

根據《證券及期貨條例》(第571章)第309(2) 條批給的豁免

以下載列就(一)發行在香港聯合交易所有限公司(聯交所)上市的證券的法團及(二)其他人士而批給的豁免。該等豁免就(一)批給該等法團、其大股東、董事及最高行政人員，及就(二)批給其他人士，使其無須就其於聯交所上市證券的權益所衍生的義務而遵從《證券及期貨條例》第XV部。本清單每月更新。

證監會網站內有關《證券及期貨條例》第XV部的網頁已登載證監會指引，列明根據該條例第309條批給豁免的依據。目前提供的豁免分為五類，而該指引已對該五類豁免加以述明。

第1類部份豁免：適用於股份已在香港聯合交易所有限公司及另一證券交易所上市的法團

名稱	聯交所 股份代號 (<i>注解1</i>)	
1 宏利金融有限公司	0945 - S	
2 渣打集團有限公司	2888	(及 4305, 5348, 5890, 5986, 40276 及 40518)
3 英國保誠有限公司	2378	
4 迅銷有限公司 - 預託證券	6288 - S	(該豁免僅適用於迅銷有限公司及其大股東)
5 京東集團股份有限公司	9618 - SW	
6 網易股份有限公司	9999 - S	
7 華住集團有限公司	1179 - S	
8 萬國數據控股有限公司	9698 - SW	
9 新東方教育科技(集團)有限公司	9901 - S	
10 汽車之家	2518 - S	
11 百度集團股份有限公司	9888 - SW	
12 攜程集團有限公司	9961 - S	
13 微博股份有限公司	9898 - SW	
14 蔚來集團	9866 - SW	
15 騰訊音樂娛樂集團	1698 - SW	
16 360數科股份有限公司	3660 - S	

注解1:
S - 第二上市公司
W - 不同投票權架構的公司

第2類豁免：適用於並無股份在香港聯合交易所有限公司上市的法團

(a)第2類豁免：適用於結構性產品現時在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

名稱	豁免期限 (<i>注解2</i>)	豁免開始日	豁免終止日
1 Bank Vontobel AG		2020年5月31日	2025年5月30日
2 BOCI Asia Limited		2007年12月21日	2025年12月20日
3 BNP Paribas Issuance B.V.		2007年12月21日	2025年12月20日
4 CITIC Securities Brokerage (HK) Limited		2024年2月22日	2026年2月21日
5 Citigroup Global Markets Europe AG		2019年11月1日	2025年10月31日
6 DBS Bank Ltd.		2021年8月24日	2025年8月23日
7 Goldman Sachs Structured Products (Asia) Limited		2007年12月21日	2025年12月20日
8 Guotai Junan Securities (Hong Kong) Limited		2019年10月28日	2025年10月27日
9 Huatai Financial Holdings (Hong Kong) Limited		2023年6月1日	2026年5月31日
10 J.P. Morgan Structured Products B.V.		2007年12月21日	2025年12月20日
11 Korea Investment & Securities Asia Limited		2023年12月4日	2025年12月3日
12 Macquarie Bank Limited		2008年1月1日	2025年12月31日
13 Morgan Stanley Asia Products Limited		2019年7月22日	2025年7月21日
14 SG Issuer		2016年4月1日	2026年3月31日
15 The Hongkong and Shanghai Banking Corporation Ltd		2007年12月23日	2025年12月22日
16 UBS AG		2007年12月21日	2025年12月20日

注解2: 授予以上法團的豁免期為12個月(或更少)，並豁免該法團在豁免期內所發行及在香港聯合交易所有限公司上市的結構性產品直至該結構性產品有效期完結為止。

(b)第2類豁免：適用於債務項目下發行的債務證券在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

名稱	項目
1 AirAsia Global Notes Limited	US\$1,000,000,000 Multicurrency Medium Term Note Programme
2 Amipeace Ltd.	HK\$20,000,000,000 Medium Term Note Programme
3 AMTD Group Company Limited	U.S.\$500,000,000 Medium Term Note Programme
4 AMTD IDEA Group	U.S.\$1,000,000,000 Medium Term Note Programme
5 AVIC International Leasing Co., Ltd.	US\$500,000,000 Medium Term Note Programme
6 AVIC International Leasing Co., Ltd.	U.S.\$500,000,000 Medium Term Note Programme
7 Azure Nova International Finance Limited	U.S.\$10,000,000,000 Medium Term Note Programme
8 Azure Orbit II International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
9 Azure Orbit IV International Finance Limited	U.S.\$7,000,000,000 Medium Term Note Programme
10 Bank of China (Hong Kong) Limited	U.S.\$15,000,000,000 Medium Term Note Programme
11 Bank of China (Luxembourg) S.A.	US\$30,000,000,000 Medium Term Note Programme
12 Beijing Capital Polaris Investment Co. Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme

13	Bluestar Finance Holdings Limited	US\$3,000,000,000 Medium Term Note and Perpetual Securities Programme
14	Bocom Leasing Management Hong Kong Company Limited	US\$10,000,000,000 Medium Term Note Programme
15	Boom Up Investments Limited	US\$1,000,000,000 Medium Term Note Programme
16	CALC Bonds Limited	US\$3,000,000,000 Medium Term Note Programme
17	Castle Peak Power Finance Company Limited	U.S.\$2,000,000,000 Medium Term Note Programme
18	Cathay Pacific MTN Financing (HK) Limited	US\$2 billion Medium Term Note Programme
19	CCBL (Cayman) 1 Corporation Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
20	CDBI Treasure I Limited	US\$500,000,000 Medium Term Note Programme
21	CDBL Funding 1	U.S.\$3,000,000,000 Medium Term Note Programme
22	CDBL Funding 1	US\$3,000,000,000 Medium Term Note Programme
23	CDBL Funding 2	US\$3,000,000,000 Medium Term Note Programme
24	Central Plaza Development Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
25	CGNPC INTERNATIONAL LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
26	Chailease International Finance Corporation	U.S.\$500,000,000 Medium Term Note Programme
27	Champion MTN Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
28	Charming Light Investments Ltd. and United Wealth Development Ltd.	US\$8,000,000,000 Medium Term Note Programme
29	Cheung Kong Finance (MTN) Limited and Joynote Ltd	US\$2,000,000,000 Medium Term Note Programme
30	Cheung Kong Infrastructure Finance (BVI) Limited	US\$2,000,000,000 Euro Medium Term Note Programme
31	China Cinda (2020) I Management Limited	U.S.\$5,000,000,000 Guaranteed Medium Term Note Programme
32	China Cinda (2020) I Management Limited	US\$2,000,000,000 Medium Term Note Programme
33	China Cinda Finance (2015) I Limited and China Cinda Finance (2015) II Limited	US\$3,000,000,000 Guaranteed Medium Term Note Programme
34	China Cinda Finance (2017) I Limited and China Cinda Finance (2017) II Limited	US\$10,000,000,000 Medium Term Note Programme
35	China CITIC Bank International Limited	US\$3,000,000,000 Medium Term Note Programme
36	China Construction Bank (Asia) Corporation Limited	US\$5,000,000,000 Medium Term Note Programme
37	China Development Bank Corporation and China Development Bank Corporation Hong Kong Branch	US\$30,000,000,000 Debt Issuance Programme
38	China Great Wall International Holdings III Limited	U.S.\$6,500,000,000 Medium Term Note Programme
39	China Huadian Overseas Development 2018 Limited	US\$2,000,000,000 Medium Term Note and Perpetual Securities Programme
40	China Overseas Finance (Cayman) VIII Limited	US\$2.5 billion medium note programme
41	China Overseas Finance (Cayman) VIII Limited	US\$4 billion medium note programme
42	CICC Hong Kong Finance 2016 MTN Limited	US\$10,000,000,000 Guaranteed Medium Term Note Programme
43	CITIC Securities Finance MTN Co., Ltd.	US\$3 billion Medium Term Note Programme
44	CK Property Finance (MTN) Limited	US\$5,000,000,000 Euro Medium Term Note Programme
45	CMB International Leasing Management Limited	US\$20,000,000,000 Medium Term Note Programme
46	CMB Wing Lung Bank Limited	U.S.\$2,000,000,000 Euro Medium Term Note Programme
47	CMBLEMTN1 Limited	U.S.\$5,000,000,000 Medium Term Note Programme
48	CMS International Gemstone Limited	US\$2,000,000,000 Medium Term Note Programme
49	CNCBINV 1 (BVI) Limited	US\$2,000,000,000 Medium Term Note Programme
50	CNPC General Capital Limited	US\$7,000,000,000 Euro Medium Term Note Programme
51	Connery Capital Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
52	COSL Singapore Capital Ltd.	US\$3,500,000,000 Euro Medium Term Note Programme
53	CSCIF Asia Limited	US\$3,000,000,000 Medium Term Note Programme
54	CSCIF Hong Kong Limited	US\$4,000,000,000 Medium Term Note Programme
55	CSI MTN Limited	U.S.\$3,000,000,000 Medium Term Note Programme
56	Dah Sing Bank, Limited	US\$2,000,000,000 Euro Medium Term Note Programme
57	DianJian Haiyu Limited, Dianjian International Finance Limited, Leader Goal International Limited, SEPCO Virgin Limited	U.S.\$2,500,000,000 Medium Term Note and Senior Perpetual Securities Programme
58	Eastern Creation II Investment Holdings Ltd.	US\$6,000,000,000 Guaranteed Euro Medium Term Note Programme
59	Everbright SHK (BVI) Limited	US\$1,000,000,000 Medium Term Note Programme
60	FEC Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
61	Franshion Brilliant Limited	US\$2,000,000,000 Medium Term Note Programme
62	Future Days Limited	US\$5,000,000,000 Medium Term Note Programme
63	FWD Group Holdings Limited	US\$5,000,000,000 Medium Term Note and Capital Securities Programme
64	GE (MTN) LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
65	Gemdale Ever Prosperity Investment Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
66	GLP China Holdings Limited	HK\$20,000,000,000 Medium Term Note Programme
67	Goodman HK Finance	US\$2,000,000,000 Medium Term Note Programme
68	Greenland Global Investment Limited	US\$8,000,000,000 Guaranteed Medium Term Notes Programme
69	Guangzhou Metro Investment Finance (BVI) Ltd.	US\$3,000,000,000 Guaranteed Medium Term Note Programme
70	Guotai Junan Holdings Limited	USD3,000,000,000 Medium Term Note Programme
71	Haitong International Finance Holdings Limited	USD1,000,000,000 Guaranteed Medium Term Note Programme
72	Haitong International Securities Group Limited	USD5,000,000,000 Medium Term Note Programme
73	Haitong UT Brilliant Limited	USD1,000,000,000 Guaranteed Medium Term Note Programme
74	Henderson Land MTN Limited	US\$7,000,000,000 Guaranteed Medium Term Note Programme
75	HKCG (Finance) Limited	US\$3,000,000,000 Medium Term Note Programme
76	HLP Finance Limited	US\$4 billion Medium Term Note Programme
77	Hong Kong Science and Technology Parks Corporation	HK\$1,707,000,000 Guaranteed Medium Term Note Programme
78	Horse Gallop Finance Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
79	Horsepower Finance Limited	US\$1,200,000,000 Medium Term Note Programme
80	Huarong Finance 2017 Co., Ltd.	U.S.\$14,500,000,000 Medium Term Note Programme
81	Huarong Finance 2019 Co., Ltd.	US\$2,900,000,000 Medium Term Note Programme
82	Huarong Finance II Co., Ltd	US\$11,000,000,000 Medium Term Note Programme
83	Huarong Leasing Management Hong Kong Company Limited	U.S.\$1,000,000,000 Medium Term Note Programme
84	Huatai International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
85	Hysan (MTN) Limited	US\$4,000,000,000 Medium Term Note Programme
86	ICBCIL Finance Co. Limited	US\$20,000,000,000 Medium Term Note Programme
87	Industrial and Commercial Bank of Asia (China) Limited	U.S.\$6,000,000,000 Medium Term Note Programme
88	Industrial Bank Co., Ltd.	U.S.\$5,000,000,000 Medium Term Note Programme
89	Inventive Global Investments Limited	U.S.\$3,500,000,000 Medium Term Note Programme
90	Inventive Global Investments Limited	U.S.\$3,500,000,000 Medium Term Note Programme
91	Joy Treasure Assets Holdings Inc.	USD1,400,000,000 Medium Term Note Programme

