

根據《證券及期貨條例》(第571章)第309(2)條批給的豁免

以下載列就(一)發行在香港聯合交易所有限公司(聯交所)上市的證券的法團及(二)其他人士而批給的豁免。該等豁免就(一)批給該等法團、其大股東、董事及最高行政人員，及就(二)批給其他人士，使其無須就其於聯交所上市證券的權益所衍生的義務而遵從《證券及期貨條例》第XV部。本清單每月更新。

證監會網站內有關《證券及期貨條例》第XV部的網頁已登載證監會指引，列明根據該條例第309條批給豁免的依據。目前提供的豁免分為五類，而該指引已對該五類豁免加以述明。

第1類部份豁免：適用於股份已在香港聯合交易所有限公司及另一證券交易所上市的法團

名稱	聯交所 股份代號 (注解1)	
1 宏利金融有限公司	0945 - S	
2 渣打集團有限公司	2888	(及 4305, 5348, 5890, 5986, 40276 及 40518)
3 英國保誠有限公司	2378	
4 迅銷有限公司 - 預託證券	6288 - S	(該豁免僅適用於迅銷有限公司及其大股東)
5 阿里巴巴集團控股有限公司	9988 - SW	
6 京東集團股份有限公司	9618 - SW	
7 網易股份有限公司	9999 - S	
8 華住集團有限公司	1179 - S	
9 萬國數據控股有限公司	9698 - SW	
10 新東方教育科技(集團)有限公司	9901 - S	
11 汽車之家	2518 - S	
12 百度集團股份有限公司	9888 - SW	
13 攜程集團有限公司	9961 - S	
14 微博股份有限公司	9898 - SW	
15 蔚來集團	9866 - SW	
16 騰訊音樂娛樂集團	1698 - SW	
17 360數科股份有限公司	3660 - S	

注解1: S - 第二上市公司
W - 不同投票權架構的公司
B - 根據《上市規則》第18A章上市的生物科技公司

第2類豁免：適用於並無股份在香港聯合交易所有限公司上市的法團

(a)第2類豁免：適用於結構性產品現時在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

名稱	豁免期限 (注解2)	
	豁免開始日	豁免終止日
1 Bank Vontobel AG	2020年5月31日	2024年5月30日
2 BOCI Aisa Limited	2007年12月21日	2024年12月20日
3 BNP Paribas Issuance B.V.	2007年12月21日	2024年12月20日
4 CITIC Securities Brokerage (HK) Limited	2024年2月22日	2025年2月21日
5 Citigroup Global Markets Europe AG	2019年11月1日	2024年10月31日
6 Credit Suisse AG	2007年12月21日	2024年12月20日
7 DBS Bank Ltd.	2021年8月24日	2024年8月23日
8 Goldman Sachs Structured Products (Asia) Limited	2007年12月21日	2024年12月20日
9 Guotai Junan Securities (Hong Kong) Limited	2019年10月28日	2024年10月27日
10 Haitong International Securities Company Limited	2017年3月16日	2025年3月15日
11 Huatai Financial Holdings (Hong Kong) Limited	2023年6月1日	2024年5月31日
12 J.P. Morgan Structured Products B.V.	2007年12月21日	2024年12月20日
13 Korea Investment & Securities Asia Limited	2023年12月4日	2024年12月3日
14 Macquarie Bank Limited	2008年1月1日	2024年12月31日
15 Morgan Stanley Asia Products Limited	2019年7月22日	2024年7月21日
16 SG Issuer	2016年4月1日	2025年3月31日
17 The Hongkong and Shanghai Banking Corporation Ltd	2007年12月23日	2024年12月22日
18 UBS AG	2007年12月21日	2024年12月20日

注解2: 授予以上法團的豁免期為12個月(或更少)，並豁免該法團在豁免期內所發行及在香港聯合交易所有限公司上市的結構性產品直至該結構性產品有效期完結為止。

(b)第2類豁免：適用於債務項目下發行的債務證券在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

名稱	項目
1 AirAsia Global Notes Limited	US\$1,000,000,000 Multicurrency Medium Term Note Programme
2 Amipeace Ltd.	HK\$20,000,000,000 Medium Term Note Programme
3 AMTD Group Company Limited	U.S. \$500,000,000 Medium Term Note Programme
4 AMTD IDEA Group	U.S. \$1,000,000,000 Medium Term Note Programme
5 AVIC International Leasing Co., Ltd.	US\$500,000,000 Medium Term Note Programme
6 AVIC International Leasing Co., Ltd.	U.S. \$500,000,000 Medium Term Note Programme
7 Azure Nova International Finance Limited	U.S. \$10,000,000,000 Medium Term Note Programme
8 Azure Orbit II International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
9 Azure Orbit IV International Finance Limited	U.S. \$7,000,000,000 Medium Term Note Programme

10	Bank of China (Hong Kong) Limited	U.S.\$15,000,000,000 Medium Term Note Programme
11	Bank of China (Luxembourg) S.A.	US\$30,000,000,000 Medium Term Note Programm
12	Beijing Capital Polaris Investment Co. Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
13	Bluestar Finance Holdings Limited	US\$3,000,000,000 Medium Term Note and Perpetual Securities Programme
14	Bocom Leasing Management Hong Kong Company Limited	US\$10,000,000,000 Medium Term Note Programme
15	Boom Up Investments Limited	US\$1,000,000,000 Medium Term Note Programme
16	CALC Bonds Limited	US\$3,000,000,000 Medium Term Note Programme
17	Castle Peak Power Finance Company Limited	U.S.\$2,000,000,000 Medium Term Note Programme
18	Cathay Pacific MTN Financing (HK) Limited	US\$2 billion Medium Term Note Programme
19	CCBL (Cayman) 1 Corporation Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
20	CDBI Treasure I Limited	US\$500,000,000 Medium Term Note Programme
21	CDBL Funding 1	U.S.\$3,000,000,000 Medium Term Note Programme
22	CDBL Funding 1	US\$3,000,000,000 Medium Term Note Programme
23	CDBL Funding 2	US\$3,000,000,000 Medium Term Note Programme
24	Central Plaza Development Limited	US\$2,000,000,000 Guaranteed Medium Term Programme
25	CGNPC INTERNATIONAL LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
26	Chailease International Finance Corporation	U.S.\$500,000,000 Medium Term Note Programme
27	Champion MTN Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
28	Charming Light Investments Ltd. and United Wealth Development Ltd.	US\$8,000,000,000 Medium Term Note Programme
29	Cheung Kong Finance (MTN) Limited and Joynote Ltd	US\$2,000,000,000 Medium Term Note Programme
30	Cheung Kong Infrastructure Finance (BVI) Limited	US\$2,000,000,000 Euro Medium Term Note Programme
31	China Cinda (2020) I Management Limited	U.S.\$4,000,000,000 Guaranteed Medium Term Note Programme
32	China Cinda (2020) I Management Limited	US\$2,000,000,000 Medium Term Note Programme
33	China Cinda Finance (2015) I Limited and China Cinda Finance (2015) II Limited	US\$3,000,000,000 Guaranteed Medium Term Note Programme
34	China Cinda Finance (2017) I Limited and China Cinda Finance (2017) II Limited	US\$10,000,000,000 Medium Term Note Programme
35	China CITIC Bank International Limited	US\$3,000,000,000 Medium Term Note Programme
36	China Construction Bank (Asia) Corporation Limited	US\$5,000,000,000 Medium Term Note Programme
37	China Development Bank Corporation and China Development Bank Corporation Hong Kong Branch	US\$30,000,000,000 Debt Issuance Programme
38	China Great Wall International Holdings III Limited	U.S.\$6,500,000,000 Medium Term Note Programme
39	China Overseas Finance (Cayman) VIII Limited	US\$2.5 billion medium note programme
40	CICC Hong Kong Finance 2016 MTN Limited	US\$10,000,000,000 Guaranteed Medium Term Note Programme
41	CITIC Securities Finance MTN Co., Ltd.	US\$3 billion Medium Term Note Programme
42	CK Property Finance (MTN) Limited	US\$5,000,000,000 Euro Medium Term Note Programme
43	CMB International Leasing Management Limited	US\$20,000,000,000 Medium Term Note Programme
44	CMB Wing Lung Bank Limited	U.S.\$2,000,000,000 Euro Medium Term Note Programme
45	CMBLEMTN1 Limited	U.S.\$5,000,000,000 Medium Term Note Programme
46	CMS International Gemstone Limited	US\$2,000,000,000 Medium Term Note Programme
47	CNCBINV 1 (BVI) Limited	US\$2,000,000,000 Medium Term Note Programme
48	CNPC General Capital Limited	US\$7,000,000,000 Euro Medium Term Note Programme
49	Connery Capital Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
50	COSL Singapore Capital Ltd.	US\$3,500,000,000 Euro Medium Term Note Programme
51	CSCIF Asia Limited	US\$3,000,000,000 Medium Term Note Programme
52	CSI MTN Limited	U.S.\$3,000,000,000 Medium Term Note Programme
53	Dah Sing Bank, Limited	US\$2,000,000,000 Euro Medium Term Note Programme
54	DianJian Haiyu Limited, Dianjian International Finance Limited, Leader Goal International Limited, SEPCO Virgin Limited	U.S.\$2,500,000,000 Medium Term Note and Senior Perpetual Securities Programme
55	Eastern Creation II Investment Holdings Ltd.	US\$6,000,000,000 Guaranteed Euro Medium Term Note Programme
56	Everbright SHK (BVI) Limited	US\$1,000,000,000 Medium Term Note Programme
57	FEC Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
58	Franshion Brilliant Limited	US\$2,000,000,000 Medium Term Note Programme
59	Future Days Limited	US\$5,000,000,000 Medium Term Note Programme
60	FWD Group Holdings Limited	US\$5,000,000,000 Medium Term Note and Capital Securities Programme
61	GE (MTN) LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
62	Gemdale Ever Prosperity Investment Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
63	GLP China Holdings Limited	HK\$20,000,000,000 Medium Term Note Programme
64	Goodman HK Finance	US\$2,000,000,000 Medium Term Note Programme
65	Greenland Global Investment Limited	US\$8,000,000,000 Guaranteed Medium Term Notes Programme
66	Guangzhou Metro Investment Finance (BVI) Ltd.	US\$3,000,000,000 Guaranteed Medium Term Note Programme
67	Guotai Junan Holdings Limited	USD3,000,000,000 Medium Term Note Programme
68	Haitong International Finance Holdings Limited	USD1,000,000,000 Guaranteed Medium Term Note Programme
69	Haitong UT Brilliant Limited	USD1,000,000,000 Guaranteed Medium Term Note Programme
70	Henderson Land MTN Limited	US\$7,000,000,000 Guaranteed Medium Term Note Programme
71	HKCG (Finance) Limited	US\$3,000,000,000 Medium Term Note Programme
72	HLP Finance Limited	US\$4 billion Medium Term Note Programme
73	Hong Kong Science and Technology Parks Corporation	HK\$1,707,000,000 Guaranteed Medium Term Note Programme
74	Horse Gallop Finance Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
75	Horsepower Finance Limited	US\$1,200,000,000 Medium Term Note Programme
76	Huarong Finance 2017 Co., Ltd.	U.S.\$14,500,000,000 Medium Term Note Programme
77	Huarong Finance 2019 Co., Ltd.	US\$2,900,000,000 Medium Term Note Programme
78	Huarong Finance II Co., Ltd	US\$11,000,000,000 Medium Term Note Programme
79	Huarong Leasing Management Hong Kong Company Limited	U.S.\$1,000,000,000 Medium Term Note Programme
80	Huatai International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
81	Hysan (MTN) Limited	US\$4,000,000,000 Medium Term Note Programme
82	ICBCIL Finance Co. Limited	US\$20,000,000,000 Medium Term Note Programme
83	Industrial and Commercial Bank of Asia (China) Limited	U.S.\$6,000,000,000 Medium Term Note Programme
84	Industrial Bank Co., Ltd.	U.S.\$5,000,000,000 Medium Term Note Programme
85	Inventive Global Investments Limited	U.S.\$3,500,000,000 Medium Term Note Programme
86	Inventive Global Investments Limited	U.S.\$3,500,000,000 Medium Term Note Programme
87	Joy Treasure Assets Holdings Inc.	USD1,400,000,000 Medium Term Note Programme
88	Joyous Glory Group Limited	US\$1,000,000,000 Medium Term Note Programme

