

根據《證券及期貨條例》(第571章)第309(2)條批給的豁免

以下載列就(一)發行在香港聯合交易所有限公司(聯交所)上市的證券的法團及(二)其他人士而批給的豁免。該等豁免就(一)批給該等法團、其大股東、董事及最高行政人員，及就(二)批給其他人士，使其無須就其於聯交所上市證券的權益所衍生的義務而遵從《證券及期貨條例》第XV部。本清單每月更新。

證監會網站內有關《證券及期貨條例》第XV部的網頁已登載證監會指引，列明根據該條例第309條批給豁免的依據。目前提供的豁免分為五類，而該指引已對該五類豁免加以述明。

第1類部份豁免：適用於股份已在香港聯合交易所有限公司及另一證券交易所上市的法團

名稱	聯交所 股份代號 (注解1)	
1 宏利金融有限公司	0945 - S	
2 渣打集團有限公司	2888	(及 4305, 5348, 5890, 5986, 40276 及 40518)
3 英國保誠有限公司	2378	
4 迅銷有限公司 - 預託證券	6288 - S	(該豁免僅適用於迅銷有限公司及其大股東)
5 阿里巴巴集團控股有限公司	9988 - SW	
6 京東集團股份有限公司	9618 - SW	
7 網易公司	9999 - S	
8 百勝中國控股有限公司	9987 - S	
9 華住集團有限公司	1179 - S	
10 再鼎醫藥有限公司	9688 - SB	
11 寶尊電商有限公司	9991 - SW	
12 中通快遞(開曼)有限公司	2057 - SW	
13 萬國數據控股有限公司	9698 - SW	
14 新東方教育科技(集團)有限公司	9901 - S	
15 嚶哩嚶哩股份有限公司	9626 - SW	
16 汽車之家	2518 - S	
17 百度公司	9888 - SW	
18 攜程集團有限公司	9961 - S	

注解1: S - 第二上市公司
W - 不同投票權架構的公司
B - 根據《上市規則》第18A章上市的生物科技公司

第2類豁免：適用於並無股份在香港聯合交易所有限公司上市的法團

(a)第2類豁免：適用於結構性產品現時在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

名稱	豁免期限(注解2)	
	豁免開始日	豁免終止日
1 Bank Vontobel AG	2020年5月31日	2022年5月30日
2 BOCI Aisa Limited	2007年12月21日	2021年12月20日
3 BNP Paribas Issuance B.V.	2007年12月21日	2021年12月20日
4 Citigroup Global Markets Europe AG	2019年11月1日	2021年10月31日
5 Credit Suisse AG	2007年12月21日	2021年12月20日
6 Goldman Sachs Structured Products (Asia) Limited	2007年12月21日	2021年12月20日
7 Guotai Junan Securities (Hong Kong) Limited	2019年10月28日	2021年10月27日
8 Haitong International Securities Company Limited	2017年3月16日	2022年3月15日
9 J.P. Morgan Structured Products B.V.	2007年12月21日	2021年12月20日
10 Macquarie Bank Limited	2008年1月1日	2021年12月31日
11 Morgan Stanley Asia Products Limited	2020年7月22日	2021年7月21日
12 SG Issuer	2016年4月1日	2022年3月31日
13 The Hongkong and Shanghai Banking Corporation Ltd	2007年12月23日	2021年12月22日
14 UBS AG	2007年12月21日	2021年12月20日

注解2: 授予以上法團的豁免期為12個月(或更少)，並豁免該法團在豁免期內所發行及在香港聯合交易所有限公司上市的結構性產品直至該結構性產品有效期完結為止。

(b)第2類豁免：適用於債務項目下發行的債務證券在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

名稱	項目
1 AirAsia Global Notes Limited	US\$1,000,000,000 Multicurrency Medium Term Note Programme
2 Amipeace Ltd.	HK\$20,000,000,000 Medium Term Note Programme
3 AMTD Group Company Limited	U.S.\$500,000,000 Medium Term Note Programme
4 AMTD International Inc.	U.S.\$1,000,000,000 Medium Term Note Programme
5 AVIC International Leasing Co., Ltd.	US\$500,000,000 Medium Term Note Programme
6 AVIC International Leasing Co., Ltd.	U.S.\$500,000,000 Medium Term Note Programme
7 Azure Nova International Finance Limited	U.S.\$10,000,000,000 Medium Term Note Programme
8 Azure Orbit II International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
9 Azure Orbit IV International Finance Limited	U.S.\$7,000,000,000 Medium Term Note Programme
10 Bank of China (Hong Kong) Limited	U.S.\$15,000,000,000 Medium Term Note Programme

