Ltd.                                             | 84541 |
| 534 | Shandong Hesheng Investment Co., Ltd.                                             | 84545 |
| 535 | Shanghai Commercial Bank Limited                                                  | 5043  |
| 536 | Shanghai Commercial Bank Limited                                                  | 5530  |
| 537 | Shanghai Commercial Bank Limited                                                  | 5713  |
| 538 | Shanghai Port Group (BVI) Development 2 Co., Limited                              | 40299 |
| 539 | Shanghai Port Group (BVI) Development Co., Limited                                | 5741  |
| 540 | Shangrao Investment Holdings International Company Limited                        | 5647  |
| 541 | Shangrao City Construction Investment Development Group Company Limited           | 5893  |
| 542 | Shangrao City Construction Investment Development Group Company Limited           | 5910  |

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| 543 | Shangrao Urban Operation (Holding) Group Co., Ltd.                                         | 84571 |
| 544 | Shangrao Urban Operation (Holding) Group Co., Ltd.                                         | 84580 |
| 545 | Shangrao Urban Operation (Holding) Group Co., Ltd.                                         | 85019 |
| 546 | SHANGYU URBAN CONSTRUCTION HONG KONG COMPANY LIMITED                                       | 40932 |
| 547 | Shaodong City Development Group Co., Ltd.                                                  | 5417  |
| 548 | Shaoxing City Investment Group Limited                                                     | 40807 |
| 549 | Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.                    | 40618 |
| 550 | Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.                    | 5541  |
| 551 | Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.                    | 4439  |
| 552 | Shengcheng Culture and Tourism Group International Trade Co., Ltd                          | 84557 |
| 553 | Shengcheng Culture and Tourism Group International Trade Co., Ltd                          | 84599 |
| 554 | Shengzhou Communications Investment and Development Group Co., Ltd.                        | 5473  |
| 555 | Shengzhou Investment Holdings Co., Ltd.                                                    | 5597  |
| 556 | Shengzhou Investment Holdings Co., Ltd.                                                    | 5768  |
| 557 | Shenwan Hongyuan International Finance Limited                                             | 40766 |
| 558 | Shenwan Hongyuan International Finance Limited                                             | 5460  |
| 559 | Shenzhen Futian Investment Holdings Co., Ltd                                               | 84573 |
| 560 | Shuifa International Holdings (BVI) Co., Ltd.                                              | 4546  |
| 561 | Si Fa Investment Limited                                                                   | 40640 |
| 562 | Sihai International Investment Limited                                                     | 5175  |
| 563 | Sihai International Investment Limited                                                     | 5518  |
| 564 | Sihai International Investment Limited                                                     | 5534  |
| 565 | Sino Trendy Investment Limited                                                             | 40438 |
| 566 | Sinochem Global Capital Co., Ltd.                                                          | 5935  |
| 567 | Sinochem Overseas Capital Company Limited                                                  | 4502  |
| 568 | Sino-Ocean Land Treasure Finance II Limited                                                | 5869  |
| 569 | Sino-Ocean Land Treasure III Limited                                                       | 5276  |
| 570 | Sino-Ocean Land Treasure IV Limited                                                        | 5623  |
| 571 | Sino-Ocean Land Treasure IV Limited                                                        | 40115 |
| 572 | Sino-Ocean Land Treasure IV Limited                                                        | 40670 |
| 573 | Sinosing Services Pte. Ltd.                                                                | 40156 |
| 574 | Societe Generale                                                                           | 5947  |
| 575 | Societe Generale                                                                           | 5959  |
| 576 | Societe Generale                                                                           | 5960  |
| 577 | Societe Generale                                                                           | 5961  |
| 578 | Societe Generale                                                                           | 5962  |
| 579 | Songyang County State Capital Investment and Operation Group Co., LTD                      | 84514 |
| 580 | Songyang County State Capital Investment and Operation Group Co., LTD                      | 5327  |
| 581 | Songyang County State Capital Investment and Operation Group Co., LTD                      | 84583 |
| 582 | SPIC 2016 US dollar Bond Company Limited                                                   | 5325  |
