

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
Axioms Capital Management Limited	BPL329	Licensed Corporation	9
CoreView Capital Management Limited	BPT335	Licensed Corporation	9
CSII Capital Limited	BPG760	Licensed Corporation	4, 9
CX Investment Management Limited	BPU543	Licensed Corporation	4, 9
Enbao Capital Limited	BPQ164	Licensed Corporation	4, 9
L2 Limited	BPQ212	Licensed Corporation	9
Ninth Eternity Capital HK Limited	BPU494	Licensed Corporation	1, 4
Thornburg Investment Management (Asia) Limited	BPQ208	Licensed Corporation	1
AHN Subin	BQE008	Licensed Representative	1, 4
AMIR Faridah	BDJ450	Licensed Representative	1
AN Qi	BQD371	Licensed Representative	9
ARNULPHY Robb Victor	BOW990	Licensed Representative	9
AU Wai Yin	BQF524	Licensed Representative	1, 4, 9
BAO Yili	BOA506	Licensed Representative	1, 4, 9
BIAN Huan	BGY961	Licensed Representative	9
BISWAS Shubhomoy	ATJ132	Licensed Representative	1, 4, 6
BOTTA Ramnath	BQA992	Licensed Representative	1, 2
BOUEE Charles-Edouard Pascal Marie Daniel	BPQ364	Licensed Representative	4, 9
BOURMAYAN Vahe Olivier	AJD563	Licensed Representative	1, 2
BOVAR Cedric	BPR588	Licensed Representative	1, 2
CAI Qiyu	BQC377	Licensed Representative	1, 4, 6
CARROLL III Francis Joseph	BQB535	Licensed Representative	1, 4, 9
CHAI Ruiting	BQB555	Licensed Representative	1, 2
CHAKRABORTY Shounak	BPX701	Licensed Representative	1, 2
CHAN Chau Kam	BHP654	Licensed Representative	1, 2
CHAN Cheuk Lam	BQC596	Licensed Representative	1, 2, 3
CHAN Chin Kiu	AVM792	Licensed Representative	1, 2, 7
CHAN Hoi Ting	BQC479	Licensed Representative	9
CHAN Ka Hong Jason	BQB615	Licensed Representative	1, 4
CHAN Kin Kei	BPU572	Licensed Representative	9
CHAN Kit Shan Rita	BQB276	Licensed Representative	1
CHAN Lok Kwan	BQC028	Licensed Representative	1, 4
CHAN Ngai Yeung	BQC525	Licensed Representative	4, 9
CHAN Pak Ka	BJL689	Licensed Representative	4
CHAN Sai Yik	BPY742	Licensed Representative	1, 6
CHAN Sze Chung	AEE351	Licensed Representative	1, 4, 6
CHAN Tat Hong	BMV838	Licensed Representative	1
CHAN Ting Yiu	BQA988	Licensed Representative	1
CHAN Wai Ying	BPD391	Licensed Representative	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Wing Sze	BIZ710	Licensed Representative	1, 2, 3, 4
CHAN Yin Ling Elaine	BPX985	Licensed Representative	9
CHAN Yui Pang, Oscar	AVI901	Licensed Representative	1, 4, 9
CHANG Byung Woon	BQD509	Licensed Representative	6
CHAU Yue Man	BQC523	Licensed Representative	1, 4
CHE Yishan	BQF310	Licensed Representative	1, 6
CHEN Cen	BPY603	Licensed Representative	1, 4
CHEN Chang	BQC233	Licensed Representative	1, 4, 9
CHEN Chih-chieh	ATH471	Licensed Representative	1, 4, 9
CHEN Feiyang	BQC220	Licensed Representative	1, 4, 6
CHEN Gaoyuan	BQC120	Licensed Representative	6
CHEN Guanyu	BQB979	Licensed Representative	1
CHEN Huaitao	BQF224	Licensed Representative	1, 4, 6
CHEN Jiabo	BQC378	Licensed Representative	1, 4, 6
CHEN Junqi	BQD435	Licensed Representative	4
CHEN Ming Xuan	AYS807	Licensed Representative	1
CHEN Mingxiao	BJV527	Licensed Representative	1
CHEN Nai Tzu	BQD499	Licensed Representative	9
CHEN Weihang	BPL845	Licensed Representative	1, 2
CHEN Xiao	BPX955	Licensed Representative	1
CHEN Xiaouu	BPY428	Licensed Representative	1, 4
CHEN Yixuan	BQC235	Licensed Representative	1, 2
CHEN Yonghao	BQE974	Licensed Representative	4, 9
CHENG FUKHOI	BQC992	Licensed Representative	1, 2
CHENG Ka Ming	AWG075	Licensed Representative	4, 9
CHENG Ke	BDT161	Licensed Representative	9
CHENG Suet Yee	BQB729	Licensed Representative	1
CHENG Ting Chiu	BJC900	Licensed Representative	1
CHENG Xinyu	BQB301	Licensed Representative	1, 6
CHENG Yi Yan	BQD378	Licensed Representative	1, 4
CHEUNG Ka Yau	BQF522	Licensed Representative	1
CHEUNG Kwan Hoi, Tony	BQD386	Licensed Representative	1
CHEUNG Lap Sang	BQF751	Licensed Representative	1, 4
CHEUNG Mei Wai	BPW349	Licensed Representative	1, 4
CHEUNG Siu Kei	BQC382	Licensed Representative	4
CHEUNG Tsz Kit	AIX574	Licensed Representative	4, 9
CHEUNG Wing Kwan	BQD373	Licensed Representative	1
CHING Tsun Po	BJZ033	Licensed Representative	4, 9
CHOE Aram	BPX930	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHOI Foo Tong	BQC310	Licensed Representative	1
CHOO Dongbeom	BQB533	Licensed Representative	4, 9
CHOW Tsz Kwan	BQC112	Licensed Representative	1, 2, 4, 9
CHOY Yan Yi	BQC450	Licensed Representative	4
CHU Hoi Lam Jesty	BQB546	Licensed Representative	1
CHU Sin Mo	BQC431	Licensed Representative	9
CHU Ying Tsz	BQC416	Licensed Representative	1, 2
CHUARD Victoria Wenyi	ASI157	Licensed Representative	1, 2
CHUNG Diana	BII595	Licensed Representative	1, 2
CHUNG Ting Kwok	ARN967	Licensed Representative	4
CHUNG Wing Chung	BQB503	Licensed Representative	6
COPIN Denis, Francois, Rene	ATG734	Licensed Representative	2
DENG Guirong	BQE171	Licensed Representative	1, 2, 4
DENG Zhibo	BQD384	Licensed Representative	1, 4
DI Hanhua	BQE527	Licensed Representative	1, 4, 6
DING Qiaosha	BQC217	Licensed Representative	1, 6
DU Han	BQA901	Licensed Representative	1, 6
EL KETTANI EL HAMIDI Mounia	BDW697	Licensed Representative	1, 4, 9
FAN Kit Wing, Katherine	BFP026	Licensed Representative	1, 4
FAN Yixian	BPW988	Licensed Representative	1
FANG Xinyu	BQF453	Licensed Representative	4
FANG Yingxue	BQC792	Licensed Representative	1
FANG YUAN	BQD301	Licensed Representative	4, 9
FONG Sin Shing	BQC929	Licensed Representative	9
FONG Ting Kwan	BLP545	Licensed Representative	1
FU Yena	BPZ941	Licensed Representative	1, 6
FUNG Kwun Ming	BQF531	Licensed Representative	1
FUNG Tsz Ching, Paper	BGI746	Licensed Representative	1
FUNG Wai Kit	BQE752	Licensed Representative	3
GAO Jiamin	BMV831	Licensed Representative	1, 4, 6
GAO Mengxuan	BQE549	Licensed Representative	1, 6
GAO Ying	BQE244	Licensed Representative	1, 6
GAO Zhiheng	BQD153	Licensed Representative	1, 4, 9
GAUTHIER-STREIFF DELPHINE CLELIA	BQC481	Licensed Representative	6
GENG Xiaohui	BQB024	Licensed Representative	1, 4, 9
GOH Hui Hoon	BQB842	Licensed Representative	9
GONG Hongyu	BQE247	Licensed Representative	4, 6
GRADY Timothy Joseph	AJC076	Licensed Representative	1
GRISSELL Edward Henry	BPY390	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
GU Zhihan	BPY808	Licensed Representative	1
GUAN Li	BPX893	Licensed Representative	1, 4, 9
