

## 根據《證券及期貨條例》(第571章)第309(2)條批給的豁免

以下載列就(一)發行在香港聯合交易所有限公司(聯交所)上市的證券的法團及(二)其他人士而批給的豁免。該等豁免就(一)批給該等法團、其大股東、董事及最高行政人員，及就(二)批給其他人士，使其無須就其於聯交所上市證券的權益所衍生的義務而遵從《證券及期貨條例》第XV部。本清單每月更新。

證監會網站內有關《證券及期貨條例》第XV部的網頁已登載證監會指引，列明根據該條例第309條批給豁免的依據。目前提供的豁免分為五類，而該指引已對該五類豁免加以述明。

### 第1類部份豁免：適用於股份已在香港聯合交易所有限公司及另一證券交易所上市的法團

名稱	聯交所 股份代號 ( <i>注解1</i> )	
1 宏利金融有限公司	0945 - S	
2 渣打集團有限公司	2888	(及 4305, 5348, 5890, 5986, 40276 及 40518)
3 英國保誠有限公司	2378	
4 迅銷有限公司 - 預託證券	6288 - S	(該豁免僅適用於迅銷有限公司及其大股東)
5 京東集團股份有限公司	9618 - SW	
6 網易股份有限公司	9999 - S	
7 華住集團有限公司	1179 - S	
8 萬國數據控股有限公司	9698 - SW	
9 新東方教育科技(集團)有限公司	9901 - S	
10 汽車之家	2518 - S	
11 百度集團股份有限公司	9888 - SW	
12 攜程集團有限公司	9961 - S	
13 微博股份有限公司	9898 - SW	
14 蔚來集團	9866 - SW	
15 騰訊音樂娛樂集團	1698 - SW	
16 360數科股份有限公司	3660 - S	

注解1: S - 第二上市公司  
W - 不同投票權架構的公司

### 第2類豁免：適用於並無股份在香港聯合交易所有限公司上市的法團

(a)第2類豁免：適用於結構性產品現時在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

名稱	豁免期限 ( <i>注解2</i> )	
	豁免開始日	豁免終止日
1 Bank Vontobel AG	2020年5月31日	2025年5月30日
2 BOCI Asia Limited	2007年12月21日	2025年12月20日
3 BNP Paribas Issuance B.V.	2007年12月21日	2025年12月20日
4 CITIC Securities Brokerage (HK) Limited	2024年2月22日	2026年2月21日
5 Citigroup Global Markets Europe AG	2019年11月1日	2025年10月31日
6 DBS Bank Ltd.	2021年8月24日	2025年8月23日
7 Goldman Sachs Structured Products (Asia) Limited	2007年12月21日	2025年12月20日
8 Guotai Junan Securities (Hong Kong) Limited	2019年10月28日	2025年10月27日
9 Huatai Financial Holdings (Hong Kong) Limited	2023年6月1日	2025年5月31日
10 J.P. Morgan Structured Products B.V.	2007年12月21日	2025年12月20日
11 Korea Investment & Securities Asia Limited	2023年12月4日	2025年12月3日
12 Macquarie Bank Limited	2008年1月1日	2025年12月31日
13 Morgan Stanley Asia Products Limited	2019年7月22日	2025年7月21日
14 SG Issuer	2016年4月1日	2026年3月31日
15 The Hongkong and Shanghai Banking Corporation Ltd	2007年12月23日	2025年12月22日
16 UBS AG	2007年12月21日	2025年12月20日

注解2: 授予以上法團的豁免期為12個月(或更少)，並豁免該法團在豁免期內所發行及在香港聯合交易所有限公司上市的結構性產品直至該結構性產品有效期完結為止。

(b)第2類豁免：適用於債務項目下發行的債務證券在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

名稱	項目
1 AirAsia Global Notes Limited	US\$1,000,000,000 Multicurrency Medium Term Note Programme
2 Amipeace Ltd.	HK\$20,000,000,000 Medium Term Note Programme
3 AMTD Group Company Limited	U.S.\$500,000,000 Medium Term Note Programme
4 AMTD IDEA Group	U.S.\$1,000,000,000 Medium Term Note Programme
5 AVIC International Leasing Co., Ltd.	US\$500,000,000 Medium Term Note Programme
6 AVIC International Leasing Co., Ltd.	U.S.\$500,000,000 Medium Term Note Programme
7 Azure Nova International Finance Limited	U.S.\$10,000,000,000 Medium Term Note Programme
8 Azure Orbit II International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
9 Azure Orbit IV International Finance Limited	U.S.\$7,000,000,000 Medium Term Note Programme
10 Bank of China (Hong Kong) Limited	U.S.\$15,000,000,000 Medium Term Note Programme
11 Bank of China (Luxembourg) S.A.	US\$30,000,000,000 Medium Term Note Programme
12 Beijing Capital Polaris Investment Co. Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme

13	Bluestar Finance Holdings Limited	US\$3,000,000,000 Medium Term Note and Perpetual Securities Programme
14	Bocom Leasing Management Hong Kong Company Limited	US\$10,000,000,000 Medium Term Note Programme
15	Boom Up Investments Limited	US\$1,000,000,000 Medium Term Note Programme
16	CALC Bonds Limited	US\$3,000,000,000 Medium Term Note Programme
17	Castle Peak Power Finance Company Limited	U.S. \$2,000,000,000 Medium Term Note Programme
18	Cathay Pacific MTN Financing (HK) Limited	US\$2 billion Medium Term Note Programme
19	CCBL (Cayman) 1 Corporation Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
20	CDBI Treasure I Limited	US\$500,000,000 Medium Term Note Programme
21	CDBL Funding 1	U.S. \$3,000,000,000 Medium Term Note Programme
22	CDBL Funding 1	US\$3,000,000,000 Medium Term Note Programme
23	CDBL Funding 2	US\$3,000,000,000 Medium Term Note Programme
24	Central Plaza Development Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
25	CGNPC INTERNATIONAL LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
26	Chailease International Finance Corporation	U.S. \$500,000,000 Medium Term Note Programme
27	Champion MTN Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
28	Charming Light Investments Ltd. and United Wealth Development Ltd.	US\$8,000,000,000 Medium Term Note Programme
29	Cheung Kong Finance (MTN) Limited and Joynote Ltd	US\$2,000,000,000 Medium Term Note Programme
30	Cheung Kong Infrastructure Finance (BVI) Limited	US\$2,000,000,000 Euro Medium Term Note Programme
31	China Cinda (2020) I Management Limited	U.S. \$5,000,000,000 Guaranteed Medium Term Note Programme
32	China Cinda (2020) I Management Limited	US\$2,000,000,000 Medium Term Note Programme
33	China Cinda Finance (2015) I Limited and China Cinda Finance (2015) II Limited	US\$3,000,000,000 Guaranteed Medium Term Note Programme
34	China Cinda Finance (2017) I Limited and China Cinda Finance (2017) II Limited	US\$10,000,000,000 Medium Term Note Programme
35	China CITIC Bank International Limited	US\$3,000,000,000 Medium Term Note Programme
36	China Construction Bank (Asia) Corporation Limited	US\$5,000,000,000 Medium Term Note Programme
37	China Development Bank Corporation and China Development Bank Corporation Hong Kong Branch	US\$30,000,000,000 Debt Issuance Programme
38	China Great Wall International Holdings III Limited	U.S. \$6,500,000,000 Medium Term Note Programme
39	China Huadian Overseas Development 2018 Limited	US\$2,000,000,000 Medium Term Note and Perpetual Securities Programme
40	China Overseas Finance (Cayman) VIII Limited	US\$2.5 billion medium note programme
41	China Overseas Finance (Cayman) VIII Limited	US\$4 billion medium note programme
42	CICC Hong Kong Finance 2016 MTN Limited	US\$10,000,000,000 Guaranteed Medium Term Note Programme
43	CITIC Securities Finance MTN Co., Ltd.	US\$3 billion Medium Term Note Programme
44	CK Property Finance (MTN) Limited	US\$5,000,000,000 Euro Medium Term Note Programme
45	CMB International Leasing Management Limited	US\$20,000,000,000 Medium Term Note Programme
46	CMB Wing Lung Bank Limited	U.S. \$2,000,000,000 Euro Medium Term Note Programme
47	CMBLEMTN1 Limited	U.S. \$5,000,000,000 Medium Term Note Programme
48	CMS International Gemstone Limited	US\$2,000,000,000 Medium Term Note Programme
49	CNCBINV 1 (BVI) Limited	US\$2,000,000,000 Medium Term Note Programme
50	CNPC General Capital Limited	US\$7,000,000,000 Euro Medium Term Note Programme
51	Connery Capital Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
52	COSL Singapore Capital Ltd.	US\$3,500,000,000 Euro Medium Term Note Programme
53	CSCIF Asia Limited	US\$3,000,000,000 Medium Term Note Programme
54	CSCIF Hong Kong Limited	US\$4,000,000,000 Medium Term Note Programme
55	CSI MTN Limited	U.S. \$3,000,000,000 Medium Term Note Programme
56	Dah Sing Bank, Limited	US\$2,000,000,000 Euro Medium Term Note Programme
57	DianJian Haiyu Limited, Dianjian International Finance Limited, Leader Goal International Limited, SEPCO Virgin Limited	U.S. \$2,500,000,000 Medium Term Note and Senior Perpetual Securities Programme
58	Eastern Creation II Investment Holdings Ltd.	US\$6,000,000,000 Guaranteed Euro Medium Term Note Programme
59	Everbright SHK (BVI) Limited	US\$1,000,000,000 Medium Term Note Programme
60	FEC Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
61	Franshion Brilliant Limited	US\$2,000,000,000 Medium Term Note Programme
62	Future Days Limited	US\$5,000,000,000 Medium Term Note Programme
63	FWD Group Holdings Limited	US\$5,000,000,000 Medium Term Note and Capital Securities Programme
64	GE (MTN) LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
65	Gemdale Ever Prosperity Investment Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
66	GLP China Holdings Limited	HK\$20,000,000,000 Medium Term Note Programme
67	Goodman HK Finance	US\$2,000,000,000 Medium Term Note Programme
68	Greenland Global Investment Limited	US\$8,000,000,000 Guaranteed Medium Term Notes Programme
69	Guangzhou Metro Investment Finance (BVI) Ltd.	US\$3,000,000,000 Guaranteed Medium Term Note Programme
70	Guotai Junan Holdings Limited	USD3,000,000,000 Medium Term Note Programme
71	Haitong International Finance Holdings Limited	USD1,000,000,000 Guaranteed Medium Term Note Programme
72	Haitong International Securities Group Limited	USD5,000,000,000 Medium Term Note Programme
73	Haitong UT Brilliant Limited	USD1,000,000,000 Guaranteed Medium Term Note Programme
74	Henderson Land MTN Limited	US\$7,000,000,000 Guaranteed Medium Term Note Programme
75	HKCG (Finance) Limited	US\$3,000,000,000 Medium Term Note Programme
76	HLP Finance Limited	US\$4 billion Medium Term Note Programme
77	Hong Kong Science and Technology Parks Corporation	HK\$1,707,000,000 Guaranteed Medium Term Note Programme
78	Horse Gallop Finance Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
79	Horsepower Finance Limited	US \$1,200,000,000 Medium Term Note Programme
80	Huarong Finance 2017 Co., Ltd.	U.S. \$14,500,000,000 Medium Term Note Programme
81	Huarong Finance 2019 Co., Ltd.	US\$2,900,000,000 Medium Term Note Programme
82	Huarong Finance II Co., Ltd	US\$11,000,000,000 Medium Term Note Programme
83	Huarong Leasing Management Hong Kong Company Limited	U.S. \$1,000,000,000 Medium Term Note Programme
84	Huatai International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
85	Hysan (MTN) Limited	US\$4,000,000,000 Medium Term Note Programme
86	ICBCIL Finance Co. Limited	US\$20,000,000,000 Medium Term Note Programme
87	Industrial and Commercial Bank of Asia (China) Limited	U.S. \$6,000,000,000 Medium Term Note Programme
88	Industrial Bank Co., Ltd.	U.S. \$5,000,000,000 Medium Term Note Programme
89	Inventive Global Investments Limited	U.S. \$3,500,000,000 Medium Term Note Programme
90	Inventive Global Investments Limited	U.S. \$3,500,000,000 Medium Term Note Programme
91	Joy Treasure Assets Holdings Inc.	USD1,400,000,000 Medium Term Note Programme