11	Bank of China (Luxembourg) S.A.	US\$30,000,000,000 Medium Term Note Programm
12	Beijing Capital Polaris Investment Co. Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
13	Bocom Leasing Management Hong Kong Company Limited	US\$6,000,000,000 Medium Term Note Programme
14	Boom Up Investments Limited	US\$1,000,000,000 Medium Term Note Programme
15	CALC Bonds Limited	US\$3,000,000,000 Medium Term Note Programme
16	Castle Peak Power Finance Company Limited	U.S.\$2,000,000,000 Medium Term Note Programme
17	Cathay Pacific MTN Financing (HK) Limited	US\$2 billion Medium Term Note Programme
18	CCBL (Cayman) 1 Corporation Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
19	CDBI Treasure I Limited	US\$500,000,000 Medium Term Note Programme
20	CDBL Funding 1	U.S.\$3,000,000,000 Medium Term Note Programme
21	CDBL Funding 1	US\$3,000,000,000 Medium Term Note Programme
22	CDBL Funding 2	US\$3,000,000,000 Medium Term Note Programme
23	Central Plaza Development Limited	US\$2,000,000,000 Guaranteed Medium Term Programme
24	CGNPC INTERNATIONAL LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
25	Chailease International Finance Corporation	U.S.\$500,000,000 Medium Term Note Programme
26	Champion MTN Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
27	Charming Light Investments Ltd. and United Wealth Development Ltd.	US\$8,000,000,000 Medium Term Note Programme
28	Cheung Kong Finance (MTN) Limited and Joynote Ltd	US\$2,000,000,000 Medium Term Note Programme
29	Cheung Kong Infrastructure Finance (BVI) Limited	US\$2,000,000,000 Euro Medium Term Note Programme
30	China Cinda (2020) I Management Limited	U.S.\$2,000,000,000 Guaranteed Medium Term Note Programme
31	China Cinda (2020) I Management Limited	US\$2,000,000,000 Medium Term Note Programme
32	China Cinda Finance (2015) I Limited and China Cinda Finance (2015) II Limited	US\$3,000,000,000 Guaranteed Medium Term Note Programme
33	China Cinda Finance (2017) I Limited and China Cinda Finance (2017) II Limited	US\$10,000,000,000 Medium Term Note Programme
34	China Construction Bank (Asia) Corporation Limited	US\$5,000,000,000 Medium Term Note Programme
35	China Development Bank Corporation and China Development Bank Corporation Hong Kong Branch	US\$30,000,000,000 Debt Issuance Programme
36	China Great Wall International Holdings III Limited	U.S.\$6,500,000,000 Medium Term Note Programme
37	China Overseas Finance (Cayman) VIII Limited	US\$2.5 billion medium note programme
38	CICC Hong Kong Finance 2016 MTN Limited	US\$10,000,000,000 Guaranteed Medium Term Note Programme
39	CITIC Securities Finance MTN Co., Ltd.	US\$3 billion Medium Term Note Programme
40	CK Property Finance (MTN) Limited	US\$5,000,000,000 Euro Medium Term Note Programme
41	CMB International Leasing Management Limited	US\$20,000,000,000 Medium Term Note Programme
42	CMB Wing Lung Bank Limited	U.S. \$2,000,000,000 Euro Medium Term Note Programme
43	CMBLEMTN1 Limited	U.S.\$5,000,000,000 Medium Term Note Programme
44	CNPC General Capital Limited	US\$7,000,000,000 Euro Medium Term Note Programme
45	Connery Capital Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
46	COSL Singapore Capital Ltd.	US\$3,500,000,000 Euro Medium Term Note Programme
47	CSCIF Asia Limited	US\$3,000,000,000 Medium Term Note Programme
48	DianJian Haiyu Limited, Dianjian International Finance Limited, Leader Goal International Limited, SEPCO Virgin Limited	U.S.\$2,500,000,000 Medium Term Note and Senior Perpetual Securities Programme
49	Eastern Creation II Investment Holdings Ltd.	US\$6,000,000,000 Guaranteed Euro Medium Term Note Programme
50	Everbright SHK (BVI) Limited	US\$1,000,000,000 Medium Term Note Programme
51	FEC Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
52	Franshion Brilliant Limited	US\$2,000,000,000 Medium Term Note Programme
53	Future Days Limited	US\$5,000,000,000 Medium Term Note Programme
54	Gemdale Ever Prosperity Investment Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
55	GLP China Holdings Limited	HK\$20,000,000,000 Medium Term Note Programme
56	Goodman HK Finance	US\$2,000,000,000 Medium Term Note Programme
57	Greenland Global Investment Limited	US\$8,000,000,000 Guaranteed Medium Term Notes Programme
58	Guangzhou Metro Investment Finance (BVI) Ltd.	US\$3,000,000,000 Guaranteed Medium Term Note Programme
59	Guotai Junan Holdings Limited	USD3,000,000,000 Medium Term Note Programme
60	Haitong UT Brilliant Limited	USD1,000,000,000 Guaranteed Medium Term Note Programme
61	Henderson Land MTN Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
62	HKCG (Finance) Limited	US\$3,000,000,000 Medium Term Note Programme
63	HLP Finance Limited	US\$4 billion Medium Term Note Programme
64	Hong Kong Science and Technology Parks Corporation	HK\$1,707,000,000 Guaranteed Medium Term Note Programme
65	Horse Gallop Finance Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
66	Horsepower Finance Limited	US \$1,200,000,000 Medium Term Note Programme
67	Huarong Finance 2017 Co., Ltd.	U.S.\$14,500,000,000 Medium Term Note Programme
68	Huarong Finance 2019 Co., Ltd.	US\$2,900,000,000 Medium Term Note Programme
69	Huarong Finance II Co., Ltd	US\$11,000,000,000 Medium Term Note Programme
70	Huarong Leasing Management Hong Kong Company Limited	U.S.\$1,000,000,000 Medium Term Note Programme
71	Huatai International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
72	Hysan (MTN) Limited	US\$4,000,000,000 Medium Term Note Programme
73	ICBCIL Finance Co. Limited	US\$20,000,000,000 Medium Term Note Programme
74	Industrial and Commercial Bank of Asia (China) Limited	U.S.\$6,000,000,000 Medium Term Note Programme
75	Industrial Bank Co., Ltd.	U.S.\$5,000,000,000 Medium Term Note Programme
76	Inventive Global Investments Limited	U.S.\$3,500,000,000 Medium Term Note Programme
77	Inventive Global Investments Limited	U.S.\$3,500,000,000 Medium Term Note Programme
78	Joyous Glory Group Limited	US\$1,000,000,000 Medium Term Note Programme
79	K.Wah International Financial Services Limited	US\$1,000,000,000 Medium Term Note Programme
80	Kunming Traffic Investment Co., Ltd.	US\$2,000,000,000 Medium Term Note Programme
81	Legend Fortune Limited	US\$2,000,000,000 Medium Term Note Programme
82	Midea Investment Development Company Limited	US\$3,000,000,000 Medium Term Note Programme
83	Modern Terminals Capital Limited	US\$1 billion Medium Term Note Programme
84	MTR Corporation (C.I.) Limited	US\$4 billion Medium Term Note Programme
85	New World China Land Limited	US\$2,000,000,000 Medium Term Note Programme
86	NWD (MTN) Limited	US\$6,000,000,000 Medium Term Note Programme
87	NWS (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme

88	P&R International Treasury Limited	U.S.\$300,000,000 Medium Term Note and Perpetual Securities Programme
89	PETRONAS Capital Limited and PETRONAS Energy Canada Ltd.	US\$15,000,000,000 Medium Term Note Programme
90	Power Assets Finance Limited	U.S.\$2,000,000,000 Medium Term Note Programme
91	Proven Glory Capital Limited	US\$5,000,000,000 Medium Term Note Programme
92	QNB Finance Ltd	U.S.\$22,500,000,000 Medium Term Note Programme
93	R-REIT International Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
94	RH International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
95	Rongshi International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
96	Shanghai Pudong Development Bank Co., Ltd., Hong Kong Branch	US\$5,000,000,000 Euro Medium Term Note Programme
97	Sichuan Development Holding Co., Ltd. and Yieldking Investment Limited	US\$2,000,000,000 Medium Term Note Programme
98	Silverbell Asia Limited	US\$1,000,000,000 Medium Term Note Programme
99	Sino (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
100	Sinochem Offshore Capital Company Limited	US\$10,000,000,000 Global Medium Term Note Programme
101	Soar Great Limited	US\$1,500,000,000 Guaranteed Medium Term Note Programme
102	Soar Wise Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
103	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l., SPIC MTN Company Ltd.	U.S.\$3,000,000,000 Medium Term Note and Perpetual Securities Programme
104	Splendid International Investment Limited	U.S.\$1,500,000,000 Medium Term Note Programme
105	Standard Chartered Bank (Hong Kong) Limited and Standard Chartered Bank	US\$50,000,000,000 Debt Issuance Programme
106	State Elite Global Limited	US\$2,700,000,000 Medium Term Note Programme
107	State Grid Overseas Investment (2016) Limited	US\$15,000,000,000 Medium Term Note Programme
108	Sun Hung Kai Properties (Capital Market) Limited	US\$10,000,000,000 Medium Term Note Programme
109	Sunlight REIT MTN Limited	US\$1,000,000,000 Medium Term Note Programme
110	Swire Pacific MTN Financing (HK) Limited	US\$5,000,000,000 Medium Term Note Programme
111	Swire Pacific MTN Financing Limited	US\$5,000,000,000 Medium Term Note Programme
112	Swire Properties MTN Financing Limited	US\$3,000,000,000 Medium Term Note Programme
113	TCCL (Finance) Limited	U.S.\$2,000,000,000 Medium Term Note Programme
114	The Hongkong and Shanghai Banking Corporation Limited	US\$20,000,000,000 Medium Term Note Programme
115	The Hong Kong Mortgage Corporation Limited	US\$20,000,000,000 Medium Term Note Programme
116	The Link Finance (Cayman) 2009 Limited	US\$5,000,000,000 Guaranteed Euro Medium Term Note Programme
117	Unican Limited	US\$1,000,000,000 Guaranteed Euro Medium Term Note Programme
118	Urban Renewal Authority	US\$1 billion Medium Term Note Programme
119	Vanke Real Estate (Hong Kong) Company Limited	US\$9,500,000,000 Medium Term Note Programme
120	Westwood Group Holdings Limited	US\$3,000,000,000 Medium Term Note Programme
121	Wharf Finance (BVI) Limited, Wharf Finance (BVI) No.1 Limited, Wharf Finance (No.1) Limited and Wharf Finance Limited	US\$7 billion Medium Term Note Programme
122	Wharf REIC Finance (BVI) Limited	U.S.\$5,000,000,000 Medium Term Note Programme
123	Wheelock Finance Limited, Wheelock MTN (BVI) Limited	US\$5 billion Medium Term Note Programme
124	Wuhan Metro Group Co., Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
125	Xingcheng (BVI) Limited	US\$1,500,000,000 Medium Term Note Programme
126	Yuexiu REIT MTN Company Limited	US\$1,500,000,000 Medium Term Note Programme
127	Zhejiang Energy International Limited	USD2,000,000,000 Medium Term Note Programme