89	K.Wah International Financial Services Limited	US\$1,000,000,000 Medium Term Note Programme
90	Kunming Traffic Investment Co., Ltd.	US\$2,000,000,000 Medium Term Note Programme
91	Lai Fung MTN Limited	US\$2,000,000,000 Medium Term Note Programme
92	LAI SUN MTN LIMITED	US\$2,000,000,000 Medium Term Note Programme
93	Legend Fortune Limited	US\$2,000,000,000 Medium Term Note Programme
94	Midea Investment Development Company Limited	US\$3,000,000,000 Medium Term Note Programme
95	Modern Terminals Capital Limited	US\$1 billion Medium Term Note Programme
96	MTR Corporation (C.I.) Limited	US\$4 billion Medium Term Note Programme
97	New World China Land Limited	US\$2,000,000,000 Medium Term Note Programme
98	NWD (MTN) Limited	US\$7,000,000,000 Medium Term Note Programme
99	NWS (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
100	P&R International Treasury Limited	U.S.\$300,000,000 Medium Term Note and Perpetual Securities Programme
101	PETRONAS Capital Limited and PETRONAS Energy Canada Ltd.	US\$15,000,000,000 Medium Term Note Programme
102	Pingan Real Estate Capital Limited	US\$1,000,000,000 Medium Term Note Programme
103	Pioneer Reward Limited	USD3,000,000,000 Medium Term Note Programme
104	Power Assets Finance Limited	U.S.\$2,000,000,000 Medium Term Note Programme
105	Proven Glory Capital Limited	US\$5,000,000,000 Medium Term Note Programme
106	QNB Finance Ltd	U.S.\$22,500,000,000 Medium Term Note Programme
107	R-REIT International Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
108	RH International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
109	Rongshi International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
110	Shanghai Pudong Development Bank Co., Ltd., Hong Kong Branch	US\$5,000,000,000 Euro Medium Term Note Programme
111	Sichuan Development Holding Co., Ltd. and Yieldking Investment Limited	US\$2,000,000,000 Medium Term Note Programme
112	Silverbell Asia Limited	US\$1,000,000,000 Medium Term Note Programme
113	Sino (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
114	Sinochem Offshore Capital Company Limited	US\$15,000,000,000 Global Medium Term Note Programme
115	Soar Great Limited	US\$1,500,000,000 Guaranteed Medium Term Note Programme
116	Soar Wind Ltd	US\$3,500,000,000 Guaranteed Medium Term Note and Perpetual Capital Securities Programme
117	Soar Wise Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
118	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l., SPIC MTN Company Ltd.	U.S.\$3,000,000,000 Medium Term Note and Perpetual Securities Programme
119	Splendid International Investment Limited	U.S.\$1,500,000,000 Medium Term Note Programme
120	Standard Chartered Bank (Hong Kong) Limited and Standard Chartered Bank	US\$50,000,000,000 Debt Issuance Programme
121	State Elite Global Limited	US\$2,700,000,000 Medium Term Note Programme
122	State Grid Overseas Investment (2016) Limited	US\$15,000,000,000 Medium Term Note Programme
123	Sun Hung Kai Properties (Capital Market) Limited	US\$10,000,000,000 Medium Term Note Programme
124	Sunlight REIT MTN Limited	US\$1,000,000,000 Medium Term Note Programme
125	Swire Pacific MTN Financing (HK) Limited	US\$5,000,000,000 Medium Term Note Programme
126	Swire Pacific MTN Financing Limited	US\$5,000,000,000 Medium Term Note Programme
127	Swire Properties MTN Financing Limited	US\$3,000,000,000 Medium Term Note Programme
128	TCCL (Finance) Limited	U.S.\$2,000,000,000 Medium Term Note Programme
129	TFI Overseas Investment Limited	U.S.\$750,000,000 Structured Note and Medium Term Note Programme
130	The Hongkong and Shanghai Banking Corporation Limited	US\$20,000,000,000 Medium Term Note Programme
131	The Hong Kong Mortgage Corporation Limited	US\$30,000,000,000 Medium Term Note Programme
132	The Link Finance (Cayman) 2009 Limited	US\$5,000,000,000 Guaranteed Euro Medium Term Note Programme
133	Unican Limited	US\$1,000,000,000 Guaranteed Euro Medium Term Note Programme
134	Urban Renewal Authority	US\$1 billion Medium Term Note Programme
135	Vanke Real Estate (Hong Kong) Company Limited	US\$9,500,000,000 Medium Term Note Programme
136	Westwood Group Holdings Limited	US\$3,000,000,000 Medium Term Note Programme
137	Wharf Finance (BVI) Limited, Wharf Finance (BVI) No.1 Limited, Wharf Finance (No.1) Limited and Wharf Finance Limited	US\$7 billion Medium Term Note Programme
138	Wharf REIC Finance (BVI) Limited	U.S.\$5,000,000,000 Medium Term Note Programme
139	Wheelock MTN (BVI) Limited	US\$5 billion Medium Term Note Programme
140	Wuhan Metro Group Co., Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
141	Xingcheng (BVI) Limited	US\$1,500,000,000 Medium Term Note Programme
142	Yuexiu REIT MTN Company Limited	US\$1,500,000,000 Medium Term Note Programme
143	Zhejiang Energy International Limited	USD2,000,000,000 Medium Term Note Programme

(c)第2類豁免：適用於就某一特定發行的債務證券現時在香港聯合交易所有限公司上市的法團（並無股份在香港聯合交易所有限公司上市）

名稱	聯交所 股份代號
1 Agricultural Development Bank of China	85909
2 Agricultural Development Bank of China	85924
3 Airport Authority	4701
4 Alibaba Group Holding Limited	5844
5 Alibaba Group Holding Limited	5845
6 Anhui Boyang Urban Construction Holding Group Co., Ltd.	5429
7 Anhui Transportation Holding Group (H.K.) Limited	40815
8 Anji Qicai Lingfeng Rural Tourism Investment Co., Ltd	40844
9 Anji Qicai Lingfeng Rural Tourism Investment Co., Ltd	4531
10 AVIC International Finance & Investment Limited	40388
11 AVIC International Finance & Investment Limited	40563
12 AVIC International Finance & Investment Limited	40919
13 AVIC International Finance & Investment Limited	5012
14 Azure Orbit III International Finance Limited	5620
15 Bank of Communications (Hong Kong) Limited	40176
16 Bank of Communications (Hong Kong) Limited	40751