92	Joyous Glory Group Limited	US\$1,000,000,000 Medium Term Note Programme
93	K.Wah International Financial Services Limited	US\$1,000,000,000 Medium Term Note Programme
94	Kunming Traffic Investment Co., Ltd.	US\$2,000,000,000 Medium Term Note Programme
95	Lai Fung MTN Limited	US\$2,000,000,000 Medium Term Note Programme
96	LAI SUN MTN LIMITED	US\$2,000,000,000 Medium Term Note Programme
97	Legend Fortune Limited	US\$2,000,000,000 Medium Term Note Programme
98	Midea Investment Development Company Limited	US\$3,000,000,000 Medium Term Note Programme
99	Modern Terminals Capital Limited	US\$1 billion Medium Term Note Programme
100	MTR Corporation (C.I.) Limited	US\$4 billion Medium Term Note Programme
101	New World China Land Limited	US\$2,000,000,000 Medium Term Note Programme
102	NWD (MTN) Limited	US\$7,000,000,000 Medium Term Note Programme
103	NWS (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
104	P&R International Treasury Limited	U.S.\$300,000,000 Medium Term Note and Perpetual Securities Programme
105	PETRONAS Capital Limited and PETRONAS Energy Canada Ltd.	US\$30,000,000,000 Medium Term Note Programme
106	Pingan Real Estate Capital Limited	US\$1,000,000,000 Medium Term Note Programme
107	Pioneer Reward Limited	USD3,000,000,000 Medium Term Note Programme
108	Power Assets Finance Limited	U.S.\$2,000,000,000 Medium Term Note Programme
109	Proven Glory Capital Limited	US\$5,000,000,000 Medium Term Note Programme
110	QNB Finance Ltd	U.S.\$22,500,000,000 Medium Term Note Programme
111	R-REIT International Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
112	RH International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
113	Rongshi International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
114	Shanghai Pudong Development Bank Co., Ltd., Hong Kong Branch	US\$5,000,000,000 Euro Medium Term Note Programme
115	Sichuan Development Holding Co., Ltd. and Yieldking Investment Limited	US\$2,000,000,000 Medium Term Note Programme
116	Silverbell Asia Limited	US\$1,000,000,000 Medium Term Note Programme
117	Sino (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
118	Sinochem Offshore Capital Company Limited	US\$15,000,000,000 Global Medium Term Note Programme
119	Soar Great Limited	US\$1,500,000,000 Guaranteed Medium Term Note Programme
120	Soar Wind Ltd	US\$3,500,000,000 Guaranteed Medium Term Note and Perpetual Capital Securities Programme
121	Soar Wise Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
122	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l., SPIC MTN Company Ltd.	U.S.\$3,000,000,000 Medium Term Note and Perpetual Securities Programme
123	Splendid International Investment Limited	U.S.\$1,500,000,000 Medium Term Note Programme
124	Standard Chartered Bank (Hong Kong) Limited and Standard Chartered Bank	US\$50,000,000,000 Debt Issuance Programme
125	State Elite Global Limited	US\$2,700,000,000 Medium Term Note Programme
126	State Grid Overseas Investment (2016) Limited	US\$15,000,000,000 Medium Term Note Programme
127	Sun Hung Kai Properties (Capital Market) Limited	US\$10,000,000,000 Medium Term Note Programme
128	Sunlight REIT MTN Limited	US\$1,000,000,000 Medium Term Note Programme
129	Swire Pacific MTN Financing (HK) Limited	US\$5,000,000,000 Medium Term Note Programme
130	Swire Pacific MTN Financing Limited	US\$5,000,000,000 Medium Term Note Programme
131	Swire Properties MTN Financing Limited	US\$3,000,000,000 Medium Term Note Programme
132	TCCL (Finance) Limited	U.S.\$2,000,000,000 Medium Term Note Programme
133	TFI Overseas Investment Limited	U.S.\$750,000,000 Structured Note and Medium Term Note Programme
134	The Hongkong and Shanghai Banking Corporation Limited	US\$20,000,000,000 Medium Term Note Programme
135	The Hong Kong Mortgage Corporation Limited	US\$30,000,000,000 Medium Term Note Programme
136	The Link Finance (Cayman) 2009 Limited and LINK QDS (SINGAPORE) PRIVATE LIMITED	US\$5,000,000,000 Guaranteed Euro Medium Term Note Programme
137	Unican Limited	US\$1,000,000,000 Guaranteed Euro Medium Term Note Programme
138	Urban Renewal Authority	US\$3 billion Medium Term Note Programme
139	Vanke Real Estate (Hong Kong) Company Limited	US\$9,500,000,000 Medium Term Note Programme
140	Westwood Group Holdings Limited	US\$3,000,000,000 Medium Term Note Programme
141	Wharf Finance (BVI) Limited, Wharf Finance (BVI) No.1 Limited, Wharf Finance (No.1) Limited and Wharf Finance Limited	US\$7 billion Medium Term Note Programme
142	Wharf REIC Finance (BVI) Limited	U.S.\$5,000,000,000 Medium Term Note Programme
143	Wheelock MTN (BVI) Limited	US\$5 billion Medium Term Note Programme
144	Wuhan Metro Group Co., Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
145	Xingcheng (BVI) Limited	US\$1,500,000,000 Medium Term Note Programme
146	Yuexiu REIT MTN Company Limited	US\$1,500,000,000 Medium Term Note Programme
147	Zhejiang Energy International Limited	USD2,000,000,000 Medium Term Note Programme