92	Joyous Glory Group Limited	US\$1,000,000,000 Medium Term Note Programme
93	K.Wah International Financial Services Limited	US\$1,000,000,000 Medium Term Note Programme
94	Kunming Traffic Investment Co., Ltd.	US\$2,000,000,000 Medium Term Note Programme
95	Lai Fung MTN Limited	US\$2,000,000,000 Medium Term Note Programme
96	LAI SUN MTN LIMITED	US\$2,000,000,000 Medium Term Note Programme
97	Legend Fortune Limited	US\$2,000,000,000 Medium Term Note Programme
98	Midea Investment Development Company Limited	US\$3,000,000,000 Medium Term Note Programme
99	Modern Terminals Capital Limited	US\$1 billion Medium Term Note Programme
100	MTR Corporation (C.I.) Limited	US\$4 billion Medium Term Note Programme
101	New World China Land Limited	US\$2,000,000,000 Medium Term Note Programme
102	NWD (MTN) Limited	US\$7,000,000,000 Medium Term Note Programme
103	NWS (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
104	P&R International Treasury Limited	U.S.\$300,000,000 Medium Term Note and Perpetual Securities Programme
105	PETRONAS Capital Limited and PETRONAS Energy Canada Ltd.	US\$30,000,000,000 Medium Term Note Programme
106	Pingan Real Estate Capital Limited	US\$1,000,000,000 Medium Term Note Programme
107	Pioneer Reward Limited	USD3,000,000,000 Medium Term Note Programme
108	Power Assets Finance Limited	U.S.\$2,000,000,000 Medium Term Note Programme
109	Proven Glory Capital Limited	US\$5,000,000,000 Medium Term Note Programme
110	QNB Finance Ltd	U.S.\$22,500,000,000 Medium Term Note Programme
111	R-REIT International Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
112	RH International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
113	Rongshi International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
114	Shanghai Pudong Development Bank Co., Ltd., Hong Kong Branch	US\$5,000,000,000 Euro Medium Term Note Programme
115	Sichuan Development Holding Co., Ltd. and Yieldking Investment Limited	US\$2,000,000,000 Medium Term Note Programme
116	Silverbell Asia Limited	US\$1,000,000,000 Medium Term Note Programme
117	Sino (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
118	Sinochem Offshore Capital Company Limited	US\$15,000,000,000 Global Medium Term Note Programme
119	Soar Great Limited	US\$1,500,000,000 Guaranteed Medium Term Note Programme
120	Soar Wind Ltd	US\$3,500,000,000 Guaranteed Medium Term Note and Perpetual Capital Securities Programme
121	Soar Wise Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
122	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l., SPIC MTN Company Ltd.	U.S.\$3,000,000,000 Medium Term Note and Perpetual Securities Programme
123	Splendid International Investment Limited	U.S.\$1,500,000,000 Medium Term Note Programme
124	Standard Chartered Bank (Hong Kong) Limited and Standard Chartered Bank	US\$50,000,000,000 Debt Issuance Programme
125	State Elite Global Limited	US\$2,700,000,000 Medium Term Note Programme
126	State Grid Overseas Investment (2016) Limited	US\$15,000,000,000 Medium Term Note Programme
127	Sun Hung Kai Properties (Capital Market) Limited	US\$10,000,000,000 Medium Term Note Programme
128	Sunlight REIT MTN Limited	US\$1,000,000,000 Medium Term Note Programme
129	Swire Pacific MTN Financing (HK) Limited	US\$5,000,000,000 Medium Term Note Programme
130	Swire Pacific MTN Financing Limited	US\$5,000,000,000 Medium Term Note Programme
131	Swire Properties MTN Financing Limited	US\$3,000,000,000 Medium Term Note Programme
132	TCCL (Finance) Limited	U.S.\$2,000,000,000 Medium Term Note Programme
133	TFI Overseas Investment Limited	U.S.\$750,000,000 Structured Note and Medium Term Note Programme
134	The Hongkong and Shanghai Banking Corporation Limited	US\$20,000,000,000 Medium Term Note Programme
135	The Hong Kong Mortgage Corporation Limited	US\$30,000,000,000 Medium Term Note Programme
136	The Link Finance (Cayman) 2009 Limited and LINK QDS (SINGAPORE) PRIVATE LIMITED	US\$5,000,000,000 Guaranteed Euro Medium Term Note Programme
137	Unican Limited	US\$1,000,000,000 Guaranteed Euro Medium Term Note Programme
138	Urban Renewal Authority	US\$3 billion Medium Term Note Programme
139	Vanke Real Estate (Hong Kong) Company Limited	US\$9,500,000,000 Medium Term Note Programme
140	Westwood Group Holdings Limited	US\$3,000,000,000 Medium Term Note Programme
141	Wharf Finance (BVI) Limited, Wharf Finance (BVI) No.1 Limited, Wharf Finance (No.1) Limited and Wharf Finance Limited	US\$7 billion Medium Term Note Programme
142	Wharf REIC Finance (BVI) Limited	U.S.\$5,000,000,000 Medium Term Note Programme
143	Wheelock MTN (BVI) Limited	US\$5 billion Medium Term Note Programme
144	Wuhan Metro Group Co., Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
145	Xingcheng (BVI) Limited	US\$1,500,000,000 Medium Term Note Programme
146	Yuexiu REIT MTN Company Limited	US\$1,500,000,000 Medium Term Note Programme
147	Zhejiang Energy International Limited	USD2,000,000,000 Medium Term Note Programme