(c)第2類豁免：適用於就某一特定發行的債務證券現時在香港聯合交易所有限公司上市的法團（並無股份在香港聯合交易所有限公司上市）

名稱	聯交所 股份代號	
1	Agricultural Development Bank of China	5180
2	Agricultural Development Bank of China	5455
3	Agricultural Development Bank of China	85901
4	Agricultural Development Bank of China	85909
5	Agricultural Development Bank of China	85910
6	Agricultural Development Bank of China	85922
7	Agricultural Development Bank of China	85924
8	Alibaba Group Holding Limited	5843
9	Alibaba Group Holding Limited	5844
10	Alibaba Group Holding Limited	5845
11	Amber Circle Funding Limited	4586
12	Amber Treasure Ventures Limited	40216
13	Amber Treasure Ventures Limited	40251
14	Anhui Provincial Investment Group Holding Co., Ltd.	5186
15	Anhui Transportation Holding Group (H.K.) Limited	5158
16	Anji Qicai Lingfeng Rural Tourism Investment Co., Ltd	40627
17	AVIC International Finance & Investment Limited	5966
18	AVIC International Finance & Investment Limited	40388
19	AVIC International Finance & Investment Limited	40563
20	Azure Orbit III International Finance Limited	5620
21	Azure Orbit International Finance Limited	5909
22	BAIC Finance Investment Co., Ltd	40615
23	Bank of Communications (Hong Kong) Limited	40176
24	Baoji Investment (Group) Co., Ltd.	5492
25	BCEG (HongKong) Company Limited	5145
26	BCEG (HongKong) Company Limited	40743
27	Beijing Enterprises Water Capital Management Limited	4480
28	Beijing Gas Singapore Capital Corporation	5213
29	Beijing State-Owned Assets Management (Hong Kong) Company Limited	5521
30	Bengbu Gaoxin Investment Group Co., Ltd.	40131

31	Bengbu Gaoxin Investment Group Co., Ltd.	40085
32	Bi Hai Co., Ltd.	5185
33	Blossom Joy Limited	40426
34	Blossom Joy Limited	40427
35	Blue Bright Limited	40596
36	Blue Bright Limited	40245
37	Blue Skyview Company Limited	5364
38	Bluestar Finance Holdings Limited	4550
39	Bluestar Finance Holdings Limited	4556
40	Bluestar Finance Holdings Limited	5089
41	Bluestar Finance Holdings Limited	5599
42	Bluestar Finance Holdings Limited	40274
43	Bluestar Finance Holdings Limited	4487
44	BNY Trust Company of Australia Limited	5766
45	BNY Trust Company of Australia Limited	40142
46	Boen Investment Limited	40358
47	Boxinyuan International Co., Ltd.	40036
48	BPHL Capital Management Limited	40171
49	Bright Galaxy International Limited	5927
50	Bright Scholar Education Holdings Limited	5615
51	Cable and Wireless International Finance B.V.	1077
52	Cable and Wireless International Finance B.V.	1078
53	Caiyun International Investment Limited	5947
54	CALC Bond 2 Limited	4300
55	CALC Bond 3 Limited	5390
56	CALC Bond 3 Limited	5391
57	Cathay Pacific Finance III Limited	40580
58	CCB Life Insurance Company Limited	5437
59	CCCI Treasure Limited	5893
60	CCCI Treasure Limited	40157
61	CCCI Treasure Limited	40158
62	CCTI 2017 Ltd	5258
63	CDBL Funding 1	5850
64	Celestial Dynasty Limited	5594
65	CELESTIAL MILES LIMITED	5706
66	Central Plaza Development Ltd.	5919
67	CGNPC International Limited	5058
68	CGNPC International Limited	5059
69	CGNPC International Limited	5153
70	CGNPC International Limited	5154
71	CGNPC International Limited	5519
72	Chalco Hong Kong Investment Company Limited	5151
73	Chalco Hong Kong Investment Company Limited	5920
74	Chalco Hong Kong Investment Company Limited	5727
75	Chalco Hong Kong Investment Company Limited	5985
76	Chalieco Hong Kong Corporation Limited	5321
77	Chalieco Hong Kong Corporation Limited	5702
78	Chalieco Hong Kong Corporation Limited	40070
79	Champion Path Holdings Limited	40559
80	Champion Path Holdings Limited	40560
81	Chang Development International Limited	5090
82	Chang Development International Limited	5744
83	Changde Economic Construction Investment Group Co., Ltd.	5642
84	Changde Urban Construction and Investment Group Co., Ltd.	5959
85	Changde Urban Construction and Investment Group Co., Ltd.	40451
86	Changsha Pilot Investment Holdings Co., Ltd.	40064
87	Changxing Communications Investment Group Co., Ltd.	40264
88	Changxing Urban Construction Investment Group Co., Ltd.	40533
89	Charter Style International Limited	85993
90	Chengdu Airport Xingcheng Investment Group Co., Ltd.	5201
91	Chengdu Communications Investment Group Corporation Limited	5062
92	Chengdu Communications Investment Group Corporation Limited	5493
93	Chengdu Economic and Technological Development Zone Construction and Development Co., Ltd.	5714
94	Chengdu Economic and Technological Development Zone State-Owned Assets Investment Co., Ltd.	5751
95	Chengdu Hi-tech Investment Group Co., Ltd.	5206
96	Chengdu Jiaozhi Financial Holding Group Co., Ltd.	40061
97	Chengdu Jiaozhi Financial Holding Group Co., Ltd.	40645
98	Chengdu Tianfu New Area Investment Group Co., Ltd.	6011
99	Chengdu Xingcheng Investment Group Co., Ltd.	5314
100	Chenzhou Industry Investment Group Co., Ltd.	40197
101	Cheung Kong Bond Securities (02) Limited	4559
102	Cheung Kong Bond Securities (03) Limited	4595
103	Cheung Kong Infrastructure Finance (BVI) Limited	5060
104	Cheung Kong Infrastructure Finance (BVI) Limited	40698
105	China Bright (Hong Kong) Limited	5937
106	China Cinda Finance (2014) Limited	5748
107	China CITIC Bank International Limited	5199
108	China CITIC Bank International Limited	5728
109	China CITIC Bank International Limited	5831
110	China CITIC Bank International Limited	5992
111	China CITIC Bank International Limited	4594
112	China Clean Energy Development Limited	5568
113	China Development Bank Corporation	85904
114	China Development Bank Corporation	85905
115	China Development Bank Corporation	85934
116	China Energy Reserve and Chemicals Group Overseas Company Limited	5503