(c)第2類豁免：適用於就某一特定發行的債務證券現時在香港聯合交易所有限公司上市的法團（並無股份在香港聯合交易所有限公司上市）

名稱	聯交所 股份代號
1 Agricultural Development Bank of China	85924
2 Airport Authority	4701
3 Alibaba Group Holding Limited	5845
4 Anhui Boyang Urban Construction Holding Group Co., Ltd.	5429
5 Anhui Transportation Holding Group (H.K.) Limited	40815
6 Anhui Xihu Investment Holding Group Co., Ltd.	84556
7 Anhui Xihu Investment Holding Group Co., Ltd.	5351
8 Anji Qicai Lingfeng Rural Tourism Investment Co., Ltd	4531
9 AVIC International Finance & Investment Limited	40388
10 AVIC International Finance & Investment Limited	40563
11 AVIC International Finance & Investment Limited	40919
12 AVIC International Finance & Investment Limited	5012
13 Azure Orbit III International Finance Limited	5620
14 Bank of Communications (Hong Kong) Limited	40176

15	Bank of Communications (Hong Kong) Limited	40751
16	Bauhinia ILBS 1 Limited	5797
17	Bauhinia ILBS 1 Limited	5790
18	Bauhinia ILBS 1 Limited	5791
19	Bauhinia ILBS 1 Limited	5792
20	Bauhinia ILBS 1 Limited	5795
21	Bauhinia ILBS 2 Limited	5187
22	Bauhinia ILBS 2 Limited	5188
23	Bauhinia ILBS 2 Limited	5189
24	Bauhinia ILBS 2 Limited	5192
25	Bauhinia ILBS 2 Limited	5193
26	BCEG (HongKong) Company Limited	40743
27	Beijing State-Owned Assets Management (Hong Kong) Company Limited	5521
28	Bengbu Gaoxin Investment Group Co., Ltd.	5412
29	Binzhou Bincheng Economic Development Investment Company Limited	5420
30	Black Peony (Hong Kong) Holding Co., Limited	5839
31	Blossom Joy Limited	40426
32	Blossom Joy Limited	40427
33	Blue Bright Limited	40596
34	Blue Bright Limited	40245
35	Blue Skyview Company Limited	5364
36	Bluestar Finance Holdings Limited	4550
37	Bluestar Finance Holdings Limited	5089
38	Bluestar Finance Holdings Limited	5599
39	Bluestar Finance Holdings Limited	40274
40	BNY Trust Company of Australia Limited	5766
41	BNY Trust Company of Australia Limited	40142
42	BNY Trust Company of Australia Limited	40878
43	Bright Galaxy International Limited	40762
44	Cable and Wireless International Finance B.V.	1077
45	Cable and Wireless International Finance B.V.	1078
46	Cathay Pacific Finance III Limited	40580
47	CCB Life Insurance Company Limited	5437
48	CCCI Treasure Limited	5893
49	CCCI Treasure Limited	40157
50	CCCI Treasure Limited	40158
51	CCCI Treasure Limited	84546
52	CCCI Treasure Limited	84553
53	CCCI Treasure Limited	84578
54	CCTHK 2021 Ltd.	4411
55	Celestial Dynasty Limited	5594
56	CELESTIAL MILES LIMITED	5706
57	CENTRAL INTERNATIONAL DEVELOPMENT (BVI) LIMITED	5157
58	Central Plaza Development Ltd.	5919
59	CGNPC International Limited	5059
60	CGNPC International Limited	5154
61	CGNPC International Limited	5519
62	Chalco Hong Kong Investment Company Limited	5920
63	Chalco Hong Kong Investment Company Limited	5727
64	Chalco Hong Kong Investment Company Limited	5985
65	Chalco Hong Kong Investment Company Limited	40784
66	Chalieco Hong Kong Corporation Limited	5321
67	Chalieco Hong Kong Corporation Limited	5702
68	Chalieco Hong Kong Corporation Limited	40070
69	Champion Path Holdings Limited	40559
70	Champion Path Holdings Limited	40560
71	Chang Development International Limited	5318
72	Chang Development International Limited	40160
73	Chang Development International Limited	5042
74	Chang Development International Limited	5481
75	Changde Economic Construction Investment Group Co., Ltd.	5334
76	Changde Urban Construction and Investment Group Co., Ltd.	5439
77	Changde Urban Construction and Investment Group Co., Ltd.	5892
78	Changde Urban Development Group Co., Ltd.	84476
79	Changde Urban Development Group Co., Ltd.	84494
80	Changde Urban Development Group Co., Ltd.	84567
81	Changsha Jinxia New District City Development Co., Ltd	5228
82	Changxing Urban Construction Investment Group Co., Ltd.	4552
83	Chengda International Co., Limited	5663
84	Chengda International Co., Limited	84456
85	Chengdu Aerotropolis City Development Group Co., Ltd.	5784
86	Chengdu Aerotropolis City Development Group Co., Ltd.	84534
87	Chengdu Airport Xingcheng Investment Group Co., Ltd.	5216
88	Chengdu Airport Xingcheng Investment Group Co., Ltd.	5361
89	Chengdu Airport Xingcheng Investment Group Co., Ltd.	5469
90	Chengdu Communications Investment Group Corporation Limited	5062
91	Chengdu Communications Investment Group Corporation Limited	5297
92	Chengdu Communications Investment Group Corporation Limited	5298
93	CHENGDU DONGJIN HUAITOU RONGHE INDUSTRY INVESTMENT CO., LTD.	84513
94	Chengdu Economic Development Industrial Investment Group Co., Ltd.	5339
95	Chengdu ETDZ State-owned Investment Group Co., Ltd.	5313
96	Chengdu ETDZ State-owned Investment Group Co., Ltd.	5283
97	Chengdu Hi-Tech Investment Group Co., Ltd.	40886
98	Chengdu Jianjiang Investment Group Co., Ltd.	5219
99	Chengdu Jianjiang Investment Group Co., Ltd.	5288
100	Chengdu Jianjiang Investment Group Co., Ltd.	5436
101	Chengdu Jianjiang Investment Group Co., Ltd.	5446
102	Chengdu Jianjiang Investment Group Co., Ltd.	5452

103	Chengdu Jiaozhi Financial Holding Group Co., Ltd.	40645
104	CHENGDU SINO FRENCH ECOLOGICAL PARK INVESTMENT DEVELOPMENT CO., LTD.	5559
105	CHENGDU SINO FRENCH ECOLOGICAL PARK INVESTMENT DEVELOPMENT CO., LTD.	5675
106	Chengdu Tianfu Dagang Group Co., Ltd.	5243
107	Chengdu Tianfu Dagang Group Co., Ltd.	5335
108	Chengdu Tianfu Dagang Group Co., Ltd.	5336
109	Chengdu Tianfu New Area Investment Group Co., Ltd	4569
110	Chengdu Wenjiang Xingrongxi City Operation Group Co., Ltd	5932
111	Chengdu Wuhou Industrial Development Investment Management Group Co., Ltd.	84589
112	Chengdu Xinjin City Industry Development Group Company Ltd.	40180
113	Chengdu Xinjin City Industry Development Group Company Ltd.	84474
114	Chengdu Xisheng Investment Group Co., Ltd.	5500
115	Chengdu Yunlai Investment Group Co., Ltd.	40226
116	Cheung Kong Bond Securities (02) Limited	4559
117	Cheung Kong Bond Securities (03) Limited	4595
118	Cheung Kong Infrastructure Finance (BVI) Limited	40698
119	Cheung Kong Infrastructure Finance (BVI) Limited	40789
120	Chibi City Development Group Co., Ltd.	84505
121	Chibi City Development Group Co., Ltd.	84516
122	China CITIC Bank International Limited	5199
123	China CITIC Bank International Limited	5728
124	China CITIC Bank International Limited	5831
125	China CITIC Bank International Limited	4594
126	China Clean Energy Development Limited	5568
127	China Development Bank Corporation	85904
128	China Development Bank Corporation	85905
129	China Development Bank Corporation	85934
130	China Gezhouba Group (Hong Kong) Overseas Investment Co., Limited	40493
131	China Great Wall International Holdings IV Limited	5620
132	China Great Wall International Holdings V Limited	40350
133	China Great Wall International Holdings V Limited	40930
134	China Great Wall International Holdings VI Limited	5205
135	China Great Wall International Holdings VI Limited	5079
136	China Great Wall International Holdings VI Limited	5080
137	China Huadian Overseas Development 2018 Limited	40261
138	China Huadian Overseas Development Management Company Limited	4533
139	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40095
140	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40502
141	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40503
142	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	5089
143	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40543
144	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40544
145	China Life Insurance (Overseas) Co., Ltd	5250
146	China Life Insurance (Overseas) Company Limited, Hong Kong Branch	5832
147	China Merchants Finance Company Limited	5555
148	China Minmetals Corporation	4442
149	China Minmetals Corporation	5009
150	China Overseas Finance (Cayman) III Limited	5989
151	China Overseas Finance (Cayman) V Limited	4580
152	China Overseas Finance (Cayman) VI Limited	5760
153	China Overseas Finance (Cayman) VII Limited	4476
154	China Overseas Grand Oceans Finance IV (Cayman) Limited	40592
155	China Railway XunJie Co., Limited	5692
156	China Resources Power East Foundation Co., Ltd.	4516
157	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5167
158	China Southern Power Grid International Finance (BVI) Co., Limited	4598
159	China State Construction Finance (Cayman) I Limited	5462
160	China State Construction Finance (Cayman) I Limited	40712
161	China State Construction Finance (Cayman) II Limited	5038
162	China State Construction Finance (Cayman) III Limited	40083
163	Chinalco Capital Holdings Limited	5745
164	Chinalco Capital Holdings Limited	40706
165	Chinalco Capital Holdings Limited	4497
166	Chinalco Capital Holdings Limited	5152
167	Chindata Group Holdings Limited	5697
168	Chiyu Banking Corporation Limited	5034
169	Chiyu Banking Corporation Limited	5109
170	Chiyu Banking Corporation Limited	5564
171	Chongqing Fuling District New Urban Area Development (Group) Co., Ltd.	5509
172	Chongqing International Logistics Hub Park Construction Co., Ltd.	84561
173	Chongqing International Logistics Hub Park Construction Co., Ltd.	5206
174	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5699
175	Chongqing Southern New City Industrial Investment Group Co., Ltd.	5217
176	Chongqing Wansheng Industrial Park Development and Construction Co., Ltd.	84465
177	Chongqing Wansheng Industrial Park Development and Construction Co., Ltd.	84472
178	Chongqing Xinshuangquan Urban Construction and Development Co., Ltd.	84464
179	Chongqing Xinshuangquan Urban Construction and Development Co., Ltd.	84493
180	Chouzhou International Investment Limited	5814
181	Chouzhou International Investment Limited	5390
182	Cixi State-owned Assets Investment Holding Co., Ltd.	5341
183	Cixi State-owned Assets Overseas Development Company Limited	40272
184	CLP Power HK Finance Ltd	40044
185	CLP Power HK Finance Ltd	5400
186	CLP Power HK Finance Ltd.	5740
187	CMHI Finance (BVI) Co., Ltd	5135
188	CMHI Finance (BVI) Co., Ltd	40408
189	CMHI Finance (BVI) Co., Ltd	40409
190	CMHI Finance (BVI) Co., Ltd	5275