17	Baoji Investment (Group) Co., Ltd.	40959
18	Bauhinia ILBS 1 Limited	5797
19	Bauhinia ILBS 1 Limited	5790
20	Bauhinia ILBS 1 Limited	5791
21	Bauhinia ILBS 1 Limited	5792
22	Bauhinia ILBS 1 Limited	5795
23	BCEG (HongKong) Company Limited	40743
24	Beijing Environment (BVI) International Co., Ltd	40860
25	Beijing Gas Singapore Capital Corporation	4425
26	Beijing State-Owned Assets Management (Hong Kong) Company Limited	5521
27	Beijing State-owned Capital Operation and Management Center Investment Holdings Limited	4495
28	Bengbu Gaoxin Investment Group Co., Ltd.	5412
29	Bi Hai Co., Ltd.	4540
30	Bijie Shengfeng Agricultural Development Co., Ltd.	5799
31	Binjiang Guotou Limited	5071
32	Binzhou Bincheng Economic Development Investment Company Limited	5420
33	Black Peony (Hong Kong) Holding Co., Limited	5839
34	Blossom Joy Limited	40426
35	Blossom Joy Limited	40427
36	Blue Bright Limited	40596
37	Blue Bright Limited	40245
38	Blue Skyview Company Limited	5364
39	Bluestar Finance Holdings Limited	4550
40	Bluestar Finance Holdings Limited	5089
41	Bluestar Finance Holdings Limited	5599
42	Bluestar Finance Holdings Limited	40274
43	Bluestar Finance Holdings Limited	4487
44	BNY Trust Company of Australia Limited	5766
45	BNY Trust Company of Australia Limited	40142
46	BNY Trust Company of Australia Limited	40878
47	Boen Investment Limited	40941
48	Boen Investment Limited	40942
49	Bright Galaxy International Limited	40762
50	Cable and Wireless International Finance B.V.	1077
51	Cable and Wireless International Finance B.V.	1078
52	Caofeidian State Holding Investment Group Company Limited	4456
53	Cathay Pacific Finance III Limited	40580
54	CCB Life Insurance Company Limited	5437
55	CCCI Treasure Limited	5893
56	CCCI Treasure Limited	40157
57	CCCI Treasure Limited	40158
58	CCTHK 2021 Ltd.	4410
59	CCTHK 2021 Ltd.	4411
60	CDBL Funding 1	5850
61	CEBI Splendid Limited	40962
62	Celestial Dynasty Limited	5594
63	CELESTIAL MILES LIMITED	5706
64	CENTRAL INTERNATIONAL DEVELOPMENT (BVI) LIMITED	40812
65	Central Plaza Development Ltd.	5919
66	CGNPC International Limited	5059
67	CGNPC International Limited	5154
68	CGNPC International Limited	5519
69	Chalco Hong Kong Investment Company Limited	5920
70	Chalco Hong Kong Investment Company Limited	5727
71	Chalco Hong Kong Investment Company Limited	5985
72	Chalco Hong Kong Investment Company Limited	40783
73	Chalco Hong Kong Investment Company Limited	40784
74	Chalieco Hong Kong Corporation Limited	5321
75	Chalieco Hong Kong Corporation Limited	5702
76	Chalieco Hong Kong Corporation Limited	40070
77	Champion Path Holdings Limited	40559
78	Champion Path Holdings Limited	40560
79	Chang Development International Limited	40827
80	Chang Development International Limited	5318
81	Chang Development International Limited	40160
82	Changde Economic Construction Investment Group Co., Ltd.	5334
83	Changde Urban Construction and Investment Group Co., Ltd.	5439
84	Changde Urban Construction and Investment Group Co., Ltd.	5892
85	Changde Urban Development Group Co., Ltd.	84476
86	Changde Urban Development Group Co., Ltd.	84494
87	Changsha Jinxia New District City Development Co., Ltd	5228
88	Changxing Urban Construction Investment Group Co., Ltd.	4552
89	Charter Style International Limited	85993
90	Chengda International Co., Limited	5663
91	Chengda International Co., Limited	84456
92	Chengdu Aerotropolis City Development Group Co., Ltd.	40865
93	Chengdu Aerotropolis City Development Group Co., Ltd.	5784
94	Chengdu Airport Xingcheng Investment Group Co., Ltd.	5216
95	Chengdu Communications Investment Group Corporation Limited	5062
96	Chengdu Communications Investment Group Corporation Limited	40948
97	Chengdu Dongfangguangyi Investment Co., Ltd.	5070
98	Chengdu Economic Development Industrial Investment Group Co., Ltd.	5339
99	Chengdu Hi-Tech Investment Group Co., Ltd.	40886
100	Chengdu Jianjiang Investment Group Co., Ltd.	5219
101	Chengdu Jianjiang Investment Group Co., Ltd.	5288
102	Chengdu Jianjiang Investment Group Co., Ltd.	5436
103	Chengdu Jiaozhi Financial Holding Group Co., Ltd.	40645
104	Chengdu Jingkai Guotou Investment Group Co., Ltd.	40956

105	CHENGDU SINO FRENCH ECOLOGICAL PARK INVESTMENT DEVELOPMENT CO., LTD.	5559
106	CHENGDU SINO FRENCH ECOLOGICAL PARK INVESTMENT DEVELOPMENT CO., LTD.	5675
107	Chengdu Tianfu Dagang Group Co., Ltd.	5243
108	Chengdu Tianfu Dagang Group Co., Ltd.	5335
109	Chengdu Tianfu Dagang Group Co., Ltd.	5336
110	Chengdu Tianfu New Area Investment Group Co., Ltd	6011
111	Chengdu Wenjiang Xingrongxi City Operation Group Co., Ltd	5932
112	Chengdu Xinjin City Industry Development Group Company Ltd.	40180
113	Chengdu Xinjin City Industry Development Group Company Ltd.	84474
114	Chengdu Xisheng Investment Group Co., Ltd.	5500
115	Chengdu Yunlai Investment Group Co., Ltd.	40226
116	Cheung Kong Bond Securities (02) Limited	4559
117	Cheung Kong Bond Securities (03) Limited	4595
118	Cheung Kong Infrastructure Finance (BVI) Limited	5060
119	Cheung Kong Infrastructure Finance (BVI) Limited	40698
120	Cheung Kong Infrastructure Finance (BVI) Limited	40789
121	Chibi City Development Group Co., Ltd.	84505
122	China Cinda Finance (2014) Limited	5748
123	China CITIC Bank International Limited	5199
124	China CITIC Bank International Limited	5728
125	China CITIC Bank International Limited	5831
126	China CITIC Bank International Limited	5992
127	China CITIC Bank International Limited	4594
128	China Clean Energy Development Limited	5568
129	China Development Bank Corporation	85904
130	China Development Bank Corporation	85905
131	China Development Bank Corporation	85934
132	China Gezhouba Group (Hong Kong) Overseas Investment Co., Limited	40493
133	China Great Wall International Holdings IV Limited	5617
134	China Great Wall International Holdings IV Limited	5620
135	China Great Wall International Holdings V Limited	40350
136	China Great Wall International Holdings V Limited	40930
137	China Great Wall International Holdings VI Limited	5205
138	China Huadian Overseas Development 2018 Limited	40261
139	China Huadian Overseas Development Management Company Limited	4533
140	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40094
141	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40095
142	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40502
143	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40503
144	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40543
145	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40544
146	China Life Insurance (Overseas) Co., Ltd	5250
147	China Life Insurance (Overseas) Company Limited, Hong Kong Branch	5832
148	China Merchants Finance Company Limited	5555
149	China Minmetals Corporation	4442
150	China Minmetals Corporation	5009
151	China Overseas Finance (Cayman) III Limited	5989
152	China Overseas Finance (Cayman) V Limited	4580
153	China Overseas Finance (Cayman) VI Limited	5746
154	China Overseas Finance (Cayman) VI Limited	5760
155	China Overseas Finance (Cayman) VII Limited	4476
156	China Overseas Grand Oceans Finance IV (Cayman) Limited	40592
157	China Railway XunJie Co., Limited	5692
158	China Resources Power East Foundation Co., Ltd.	4516
159	China Shenhua Overseas Capital Company Limited	5863
160	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5167
161	China Southern Power Grid International Finance (BVI) Co., Limited	4598
162	China State Construction Finance (Cayman) I Limited	5462
163	China State Construction Finance (Cayman) I Limited	40712
164	China State Construction Finance (Cayman) II Limited	5038
165	China State Construction Finance (Cayman) III Limited	40083
166	Chinalco Capital Holdings Limited	5745
167	Chinalco Capital Holdings Limited	40706
168	Chinalco Capital Holdings Limited	4497
169	Chindata Group Holdings Limited	5697
170	Chiyu Banking Corporation Limited	5034
171	Chiyu Banking Corporation Limited	5109
172	Chiyu Banking Corporation Limited	5564
173	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	4562
174	Chongqing Fuling District New Urban Area Development (Group) Co., Ltd.	5509
175	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5699
176	Chongqing Southern New City Industrial Investment Group Co., Ltd.	40893
177	Chongqing Wansheng Economic and Technological Development Zone Development & Investment Group Co., Ltd.	40794
178	Chongqing Wansheng Industrial Park Development and Construction Co., Ltd.	84465
179	Chongqing Wansheng Industrial Park Development and Construction Co., Ltd.	84472
180	Chongqing Xinshuangquan Urban Construction and Development Co., Ltd.	84464
181	Chongqing Xinshuangquan Urban Construction and Development Co., Ltd.	84493
182	Chouzhou International Investment Limited	40154
183	Chouzhou International Investment Limited	5814
184	CITIC Telecom International Finance Limited	5908
185	Cixi State-owned Assets Overseas Development Company Limited	40272
186	CLP Power HK Finance Ltd	40044
187	CLP Power HK Finance Ltd.	5740
188	CMHI Finance (BVI) Co., Ltd	5135
189	CMHI Finance (BVI) Co., Ltd	40408
190	CMHI Finance (BVI) Co., Ltd	40409
191	CMHI Finance (BVI) Co., Ltd	5275