(c)第2類豁免：適用於就某一特定發行的債務證券現時在香港聯合交易所有限公司上市的法團（並無股份在香港聯合交易所有限公司上市）

名稱	聯交所 股份代號
1 Agricultural Development Bank of China	85924
2 Airport Authority	4701
3 Alibaba Group Holding Limited	5845
4 Anhui Boyang Urban Construction Holding Group Co., Ltd.	5429
5 Anhui Transportation Holding Group (H.K.) Limited	40815
6 Anhui Xihu Investment Holding Group Co., Ltd.	84556
7 Anhui Xihu Investment Holding Group Co., Ltd.	5351
8 Anji Qicai Lingfeng Rural Tourism Investment Co., Ltd	4531
9 AVIC International Finance & Investment Limited	40388
10 AVIC International Finance & Investment Limited	40563
11 AVIC International Finance & Investment Limited	40919
12 AVIC International Finance & Investment Limited	5012
13 Azure Orbit III International Finance Limited	5620
14 Bank of Communications (Hong Kong) Limited	40176

15	Bank of Communications (Hong Kong) Limited	40751
16	Bauhinia ILBS 1 Limited	5797
17	Bauhinia ILBS 1 Limited	5790
18	Bauhinia ILBS 1 Limited	5791
19	Bauhinia ILBS 1 Limited	5792
20	Bauhinia ILBS 1 Limited	5795
21	Bauhinia ILBS 2 Limited	5187
22	Bauhinia ILBS 2 Limited	5188
23	Bauhinia ILBS 2 Limited	5189
24	Bauhinia ILBS 2 Limited	5192
25	Bauhinia ILBS 2 Limited	5193
26	BCEG (HongKong) Company Limited	40743
27	Bengbu Gaoxin Investment Group Co., Ltd.	5412
28	Binzhou Bincheng Economic Development Investment Company Limited	5420
29	Black Peony (Hong Kong) Holding Co., Limited	5839
30	Blossom Joy Limited	40426
31	Blossom Joy Limited	40427
32	Blue Bright Limited	40596
33	Blue Bright Limited	40245
34	Blue Skyview Company Limited	5364
35	Bluestar Finance Holdings Limited	4550
36	Bluestar Finance Holdings Limited	5089
37	Bluestar Finance Holdings Limited	5599
38	Bluestar Finance Holdings Limited	40274
39	BNY Trust Company of Australia Limited	5766
40	BNY Trust Company of Australia Limited	40142
41	BNY Trust Company of Australia Limited	40878
42	Bright Galaxy International Limited	40762
43	Cable and Wireless International Finance B.V.	1077
44	Cable and Wireless International Finance B.V.	1078
45	Cathay Pacific Finance III Limited	40580
46	CCB Life Insurance Company Limited	5437
47	CCCI Treasure Limited	5893
48	CCCI Treasure Limited	40157
49	CCCI Treasure Limited	40158
50	CCCI Treasure Limited	84546
51	CCCI Treasure Limited	84553
52	CCCI Treasure Limited	84578
53	CCTHK 2021 Ltd.	4411
54	Celestial Dynasty Limited	5594
55	CELESTIAL MILES LIMITED	5706
56	CENTRAL INTERNATIONAL DEVELOPMENT (BVI) LIMITED	5157
57	Central Plaza Development Ltd.	5919
58	CGNPC International Limited	5059
59	CGNPC International Limited	5154
60	Chalco Hong Kong Investment Company Limited	5920
61	Chalco Hong Kong Investment Company Limited	5727
62	Chalco Hong Kong Investment Company Limited	5985
63	Chalco Hong Kong Investment Company Limited	40784
64	Chalieco Hong Kong Corporation Limited	5321
65	Chalieco Hong Kong Corporation Limited	5702
66	Chalieco Hong Kong Corporation Limited	40070
67	Champion Path Holdings Limited	40559
68	Champion Path Holdings Limited	40560
69	Chang Development International Limited	5318
70	Chang Development International Limited	40160
71	Chang Development International Limited	5042
72	Chang Development International Limited	5481
73	Changde Economic Construction Investment Group Co., Ltd.	5334
74	Changde Urban Construction and Investment Group Co., Ltd.	5439
75	Changde Urban Construction and Investment Group Co., Ltd.	5892
76	Changde Urban Development Group Co., Ltd.	84476
77	Changde Urban Development Group Co., Ltd.	84494
78	Changde Urban Development Group Co., Ltd.	84567
79	Changxing Urban Construction Investment Group Co., Ltd.	4552
80	Chengda International Co., Limited	5663
81	Chengda International Co., Limited	84456
82	Chengdu Aerotropolis City Development Group Co., Ltd.	5784
83	Chengdu Aerotropolis City Development Group Co., Ltd.	84534
84	Chengdu Airport Xingcheng Investment Group Co., Ltd.	5361
85	Chengdu Airport Xingcheng Investment Group Co., Ltd.	5469
86	Chengdu Communications Investment Group Corporation Limited	5062
87	Chengdu Communications Investment Group Corporation Limited	5297
88	Chengdu Communications Investment Group Corporation Limited	5298
89	CHENGDU DONGJIN HUAITOU RONGHE INDUSTRY INVESTMENT CO., LTD.	84513
90	Chengdu Economic Development Industrial Investment Group Co., Ltd.	5339
91	Chengdu ETDZ State-owned Investment Group Co., Ltd.	5313
92	Chengdu ETDZ State-owned Investment Group Co., Ltd.	5283
93	Chengdu Hi-Tech Investment Group Co., Ltd.	40886
94	Chengdu Jianjiang Investment Group Co., Ltd.	5288
95	Chengdu Jianjiang Investment Group Co., Ltd.	5436
96	Chengdu Jianjiang Investment Group Co., Ltd.	5446
97	Chengdu Jianjiang Investment Group Co., Ltd.	5452
98	Chengdu Jiaozhi Financial Holding Group Co., Ltd.	40645
99	CHENGDU SINO FRENCH ECOLOGICAL PARK INVESTMENT DEVELOPMENT CO., LTD.	5559
100	CHENGDU SINO FRENCH ECOLOGICAL PARK INVESTMENT DEVELOPMENT CO., LTD.	5675
101	Chengdu Tianfu Dagang Group Co., Ltd.	5335
102	Chengdu Tianfu Dagang Group Co., Ltd.	5336

