

根據《證券及期貨條例》(第571章)第309(2)條批給的豁免

以下載列就(一)發行在香港聯合交易所有限公司(聯交所)上市的證券的法團及(二)其他人士而批給的豁免。該等豁免就(一)批給該等法團、其大股東、董事及最高行政人員，及就(二)批給其他人士，使其無須就其於聯交所上市證券的權益所衍生的義務而遵從《證券及期貨條例》第XV部。本清單每月更新。

證監會網站內有關《證券及期貨條例》第XV部的網頁已登載證監會指引，列明根據該條例第309條批給豁免的依據。目前提供的豁免分為五類，而該指引已對該五類豁免加以述明。

第1類部份豁免：適用於股份已在香港聯合交易所有限公司及另一證券交易所上市的法團

名稱	聯交所 股份代號	
1 宏利金融有限公司	0945	
2 渣打集團有限公司	2888	(及 4305, 5348, 5890, 5986 及 40276)
3 英國保誠有限公司	2378	
4 迅銷有限公司 - 預託證券	6288	(該豁免僅適用於迅銷有限公司及其大股東)
5 阿里巴巴集團控股有限公司	9988	
6 京東集團股份有限公司	9618	
7 網易公司	9999	
8 百勝中國控股有限公司	9987	
9 華住集團有限公司	1179	
10 再鼎醫藥有限公司	9688	
11 寶尊電商有限公司	9991	
12 中通快遞(開曼)有限公司	2057	

第2類豁免：適用於並無股份在香港聯合交易所有限公司上市的法團

(a)第2類豁免：適用於結構性產品現時在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

名稱	豁免期限(注解1)	
	豁免開始日	豁免終止日
1 Bank Vontobel AG	2020年5月31日	2021年5月30日
2 BOCI Aisa Limited	2007年12月21日	2020年12月20日
3 BNP Paribas Issuance B.V.	2007年12月21日	2020年12月20日
4 Citigroup Global Markets Europe AG	2019年11月1日	2020年10月31日
5 Credit Suisse AG	2007年12月21日	2020年12月20日
6 Goldman Sachs Structured Products (Asia) Limited	2007年12月21日	2020年12月20日
7 Guotai Junan Securities (Hong Kong) Limited	2019年10月28日	2020年10月27日
8 Haitong International Securities Company Limited (注解2)	2017年3月16日	2021年3月15日
9 J.P. Morgan Structured Products B.V.	2007年12月21日	2020年12月20日
10 Macquarie Bank Limited	2008年1月1日	2020年12月31日
11 Morgan Stanley Asia Products Limited	2020年7月22日	2021年7月21日
12 SG Issuer	2016年4月1日	2021年3月31日
13 The Hongkong and Shanghai Banking Corporation Ltd	2007年12月23日	2020年12月22日
14 UBS AG	2007年12月21日	2020年12月20日

注解1: 授予以上法團的豁免期為12個月(或更少)，並豁免該法團在豁免期內所發行及在香港聯合交易所有限公司上市的結構性產品直至該結構性產品有效期完結為止。
 注解2: 此前授予Haitong International Securities Company Limited的豁免已於2017年3月6日到期，但該豁免對其在2016年3月7日至2017年3月6日期間發行及在香港聯合交易所有限公司上市的結構性產品維持有效。
 注解3: 授予Citigroup Global Markets Holdings Inc., Daiwa Capital Markets Hong Kong Limited, Nomura International plc, SGA Société Générale Acceptance N.V. 及 Standard Chartered Bank的豁免已分別於2015年6月14日、2016年7月19日、2015年12月9日、2016年12月20日及2016年6月27日到期。前述法團在相關豁免

(b)第2類豁免：適用於債務項目下發行的債務證券在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

名稱	項目
1 AirAsia Global Notes Limited	US\$1,000,000,000 Multicurrency Medium Term Note Programme
2 Amipeace Ltd.	HK\$20,000,000,000 Medium Term Note Programme
3 AMTD Group Company Limited	U.S.\$500,000,000 Medium Term Note Programme
4 AMTD International Inc.	U.S.\$1,000,000,000 Medium Term Note Programme
5 AVIC International Leasing Co., Ltd.	US\$500,000,000 Medium Term Note Programme
6 AVIC International Leasing Co., Ltd.	U.S.\$500,000,000 Medium Term Note Programme
7 Azure Nova International Finance Limited	U.S.\$10,000,000,000 Medium Term Note Programme
8 Azure Orbit II International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
9 Azure Orbit IV International Finance Limited	U.S.\$7,000,000,000 Medium Term Note Programme
10 Bank of China (Luxembourg) S.A.	US\$30,000,000,000 Medium Term Note Programme
11 Beijing Capital Polaris Investment Co. Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
12 Bocom Leasing Management Hong Kong Company Limited	US\$6,000,000,000 Medium Term Note Programme
13 Boom Up Investments Limited	US\$1,000,000,000 Medium Term Note Programme
14 CALC Bonds Limited	US\$3,000,000,000 Medium Term Note Programme
15 Castle Peak Power Finance Company Limited	U.S.\$2,000,000,000 Medium Term Note Programme
16 Cathay Pacific MTN Financing (HK) Limited	US\$2 billion Medium Term Note Programme
17 CCBL (Cayman) 1 Corporation Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
18 CDBI Treasure I Limited	US\$500,000,000 Medium Term Note Programme
19 CDBL Funding 1	U.S.\$3,000,000,000 Medium Term Note Programme