191	CNOOC Finance (2003) Limited	2568
192	CNOOC Finance (2011) Limited	4507
193	CNOOC Finance (2012) Limited	4547
194	CNOOC Finance (2013) Limited	5942
195	CNOOC Finance (2013) Limited	40016
196	CNOOC Finance (2015) Australia Pty Ltd	5507
197	CNOOC Finance (2015) U.S.A. LLC	4478
198	CNOOC Finance (2015) U.S.A. LLC	5508
199	CNOOC Nexen Finance (2014) ULC	5739
200	CNPC (HK) Overseas Capital Ltd.	4514
201	CNPC Global Capital Limited	84485
202	Contemporary Ruiding Development Limited	40381
203	Contemporary Ruiding Development Limited	40382
204	Contemporary Ruiding Development Limited	40833
205	COSL Singapore Capital Ltd.	40277
206	COSL Singapore Capital Ltd.	40278
207	CRCC Chengan Limited	5581
208	CRCC Hean Limited	40686
209	CRCC Hean Limited	40687
210	CRCC Huayuan Limited	84570
211	CRCC Yupeng Limited	5778
212	CSCEC Finance (Cayman) II Limited	5233
213	CSSC Capital 2015 Limited	40146
214	CSSC Capital 2015 Limited	40778
215	Dah Sing Bank, Limited	5316
216	Dah Sing Bank, Limited	5524
217	Dalian Deta Holding Co., Ltd.	5363
218	Dazhou Kaisheng Construction Development Group Co., Ltd.	5471
219	Deqing Construction & Development Group Co., Ltd.	4554
220	Deqing Construction & Development Group Co., Ltd.	5125
221	Deqing County Cultural Tourism Development Group Co., Ltd.	5116
222	Deqing County Cultural Tourism Development Group Co., Ltd.	5118
223	Deqing County Cultural Tourism Development Group Co., Ltd.	5438
224	Deyang Development Holding Group Co., Ltd.	5659
225	Dianjian Haixing Limited	5816
226	DianJian Haiyu Limited	40396
227	DianJian Haiyu Limited	5144
228	DianJian Haiyu Limited	5197
229	Dongtai Chengxing Investment Development Co., Ltd.	5740
230	DONGTAI HUIMIN URBANIZATION CONSTRUCTION GROUP CO., LTD.	5458
231	DONGTAI HUIMIN URBANIZATION CONSTRUCTION GROUP CO., LTD.	5586
232	Dongxing Voyage Company Limited	5145
233	Dongyang State Owned Assets Investment Co., Ltd.	4329
234	Dujiangyan Minjiang Water Group Co., Ltd.	5368
235	Dujiangyan Urban and Rural Construction Group Co., Ltd.	84463
236	Dujiangyan Urban and Rural Construction Group Co., Ltd.	84483
237	Ease Trade Global Limited	40452
238	Elect Global Investments Limited	40173
239	Elect Global Investments Limited	40353
240	ENN Clean Energy International Investment Limited	40678
241	Eve Battery Investment Limited	40926
242	Excel Capital Global Limited	4496
243	Expand Lead Limited	40768
244	Foshan Gaoming Construction Investment Group Co., Ltd.	5148
245	Foshan Gaoming Construction Investment Group Co., Ltd.	5480
246	FPC Capital Limited	5107
247	FPC Resources Limited	40373
248	Franshion Brilliant Limited	5030
249	Franshion Brilliant Limited	5229
250	Franshion Brilliant Limited	5347
251	Franshion Brilliant Limited	5447
252	Franshion Brilliant Limited	5472
253	Franshion Brilliant Limited	40641
254	Fugao Wantai Group Co., Ltd.	5437
255	Fujian Jinjiang Construction Investment Holding Group Co., Ltd.	84520
256	Fujian Jinjiang Construction Investment Holding Group Co., Ltd.	84552
257	Fujian Shishi State-owned Capital Operation Group Co., Ltd.	5108
258	Fujian Shishi State-owned Capital Operation Group Co., Ltd.	5470
259	Fujian Zhanglong Group Co., Ltd.	5362
260	Fujian Zhanglong Group Co., Ltd.	5938
261	Fujian Zhangzhou City Investment Group Co., Ltd.	84469
262	Fuqing State-owned Assets Operation Investment Group Co., Ltd.	84581
263	Fuyuan Worldwide Limited	5835
264	Fuyuan Worldwide Limited	5323
265	Fuzhou Digital Economy Investment Group Co., Ltd	5529
266	FWD Group Limited	5019
267	FWD Group Limited	5221
268	FWD Group Limited	5793
269	FWD Limited	4416
270	FWD Limited	5351
271	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	84454
272	Ganzhou Development Investment Holding Group Co., Ltd.	5474
273	Ganzhou Jiankong Investment Holding Group Co., Ltd.	4567
274	Ganzhou Jiankong Investment Holding Group Co., Ltd.	4586
275	Ganzhou Jiankong Investment Holding Group Co., Ltd.	4588
276	Ganzhou Urban Investment Holding Group Co., Ltd.	5526
277	Ganzhou Urban Investment Holding Group Co., Ltd.	5715
278	Ganzhou Urban Investment Holding Group Co., Ltd.	5717