103	Chengdu Tianfu New Area Investment Group Co., Ltd	4569
104	Chengdu Wenjiang Xingrongxi City Operation Group Co., Ltd	5932
105	Chengdu Wuhou Industrial Development Investment Management Group Co., Ltd.	84589
106	Chengdu Xingjin Construction Investment Group Co., Ltd.	85021
107	Chengdu Xinjin City Industry Development Group Company Ltd.	40180
108	Chengdu Xinjin City Industry Development Group Company Ltd.	84474
109	Chengdu Xisheng Investment Group Co., Ltd.	5500
110	Chengdu Yunlai Investment Group Co., Ltd.	40226
111	Cheung Kong Bond Securities (02) Limited	4559
112	Cheung Kong Bond Securities (03) Limited	4595
113	Cheung Kong Infrastructure Finance (BVI) Limited	40698
114	Cheung Kong Infrastructure Finance (BVI) Limited	40789
115	Chibi City Development Group Co., Ltd.	84505
116	Chibi City Development Group Co., Ltd.	84516
117	China CITIC Bank International Limited	5199
118	China CITIC Bank International Limited	5728
119	China CITIC Bank International Limited	5831
120	China CITIC Bank International Limited	4594
121	China Clean Energy Development Limited	5568
122	China Development Bank Corporation	85904
123	China Development Bank Corporation	85905
124	China Development Bank Corporation	85934
125	China Gezhouba Group (Hong Kong) Overseas Investment Co., Limited	40493
126	China Great Wall International Holdings IV Limited	5620
127	China Great Wall International Holdings V Limited	40350
128	China Great Wall International Holdings V Limited	40930
129	China Great Wall International Holdings VI Limited	5079
130	China Great Wall International Holdings VI Limited	5080
131	China Great Wall International Holdings VI Limited	5504
132	China Huadian Overseas Development 2018 Limited	40261
133	China Huadian Overseas Development Management Company Limited	4533
134	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40095
135	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40502
136	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40503
137	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	5089
138	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40543
139	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40544
140	China Life Insurance (Overseas) Co., Ltd	5250
141	China Life Insurance (Overseas) Company Limited, Hong Kong Branch	5832
142	China Merchants Finance Company Limited	5555
143	China Minmetals Corporation	4442
144	China Minmetals Corporation	5009
145	China Overseas Finance (Cayman) III Limited	5989
146	China Overseas Finance (Cayman) V Limited	4580
147	China Overseas Finance (Cayman) VI Limited	5760
148	China Overseas Finance (Cayman) VII Limited	4476
149	China Overseas Grand Oceans Finance IV (Cayman) Limited	40592
150	China Railway XunJie Co., Limited	5692
151	China Resources Power East Foundation Co., Ltd.	4516
152	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5167
153	China Southern Power Grid International Finance (BVI) Co., Limited	4598
154	China State Construction Finance (Cayman) I Limited	5462
155	China State Construction Finance (Cayman) I Limited	40712
156	China State Construction Finance (Cayman) II Limited	5038
157	China State Construction Finance (Cayman) III Limited	40083
158	Chinalco Capital Holdings Limited	5745
159	Chinalco Capital Holdings Limited	40706
160	Chinalco Capital Holdings Limited	4497
161	Chinalco Capital Holdings Limited	5152
162	Chindata Group Holdings Limited	5697
163	Chiyu Banking Corporation Limited	5034
164	Chiyu Banking Corporation Limited	5109
165	Chiyu Banking Corporation Limited	5564
166	Chongqing Fuling District New Urban Area Development (Group) Co., Ltd.	5509
167	Chongqing International Logistics Hub Park Construction Co., Ltd.	84561
168	Chongqing International Logistics Hub Park Construction Co., Ltd.	5206
169	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5699
170	Chongqing Southern New City Industrial Investment Group Co., Ltd.	5217
171	Chongqing Wansheng Industrial Park Development and Construction Co., Ltd.	84465
172	Chongqing Wansheng Industrial Park Development and Construction Co., Ltd.	84472
173	Chongqing Xinshuangquan Urban Construction and Development Co., Ltd.	84464
174	Chongqing Xinshuangquan Urban Construction and Development Co., Ltd.	84493
175	Chouzhou International Investment Limited	5814
176	Chouzhou International Investment Limited	5390
177	Cixi State-owned Assets Investment Holding Co., Ltd.	5341
178	Cixi State-owned Assets Overseas Development Company Limited	40272
179	CLP Power HK Finance Ltd	40044
180	CLP Power HK Finance Ltd	5400
181	CLP Power HK Finance Ltd.	5740
182	CMHI Finance (BVI) Co., Ltd	5135
183	CMHI Finance (BVI) Co., Ltd	40408
184	CMHI Finance (BVI) Co., Ltd	40409
185	CMHI Finance (BVI) Co., Ltd	5275
186	CNOOC Finance (2003) Limited	2568
187	CNOOC Finance (2011) Limited	4507
188	CNOOC Finance (2012) Limited	4547
189	CNOOC Finance (2013) Limited	5942
190	CNOOC Finance (2013) Limited	40016

191	CNOOC Finance (2015) Australia Pty Ltd	5507
192	CNOOC Finance (2015) U.S.A. LLC	4478
193	CNOOC Nexen Finance (2014) ULC	5739
194	CNPC (HK) Overseas Capital Ltd.	4514
195	CNPC Global Capital Limited	84485
196	Contemporary Ruiding Development Limited	40381
197	Contemporary Ruiding Development Limited	40382
198	Contemporary Ruiding Development Limited	40833
199	COSL Singapore Capital Ltd.	40277
200	COSL Singapore Capital Ltd.	40278
201	CRCC Chengan Limited	5581
202	CRCC Hean Limited	40686
203	CRCC Hean Limited	40687
204	CRCC Huayuan Limited	84570
205	CRCC Yupeng Limited	5778
206	CSCEC Finance (Cayman) II Limited	5233
207	CSSC Capital 2015 Limited	40146
208	CSSC Capital 2015 Limited	40778
209	Dah Sing Bank, Limited	5316
210	Dah Sing Bank, Limited	5524
211	Dalian Deta Holding Co., Ltd.	5363
212	Dazhou Kaisheng Construction Development Group Co., Ltd.	5471
213	Deep Development 2025 Limited	5570
214	Deqing Construction & Development Group Co., Ltd.	4554
215	Deqing Construction & Development Group Co., Ltd.	5125
216	Deqing County Cultural Tourism Development Group Co., Ltd.	5438
217	Deyang Development Holding Group Co., Ltd.	5659
218	Dianjian Haixing Limited	5816
219	DianJian Haiyu Limited	40396
220	DianJian Haiyu Limited	5144
221	DianJian Haiyu Limited	5197
222	Dongtai Chengxing Investment Development Co., Ltd.	5740
223	DONGTAI HUIMIN URBANIZATION CONSTRUCTION GROUP CO., LTD.	5458
224	DONGTAI HUIMIN URBANIZATION CONSTRUCTION GROUP CO., LTD.	5586
225	Dongxing Voyage Company Limited	5145
226	Dongyang State Owned Assets Investment Co., Ltd.	4329
227	Dujiangyan Minjiang Water Group Co., Ltd.	5368
228	Dujiangyan Urban and Rural Construction Group Co., Ltd.	84463
229	Dujiangyan Urban and Rural Construction Group Co., Ltd.	84483
230	Ease Trade Global Limited	40452
231	Elect Global Investments Limited	40173
232	Elect Global Investments Limited	40353
233	ENN Clean Energy International Investment Limited	40678
234	Eve Battery Investment Limited	40926
235	Excel Capital Global Limited	4496
236	Expand Lead Limited	40768
237	Foshan Gaoming Construction Investment Group Co., Ltd.	5480
238	FPC Resources Limited	40373
239	Franshion Brilliant Limited	5030
240	Franshion Brilliant Limited	5229
241	Franshion Brilliant Limited	5347
242	Franshion Brilliant Limited	5447
243	Franshion Brilliant Limited	5472
244	Franshion Brilliant Limited	40641
245	Fugao Wantai Group Co., Ltd.	5437
246	Fujian Jinjiang Construction Investment Holding Group Co., Ltd.	84520
247	Fujian Jinjiang Construction Investment Holding Group Co., Ltd.	84552
248	Fujian Shishi State-owned Capital Operation Group Co., Ltd.	5470
249	Fujian Zhanglong Group Co., Ltd.	5362
250	Fujian Zhanglong Group Co., Ltd.	5938
251	Fujian Zhangzhou City Investment Group Co., Ltd.	84469
252	Fuqing State-owned Assets Operation Investment Group Co., Ltd.	84581
253	Fuyuan Worldwide Limited	5835
254	Fuyuan Worldwide Limited	5323
255	Fuzhou Digital Economy Investment Group Co., Ltd.	5529
256	FWD Group Limited	5019
257	FWD Group Limited	5221
258	FWD Group Limited	5793
259	FWD Limited	4416
260	FWD Limited	5351
261	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	84454
262	Ganzhou Development Investment Holding Group Co., Ltd.	5474
263	Ganzhou Jiankong Investment Holding Group Co., Ltd.	4567
264	Ganzhou Jiankong Investment Holding Group Co., Ltd.	4586
265	Ganzhou Jiankong Investment Holding Group Co., Ltd.	4588
266	Ganzhou Urban Investment Holding Group Co., Ltd.	5526
267	Ganzhou Urban Investment Holding Group Co., Ltd.	5715
268	Ganzhou Urban Investment Holding Group Co., Ltd.	5717
269	Ganzhou Urban Investment Holding Group Co., Ltd.	5181
270	Gaoxin International Investment Co., Ltd.	5649
271	Gaoyou Construction Investment Development (BVI) Co., Ltd.	5358
272	GF FINANCIAL HOLDINGS BVI LTD.	5467
273	GF FINANCIAL HOLDINGS BVI LTD.	85015
274	GF FINANCIAL HOLDINGS BVI LTD.	5203
275	GOHL Capital Limited	5352
276	Gold Pole Capital Company Limited	5034
277	Guangxi Communications Investment Group Corporation. Ltd	5345
278	Guangxi Financial Investment Group Co., Ltd.	5949