117	China Gezhouba Group (Hong Kong) Overseas Investment Co., Limited	40493
118	China Great Wall International Holdings IV Limited	5617
119	China Great Wall International Holdings IV Limited	5620
120	China Great Wall International Holdings V Limited	40350
121	China Huadian Overseas Development 2018 Limited	4491
122	China Huadian Overseas Development 2018 Limited	40261
123	China Huadian Overseas Development Management Company Limited	4533
124	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40093
125	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40094
126	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40095
127	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40502
128	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40503
129	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40543
130	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40544
131	China Life Insurance (Overseas) Co., Ltd	5250
132	China Merchants Finance Company Limited	4548
133	China Merchants Finance Company Limited	5555
134	China Minmetals Corporation	4442
135	China Minmetals Corporation	5009
136	China Overseas Finance (Cayman) III Limited	5988
137	China Overseas Finance (Cayman) III Limited	5989
138	China Overseas Finance (Cayman) V Limited	4579
139	China Overseas Finance (Cayman) V Limited	4580
140	China Overseas Finance (Cayman) VI Limited	5746
141	China Overseas Finance (Cayman) VI Limited	5760
142	China Overseas Finance (Cayman) VII Limited	4475
143	China Overseas Finance (Cayman) VII Limited	4476
144	China Overseas Finance Investment (Cayman) V Limited	5600
145	China Overseas Grand Oceans Finance IV (Cayman) Limited	40592
146	China Railway Resources Huitung Limited	5904
147	China Railway XunJie Co., Limited	5244
148	China Railway XunJie Co., Limited	5692
149	China Resources Power East Foundation Co., Ltd.	4516
150	China Shenhua Overseas Capital Company Limited	5863
151	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5166
152	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5167
153	China Southern Power Grid International Finance (BVI) Co., Limited	4583
154	China Southern Power Grid International Finance (BVI) Co., Limited	4598
155	China State Construction Finance (Cayman) I Limited	5462
156	China State Construction Finance (Cayman) I Limited	40712
157	China State Construction Finance (Cayman) II Limited	5037
158	China State Construction Finance (Cayman) II Limited	5038
159	China State Construction Finance (Cayman) III Limited	40083
160	China Taiping Capital Limited	4582
161	China Taiping New Horizon Limited	5984
162	China Yangtze Power International (BVI) 1 Limited	5300
163	China Yangtze Power International (BVI) 2 Limited	5301
164	Chinalco Capital Holdings Limited	4301
165	Chinalco Capital Holdings Limited	5438
166	Chinalco Capital Holdings Limited	5745
167	Chinalco Capital Holdings Limited	40706
168	Chiyu Banking Corporation Limited	5034
169	Chongqing Casin Group Co., Ltd	5595
170	Chongqing Energy Investment Group Co., Ltd.	5898
171	Chongqing Hechuan City Construction Investment (Group) Co., Ltd.	5088
172	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	4562
173	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5488
174	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5699
175	Chongqing Wansheng Economic and Technological Development Zone Development & Investment Group Co., Ltd.	5157
176	Chouzhou International Investment Limited	4542
177	Chouzhou International Investment Limited	40154
178	Chouzhou International Investment Limited	40340
179	CITIC Telecom International Finance Limited	5908
180	City Development Company of Lan Zhou	40066
181	CLP Power HK Finance Ltd	40044
182	CLP Power HK Finance Ltd.	5740
183	CLP Power Hong Kong Financing Limited	4306
184	CMHI Finance (BVI) Co., Ltd	5134
185	CMHI Finance (BVI) Co., Ltd	5135
186	CMHI Finance (BVI) Co., Ltd	40408
187	CMHI Finance (BVI) Co., Ltd	40409
188	CMOC Capital Limited	5722
189	CNI Capital Limited	85908
190	CNOOC Curtis Funding No. 1 Pty Ltd	5972
191	CNOOC Finance (2003) Limited	2568
192	CNOOC Finance (2011) Limited	4507
193	CNOOC Finance (2012) Limited	4546
194	CNOOC Finance (2012) Limited	4547
195	CNOOC Finance (2013) Limited	5941
196	CNOOC Finance (2013) Limited	5942
197	CNOOC Finance (2013) Limited	40016
198	CNOOC Finance (2015) Australia Pty Ltd	5507
199	CNOOC Finance (2015) U.S.A. LLC	4478
200	CNOOC Finance (2015) U.S.A. LLC	4479
201	CNOOC Finance (2015) U.S.A. LLC	5508

202	CNOOC Nexen Finance (2014) ULC	5738
203	CNOOC Nexen Finance (2014) ULC	5739
204	CNPC (HK) Overseas Capital Ltd.	4514
205	CNPC General Capital Limited	4544
206	CNPC General Capital Limited	5923
207	Contemporary Ruiding Development Limited	40381
208	Contemporary Ruiding Development Limited	40382
209	COSCO Pacific Finance (2013) Company Limited	5900
210	COSL Finance (BVI) Limited	4563
211	COSL Singapore Capital Ltd.	40277
212	COSL Singapore Capital Ltd.	40278
213	CRCC Chengan Limited	5581
214	CRCC Hean Limited	40686
215	CRCC Hean Limited	40687
216	CRCC Yupeng Limited	5778
217	CRCC Yuxiang Limited	5945
218	CSCEC Finance (Cayman) II Limited	5232
219	CSCEC Finance (Cayman) II Limited	5233
220	CSSC Capital 2015 Limited	40145
221	CSSC Capital 2015 Limited	40146
222	CSSC Capital One Limited	5181
223	CSSC Capital Two Limited	5182
224	Dah Sing Bank, Limited	5316
225	Dah Sing Bank, Limited	5524
226	Dah Sing Bank, Limited	6021
227	Dalian Deta Holding Co., Ltd.	5608
228	Dangdai International Investments Ltd	40121
229	Dangdai International Investments Ltd	40554
230	Deqing Construction & Development Group Co., Ltd.	40736
231	Dianjian Haixing Limited	5816
232	DianJian Haiyu Limited	40396
233	Dongtai Communication Investment and Construction Group Co., Ltd.	40565
234	Dongxing Voyage Company Limited	5643
235	Ease Trade Global Limited	40452
236	eHi Car Services Limited	40679
237	Elect Global Investments Limited	40173
238	Elect Global Investments Limited	40353
239	ENN Clean Energy International Investment Limited	40678
240	ENRONG INTERNATIONAL (HONGKONG) LIMITED	40545
241	Excel Capital Global Limited	4496
242	Expand Lead Limited	5165
243	Fine Perfection Investment Limited	40647
244	FPC Capital Limited	5107
245	FPC Resources Limited	40373
246	FPC Treasury Limited	5924
247	Franshion Brilliant Limited	5030
248	Franshion Brilliant Limited	5229
249	Franshion Brilliant Limited	5289
250	Franshion Brilliant Limited	5347
251	Franshion Brilliant Limited	5385
252	Franshion Brilliant Limited	5447
253	Franshion Brilliant Limited	5472
254	Franshion Brilliant Limited	40641
255	Fujian Zhanglong Group Co., Ltd.	5700
256	Fujian Zhanglong Group Co., Ltd.	40535
257	Fuyuan Worldwide Limited	40363
258	FWD Group Limited	4403
259	FWD Group Limited	5019
260	FWD Group Limited	5221
261	FWD Group Limited	5793
262	FWD Limited	4416
263	FWD Limited	5351
264	FWD Limited	5803
265	Gansu Province Electric Power Investment Group Co., Ltd.	5466
266	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5132
267	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5999
268	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	40450
269	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	40716
270	Ganzhou Hengzhi Co., Limited	40726
271	Gaoxin International Investment Co., Ltd	40081
272	Gemdale Ever Prosperity Investment Limited	4578
273	GET International Investment Holdings Limited	5381
274	GOHL Capital Limited	5352
275	Goldensep Investment Company Limited	40398
276	Goucheng International Co., Ltd.	40513
277	Goucheng International Co., Ltd.	40723
278	Granda Century Limited	5152
279	Green Fortune Capital Limited	40010
280	Greenland Global Investment Limited	5769
281	Guang Tao Investment Limited	40599
282	Guang Ying Investment Limited	40674
283	Guangxi Communications Investment Group Corporation. Ltd	5880
284	Guangxi Financial Investment Group Co., Ltd.	40469
285	Guangxi Liuzhou Dongcheng Investment Development Group Co., Ltd.	40013
286	Guangzhou Development District Financial Holdings Group Co., Ltd.	40509
287	GUANGZHOU Silk Road Investment Limited	5323