GUO Kaize	BQB504	Licensed Representative	4, 9
GUO Shaoyu	BQG663	Licensed Representative	4, 9
GUO Yali	BQB164	Licensed Representative	4
GUPTA Kapil	BPY730	Licensed Representative	6
HAN Xiao	BOO400	Licensed Representative	1, 6
HAN Xiao	BQA941	Licensed Representative	4
HAO Xin	BQC601	Licensed Representative	1
HATTA Arata	BPY750	Licensed Representative	9
HE Jiayu	BQC349	Licensed Representative	1, 6
HE Peng	AQJ038	Licensed Representative	1
HE Yucheng	BIQ230	Licensed Representative	9
HENTHORN Kurt Davis	BQA663	Licensed Representative	1, 2
HO Kar Ming	AFU408	Licensed Representative	4, 9
HO Kit Yan	BQA897	Licensed Representative	1
HO Stacy Kristine	BPY697	Licensed Representative	1, 4
HO Suet Yiu	BQE698	Licensed Representative	1
HO Tack Wei	BKI538	Licensed Representative	1, 6
HONG Yang	BQB544	Licensed Representative	4, 9
HSU Chih-Kai	BQA511	Licensed Representative	1, 6
HSU Shirley Sui-Wei	AHF797	Licensed Representative	4, 9
HU Han Shen Frank	AYV783	Licensed Representative	1
HUANG Jiangyan	BPY912	Licensed Representative	1, 4
HUANG Xiaoyi	BQE176	Licensed Representative	1, 2
HUANG Xinran	BQB323	Licensed Representative	1, 6
HUANG Yin Lam	BQC901	Licensed Representative	1, 2
HUANG Yuqiang	BQE386	Licensed Representative	1, 2
HUI Ka Kit	ATE859	Licensed Representative	4, 9
HUI Tin Lung, Hollis	BQB354	Licensed Representative	1, 4
IP Yee Ching	BJG875	Licensed Representative	4, 9
JI Hongyu	BQE209	Licensed Representative	1, 2, 4
JIANG Yuanlin	BQE131	Licensed Representative	1, 4, 6
JIN Tinghua	BQC255	Licensed Representative	1, 2, 7
JIN Yi	BQC994	Licensed Representative	4
JUAN Evian	BPW985	Licensed Representative	1
KAJIYAMA Yohei	BOP676	Licensed Representative	1
KALE Aditya Ajit	BPX071	Licensed Representative	1, 2
KAMIKI Toru	BQF032	Licensed Representative	1, 4

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CE Name	CE No.	Licence Type	Types of Regulated Activity
KAMIMURA Mamiko	BQD069	Licensed Representative	1, 4
KANCHERLA Pruthvi	BQC261	Licensed Representative	1, 2
KANG Seryong	BQB850	Licensed Representative	9
KHOSA Amarik Singh	BPY323	Licensed Representative	1, 6
KIM Chae Heen	BQB534	Licensed Representative	4, 9
KIM Chan Young	BQB883	Licensed Representative	1, 4
KIM Eric Myung Joon	BQA662	Licensed Representative	1, 2
KINGHAM Charles David	BQE226	Licensed Representative	1, 2
KO Cheuk Yat	BQE303	Licensed Representative	1, 2
KO Sing Yuen	BQB831	Licensed Representative	1, 4, 9
KO Stephanie	BQC119	Licensed Representative	1, 4, 9
KO Wing Tong	BHX142	Licensed Representative	1, 4, 9
KONG Lai Man	BQC649	Licensed Representative	1
KOPLIKU Ardit	BPY973	Licensed Representative	1, 2
KUANG Kefu	BEA507	Licensed Representative	1, 4
KWAI Hoi Ting	BQD387	Licensed Representative	1, 2
KWAN Ka Ho	BQE509	Licensed Representative	1, 2
KWEON Minsung	BQE645	Licensed Representative	1, 2, 4
KWOK Friedman Owen	BLX124	Licensed Representative	1, 2
LAI Phenie Zoe	BQD022	Licensed Representative	1, 2
LAM Ka Hei	BQB431	Licensed Representative	1, 4, 6
LAM Lok Yan, Pauline	BBN652	Licensed Representative	9
LAM Siu Lai	BQA677	Licensed Representative	1
LAM Wai Shun	BLM861	Licensed Representative	1
LAM Yan Ki	BQC092	Licensed Representative	1, 2, 4
LAN Yu	BPY270	Licensed Representative	1, 2, 7
LANCE Robert Waldo	AEL830	Licensed Representative	1, 4
LAU Ming Hang	BQB611	Licensed Representative	1, 4
LAU Wing Yuen, Whitney	BQC532	Licensed Representative	1, 2
LAU Yik Leung	BQE684	Licensed Representative	1
LEE Hoi Yan	BGU294	Licensed Representative	6
LEE Jaejoon	BQA942	Licensed Representative	9
LEE Jennifer Jihyun	BPY519	Licensed Representative	1
LEE Jung Woo	BPZ897	Licensed Representative	1
LEE Kwan Kiat Jarod	BPY225	Licensed Representative	1
LEE Man Ching	BQE134	Licensed Representative	1
LEE Seong Kyun	BHS525	Licensed Representative	1
LEE Tsz Kiu, Matthew	BQC262	Licensed Representative	1, 2
LEESINSKY Mikolaj Teng Hui	BQC304	Licensed Representative	9

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CE Name	CE No.	Licence Type	Types of Regulated Activity
LEI Qiuyue	BQB848	Licensed Representative	1, 4, 9
LEI Tong	BPY749	Licensed Representative	1, 6
LEUNG Ka Man	BJX828	Licensed Representative	1, 2, 4
LEUNG Lai Ying	BQE672	Licensed Representative	1
LEUNG Lok Kwan	BQC222	Licensed Representative	6
LEUNG Pui Lai	BQA857	Licensed Representative	1, 2, 4, 9
LEUNG WAI HAN	BQB949	Licensed Representative	1, 4
LEUNG Yau Jun Eugene	BQC193	Licensed Representative	1, 4
LI Chunbo	AOS336	Licensed Representative	1, 4, 7
LI Hongke	BQD443	Licensed Representative	4
LI Po Yi	ANP486	Licensed Representative	4
LI Pui Ching	BQB274	Licensed Representative	1, 4, 9
LI Shiqiang	BQE276	Licensed Representative	1, 2
LI Steven Allen M H	ABD065	Licensed Representative	4, 9
LI Tianci	BQC381	Licensed Representative	1, 4, 6
LI Wenfeng	BQF093	Licensed Representative	1, 2
LI Wing Lam	BPY322	Licensed Representative	9
LI Xinwei	BQA898	Licensed Representative	1, 6
LI Yimeng	BQA894	Licensed Representative	1, 6
LI Yiwen	BPX928	Licensed Representative	1, 2
LI Yong	BPW801	Licensed Representative	1
LI Yucan	BQC043	Licensed Representative	1, 2, 4
LI Zijian	BQF493	Licensed Representative	1, 6
LIANG Yu	BQD587	Licensed Representative	4, 9
LIANG Yunzhang	BQC352	Licensed Representative	9
LIM Heng Yao Andrew	BQD021	Licensed Representative	1, 4, 9
LIN Shiqing	BQE332	Licensed Representative	1, 4
LIN Ting	BPY751	Licensed Representative	1, 6
LIN Yuqing	BQD244	Licensed Representative	1, 2, 4
LIN Zhe	BQE083	Licensed Representative	1, 4, 9
LIO Wai Ki	AVP390	Licensed Representative	1, 2
LIU Fung Hang	BQF716	Licensed Representative	1, 2
LIU Kaiwen	BQB160	Licensed Representative	1, 4
LIU Li	BQB508	Licensed Representative	1, 2
LIU Liujun	BPA409	Licensed Representative	1, 4, 6
LIU Qianlin	BQB847	Licensed Representative	4
LIU Wuyue	BHB419	Licensed Representative	9
LIU Xiang	BQF176	Licensed Representative	1, 2, 4
LIU Xiaohan	BQD008	Licensed Representative	10

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Xiaoli	BPU404	Licensed Representative	1
LIU Xinyu	BQE326	Licensed Representative	1
LIU Yaoyang	BQB430	Licensed Representative	1, 6
LIU Yiheng	BQB324	Licensed Representative	1, 6
LIU Yudian	BQC791	Licensed Representative	1, 6
LIU Zehua	BQB363	Licensed Representative	1, 4, 9