279	Guangxi Liuzhou Dongcheng Investment Development Group Co., Ltd.	5543
280	Guangzhou Development District Financial Holdings Group Co., Ltd.	4407
281	Guangzhou Development District Holding Group Limited	40148
282	Guangzhou Development District Investment Group Co., Ltd	5387
283	Guilin ETDZ Investment Holding Co., Ltd.	5653
284	Guizhou Shuanglong Airport Development & Investment (Group) Co., Ltd.	5735
285	Guohui International (BVI) Co., Ltd.	40362
286	Guohui International (BVI) Co., Ltd.	5315
287	Guoneng Environmental Protection Investment Group Co., Limited	5561
288	Guoren Property and Casualty Insurance Co., Ltd.	40694
289	Haichuan International Investment Co., Ltd.	4512
290	Haichuan International Investment Co., Ltd.	5236
291	Hainan State Farms International Capital (HK) Co., Limited	4326
292	Haixi Overseas Investment Company Limited	4557
293	Haixi Overseas Investment Company Limited	5201
294	HaiYan Coastline Co., Limited	5842
295	Hangzhou Fuyang Chengtou Group (HK) Limited	40738
296	Hangzhou Qiantang New Area Construction and Investment Group Co., Ltd.	5825
297	Hanrui Overseas Investment Co Ltd	5416
298	Hebi Investment Group Co., Ltd.	84503
299	Henan Airport Investment Group Co., Ltd.	5479
300	HENAN RAILWAY CONSTRUCTION & INVESTMENT GROUP CO., LTD.	5046
301	HENAN RAILWAY CONSTRUCTION & INVESTMENT GROUP CO., LTD.	5375
302	Henan Water Conservancy Investment Group Co., Ltd	40387
303	Heng Yuan International Company Ltd	5392
304	Hengjian International Investment Limited	40268
305	HFI International (HK) Limited	40309
306	Hong Kong Sukuk 2017 Limited	4233
307	HongKong Xiangyu Investment Co., Limited	5544
308	Huafa 2020 I Company Limited	40455
309	Huafa 2021 I Company Limited	40806
310	Huafa 2024 I Company Limited	84542
311	Huafa 2024 I Company Limited	85000
312	Huai'an Investment Holdings Group Co., Ltd.	85004
313	Huai'an Traffic Holding Group Co., Ltd.	5360
314	Huai'an Traffic Holding Group Co., Ltd.	5584
315	Hualu International Finance (BVI) Limited	40889
316	Huaneng Hong Kong Capital Limited	5000
317	Huangshan Tourism Group Co., Ltd.	40364
318	Huantaihu International Investment Co., Ltd.	5691
319	HUBEI NEW TONGDU URBAN INVESTMENT DEVELOPMENT GROUP CO., LTD.	5820
320	Hubei Science & Technology Investment Group (Hong Kong) Company Limited	40440
321	HUBEI UNITED DEVELOPMENT INVESTMENT GROUP CO., LTD.	5442
322	Hunan Xiangjiang New Area Development Group Co., Ltd.	40890
323	Huzhou City Investment Development Group Co., Ltd.	40900
324	Huzhou City Investment Development Group Co., Ltd.	5800
325	Huzhou Moganshan High-tech Group Co., Ltd.	5270
326	Huzhou Wuxing State-Owned Capital Investment Development Co., Ltd.	5284
327	Hyd International Holding Limited	40664
328	IFC Development (Corporate Treasury) Limited	6000
329	IFC Development (Corporate Treasury) Limited	40198
330	Industrial and Commercial Bank of China (Asia) Limited	5686
331	Industrial and Commercial Bank of China (Macau) Limited	5750
332	Ji'An Chengtou Holding Group Co., Ltd	4305
333	Ji'An Chengtou Holding Group Co., Ltd	4517
334	Jiangmen Hi-tech Industrial Park Co., Ltd.	85005
335	Jiangmen Hi-tech Industrial Park Co., Ltd.	85017
336	Jiangsu Fuxuan Industrial Co., Ltd.	5779
337	Jiangsu Jurong Investment Group Co., Ltd	5401
338	Jiangsu Jurong Investment Group Co., Ltd	5428
339	Jiangsu Tenghai Investment Holding Group Co., Ltd.	5531
340	Jiangsu Zhongguancun Holding Group (International) Co Ltd	5547
341	Jiangsu Zhongyang Clean Energy Development Co., Ltd.	84510
342	Jiangsu Zhongyang Clean Energy Development Co., Ltd.	84511
343	Jiangsu Zhongyang Clean Energy Development Co., Ltd.	5198
344	Jiangyin State-owned Assets Holding (Group) Co., Ltd.	84458
345	Jiaxing City Investment and Development Group Co., Ltd.	84460
346	JIC Zhixin Limited	5032
347	JIC Zhixin Limited	40360
348	JIC Zhixin Limited	40361
349	Jinan Economic Development Investment Co., Ltd.	5540
350	Jinan Hi-tech Holding Group Co., Ltd.	5402
351	Jinan Hi-tech Holding Group Co., Ltd.	5239
352	JINAN HI-TECH INTERNATIONAL (CAYMAN) INVESTMENT DEVELOPMENT CO., LIMITED	5605
353	JINAN HI-TECH INTERNATIONAL (CAYMAN) INVESTMENT DEVELOPMENT CO., LIMITED	5934
354	Jinan Lixia Holding Group Co., Ltd.	5928
355	Jinan Urban Construction International Investment Co., Limited	5282
356	Jincheng State-owned Capital Investment Operation Co., Ltd.	5514
357	JINGDEZHEN HESHENG INDUSTRIAL INVESTMENT DEVELOPMENT CO., LTD.	84466
358	Jingfa Overseas Investment Company Limited	5655
359	Jinghe New City Development and Construction (Group) Co, Ltd of Xixian New Area, Shaanxi Province	5326
360	Jingming Yuantong Development Investment (BVI) Co., Ltd	5294
361	Jingzhou Municipal Urban Development Holding Group Co., Ltd.	84532
362	Jingzhou Municipal Urban Development Holding Group Co., Ltd.	5180
363	Jining City Development Capital Holding Co., Ltd.	84531
364	Jining City Development Capital Holding Co., Ltd.	84535
365	Jinjiang Road and Bridge Construction & Development Co., Ltd.	5007
366	Jinjiang Road and Bridge Construction & Development Co., Ltd.	5445

367	Jinjiang Road and Bridge Construction & Development Co., Ltd.	5595
368	Jinko Power Technology Co., Ltd	5539
369	Jinshine International Co., Ltd	5872
370	JOY DELIGHT INTERNATIONAL LIMITED	84529
371	Joy Treasure Assets Holdings Inc.	5903
372	Joy Treasure Assets Holdings Inc.	40000
373	Joy Treasure Assets Holdings Inc.	40467
374	Joy Treasure Assets Holdings Inc.	40468
375	Kaifeng Development and Investment Group Co., Ltd.	5606
376	King Talent Management Limited	5026
377	Knowledge City (Guangzhou) Investment Group Co., Ltd.	5465
378	Korean Reinsurance Company	5815
379	Kunming Anju Group Co., Ltd.	5020
380	Kunming Rail Transit Group Co., Ltd.	5661
381	Laohekou Highway International Limited	84547
382	Laohekou Highway International Limited	84579
383	LICHENG INTERNATIONAL DEVELOPMENT CO., LTD.	5549
384	LICHENG INTERNATIONAL DEVELOPMENT CO., LTD.	5816
385	LINGANG OASIS INC.	84524
386	LinJiang Investment and Development (BVI) Co., Ltd	5644
387	Link CB Limited	5662
388	Lintou Overseas Co., Ltd	84478
389	Lintou Overseas Co., Ltd	5410
390	Linyi City Development International Co., Limited	5331
391	Lishui Economic and Technological Development Zone Industrial Development Group Co., Ltd.	5328
392	Lishui Economic and Technological Development Zone Industrial Development Group Co., Ltd.	5406
393	Longchuan Overseas Investment Co., Ltd.	84473
394	Longchuan Overseas Investment Co., Ltd.	84484
395	Longnan Tourism Development and Investment Group Co., Ltd.	5434
396	Longnan Tourism Development and Investment Group Co., Ltd.	5777
397	LS Finance (2017) Limited	40731
398	LS Finance (2025) Limited	5535
399	Luso International Banking Limited	5078
400	Luso International Banking Limited	5817
401	Luso International Banking Limited	6007
402	Malaysia Sovereign Sukuk Berhad	5895
403	Malaysia Sukuk Global Berhad	5633
404	Malaysia Sukuk Global Berhad	5634
405	Malaysia Wakala Sukuk Berhad	40662
406	Malaysia Wakala Sukuk Berhad	40663
407	Maoming Port Group Co., Ltd.	84500
408	MCC Holding (Hong Kong) Corporation Limited	4483
409	MCC Holding (Hong Kong) Corporation Limited	40188
410	MCC Holding (Hong Kong) Corporation Limited	40653
411	Mengcheng Development Zone Xingmeng Investment Co., Ltd.	84438
412	Mianyang Investment Holding (Group) Co., Ltd.	5408
413	Mianyang Science & Technology City Development & Investment (Group) Co., Ltd.	84480
414	Mianyang Science & Technology City Development & Investment (Group) Co., Ltd.	84486
415	Mianyang Science & Technology City Development & Investment (Group) Co., Ltd.	84504
416	Midea Investment Development Company Limited	4501
417	Minghu International (BVI) Investment Development Co., Limited	5213
418	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5553
419	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5689
420	Minmetals Bounteous Finance (BVI) Limited	40175
421	MOON KING LIMITED	85018
422	Mudanjiang City Investment Group Co., Ltd.	5271
423	Nanchang Jinkai Group Co., Ltd.	4535
424	Nanchang Jinkai Group Co., Ltd.	5009
425	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5054
426	Nanjing Yangzi State-owned Investment Group Co., Ltd.	5614
427	Nanning Communications Investment Group Co., Ltd.	4578
428	Nanxun Communications Investment Group Co., Ltd.	5303
429	Nanyang Commercial Bank, Limited	5214
430	Nanyang Commercial Bank, Limited	40069
431	Nanyang Commercial Bank, Limited	5207
432	Nanyang Commercial Bank, Limited	5752
433	Nanyang Commercial Bank, Limited	5147
434	Neijiang Investment Holding Group Co., Ltd.	5822
435	New Hope Group Co., Ltd.	84475
436	New Hope Group Co., Ltd.	84506
437	New Momentum International Limited	5037
438	New Town Construction Investment (Jizhou, Tianjin) Co., Ltd.	5322
439	New Town Construction Investment (Jizhou, Tianjin) Co., Ltd.	5599
440	Ningbo Fenghua Investment Group Co., Ltd.	84568
441	Ningbo Haishu Development and Construction Investment (Group) Co., Ltd.	5267
442	Ningbo Yincheng Group Co., Ltd.	5137
443	NWD Finance (BVI) Limited	4561
444	NWD Finance (BVI) Limited	5856
445	NWD Finance (BVI) Limited	40262
446	NWD Finance (BVI) Limited	40504
447	NWD Finance (BVI) Limited	40711
448	NWD Finance (BVI) Limited	5312
449	Ocean Laurel Co. Limited	40414
450	Omni Ireland Credit Card Securitization T3 DAC	40476
451	Omni Ireland Credit Card Securitization T3 DAC	40477
452	Omni Ireland Credit Card Securitization T3 DAC	40478
453	Omni Ireland Credit Card Securitization T3 DAC	40479
454	Omni Ireland Credit Card Securitization T3 DAC	40480