192	CNCBINV 1 (BVI) Limited	40915
193	CNOOC Finance (2003) Limited	2568
194	CNOOC Finance (2011) Limited	4507
195	CNOOC Finance (2012) Limited	4547
196	CNOOC Finance (2013) Limited	5942
197	CNOOC Finance (2013) Limited	40016
198	CNOOC Finance (2015) Australia Pty Ltd	5507
199	CNOOC Finance (2015) U.S.A. LLC	4478
200	CNOOC Finance (2015) U.S.A. LLC	5508
201	CNOOC Nexen Finance (2014) ULC	5738
202	CNOOC Nexen Finance (2014) ULC	5739
203	CNPC (HK) Overseas Capital Ltd.	4514
204	CNPC Global Capital Limited	84485
205	Contemporary Ruiding Development Limited	40381
206	Contemporary Ruiding Development Limited	40382
207	Contemporary Ruiding Development Limited	40833
208	COSL Singapore Capital Ltd.	40277
209	COSL Singapore Capital Ltd.	40278
210	CRCC Chengan Limited	5581
211	CRCC Hean Limited	40686
212	CRCC Hean Limited	40687
213	CRCC Yupeng Limited	5778
214	CSCEC Finance (Cayman) II Limited	5233
215	CSSC Capital 2015 Limited	40145
216	CSSC Capital 2015 Limited	40146
217	CSSC Capital 2015 Limited	40778
218	Dah Sing Bank, Limited	5316
219	Dah Sing Bank, Limited	5524
220	Dalian Deta Holding Co., Ltd.	5363
221	Deqing Construction & Development Group Co., Ltd.	40736
222	Deqing Construction & Development Group Co., Ltd.	4554
223	Deqing County Cultural Tourism Development Group Co., Ltd.	5116
224	Deqing County Cultural Tourism Development Group Co., Ltd.	5118
225	Deyang Development Holding Group Co., Ltd.	5659
226	Dianjian Haixing Limited	5816
227	DianJian Haiyu Limited	40396
228	DianJian Haiyu Limited	5144
229	Dongfeng Motor (Hong Kong) International Co., Limited	40883
230	Dongtai Chengxing Investment Development Co., Ltd.	5740
231	DONGTAI HUIMIN URBANIZATION CONSTRUCTION GROUP CO., LTD.	5458
232	DONGTAI HUIMIN URBANIZATION CONSTRUCTION GROUP CO., LTD.	5586
233	Dongxing Voyage Company Limited	5643
234	Dongyang State Owned Assets Investment Co., Ltd.	4329
235	Dujiangyan Minjiang Water Group Co., Ltd.	5368
236	Dujiangyan Urban and Rural Construction Group Co., Ltd.	84463
237	Dujiangyan Urban and Rural Construction Group Co., Ltd.	84483
238	Ease Trade Global Limited	40452
239	eHi Car Services Limited	40679
240	Elect Global Investments Limited	40173
241	Elect Global Investments Limited	40353
242	ENN Clean Energy International Investment Limited	40678
243	Eve Battery Investment Limited	40926
244	Excel Capital Global Limited	4496
245	Expand Lead Limited	40768
246	Foshan Gaoming Construction Investment Group Co., Ltd.	5148
247	FPC Capital Limited	5107
248	FPC Resources Limited	40373
249	Franshion Brilliant Limited	5030
250	Franshion Brilliant Limited	5229
251	Franshion Brilliant Limited	5289
252	Franshion Brilliant Limited	5347
253	Franshion Brilliant Limited	5447
254	Franshion Brilliant Limited	5472
255	Franshion Brilliant Limited	40641
256	Fu Gang Construction Group Co. Limited	4452
257	Fu Gang Construction Group Co. Limited	4451
258	Fu Gang Construction Group Co. Limited	4450
259	Fugao Wantai Group Co., Ltd.	4506
260	Fujian Shishi State-owned Capital Operation Group Co., Ltd.	5108
261	Fujian Zhanglong Group Co., Ltd.	5362
262	Fujian Zhanglong Group Co., Ltd.	5938
263	Fujian Zhangzhou City Investment Group Co., Ltd.	84469
264	Fuyuan Worldwide Limited	40947
265	Fuyuan Worldwide Limited	40974
266	Fuyuan Worldwide Limited	5114
267	Fuyuan Worldwide Limited	5835
268	Fuzhou Digital Economy Investment Group Co., Ltd	4429
269	Fuzhou Digital Economy Investment Group Co., Ltd	5529
270	FWD Group Limited	4403
271	FWD Group Limited	5019
272	FWD Group Limited	5221
273	FWD Group Limited	5793
274	FWD Limited	4416
275	FWD Limited	5351
276	FWD Limited	5803
277	Gansu Province Electric Power Investment Group Co., Ltd.	40871
278	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	40716
279	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5103

280	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	84454
281	Ganzhou Hengzhi Co., Limited	40726
282	Ganzhou Jiankong Investment Holding Group Co., Ltd.	4567
283	Ganzhou Urban Investment Holding Group Co., Ltd.	40879
284	Ganzhou Urban Investment Holding Group Co., Ltd.	5526
285	Ganzhou Urban Investment Holding Group Co., Ltd.	5715
286	Ganzhou Urban Investment Holding Group Co., Ltd.	5717
287	Gaoxin International Investment Co., Ltd.	5649
288	Gaoyou Construction Investment Development (BVI) Co., Ltd.	5358
289	GOHL Capital Limited	5352
290	Golden Dragon Mountain Trading (BVI) Co., Limited	4301
291	Goucheng International Co., Ltd.	40723
292	Goucheng International Co., Ltd.	40891
293	Greenland Global Investment Limited	5769
294	Guang Ying Investment Limited	40674
295	Guangxi Communications Investment Group Corporation. Ltd	5345
296	Guangxi Financial Investment Group Co., Ltd.	5949
297	Guangxi Liuzhou Dongcheng Investment Development Group Co., Ltd.	5543
298	Guangxi Liuzhou Rail Transit Investment Development Group Co., Ltd.	40819
299	Guangzhou Development District Financial Holdings Group Co., Ltd.	4407
300	Guangzhou Development District Holding Group Limited	40148
301	Guangzhou Development District Investment Group Co., Ltd.	5387
302	Guilin ETDZ Investment Holding Co., Ltd.	5653
303	Guizhou Shuanglong Airport Development & Investment (Group) Co., Ltd.	5735
304	Guohui International (BVI) Co., Ltd.	40362
305	Guohui International (BVI) Co., Ltd.	5315
306	Guoneng Environmental Protection Investment Group Co., Limited	5788
307	Guoneng Environmental Protection Investment Group Co., Limited	4558
308	Guoren Property and Casualty Insurance Co., Ltd.	40694
309	Hafoo Co., Ltd	40952
310	Haichuan International Investment Co., Ltd.	40911
311	Haichuan International Investment Co., Ltd.	4512
312	Haimen Zhongnan Investment Development (International) Co., Ltd.	40638
313	Hainan State Farms International Capital (HK) Co., Limited	4326
314	Haitong International Finance Holdings 2015 Limited	40186
315	Haixi Overseas Investment Company Limited	40689
316	Haixi Overseas Investment Company Limited	40943
317	Haixi Overseas Investment Company Limited	4557
318	HaiYan Coastline Co., Limited	5842
319	Hangzhou Bay Overseas Development Co., Ltd	40976
320	Hangzhou Fuyang Chenqitou Group (HK) Limited	40738
321	Hangzhou Qiantang New Area Construction and Investment Group Co., Ltd.	5825
322	Hangzhou Water Group Co., Ltd.	5098
323	Hebei Shunde Investment Group Company Limited	40892
324	Hebi Investment Group Co., Ltd.	84503
325	HENAN RAILWAY CONSTRUCTION & INVESTMENT GROUP CO., LTD.	4459
326	HENAN RAILWAY CONSTRUCTION & INVESTMENT GROUP CO., LTD.	5046
327	Henan Water Conservancy Investment Group Co., Ltd	40387
328	Heng Yuan International Company Ltd	40950
329	Heng Yuan International Company Ltd	5392
330	Hengjian International Investment Limited	40268
331	HFI International (HK) Limited	40309
332	Hong Kong JY Flower Limited	5710
333	Hong Kong Sukuk 2017 Limited	4233
334	Hongkong International (Qingdao) Company Limited	5875
335	Hongkong International (Qingdao) Company Limited	40017
336	HongKong Xiangyu Investment Co., Limited	5544
337	Huafa 2019 I Company Limited	5976
338	Huafa 2020 I Company Limited	40455
339	Huafa 2021 I Company Limited	40820
340	Huafa 2021 I Company Limited	40806
341	Huai'an Investment Holdings Group Co., Ltd.	4509
342	Huai'an Traffic Holding Group Co., Ltd.	5360
343	Huaibei City Construction Investment Holding Group Company Limited	40873
344	Huaibei City Construction Investment Holding Group Company Limited	4496
345	Huaiyuan County New Urbanization Construction Co. Ltd	4593
346	Hualu International Finance (BVI) Limited	40889
347	Huaneng Hong Kong Capital Limited	5000
348	Huangshan Tourism Group Co., Ltd.	40364
349	Huangshi State-Owned Assets Management Co., Ltd.	40967
350	Huantaihu International Investment Co., Ltd.	5691
351	Huayi Finance I Ltd.	40042
352	HUBEI NEW TONGDU URBAN INVESTMENT DEVELOPMENT GROUP CO., LTD.	5820
353	Hubei Science & Technology Investment Group (Hong Kong) Company Limited	40440
354	HUBEI UNITED DEVELOPMENT INVESTMENT GROUP CO., LTD.	5010
355	Hunan Xiangjiang New Area Development Group Co., Ltd.	40890
356	Huzhou City Investment Development Group Co., Ltd.	40900
357	Huzhou City Investment Development Group Co., Ltd.	4458
358	Huzhou City Investment Development Group Co., Ltd.	5800
359	Huzhou Moganshan High-tech Group Co., Ltd.	40775
360	Huzhou Moganshan High-tech Group Co., Ltd.	4444
361	Huzhou Nanxun New Town Investment Development Group Co., Ltd.	4448
362	Huzhou New City Investment Development Group Co., Ltd.	40979
363	Huzhou Wuxing Economic Development and Investment Group Co., Ltd.	5095
364	Huzhou Wuxing State-Owned Capital Investment Development Co., Ltd.	5284
365	Hyd International Holding Limited	40664
366	IFC Development (Corporate Treasury) Limited	6000
367	IFC Development (Corporate Treasury) Limited	40198