279	Ganzhou Urban Investment Holding Group Co., Ltd.	5181
280	Gaoxin International Investment Co., Ltd	5649
281	Gaoyou Construction Investment Development (BVI) Co., Ltd.	5358
282	GF FINANCIAL HOLDINGS BVI LTD.	5467
283	GF FINANCIAL HOLDINGS BVI LTD.	85015
284	GOHL Capital Limited	5352
285	Gold Pole Capital Company Limited	5034
286	Guangxi Communications Investment Group Corporation. Ltd	5345
287	Guangxi Financial Investment Group Co., Ltd.	5949
288	Guangxi Liuzhou Dongcheng Investment Development Group Co., Ltd.	5543
289	Guangzhou Development District Financial Holdings Group Co., Ltd.	4407
290	Guangzhou Development District Holding Group Limited	40148
291	Guangzhou Development District Investment Group Co., Ltd	5387
292	Guilin ETDZ Investment Holding Co., Ltd.	5653
293	Guizhou Shuanglong Airport Development & Investment (Group) Co., Ltd.	5735
294	Guohui International (BVI) Co., Ltd.	40362
295	Guohui International (BVI) Co., Ltd.	5315
296	Guoneng Environmental Protection Investment Group Co., Limited	4558
297	Guoren Property and Casualty Insurance Co., Ltd.	40694
298	Haichuan International Investment Co., Ltd.	4512
299	Haichuan International Investment Co., Ltd.	5236
300	Hainan State Farms International Capital (HK) Co., Limited	4326
301	Haixi Overseas Investment Company Limited	4557
302	Haixi Overseas Investment Company Limited	5201
303	HaiYan Coastline Co., Limited	5842
304	Hangzhou Fuyang Chengtou Group (HK) Limited	40738
305	Hangzhou Qiantang New Area Construction and Investment Group Co., Ltd.	5825
306	Hanrui Overseas Investment Co Ltd	5416
307	Hebi Investment Group Co., Ltd.	84503
308	Henan Airport Investment Group Co., Ltd.	5479
309	HENAN RAILWAY CONSTRUCTION & INVESTMENT GROUP CO., LTD.	5046
310	HENAN RAILWAY CONSTRUCTION & INVESTMENT GROUP CO., LTD.	5375
311	Henan Water Conservancy Investment Group Co., Ltd	40387
312	Heng Yuan International Company Ltd	5392
313	Hengjian International Investment Limited	40268
314	HFI International (HK) Limited	40309
315	Hong Kong Sukuk 2017 Limited	4233
316	HongKong Xiangyu Investment Co., Limited	5544
317	Huafa 2020 I Company Limited	40455
318	Huafa 2021 I Company Limited	40806
319	Huafa 2024 I Company Limited	84542
320	Huafa 2024 I Company Limited	85000
321	Huai'an Investment Holdings Group Co., Ltd.	85004
322	Huai'an Traffic Holding Group Co., Ltd.	5360
323	Hualu International Finance (BVI) Limited	40889
324	Huaneng Hong Kong Capital Limited	5000
325	Huangshan Tourism Group Co., Ltd.	40364
326	Huantaihu International Investment Co., Ltd.	5691
327	HUBEI NEW TONGDU URBAN INVESTMENT DEVELOPMENT GROUP CO., LTD.	5820
328	Hubei Science & Technology Investment Group (Hong Kong) Company Limited	40440
329	HUBEI UNITED DEVELOPMENT INVESTMENT GROUP CO., LTD.	5442
330	Hunan Xiangjiang New Area Development Group Co., Ltd.	40890
331	Huzhou City Investment Development Group Co., Ltd.	40900
332	Huzhou City Investment Development Group Co., Ltd.	5800
333	Huzhou Moganshan High-tech Group Co., Ltd.	5270
334	Huzhou Wuxing State-Owned Capital Investment Development Co., Ltd.	5284
335	Hyd International Holding Limited	40664
336	IFC Development (Corporate Treasury) Limited	6000
337	IFC Development (Corporate Treasury) Limited	40198
338	Industrial and Commercial Bank of China (Asia) Limited	5686
339	Industrial and Commercial Bank of China (Macau) Limited	5750
340	Ji'An Chengtou Holding Group Co., Ltd	4305
341	Ji'An Chengtou Holding Group Co., Ltd	4517
342	Jiangmen Hi-tech Industrial Park Co., Ltd.	85005
343	Jiangmen Hi-tech Industrial Park Co., Ltd.	85017
344	Jiangsu Fuxuan Industrial Co., Ltd.	5779
345	Jiangsu Jurong Investment Group Co., Ltd	5401
346	Jiangsu Jurong Investment Group Co., Ltd	5428
347	Jiangsu Tenghai Investment Holding Group Co., Ltd.	5120
348	Jiangsu Tenghai Investment Holding Group Co., Ltd.	5531
349	Jiangsu Zhongguancun Holding Group (International) Co Ltd	5547
350	Jiangsu Zhongyang Clean Energy Development Co., Ltd.	84510
351	Jiangsu Zhongyang Clean Energy Development Co., Ltd.	84511
352	Jiangsu Zhongyang Clean Energy Development Co., Ltd.	5198
353	Jiangyin State-owned Assets Holding (Group) Co., Ltd.	84458
354	Jiaxing City Investment and Development Group Co., Ltd.	84460
355	JIC Zhixin Limited	5032
356	JIC Zhixin Limited	40360
357	JIC Zhixin Limited	40361
358	Jinan Economic Development Investment Co., Ltd.	5540
359	Jinan Hi-tech Holding Group Co., Ltd.	5402
360	Jinan Hi-tech Holding Group Co., Ltd.	5239
361	JINAN HI-TECH INTERNATIONAL (CAYMAN) INVESTMENT DEVELOPMENT CO., LIMITED	5605
362	JINAN HI-TECH INTERNATIONAL (CAYMAN) INVESTMENT DEVELOPMENT CO., LIMITED	5934
363	Jinan Lixia Holding Group Co., Ltd.	5928
364	Jinan Urban Construction International Investment Co., Limited	5282
365	Jincheng State-owned Capital Investment Operation Co., Ltd.	5514
366	JINGDEZHEN HESHENG INDUSTRIAL INVESTMENT DEVELOPMENT CO., LTD.	84466

367	Jingfa Overseas Investment Company Limited	5655
368	Jinghe New City Development and Construction (Group) Co, Ltd of Xixian New Area, Shaanxi Province	5326
369	Jingming Yuantong Development Investment (BVI) Co., Ltd	5294
370	Jingzhou Municipal Urban Development Holding Group Co., Ltd.	84532
371	Jingzhou Municipal Urban Development Holding Group Co., Ltd.	5180
372	Jining City Development Capital Holding Co., Ltd.	84531
373	Jining City Development Capital Holding Co., Ltd.	84535
374	Jinjiang Road and Bridge Construction & Development Co., Ltd.	5007
375	Jinjiang Road and Bridge Construction & Development Co., Ltd.	5445
376	Jinko Power Technology Co., Ltd	5539
377	Jinshine International Co., Ltd	5872
378	JOY DELIGHT INTERNATIONAL LIMITED	84529
379	Joy Treasure Assets Holdings Inc.	5903
380	Joy Treasure Assets Holdings Inc.	40000
381	Joy Treasure Assets Holdings Inc.	40467
382	Joy Treasure Assets Holdings Inc.	40468
383	King Talent Management Limited	5026
384	Knowledge City (Guangzhou) Investment Group Co., Ltd.	5113
385	Knowledge City (Guangzhou) Investment Group Co., Ltd.	5465
386	Korean Reinsurance Company	5815
387	Kunming Anju Group Co., Ltd.	5020
388	Kunming Rail Transit Group Co., Ltd.	5661
389	Laohekou Highway International Limited	84547
390	Laohekou Highway International Limited	84579
391	LICHENG INTERNATIONAL DEVELOPMENT CO., LTD.	5549
392	LICHENG INTERNATIONAL DEVELOPMENT CO., LTD.	5816
393	LINGANG OASIS INC.	84524
394	LinJiang Investment and Development (BVI) Co., Ltd	5644
395	Link CB Limited	5662
396	Lintou Overseas Co., Ltd	84478
397	Lintou Overseas Co., Ltd	5410
398	Linyi City Development International Co., Limited	5331
399	Lishui Economic and Technological Development Zone Industrial Development Group Co., Ltd.	5328
400	Lishui Economic and Technological Development Zone Industrial Development Group Co., Ltd.	5406
401	Longchuan Overseas Investment Co., Ltd.	84473
402	Longchuan Overseas Investment Co., Ltd.	84484
403	Longnan Tourism Development and Investment Group Co., Ltd.	5434
404	Longnan Tourism Development and Investment Group Co., Ltd.	5777
405	LS Finance (2017) Limited	40731
406	LS Finance (2025) Limited	5535
407	Luso International Banking Limited	5078
408	Luso International Banking Limited	5817
409	Luso International Banking Limited	6007
410	Malaysia Sovereign Sukuk Berhad	5894
411	Malaysia Sovereign Sukuk Berhad	5895
412	Malaysia Sukuk Global Berhad	5633
413	Malaysia Sukuk Global Berhad	5634
414	Malaysia Wakala Sukuk Berhad	40662
415	Malaysia Wakala Sukuk Berhad	40663
416	Maoming Port Group Co., Ltd.	84500
417	MCC Holding (Hong Kong) Corporation Limited	4483
418	MCC Holding (Hong Kong) Corporation Limited	40188
419	MCC Holding (Hong Kong) Corporation Limited	40653
420	Mengcheng Development Zone Xingmeng Investment Co., Ltd.	84438
421	Mianyang Investment Holding (Group) Co., Ltd.	5408
422	Mianyang Science & Technology City Development & Investment (Group) Co., Ltd.	84480
423	Mianyang Science & Technology City Development & Investment (Group) Co., Ltd.	84486
424	Mianyang Science & Technology City Development & Investment (Group) Co., Ltd.	84504
425	Midea Investment Development Company Limited	4501
426	Minghu International (BVI) Investment Development Co., Limited	5213
427	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5553
428	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5689
429	Minmetals Bounteous Finance (BVI) Limited	40175
430	MOON KING LIMITED	85018
431	Mudanjiang City Investment Group Co., Ltd.	5271
432	Nanchang Jinkai Group Co., Ltd.	4535
433	Nanchang Jinkai Group Co., Ltd.	5009
434	Nanjing Future Technology City Economic Development Company Limited	5117
435	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5054
436	Nanjing Yangzi State-owned Investment Group Co., Ltd.	5614
437	Nanning Communications Investment Group Co., Ltd.	4578
438	Nanxun Communications Investment Group Co., Ltd.	5303
439	Nanyang Commercial Bank, Limited	5214
440	Nanyang Commercial Bank, Limited	40069
441	Nanyang Commercial Bank, Limited	5207
442	Nanyang Commercial Bank, Limited	5752
443	Nanyang Commercial Bank, Limited	5147
444	Neijiang Investment Holding Group Co., Ltd.	5822
445	New Hope Group Co., Ltd.	84475
446	New Hope Group Co., Ltd.	84506
447	New Momentum International Limited	5037
448	New Town Construction Investment (Jizhou, Tianjin) Co., Ltd.	5322
449	Ningbo Fenghua Investment Group Co., Ltd.	84568
450	Ningbo Haishu Development and Construction Investment (Group) Co., Ltd.	5267
451	Ningbo Yincheng Group Co., Ltd.	5137
452	NWD Finance (BVI) Limited	4561
453	NWD Finance (BVI) Limited	5856
454	NWD Finance (BVI) Limited	40262