455	Omni Ireland Credit Card Securitization T3 DAC	40481
456	Omni Ireland Credit Card Securitization T3 DAC	40482
457	Omni Ireland Credit Card Securitization T3 DAC	40483
458	Omni Ireland Credit Card Securitization T3 DAC	40484
459	Omni Ireland Credit Card Securitization T3 DAC	40485
460	Omni Ireland Credit Card Securitization T3 DAC	40486
461	Omni Ireland Credit Card Securitization T3 DAC	40487
462	Omni Ireland Credit Card Securitization T3 DAC	40488
463	Omni Ireland Credit Card Securitization T3 DAC	40489
464	Orient ZhiSheng Limited	5574
465	Oriental Capital Company Limited	5550
466	OVPH Limited	5614
467	Panther Ventures Limited	40378
468	Panther Ventures Limited	40512
469	Panther Ventures Limited	40872
470	Panzhihua City Construction and Transportation (Group) Co., Ltd.	84440
471	PB Issuer (No.5) Limited	40097
472	PCGI Intermediate Holdings (III) Limited	40810
473	Peak Re (BVI) Holding Limited	40437
474	Peng Ying International Development Company Limited	5373
475	Pengze County Development and Construction Group Co., Ltd.	5664
476	Perfect Point Ventures Limited	40850
477	Petron Corporation	5905
478	PHBS Limited	4325
479	Phoenix Charm International Investment Limited	5409
480	Phoenix Charm International Investment Limited	5421
481	Phoenix Lead Limited	5262
482	Pinghu State-owned Assets Holding Group Co., Ltd.	84555
483	Pingtang Comprehensive Pilot Zone City Development Group Co., Ltd.	84470
484	Pingtang Comprehensive Pilot Zone City Development Group Co., Ltd.	84479
485	Pingxiang City Construction Investment Group Co., Ltd.	5431
486	Pioneer Reward Limited	40644
487	Pioneer Reward Limited	84407
488	Powerchina Roadbridge Group (British Virgin Islands) Limited	40635
489	Prologis, L.P.	84560
490	Proven Honour Capital Limited	5641
491	PTT Public Company Limited	2527
492	Puyang State-Owned Capital Investment Group Co., Ltd.	5214
493	Puyang Investment Group Co., Ltd.	5838
494	Qingdao Bullet Train Town Investment Group Co., Ltd.	5314
495	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5305
496	Qingdao Jiaozhou Bay Development Group Co., Ltd.	84453
497	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5005
498	Qingdao Jiaozhou Bay Development Group Co., Ltd.	84526
499	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5369
500	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5496
501	Qingdao Jiaozhou Urban Development And Investment Co., Ltd.	5450
502	Qingdao Oceanec Valley Investment Development Group Co., Ltd.	85009
503	Qingdao Pingdu Holdings Group Co., Ltd.	5349
504	Qingdao Pingdu Holdings Group Co., Ltd.	5466
505	Qinglun International (BVI) Co., Limited	5532
506	QUAN CHENG FINANCIAL HOLDINGS (BVI) LIMITED	5178
507	Quanzhou Nanyi Investment Group Co., Ltd.	5484
508	Quanzhou Urban Construction Group Co., Ltd.	84517
509	Quzhou Qutong Transportation Investment Development Co., Ltd.	5340
510	Quzhou State-owned Capital Operation Co., Ltd.	5377
511	Radiant Access Limited	5205
512	Rizhao Xinlanshan Caijin Investment Group Co., Ltd.	5973
513	Rongshi International Finance Limited	5449
514	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40213
515	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	4418
516	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40286
517	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	40287
518	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	5025
519	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	5029
520	Rudong County Jinxin Transportation Engineering Construction Investment Co., Ltd.	5026
521	Rudong County Mintai Urban and Rural Construction Engineering Co., Ltd.	5456
522	Rudong County Mintai Urban and Rural Construction Engineering Co., Ltd.	5499
523	SAN SHUI INTERNATIONAL DEVELOPMENT CO., LTD	5292
524	SAN SHUI INTERNATIONAL DEVELOPMENT CO., LTD	5291
525	SAN SHUI INTERNATIONAL DEVELOPMENT CO., LTD	5293
526	SAN SHUI INTERNATIONAL DEVELOPMENT CO., LTD	84598
527	SAN SHUI INTERNATIONAL DEVELOPMENT CO., LTD	85006
528	Sanming City Construction and Development Group Co., Ltd.	84477
529	Sanming City Construction and Development Group Co., Ltd.	84487
530	SCI (HK) Development Limited	40632
531	Science City (Guangzhou) Investment Group Co., Ltd.	4519
532	SDG FINANCE I LIMITED	4539
533	SDG Finance Limited	40817
534	SDG Finance Limited	5247
535	SDG Finance Limited	5573
536	SDSC Global Finance Limited	5303
537	SDSC International Development Limited	5426
538	SEPCO Virgin Limited	5258
539	SF Holding Investment 2021 Limited	40920
540	SF Holding Investment 2021 Limited	40921
541	SF Holding Investment 2021 Limited	40922
542	SF Holding Investment Limited	40152