LIU Zhiwei	BPX301	Licensed Representative	1, 2
LO Chun Hang	BQD380	Licensed Representative	4
LO Kin Chun	ANL083	Licensed Representative	1
LO Tai Yue, Nelson	BQC447	Licensed Representative	1
LONG Xiaoyao	BOH705	Licensed Representative	6
LONG Yupeng	BPY740	Licensed Representative	1, 6
LOR Ching Yi	BQA631	Licensed Representative	6
LUNG Wing Hong	AVP246	Licensed Representative	1, 2
LUO Sha	BNL483	Licensed Representative	1, 4, 6
LUO Xue	BQC455	Licensed Representative	4, 9
LYU Xueqi	BPZ923	Licensed Representative	1, 2
MA Hak	BPY426	Licensed Representative	1, 4
MA Ho Ming	BQG672	Licensed Representative	1, 2
MA Wenting	BQC287	Licensed Representative	1, 4, 9
MA Yik Shun	BQE929	Licensed Representative	1, 2
MAITLAND GARDNER David Colin	BPX777	Licensed Representative	9
MAN Chun Wing	AQJ545	Licensed Representative	9
MENG Fanjie	AVC118	Licensed Representative	9
MENG Hongyu	BQD385	Licensed Representative	1
MICHAEL Wendy Ann	BQC279	Licensed Representative	9
MO Ka Wan	AXS547	Licensed Representative	1, 4
MOK Chui Kwan	BPL288	Licensed Representative	1
MUKHERJI Raja	BPX956	Licensed Representative	1
NA Kihak	BQD374	Licensed Representative	1, 4
NEO Marcus Wai Siong	BQA566	Licensed Representative	2, 5
NG CHEUK MAN	BQB875	Licensed Representative	1, 4
NG Chi Tin, Herman	ASQ359	Licensed Representative	4, 9
NG Jason Ka Chuen	AHG763	Licensed Representative	9
NG Ki Lung	BQD070	Licensed Representative	1
NGAI Siu Chung	BPY804	Licensed Representative	1, 6
NGAN Ching Yee	BQC704	Licensed Representative	9
NGUYEN Tieu-Bich	BQD113	Licensed Representative	4, 9
NISHIHARA Ayumi	BQC967	Licensed Representative	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
NORTH Christopher James	BPY784	Licensed Representative	4
OLDHAM John Andrew	BQC943	Licensed Representative	1, 4, 9
PAN Wai Teresa	BPY825	Licensed Representative	1, 6
PARK Seyun	BQB947	Licensed Representative	1
PENG Kuan Shen	BPX915	Licensed Representative	9
PLAUCHU Simon	BPY238	Licensed Representative	1, 2
POON Hung Yu	BQC212	Licensed Representative	1
PROFESTAS James Alexander	BQB822	Licensed Representative	9
QIU Leyuan	BQB163	Licensed Representative	4
QU Jiahao	BPY756	Licensed Representative	1, 6
QU Xiuquan	BPY143	Licensed Representative	1, 6
RAHMAN Tanveer	BQE317	Licensed Representative	6
RASTOGI Vishesh	BPL436	Licensed Representative	1, 2
REN Cong	BQE949	Licensed Representative	1, 6
SAVAGE Florence Lucy	BQC384	Licensed Representative	1, 4, 9
SHAHAPURKAR Nilesh	ATX901	Licensed Representative	2, 5
SHEN Liang	BGT829	Licensed Representative	9
SHEN Yiding	BQC196	Licensed Representative	1
SHIN Joo Kyung	BQC062	Licensed Representative	1, 4, 9
SIEW Huiheng	BPW870	Licensed Representative	1, 2
SINGH Naval	BQA895	Licensed Representative	9
SLOW Yin Hwee	BQE168	Licensed Representative	1, 6
SO Sai Kit	BQG007	Licensed Representative	10
SONG Yanyan	BQA735	Licensed Representative	1, 4
SPRANGERS Arnoud Jan	BQB271	Licensed Representative	1, 4
SU Shiyu	BQE169	Licensed Representative	1, 2
SUGIHARA Hiroki	BQA909	Licensed Representative	4, 9
SURRENCY Richard Charles	AUC988	Licensed Representative	1
SUSANTO Adrian Prawira	BQC563	Licensed Representative	1, 2
TAN Jianqing	BQA791	Licensed Representative	4
TAN Jiehua	BQA893	Licensed Representative	1, 4
TAN Yock Shu	BPX416	Licensed Representative	1, 6
TANG Tsz Lok, Ricky	BCT694	Licensed Representative	1, 4
TANG Ying	BPY569	Licensed Representative	4
TENG Han-Hsiang	BQC038	Licensed Representative	1, 4
TESSARI Riccardo	BPY814	Licensed Representative	1, 2, 7
TIAN Chong	BQB396	Licensed Representative	1, 4
TIAN Lun	BQE930	Licensed Representative	1, 4
TING HUNG	BQE815	Licensed Representative	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
TONG Yuxiang	BFV918	Licensed Representative	1
TSAI Chi	BQB428	Licensed Representative	4
TSANG Marisa See-man	BQB422	Licensed Representative	1, 4, 9
TSE Pui Ki	BQD006	Licensed Representative	1
TSOI Kwan Yee	BPX013	Licensed Representative	4, 9
TSOI Wing Leung	BQB158	Licensed Representative	1
TSOI Yin Fong	BQC557	Licensed Representative	1, 4
TSOI YIU FAI	BQC449	Licensed Representative	1
WAN Ki Wai	BQB309	Licensed Representative	1, 2
WAN Lap Ching	BQB596	Licensed Representative	1, 2
WAN Qifan	BIN757	Licensed Representative	4, 9
WAN Vivian	BBW591	Licensed Representative	9
WAN Wing Sai, Eleanor	BQD516	Licensed Representative	1, 2
WAN Xicen	BQE353	Licensed Representative	4
WANG Chang Yu Judy	BIU715	Licensed Representative	1
WANG Chen-Chia	BQC263	Licensed Representative	1, 2
WANG Danlin	BQF013	Licensed Representative	1, 4, 6
WANG Hengli	BQB617	Licensed Representative	4
WANG Jia	AWC467	Licensed Representative	1
WANG Jinming	BQD109	Licensed Representative	1, 4
WANG Liwen	BQE039	Licensed Representative	1, 4, 9
WANG Pi-Hung	BPX925	Licensed Representative	1, 6
WANG Sheau Huei	AZM916	Licensed Representative	1, 4, 6
WANG Shuaiyong	BQC347	Licensed Representative	1, 2, 4
WANG Tinghao	BKU321	Licensed Representative	4, 9
WANG Wanliu	BQC242	Licensed Representative	1, 4, 9
WANG Weiwei	BPY269	Licensed Representative	1, 6
WANG Weiwei	BPY842	Licensed Representative	1, 6
WANG Weiyi	BQC673	Licensed Representative	4
WANG Yufei	BQC071	Licensed Representative	1, 6
WANG Yuren	BPY431	Licensed Representative	1, 4
WANG Yuxi	BQC524	Licensed Representative	1, 4, 6
WANG Yuxin	BQC319	Licensed Representative	1, 6
WAT Chung Yin, Janice	BPW952	Licensed Representative	1, 2, 7
WEI Wei	BPZ926	Licensed Representative	1, 2
WEN Xin	BQC554	Licensed Representative	1
WENG Yuhao	BQC265	Licensed Representative	1, 2
WENHAM James Alexander Scott	AVF334	Licensed Representative	1, 7
WONG CHEK CHI	BQF744	Licensed Representative	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Cheuk Kin	BQF238	Licensed Representative	1
WONG Chi Hung	AFO712	Licensed Representative	1, 4
WONG Chi Wing, Kinson	BQE279	Licensed Representative	1, 4, 9
WONG Chun Man Jerry	BQE329	Licensed Representative	1
WONG Claudia Erin	BQE140	Licensed Representative	1, 4
WONG Elaine	BQD394	Licensed Representative	1, 4
WONG Florian	BQC211	Licensed Representative	6
WONG Hoi Ting	BQE975	Licensed Representative	1
WONG Johnny Kin Man	ACI033	Licensed Representative	4
WONG Ka Kiu	BQE038	Licensed Representative	1
WONG Kam Hung	ARF788	Licensed Representative	4, 9
WONG Mabel	BQD397	Licensed Representative	1, 2