455	NWD Finance (BVI) Limited	40504
456	NWD Finance (BVI) Limited	40711
457	NWD Finance (BVI) Limited	5312
458	Ocean Laurel Co. Limited	40414
459	Omni Ireland Credit Card Securitization T3 DAC	40476
460	Omni Ireland Credit Card Securitization T3 DAC	40477
461	Omni Ireland Credit Card Securitization T3 DAC	40478
462	Omni Ireland Credit Card Securitization T3 DAC	40479
463	Omni Ireland Credit Card Securitization T3 DAC	40480
464	Omni Ireland Credit Card Securitization T3 DAC	40481
465	Omni Ireland Credit Card Securitization T3 DAC	40482
466	Omni Ireland Credit Card Securitization T3 DAC	40483
467	Omni Ireland Credit Card Securitization T3 DAC	40484
468	Omni Ireland Credit Card Securitization T3 DAC	40485
469	Omni Ireland Credit Card Securitization T3 DAC	40486
470	Omni Ireland Credit Card Securitization T3 DAC	40487
471	Omni Ireland Credit Card Securitization T3 DAC	40488
472	Omni Ireland Credit Card Securitization T3 DAC	40489
473	Orient ZhiSheng Limited	5574
474	Oriental Capital Company Limited	5550
475	OVPH Limited	5614
476	Panther Ventures Limited	40378
477	Panther Ventures Limited	40512
478	Panther Ventures Limited	40872
479	Panzhuhua City Construction and Transportation (Group) Co., Ltd.	84440
480	PB Issuer (No.5) Limited	40097
481	PCGI Intermediate Holdings (III) Limited	40810
482	Peak Re (BVI) Holding Limited	40437
483	Peng Ying International Development Company Limited	5191
484	Peng Ying International Development Company Limited	5373
485	Pengze County Development and Construction Group Co., Ltd.	5664
486	Perfect Point Ventures Limited	40850
487	Petron Corporation	5905
488	PHBS Limited	4325
489	Phoenix Charm International Investment Limited	5409
490	Phoenix Charm International Investment Limited	5421
491	Phoenix Lead Limited	5262
492	Pinghu State-owned Assets Holding Group Co., Ltd.	84555
493	Pingtang Comprehensive Pilot Zone City Development Group Co., Ltd.	84470
494	Pingtang Comprehensive Pilot Zone City Development Group Co., Ltd.	84479
495	Pingxiang City Construction Investment Group Co., Ltd	5431
496	Pioneer Reward Limited	40644
497	Pioneer Reward Limited	84407
498	Powerchina Roadbridge Group (British Virgin Islands) Limited	40635
499	Prologis, L.P.	84560
500	Proven Honour Capital Limited	5516
501	Proven Honour Capital Limited	5641
502	PTT Public Company Limited	2527
503	Pujiang State-Owned Capital Investment Group Co., Ltd.	5214
504	Puyang Investment Group Co., Ltd.	5838
505	Qingdao Bullet Train Town Investment Group Co., Ltd	5314
506	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5305
507	Qingdao Jiaozhou Bay Development Group Co., Ltd.	84453
508	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5005
509	Qingdao Jiaozhou Bay Development Group Co., Ltd.	84526
510	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5369
511	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5496
512	Qingdao Jiaozhou Urban Development And Investment Co., Ltd.	5110
513	Qingdao Jiaozhou Urban Development And Investment Co., Ltd.	5450
514	Qingdao Oceanec Valley Investment Development Group Co., Ltd.	85009
515	Qingdao Pingdu Holdings Group Co., Ltd.	5349
516	Qingdao Pingdu Holdings Group Co., Ltd.	5466
517	Qinglun International (BVI) Co., Limited	5532
518	QUAN CHENG FINANCIAL HOLDINGS (BVI) LIMITED	5178
519	Quanzhou Nanyi Investment Group Co., Ltd.	5484
520	Quanzhou Urban Construction Group Co., Ltd.	84517
521	Quzhou Qutong Transportation Investment Development Co., Ltd.	5340
522	Quzhou State-owned Capital Operation Co., Ltd.	5377
523	Radiant Access Limited	5205
524	Rizhao Xinlanshan Caijin Investment Group Co., Ltd.	5973
525	Rongshi International Finance Limited	5449
526	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40213
527	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	4418
528	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40286
529	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40287
530	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	5025
531	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	5029
532	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	5026
533	Rudong County Mintai Urban and Rural Construction Engineering Co., Ltd.	5456
534	Rudong County Mintai Urban and Rural Construction Engineering Co., Ltd.	5499
535	SAN SHUI INTERNATIONAL DEVELOPMENT CO., LTD	5292
536	SAN SHUI INTERNATIONAL DEVELOPMENT CO., LTD	5291
537	SAN SHUI INTERNATIONAL DEVELOPMENT CO., LTD	5293
538	SAN SHUI INTERNATIONAL DEVELOPMENT CO., LTD	84598
539	SAN SHUI INTERNATIONAL DEVELOPMENT CO., LTD	85006
540	Sanming City Construction and Development Group Co., Ltd.	84477
541	Sanming City Construction and Development Group Co., Ltd.	84487
542	SCI (HK) Development Limited	40632

543	Science City (Guangzhou) Investment Group Co., Ltd.	4519
544	SDG FINANCE I LIMITED	4539
545	SDG Finance Limited	40817
546	SDG Finance Limited	5247
547	SDSC Global Finance Limited	5303
548	SDSC International Development Limited	5426
549	SEPCO Virgin Limited	5258
550	SF Holding Investment 2021 Limited	40920
551	SF Holding Investment 2021 Limited	40921
552	SF Holding Investment 2021 Limited	40922
553	SF Holding Investment Limited	40152
554	SFG International Holdings Co. Limited	40704
555	Shaanxi Financial Asset Management Co.,Ltd.	4542
556	Shaanxi Xixian New Area Fengxi Development Group Co., Ltd.	84455
557	Shaanxi Yushen Energy Development and Construction Group Co., Ltd.	5624
558	Shandong Commercial Group Co., Ltd.	84439
559	Shandong Gaochuang Holding Group Co., Ltd.	84594
560	Shandong Hesheng Investment Co., Ltd.	84528
561	Shandong Hesheng Investment Co., Ltd.	84541
562	Shandong Hesheng Investment Co., Ltd.	84545
563	Shanghai Commercial Bank Limited	5043
564	Shanghai Commercial Bank Limited	5530
565	Shanghai Commercial Bank Limited	5713
566	Shanghai Port Group (BVI) Development 2 Co., Limited	40298
567	Shanghai Port Group (BVI) Development 2 Co., Limited	40299
568	Shanghai Port Group (BVI) Development Co., Limited	5741
569	Shangrao Investment Holdings International Company Limited	5647
570	Shangrao City Construction Investment Development Group Company Limited	5893
571	Shangrao City Construction Investment Development Group Company Limited	5910
572	Shangrao Urban Operation (Holding) Group Co., Ltd.	84571
573	Shangrao Urban Operation (Holding) Group Co., Ltd.	84580
574	SHANGYU URBAN CONSTRUCTION HONG KONG COMPANY LIMITED	40932
575	Shanghai (Hong Kong) International Investments Limited	5319
576	Shaodong City Development Group Co., Ltd.	5417
577	Shaoxing City Investment Group Limited	40807
578	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	40618
579	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	5541
580	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	4439
581	Shengcheng Culture and Tourism Group International Trade Co., Ltd	84557
582	Shengcheng Culture and Tourism Group International Trade Co., Ltd	84599
583	Shengzhou Communications Investment and Development Group Co., Ltd.	5195
584	Shengzhou Communications Investment and Development Group Co., Ltd.	5473
585	Shengzhou Investment Holdings Co., Ltd.	5597
586	Shengzhou Investment Holdings Co., Ltd.	5768
587	Shenwan Hongyuan International Finance Limited	40766
588	Shenwan Hongyuan International Finance Limited	5460
589	Shenzhen Futian Investment Holdings Co., Ltd	84573
590	Shuifa International Holdings (BVI) Co., Ltd.	4546
591	Si Fa Investment Limited	40640
592	Sihai International Investment Limited	5251
593	Sihai International Investment Limited	5175
594	Sino Trendy Investment Limited	40438
595	Sinochem Global Capital Co., Ltd.	5935
596	Sinochem Overseas Capital Company Limited	4502
597	Sino-Ocean Land Treasure Finance II Limited	5869
598	Sino-Ocean Land Treasure III Limited	5276
599	Sino-Ocean Land Treasure IV Limited	5623
600	Sino-Ocean Land Treasure IV Limited	40115
601	Sino-Ocean Land Treasure IV Limited	40670
602	Sino-Ocean Land Treasure IV Limited	5202
603	Sinosing Services Pte. Ltd.	40156
604	Societe Generale	5947
605	Societe Generale	5959
606	Societe Generale	5960
607	Societe Generale	5961
608	Societe Generale	5962
609	Songyang County State Capital Investment and Operation Group Co., LTD	84514
610	Songyang County State Capital Investment and Operation Group Co., LTD	5327
611	Songyang County State Capital Investment and Operation Group Co., LTD	84583
612	SPIC 2016 US dollar Bond Company Limited	5325
613	SPIC 2018 USD Senior Perpetual Bond Company Limited	5453
614	State Grid Europe Development (2014) Public Limited Company	5121
615	State Grid Europe Development (2014) Public Limited Company	5123
616	State Grid Overseas Investment (2013) Limited	5955
617	State Grid Overseas Investment (2014) Limited	5743
618	State Grid Overseas Investment (2016) Limited	5650
619	State Grid Overseas Investment (2016) Limited	5652
620	Strategic International Group Limited	40285
621	Sunny Express Enterprises Corp.	40030
622	Sunny Express Enterprises Corp.	40031
623	Sunny Express Enterprises Corp.	40203
624	Sunny Express Enterprises Corp.	40204
625	Sunny Express Enterprises Corp.	4505
626	Sunshine Life Insurance Corporation Limited	5629
627	Suqian Economic Development Group Co., Ltd.	5527
628	Suzhou City Construction Investment Group (Holding) Co., Ltd.	5405
629	Suzhou City Construction Investment Group (Holding) Co., Ltd.	5424