20	CDBL Funding 1	US\$3,000,000,000 Medium Term Note Programme
21	CDBL Funding 2	US\$3,000,000,000 Medium Term Note Programme
22	Central Plaza Development Limited	US\$2,000,000,000 Guaranteed Medium Term Programme
23	CGNPC INTERNATIONAL LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
24	Chailease International Finance Corporation	U.S.\$500,000,000 Medium Term Note Programme
25	Champion MTN Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
26	Charming Light Investments Ltd. and United Wealth Development Ltd.	US\$8,000,000,000 Medium Term Note Programme
27	Cheung Kong Finance (MTN) Limited and Joynote Ltd	US\$2,000,000,000 Medium Term Note Programme
28	Cheung Kong Infrastructure Finance (BVI) Limited	US\$2,000,000,000 Euro Medium Term Note Programme
29	China Cinda (2020) I Management Limited	U.S.\$2,000,000,000 Guaranteed Medium Term Note Programme
30	China Cinda Finance (2015) I Limited and China Cinda Finance (2015) II Limited	US\$3,000,000,000 Guaranteed Medium Term Note Programme
31	China Cinda Finance (2017) I Limited and China Cinda Finance (2017) II Limited	US\$10,000,000,000 Medium Term Note Programme
32	China Construction Bank (Asia) Corporation Limited	US\$5,000,000,000 Medium Term Note Programme
33	China Development Bank Corporation and China Development Bank Corporation Hong Kong Branch	US\$30,000,000,000 Debt Issuance Programme
34	China Great Wall International Holdings III Limited	U.S.\$6,500,000,000 Medium Term Note Programme
35	China Overseas Finance (Cayman) VIII Limited	US\$2.5 billion medium note programme
36	CICC Hong Kong Finance 2016 MTN Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
37	CITIC Securities Finance MTN Co., Ltd.	US\$3 billion Medium Term Note Programme
38	CK Property Finance (MTN) Limited	US\$5,000,000,000 Euro Medium Term Note Programme
39	CMB International Leasing Management Limited	US\$20,000,000,000 Medium Term Note Programme
40	CMB Wing Lung Bank Limited	U.S. \$2,000,000,000 Euro Medium Term Note Programme
41	CMBLEMTN1 Limited	U.S.\$5,000,000,000 Medium Term Note Programme
42	CNPC General Capital Limited	US\$7,000,000,000 Euro Medium Term Note Programme
43	Connery Capital Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
44	COSL Singapore Capital Ltd.	US\$3,500,000,000 Euro Medium Term Note Programme
45	CSCIF Asia Limited	US\$3,000,000,000 Medium Term Note Programme
46	DianJian Haiyu Limited, Dianjian International Finance Limited, Leader Goal International Limited, SEPCO Virgin Limited	U.S.\$2,500,000,000 Medium Term Note and Senior Perpetual Securities Programme
47	Eastern Creation II Investment Holdings Ltd.	US\$6,000,000,000 Guaranteed Euro Medium Term Note Programme
48	Everbright SHK (BVI) Limited	US\$1,000,000,000 Medium Term Note Programme
49	FEC Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
50	Franshion Brilliant Limited	US\$2,000,000,000 Medium Term Note Programme
51	Future Days Limited	US\$5,000,000,000 Medium Term Note Programme
52	GLP China Holdings Limited	HK\$20,000,000,000 Medium Term Note Programme
53	Goodman HK Finance	US\$2,000,000,000 Medium Term Note Programme
54	Greenland Global Investment Limited	US\$8,000,000,000 Guaranteed Medium Term Notes Programme
55	Guangzhou Metro Investment Finance (BVI) Ltd.	US\$3,000,000,000 Guaranteed Medium Term Note Programme
56	Henderson Land MTN Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
57	HKCG (Finance) Limited	US\$3,000,000,000 Medium Term Note Programme
58	HLP Finance Limited	US\$4 billion Medium Term Note Programme
59	Hong Kong Science and Technology Parks Corporation	HK\$1,707,000,000 Guaranteed Medium Term Note Programme
60	Horse Gallop Finance Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
61	Horsepower Finance Limited	US \$1,200,000,000 Medium Term Note Programme
62	Huarong Finance 2017 Co., Ltd.	U.S.\$14,500,000,000 Medium Term Note Programme
63	Huarong Finance 2019 Co., Ltd.	US\$2,900,000,000 Medium Term Note Programme
64	Huarong Finance II Co., Ltd	US\$11,000,000,000 Medium Term Note Programme
65	Huarong Leasing Management Hong Kong Company Limited	U.S.\$1,000,000,000 Medium Term Note Programme
66	Hysan (MTN) Limited	US\$2,500,000,000 Medium Term Note Programme
67	ICBCIL Finance Co. Limited	US\$20,000,000,000 Medium Term Note Programme
68	Industrial and Commercial Bank of Asia (China) Limited	U.S.\$6,000,000,000 Medium Term Note Programme
69	Industrial Bank Co., Ltd.	U.S.\$5,000,000,000 Medium Term Note Programme
70	Inventive Global Investments Limited	U.S.\$3,500,000,000 Medium Term Note Programme
71	Inventive Global Investments Limited	U.S.\$3,500,000,000 Medium Term Note Programme
72	Joyous Glory Group Limited	US\$1,000,000,000 Medium Term Note Programme
73	K.Wah International Financial Services Limited	US\$1,000,000,000 Medium Term Note Programme
74	Kunming Traffic Investment Co., Ltd.	US\$2,000,000,000 Medium Term Note Programme
75	Midea Investment Development Company Limited	US\$3,000,000,000 Medium Term Note Programme
76	Modern Terminals Capital Limited	US\$1 billion Medium Term Note Programme
77	MTR Corporation (C.I.) Limited	US\$4 billion Medium Term Note Programme
78	New World China Land Limited	US\$2,000,000,000 Medium Term Note Programme
79	NWD (MTN) Limited	US\$6,000,000,000 Medium Term Note Programme
80	NWS (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
81	P&R International Treasury Limited	U.S.\$300,000,000 Medium Term Note and Perpetual Securities Programme
82	PETRONAS Capital Limited	US\$15,000,000,000 Medium Term Note Programme
83	Power Assets Finance Limited	U.S.\$2,000,000,000 Medium Term Note Programme
84	Proven Glory Capital Limited	US\$5,000,000,000 Medium Term Note Programme
85	R-REIT International Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
86	RH International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
87	Rongshi International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
88	Shanghai Pudong Development Bank Co., Ltd., Hong Kong Branch	US\$5,000,000,000 Euro Medium Term Note Programme
89	Sichuan Development Holding Co., Ltd. and Yieldking Investment Limited	US\$2,000,000,000 Medium Term Note Programme
90	Silverbell Asia Limited	US\$1,000,000,000 Medium Term Note Programme
91	Sino (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
92	Sinochem Offshore Capital Company Limited	US\$10,000,000,000 Global Medium Term Note Programme
93	Soar Great Limited	US\$1,500,000,000 Guaranteed Medium Term Note Programme
94	Soar Wise Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
95	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l., SPIC MTN Company Ltd.	U.S.\$3,000,000,000 Medium Term Note and Perpetual Securities Programme
96	Splendid International Investment Limited	U.S.\$1,500,000,000 Medium Term Note Programme