| 583 | SPIC 2018 USD Senior Perpetual Bond Company Limited                                        | 5453  |
| 584 | State Grid Europe Development (2014) Public Limited Company                                | 5121  |
| 585 | State Grid Overseas Investment (2013) Limited                                              | 5955  |
| 586 | State Grid Overseas Investment (2014) Limited                                              | 5743  |
| 587 | State Grid Overseas Investment (2016) Limited                                              | 5650  |
| 588 | Suizhou Huasheng Industrial Development Co., Ltd.                                          | 85032 |
| 589 | Sunny Express Enterprises Corp.                                                            | 40030 |
| 590 | Sunny Express Enterprises Corp.                                                            | 40031 |
| 591 | Sunny Express Enterprises Corp.                                                            | 40204 |
| 592 | Sunny Express Enterprises Corp.                                                            | 4505  |
| 593 | Sunshine Life Insurance Corporation Limited                                                | 5629  |
| 594 | Suqian Economic Development Group Co., Ltd.                                                | 5527  |
| 595 | Suzhou City Construction Investment Group (Holding) Co., Ltd.                              | 5405  |
| 596 | Suzhou City Construction Investment Group (Holding) Co., Ltd.                              | 5424  |
| 597 | Suzhou City Construction Investment Group (Holding) Co., Ltd.                              | 5751  |
| 598 | Syngenta Group Finance Limited                                                             | 5557  |
| 599 | Tai Fung Bank Limited                                                                      | 5686  |
| 600 | Taishan City Investment Co., Limited                                                       | 84496 |
| 601 | Taishan City Investment Co., Limited                                                       | 84530 |
| 602 | Taishan Finance International Business Co., Limited                                        | 5301  |
| 603 | TAIZHOU HUANGYAN ECONOMIC DEVELOPMENT GROUP CO., LTD.                                      | 5374  |
| 604 | Taizhou Huangyan Transportation and Tourism Investment Group Co., Ltd.                     | 5488  |
| 605 | Taizhou State-owned Assets Investment Group Co., Ltd.                                      | 5242  |
| 606 | Taizhou Urban Construction and Investment Development Group Co., Ltd.                      | 5849  |
| 607 | Taizhou Urban Construction and Investment Development Group Co., Ltd.                      | 5107  |
| 608 | Talent Yield (Euro) Limited                                                                | 40390 |
| 609 | Talent Yield International Limited                                                         | 40675 |
| 610 | Talent Yield International Limited                                                         | 40676 |
| 611 | Tencent Music Entertainment Group                                                          | 40365 |
| 612 | Tencent Music Entertainment Group                                                          | 40366 |
| 613 | Tequ Mayflower Limited                                                                     | 40600 |
| 614 | The Hongkong and Shanghai Banking Corporation Limited                                      | 4323  |
| 615 | Tianfeng Securities Co., Ltd.                                                              | 4536  |
| 616 | Tianjin Binhai New Area Construction & Investment Group Co., Ltd.                          | 5773  |
| 617 | Tianjin Lingang Investment Holding Group Co., Ltd.                                         | 5430  |
| 618 | Tianjin Lingang Investment Holding Group Co., Ltd.                                         | 5753  |
| 619 | Tianjin State-owned Capital Investment and Management Co., Ltd.                            | 40104 |
| 620 | Tianjin State-owned Capital Investment and Management Co., Ltd.                            | 40105 |
| 621 | Tianjin State-owned Capital Investment and Management Co., Ltd.                            | 40106 |
| 622 | Tongling State-owned Capital Operation Holding Group Company Limited                       | 5554  |
| 623 | TONGREN STATE-OWNED CAPITAL OPERATION CO., LTD.                                            | 5911  |
| 624 | Top Luxury Investment Limited                                                              | 5598  |
| 625 | Towngas (Finance) Limited                                                                  | 5749  |
| 626 | Towngas (Finance) Limited                                                                  | 6018  |
| 627 | Tsinghua Unic Limited                                                                      | 4415  |
| 628 | UETD Construction & Development State-owned Capital Investment Operation (Group) Co., Ltd. | 5767  |
| 629 | UETD Construction & Development State-owned Capital Investment Operation (Group) Co., Ltd. | 40499 |
| 630 | Universe Trek Limited                                                                      | 40499 |