630	Syngenta Group Finance Limited	5557
631	Taishan City Investment Co., Limited	84496
632	Taishan City Investment Co., Limited	84530
633	Taishan Finance International Business Co., Limited	5301
634	Taixing Jinjiang Investment Co., Ltd	5395
635	TAIZHOU HUANGYAN ECONOMIC DEVELOPMENT GROUP CO., LTD.	5374
636	Taizhou Huangyan Transportation and Tourism Investment Group Co., Ltd.	5246
637	Taizhou Huangyan Transportation and Tourism Investment Group Co., Ltd.	5241
638	Taizhou Huangyan Transportation and Tourism Investment Group Co., Ltd.	5488
639	Taizhou State-owned Assets Investment Group Co., Ltd.	5242
640	Taizhou Urban Construction and Investment Development Group Co., Ltd.	5849
641	Taizhou Urban Construction and Investment Development Group Co., Ltd.	5107
642	Talent Yield (Euro) Limited	40390
643	Talent Yield International Limited	40675
644	Talent Yield International Limited	40676
645	Tencent Music Entertainment Group	40365
646	Tencent Music Entertainment Group	40366
647	Tequ Mayflower Limited	40600
648	The Hongkong and Shanghai Banking Corporation Limited	4323
649	Three Gorges Finance I (Cayman Islands) Limited	5380
650	Tianfeng Securities Co., Ltd.	4536
651	Tianjin Lingang Investment Holding Co., Ltd.	5430
652	Tianjin State-owned Capital Investment and Management Co., Ltd.	40104
653	Tianjin State-owned Capital Investment and Management Co., Ltd.	40105
654	Tianjin State-owned Capital Investment and Management Co., Ltd.	40106
655	Tongling State-owned Capital Operation Holding Group Company Limited	5554
656	TONGREN STATE-OWNED CAPITAL OPERATION CO., LTD.	5911
657	Top Luxury Investment Limited	5598
658	Towngas (Finance) Limited	5749
659	Towngas (Finance) Limited	6018
660	Tsinghua Unic Limited	4415
661	UETD Construction & Development State-owned Capital Investment Operation (Group) Co., Ltd.	5767
662	UETD Construction & Development State-owned Capital Investment Operation (Group) Co., Ltd.	40499
663	Universe Trek Limited	40295
664	Universe Trek Limited	40499
665	Universe Trek Limited	40722
666	Universe Trek Limited	40797
667	Urumqi Gaoxin Investment and Development Group Co., Ltd.	84444
668	Urumqi Gaoxin Investment and Development Group Co., Ltd.	5871
669	Vertex Capital Investment Limited	40780
670	Vertex Capital Investment Limited	4548
671	Vertex Capital Investment Limited	84499
672	Viva Biotech Investment Management Limited	40514
673	Weifang Urban Construction and Development Investment Group Co., Ltd.	5485
674	Weifang Urban Construction and Development Investment Group Co., Ltd.	5176
675	Weifang Urban Construction and Development Investment Group Co., Ltd.	84569
676	Weimob Investment Limited	40218
677	Weimob Investment Limited	40702
678	Weimob Investment Limited	5186
679	WENS FOODSTUFF GROUP CO., LTD.	40442
680	WENS FOODSTUFF GROUP CO., LTD.	40443
681	Wenzhou Lucheng District State-owned Holding Group Co., Ltd.	84588
682	Wuhan Financial Holdings (Group) Co., Ltd.	5281
683	Wuhan Jiangxia Agriculture Group Company Limited	5286
684	Wuhan Jiangxia Agriculture Group Company Limited	5558
685	Wuhan Metro Group Co., Ltd.	5467
686	Wuhan Urban Construction Group Co., Ltd.	5031
687	WuXi AppTec (HongKong) Limited	5265
688	Wuxi Chengkai Industrial Development Group Co., Ltd.	5253
689	Wuxi Chengkai Industrial Development Group Co., Ltd.	5419
690	Wuxing City Investment HK Company Limited	5067
691	Wuxing Industry Investment Husheng Company Limited	5225
692	XI'AN BAQIAO INVESTMENT HOLDING GROUP CO., LTD.	5346
693	Xi'an Qujiang Culture Financial Holding (Group) Co., Ltd.	5510
694	Xi'an Weiyang Urban Construction Group Co., Ltd.	5330
695	Xi'an Weiyang Urban Construction Group Co., Ltd.	5435
696	Xi'an Weiyang Urban Construction Group Co., Ltd.	5545
697	Xi'an Aerospace High-Tech Industry Development Group Co., Ltd	5690
698	Xi'an Chanba Development Group Co., Ltd	5222
699	Xi'an International Horticultural Exposition Investment (Group) Co., Ltd.	5393
700	XI'AN PORT INDUSTRIAL CO., LIMITED	5921
701	XI'AN PORT INDUSTRIAL CO., LIMITED	6003
702	Xiangyu Investment (BVI) Co., Ltd.	5486
703	Xianjin Industry Investment Company Limited	5290
704	Xianjin Industry Investment Company Limited	5848
705	Xianning Urban Development (Group) Co., Ltd	5264
706	Xihui Haiwai I Investment Holdings Co., Limited	84582
707	Xin Fu (BVI) Co., Limited	5320
708	Xin Fu (BVI) Co., Limited	5302
709	Xin Yue Company Limited	4442
710	Xinchang County Transportation Investment Group Company Limited	5587
711	Xingchang International Company Limited	5801
712	Xinjiang Transportation Construction Investment Holding Co., Limited	5304
713	XL (BVI) International Development Limited	5583
714	YAN GANG LIMITED	40622
715	Yancheng Dafeng Haicheng Industrial Development Co., Ltd.	5765
716	Yancheng High-tech Zone Investment Group Co., Ltd.	5151
717	Yancheng High-tech Zone Investment Group Co., Ltd.	5150