288	Guofa Overseas Investment Company Limited	40098
289	Guohui International (BVI) Co., Ltd.	6002
290	Guohui International (BVI) Co., Ltd.	40362
291	Guoren Property and Casualty Insurance Co., Ltd.	40694
292	Guoren Property and Casualty Insurance Co., Ltd.	86005
293	Guotai Junan Holdings Limited	5853
294	Haichuan International Investment Co., Ltd.	5460
295	Haichuan International Investment Co., Ltd.	40621
296	Haiguo Xintai Capital Limited	40011
297	Haimen Zhongnan Investment Development (International) Co., Ltd.	4585
298	Haimen Zhongnan Investment Development (International) Co., Ltd.	40336
299	Haimen Zhongnan Investment Development (International) Co., Ltd.	40638
300	Haimen Zhongnan Investment Development (International) Co., Ltd.	40714
301	Hainan State Farms International (HK) Co., Limited	40575
302	Haitian (BVI) International Investment Development Limited	40457
303	Haitong International Finance Holdings 2015 Limited	40186
304	Haixi Overseas Investment Company Limited	40689
305	Hangzhou Fuyang Chengtou Group (HK) Limited	5469
306	Hangzhou Fuyang Chengtou Group (HK) Limited	40738
307	Hangzhou Qiantang New Area Construction and Investment Group Co., Ltd.	40349
308	Hanhui International Limited	5657
309	Hanhui International Limited	40348
310	Hanrui Overseas Investment Co Ltd	40412
311	Harvest International Company	5024
312	HBIS Group Hong Kong Co., Limited	40108
313	Henan Water Conservancy Investment Group Co., Ltd	40387
314	Hengjian International Investment Limited	40268
315	Hero Asia Investment Limited	40466
316	HFI International (HK) Limited	40309
317	Hong Kong JY Flower Limited	40163
318	Hong Kong JY Flower Limited	40410
319	Hong Kong Red Star Macalline Universal Home Furnishings Limited	5278
320	Hong Kong Sukuk 2017 Limited	4233
321	Hong Run International Company Limited	40734
322	Hong Seng Limited	40172
323	Honghe Development Group Co. Ltd.	40215
324	Hongkong Baorong Development Limited	5465
325	Hongkong Ideal Investment Limited	40019
326	Hongkong International (Qingdao) Company Limited	5048
327	Hongkong International (Qingdao) Company Limited	5875
328	Hongkong International (Qingdao) Company Limited	40017
329	Hongkong International (Qingdao) Company Limited	40053
330	Hongkong International (Qingdao) Company Limited	40206
331	HongKong Xiangyu Investment Co., Limited	4409
332	Huafa 2019 I Company Limited	5976
333	Huafa 2020 I Company Limited	40455
334	Huafa Group 2018 I Company Limited	5150
335	Huai'an Traffic Holding Co., Ltd.	6006
336	Huai'an Water Conservancy Holding Group Co., Ltd.	6009
337	Huaibei City Construction Investment Holding Group Company Limited	5474
338	Huaibei City Construction Investment Holding Group Company Limited	5946
339	Huan Zhi Company Limited	40566
340	Huaneng Hong Kong Capital Limited	5000
341	Huangshan Tourism Group Co., Ltd.	40364
342	Huantaihu International investment Co., Ltd	40194
343	Huasing International Holdings Co., Limited	4449
344	Huatong International Investment Holdings Co., Limited	40344
345	Huatong International Investment Holdings Co., Limited	40607
346	Huaxin Pharmaceutical (Hong Kong) Co., Ltd.	40598
347	Huaxin Pharmaceutical (Hong Kong) Co., Ltd.	40571
348	Huayi Finance I Ltd.	40042
349	HUAYUAN PROPERTY CO., LTD	5922
350	Huayuan Property Co., Ltd.	5239
351	Hubei Science & Technology Investment Group (Hong Kong) Company Limited	40440
352	Hunan Xiangjiang New Area Development Group Co Ltd	5223
353	Huzhou Communications Investment Group Co., Ltd.	85740
354	Hyd International Holding Limited	40664
355	IFC Development (Corporate Treasury) Limited	6000
356	IFC Development (Corporate Treasury) Limited	40198
357	Industrial and Commercial Bank of China (Asia) Limited	5686
358	Industrial and Commercial Bank of China (Asia) Limited	5976
359	Industrial and Commercial Bank of China (Macau) Limited	5750
360	Industrial and Commercial Bank of China (Macau) Limited	5797
361	Ji'An Chengtou Holding Group Co., Ltd	40611
362	Jiangning Jingkai Overseas Investment Co., Ltd.	40436
363	Jiangsu Yueda Group Co., Ltd.	40243
364	Jiangsu Zhongguancun Holding Group (International) Co Ltd	40038
365	Jiangsu Zhongguancun Holding Group (International) Co Ltd	40506
366	Jiangxi Provincial Water Conservancy Investment Group (China) Limited	40086
367	Jiangxi Railway Investment Group Corporation	5770
368	JIAXING CITY INVESTMENT AND DEVELOPMENT GROUP CO., LTD.	40441
369	JIC Zhixin Limited	5031
370	JIC Zhixin Limited	5032
371	JIC Zhixin Limited	40360
372	JIC Zhixin Limited	40361
373	Jinan Hi-tech Holding Group Co., Ltd.	5481