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| 631 | Universe Trek Limited                                                             | 40722 |
| 632 | Universe Trek Limited                                                             | 40797 |
| 633 | Urumqi Gaoxin Investment and Development Group Co., Ltd.                          | 84444 |
| 634 | Urumqi Gaoxin Investment and Development Group Co., Ltd.                          | 5871  |
| 635 | Vertex Capital Investment Limited                                                 | 40780 |
| 636 | Vertex Capital Investment Limited                                                 | 4548  |
| 637 | Vertex Capital Investment Limited                                                 | 84499 |
| 638 | Viva Biotech Investment Management Limited                                        | 40514 |
| 639 | Weifang Urban Construction and Development Investment Group Co., Ltd.             | 5485  |
| 640 | Weifang Urban Construction and Development Investment Group Co., Ltd.             | 5176  |
| 641 | Weifang Urban Construction and Development Investment Group Co., Ltd.             | 84569 |
| 642 | Weifang Urban Construction and Development Investment Group Co., Ltd.             | 5762  |
| 643 | Weimob Investment Limited                                                         | 40702 |
| 644 | Weimob Investment Limited                                                         | 5186  |
| 645 | WENS FOODSTUFF GROUP CO., LTD.                                                    | 40442 |
| 646 | WENS FOODSTUFF GROUP CO., LTD.                                                    | 40443 |
| 647 | Wenzhou Lucheng District State-owned Holding Group Co., Ltd.                      | 84588 |
| 648 | Wuhan Financial Holdings (Group) Co., Ltd.                                        | 5281  |
| 649 | Wuhan Jiangxia Agriculture Group Company Limited                                  | 5558  |
| 650 | Wuhan Metro Group Co., Ltd.                                                       | 5467  |
| 651 | Wuhan Urban Construction Group Co., Ltd.                                          | 5031  |
| 652 | WuXi AppTec (HongKong) Limited                                                    | 5265  |
| 653 | Wuxi Chengkai Industrial Development Group Co., Ltd.                              | 5419  |
| 654 | Wuxing City Investment HK Company Limited                                         | 5067  |
| 655 | Xi'an Qujiang Culture Financial Holding (Group) Co., Ltd.                         | 5510  |
| 656 | Xi'an Weiyang Urban Construction Group Co., Ltd.                                  | 5435  |
| 657 | Xi'an Weiyang Urban Construction Group Co., Ltd.                                  | 5545  |
| 658 | Xi'an Aerospace High-Tech Industry Development Group Co., Ltd                     | 5690  |
| 659 | XI'AN PORT INDUSTRIAL CO., LIMITED                                                | 5921  |
| 660 | XI'AN PORT INDUSTRIAL CO., LIMITED                                                | 6003  |
| 661 | Xiangyu Investment (BVI) Co., Ltd.                                                | 5486  |
| 662 | Xianjin Industry Investment Company Limited                                       | 5848  |
| 663 | Xihui Haiwai I Investment Holdings Co., Limited                                   | 84582 |
| 664 | Xin Yue Company Limited                                                           | 4442  |
| 665 | Xinchang County Transportation Investment Group Company Limited                   | 5587  |
| 666 | Xingchang International Company Limited                                           | 5801  |
| 667 | XL (BVI) International Development Limited                                        | 5583  |
| 668 | YAN GANG LIMITED                                                                  | 40622 |
| 669 | Yancheng Dafeng Haicheng Industrial Development Co., Ltd.                         | 5765  |
| 670 | Yancheng High-tech Zone Investment Group Co., Ltd.                                | 5151  |
| 671 | Yancheng High-tech Zone Investment Group Co., Ltd.                                | 5150  |
| 672 | Yancheng High-tech Zone Investment Group Co., Ltd.                                | 5355  |
| 673 | Yancheng State-Owned Energy Investment Co., Ltd                                   | 84467 |
| 674 | Yancoal International Resources Development Co., Limited                          | 5424  |