97	Standard Chartered Bank (Hong Kong) Limited and Standard Chartered Bank	US\$50,000,000,000 Debt Issuance Programme
98	State Elite Global Limited	US\$2,700,000,000 Medium Term Note Programme
99	State Grid Overseas Investment (2016) Limited	US\$15,000,000,000 Medium Term Note Programme
100	Sun Hung Kai Properties (Capital Market) Limited	US\$10,000,000,000 Medium Term Note Programme
101	Sunlight REIT MTN Limited	US\$1,000,000,000 Medium Term Note Programme
102	Swire Pacific MTN Financing (HK) Limited	US\$5,000,000,000 Medium Term Note Programme
103	Swire Pacific MTN Financing Limited	US\$5,000,000,000 Medium Term Note Programme
104	Swire Properties MTN Financing Limited	US\$3,000,000,000 Medium Term Note Programme
105	The Hongkong and Shanghai Banking Corporation Limited	US\$20,000,000,000 Medium Term Note Programme
106	The Hong Kong Mortgage Corporation Limited	US\$6,000,000,000 Medium Term Note Programme
107	The Link Finance (Cayman) 2009 Limited	US\$5,000,000,000 Guaranteed Euro Medium Term Note Programme
108	Unican Limited	US\$1,000,000,000 Guaranteed Euro Medium Term Note Programme
109	Urban Renewal Authority	US\$1 billion Medium Term Note Programme
110	Vanke Real Estate (Hong Kong) Company Limited	US\$9,500,000,000 Medium Term Note Programme
111	Westwood Group Holdings Limited	US\$3,000,000,000 Medium Term Note Programme
112	Wharf Finance (BVI) Limited, Wharf Finance (BVI) No.1 Limited, Wharf Finance (No.1) Limited and Wharf Finance Limited	US\$7 billion Medium Term Note Programme
113	Wharf REIC Finance (BVI) Limited	U.S.\$5,000,000,000 Medium Term Note Programme
114	Wheelock Finance (BVI) Limited, Wheelock MTN (BVI) Limited	US\$5 billion Medium Term Note Programme
115	Wuhan Metro Group Co., Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
116	Yuexiu REIT MTN Company Limited	US\$1,500,000,000 Medium Term Note Programme
117	Zhejiang Energy International Limited	USD2,000,000,000 Medium Term Note Programme

(c)第2類豁免：適用於就某一特定發行的債務證券現時在香港聯合交易所有限公司上市的法團（並無股份在香港聯合交易所有限公司上市）

名稱	聯交所 股份代號
1 ABCL Glory Capital Limited	5677
2 Agricultural Development Bank of China	5180
3 Agricultural Development Bank of China	5455
4 Agricultural Development Bank of China	85901
5 Agricultural Development Bank of China	85909
6 Agricultural Development Bank of China	85910
7 Agricultural Development Bank of China	85922
8 Agricultural Development Bank of China	85924
9 Alibaba Group Holding Limited	5843
10 Alibaba Group Holding Limited	5844
11 Alibaba Group Holding Limited	5845
12 Amber Circle Funding Limited	4586
13 Amber Treasure Ventures Limited	40216
14 Amber Treasure Ventures Limited	40251
15 Anhui Provincial Investment Group Holding Co., Ltd.	5186
16 Anhui Transportation Holding Group (H.K.) Limited	5158
17 Avenue International Holding Limited	40037
18 Avenue International Holding Limited	40160
19 AVIC International Finance & Investment Limited	4497
20 AVIC International Finance & Investment Limited	5966
21 AVIC International Finance & Investment Limited	40388
22 Azure Orbit III International Finance Limited	5620
23 Azure Orbit III International Finance Limited	5621
24 Azure Orbit International Finance Limited	5909
25 Bank of Communications (Hong Kong) Limited	40176
26 Baoji Investment (Group) Co., Ltd.	5492
27 BCEG (HongKong) Company Limited	5145
28 Beijing Enterprises Water Capital Management Limited	4480
29 Beijing Gas Singapore Capital Corporation	5213
30 Beijing State-Owned Assets Management (Hong Kong) Company Limited	5521
31 Bengbu Gaoxin Investment Group Co., Ltd.	40131
32 Bengbu Gaoxin Investment Group Co., Ltd.	40085
33 Bi Hai Co., Ltd.	5185
34 Blue Bright Limited	40245
35 Blue Skyview Company Limited	5364
36 Bluestar Finance Holdings Limited	4550
37 Bluestar Finance Holdings Limited	4556
38 Bluestar Finance Holdings Limited	5089
39 Bluestar Finance Holdings Limited	5599
40 Bluestar Finance Holdings Limited	40274
41 Bluestar Finance Holdings Limited	4487
42 BNY Trust Company of Australia Limited	5766
43 BNY Trust Company of Australia Limited	40142
44 Boen Investment Limited	40358
45 Boxinyuan International Co., Ltd.	40036
46 BPHL Capital Management Limited	40171
47 Bright Galaxy International Limited	5927
48 Bright Scholar Education Holdings Limited	5615
49 Cable and Wireless International Finance B.V.	1077
50 Cable and Wireless International Finance B.V.	1078
51 Caiyun International Investment Limited	5947
52 CALC Bond 2 Limited	4300
53 CALC Bond 3 Limited	5390
54 CALC Bond 3 Limited	5391
55 CCB Life Insurance Company Limited	5437
56 CCCI Treasure Limited	5893
57 CCCI Treasure Limited	40157