WONG Sai Kit	BQB528	Licensed Representative	1, 4, 9
WONG Shu Ho	BQF256	Licensed Representative	1
WONG Shuk Ying	BPN396	Licensed Representative	4, 9
WONG Siu Ming	BQC317	Licensed Representative	1
WONG Timothy Shun Yik	BQB305	Licensed Representative	1, 6
WONG Tsun Ming	BQC294	Licensed Representative	1, 2
WONG Tsz Ho	BQB484	Licensed Representative	1
WONG Yuen Tung	BQC375	Licensed Representative	1
WOO Tsz Yin	BQF069	Licensed Representative	1, 2
WOOD Adam Robert	BQB843	Licensed Representative	9
WU Jianfei	BAZ794	Licensed Representative	9
WU Weijiang	BQC919	Licensed Representative	4
WU Yibo	BQC291	Licensed Representative	4
WU Yuanzhuo	BQD515	Licensed Representative	4
WU Yueh Ling	BPW984	Licensed Representative	1
WU Zhounan	BNP014	Licensed Representative	1
XIA Wei	BPU147	Licensed Representative	9
XIE Yunze	BQC380	Licensed Representative	1, 4, 6
XIONG Dong	BQB342	Licensed Representative	1, 4, 6
XIONG Hui	BQF089	Licensed Representative	4, 9
XIONG Shiping	BQC407	Licensed Representative	1, 4
XIONG Wen	BQC299	Licensed Representative	1
XU Anqi	BQC219	Licensed Representative	6
XU Chenming	BQB328	Licensed Representative	1, 4, 6
XU Diwen	BQE640	Licensed Representative	9
XU Hang	BQC605	Licensed Representative	4
XU Hengjie	BQC441	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
XU Lingyi	BQC494	Licensed Representative	1
XU Stanford Shaozheng	BQD598	Licensed Representative	1
XU Weixiang	BJM252	Licensed Representative	9
XU Zhao	BQA512	Licensed Representative	1, 2
XU Zimeng	BQC341	Licensed Representative	1, 2
YAN Denise	BQC637	Licensed Representative	2, 5
YANG Ming, David	BPY524	Licensed Representative	1
YANG Shenghui	BQB668	Licensed Representative	1, 4
YANG Shicheng	BQE351	Licensed Representative	1, 4, 6
YANG Wei	BQA515	Licensed Representative	1, 6
YANG Yingqi	BQD383	Licensed Representative	1, 4, 9
YANG Ziqi	BQE577	Licensed Representative	1
YAO Kai	ATS734	Licensed Representative	4, 9
YAU For Wai Texas	BQF076	Licensed Representative	1, 2
YAU Yu Fung	BMA934	Licensed Representative	1
YE Fen	BQE750	Licensed Representative	1, 4
YEN Pin Chen	BQE103	Licensed Representative	1
YEUNG On Lit	AQE939	Licensed Representative	1, 9
YEUNG Sau Yin	BBJ902	Licensed Representative	1, 2
YEUNG Wai Shing	BQF094	Licensed Representative	1, 4, 6
YING Choi Yuk	BQC245	Licensed Representative	1
YIP Chun Hei	BPY506	Licensed Representative	1, 6
YIP Chun Hin	BQC944	Licensed Representative	1, 2
YIP Hiu Har	BHM178	Licensed Representative	1
YIP Shun On	BQE583	Licensed Representative	9
YIP Tang Pang	BBJ914	Licensed Representative	1, 2, 4, 5
YIP Tsz Kin Joe	AXU899	Licensed Representative	1, 4, 9
YIU Ho Ching Dennis	BPY799	Licensed Representative	1
YU Da, Viera	BPY813	Licensed Representative	4
YU Hongyi	BAC149	Licensed Representative	1, 4, 6
YU Hongyue	BPY755	Licensed Representative	1, 6
YU Ka Hung	AQS495	Licensed Representative	9
YU Tin Yan Grace	BQB700	Licensed Representative	1
YUAN Haozhe	BPY838	Licensed Representative	1, 4, 6
YUAN Quan	BQC376	Licensed Representative	1, 4, 6
YUAN Yifang	BQD375	Licensed Representative	1, 4
YUEN Chi Fong	BQE730	Licensed Representative	1
YUNG Tsz Ling	BQD068	Licensed Representative	1
ZANG Wei Wen	BQD103	Licensed Representative	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHAI Muyun	BPY736	Licensed Representative	1, 6
ZHANG Chenchen	BQB412	Licensed Representative	1, 6
ZHANG Guoxin	BQC463	Licensed Representative	1
ZHANG Huizi	BQB926	Licensed Representative	1
ZHANG Jiashu	BQB531	Licensed Representative	1, 4, 6
ZHANG Jingyi	BPX561	Licensed Representative	1, 4, 6
ZHANG Jiren	BQF015	Licensed Representative	4
ZHANG LIN	BQC322	Licensed Representative	1, 4
ZHANG Rui	BQB519	Licensed Representative	4, 9
ZHANG Xiaonan	BPY496	Licensed Representative	1, 4
ZHANG Xuan	BQD377	Licensed Representative	1
ZHANG Xuanci	BQC406	Licensed Representative	1, 4
ZHANG Yi	BKE631	Licensed Representative	1, 4, 6
ZHAO Tianwei	BQC687	Licensed Representative	1, 4, 6
ZHAO Yi Ning	BQC344	Licensed Representative	1, 2
ZHAO Zhiyuan	BBW558	Licensed Representative	9
ZHENG Wenjia	BPX567	Licensed Representative	4, 9
ZHOU Huaisong	BOQ931	Licensed Representative	1, 4
ZHOU Shiyi	BGR107	Licensed Representative	9
ZHOU Tu	AZR295	Licensed Representative	1, 2, 7
ZHOU Yue	BPY022	Licensed Representative	1, 6
ZHU Jiafeng	BBQ168	Licensed Representative	1, 4
ZHU Wei	BOK339	Licensed Representative	1
ZHUANG Siyu	BQC115	Licensed Representative	1, 2
ZOU JING	BQF550	Licensed Representative	4, 9
ZUO Pangli	BQE958	Licensed Representative	1, 6
CHAI Tak Loon	AWH859	Licensed Representative - Responsible Officer	1, 4
CHAN Cheuk Yu, Stephen	ADR422	Licensed Representative - Responsible Officer	1
CHAN Pui Nga	AMB430	Licensed Representative - Responsible Officer	1, 4
CHAN Siu Ling, Ascar	AVC082	Licensed Representative - Responsible Officer	1
CONG Lin	ANN418	Licensed Representative - Responsible Officer	1, 4, 6
GAO Vincent Leyi	AWF906	Licensed Representative - Responsible Officer	9
GU Andrew Ye Yan	ALQ626	Licensed Representative - Responsible Officer	1
HAN Frank	BGP003	Licensed Representative - Responsible Officer	9
HASSAN Matthew Alan	BPX986	Licensed Representative - Responsible Officer	1, 2
KIM Eun Ae	APR854	Licensed Representative - Responsible Officer	4
KWAN Chi Hang	AGL438	Licensed Representative - Responsible Officer	4, 9
LAM Chi Kwong, Jonathan	ACD659	Licensed Representative - Responsible Officer	4, 9
LIN Yang	BPU163	Licensed Representative - Responsible Officer	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Feng	BMV622	Licensed Representative - Responsible Officer	1, 4
LONG Teng	BPY715	Licensed Representative - Responsible Officer	1, 4, 6
MANSFIELD David Thomas	ALT907	Licensed Representative - Responsible Officer	1
PENG Shulin	BPM233	Licensed Representative - Responsible Officer	1, 4
SONG Haiming	AZB539	Licensed Representative - Responsible Officer	4, 9
WONG Charles Teng-lao	AUG868	Licensed Representative - Responsible Officer	1
WONG Wing Kit	BJR907	Licensed Representative - Responsible Officer	9
WU Xinyu	BMT294	Licensed Representative - Responsible Officer	9
XIE Junxiong	BPV269	Licensed Representative - Responsible Officer	1, 4
XIONG Hao	AZI741	Licensed Representative - Responsible Officer	1, 2, 4, 9