| 675 | Yancoal International Trading Co., Limited                                        | 5753  |
| 676 | Yangzhou Economic and Technological Development Zone Development (Group) Co., Ltd | 5472  |
| 677 | Yangzhou Hanjiang District State-owned Capital Investment Group Co., Ltd.         | 84584 |
| 678 | Yankuang Group (Cayman) Limited                                                   | 5238  |
| 679 | Yankuang Group (Cayman) Limited                                                   | 5403  |
| 680 | YCIC International (HK) Co., Limited                                              | 40908 |
| 681 | YCIC International (HK) Co., Limited                                              | 5146  |
| 682 | Yi Bright International Limited                                                   | 5833  |
| 683 | Yi Bright International Limited                                                   | 84468 |
| 684 | Yi Bright International Limited                                                   | 84489 |
| 685 | Yi Bright International Limited                                                   | 5024  |
| 686 | Yi Hong International Development Co., Ltd                                        | 5459  |
| 687 | Yibin Emerging Industry Investment Group Co., Ltd                                 | 84521 |
| 688 | Yibin Emerging Industry Investment Group Co., Ltd                                 | 5173  |
| 689 | Yichang High-Tech Investment Development Co., Ltd.                                | 5300  |
| 690 | Yichun Development Investment Group Co., Ltd.                                     | 5590  |
| 691 | Yichun Yuanzhou District State-owned Investment Group Co., Limited                | 5324  |
| 692 | Yidu State-owned Assets Investment, Operation and Holding Group Co., Ltd.         | 84502 |
| 693 | Yili Holding Investment Limited                                                   | 40471 |
| 694 | YONGDA Investment Limited                                                         | 5603  |
| 695 | Yongzhou Lingling Asset Management Co., Ltd.                                      | 84482 |
| 696 | Yueyang Construction and Investment Group Co., Ltd.                               | 40935 |
| 697 | Yueyang Construction and Investment Group Co., Ltd.                               | 5427  |
| 698 | Yunda Holding Investment Limited                                                  | 40347 |
| 699 | Yunnan Energy Investment Overseas Finance Company Ltd.                            | 40352 |
| 700 | Yunnan Energy Investment Overseas Finance Company Ltd.                            | 5829  |
| 701 | Zhangzhou Jiulongjiang Group Co., Ltd.                                            | 5722  |
| 702 | Zhanjiang Infrastructure Construction Investment Group Co., Ltd.                  | 84491 |
| 703 | Zhaohai Investment (BVI) Limited                                                  | 5523  |
| 704 | Zhaohai Investment (BVI) Limited                                                  | 5520  |
| 705 | Zhaoqing Guolian Investment Holding Co., Ltd                                      | 5254  |
| 706 | Zhejiang Baron (BVI) Company Limited                                              | 5836  |
| 707 | Zhejiang Baron (BVI) Company Limited                                              | 5128  |
| 708 | Zhejiang Changxing Financial Holdings Group Co., Ltd.                             | 5316  |
| 709 | Zhejiang Changxing Financial Holdings Group Co., Ltd.                             | 5462  |
| 710 | Zhejiang Changxing Jingkai Construction & Development Co., Ltd                    | 40149 |
| 711 | Zhejiang Seaport International Co., Limited                                       | 40614 |
| 712 | Zhejiang Xinchang Investment Development Co., Ltd.                                | 4304  |
| 713 | Zhejiang Xinchang Investment Development Co., Ltd.                                | 5218  |
| 714 | Zhengzhou Jinshui Holding Group Co., Ltd.                                         | 5489  |
| 715 | Zhengzhou Metro Group Co., Ltd.                                                   | 5278  |
| 716 | Zhengzhou Real Estate Group Co., Ltd.                                             | 5515  |
| 717 | Zhengzhou Urban Construction Investment Group Co., Ltd.                           | 5463  |
| 718 | Zhengzhou Urban Construction Investment Group Co., Ltd.                           | 5347  |