58	CCCI Treasure Limited	40158
59	CCTI 2017 Ltd	5258
60	CDBL Funding 1	5850
61	Celestial Dynasty Limited	5594
62	CELESTIAL MILES LIMITED	5706
63	Central Plaza Development Ltd.	5919
64	CGNPC International Limited	5058
65	CGNPC International Limited	5059
66	CGNPC International Limited	5153
67	CGNPC International Limited	5154
68	CGNPC International Limited	5519
69	Chalco Hong Kong Investment Company Limited	5151
70	Chalco Hong Kong Investment Company Limited	5920
71	Chalco Hong Kong Investment Company Limited	5727
72	Chalco Hong Kong Investment Company Limited	5985
73	Chalieco Hong Kong Corporation Limited	5321
74	Chalieco Hong Kong Corporation Limited	5702
75	Chalieco Hong Kong Corporation Limited	40070
76	Chang Development International Limited	5090
77	Chang Development International Limited	5744
78	Changde Economic Construction Investment Group Co., Ltd.	5642
79	Changde Urban Construction and Investment Group Co., Ltd.	5509
80	Changde Urban Construction and Investment Group Co., Ltd.	5959
81	Changsha Pilot Investment Holdings Co., Ltd.	40064
82	Changxing Communications Investment Group Co., Ltd.	40264
83	Charter Style International Limited	85993
84	Chengdu Airport Xingcheng Investment Group Co., Ltd.	5201
85	Chengdu Communications Investment Group Corporation Limited	5062
86	Chengdu Communications Investment Group Corporation Limited	5493
87	Chengdu Economic and Technological Development Zone Construction and Development Co., Ltd.	5714
88	Chengdu Economic and Technological Development Zone State-Owned Assets Investment Co., Ltd.	5751
89	Chengdu Hi-tech Investment Group Co., Ltd.	5206
90	Chengdu Jiaozhi Financial Holding Group Co., Ltd.	40061
91	Chengdu Tianfu New Area Investment Group Co., Ltd	6011
92	Chengdu Xingcheng Investment Group Co., Ltd.	5314
93	Chenzhou Industry Investment Group Co., Ltd.	40197
94	Cheung Kong Bond Securities (02) Limited	4559
95	Cheung Kong Bond Securities (03) Limited	4595
96	Cheung Kong Infrastructure Finance (BVI) Limited	5060
97	China Bright (Hong Kong) Limited	5937
98	China Cinda Finance (2014) Limited	5748
99	China CITIC Bank International Limited	5199
100	China CITIC Bank International Limited	5728
101	China CITIC Bank International Limited	5831
102	China CITIC Bank International Limited	5992
103	China CITIC Bank International Limited	4594
104	China Clean Energy Development Limited	5568
105	China Development Bank Corporation	85904
106	China Development Bank Corporation	85905
107	China Development Bank Corporation	85934
108	China Energy Reserve and Chemicals Group Holding Limited	5639
109	China Energy Reserve and Chemicals Group Overseas Company Limited	5503
110	China Great Wall International Holdings IV Limited	5617
111	China Great Wall International Holdings IV Limited	5620
112	China Great Wall International Holdings V Limited	40350
113	China Huadian Overseas Development 2018 Limited	4491
114	China Huadian Overseas Development 2018 Limited	40261
115	China Huadian Overseas Development Management Company Limited	4533
116	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40093
117	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40094
118	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40095
119	China Life Insurance (Overseas) Co., Ltd	5250
120	China Merchants Finance Company Limited	4548
121	China Merchants Finance Company Limited	5555
122	China Minmetals Corporation	4442
123	China Minmetals Corporation	5009
124	China Overseas Finance (Cayman) II Limited	4503
125	China Overseas Finance (Cayman) III Limited	5988
126	China Overseas Finance (Cayman) III Limited	5989
127	China Overseas Finance (Cayman) V Limited	4579
128	China Overseas Finance (Cayman) V Limited	4580
129	China Overseas Finance (Cayman) VI Limited	5746
130	China Overseas Finance (Cayman) VI Limited	5760
131	China Overseas Finance (Cayman) VII Limited	4475
132	China Overseas Finance (Cayman) VII Limited	4476
133	China Overseas Finance Investment (Cayman) V Limited	5600
134	China Overseas Grand Oceans Finance IV (Cayman) Limited	5106
135	China Railway Resources Huitung Limited	5904
136	China Railway XunJie Co., Limited	5244
137	China Railway XunJie Co., Limited	5692
138	China Resources Power East Foundation Co., Ltd.	4516
139	China Shenhua Overseas Capital Company Limited	5863
140	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5166
141	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5167
142	China Southern Power Grid International Finance (BVI) Co., Limited	4583
143	China Southern Power Grid International Finance (BVI) Co., Limited	4598
144	China State Construction Finance (Cayman) I Limited	5462
145	China State Construction Finance (Cayman) II Limited	5037
146	China State Construction Finance (Cayman) II Limited	5038
147	China State Construction Finance (Cayman) III Limited	40083

148	China Taiping Capital Limited	4582
149	China Taiping New Horizon Limited	5984
150	China Yangtze Power International (BVI) 1 Limited	5300
151	China Yangtze Power International (BVI) 2 Limited	5301
152	Chinalco Capital Holdings Limited	4301
153	Chinalco Capital Holdings Limited	5438
154	Chinalco Capital Holdings Limited	5745
155	Chiyu Banking Corporation Limited	5034
156	Chongqing Casin Group Co., Ltd	5595
157	Chongqing Energy Investment Group Co., Ltd.	5898
158	Chongqing Hechuan City Construction Investment (Group) Co., Ltd.	5088
159	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	4562
160	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5488
161	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5699
162	Chongqing Wansheng Economic and Technological Development Zone Development & Investment Group Co., Ltd.	5157
163	Chouzhou International Investment Limited	4542
164	Chouzhou International Investment Limited	5056
165	Chouzhou International Investment Limited	40154
166	Chouzhou International Investment Limited	40340
167	CITIC Telecom International Finance Limited	5908
168	City Development Company of Lan Zhou	40066
169	CLP Power HK Finance Ltd	40044
170	CLP Power HK Finance Ltd.	5740
171	CLP Power Hong Kong Financing Limited	4306
172	CMHI Finance (BVI) Co., Ltd	5134
173	CMHI Finance (BVI) Co., Ltd	5135
174	CMOG Capital Limited	5722
175	CNI Capital Limited	85908
176	CNOOC Curtis Funding No. 1 Pty Ltd	5972
177	CNOOC Curtis Funding No. 1 Pty Ltd	5973
178	CNOOC Finance (2003) Limited	2568
179	CNOOC Finance (2011) Limited	4506
180	CNOOC Finance (2011) Limited	4507
181	CNOOC Finance (2012) Limited	4546
182	CNOOC Finance (2012) Limited	4547
183	CNOOC Finance (2013) Limited	5941
184	CNOOC Finance (2013) Limited	5942
185	CNOOC Finance (2013) Limited	40016
186	CNOOC Finance (2015) Australia Pty Ltd	5507
187	CNOOC Finance (2015) U.S.A. LLC	4478
188	CNOOC Finance (2015) U.S.A. LLC	4479
189	CNOOC Finance (2015) U.S.A. LLC	5508
190	CNOOC Nexen Finance (2014) ULC	5738
191	CNOOC Nexen Finance (2014) ULC	5739
192	CNPC (HK) Overseas Capital Ltd.	4513
193	CNPC (HK) Overseas Capital Ltd.	4514
194	CNPC General Capital Limited	4544
195	CNPC General Capital Limited	5923
196	Contemporary Ruiding Development Limited	40381
197	Contemporary Ruiding Development Limited	40382
198	COSCO Pacific Finance (2013) Company Limited	5900
199	COSL Finance (BVI) Limited	4563
200	COSL Singapore Capital Ltd.	40277
201	COSL Singapore Capital Ltd.	40278
202	CRCC Chengan Limited	5581
203	CRCC Yupeng Limited	5778
204	CRCC Yuxiang Limited	5945
205	CSCEC Finance (Cayman) I Limited	5574
206	CSCEC Finance (Cayman) II Limited	5232
207	CSCEC Finance (Cayman) II Limited	5233
208	CSCEC Finance (Cayman) II Limited	5669
209	CSSC Capital 2015 Limited	40145
210	CSSC Capital 2015 Limited	40146
211	CSSC Capital One Limited	5181
212	CSSC Capital Two Limited	5182
213	Dah Sing Bank, Limited	5316
214	Dah Sing Bank, Limited	5524
215	Dah Sing Bank, Limited	6021
216	Dalian Deta Holding Co., Ltd.	5608
217	Dangdai International Investments Ltd	5027
218	Dangdai International Investments Ltd	40121
219	Dianjian Haixing Limited	5816
220	DianJian Haiyu Limited	40396
221	Dongxing Voyage Company Limited	5643
222	Ease Trade Global Limited	4458
223	Eastern Air Overseas (Hong Kong) Corporation Limited	5022
224	Elect Global Investments Limited	40173
225	Elect Global Investments Limited	40353
226	ENN CLEAN ENERGY INTERNATIONAL INVESTMENT LIMITED	5814
227	Excel Capital Global Limited	4496
228	Expand Lead Limited	5165
229	First FZ Bond Limited	5275
230	FPC Capital Limited	5107
231	FPC Resources Limited	40373
232	FPC Treasury Limited	5924
233	Franshion Brilliant Limited	5030
234	Franshion Brilliant Limited	5229
235	Franshion Brilliant Limited	5289