543	SFG International Holdings Co. Limited	40704
544	Shaanxi Financial Asset Management Co.,Ltd.	4542
545	Shaanxi Xixian New Area Fengxi Development Group Co., Ltd.	84455
546	Shaanxi Yushen Energy Development and Construction Group Co., Ltd.	5624
547	Shandong Commercial Group Co., Ltd.	84439
548	Shandong Gaochuang Holding Group Co., Ltd.	84594
549	Shandong Hesheng Investment Co., Ltd.	84528
550	Shandong Hesheng Investment Co., Ltd.	84541
551	Shandong Hesheng Investment Co., Ltd.	84545
552	Shanghai Commercial Bank Limited	5043
553	Shanghai Commercial Bank Limited	5530
554	Shanghai Commercial Bank Limited	5713
555	Shanghai Port Group (BVI) Development 2 Co., Limited	40298
556	Shanghai Port Group (BVI) Development 2 Co., Limited	40299
557	Shanghai Port Group (BVI) Development Co., Limited	5741
558	Shangrao Investment Holdings International Company Limited	5647
559	Shangrao City Construction Investment Development Group Company Limited	5893
560	Shangrao City Construction Investment Development Group Company Limited	5910
561	Shangrao Urban Operation (Holding) Group Co., Ltd.	84571
562	Shangrao Urban Operation (Holding) Group Co., Ltd.	84580
563	Shangrao Urban Operation (Holding) Group Co., Ltd.	85019
564	SHANGYU URBAN CONSTRUCTION HONG KONG COMPANY LIMITED	40932
565	Shanghai (Hong Kong) International Investments Limited	5319
566	Shaodong City Development Group Co., Ltd.	5417
567	Shaoxing City Investment Group Limited	40807
568	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	40618
569	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	5541
570	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	4439
571	Shengcheng Culture and Tourism Group International Trade Co., Ltd	84557
572	Shengcheng Culture and Tourism Group International Trade Co., Ltd	84599
573	Shengzhou Communications Investment and Development Group Co., Ltd.	5473
574	Shengzhou Investment Holdings Co., Ltd.	5597
575	Shengzhou Investment Holdings Co., Ltd.	5768
576	Shenwan Hongyuan International Finance Limited	40766
577	Shenwan Hongyuan International Finance Limited	5460
578	Shenzhen Futian Investment Holdings Co., Ltd	84573
579	Shuifa International Holdings (BVI) Co., Ltd.	4546
580	Si Fa Investment Limited	40640
581	Sihai International Investment Limited	5175
582	Sihai International Investment Limited	5518
583	Sihai International Investment Limited	5534
584	Sino Trendy Investment Limited	40438
585	Sinochem Global Capital Co., Ltd.	5935
586	Sinochem Overseas Capital Company Limited	4502
587	Sino-Ocean Land Treasure Finance II Limited	5869
588	Sino-Ocean Land Treasure III Limited	5276
589	Sino-Ocean Land Treasure IV Limited	5623
590	Sino-Ocean Land Treasure IV Limited	40115
591	Sino-Ocean Land Treasure IV Limited	40670
592	Sinosing Services Pte. Ltd.	40156
593	Societe Generale	5947
594	Societe Generale	5959
595	Societe Generale	5960
596	Societe Generale	5961
597	Societe Generale	5962
598	Songyang County State Capital Investment and Operation Group Co., LTD	84514
599	Songyang County State Capital Investment and Operation Group Co., LTD	5327
600	Songyang County State Capital Investment and Operation Group Co., LTD	84583
601	SPIC 2016 US dollar Bond Company Limited	5325
602	SPIC 2018 USD Senior Perpetual Bond Company Limited	5453
603	State Grid Europe Development (2014) Public Limited Company	5121
604	State Grid Overseas Investment (2013) Limited	5955
605	State Grid Overseas Investment (2014) Limited	5743
606	State Grid Overseas Investment (2016) Limited	5650
607	Strategic International Group Limited	40285
608	Sunny Express Enterprises Corp.	40030
609	Sunny Express Enterprises Corp.	40031
610	Sunny Express Enterprises Corp.	40204
611	Sunny Express Enterprises Corp.	4505
612	Sunshine Life Insurance Corporation Limited	5629
613	Suqian Economic Development Group Co., Ltd.	5527
614	Suzhou City Construction Investment Group (Holding) Co., Ltd.	5405
615	Suzhou City Construction Investment Group (Holding) Co., Ltd.	5424
616	Syngenta Group Finance Limited	5557
617	Taishan City Investment Co., Limited	84496
618	Taishan City Investment Co., Limited	84530
619	Taishan Finance International Business Co., Limited	5301
620	Taixing Jinjiang Investment Co., Ltd	5395
621	TAIZHOU HUANGYAN ECONOMIC DEVELOPMENT GROUP CO., LTD.	5374
622	Taizhou Huangyan Transportation and Tourism Investment Group Co., Ltd.	5488
623	Taizhou State-owned Assets Investment Group Co., Ltd.	5242
624	Taizhou Urban Construction and Investment Development Group Co., Ltd.	5849
625	Taizhou Urban Construction and Investment Development Group Co., Ltd.	5107
626	Talent Yield (Euro) Limited	40390
627	Talent Yield International Limited	40675
628	Talent Yield International Limited	40676
629	Tencent Music Entertainment Group	40365
630	Tencent Music Entertainment Group	40366

631	Tequ Mayflower Limited	40600
632	The Hongkong and Shanghai Banking Corporation Limited	4323
633	Three Gorges Finance I (Cayman Islands) Limited	5380
634	Tianfeng Securities Co., Ltd.	4536
635	Tianjin Lingang Investment Holding Co., Ltd.	5430
636	Tianjin State-owned Capital Investment and Management Co., Ltd.	40104
637	Tianjin State-owned Capital Investment and Management Co., Ltd.	40105
638	Tianjin State-owned Capital Investment and Management Co., Ltd.	40106
639	Tongling State-owned Capital Operation Holding Group Company Limited	5554
640	TONGREN STATE-OWNED CAPITAL OPERATION CO., LTD.	5911
641	Top Luxury Investment Limited	5598
642	Towngas (Finance) Limited	5749
643	Towngas (Finance) Limited	6018
644	Tsinghua Unic Limited	4415
645	UETD Construction & Development State-owned Capital Investment Operation (Group) Co., Ltd.	5767
646	UETD Construction & Development State-owned Capital Investment Operation (Group) Co., Ltd.	40499
647	Universe Trek Limited	40295
648	Universe Trek Limited	40499
649	Universe Trek Limited	40722
650	Universe Trek Limited	40797
651	Urumqi Gaoxin Investment and Development Group Co., Ltd.	84444
652	Urumqi Gaoxin Investment and Development Group Co., Ltd.	5871
653	Vertex Capital Investment Limited	40780
654	Vertex Capital Investment Limited	4548
655	Vertex Capital Investment Limited	84499
656	Viva Biotech Investment Management Limited	40514
657	Weifang Urban Construction and Development Investment Group Co., Ltd.	5485
658	Weifang Urban Construction and Development Investment Group Co., Ltd.	5176
659	Weifang Urban Construction and Development Investment Group Co., Ltd.	84569
660	Weimob Investment Limited	40702
661	Weimob Investment Limited	5186
662	WENS FOODSTUFF GROUP CO., LTD.	40442
663	WENS FOODSTUFF GROUP CO., LTD.	40443
664	Wenzhou Lucheng District State-owned Holding Group Co., Ltd.	84588
665	Wuhan Financial Holdings (Group) Co., Ltd.	5281
666	Wuhan Jiangxia Agriculture Group Company Limited	5558
667	Wuhan Metro Group Co., Ltd.	5467
668	Wuhan Urban Construction Group Co., Ltd.	5031
669	WuXi AppTec (HongKong) Limited	5265
670	Wuxi Chengkai Industrial Development Group Co., Ltd.	5419
671	Wuxing City Investment HK Company Limited	5067
672	Xi'an Qujiang Culture Financial Holding (Group) Co., Ltd.	5510
673	Xi'an Weiyang Urban Construction Group Co., Ltd.	5330
674	Xi'an Weiyang Urban Construction Group Co., Ltd.	5435
675	Xi'an Weiyang Urban Construction Group Co., Ltd.	5545
676	Xi'an Aerospace High-Tech Industry Development Group Co., Ltd	5690
677	Xi'an International Horticultural Exposition Investment (Group) Co., Ltd.	5393
678	XI'AN PORT INDUSTRIAL CO., LIMITED	5921
679	XI'AN PORT INDUSTRIAL CO., LIMITED	6003
680	Xiangyu Investment (BVI) Co., Ltd.	5486
681	Xianjin Industry Investment Company Limited	5290
682	Xianjin Industry Investment Company Limited	5848
683	Xianning Urban Development (Group) Co., Ltd	5264
684	Xihui Haiwai I Investment Holdings Co., Limited	84582
685	Xin Fu (BVI) Co., Limited	5320
686	Xin Fu (BVI) Co., Limited	5302
687	Xin Yue Company Limited	4442
688	Xinchang County Transportation Investment Group Company Limited	5587
689	Xingchang International Company Limited	5801
690	Xinjiang Transportation Construction Investment Holding Co., Limited	5304
691	XL (BVI) International Development Limited	5583
692	YAN GANG LIMITED	40622
693	Yancheng Dafeng Haicheng Industrial Development Co., Ltd.	5765
694	Yancheng High-tech Zone Investment Group Co., Ltd.	5151
695	Yancheng High-tech Zone Investment Group Co., Ltd.	5150
696	Yancheng High-tech Zone Investment Group Co., Ltd.	5355
697	Yancheng State-Owned Energy Investment Co., Ltd	84467
698	Yancoal International Resources Development Co., Limited	5424
699	Yancoal International Trading Co., Limited	5753
700	Yangzhou Economic and Technological Development Zone Development (Group) Co., Ltd	5472
701	Yangzhou Hanjiang District State-owned Capital Investment Group Co., Ltd.	84584
702	Yankuang Group (Cayman) Limited	5238
703	Yankuang Group (Cayman) Limited	5403
704	YCIC International (HK) Co., Limited	40908
705	YCIC International (HK) Co., Limited	5146
706	Yi Bright International Limited	5833
707	Yi Bright International Limited	84468
708	Yi Bright International Limited	84489
709	Yi Bright International Limited	5024
710	Yi Hong International Development Co., Ltd	5459
711	Yibin Emerging Industry Investment Group Co., Ltd	84521
712	Yibin Emerging Industry Investment Group Co., Ltd	5173
713	Yichang High-Tech Investment Development Co., Ltd.	5300
714	Yichun Development Investment Group Co., Ltd.	5590
715	Yichun Yuanzhou District State-owned Investment Group Co., Limited	5324
716	Yidu State-owned Assets Investment, Operation and Holding Group Co., Ltd.	84502
717	Yili Holding Investment Limited	40471
718	YONGDA Investment Limited	40252