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| 719 | Zhengzhou Urban Construction Investment Group Co., Ltd.           | 5694  |
| 720 | ZHENGZHOU URBAN DEVELOPMENT GROUP CO., LTD.                       | 85040 |
| 721 | Zhenjiang Cultural Tourism Industry Group Co., Ltd.               | 5506  |
| 722 | Zhenjiang Cultural Tourism Industry Group Co., Ltd.               | 5610  |
| 723 | Zhenjiang Culture & Broadcasting Industry Group Co., Ltd.         | 4324  |
| 724 | Zhenjiang Culture & Broadcasting Industry Group Co., Ltd.         | 4568  |
| 725 | Zhenjiang Transportation Industry Group Co., Ltd.                 | 5522  |
| 726 | Zhenjiang Transportation Industry Group Co., Ltd.                 | 5576  |
| 727 | Zhenjiang Transportation Industry Group Co., Ltd.                 | 5737  |
| 728 | Zhongyuan Asset Management Co., Ltd                               | 5533  |
| 729 | Zhongyuan Zhicheng Co., Ltd.                                      | 40747 |
| 730 | Zhongyuan Zhicheng Co., Ltd.                                      | 5021  |
| 731 | Zhoukou Urban Construction Investment Development Limited Company | 5448  |
| 732 | Zhuji Development Limited                                         | 5156  |
| 733 | Zhuzhou City Construction Development Group Co., Ltd.             | 5477  |
| 734 | Zibo Caijin Holding Group Co., Ltd.                               | 84448 |
| 735 | Zibo City Asset Operation Group Co., Ltd.                         | 84481 |
| 736 | Zibo City Asset Operation Group Co., Ltd.                         | 84507 |
| 737 | Zibo City Asset Operation Group Co., Ltd.                         | 84519 |
| 738 | Zibo City Asset Operation Group Co., Ltd.                         | 85011 |
| 739 | Zibo High-Tech International Investment Co., Limited              | 5354  |
| 740 | Zibo High-Tech International Investment Co., Limited              | 84527 |
| 741 | Zoomwe Hong Kong New Energy Technology Co., Limited               | 4581  |
| 742 | ZOUCHENG LIMIN CONSTRUCTION DEVELOPMENT GROUP CO., LTD.           | 85003 |