236	Franshion Brilliant Limited	5347
237	Franshion Brilliant Limited	5385
238	Franshion Brilliant Limited	5447
239	Franshion Brilliant Limited	5472
240	Fujian Zhanglong Group Co., Ltd.	5700
241	Full Dragon (Hong Kong) International Development Limited	4428
242	Fuyuan Worldwide Limited	40363
243	FWD Group Limited	4403
244	FWD Group Limited	5019
245	FWD Group Limited	5221
246	FWD Group Limited	5793
247	FWD Limited	4416
248	FWD Limited	5351
249	FWD Limited	5803
250	Gansu Province Electric Power Investment Group Co., Ltd.	5466
251	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5057
252	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5132
253	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5999
254	Gaoxin International Investment Co., Ltd	40081
255	Gemdale Ever Prosperity Investment Limited	4578
256	GET International Investment Holdings Limited	5381
257	GOHL Capital Limited	5352
258	Goldensep Investment Company Limited	40398
259	GRAM International Limited	5587
260	Granda Century Limited	5152
261	Green Fortune Capital Limited	40010
262	Greenland Global Investment Limited	5769
263	Guang Ying Investment Limited	4492
264	Guangsha Holding Group Co., Ltd.	5456
265	Guangxi Communications Investment Group Corporation. Ltd	5880
266	Guangxi Financial Investment Group Co., Ltd.	5098
267	Guangxi Liuzhou Dongcheng Investment Development Group Co., Ltd.	40013
268	GUANGZHOU Silk Road Investment Limited	5323
269	Guofa Overseas Investment Company Limited	40098
270	Guohui International (BVI) Co., Ltd.	6002
271	Guohui International (BVI) Co., Ltd.	40362
272	Guotai Junan Holdings Limited	5853
273	Haichuan International Investment Co., Ltd.	5460
274	Haiguo Xintai Capital Limited	40011
275	Haimen Zhongnan Investment Development (International) Co., Ltd.	4585
276	Haimen Zhongnan Investment Development (International) Co., Ltd.	40336
277	Haitian (BVI) International Investment Development Limited	5061
278	Haitong International Finance Holdings 2015 Limited	40186
279	Hangzhou Fuyang Chengtuo Group (HK) Limited	5469
280	Hangzhou Qiantang New Area Construction and Investment Group Co., Ltd.	40349
281	Hanhui International Limited	5657
282	Hanhui International Limited	40348
283	Hanrui Overseas Investment Co Ltd	5081
284	Harvest International Company	5024
285	HBIS Group Hong Kong Co., Limited	40108
286	Henan Water Conservancy Investment Group Co., Ltd	40387
287	Hengjian International Investment Limited	40268
288	HFI International (HK) Limited	40309
289	HK Onlink Technology Co., Limited	40168
290	Hong Kong JY Flower Limited	40163
291	Hong Kong Red Star Macalline Universal Home Furnishings Limited	5278
292	Hong Kong Sukuk 2017 Limited	4233
293	Hong Seng Limited	40172
294	Honghe Development Group Co. Ltd.	40215
295	Hongkong Baorong Development Limited	5465
296	Hongkong Electric Finance Limited	4505
297	Hongkong Ideal Investment Limited	40019
298	Hongkong International (Qingdao) Company Limited	5023
299	Hongkong International (Qingdao) Company Limited	5048
300	Hongkong International (Qingdao) Company Limited	5875
301	Hongkong International (Qingdao) Company Limited	40017
302	Hongkong International (Qingdao) Company Limited	40053
303	Hongkong International (Qingdao) Company Limited	40206
304	HongKong Xiangyu Investment Co., Limited	4409
305	Huafa 2019 I Company Limited	5976
306	Huafa Group 2018 I Company Limited	5150
307	Huai'an Traffic Holding Co., Ltd.	6006
308	Huai'an Water Conservancy Holding Group Co., Ltd.	6009
309	Huaibei City Construction Investment Holding Group Company Limited	5474
310	Huaibei City Construction Investment Holding Group Company Limited	5946
311	Huaneng Hong Kong Capital Limited	5000
312	Huangshan Tourism Group Co., Ltd.	40364
313	Huantaihu International investment Co., Ltd	40194
314	Huasing International Holdings Co., Limited	4448
315	Huasing International Holdings Co., Limited	4449
316	Huatong International Investment Holdings Co., Limited	40344
317	Huaxin Pharmaceutical (Hong Kong) Co., Ltd.	4447
318	Huayi Finance I Ltd.	40042
319	HUAYUAN PROPERTY CO., LTD	5922
320	Huayuan Property Co., Ltd.	5239
321	Hubei Science & Technology Investment Group (Hong Kong) Company Limited	4431
322	Hunan Xiangjiang New Area Development Group Co Ltd	5223
323	Huzhou City Investment Development Group Co., Ltd.	5074
324	Huzhou Communications Investment Group Co., Ltd.	85740
325	IFC Development (Corporate Treasury) Limited	6000