368	Industrial and Commercial Bank of China (Asia) Limited	5686
369	Industrial and Commercial Bank of China (Macau) Limited	5750
370	Industrial and Commercial Bank of China (Macau) Limited	5797
371	ITG HOLDING INVESTMENT (HK) LIMITED	84462
372	ITG HOLDING INVESTMENT (HK) LIMITED	5939
373	Ji'An Chengtuo Holding Group Co., Ltd	4305
374	Ji'An Chengtuo Holding Group Co., Ltd	4517
375	Jiangsu Dieshiqiao Home Textile Industrial Group Co., Ltd.	40769
376	JIANGSU DIESHIQIAO HOME TEXTILE INDUSTRY GROUP CO., LTD.	4404
377	Jiangsu Fuxuan Industrial Co., Ltd.	5779
378	Jiangsu Gaokai Investment Development Group Co., Ltd.	4447
379	Jiangsu Jurong Investment Group Co., Ltd	5401
380	Jiangsu Jurong Investment Group Co., Ltd	5428
381	Jiangsu Tenghai Investment Holding Group Co., Ltd.	5120
382	Jiangsu Tenghai Investment Holding Group Co., Ltd.	5531
383	Jiangsu Zhongguancun Holding Group (International) Co Ltd	5547
384	Jiangyin State-owned Assets Holding (Group) Co., Ltd.	84458
385	Jiaxing City Investment and Development Group Co., Ltd.	84460
386	JIC Zhixin Limited	5032
387	JIC Zhixin Limited	40360
388	JIC Zhixin Limited	40361
389	Jinan Economic Development Investment Co., Ltd.	5540
390	Jinan Hi-tech Holding Group Co., Ltd.	40933
391	Jinan Hi-tech Holding Group Co., Ltd.	5402
392	JINAN HI-TECH INTERNATIONAL (CAYMAN) INVESTMENT DEVELOPMENT CO., LIMITED	40735
393	JINAN HI-TECH INTERNATIONAL (CAYMAN) INVESTMENT DEVELOPMENT CO., LIMITED	5605
394	JINAN HI-TECH INTERNATIONAL (CAYMAN) INVESTMENT DEVELOPMENT CO., LIMITED	5934
395	Jinan Lixia Holding Group Co., Ltd.	5928
396	Jinan Shuntong International Co. Limited	5981
397	Jinan Tianqiao Industrial Development Group Co., Ltd	40185
398	JINAN ZHANGQIU HOLDING GROUP CO., LTD.	84471
399	Jincheng State-owned Capital Investment Operation Co., Ltd.	5514
400	JINGDEZHEN HESHENG INDUSTRIAL INVESTMENT DEVELOPMENT CO., LTD.	84466
401	Jingfa Overseas Investment Company Limited	5655
402	Jinghe New City Development and Construction (Group) Co, Ltd of Xixian New Area, Shaanxi Province	5326
403	Jingjiang Port (Group) Co., Ltd	40968
404	Jingjiang Port (Group) Co., Ltd	4315
405	Jingjiang Port (Group) Co., Ltd	4302
406	Jingjiang Port (Group) Co., Ltd	5076
407	Jingming Yuantong Development Investment (BVI) Co., Ltd	40966
408	Jingming Yuantong Development Investment (BVI) Co., Ltd	5294
409	Jinjiang Road and Bridge Construction & Development Co., Ltd.	5808
410	Jinjiang Road and Bridge Construction & Development Co., Ltd.	4537
411	Jinke Property Group Co., Ltd.	40695
412	Jinko Power Technology Co., Ltd	5539
413	Jinshine International Co., Ltd	5872
414	Jiujiang Municipal Development Group Co., Ltd.	40697
415	Joy Treasure Assets Holdings Inc.	5903
416	Joy Treasure Assets Holdings Inc.	6027
417	Joy Treasure Assets Holdings Inc.	40000
418	Joy Treasure Assets Holdings Inc.	40467
419	Joy Treasure Assets Holdings Inc.	40468
420	Junfeng International Co., Ltd	40958
421	Keqiao (HK) International Investment Co., Limited	5056
422	King Power Capital Ltd.	5823
423	King Talent Management Limited	5026
424	Knowledge City (Guangzhou) Investment Group Co., Ltd.	5113
425	Korean Reinsurance Company	5815
426	Kunming Public Rental Housing Development Construction Management Co., Ltd.	40750
427	Kunming Rail Transit Group Co., Ltd.	40101
428	Kunming Rail Transit Group Co., Ltd.	5661
429	LICHENG INTERNATIONAL DEVELOPMENT CO., LTD.	5549
430	LICHENG INTERNATIONAL DEVELOPMENT CO., LTD.	5816
431	LinJiang Investment and Development (BVI) Co., Ltd	5644
432	Link CB Limited	5662
433	Lintou Overseas Co., Ltd	84478
434	Linyi City Development International Co., Limited	5331
435	Linyi Investment Development Group Co., Ltd.	5102
436	Lishui Economic and Technological Development Zone Industrial Development Group Co., Ltd.	4553
437	Lishui Economic and Technological Development Zone Industrial Development Group Co., Ltd.	4560
438	Lishui Economic and Technological Development Zone Industrial Development Group Co., Ltd.	5328
439	Longchuan Overseas Investment Co., Ltd.	84473
440	Longchuan Overseas Investment Co., Ltd.	84484
441	Longnan Tourism Development and Investment Group Co., Ltd.	5434
442	Longnan Tourism Development and Investment Group Co., Ltd.	5777
443	LS Finance (2017) Limited	4471
444	LS Finance (2017) Limited	40731
445	LS Finance (2025) Limited	5535
446	Luheng International Co., Ltd.	40945
447	Luheng International Co., Ltd.	4431
448	Luso International Banking Limited	5078
449	Luso International Banking Limited	5817
450	Luso International Banking Limited	6007
451	Malaysia Sovereign Sukuk Berhad	5894
452	Malaysia Sovereign Sukuk Berhad	5895
453	Malaysia Sukuk Global Berhad	5633
454	Malaysia Sukuk Global Berhad	5634
455	Malaysia Wakala Sukuk Berhad	40662