719	Yongzhou Lingling Asset Management Co., Ltd.	84482
720	Yueyang Construction and Investment Group Co., Ltd.	40935
721	Yueyang Construction and Investment Group Co., Ltd.	5427
722	Yunda Holding Investment Limited	40347
723	Yunnan Energy Investment Overseas Finance Company Ltd.	40352
724	Yunnan Energy Investment Overseas Finance Company Ltd.	5829
725	Zhangzhou Jiulongjiang Group Co., Ltd.	5376
726	Zhangzhou Transportation Development Group Co., Ltd.	5268
727	Zhanjiang Infrastructure Construction Investment Group Co., Ltd.	84491
728	Zhaohai Investment (BVI) Limited	5523
729	Zhaohai Investment (BVI) Limited	5520
730	Zhaoqing Guolian Investment Holding Co., Ltd	5254
731	Zhejiang Baron (BVI) Company Limited	5836
732	Zhejiang Baron (BVI) Company Limited	5128
733	Zhejiang Changxing Financial Holdings Group Co., Ltd.	5316
734	Zhejiang Changxing Financial Holdings Group Co., Ltd.	5462
735	Zhejiang Changxing Jingkai Construction & Development Co., Ltd	40149
736	Zhejiang Seaport International Co., Limited	40614
737	Zhejiang Xinchang Investment Development Co., Ltd.	4304
738	Zhejiang Xinchang Investment Development Co., Ltd.	5218
739	Zhengzhou Jinshui Holding Group Co., Ltd.	5489
740	Zhengzhou Metro Group Co., Ltd.	5278
741	Zhengzhou Real Estate Group Co., Ltd.	5515
742	Zhengzhou Urban Construction Investment Group Co., Ltd.	5463
743	Zhengzhou Urban Construction Investment Group Co., Ltd.	5347
744	Zhenjiang Cultural Tourism Industry Group Co., Ltd.	5506
745	Zhenjiang Cultural Tourism Industry Group Co., Ltd.	5610
746	Zhenjiang Culture & Broadcasting Industry Group Co., Ltd.	4324
747	Zhenjiang Culture & Broadcasting Industry Group Co., Ltd.	4568
748	Zhenjiang Transportation Industry Group Co., Ltd.	5522
749	Zhenjiang Transportation Industry Group Co., Ltd.	5576
750	Zhongtai International Finance (BVI) Company Limited	5804
751	Zhongyuan Asset Management Co., Ltd	5533
752	Zhongyuan Zhicheng Co., Ltd.	40747
753	Zhongyuan Zhicheng Co., Ltd.	5021
754	Zhoukou Urban Construction Investment Development Limited Company	5448
755	Zhoushan Islands New Area Penglai State Asset Investment Group Co., Ltd.	5299
756	Zhuji Development Limited	5156
757	Zhuzhou City Construction Development Group Co., Ltd.	5477
758	Zibo Caijin Holding Group Co., Ltd.	84448
759	Zibo City Asset Operation Group Co., Ltd.	84481
760	Zibo City Asset Operation Group Co., Ltd.	84507
761	Zibo City Asset Operation Group Co., Ltd.	84519
762	Zibo City Asset Operation Group Co., Ltd.	85011
763	Zibo High-Tech International Investment Co., Limited	5354
764	Zibo High-Tech International Investment Co., Limited	84527
765	Zoomwe Hong Kong New Energy Technology Co., Limited	4581
766	ZOUCHENG LIMIN CONSTRUCTION DEVELOPMENT GROUP CO., LTD.	85003

第3類豁免：適用於股份在香港聯合交易所有限公司上市的以法團形式運作的開放式集體投資計劃

名稱	聯交所 股份代號
1 Xtrackers FTSE Vietnam Swap UCITS ETF*	3087
2 Xtrackers MSCI China A UCITS ETF	3007
3 Xtrackers MSCI Korea UCITS ETF	2848
4 Xtrackers MSCI Taiwan UCITS ETF	3036
5 Xtrackers MSCI USA Swap UCITS ETF*	3020
6 Xtrackers Nifty 50 Swap UCITS ETF*	3015
7 Global X China Consumer Brand ETF	2806 / 9806
8 Global X China Electric Vehicle and Battery ETF	2845 / 9845
9 Global X China Clean Energy ETF	2809 / 9809
10 Global X China Semiconductor ETF	3191 / 9191
11 Global X China Robotics and AI ETF	2807 / 9807
12 CSOP STAR 50 Index ETF	3109
13 Global X China Innovator Active ETF	3058
14 Global X China Global Leaders ETF	3050
15 CSOP Huatai-PineBridge CSI Photovoltaic Industry ETF	3134
16 CSOP China Healthcare Disruption Index ETF	3174
17 Global X Asia Semiconductor ETF	3119
18 Global X China E-commerce and Logistics ETF	3124
19 Global X China Games and Entertainment ETF	3117
20 CSOP MSCI China A 50 Connect ETF	3003
21 Global X Autonomous and Electric Vehicles ETF	2849
22 Global X FinTech ETF	3185
23 Global X Asia Innovator Active ETF	3051
24 CSOP NASDAQ 100 ETF	3034
25 Fubon FTSE Taiwan RIC Capped Index ETF	3021
26 Fubon ICE FactSet Taiwan Core Semiconductor Index ETF	3076
27 Fubon Hang Seng Shanghai-Shenzhen-Hong Kong (Selected Corporations) High Dividend Yield Index ETF	3190
28 CSOP FTSE Vietnam 30 ETF	3004
29 Harvest China Sustainable Lifestyle Tech Active ETF	3155
30 E Fund (HK) HSI ESG Enhanced Index ETF	3039
31 Bosera RMB Money Market ETF	3192 / 83192
32 Phillip HK Newly Listed Equities Index ETF	2835
33 Pando Blockchain ETF	3112
34 Pando Innovation ETF	3056
35 Bosera HKD Money Market ETF	3152
36 Fubon ICE FactSet Asia Battery and Energy Storage Technology Index ETF	3405
37 Bosera USD Money Market ETF	3196 / 83196 / 9196
38 Global X China MedTech ETF	2841
39 Global X Asia USD Investment Grade Bond ETF	3075 / 9075
40 Global X China Little Giant ETF	2815
41 Bosera 20+ Year US Treasury Bond ETF	3156 / 9156 / 9107
42 Global X HSCEI Components Covered Call Active ETF	3416
43 Global X HSI Components Covered Call Active ETF	3419
44 Global X K-pop and Culture ETF	3158
45 Bosera HashKey Bitcoin ETF	3008 / 9008
46 Bosera HashKey Ether ETF	3009 / 9009
47 ChinaAMC Bitcoin ETF	3042 / 83042 / 9042
48 ChinaAMC Ether ETF	3046 / 83046 / 9046
49 Harvest Bitcoin Spot ETF	3439 / 9439
50 Harvest Ether Spot ETF	3179 / 9179
51 Doo Wealth Selected AI and Automation Active ETF	3413
52 Doo Wealth Selected Digital Payments ETF	3412
53 Doo Wealth Selected Web3 ETF	3426
54 Bosera China Reform Hong Kong Central-SOEs High Dividend Yield Index ETF	3437 / 83437 / 9437
55 Fubon Solactive Core Diversified Multi Asset Index ETF	3427
56 Global X US Treasury 0-3 Month ETF	3440 / 9440
57 Global X G2 Tech ETF	3402
58 Global X AI Infrastructure ETF	3401
59 Global X Hang Seng TECH Components Covered Call Active ETF	3417
60 CMS Hang Seng Tech Index ETF	3423
61 Global X FTSE Greater China ETF	3470

* 此基金為一隻合成交易所買賣基金

第4類豁免：適用於聯交所的交易所參與者

名稱

- 1 中國創盈市場服務有限公司
- 2 中國投資信息有限公司

第5類豁免：適用於屬結算參與者的結算所

名稱

- 1 中國證券登記結算有限責任公司
- 2 中國證券登記結算（香港）有限公司

根據《證券及期貨條例》(第571章)第309(2) 條批給的其他豁免

名稱

- 1 **Budweiser Brewing Company APAC Limited**
- 2 京東健康股份有限公司
- 3 京東物流股份有限公司
- 4 雲音樂股份有限公司