326	IFC Development (Corporate Treasury) Limited	40198
327	Industrial and Commercial Bank of China (Asia) Limited	5686
328	Industrial and Commercial Bank of China (Asia) Limited	5976
329	Industrial and Commercial Bank of China (Macau) Limited	5750
330	Industrial and Commercial Bank of China (Macau) Limited	5797
331	Industrial Investment Overseas Limited	40226
332	Jiangsu Yueda Group Co., Ltd.	40243
333	Jiangsu Zhongguancun Holding Group (International) Co Ltd	40038
334	Jiangxi Provincial Water Conservancy Investment Group (China) Limited	40086
335	Jiangxi Railway Investment Group Corporation	5770
336	JIC Zhixin Limited	5031
337	JIC Zhixin Limited	5032
338	JIC Zhixin Limited	40360
339	JIC Zhixin Limited	40361
340	Jinan Hi-tech Holding Group Co., Ltd.	5481
341	Jinan Hi-tech Holding Group Co., Ltd.	40018
342	Jinan West City Investment and Development Group Co., Ltd.	4588
343	Jining High Tech Urban Construction Investment Co., Ltd.	40230
344	Jinke Property Group Co., Ltd.	5292
345	Jinshine International Co., Ltd.	4451
346	Jisco SR Pearl Ltd.	5953
347	Jiu An Finance Co., Ltd	40313
348	Joy Treasure Assets Holdings Inc.	5901
349	Joy Treasure Assets Holdings Inc.	5903
350	Joy Treasure Assets Holdings Inc.	6027
351	Joy Treasure Assets Holdings Inc.	40000
352	King Power Capital Ltd.	5823
353	King Talent Management Limited	5026
354	Korean Reinsurance Company	5815
355	Kunming Dianchi Investment Co. Ltd.	5569
356	Kunming Municipal Urban Construction Investment & Development Co., Ltd.	40026
357	Kunming Rail Transit Group Co., Ltd.	40100
358	Kunming Rail Transit Group Co., Ltd.	40101
359	Kunzhi Limited	4464
360	Kunzhi Limited	5100
361	Lai Fung Bonds (2018) Limited	5087
362	Legend Fortune Limited	5128
363	Liang Shan International Development Limited	5585
364	Lingang Wings Inc	5149
365	LinJiang Investment and Development (BVI) Co., Ltd	40087
366	Liuzhou Dongtong Investment & Development Co., Ltd.	4329
367	LS Finance (2017) Limited	4471
368	LS Finance (2022) Limited	4571
369	LS Finance (2025) Limited	5535
370	LSD Bonds (2017) Limited	5270
371	Luso International Banking Limited	5078
372	Malaysia Sovereign Sukuk Berhad	5894
373	Malaysia Sovereign Sukuk Berhad	5895
374	Malaysia Sukuk Global Berhad	5633
375	Malaysia Sukuk Global Berhad	5634
376	MCC Holding (Hong Kong) Corporation Limited	4483
377	MCC Holding (Hong Kong) Corporation Limited	40188
378	Mega Wisdom Global Limited	40287
379	Mei Nian Investment Limited	5932
380	Meilun (BVI) Limited	5625
381	Mianyang Investment Holding (Group) Co., Ltd.	40039
382	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5553
383	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5688
384	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5689
385	Minmetals Bounteous Finance (BVI) Limited	40175
386	Minmetals Land Capital Limited	5933
387	Mudanjiang City Investment Group Co., Ltd.	5297
388	Nanchang Linkong Economic Zone City Construction Investment and Development Group Co., Ltd.	40288
389	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5053
390	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5054
391	Nanyang Commercial Bank, Limited	5214
392	Nanyang Commercial Bank, Limited	40069
393	Neijiang Investment Holding Group Co., Ltd.	40293
394	Neijiang Investment Holding Group Co., Ltd.	40297
395	New Lion Bridge Company Limited	5290
396	New Momentum International Limited	5997
397	Nuoxi Capital Limited	4401
398	Nuoxi Capital Limited	4402
399	Nuoxi Capital Limited	5365
400	Nuoxi Capital Limited	5484
401	Nuoxi Capital Limited	5704
402	Nuoxi Capital Limited	5996
403	NWD Finance (BVI) Limited	4561
404	NWD Finance (BVI) Limited	5856
405	NWD Finance (BVI) Limited	40262
406	Oceanwide Holdings International Development III Co., Ltd.	4532
407	Oceanwide Holdings International Development III Co., Ltd.	5196
408	Orient Huizhi Limited	5041
409	Oriental Capital Company Limited	40025
410	OVPH Limited	5614
411	Panther Ventures Limited	40378
412	PB Issuer (No.4) Limited	5525
413	PB Issuer (No.5) Limited	40097
414	PCGI Intermediate Holdings (II) Limited	40074
415	PCGI Intermediate Limited	40040

416	PCGI Intermediate Limited	40040
417	Perfect Point Ventures Limited	4566
418	Petron Corporation	5905
419	PHBS Limited	4325
420	Phoenix Charm International Investment Limited	40058
421	Phoenix Charm International Investment Limited	40071
422	Phoenix Lead Limited	5262
423	Pingdu State-owned Assets Management Co., Ltd.	40207
424	Pingxiang Urban Construction Investment Developing Company	4535
425	Pioneer Reward Limited	4530
426	Pioneer Reward Limited	40143
427	Poly Real Estate Finance Ltd	4417
428	Poly Real Estate Finance Ltd	5164
429	Poly Real Estate Finance Ltd	5916
430	Power Best Global Investments Limited	5940
431	Powerchina Real Estate Group Ltd.	5471
432	Press Metal (Labuan) Ltd.	5299
433	Prosperous Ray Limited	5994
434	Proven Honour Capital Limited	5516
435	Proven Honour Capital Limited	5641
436	Pterosaur Capital Co., Limited	6021
437	PTT Public Company Limited	2527
438	Puyang Investment Group Co., Ltd.	40400
439	Qingdao Jiaozhou Bay Development Co., Ltd.	5117
440	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5951
441	Qingdao Jimo District Urban Development Investment Co., Ltd.	5658
442	Qinghai Provincial Investment Group Co., Ltd.	4450
443	Radiant Access Limited	5205
444	Rail Transit International Investment Company Limited	5644
445	RKI Overseas Finance 2016 (B) Limited	4309
446	Rongshi International Finance Limited	5448
447	Rongshi International Finance Limited	5449
448	Rosy Delta Investments Limited	5097
449	Rugao Economic and Trade Development Company	40041
450	Rugao Economic and Trade Development Company	40133
451	Rugao Economic and Trade Development Company	40291
452	SCIG International Financial Limited	5174
453	SDG FINANCE I LIMITED	4539
454	SDG FINANCE I LIMITED	5192
455	SDSC Global Finance Limited	5303
456	SDSC International Development Limited	40022
457	SF Holding Investment Limited	5130
458	SF Holding Investment Limited	40152
459	Shaanxi Xixian New Area Fengxi New City Development and Construction (Group) Co., Ltd.	40073
460	Shaanxi Xixian New Area Fengxi New City Development and Construction (Group) Co., Ltd.	5504
461	Shaanxi Xixian New Area Qinhan New City Development and Construction Group Co., Ltd.	5935
462	Shandong Commercial Group Co., Ltd.	5512
463	Shandong Iron and Steel Xinheng International Company Limited	5063
464	Shandong Iron and Steel Xinheng International Company Limited	5470
465	Shandong Iron and Steel Xinheng International Company Limited	5601
466	Shandong Iron and Steel Xinheng International Company Limited	40003
467	Shanghai Commercial Bank Limited	5043
468	Shanghai Commercial Bank Limited	5530
469	Shanghai Port Group (BVI) Development 2 Co., Limited	40298
470	Shanghai Port Group (BVI) Development 2 Co., Limited	40299
471	Shanghai Port Group (BVI) Development Co., Limited	4587
472	Shanghai Port Group (BVI) Development Co., Limited	5734
473	Shanghai Port Group (BVI) Development Co., Limited	5741
474	Shanghai Port Group (BVI) Holding Co., Limited	5255
475	Shanghai Port Group (BVI) Holding Co., Limited	5256
476	Shangrao Investment Holdings International Company Limited	4429
477	Shangrao Investment Holdings International Company Limited	40122
478	Shanghai (Hong Kong) International Investments Limited	40009
479	Shaoxing City Investment Group Limited	5489
480	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	40082
481	Shengzhou Investment Holdings Co., Ltd.	40119
482	Shengzhou Investment Holdings Co., Ltd.	40267
483	Shouguang City Construction Investment Development Co., Ltd.	40233
484	Shuifa International Holdings (BVI) Co., Ltd.	5992
485	Shuifa International Holdings (BVI) Co., Ltd.	40214
486	Si Fa Investment Limited	5490
487	Sichuan Communications Overseas Development Co., Ltd.	5399
488	Sichuan Gloport Investment Development Group Co., Ltd	40196
489	Sichuan Railway Investment Group Co., Ltd.	5575
490	SIHC International Capital Ltd	5176
491	SIHC International Capital Ltd	5177
492	Sino Trendy Investment Limited	4407
493	Sinochem Global Capital Co., Ltd.	5935
494	Sinochem Overseas Capital Company Limited	4501
495	Sinochem Overseas Capital Company Limited	4502
496	Sino-Ocean Land Treasure Finance I Limited	5782
497	Sino-Ocean Land Treasure Finance II Limited	5869
498	Sino-Ocean Land Treasure III Limited	5276
499	Sino-Ocean Land Treasure IV Limited	5131
500	Sino-Ocean Land Treasure IV Limited	5623
501	Sino-Ocean Land Treasure IV Limited	5712
502	Sino-Ocean Land Treasure IV Limited	40115
503	Sinosing Services Pte. Ltd.	40155
504	Sinosing Services Pte. Ltd.	40156
505	Skyland Mining (BVI) Limited	40266