**第3類豁免：適用於股份在香港聯合交易所有限公司上市的以法團形式運作的開放式集體投資計劃**

| 名稱                                                                                                      | 聯交所<br>股份代號         |
|---------------------------------------------------------------------------------------------------------|---------------------|
| 1 Xtrackers FTSE Vietnam Swap UCITS ETF*                                                                | 3087                |
| 2 Xtrackers MSCI China A UCITS ETF                                                                      | 3007                |
| 3 Xtrackers MSCI Korea UCITS ETF                                                                        | 2848                |
| 4 Xtrackers MSCI Taiwan UCITS ETF                                                                       | 3036                |
| 5 Xtrackers MSCI USA Swap UCITS ETF*                                                                    | 3020                |
| 6 Xtrackers Nifty 50 Swap UCITS ETF*                                                                    | 3015                |
| 7 Global X China Consumer Brand ETF                                                                     | 2806 / 9806         |
| 8 Global X China Electric Vehicle and Battery ETF                                                       | 2845 / 9845         |
| 9 Global X China Clean Energy ETF                                                                       | 2809 / 9809         |
| 10 Global X China Semiconductor ETF                                                                     | 3191 / 9191         |
| 11 Global X China Robotics and AI ETF                                                                   | 2807 / 9807         |
| 12 CSOP STAR 50 Index ETF                                                                               | 3109                |
| 13 Global X China Innovator Active ETF                                                                  | 3058                |
| 14 Global X China Global Leaders ETF                                                                    | 3050                |
| 15 CSOP Huatai-PineBridge CSI Photovoltaic Industry ETF                                                 | 3134                |
| 16 CSOP China Healthcare Disruption Index ETF                                                           | 3174                |
| 17 Global X Asia Semiconductor ETF                                                                      | 3119                |
| 18 Global X China E-commerce and Logistics ETF                                                          | 3124                |
| 19 Global X China Games and Entertainment ETF                                                           | 3117                |
| 20 CSOP MSCI China A 50 Connect ETF                                                                     | 3003                |
| 21 Global X Autonomous and Electric Vehicles ETF                                                        | 2849                |
| 22 Global X FinTech ETF                                                                                 | 3185                |
| 23 Global X Asia Innovator Active ETF                                                                   | 3051                |
| 24 CSOP NASDAQ 100 ETF                                                                                  | 3034                |
| 25 Fubon FTSE Taiwan RIC Capped Index ETF                                                               | 3021                |
| 26 Fubon ICE FactSet Taiwan Core Semiconductor Index ETF                                                | 3076                |
| 27 Fubon Hang Seng Shanghai-Shenzhen-Hong Kong (Selected Corporations) High Dividend Yield Index<br>ETF | 3190                |
| 28 CSOP FTSE Vietnam 30 ETF                                                                             | 3004                |
| 29 E Fund (HK) HSI ESG Enhanced Index ETF                                                               | 3039                |
| 30 Boser RMB Money Market ETF                                                                           | 3192 / 83192        |
| 31 Phillip HK Newly Listed Equities Index ETF                                                           | 2835                |
| 32 Pando Blockchain ETF                                                                                 | 3112                |
| 33 Pando Innovation ETF                                                                                 | 3056                |
| 34 Boser HKD Money Market ETF                                                                           | 3152                |
| 35 Fubon ICE FactSet Asia Battery and Energy Storage Technology Index ETF                               | 3405                |
| 36 Boser USD Money Market ETF                                                                           | 3196 / 83196 / 9196 |
| 37 Global X China MedTech ETF                                                                           | 2841                |
| 38 Global X Asia USD Investment Grade Bond ETF                                                          | 3075 / 9075         |
| 39 Global X China Little Giant ETF                                                                      | 2815                |
| 40 Boser 20+ Year US Treasury Bond ETF                                                                  | 3156 / 9156 / 9107  |
| 41 Global X HSCEI Components Covered Call Active ETF                                                    | 3416                |
| 42 Global X HSI Components Covered Call Active ETF                                                      | 3419                |
| 43 Global X K-pop and Culture ETF                                                                       | 3158                |
| 44 Boser HashKey Bitcoin ETF                                                                            | 3008 / 9008         |
| 45 Boser HashKey Ether ETF                                                                              | 3009 / 9009         |
| 46 ChinaAMC Bitcoin ETF                                                                                 | 3042 / 83042 / 9042 |
| 47 ChinaAMC Ether ETF                                                                                   | 3046 / 83046 / 9046 |
| 48 Harvest Bitcoin Spot ETF                                                                             | 3439 / 9439         |
| 49 Harvest Ether Spot ETF                                                                               | 3179 / 9179         |
| 50 Doo Wealth Selected AI and Automation Active ETF                                                     | 3413                |
| 51 Doo Wealth Selected Digital Payments ETF                                                             | 3412                |
| 52 Doo Wealth Selected Web3 ETF                                                                         | 3426                |
| 53 Boser China Reform Hong Kong Central-SOEs High Dividend Yield Index ETF                              | 3437 / 83437 / 9437 |
| 54 Fubon Solactive Core Diversified Multi Asset Index ETF                                               | 3427                |
| 55 Global X US Treasury 0-3 Month ETF                                                                   | 3440 / 9440         |
| 56 Global X G2 Tech ETF                                                                                 | 3402                |
| 57 Global X AI Infrastructure ETF                                                                       | 3401                |
| 58 Global X Hang Seng TECH Components Covered Call Active ETF                                           | 3417                |
| 59 CMS Hang Seng Tech Index ETF                                                                         | 3423                |
| 60 Global X FTSE Greater China ETF                                                                      | 3470                |
| 61 Global X MSCI Asia Pacific ex Japan ETF                                                              | 3064 / 9064         |
| 62 Global X China Core TECH ETF                                                                         | 3448                |
| 63 Pando Bitcoin ETF                                                                                    | 2818                |

\* 此基金為一隻合成交易所買賣基金

#### 第4類豁免：適用於聯交所的交易所參與者

名稱

- 1 中國創盈市場服務有限公司
- 2 中國投資信息有限公司

#### 第5類豁免：適用於屬結算參與者的結算所

名稱

- 1 中國證券登記結算有限責任公司
- 2 中國證券登記結算（香港）有限公司

#### 根據《證券及期貨條例》(第571章)第309(2) 條批給的其他豁免

名稱

- 1 **Budweiser Brewing Company APAC Limited**
- 2 京東健康股份有限公司
- 3 京東物流股份有限公司
- 4 雲音樂股份有限公司