374	Jinan Hi-tech Holding Group Co., Ltd.	40018
375	JINAN HI-TECH INTERNATIONAL (CAYMAN) INVESTMENT DEVELOPMENT CO., LIMITED	40510
376	JINAN HI-TECH INTERNATIONAL (CAYMAN) INVESTMENT DEVELOPMENT CO., LIMITED	40735
377	Jinan Lixia Holding Group Co., Ltd.	40505
378	Jinan West City Investment and Development Group Co., Ltd.	4588
379	Jinchuan Golden Ocean Capital Limited	40597
380	Jingjiang Port (Group) Co., Ltd	40494
381	Jining High Tech Urban Construction Investment Co., Ltd.	40230
382	Jinke Property Group Co., Ltd.	40695
383	Jisco SR Pearl Ltd.	5953
384	Jiu An Finance Co., Ltd	40313
385	Jiujiang Municipal Development Group Co., Ltd.	40697
386	Joy Treasure Assets Holdings Inc.	5901
387	Joy Treasure Assets Holdings Inc.	5903
388	Joy Treasure Assets Holdings Inc.	6027
389	Joy Treasure Assets Holdings Inc.	40000
390	Joy Treasure Assets Holdings Inc.	40467
391	Joy Treasure Assets Holdings Inc.	40468
392	King Power Capital Ltd.	5823
393	King Talent Management Limited	5026
394	Korean Reinsurance Company	5815
395	Kunming Dianchi Investment Co. Ltd.	5569
396	Kunming Municipal Urban Construction Investment & Development Co., Ltd.	40026
397	Kunming Rail Transit Group Co., Ltd.	40100
398	Kunming Rail Transit Group Co., Ltd.	40101
399	Lai Fung Bonds (2018) Limited	5087
400	Legend Fortune Limited	5128
401	Liang Shan International Development Limited	5585
402	Lingang Wings Inc	5149
403	LinJiang Investment and Development (BVI) Co., Ltd	40087
404	Liuzhou Dongtong Investment & Development Co., Ltd.	4329
405	Longchuang Group Limited	40492
406	LS Finance (2017) Limited	4471
407	LS Finance (2017) Limited	40731
408	LS Finance (2022) Limited	4571
409	LS Finance (2025) Limited	5535
410	LSD Bonds (2017) Limited	5270
411	Luso International Banking Limited	5078
412	Malaysia Sovereign Sukuk Berhad	5894
413	Malaysia Sovereign Sukuk Berhad	5895
414	Malaysia Sukuk Global Berhad	5633
415	Malaysia Sukuk Global Berhad	5634
416	Malaysia Wakala Sukuk Berhad	40662
417	Malaysia Wakala Sukuk Berhad	40663
418	MCC Holding (Hong Kong) Corporation Limited	4483
419	MCC Holding (Hong Kong) Corporation Limited	40188
420	MCC Holding (Hong Kong) Corporation Limited	40653
421	Mega Wisdom Global Limited	40456
422	Meilun (BVI) Limited	5625
423	Miayang Investment Holding (Group) Co., Ltd.	40039
424	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5553
425	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5688
426	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5689
427	Minmetals Bounteous Finance (BVI) Limited	40175
428	Minmetals Land Capital Limited	5933
429	Mudanjiang City Investment Group Co., Ltd.	5297
430	Nanchang Jinkai Group Co., Ltd.	40626
431	Nanchang Linkong Economic Zone City Construction Investment and Development Group Co., Ltd.	40288
432	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5053
433	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5054
434	Nanning Communications Investment Group Co., Ltd.	40692
435	Nanshan Capital Holdings Limited	40740
436	Nanyang Commercial Bank, Limited	5214
437	Nanyang Commercial Bank, Limited	40069
438	Neijiang Investment Holding Group Co., Ltd.	40293
439	Neijiang Investment Holding Group Co., Ltd.	40297
440	New Lion Bridge Co., Ltd.	40637
441	New Momentum International Limited	5997
442	New Momentum International Limited	40633
443	New Momentum International Limited	40746
444	Nuoxi Capital Limited	4402
445	Nuoxi Capital Limited	5484
446	Nuoxi Capital Limited	5704
447	Nuoxi Capital Limited	5996
448	NWD Finance (BVI) Limited	4561
449	NWD Finance (BVI) Limited	5856
450	NWD Finance (BVI) Limited	40262
451	NWD Finance (BVI) Limited	40504
452	NWD Finance (BVI) Limited	40711
453	Ocean Laurel Co. Limited	40414
454	Oceanwide Holdings International Development III Co., Ltd.	5196
455	Omni Ireland Credit Card Securitization T3 DAC	40476
456	Omni Ireland Credit Card Securitization T3 DAC	40477
457	Omni Ireland Credit Card Securitization T3 DAC	40478
458	Omni Ireland Credit Card Securitization T3 DAC	40479
459	Omni Ireland Credit Card Securitization T3 DAC	40480

460	Omni Ireland Credit Card Securitization T3 DAC	40481
461	Omni Ireland Credit Card Securitization T3 DAC	40482
462	Omni Ireland Credit Card Securitization T3 DAC	40483
463	Omni Ireland Credit Card Securitization T3 DAC	40484
464	Omni Ireland Credit Card Securitization T3 DAC	40485
465	Omni Ireland Credit Card Securitization T3 DAC	40486
466	Omni Ireland Credit Card Securitization T3 DAC	40487
467	Omni Ireland Credit Card Securitization T3 DAC	40488
468	Omni Ireland Credit Card Securitization T3 DAC	40489
469	Orient Huizhi Limited	5041
470	Oriental Capital Company Limited	40025
471	OVPH Limited	5614
472	Panther Ventures Limited	40378
473	Panther Ventures Limited	40512
474	PB Issuer (No.4) Limited	5525
475	PB Issuer (No.5) Limited	40097
476	PCGI Intermediate Holdings (II) Limited	40074
477	PCGI Intermediate Limited	40040
478	PCGI Intermediate Limited	40040
479	Peak Re (BVI) Holding Limited	40437
480	Perfect Point Ventures Limited	4566
481	Petron Corporation	5905
482	PHBS Limited	4325
483	Phoenix Charm International Investment Limited	40058
484	Phoenix Charm International Investment Limited	40071
485	Phoenix Lead Limited	5262
486	Pingdu State-owned Assets Management Co., Ltd.	40207
487	Pingxiang Urban Construction Investment Developing Company	4535
488	Pioneer Reward Limited	4530
489	Pioneer Reward Limited	40143
490	Pioneer Reward Limited	40643
491	Pioneer Reward Limited	40644
492	Poly Real Estate Finance Ltd	4417
493	Poly Real Estate Finance Ltd	5164
494	Poly Real Estate Finance Ltd	5916
495	Power Best Global Investments Limited	40515
496	Powerchina Real Estate Group Ltd.	5471
497	Powerchina Roadbridge Group (British Virgin Islands) Limited	40635
498	Press Metal (Labuan) Ltd.	5299
499	Prosperous Ray Limited	5994
500	Proven Honour Capital Limited	5516
501	Proven Honour Capital Limited	5641
502	Pterosaur Capital Co., Limited	6021
503	PTT Public Company Limited	2527
504	Puyang Investment Group Co., Ltd.	40400
505	Puyang Investment Group Co., Ltd.	40407
506	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5951
507	Qingdao Jiaozhou Bay Development Group Co., Ltd.	40710
508	Qingdao Jimo District Urban Development Investment Co., Ltd.	5658
509	Radiant Access Limited	5205
510	RKI Overseas Finance 2016 (B) Limited	4309
511	Rongshi International Finance Limited	5448
512	Rongshi International Finance Limited	5449
513	Rosy Delta Investments Limited	5097
514	Rowen International Co., Limited	40491
515	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40555
516	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40616
517	Rudong County Tongtai Investment Group Co., Ltd.	40677
518	Rugao Economic and Trade Development Company	40041
519	Rugao Economic and Trade Development Company	40133
520	Rugao Economic and Trade Development Company	40291
521	SCI (HK) Development Limited	40631
522	SCI (HK) Development Limited	40632
523	SCIG International Financial Limited	5174
524	SDG FINANCE I LIMITED	4539
525	SDG FINANCE I LIMITED	5192
526	SDSC Global Finance Limited	5303
527	SDSC International Development Limited	40022
528	SF Holding Investment Limited	5130
529	SF Holding Investment Limited	40152
530	SFG International Holdings Co. Limited	40704
531	Shaanxi Financial Asset Management Co.,Ltd.	40620
532	Shaanxi Xixian New Area Fengxi New City Development and Construction (Group) Co., Ltd.	40073
533	Shaanxi Xixian New Area Fengxi New City Development and Construction (Group) Co., Ltd.	5504
534	Shaanxi Xixian New Area Qinhan New City Development and Construction Group Co., Ltd.	5935
535	Shandong Commercial Group Co., Ltd.	5512
536	Shandong Iron and Steel Xinheng International Company Limited	5470
537	Shandong Iron and Steel Xinheng International Company Limited	40003
538	Shandong Iron and Steel Xinheng International Company Limited	40458
539	Shanghai Commercial Bank Limited	5043
540	Shanghai Commercial Bank Limited	5530
541	Shanghai Port Group (BVI) Development 2 Co., Limited	40298
542	Shanghai Port Group (BVI) Development 2 Co., Limited	40299
543	Shanghai Port Group (BVI) Development Co., Limited	4587
544	Shanghai Port Group (BVI) Development Co., Limited	5734
545	Shanghai Port Group (BVI) Development Co., Limited	5741