ZHANG Yong	BFN289	Licensed Representative - Responsible Officer	1
ZHAO Changsheng	BBE123	Licensed Representative - Responsible Officer	6
ZHOU Enxu	AWJ278	Licensed Representative - Responsible Officer	4, 9
ZHUANG Yishen	BCG432	Licensed Representative - Responsible Officer	9
AIYAPPAN Ashwin	BLZ384	Licensed Representative (Provisional Licence)	1, 4, 9
AMIR Faridah	BDJ450	Licensed Representative (Provisional Licence)	1
AU Wai Yin	BQF524	Licensed Representative (Provisional Licence)	1, 4, 9
BENJAMIN Marc David	BQF774	Licensed Representative (Provisional Licence)	1, 2
CAI Zhiwei	BQF064	Licensed Representative (Provisional Licence)	6
CARROLL III Francis Joseph	BQB535	Licensed Representative (Provisional Licence)	1, 4, 9
CARVALHO FURQUIM Lucas Henrique	BNJ260	Licensed Representative (Provisional Licence)	1, 2
CAUZOT Maxime Olivier	BQC232	Licensed Representative (Provisional Licence)	1, 2
CHAK Kwai Ting John	BQH314	Licensed Representative (Provisional Licence)	1
CHAKRABORTY Shounak	BPX701	Licensed Representative (Provisional Licence)	1, 2
CHAN Chak Man	BQG618	Licensed Representative (Provisional Licence)	4, 5, 9
CHAN Ka Chun Kenneth	BQG834	Licensed Representative (Provisional Licence)	1, 4
CHAN Kevin Felix	ARH113	Licensed Representative (Provisional Licence)	9
CHAN Ming Lun	BQG762	Licensed Representative (Provisional Licence)	1
CHAN Siu Fai, Victor	BQG619	Licensed Representative (Provisional Licence)	1, 4
CHAN Yi Man Naomi	BQG706	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Yik Chun	BQF137	Licensed Representative (Provisional Licence)	1, 2
CHANG Pui Chun Jenny	ARU007	Licensed Representative (Provisional Licence)	1, 2
CHAU Chung Yan	BPT380	Licensed Representative (Provisional Licence)	1, 2
CHAU Mei Ki	BQI524	Licensed Representative (Provisional Licence)	1, 4
CHAU Yiu Tak James	BQE790	Licensed Representative (Provisional Licence)	1, 2
CHE Yishan	BQF310	Licensed Representative (Provisional Licence)	1, 6
CHEN Chen	BQG406	Licensed Representative (Provisional Licence)	1, 6
CHEN Chih-chieh	ATH471	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Eric Kay	ANT456	Licensed Representative (Provisional Licence)	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Ge	AMO864	Licensed Representative (Provisional Licence)	6
CHEN Gengxing	BQH311	Licensed Representative (Provisional Licence)	4
CHEN Huaitao	BQF224	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Li	BQI101	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Rui	BQG839	Licensed Representative (Provisional Licence)	9
CHEN Sihan	BQH910	Licensed Representative (Provisional Licence)	1, 6
CHEN Suihao	BQF125	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Weiyi	BQF123	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Yucong	BQE111	Licensed Representative (Provisional Licence)	4
CHENET Thomas	BQF142	Licensed Representative (Provisional Licence)	1, 2, 7
CHENG Chi Shing	BQG036	Licensed Representative (Provisional Licence)	1, 4
CHENG Meng	BQG623	Licensed Representative (Provisional Licence)	1, 2, 4
CHENG Yu Chieh	BQG737	Licensed Representative (Provisional Licence)	1, 2
CHENG Yuen Ting	BQF458	Licensed Representative (Provisional Licence)	1
CHEUNG Christopher Ka Tsun	AFY937	Licensed Representative (Provisional Licence)	1, 4, 9
CHEUNG Hoi Ki	BQF263	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Ka Yau	BQF522	Licensed Representative (Provisional Licence)	1
CHEUNG Lap Sang	BQF751	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Ming Ming	BHL200	Licensed Representative (Provisional Licence)	4, 5, 9
CHEUNG Tsz Wah, Christine	BQG302	Licensed Representative (Provisional Licence)	6
CHO Chun Yin Terence	BQG616	Licensed Representative (Provisional Licence)	1
CHOI Dong Gyue	BQH237	Licensed Representative (Provisional Licence)	1
CHOI Hyung-Jin	BQG332	Licensed Representative (Provisional Licence)	1, 4, 5
CHOI Ning Yi	BQG617	Licensed Representative (Provisional Licence)	1, 4
CHOI Sijin	BIQ117	Licensed Representative (Provisional Licence)	4, 9
CHOI Wing Chung	BQI320	Licensed Representative (Provisional Licence)	1, 4, 9
CHOU Michael	BBW923	Licensed Representative (Provisional Licence)	1, 4, 9
CHU Kin Fun, John	AEE801	Licensed Representative (Provisional Licence)	1, 4
CHUA Han Shen	BQF018	Licensed Representative (Provisional Licence)	4, 9
DALTON Kurt Evan	AWK466	Licensed Representative (Provisional Licence)	1
DEL VILLAR DURA Yago Guillermo	BQG025	Licensed Representative (Provisional Licence)	1, 4, 9
DENG Xiang	BQG224	Licensed Representative (Provisional Licence)	1, 4, 6
DING Xipu	BQD388	Licensed Representative (Provisional Licence)	1, 4
DONG Xiaonan	BQC216	Licensed Representative (Provisional Licence)	1, 6
DONG Xiaoyue	BQF448	Licensed Representative (Provisional Licence)	4, 5, 9
DU Yun	BQG409	Licensed Representative (Provisional Licence)	4
DUAN Ting	BQG585	Licensed Representative (Provisional Licence)	1, 4, 9
EVANS Rhodri Lloyd	BQH313	Licensed Representative (Provisional Licence)	1
FAN KWOK KEUNG	BQH113	Licensed Representative (Provisional Licence)	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
FANG Yingxue	BQC792	Licensed Representative (Provisional Licence)	1
FITZGERALD Paul Gavin	AOB567	Licensed Representative (Provisional Licence)	1
FUNG Ann Moran	AAD571	Licensed Representative (Provisional Licence)	1, 2
FUNG Kwun Ming	BQF531	Licensed Representative (Provisional Licence)	1
FUNG Wing Yan	BQH319	Licensed Representative (Provisional Licence)	6
GAO Jing	BQF078	Licensed Representative (Provisional Licence)	1, 6
GILLET Emmanuel Jean Joseph	BQG644	Licensed Representative (Provisional Licence)	1, 4
GONG Xiaoyuan	BQF299	Licensed Representative (Provisional Licence)	1, 6
GRIDNEVA Elizaveta	BQF598	Licensed Representative (Provisional Licence)	1, 2
GUI Xiaofang	BQF073	Licensed Representative (Provisional Licence)	6
GUO Chao	BQF967	Licensed Representative (Provisional Licence)	1
GUO Li	BKB774	Licensed Representative (Provisional Licence)	1, 2
GUO Qianyin	BOR360	Licensed Representative (Provisional Licence)	1, 4, 9
GUO Shaoyu	BQG663	Licensed Representative (Provisional Licence)	4, 9
GUPTA Vikash	BPY677	Licensed Representative (Provisional Licence)	9
HAN Raymond Rui	BQF226	Licensed Representative (Provisional Licence)	1, 4, 6