456	Malaysia Wakala Sukuk Berhad	40663
457	Maoming Port Group Co., Ltd.	84500
458	MCC Holding (Hong Kong) Corporation Limited	4483
459	MCC Holding (Hong Kong) Corporation Limited	40188
460	MCC Holding (Hong Kong) Corporation Limited	40653
461	Mengcheng Development Zone Xingmeng Investment Co., Ltd.	84438
462	Mianyang Investment Holding (Group) Co., Ltd.	5408
463	Mianyang Science & Technology City Development & Investment (Group) Co., Ltd.	84480
464	Mianyang Science & Technology City Development & Investment (Group) Co., Ltd.	84486
465	Mianyang Science & Technology City Development & Investment (Group) Co., Ltd.	84504
466	Midea Investment Development Company Limited	4501
467	Minghu International (BVI) Investment Development Co., Limited	40917
468	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5553
469	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5689
470	Minmetals Bounteous Finance (BVI) Limited	40175
471	Mudanjiang City Investment Group Co., Ltd.	5271
472	Nanchang Jinkai Group Co., Ltd.	40788
473	Nanchang Jinkai Group Co., Ltd.	4535
474	Nanjing Future Technology City Economic Development Company Limited	5117
475	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5054
476	Nanjing Yangzi State-owned Investment Group Co., Ltd.	5614
477	Nanning Communications Investment Group Co., Ltd.	40692
478	Nanxun Communications Investment Group Co., Ltd.	5072
479	Nanyang Commercial Bank, Limited	5214
480	Nanyang Commercial Bank, Limited	40069
481	Nanyang Commercial Bank, Limited	5207
482	Nanyang Commercial Bank, Limited	5752
483	Neijiang Investment Holding Group Co., Ltd.	5822
484	New Hope Group Co., Ltd.	84475
485	New Hope Group Co., Ltd.	84506
486	New Momentum International Limited	5815
487	New Town Construction Investment (Jizhou, Tianjin) Co., Ltd.	5322
488	Ningbo Yincheng Group Co., Ltd.	40801
489	Ninghai State-owned Asset Investment Holding Group Ltd.	5057
490	NWD Finance (BVI) Limited	4561
491	NWD Finance (BVI) Limited	5856
492	NWD Finance (BVI) Limited	40262
493	NWD Finance (BVI) Limited	40504
494	NWD Finance (BVI) Limited	40711
495	NWD Finance (BVI) Limited	5312
496	Ocean Laurel Co. Limited	40414
497	Omni Ireland Credit Card Securitization T3 DAC	40476
498	Omni Ireland Credit Card Securitization T3 DAC	40477
499	Omni Ireland Credit Card Securitization T3 DAC	40478
500	Omni Ireland Credit Card Securitization T3 DAC	40479
501	Omni Ireland Credit Card Securitization T3 DAC	40480
502	Omni Ireland Credit Card Securitization T3 DAC	40481
503	Omni Ireland Credit Card Securitization T3 DAC	40482
504	Omni Ireland Credit Card Securitization T3 DAC	40483
505	Omni Ireland Credit Card Securitization T3 DAC	40484
506	Omni Ireland Credit Card Securitization T3 DAC	40485
507	Omni Ireland Credit Card Securitization T3 DAC	40486
508	Omni Ireland Credit Card Securitization T3 DAC	40487
509	Omni Ireland Credit Card Securitization T3 DAC	40488
510	Omni Ireland Credit Card Securitization T3 DAC	40489
511	Orient ZhiSheng Limited	5574
512	Oriental Capital Company Limited	5550
513	OVPH Limited	5614
514	Panther Ventures Limited	40378
515	Panther Ventures Limited	40512
516	Panther Ventures Limited	40872
517	Panzhuhua City Construction and Transportation (Group) Co., Ltd.	84440
518	PB Issuer (No.5) Limited	40097
519	PCGI Intermediate Holdings (II) Limited	40074
520	PCGI Intermediate Holdings (III) Limited	40810
521	PCGI Intermediate Limited	40040
522	PCGI Intermediate Limited	40040
523	Peak Re (BVI) Holding Limited	40437
524	Peng Ying International Development Company Limited	5191
525	Peng Ying International Development Company Limited	5373
526	Pengze County Development and Construction Group Co., Ltd.	5664
527	Perfect Point Ventures Limited	40850
528	Petron Corporation	5905
529	PHBS Limited	4325
530	Phoenix Charm International Investment Limited	4500
531	Phoenix Charm International Investment Limited	5409
532	Phoenix Lead Limited	5262
533	Ping An International Financial Leasing Co., Ltd.	40818
534	Pingdu State-owned Assets Management Co., Ltd.	40965
535	Pingdu State-owned Assets Management Co., Ltd.	5051
536	Pinghu State-owned Assets Holding Group Co., Ltd.	40862
537	Pingtian Comprehensive Pilot Zone City Development Group Co., Ltd.	84470
538	Pingtian Comprehensive Pilot Zone City Development Group Co., Ltd.	84479
539	Pingxiang City Construction Investment Group Co., Ltd	5111
540	Pioneer Reward Limited	40643
541	Pioneer Reward Limited	40644
542	Pioneer Reward Limited	4573
543	Pioneer Reward Limited	84407

544	Powerchina Roadbridge Group (British Virgin Islands) Limited	40635
545	Proven Honour Capital Limited	5516
546	Proven Honour Capital Limited	5641
547	Pterosaur Capital Co., Limited	6021
548	PTT Public Company Limited	2527
549	Pujiang State-Owned Capital Investment Group Co., Ltd.	40913
550	Puyang Investment Group Co., Ltd.	5838
551	Qingdao Development Area Investment Construction Group Co., Ltd.	40955
552	Qingdao Jiaozhou Bay Development Group Co., Ltd.	40710
553	Qingdao Jiaozhou Bay Development Group Co., Ltd.	4564
554	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5305
555	Qingdao Jiaozhou Bay Development Group Co., Ltd.	84453
556	Qingdao Jiaozhou Urban Development And Investment Co., Ltd.	5110
557	Qinglun International (BVI) Co., Limited	5532
558	QUAN CHENG FINANCIAL HOLDINGS (BVI) LIMITED	40832
559	Quzhou Communications Investment Group Co., Ltd.	4454
560	Quzhou State-owned Capital Operation Co., Ltd.	4510
561	Radiant Access Limited	5205
562	Rizhao Xinlanshan Caijin Investment Group Co., Ltd.	5973
563	Rongshi International Finance Limited	5449
564	Rowen International Co., Limited	5940
565	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40753
566	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40885
567	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40213
568	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	4418
569	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40286
570	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40287
571	Rudong County Mintai Urban and Rural Construction Engineering Co., Ltd.	5074
572	Rudong County Mintai Urban and Rural Construction Engineering Co., Ltd.	5456
573	Rudong County Mintai Urban and Rural Construction Engineering Co., Ltd.	5499
574	SAIC-GMAC Automotive Finance Company Limited	86026
575	SAN SHUI INTERNATIONAL DEVELOPMENT CO., LTD	40969
576	SAN SHUI INTERNATIONAL DEVELOPMENT CO., LTD	5292
577	SAN SHUI INTERNATIONAL DEVELOPMENT CO., LTD	5291
578	SAN SHUI INTERNATIONAL DEVELOPMENT CO., LTD	5293
579	Sanming City Construction and Development Group Co., Ltd.	84477
580	Sanming City Construction and Development Group Co., Ltd.	84487
581	SCI (HK) Development Limited	40632
582	Science City (Guangzhou) Investment Group Co., Ltd.	4519
583	SDG FINANCE I LIMITED	4539
584	SDG Finance Limited	40816
585	SDG Finance Limited	40817
586	SDG Finance Limited	5247
587	SDSC Global Finance Limited	5303
588	SDSC International Development Limited	5426
589	SF Holding Investment 2021 Limited	40920
590	SF Holding Investment 2021 Limited	40921
591	SF Holding Investment 2021 Limited	40922
592	SF Holding Investment Limited	40152
593	SFG International Holdings Co. Limited	40704
594	Shaanxi Financial Asset Management Co.,Ltd.	4542
595	Shaanxi Xixian New Area Fengxi Development Group Co., Ltd.	84455
596	Shaanxi Xixian New Area Fengxi New City Development and Construction (Group) Co., Ltd.	40906
597	Shaanxi Yushen Energy Development and Construction Group Co., Ltd.	5624
598	Shandong Commercial Group Co., Ltd.	84439
599	Shandong Iron and Steel Xinheng International Company Limited	40781
600	Shanghai Commercial Bank Limited	5043
601	Shanghai Commercial Bank Limited	5530
602	Shanghai Commercial Bank Limited	5713
603	Shanghai Port Group (BVI) Development 2 Co., Limited	40298
604	Shanghai Port Group (BVI) Development 2 Co., Limited	40299
605	Shanghai Port Group (BVI) Development Co., Limited	4587
606	Shanghai Port Group (BVI) Development Co., Limited	5734
607	Shanghai Port Group (BVI) Development Co., Limited	5741
608	Shangrao Investment Holdings International Company Limited	5647
609	Shangrao City Construction Investment Development Group Company Limited	5893
610	Shangrao City Construction Investment Development Group Company Limited	5910
611	SHANGYU URBAN CONSTRUCTION HONG KONG COMPANY LIMITED	40932
612	Shanghai (Hong Kong) International Investments Limited	5319
613	Shanxi Securities Co., Ltd.	40673
614	Shaodong City Development Group Co., Ltd.	5417
615	Shaoxing City Investment Group Limited	40807
616	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	40618
617	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	5541
618	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	4439
619	Shengzhou City Construction Investment and Development Group Co., Ltd.	5061
620	Shengzhou Communications Investment and Development Group Co., Ltd.	5195
621	Shengzhou Investment Holdings Co., Ltd.	5597
622	Shengzhou Investment Holdings Co., Ltd.	5768
623	Shenwan Hongyuan International Finance Limited	40766
624	Shenwan Hongyuan International Finance Limited	5049
625	Shuifa International Holdings (BVI) Co., Ltd.	4546
626	Si Fa Investment Limited	40640
627	Sihai International Investment Limited	40861
628	Sihai International Investment Limited	5251
629	Sino Trendy Investment Limited	40438
630	Sinochem Global Capital Co., Ltd.	5935
631	Sinochem Overseas Capital Company Limited	4502