546	Shanghai Port Group (BVI) Holding Co., Limited	5255
547	Shanghai Port Group (BVI) Holding Co., Limited	5256
548	Shangrao Investment Holdings International Company Limited	40122
549	Shanghai (Hong Kong) International Investments Limited	40009
550	Shanxi Securities Co., Ltd.	40673
551	Shaoxing City Investment Group Limited	5489
552	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	40082
553	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	40617
554	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	40618
555	Shengzhou Investment Holdings Co., Ltd.	40119
556	Shengzhou Investment Holdings Co., Ltd.	40267
557	Shouguang City Construction Investment Development Co., Ltd.	40233
558	Shouguang City Construction Investment Development Co., Ltd.	40495
559	Shuifa International Holdings (BVI) Co., Ltd.	5992
560	Shuifa International Holdings (BVI) Co., Ltd.	40214
561	Shuifa International Holdings (BVI) Co., Ltd.	40624
562	Si Fa Investment Limited	5490
563	Si Fa Investment Limited	40640
564	Sichuan Communications Overseas Development Co., Ltd.	5399
565	Sichuan Gloport Investment Development Group Co., Ltd	40196
566	Sichuan Railway Investment Group Co., Ltd.	5575
567	SIHC International Capital Ltd	5176
568	SIHC International Capital Ltd	5177
569	Sino Trendy Investment Limited	40438
570	Sinochem Global Capital Co., Ltd.	5935
571	Sinochem Overseas Capital Company Limited	4502
572	Sino-Ocean Land Treasure Finance I Limited	5782
573	Sino-Ocean Land Treasure Finance II Limited	5869
574	Sino-Ocean Land Treasure III Limited	5276
575	Sino-Ocean Land Treasure IV Limited	5131
576	Sino-Ocean Land Treasure IV Limited	5623
577	Sino-Ocean Land Treasure IV Limited	5712
578	Sino-Ocean Land Treasure IV Limited	40115
579	Sino-Ocean Land Treasure IV Limited	40670
580	Sinosing Services Pte. Ltd.	40155
581	Sinosing Services Pte. Ltd.	40156
582	Skyland Mining (BVI) Limited	40266
583	Skysea International Capital Management Limited	4529
584	SmarTone Finance Limited	5917
585	Societe Generale	5947
586	Societe Generale	5959
587	Societe Generale	5960
588	Societe Generale	5961
589	Societe Generale	5962
590	SPIC 2016 US dollar Bond Company Limited	5324
591	SPIC 2016 US dollar Bond Company Limited	5325
592	SPIC 2018 USD Senior Perpetual Bond Company Limited	5453
593	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l.	5193
594	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l.	5194
595	State Grid Overseas Investment (2013) Limited	5954
596	State Grid Overseas Investment (2013) Limited	5955
597	State Grid Overseas Investment (2014) Limited	5742
598	State Grid Overseas Investment (2014) Limited	5743
599	State Grid Overseas Investment (2016) Limited	5650
600	State Grid Overseas Investment (2016) Limited	5651
601	State Grid Overseas Investment (2016) Limited	5652
602	Strategic International Group Limited	5241
603	Strategic International Group Limited	40285
604	Sunny Express Enterprises Corp.	5906
605	Sunny Express Enterprises Corp.	40030
606	Sunny Express Enterprises Corp.	40031
607	Sunny Express Enterprises Corp.	40203
608	Sunny Express Enterprises Corp.	40204
609	Sunshine Life Insurance Corporation Limited	5629
610	Suqian Economic Development (BVI) Co., Limited	40034
611	Suzhou City Construction Investment Group (Holding) Co., Ltd.	5723
612	Taihu Pearl Oriental Company Limited	40326
613	Taikang Insurance Group Inc.	5349
614	Taishan City Investment Co., Limited	40608
615	Taiyuan Longcheng Development Investment Group Co., Ltd.	40281
616	Taiyuan State-owned Investment Group Company Limited	6005
617	Taizhou Haiminghui Trading Co., Ltd.	40573
618	Taizhou Infrastructure Construction Investment Group Co., Ltd	5197
619	Taizhou Urban Construction and Investment Development Group Co., Ltd.	40417
620	Talent Yield (Euro) Limited	40390
621	Talent Yield International Limited	40675
622	Talent Yield International Limited	40676
623	Tangshan International Investment (Hong Kong) Co., Ltd	40391
624	Tencent Music Entertainment Group	40365
625	Tencent Music Entertainment Group	40366
626	Tequ Mayflower Limited	40600
627	The Hongkong and Shanghai Banking Corporation Limited	4323
628	Tianfeng Securities Co., Ltd.	40090
629	Tianjin Lingang Investment Holding Co., Ltd.	5716
630	Tianjin Lingang Investment Holding Co., Ltd.	40234
631	Tianjin State-owned Capital Investment and Management Co., Ltd.	40103

632	Tianjin State-owned Capital Investment and Management Co., Ltd.	40104
633	Tianjin State-owned Capital Investment and Management Co., Ltd.	40105
634	Tianjin State-owned Capital Investment and Management Co., Ltd.	40106
635	Tianqi Finco Co., Ltd	5036
636	Tibet Financial Leasing Co., Ltd.	5707
637	Tongfang Aqua Limited	6001
638	Tongling Development Investment Group Company Limited	40153
639	Top Luxury Investment Limited	5598
640	Towngas (Finance) Limited	5749
641	Towngas (Finance) Limited	6018
642	Trade Horizon Global Limited	5133
643	Treasure Generator Limited	5709
644	Tsinghua Unic Limited	4414
645	Tsinghua Unic Limited	4415
646	Tuspark Forward Ltd.	4599
647	Tuspark Forward Ltd.	5143
648	TVB Finance Limited	4577
649	UHI Capital Ltd.	4572
650	Union Life Insurance Co., Ltd.	4322
651	Universe Trek Limited	40295
652	Universe Trek Limited	40499
653	Universe Trek Limited	40722
654	Urumqi Gaoxin Investment and Development Group Co., Ltd.	40429
655	Vertex Capital Investment Limited	5931
656	Viva Biotech Investment Management Limited	40514
657	Viva Incubator Investment Management Limited	40144
658	Wakala Global Sukuk Berhad	4519
659	Wanda Group Overseas Limited	5599
660	Wanda Group Overseas Limited	40386
661	Wanda Properties International Co. Limited	6023
662	Wanda Properties Overseas Limited	40088
663	Wanda Properties Overseas Limited	40139
664	Wanda Properties Overseas Limited	40665
665	Want Want China Finance Limited	5446
666	Wealthy Vision Holdings Limited	40701
667	Weifang Binhai Investment Development Co., Ltd.	40421
668	Weifang Urban Construction and Development Investment Group Co., Ltd.	5189
669	Weimob Investment Limited	40218
670	Weimob Investment Limited	40702
671	Wending Zhongyuan Company Limited	40376
672	WENS FOODSTUFF GROUP CO., LTD.	40442
673	WENS FOODSTUFF GROUP CO., LTD.	40443
674	Wuhan Financial Holdings (Group) Co., Ltd.	5498
675	Wuhan Jiangxia Agriculture Group Company Limited	40395
676	Wuhan Metro Group Co., Ltd.	5467
677	Wuhan Real Estate Development & Investment Group Co., Ltd.	5139
678	Wuhan Trading Group Co., Ltd	40474
679	Xi Hai An 2019 Limited	40067
680	Xi Yang Overseas Limited	4565
681	Xi'an Aerospace High-Tech Industry Development Co., Ltd	40187
682	Xi'an Aerospace High-Tech Industry Development Co., Ltd	40231
683	Xi'an Aerospace High-Tech Industry Development Group Co., Ltd	40576
684	Xi'an Aerospace High-Tech Industry Development Group Co., Ltd	40612
685	XI'AN PORT INDUSTRIAL CO., LIMITED	40496
686	XI'AN QUJIANG CULTURAL INDUSTRY INVESTMENT (GROUP) CO., LTD	40473
687	Xi'an Qujiang Culture Holdings Co., Ltd.	40002
688	Xi'an Qujiang Culture Holdings Co., Ltd.	40183
689	Xiangtan Urban & Rural Construction Development Group Co., Ltd.	40244
690	Xiangyu Investment (BVI) Co., Ltd.	5572
691	Xianjin Industry Investment Company Limited	40394
692	Xianyang Financial Holding Group Co., Ltd.	40263
693	Xihui Haiwai I Investment Holdings Co., Limited	5990
694	Xin Fu (BVI) Co., Limited	5985
695	Xingang International Holding Limited	40099
696	Xingchang International Company Limited	40294
697	Xingsheng (BVI) Company Limited	5172
698	Xingsheng (BVI) Company Limited	5606
699	Xinhu (BVI) 2018 Holding Company Limited	4569
700	Xinhu (BVI) 2018 Holding Company Limited	5497
701	Xinhu (BVI) 2018 Holding Company Limited	5889
702	Xinjiang Financial Investment Co., Ltd.	5907
703	Xinjiang Transportation Construction Investment Holding Co. Ltd.	5570
704	Xinjiang Transportation Construction Investment Holding Co. Ltd.	5952
705	Xinjiang Zhongtai (Group) Co., Ltd.	4567
706	Xinzhan Limited	40327
707	YAN GANG LIMITED	40622
708	Yancheng High-tech Zone Investment Group Co., Ltd.	40392
709	Yancoal International Resources Development Co., Limited	4552
710	Yancoal International Resources Development Co., Limited	5424
711	Yancoal International Resources Development Co., Ltd	5461
712	Yancoal International Resources Development Co., Ltd	40453
713	Yancoal International Trading Co., Limited	5753
714	Yango Justice International Limited	40705
715	Yangzhou Economic and Technological Development Zone Development Corporation	5513
716	Yangzhou Urban Construction State-Owned Assets Holding (Group) Co., Ltd.	40355
717	Yankuang Group (Cayman) Limited	5705