HAN Tianyi	BQH352	Licensed Representative (Provisional Licence)	1, 4, 9
HAZARI Hamzah Aziz	BPJ387	Licensed Representative (Provisional Licence)	1, 4, 6
HE Hanjing	BQE836	Licensed Representative (Provisional Licence)	1, 4
HE Rui	BQF487	Licensed Representative (Provisional Licence)	1, 4, 6
HE Yingqing	BQF095	Licensed Representative (Provisional Licence)	6
HENDERSON Paul Ifedayo	BQG734	Licensed Representative (Provisional Licence)	1, 2, 6
HO Ka Hang	ANH116	Licensed Representative (Provisional Licence)	1, 4
HO Wai Hon	BQF616	Licensed Representative (Provisional Licence)	1
HOLLAND Mark Thomas	ALW180	Licensed Representative (Provisional Licence)	1
HONG Huiyao	BQC411	Licensed Representative (Provisional Licence)	1, 6
HONG Wenxi	BHH491	Licensed Representative (Provisional Licence)	1, 6
HOSOYA Takafumi	BQG387	Licensed Representative (Provisional Licence)	9
HU Zhe	BQI147	Licensed Representative (Provisional Licence)	1, 6
HUANG Chung En	AWW100	Licensed Representative (Provisional Licence)	1, 4, 9
HUANG Po Jui	BOM844	Licensed Representative (Provisional Licence)	1, 4, 9
HUANG Xian Jia	AQH654	Licensed Representative (Provisional Licence)	4, 9
HUANG Xiaoyi	BQE176	Licensed Representative (Provisional Licence)	1, 2
HUANG Yao-shin	BQF229	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Yuxin	BQC410	Licensed Representative (Provisional Licence)	1, 6
HUI On Kei Angel	BQF970	Licensed Representative (Provisional Licence)	1, 4
HUI Suet Wah	BQF758	Licensed Representative (Provisional Licence)	1, 2
JANG Jae Hee	BQG804	Licensed Representative (Provisional Licence)	1, 4, 9
JIAN Yufei	BQF415	Licensed Representative (Provisional Licence)	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
JIANG Renzhe	BQG290	Licensed Representative (Provisional Licence)	1, 4, 6
JIANG Wanning	BMH757	Licensed Representative (Provisional Licence)	9
JIANG Xuefeng	BNN572	Licensed Representative (Provisional Licence)	4
JIANG Yongshan	BQG265	Licensed Representative (Provisional Licence)	1, 4
JIANG Yulan	BPY858	Licensed Representative (Provisional Licence)	1, 6
JIANG Zhuo	BQG880	Licensed Representative (Provisional Licence)	1, 4, 9
JONES Edward Harry James	AVV550	Licensed Representative (Provisional Licence)	1
KALE Aditya Ajit	BPX071	Licensed Representative (Provisional Licence)	1, 2
KANKIPATI Ravi Thimma Raju	BEV954	Licensed Representative (Provisional Licence)	1, 4
KEI Shing Cho	BQE540	Licensed Representative (Provisional Licence)	1, 4, 6
KIM Insik	BQG738	Licensed Representative (Provisional Licence)	1
KIRK Bruce Stephen James	ASZ619	Licensed Representative (Provisional Licence)	1, 2, 4
KO Tsun Hei	BQG422	Licensed Representative (Provisional Licence)	1, 2
KONG Yau Sen	BQG260	Licensed Representative (Provisional Licence)	1, 2
KOPLIKU Ardit	BPY973	Licensed Representative (Provisional Licence)	1, 2
KUNG Chen Hua	BQG291	Licensed Representative (Provisional Licence)	9
KWAN Kai Ho	BQI174	Licensed Representative (Provisional Licence)	1
KWEON Minsung	BQE645	Licensed Representative (Provisional Licence)	1, 2, 4
KWONG Wang Pok	AA0943	Licensed Representative (Provisional Licence)	1, 4, 9
LAI Wai Hin	BQG622	Licensed Representative (Provisional Licence)	1
LAI Yuen Chun	BQF957	Licensed Representative (Provisional Licence)	1, 2
LAM Chi Ming	AZJ283	Licensed Representative (Provisional Licence)	1, 4
LAM Jarvis Chun Hang	BQG739	Licensed Representative (Provisional Licence)	4
LAM Ka Yiu	BQG360	Licensed Representative (Provisional Licence)	1, 4, 6
LAM Kwan Wai	BQG523	Licensed Representative (Provisional Licence)	1, 6
LAM Lok Yan, Pauline	BBN652	Licensed Representative (Provisional Licence)	9
LAM Sze Ki, Eudora	BQE427	Licensed Representative (Provisional Licence)	1, 4
LAM Yuk Hang	BQH374	Licensed Representative (Provisional Licence)	1, 2
LAU Shuk Man	BQG507	Licensed Representative (Provisional Licence)	1
LAW Mang Kwan	AON979	Licensed Representative (Provisional Licence)	9
LEE Brian Henry	BQC264	Licensed Representative (Provisional Licence)	1
LEE Janice Yuan Rong	AWH075	Licensed Representative (Provisional Licence)	4, 9
LEE Pui Shan	AIU560	Licensed Representative (Provisional Licence)	1, 4
LEE Shen Yi Ada	BBG810	Licensed Representative (Provisional Licence)	9
LEE Trevor Cheuk Him	BQF161	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Yat Hin	BPR454	Licensed Representative (Provisional Licence)	1
LEI Xuan	BPN630	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG Tak Wing	BQF271	Licensed Representative (Provisional Licence)	1, 6
LI Hemin	BQG067	Licensed Representative (Provisional Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Hongchao	BQF103	Licensed Representative (Provisional Licence)	6
LI Hui	ATX931	Licensed Representative (Provisional Licence)	1, 4, 6
LI Jiasen	BQG128	Licensed Representative (Provisional Licence)	1, 6
LI Li	BIR520	Licensed Representative (Provisional Licence)	1, 4, 6
LI Tao	BQF980	Licensed Representative (Provisional Licence)	1, 4, 6
LI Tsz Kiu Cherry	BQB524	Licensed Representative (Provisional Licence)	1, 4
LI Wenfeng	BQF093	Licensed Representative (Provisional Licence)	1, 2
LI Yanping	BQI173	Licensed Representative (Provisional Licence)	1, 2
LI Yinkang	BQE960	Licensed Representative (Provisional Licence)	1, 6
LI Yuk Ting Claudia	BQG247	Licensed Representative (Provisional Licence)	1, 2, 4, 9
LI Zhongnan	BQF456	Licensed Representative (Provisional Licence)	1, 4, 6
LI Zhou	BQG370	Licensed Representative (Provisional Licence)	4
LI Zijian	BQF493	Licensed Representative (Provisional Licence)	1, 6
LI Zongwei	BPX927	Licensed Representative (Provisional Licence)	1, 6
LIANG Junyao	BQI440	Licensed Representative (Provisional Licence)	1, 4
LIANG Rebecca Yue	BQI176	Licensed Representative (Provisional Licence)	1, 4
LIANG Xiaotong	BQE804	Licensed Representative (Provisional Licence)	9
LIANG Xinyi	BQC409	Licensed Representative (Provisional Licence)	1, 6
LIANG Yu	BQF629	Licensed Representative (Provisional Licence)	1, 4
LIAO Jieke	BQG620	Licensed Representative (Provisional Licence)	1, 4
LIN Kana	BDO347	Licensed Representative (Provisional Licence)	4, 5, 9
LIN Yuchen	BQJ742	Licensed Representative (Provisional Licence)	1, 4, 6
LING Wai Kwun	BQE969	Licensed Representative (Provisional Licence)	1