718	Yancheng High-tech Zone Investment Group Co., Ltd.	5355
719	Yancheng State-Owned Energy Investment Co., Ltd	84467
720	Yancoal International Resources Development Co., Limited	5424
721	Yancoal International Trading Co., Limited	5753
722	Yangzhou Economic and Technological Development Zone Development (Group) Co., Ltd	5124
723	Yangzhou Economic and Technological Development Zone Development (Group) Co., Ltd	5472
724	Yangzhou Hanjiang District State-owned Capital Investment Group Co., Ltd.	84584
725	Yankuang Group (Cayman) Limited	5238
726	Yankuang Group (Cayman) Limited	5403
727	YCIC International (HK) Co., Limited	40908
728	YCIC International (HK) Co., Limited	5146
729	Yi Bright International Limited	5833
730	Yi Bright International Limited	84468
731	Yi Bright International Limited	84489
732	Yi Bright International Limited	5024
733	Yi Hong International Development Co., Ltd	5459
734	Yibin Emerging Industry Investment Group Co., Ltd	84521
735	Yibin Emerging Industry Investment Group Co., Ltd	5173
736	Yichang High-Tech Investment Development Co., Ltd.	5300
737	Yichun Development Investment Group Co., Ltd.	5590
738	Yichun Yuanzhou District State-owned Investment Group Co., Limited	5324
739	Yidu State-owned Assets Investment, Operation and Holding Group Co., Ltd.	84502
740	Yili Holding Investment Limited	40471
741	YONGDA Investment Limited	40252
742	Yongzhou Lingling Asset Management Co., Ltd.	84482
743	Yueyang Construction and Investment Group Co., Ltd.	40935
744	Yueyang Construction and Investment Group Co., Ltd.	5427
745	Yunda Holding Investment Limited	40347
746	Yunnan Energy Investment Overseas Finance Company Ltd.	40352
747	Yunnan Energy Investment Overseas Finance Company Ltd.	5829
748	Zhangzhou Jiulongjiang Group Co., Ltd.	5376
749	Zhangzhou Transportation Development Group Co., Ltd.	5268
750	Zhanjiang Infrastructure Construction Investment Group Co., Ltd.	84491
751	Zhaohai Investment (BVI) Limited	5523
752	Zhaohai Investment (BVI) Limited	5520
753	Zhaoqing Guolian Investment Holding Co., Ltd	5254
754	Zhejiang Baron (BVI) Company Limited	5836
755	Zhejiang Baron (BVI) Company Limited	5128
756	Zhejiang Changxing Financial Holdings Group Co., Ltd.	5316
757	Zhejiang Changxing Financial Holdings Group Co., Ltd.	5462
758	Zhejiang Changxing Jingkai Construction & Development Co., Ltd	40149
759	Zhejiang Seaport International Co., Limited	40614
760	Zhejiang Xinchang Investment Development Co., Ltd.	4304
761	Zhejiang Xinchang Investment Development Co., Ltd.	5218
762	Zhengzhou Airport Economy Zone Xinggang Investment Group Co., Ltd.	5106
763	Zhengzhou Jinshui Holding Group Co., Ltd.	5489
764	Zhengzhou Metro Group Co., Ltd.	5278
765	Zhengzhou Real Estate Group Co., Ltd.	5515
766	Zhengzhou Urban Construction Investment Group Co., Ltd.	5463
767	Zhengzhou Urban Construction Investment Group Co., Ltd.	5347
768	Zhenjiang Cultural Tourism Industry Group Co., Ltd.	5506
769	Zhenjiang Cultural Tourism Industry Group Co., Ltd.	5610
770	Zhenjiang Culture & Broadcasting Industry Group Co., Ltd.	4324
771	Zhenjiang Culture & Broadcasting Industry Group Co., Ltd.	4568
772	Zhenjiang Transportation Industry Group Co., Ltd.	5522
773	Zhenjiang Transportation Industry Group Co., Ltd.	5576
774	Zhongtai International Finance (BVI) Company Limited	5804
775	Zhongyuan Zhicheng Co., Ltd.	40747
776	Zhongyuan Zhicheng Co., Ltd.	5021
777	Zhoukou Urban Construction Investment Development Limited Company	5448
778	Zhoushan Islands New Area Penglai State Asset Investment Group Co., Ltd.	5299
779	Zhuji Development Limited	5156
780	Zhuzhou City Construction Development Group Co., Ltd.	5477
781	Zibo Caijin Holding Group Co., Ltd.	84448
782	Zibo City Asset Operation Group Co., Ltd.	84481
783	Zibo City Asset Operation Group Co., Ltd.	84507
784	Zibo City Asset Operation Group Co., Ltd.	84519
785	Zibo City Asset Operation Group Co., Ltd.	85011
786	Zibo High-Tech International Investment Co., Limited	5354
787	Zibo High-Tech International Investment Co., Limited	84527
788	Zoomwe Hong Kong New Energy Technology Co., Limited	4581
789	ZOUCHENG LIMIN CONSTRUCTION DEVELOPMENT GROUP CO., LTD.	85003

第3類豁免：適用於股份在香港聯合交易所有限公司上市的以法團形式運作的開放式集體投資計劃

名稱	聯交所 股份代號
1 Xtrackers FTSE Vietnam Swap UCITS ETF*	3087
2 Xtrackers FTSE China 50 UCITS ETF	3007
3 Xtrackers MSCI Korea UCITS ETF	2848
4 Xtrackers MSCI Taiwan UCITS ETF	3036
5 Xtrackers MSCI USA Swap UCITS ETF*	3020
6 Xtrackers Nifty 50 Swap UCITS ETF*	3015
7 Global X China Consumer Brand ETF	2806 / 9806
8 Global X China Electric Vehicle and Battery ETF	2845 / 9845
9 Global X China Clean Energy ETF	2809 / 9809
10 Global X China Semiconductor ETF	3191 / 9191
11 Global X China Robotics and AI ETF	2807 / 9807
12 CSOP STAR 50 Index ETF	3109
13 Global X China Innovator Active ETF	3058
14 Global X China Global Leaders ETF	3050
15 CSOP Global Cloud Computing Technology Index ETF	3194
16 CSOP Huatai-PineBridge CSI Photovoltaic Industry ETF	3134
17 CSOP China Healthcare Disruption Index ETF	3174
18 Global X Asia Semiconductor ETF	3119
19 Global X China E-commerce and Logistics ETF	3124
20 Global X China Games and Entertainment ETF	3117
21 CSOP Global Smart Driving Index ETF	3162
22 CSOP MSCI China A 50 Connect ETF	3003
23 Global X Autonomous and Electric Vehicles ETF	2849
24 Global X FinTech ETF	3185
25 Global X Asia Innovator Active ETF	3051
26 CSOP NASDAQ 100 ETF	3034
27 Fubon FTSE Taiwan RIC Capped Index ETF	3021
28 Fubon ICE FactSet Taiwan Core Semiconductor Index ETF	3076
29 Fubon Hang Seng Shanghai-Shenzhen-Hong Kong (Selected Corporations) High Dividend Yield Index ETF	3190
30 CSOP FTSE Vietnam 30 ETF	3004
31 Harvest China Sustainable Lifestyle Tech Active ETF	3155
32 E Fund (HK) HSI ESG Enhanced Index ETF	3039
33 Boserá RMB Money Market ETF	3192 / 83192
34 Phillip HK Newly Listed Equities Index ETF	2835
35 Pando Blockchain ETF	3112
36 Pando Innovation ETF	3056
37 Boserá HKD Money Market ETF	3152
38 Fubon ICE FactSet Asia Battery and Energy Storage Technology Index ETF	3405
39 Boserá USD Money Market ETF	3196 / 83196 / 9196
40 Global X China MedTech ETF	2841
41 Global X Asia USD Investment Grade Bond ETF	3075 / 9075
42 Global X China Little Giant ETF	2815
43 Boserá 20+ Year US Treasury Bond ETF	3156 / 9156 / 9107
44 Global X HSCEI Components Covered Call Active ETF	3416
45 Global X HSI Components Covered Call Active ETF	3419
46 Global X K-pop and Culture ETF	3158
47 Boserá HashKey Bitcoin ETF	3008 / 9008
48 Boserá HashKey Ether ETF	3009 / 9009
49 ChinaAMC Bitcoin ETF	3042 / 83042 / 9042
50 ChinaAMC Ether ETF	3046 / 83046 / 9046
51 Harvest Bitcoin Spot ETF	3439 / 9439
52 Harvest Ether Spot ETF	3179 / 9179
53 Doo Wealth Selected AI and Automation Active ETF	3413
54 Doo Wealth Selected Digital Payments ETF	3412
55 Doo Wealth Selected Web3 ETF	3426
56 Boserá China Reform Hong Kong Central-SOEs High Dividend Yield Index ETF	3437 / 83437 / 9437
57 Fubon Solactive Core Diversified Multi Asset Index ETF	3427
58 Global X US Treasury 0-3 Month ETF	3440 / 9440
59 Global X G2 Tech ETF	3402
60 Global X AI Infrastructure ETF	3401
61 Global X Hang Seng TECH Components Covered Call Active ETF	3417
62 CMS Hang Seng Tech Index ETF	3423
63 Global X FTSE Greater China ETF	3470

\* 此基金為一隻合成交易所買賣基金

**第4類豁免：適用於聯交所的交易所參與者**

名稱

- 1 中國創盈市場服務有限公司
- 2 中國投資信息有限公司

**第5類豁免：適用於屬結算參與者的結算所**

名稱

- 1 中國證券登記結算有限責任公司
- 2 中國證券登記結算（香港）有限公司

**根據《證券及期貨條例》(第571章)第309(2) 條批給的其他豁免**

名稱

- 1 **Budweiser Brewing Company APAC Limited**
- 2 京東健康股份有限公司
- 3 京東物流股份有限公司
- 4 雲音樂股份有限公司