718	Yankuang Group (Cayman) Limited	40312
719	Yi Bright International Limited	40377
720	Yi Bright International Limited	40748
721	Yichang High-Tech Investment Development Co., Ltd.	5495
722	Yichun Development Investment HaiTong Co., Limited	40084
723	Yili Holding Investment Limited	40471
724	Yinchuan Tonglian Capital Investment Operation Co., Ltd.	40249
725	YONGDA Investment Limited	40252
726	Yunda Holding Investment Limited	40347
727	Yunnan Energy Investment Overseas Finance Company Ltd.	5013
728	Yunnan Energy Investment Overseas Finance Company Ltd.	5327
729	Yunnan Energy Investment Overseas Finance Company Ltd.	5457
730	Yunnan Energy Investment Overseas Finance Company Ltd.	40351
731	Yunnan Energy Investment Overseas Finance Company Ltd.	40352
732	Yun-Top International Investment Company (BVI) Limited	40318
733	Yuyao Economic Development Zone Construction Investment and Development Co., Ltd	5494
734	Yuyao Economic Development Zone Construction Investment and Development Co., Ltd	5998
735	ZGC INTERNATIONAL INVESTMENT LIMITED	40140
736	Zhangzhou Jiulongjiang Group Co., Ltd.	5730
737	Zhangzhou Transportation Development Group Co., Ltd.	5584
738	Zhaobing Investment (BVI) Limited	6018
739	Zhaojin Mining International Finance Limited	5851
740	Zhejiang Baron (BVI) Company Limited	40397
741	Zhejiang Baron (BVI) Company Limited	5146
742	Zhejiang Seaport International Co., Limited	40614
743	Zhejiang Xinchang Investment Development Co., Ltd.	40583
744	Zhengzhou Airport Economy Zone Xinggang Investment Group Co., Ltd.	40255
745	Zhengzhou Metro Group Co., Ltd.	5496
746	Zhengzhou Real Estate Group Co., Ltd.	40014
747	Zhengzhou Urban Construction Investment Group Co., Ltd.	40063
748	Zhengzhou Urban Construction Investment Group Co., Ltd.	40118
749	Zhenjiang Cultural Tourism Industry Group Co., Ltd.	40072
750	Zhenjiang New Area Urban Construction Investment Co., Ltd	40693
751	Zhongtai International Finance (BVI) Company Limited	40339
752	Zhongyuan Asset Management Co., Ltd	40078
753	Zhongyuan Sincere Investment Co. Ltd.	5596
754	Zhongyuan Zhicheng Co., Ltd.	40747
755	Zhoushan City Investment Group Corporation Limited	5487
756	Zhuhai Da Heng Qin Investment Co., Ltd.	40080
757	Zhuhai Da Heng Qin Investment Co., Ltd.	85915
758	Zhuji Development Limited	5480
759	Zhuzhou City Construction Development Group Co., Ltd.	4531
760	Zijin International Capital Company Limited	5188

第3類豁免：適用於股份在香港聯合交易所有限公司上市的以法團形式運作的開放式集體投資計劃

名稱	聯交所 股份代號	
1	Xtrackers FTSE Vietnam Swap UCITS ETF*	3087
2	Xtrackers FTSE China 50 UCITS ETF	3007
3	Xtrackers MSCI Brazil UCITS ETF	3048
4	Xtrackers MSCI Korea UCITS ETF	2848
5	Xtrackers MSCI Pacific ex Japan UCITS ETF	3043
6	Xtrackers MSCI Russia Capped Swap UCITS ETF*	3027
7	Xtrackers MSCI Taiwan UCITS ETF	3036
8	Xtrackers MSCI USA Swap UCITS ETF*	3020
9	Xtrackers MSCI World Swap UCITS ETF*	3019
10	Xtrackers Nifty 50 Swap UCITS ETF*	3015
11	Xtrackers CSI300 Swap UCITS ETF*	3049
12	Xtrackers MSCI China UCITS ETF	3055
13	Xtrackers MSCI Indonesia Swap UCITS ETF*	3099
14	Xtrackers MSCI Malaysia UCITS ETF	3082
15	Xtrackers MSCI Thailand UCITS ETF	3092
16	Xtrackers MSCI Philippines UCITS ETF	3016
17	Xtrackers MSCI Singapore UCITS ETF	3065
18	Global X China Consumer Brand ETF	2806 / 9806
19	Global X China Electric Vehicle ETF	2845 / 9845
20	Global X China Clean Energy ETF	2809 / 9809
21	Global X China Semiconductor ETF	3191 / 9191
22	Global X China Robotics and AI ETF	2807 / 9807
23	CSOP STAR 50 Index ETF	3109
24	Global X China Innovator Active ETF	3058
25	Global X China Global Leaders ETF	3050
26	CSOP Global Cloud Computing Technology Index ETF	3194
27	CSOP Huatai-PineBridge CSI Photovoltaic Industry ETF	3134

* 此基金為一隻合成交易所買賣基金

第4類豁免：適用於聯交所的交易所參與者

名稱	
1	中國創盈市場服務有限公司
2	中國投資信息有限公司

第5類豁免：適用於屬結算參與者的結算所

名稱

- 1 中國證券登記結算有限責任公司
- 2 中國證券登記結算(香港)有限公司

根據《證券及期貨條例》(第571章)第309(2)條批給的其他豁免

名稱

- 1 **Budweiser Brewing Company APAC Limited**
- 2 京東健康股份有限公司
- 3 京東物流股份有限公司