LING Yuk Fai, Bernard	AMJ499	Licensed Representative (Provisional Licence)	4, 9
LIU Fung Hang	BQF716	Licensed Representative (Provisional Licence)	1, 2
LIU Ka Nam	BQF276	Licensed Representative (Provisional Licence)	9
LIU Kui	BQG668	Licensed Representative (Provisional Licence)	1
LIU Xiang	BQF176	Licensed Representative (Provisional Licence)	1, 2, 4
LIU Xing Ling	AKJ153	Licensed Representative (Provisional Licence)	4
LIU Xing Ling	AKJ153	Licensed Representative (Provisional Licence)	1
LIU Zi Yue	BPY505	Licensed Representative (Provisional Licence)	1, 6
LOO Brandon Dominic	BQE538	Licensed Representative (Provisional Licence)	1, 4, 6
LU Kebin	BQH117	Licensed Representative (Provisional Licence)	1, 2
LU Yufeng	BGF969	Licensed Representative (Provisional Licence)	1, 4, 6
LUI Leslie	BQG251	Licensed Representative (Provisional Licence)	1, 2
LUI Siu Fu	BQG665	Licensed Representative (Provisional Licence)	1, 4
LUO Sha	BNL483	Licensed Representative (Provisional Licence)	1, 4, 6
LYU Yiming	BQI138	Licensed Representative (Provisional Licence)	1, 6
MA Qi	BQG684	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
MALOUF Michelle Maria	BLH578	Licensed Representative (Provisional Licence)	1
MANN Gregory James	ARR531	Licensed Representative (Provisional Licence)	1
MAO Yue	BQF077	Licensed Representative (Provisional Licence)	1, 6
MATTOLI Enrico Andrea	ASD757	Licensed Representative (Provisional Licence)	6
MC DERMOTT Toni Frances	BPR462	Licensed Representative (Provisional Licence)	1, 6
MEI Xin	BQE385	Licensed Representative (Provisional Licence)	4
MENG Fanjie	AVC118	Licensed Representative (Provisional Licence)	9
MENG Fanzhong	BQG081	Licensed Representative (Provisional Licence)	1, 6
METHAJARUNON Kasidit	BEZ233	Licensed Representative (Provisional Licence)	9
MIU Ki Wai	ARW795	Licensed Representative (Provisional Licence)	4, 9
MOK Hoi Ming, Herman	BQG131	Licensed Representative (Provisional Licence)	1, 4
MOK Ian Ka Yat	BOD623	Licensed Representative (Provisional Licence)	4, 9
NEO Marcus Wai Siong	BQA566	Licensed Representative (Provisional Licence)	2, 5
NG Cheuk Yiu	BQF002	Licensed Representative (Provisional Licence)	1, 2
NG Chi Hung	BOJ714	Licensed Representative (Provisional Licence)	1, 4
NG Chung Yuen	BQG615	Licensed Representative (Provisional Licence)	1
NG Jason Ka Chuen	AHG763	Licensed Representative (Provisional Licence)	9
NG Tsz Kin	AZD014	Licensed Representative (Provisional Licence)	4, 5, 9
NG Wai Lam William	BQG263	Licensed Representative (Provisional Licence)	1, 4
NI Zhangting	BQF087	Licensed Representative (Provisional Licence)	1, 4, 6
NIE Shuyue	BQE181	Licensed Representative (Provisional Licence)	1, 4
NIU Zhuolin	BQG669	Licensed Representative (Provisional Licence)	4
OUYANG Hongyi	BQG420	Licensed Representative (Provisional Licence)	1, 6
PAI Vivek Mangalore	BQG964	Licensed Representative (Provisional Licence)	9
PAN Baodong	BQI506	Licensed Representative (Provisional Licence)	1, 2
PANG Pui Yue	BOA514	Licensed Representative (Provisional Licence)	6
PANG Xinyi	BLQ513	Licensed Representative (Provisional Licence)	4
PARK Sang Joon	BQG563	Licensed Representative (Provisional Licence)	1, 4, 9
PARK Yoonjin	BQG961	Licensed Representative (Provisional Licence)	1, 4
PEEL Edward James Willoughby	AYS709	Licensed Representative (Provisional Licence)	1, 4
PENG Sihao	BQI033	Licensed Representative (Provisional Licence)	6
PERETTI Andrew	BQF292	Licensed Representative (Provisional Licence)	1, 2, 9
POON Cheuk Nam, Miranda	AFD430	Licensed Representative (Provisional Licence)	1, 4
QI Fei	BQG898	Licensed Representative (Provisional Licence)	4, 5, 9
QIU Zhongtian	BQE723	Licensed Representative (Provisional Licence)	1, 2
QU Yang	BQG083	Licensed Representative (Provisional Licence)	1, 6
RAI NEEL KALRA	BQE377	Licensed Representative (Provisional Licence)	9
RAMCHANDANI Krisch Rajesh	BQG313	Licensed Representative (Provisional Licence)	4
RELO Xavier	BQC398	Licensed Representative (Provisional Licence)	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
ROBERTS Alistair Charles Alton	AVU825	Licensed Representative (Provisional Licence)	1, 4
SANG Zijing	BIX210	Licensed Representative (Provisional Licence)	4, 5, 9
SANNE Herman Michael	BQG532	Licensed Representative (Provisional Licence)	1
SHANG Yong	BKB392	Licensed Representative (Provisional Licence)	4, 5, 9
SHAO Ye	BQC703	Licensed Representative (Provisional Licence)	1, 6
SHAO Yunxiao	BQF158	Licensed Representative (Provisional Licence)	1, 2
SHEFFIELD Samuel Thomas	BQE109	Licensed Representative (Provisional Licence)	1
SHI Xinyu	BQF455	Licensed Representative (Provisional Licence)	1, 4, 6
SHISHKOV Svetoslav Dimitrov	BQH327	Licensed Representative (Provisional Licence)	1
SHIU Yau Wah	AFP821	Licensed Representative (Provisional Licence)	1, 2, 4
SIEW Huiheng	BPW870	Licensed Representative (Provisional Licence)	1, 2
SLOW Yin Hwee	BQE168	Licensed Representative (Provisional Licence)	1, 6
SO Sai Kit	BQG007	Licensed Representative (Provisional Licence)	10
SOLOVEV Aleksei	BQF356	Licensed Representative (Provisional Licence)	1, 2, 7
SONG Weishi	BQG075	Licensed Representative (Provisional Licence)	1, 4
SONG Wenqian	BQG888	Licensed Representative (Provisional Licence)	1, 4, 6
SU Pan	BDE650	Licensed Representative (Provisional Licence)	1, 6
SUEN Lok Ho, Howard	BQG764	Licensed Representative (Provisional Licence)	1, 4, 9
SUN Runke	BQI103	Licensed Representative (Provisional Licence)	1
SUN Yilin	BQG070	Licensed Representative (Provisional Licence)	1, 6
SURRENCY Richard Charles	AUC988	Licensed Representative (Provisional Licence)	1
TAI Wai See, Germaine	AMC821	Licensed Representative (Provisional Licence)	4
TANG Junyi	BQG754	Licensed Representative (Provisional Licence)	1, 6
TANG Pui Yip	BQG134	Licensed Representative (Provisional Licence)	1, 4
TIAN Zhijun	BPS498	Licensed Representative (Provisional Licence)	1, 2
TIN Wai Sun	BQG627	Licensed Representative (Provisional Licence)	1, 2, 4
TING HUNG	BQE815	Licensed Representative (Provisional Licence)	1, 4, 9
TING Sai Man	ADZ993	Licensed Representative (Provisional Licence)	1, 2, 4
TO Hon San	BQG126	Licensed Representative (Provisional Licence)	1, 4
TONG Yuhao	BQG752	Licensed Representative (Provisional Licence)	1, 6