506	Skysea International Capital Management Limited	4529
507	SmarTone Finance Limited	5917
508	Societe Generale	5947
509	Societe Generale	5959
510	Societe Generale	5960
511	Societe Generale	5961
512	Societe Generale	5962
513	SPIC 2016 US dollar Bond Company Limited	5324
514	SPIC 2016 US dollar Bond Company Limited	5325
515	SPIC 2018 USD Senior Perpetual Bond Company Limited	5453
516	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l.	5193
517	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l.	5194
518	State Grid Overseas Investment (2013) Limited	5954
519	State Grid Overseas Investment (2013) Limited	5955
520	State Grid Overseas Investment (2014) Limited	5742
521	State Grid Overseas Investment (2014) Limited	5743
522	State Grid Overseas Investment (2016) Limited	5649
523	State Grid Overseas Investment (2016) Limited	5650
524	State Grid Overseas Investment (2016) Limited	5651
525	State Grid Overseas Investment (2016) Limited	5652
526	Strategic International Group Limited	5241
527	Strategic International Group Limited	40285
528	Sunny Express Enterprises Corp.	5906
529	Sunny Express Enterprises Corp.	40030
530	Sunny Express Enterprises Corp.	40031
531	Sunny Express Enterprises Corp.	40203
532	Sunny Express Enterprises Corp.	40204
533	Sunshine Life Insurance Corporation Limited	5628
534	Sunshine Life Insurance Corporation Limited	5629
535	Suqian Economic Development (BVI) Co., Limited	40034
536	Suzhou City Construction Investment Group (Holding) Co., Ltd.	5723
537	Taihu Pearl Oriental Company Limited	40326
538	Taikang Insurance Group Inc.	5349
539	Taiyuan Longcheng Development Investment Group Co., Ltd.	40281
540	Taiyuan State-owned Investment Group Company Limited	6005
541	Taizhou Infrastructure Construction Investment Group Co., Ltd	5197
542	Talent Yield (Euro) Limited	40390
543	Tangshan International Investment (Hong Kong) Co., Ltd	40391
544	Tencent Music Entertainment Group	40365
545	Tencent Music Entertainment Group	40366
546	The Hongkong and Shanghai Banking Corporation Limited	4323
547	Tianfeng Securities Co., Ltd.	40090
548	Tianjin Lingang Investment Holding Co., Ltd.	5716
549	Tianjin Lingang Investment Holding Co., Ltd.	40234
550	Tianjin State-owned Capital Investment and Management Co., Ltd.	40103
551	Tianjin State-owned Capital Investment and Management Co., Ltd.	40104
552	Tianjin State-owned Capital Investment and Management Co., Ltd.	40105
553	Tianjin State-owned Capital Investment and Management Co., Ltd.	40106
554	Tianqi Finco Co., Ltd	5036
555	Tibet Financial Leasing Co., Ltd.	5707
556	Tongfang Aqua 2017 Limited	4454
557	Tongfang Aqua Limited	6001
558	Tongling Development Investment Group Company Limited	40153
559	Top Luxury Investment Limited	5598
560	Towngas (Finance) Limited	5749
561	Towngas (Finance) Limited	6018
562	Trade Horizon Global Limited	5133
563	Treasure Generator Limited	5709
564	Triceratops Capital Co., Limited	4408
565	Tsinghua Unic Limited	4413
566	Tsinghua Unic Limited	4414
567	Tsinghua Unic Limited	4415
568	Tuspark Forward Ltd.	4599
569	Tuspark Forward Ltd.	5143
570	Tuspark Forward Ltd.	5857
571	TVB Finance Limited	4577
572	UHI Capital Ltd.	4572
573	Unigroup International Holdings Ltd.	5597
574	Union Life Insurance Co., Ltd.	4322
575	Universe Trek Limited	40295
576	Urumqi Gaoxin Investment and Development Group Co., Ltd.	5073
577	Vertex Capital Investment Limited	5931
578	Viva Incubator Investment Management Limited	40144
579	Wakala Global Sukuk Berhad	4519
580	Wanda Group Overseas Limited	5599
581	Wanda Group Overseas Limited	40386
582	Wanda Properties International Co. Limited	6023
583	Wanda Properties Overseas Limited	40088
584	Wanda Properties Overseas Limited	40139
585	Want Want China Finance Limited	5446
586	Weifang Urban Construction and Development Investment Group Co., Ltd.	5189
587	Weimob Investment Limited	40218
588	Wending Zhongyuan Company Limited	40376
589	Wuhan Financial Holdings (Group) Co., Ltd.	5498
590	Wuhan Jiangxia Agriculture Group Company Limited	40395
591	Wuhan Metro Group Co., Ltd.	5467
592	Wuhan Real Estate Development & Investment Group Co., Ltd.	5139
593	Wuhan State-owned Assets Management Limited Company	5070
594	Xi Hai An 2019 Limited	40067
595	Xi Yang Overseas Limited	4565