632	Sino-Ocean Land Treasure Finance I Limited	5782
633	Sino-Ocean Land Treasure Finance II Limited	5869
634	Sino-Ocean Land Treasure III Limited	5276
635	Sino-Ocean Land Treasure IV Limited	5623
636	Sino-Ocean Land Treasure IV Limited	40115
637	Sino-Ocean Land Treasure IV Limited	40670
638	Sino-Ocean Land Treasure IV Limited	40760
639	Sino-Ocean Land Treasure IV Limited	5202
640	Sinosing Services Pte. Ltd.	40155
641	Sinosing Services Pte. Ltd.	40156
642	Societe Generale	5947
643	Societe Generale	5959
644	Societe Generale	5960
645	Societe Generale	5961
646	Societe Generale	5962
647	SPIC 2016 US dollar Bond Company Limited	5325
648	SPIC 2018 USD Senior Perpetual Bond Company Limited	5453
649	State Grid Europe Development (2014) Public Limited Company	5121
650	State Grid Europe Development (2014) Public Limited Company	5123
651	State Grid Overseas Investment (2013) Limited	5955
652	State Grid Overseas Investment (2014) Limited	5742
653	State Grid Overseas Investment (2014) Limited	5743
654	State Grid Overseas Investment (2016) Limited	5650
655	State Grid Overseas Investment (2016) Limited	5652
656	Strategic International Group Limited	40285
657	Sunny Express Enterprises Corp.	40030
658	Sunny Express Enterprises Corp.	40031
659	Sunny Express Enterprises Corp.	40203
660	Sunny Express Enterprises Corp.	40204
661	Sunny Express Enterprises Corp.	4505
662	Sunshine Life Insurance Corporation Limited	5629
663	Suqian Economic Development Group Co., Ltd.	5527
664	Suzhou City Construction Investment Group (Holding) Co., Ltd.	5405
665	Suzhou City Construction Investment Group (Holding) Co., Ltd.	5424
666	Suzhou Industrial Investment Group Co., Ltd.	40901
667	Syngenta Group Finance Limited	5557
668	Taishan City Investment Co., Limited	40283
669	Taishan City Investment Co., Limited	84496
670	Taishan Finance International Business Co., Limited	40924
671	Taixing Jinjiang Investment Co., Ltd	5395
672	TAIZHOU HUANGYAN ECONOMIC DEVELOPMENT GROUP CO., LTD.	5086
673	Taizhou Huangyan Transportation and Tourism Investment Group Co., Ltd.	5246
674	Taizhou Huangyan Transportation and Tourism Investment Group Co., Ltd.	5241
675	Taizhou Oriental China Medical City Holding Group Co., Ltd.	40846
676	Taizhou State-owned Assets Investment Group Co., Ltd.	40934
677	Taizhou Urban Construction and Investment Development Group Co., Ltd.	40821
678	Taizhou Urban Construction and Investment Development Group Co., Ltd.	5849
679	Talent Yield (Euro) Limited	40390
680	Talent Yield International Limited	40675
681	Talent Yield International Limited	40676
682	Tencent Music Entertainment Group	40365
683	Tencent Music Entertainment Group	40366
684	Tequ Mayflower Limited	40600
685	The Hongkong and Shanghai Banking Corporation Limited	4323
686	Three Gorges Finance I (Cayman Islands) Limited	5380
687	Tianfeng Securities Co., Ltd.	4536
688	Tianjin Free Trade Zone Investment Holding Group Co., Ltd	40936
689	Tianjin Lingang Investment Holding Co., Ltd.	5430
690	Tianjin Rail Transit Urban Development Co., Ltd.	5866
691	Tianjin State-owned Capital Investment and Management Co., Ltd.	40103
692	Tianjin State-owned Capital Investment and Management Co., Ltd.	40104
693	Tianjin State-owned Capital Investment and Management Co., Ltd.	40105
694	Tianjin State-owned Capital Investment and Management Co., Ltd.	40106
695	Tongling State-owned Capital Operation Holding Group Company Limited	5554
696	TONGREN STATE-OWNED CAPITAL OPERATION CO., LTD.	5911
697	Top Luxury Investment Limited	5598
698	Towngas (Finance) Limited	5749
699	Towngas (Finance) Limited	6018
700	Tsinghua Unic Limited	4415
701	UETD Construction & Development State-owned Capital Investment Operation (Group) Co., Ltd.	5767
702	UETD Construction & Development State-owned Capital Investment Operation (Group) Co., Ltd.	40499
703	UHI Capital Ltd.	4572
704	Universe Trek Limited	40295
705	Universe Trek Limited	40499
706	Universe Trek Limited	40722
707	Universe Trek Limited	40797
708	Urumqi Gaoxin Investment and Development Group Co., Ltd.	84444
709	Urumqi Gaoxin Investment and Development Group Co., Ltd.	5871
710	Vertex Capital Investment Limited	5931
711	Vertex Capital Investment Limited	40780
712	Vertex Capital Investment Limited	4548
713	Vertex Capital Investment Limited	84499
714	Viva Biotech Investment Management Limited	40514
715	Viva Incubator Investment Management Limited	40144
716	Wealthy Vision Holdings Limited	40701
717	Weifang Urban Construction and Development Investment Group Co., Ltd.	40863
718	Weifang Urban Construction and Development Investment Group Co., Ltd.	5485
719	Weimob Investment Limited	40218

720	Weimob Investment Limited	40702
721	Wending Zhongyuan Company Limited	5066
722	WENS FOODSTUFF GROUP CO., LTD.	40442
723	WENS FOODSTUFF GROUP CO., LTD.	40443
724	Wuhan Financial Holdings (Group) Co., Ltd.	40918
725	Wuhan Jiangxia Agriculture Group Company Limited	5286
726	Wuhan Jiangxia Agriculture Group Company Limited	5558
727	Wuhan Metro Group Co., Ltd.	5467
728	Wuhan Urban Construction Group Co., Ltd.	40755
729	Wuxi Chengkai Industrial Development Group Co., Ltd.	5253
730	Wuxi Chengkai Industrial Development Group Co., Ltd.	5419
731	Wuxi Guangyi Construction Development Group Co., Ltd.	40970
732	Wuxi Xixi Industrial Development Group Co., Ltd.	4327
733	Wuxi Xixi Industrial Development Group Co., Ltd.	4328
734	Wuxing City Investment HK Company Limited	40842
735	Wuxing City Investment HK Company Limited	4550
736	Wuxing Industry Investment Husheng Company Limited	5225
737	Xi Yang Overseas Limited	4565
738	XI'AN BAQIAO INVESTMENT HOLDING GROUP CO., LTD.	5346
739	Xi'an Qujiang Culture Financial Holding (Group) Co., Ltd.	5510
740	Xi'an Weiyang Urban Construction Group Co., Ltd.	5330
741	Xi'an Weiyang Urban Construction Group Co., Ltd.	5435
742	Xi'an Weiyang Urban Construction Group Co., Ltd.	5545
743	Xi'an Aerospace High-Tech Industry Development Group Co., Ltd	40785
744	Xi'an Aerospace High-Tech Industry Development Group Co., Ltd	40798
745	Xi'an Aerospace High-Tech Industry Development Group Co., Ltd	5690
746	Xi'an Aviation City Construction & Development Group Co., Ltd.	40977
747	Xi'an Chanba Development Group Co., Ltd	5222
748	Xi'an International Horticultural Exposition Investment (Group) Co., Ltd.	5393
749	XI'AN PORT INDUSTRIAL CO., LIMITED	5921
750	XI'AN PORT INDUSTRIAL CO., LIMITED	6003
751	Xiangtan Zhenxiang State-Owned Assets Management Investment Co., Ltd.	5081
752	Xianjin Industry Investment Company Limited	5290
753	Xianjin Industry Investment Company Limited	5848
754	Xianning Urban Development (Group) Co., Ltd	5264
755	Xihui Haiwai I Investment Holdings Co., Limited	40961
756	Xin Fu (BVI) Co., Limited	5320
757	Xin Fu (BVI) Co., Limited	5302
758	Xin Yue Company Limited	4442
759	Xinchang County Transportation Investment Group Company Limited	5587
760	Xingchang International Company Limited	5801
761	Xingsheng (BVI) Company Limited	40811
762	Xinhu (BVI) 2018 Holding Company Limited	40868
763	Xinjiang Financial Investment Co., Ltd.	5035
764	Xinjiang Financial Investment Co., Ltd.	5018
765	Xinjiang Financial Investment Co., Ltd.	5017
766	Xinjiang Financial Investment Co., Ltd.	5040
767	Xinjiang Financial Investment Co., Ltd.	5022
768	Xinjiang Transportation Construction Investment Holding Co., Limited	5304
769	XL (BVI) International Development Limited	5583
770	YAN GANG LIMITED	40622
771	Yan'an Tourism (Group) Co., Ltd.	5023
772	Yancheng Dafeng Haicheng Industrial Development Co., Ltd.	5765
773	Yancheng High-tech Zone Investment Group Co., Ltd.	40825
774	Yancheng High-tech Zone Investment Group Co., Ltd.	4313
775	Yancheng State-Owned Energy Investment Co., Ltd	84467
776	Yancoal International Resources Development Co., Limited	5424
777	Yancoal International Resources Development Co., Limited	40928
778	Yancoal International Trading Co., Limited	5753
779	Yango Justice International Limited	40705
780	Yangshan Overseas Development Co., Ltd	40978
781	Yangzhou Economic and Technological Development Zone Development (Group) Co., Ltd	5124
782	Yankuang Group (Cayman) Limited	40951
783	YCIC International (HK) Co., Limited	40799
784	YCIC International (HK) Co., Limited	40908
785	Yi Bright International Limited	40748
786	Yi Bright International Limited	5833
787	Yi Bright International Limited	84468
788	Yi Bright International Limited	84489
789	Yi Hong International Development Co., Ltd	40957
790	Yi Hong International Development Co., Ltd	5459
791	Yichang High-Tech Investment Development Co., Ltd.	40927
792	Yichun Development Investment Group Co., Ltd.	5590
793	Yichun Yuanzhou District State-owned Investment Group Co., Limited	4428
794	Yidu State-owned Assets Investment, Operation and Holding Group Co., Ltd.	84502
795	Yili Holding Investment Limited	40471
796	YONGDA Investment Limited	40252
797	Yongzhou Lingling Asset Management Co., Ltd.	84482
798	Yueyang Construction and Investment Group Co., Ltd.	40935
799	Yunda Holding Investment Limited	40347
800	Yunnan Energy Investment Overseas Finance Company Ltd.	40352
801	Yunnan Energy Investment Overseas Finance Company Ltd.	5829
802	Yuyao Economic Development Zone Construction Investment and Development Co., Ltd.	40964
803	Zhangzhou Jiulongjiang Group Co., Ltd.	5376
804	Zhangzhou Transportation Development Group Co., Ltd.	5268
805	Zhangzhou Transportation Development Group Co., Ltd.	84495
806	Zhanjiang Infrastructure Construction Investment Group Co., Ltd.	84491
807	Zhaohai Investment (BVI) Limited	5523