596	Xi'an Aerospace High-Tech Industry Development Co., Ltd	40187
597	Xi'an Aerospace High-Tech Industry Development Co., Ltd	40231
598	Xi'an Quijiang Culture Holdings Co., Ltd.	40002
599	Xi'an Quijiang Culture Holdings Co., Ltd.	40183
600	Xiangtan Urban & Rural Construction Development Group Co., Ltd.	40244
601	Xiangyu Investment (BVI) Co., Ltd.	5572
602	Xianjin Industry Investment Company Limited	40394
603	Xianyang Financial Holding Group Co., Ltd.	40263
604	Xihui Haiwai I Investment Holdings Co., Limited	5990
605	Xin Fu (BVI) Co., Limited	5985
606	Xingang International Holding Limited	40099
607	Xingchang International Company Limited	40294
608	Xingsheng (BVI) Company Limited	5172
609	Xingsheng (BVI) Company Limited	5606
610	Xinhu (BVI) 2018 Holding Company Limited	4569
611	Xinhu (BVI) 2018 Holding Company Limited	5497
612	Xinhu (BVI) 2018 Holding Company Limited	5889
613	Xinjiang Financial Investment Co., Ltd.	5907
614	Xinjiang Transportation Construction Investment Holding Co. Ltd.	5570
615	Xinjiang Transportation Construction Investment Holding Co. Ltd.	5952
616	Xinjiang Zhongtai (Group) Co., Ltd.	4567
617	Xinxing Industry Investment Company Limited	5065
618	Xinzhan Limited	40327
619	Yancheng High-tech Zone Investment Group Co., Ltd.	40392
620	Yancoal International Resources Development Co., Limited	4552
621	Yancoal International Resources Development Co., Limited	5424
622	Yancoal International Resources Development Co., Ltd	5461
623	Yancoal International Trading Co., Limited	5753
624	Yango (Cayman) Investment Limited	4470
625	Yangzhou Economic and Technological Development Zone Development Corporation	5513
626	Yangzhou Urban Construction State-Owned Assets Holding (Group) Co., Ltd.	5072
627	Yangzhou Urban Construction State-Owned Assets Holding (Group) Co., Ltd.	40355
628	Yankuang Group (Cayman) Limited	5044
629	Yankuang Group (Cayman) Limited	5705
630	Yankuang Group (Cayman) Limited	40312
631	Yi Bright International Limited	40377
632	Yichang High-Tech Investment Development Co., Ltd.	5495
633	Yichun Development Investment HaiTong Co., Limited	40084
634	Yihua Overseas Investment Ltd	5293
635	Yinchuan Tonglian Capital Investment Operation Co., Ltd.	40249
636	YONGDA Investment Limited	40252
637	Yunda Holding Investment Limited	40347
638	Yunnan Energy Investment Overseas Finance Company Ltd.	5012
639	Yunnan Energy Investment Overseas Finance Company Ltd.	5013
640	Yunnan Energy Investment Overseas Finance Company Ltd.	5327
641	Yunnan Energy Investment Overseas Finance Company Ltd.	5457
642	Yunnan Energy Investment Overseas Finance Company Ltd.	40351
643	Yunnan Energy Investment Overseas Finance Company Ltd.	40352
644	Yun-Top International Investment Company (BVI) Limited	40318
645	Yuyao Economic Development Zone Construction Investment and Development Co., Ltd	5494
646	Yuyao Economic Development Zone Construction Investment and Development Co., Ltd	5998
647	ZGC INTERNATIONAL INVESTMENT LIMITED	40140
648	Zhangzhou Jiulongjiang Group Co., Ltd.	5730
649	Zhangzhou Transportation Development Group Co., Ltd.	5584
650	Zhaobing Investment (BVI) Limited	6018
651	Zhaojin Mining International Finance Limited	5851
652	Zhejiang Baron (BVI) Company Limited	40397
653	Zhejiang Baron (BVI) Company Limited	5146
654	Zhengzhou Airport Economy Zone Xinggang Investment Group Co., Ltd.	40255
655	Zhengzhou Metro Group Co., Ltd.	5496
656	Zhengzhou Real Estate Group Co., Ltd.	40014
657	Zhengzhou Urban Construction Investment Group Co., Ltd.	40063
658	Zhengzhou Urban Construction Investment Group Co., Ltd.	40118
659	Zhenjiang Cultural Tourism Industry Group Co., Ltd.	40072
660	Zhongtai International Finance (BVI) Company Limited	40339
661	Zhongyuan Asset Management Co., Ltd	40078
662	Zhongyuan Sincere Investment Co. Ltd.	5095
663	Zhongyuan Sincere Investment Co. Ltd.	5596
664	Zhoushan City Investment Group Corporation Limited	5487
665	Zhuhai Da Heng Qin Investment Co., Ltd.	40080
666	Zhuhai Da Heng Qin Investment Co., Ltd.	85915
667	Zhuji Development Limited	5480
668	Zhuzhou City Construction Development Group Co., Ltd.	4531
669	Zijin International Capital Company Limited	5188

第3類豁免：適用於股份在香港聯合交易所有限公司上市的以法團形式運作的開放式集體投資計劃

名稱	聯交所 股份代號
1 Xtrackers FTSE Vietnam Swap UCITS ETF*	3087
2 Xtrackers FTSE China 50 UCITS ETF	3007
3 Xtrackers MSCI Brazil UCITS ETF	3048
4 Xtrackers MSCI Korea UCITS ETF	2848
5 Xtrackers MSCI Pacific ex Japan UCITS ETF	3043
6 Xtrackers MSCI Russia Capped Swap UCITS ETF*	3027
7 Xtrackers MSCI Taiwan UCITS ETF	3036
8 Xtrackers MSCI USA Swap UCITS ETF*	3020
9 Xtrackers MSCI World Swap UCITS ETF*	3019
10 Xtrackers Nifty 50 Swap UCITS ETF*	3015
11 Xtrackers CSI300 Swap UCITS ETF*	3049
12 Xtrackers MSCI China UCITS ETF	3055
13 Xtrackers MSCI Indonesia Swap UCITS ETF*	3099
14 Xtrackers MSCI Malaysia UCITS ETF	3082
15 Xtrackers MSCI Thailand UCITS ETF	3092
16 Xtrackers MSCI Philippines UCITS ETF	3016
17 Xtrackers MSCI Singapore UCITS ETF	3065
18 Global X China Consumer Brand ETF	2806 / 9806
19 Global X China Electric Vehicle ETF	2845 / 9845
20 Global X China Clean Energy ETF	2809 / 9809
21 Global X China Semiconductor ETF	3191 / 9191
22 Global X China Robotics and AI ETF	2807 / 9807

* 此基金為一隻合成交易所買賣基金

第4類豁免：適用於聯交所的交易所參與者

名稱
1 中國創盈市場服務有限公司
2 中國投資信息有限公司

第5類豁免：適用於屬結算參與者的結算所

名稱
1 中國證券登記結算有限責任公司
2 中國證券登記結算（香港）有限公司

根據《證券及期貨條例》(第571章)第309(2) 條批給的其他豁免

名稱
1 Budweiser Brewing Company APAC Limited