808	Zhaohai Investment (BVI) Limited	5520
809	Zhaohai Investment (BVI) Limited	5847
810	Zhaoqing Guolian Investment Holding Co., Ltd	40888
811	Zhejiang Anji Construction Development (Hong Kong) Co., Limited	4520
812	Zhejiang Baron (BVI) Company Limited	40802
813	Zhejiang Baron (BVI) Company Limited	5836
814	Zhejiang Changxing Financial Holdings Group Co., Ltd.	4308
815	Zhejiang Changxing Jingkai Construction & Development Co., Ltd	40149
816	Zhejiang Deqing Jinkai Urban Development Co., Ltd.	40804
817	Zhejiang Deqing Jinkai Urban Development Co., Ltd.	40830
818	Zhejiang Huzhou Nanxun Economic Construction and Development Co., Ltd.	40876
819	Zhejiang Seaport International Co., Limited	40614
820	Zhejiang Tianzihu Industry Investment Co., Ltd.	5045
821	Zhejiang Xinchang Investment Development Co., Ltd.	40912
822	Zhejiang Xinchang Investment Development Co., Ltd.	4304
823	Zhengbang International Limited	40867
824	Zhengzhou Airport Economy Zone Xinggong Investment Group Co., Ltd.	5106
825	Zhengzhou Metro Group Co., Ltd.	40914
826	Zhengzhou Real Estate Group Co., Ltd.	5515
827	Zhengzhou Urban Construction Investment Group Co., Ltd.	40118
828	Zhengzhou Urban Construction Investment Group Co., Ltd.	5463
829	Zhenjiang Cultural Tourism Industry Group Co., Ltd.	5506
830	Zhenjiang Cultural Tourism Industry Group Co., Ltd.	5610
831	Zhenjiang Culture & Broadcasting Industry Group Co., Ltd.	4324
832	Zhenjiang Culture & Broadcasting Industry Group Co., Ltd.	4568
833	Zhenjiang Transportation Industry Group Co., Ltd.	5522
834	Zhenjiang Transportation Industry Group Co., Ltd.	5576
835	ZhongChuang (HK) Technology Company Limited	40975
836	ZhongChuang (HK) Technology Company Limited	4426
837	Zhongtai International Finance (BVI) Company Limited	5804
838	Zhongyuan Dayu International (BVI) Co., Ltd.	5636
839	Zhongyuan Sincere Investment Co. Ltd.	5596
840	Zhongyuan Zhicheng Co., Ltd.	40747
841	Zhoukou Urban Construction Investment Development Limited Company	5044
842	Zhoukou Urban Investment and Economic Development Industrial Co., Ltd.	84501
843	Zhoushan City Investment Group Corporation Limited	40907
844	Zhoushan Islands New Area Penglai State Asset Investment Group Co., Ltd.	5299
845	Zhuji Development Limited	40881
846	Zhuzhou City Construction Development Group Co., Ltd.	5099
847	Zibo Caijin Holding Group Co., Ltd.	84448
848	Zibo City Asset Operation Group Co., Ltd.	84481
849	Zibo High-Tech International Investment Co., Limited	5681
850	Zoomwe Hong Kong New Energy Technology Co., Limited	4581

第3類豁免：適用於股份在香港聯合交易所有限公司上市的以法團形式運作的開放式集體投資計劃

名稱	聯交所 股份代號
1 Xtrackers FTSE Vietnam Swap UCITS ETF*	3087
2 Xtrackers FTSE China 50 UCITS ETF	3007
3 Xtrackers MSCI Korea UCITS ETF	2848
4 Xtrackers MSCI Taiwan UCITS ETF	3036
5 Xtrackers MSCI USA Swap UCITS ETF*	3020
6 Xtrackers Nifty 50 Swap UCITS ETF*	3015
7 Global X China Consumer Brand ETF	2806 / 9806
8 Global X China Electric Vehicle and Battery ETF	2845 / 9845
9 Global X China Clean Energy ETF	2809 / 9809
10 Global X China Semiconductor ETF	3191 / 9191
11 Global X China Robotics and AI ETF	2807 / 9807
12 CSOP STAR 50 Index ETF	3109
13 Global X China Innovator Active ETF	3058
14 Global X China Global Leaders ETF	3050
15 CSOP Global Cloud Computing Technology Index ETF	3194
16 CSOP Huatai-PineBridge CSI Photovoltaic Industry ETF	3134
17 CSOP China Healthcare Disruption Index ETF	3174
18 Global X Asia Semiconductor ETF	3119
19 Global X China E-commerce and Logistics ETF	3124
20 Global X China Games and Entertainment ETF	3117
21 CSOP Global Smart Driving Index ETF	3162
22 CSOP MSCI China A 50 Connect ETF	3003
23 Global X Autonomous and Electric Vehicles ETF	2849
24 Global X FinTech ETF	3185
25 Global X Asia Innovator Active ETF	3051
26 CSOP NASDAQ 100 ETF	3034
27 Fubon FTSE Taiwan RIC Capped Index ETF	3021
28 Fubon ICE FactSet Taiwan Core Semiconductor Index ETF	3076
29 Fubon Hang Seng Shanghai-Shenzhen-Hong Kong (Selected Corporations) High Dividend Yield Index	3190
30 Value Partners EMQQ Emerging Markets Internet & Ecommerce ETF	3030
31 CSOP FTSE Vietnam 30 ETF	3004
32 Harvest China Sustainable Lifestyle Tech Active ETF	3155
33 E Fund (HK) HSI ESG Enhanced Index ETF	3039
34 Boserá RMB Money Market ETF	3192 / 83192
35 Phillip HK Newly Listed Equities Index ETF	2835
36 Pando Blockchain ETF	3112
37 Pando Innovation ETF	3056
38 Boserá HKD Money Market ETF	3152
39 Fubon ICE FactSet Asia Battery and Energy Storage Technology Index ETF	3405
40 Boserá USD Money Market ETF	3196 / 83196 / 9196
41 Global X China MedTech ETF	2841
42 Global X Asia USD Investment Grade Bond ETF	3075 / 9075
43 Global X China Little Giant ETF	2815
44 Boserá 20+ Year US Treasury Bond ETF	3156 / 9156 / 9107
45 Global X HSCEI Components Covered Call Active ETF	3416
46 Global X HSI Components Covered Call Active ETF	3419
47 Global X K-pop and Culture ETF	3158

* 此基金為一隻合成交易所買賣基金

第4類豁免：適用於聯交所的交易所參與者

名稱
1 中國創盈市場服務有限公司
2 中國投資信息有限公司

第5類豁免：適用於屬結算參與者的結算所

名稱
1 中國證券登記結算有限責任公司
2 中國證券登記結算(香港)有限公司

根據《證券及期貨條例》(第571章)第309(2)條批給的其他豁免

名稱
1 Budweiser Brewing Company APAC Limited
2 京東健康股份有限公司
3 京東物流股份有限公司
4 雲音樂股份有限公司