TSAI Yueh-Lin	BQG606	Licensed Representative (Provisional Licence)	1
TSANG Marisa See-man	BQB422	Licensed Representative (Provisional Licence)	1, 4, 9
TSANG Pak Hei, Steven	BQG551	Licensed Representative (Provisional Licence)	1, 4
TSE Wing Yan	BPK866	Licensed Representative (Provisional Licence)	1, 2
TSENG Man-ting	ARR378	Licensed Representative (Provisional Licence)	4, 9
VENKATRAMAN Shailesh	AMY984	Licensed Representative (Provisional Licence)	1, 6
WADE John Howard	BEG040	Licensed Representative (Provisional Licence)	1, 4
WAGNER John Duncan	ACJ744	Licensed Representative (Provisional Licence)	4
WANG Congyi	BQG349	Licensed Representative (Provisional Licence)	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Hengli	BQB617	Licensed Representative (Provisional Licence)	4
WANG Peizhou	BQE921	Licensed Representative (Provisional Licence)	4, 9
WANG Qian	BPH416	Licensed Representative (Provisional Licence)	1
WANG QING	BQF740	Licensed Representative (Provisional Licence)	4
WANG Tianyi	BNF960	Licensed Representative (Provisional Licence)	4
WANG Xilin	BQC374	Licensed Representative (Provisional Licence)	1, 4
WANG Yingkai	BQE105	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Yuhui	BQE249	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Zhaokai	BQH094	Licensed Representative (Provisional Licence)	1, 4, 9
WEI Binjie	BQI436	Licensed Representative (Provisional Licence)	4
WEI Jia	BCE780	Licensed Representative (Provisional Licence)	1, 4, 6
WEI Wei	BPZ926	Licensed Representative (Provisional Licence)	1, 2
WEI Ziting	BQF743	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Cheuk Kin	BQF238	Licensed Representative (Provisional Licence)	1
WONG Chi Wing, Kinson	BQE279	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Kwong Lai	BQE274	Licensed Representative (Provisional Licence)	1, 4
WONG Lai Fun	BQG755	Licensed Representative (Provisional Licence)	1, 4
WONG Lai Shan	BQG366	Licensed Representative (Provisional Licence)	4, 9
WONG Man Yee Paula	AQE956	Licensed Representative (Provisional Licence)	4, 9
WONG Tsz Lun, Harrison	BQG271	Licensed Representative (Provisional Licence)	1
WONG Wai Ki Angela	BPY622	Licensed Representative (Provisional Licence)	1, 4, 9
WU Caojian	BQF790	Licensed Representative (Provisional Licence)	1, 4, 6
WU Chaoyu	BQI145	Licensed Representative (Provisional Licence)	1, 6
WU LINGYU	BQE828	Licensed Representative (Provisional Licence)	1, 4
WU Qikun	BQI397	Licensed Representative (Provisional Licence)	4
WU Yao	BQE051	Licensed Representative (Provisional Licence)	1, 2, 7
WU Yibo	BQC291	Licensed Representative (Provisional Licence)	4
WU Ying	BQE869	Licensed Representative (Provisional Licence)	1
XIA Wei	BPU147	Licensed Representative (Provisional Licence)	9
XIAO Weiyi	BQF480	Licensed Representative (Provisional Licence)	1, 2
XIAO Xiao	BQE919	Licensed Representative (Provisional Licence)	1, 6
XIE Ke	BQG670	Licensed Representative (Provisional Licence)	1, 4, 6
XIE Songruo	BQE350	Licensed Representative (Provisional Licence)	1, 6
XIE Yunjia	BQH346	Licensed Representative (Provisional Licence)	1
XIN Peng	BMB690	Licensed Representative (Provisional Licence)	1, 2
XIONG Hao	AZI741	Licensed Representative (Provisional Licence)	1, 2, 4, 9
XIONG Menghan	BQC706	Licensed Representative (Provisional Licence)	1, 6
XU Ke	BQH323	Licensed Representative (Provisional Licence)	1, 4
XU MENGROU	BQF164	Licensed Representative (Provisional Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
XU Xueqing	BQI515	Licensed Representative (Provisional Licence)	1, 4
XU Yachuan	BGI316	Licensed Representative (Provisional Licence)	1, 4
XUE Bai	BPI655	Licensed Representative (Provisional Licence)	1, 4
XUE Ying	BQG621	Licensed Representative (Provisional Licence)	1, 4
YAM Margaret	BQF593	Licensed Representative (Provisional Licence)	1, 6
YAMASHITA Ryosuke	BQE468	Licensed Representative (Provisional Licence)	1, 4, 6
YAN Denise	BQC637	Licensed Representative (Provisional Licence)	2, 5
YAN Yiran	BQG293	Licensed Representative (Provisional Licence)	1, 6
YAN Yuguang	BET078	Licensed Representative (Provisional Licence)	4, 9
YANG Chaozhong	BOB378	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Fangzheng	BQE740	Licensed Representative (Provisional Licence)	1
YANG Guang	BQE142	Licensed Representative (Provisional Licence)	4
YANG Mujun	BQH170	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Tianwei	BQG295	Licensed Representative (Provisional Licence)	4
YANG Xinyi	BQG763	Licensed Representative (Provisional Licence)	4
YANG Yusang	BQJ740	Licensed Representative (Provisional Licence)	1, 6
YANG Zhou	BQF153	Licensed Representative (Provisional Licence)	1, 2
YANG Ziqi	BQE577	Licensed Representative (Provisional Licence)	1
YE Fen	BQE750	Licensed Representative (Provisional Licence)	1, 4
YE Xiao	BQF882	Licensed Representative (Provisional Licence)	4
YEE Kei Yin	BQG521	Licensed Representative (Provisional Licence)	4
YEUNG Pan Pan	BQG647	Licensed Representative (Provisional Licence)	1
YIN Congzhe	BQH097	Licensed Representative (Provisional Licence)	1, 4, 9
YIU Hoi Yip	BNL488	Licensed Representative (Provisional Licence)	4, 5, 9
YU Ken Ling, Kelvin	BQG786	Licensed Representative (Provisional Licence)	1, 4, 9
YU Ming Yu	BQG819	Licensed Representative (Provisional Licence)	1
YUEN Shuk Kam, Nicole	ACH708	Licensed Representative (Provisional Licence)	1, 4, 9
YUN Zhaokun	BQI141	Licensed Representative (Provisional Licence)	1, 6
ZHAN Haixi	BQI331	Licensed Representative (Provisional Licence)	6
ZHANG Guangyao	BQB403	Licensed Representative (Provisional Licence)	4
ZHANG Huachen	BGU214	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Junwei	BQG823	Licensed Representative (Provisional Licence)	1, 4
ZHANG Qi	BQF979	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Shuyan	BQG803	Licensed Representative (Provisional Licence)	1
ZHANG Wei	BQE370	Licensed Representative (Provisional Licence)	1
ZHANG Wenting	BQA906	Licensed Representative (Provisional Licence)	1, 6
ZHANG Xiaoxuan	BQG262	Licensed Representative (Provisional Licence)	4
ZHANG Yanchuan	BQF287	Licensed Representative (Provisional Licence)	1, 2
ZHANG Yang	BQG368	Licensed Representative (Provisional Licence)	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Yawen	BQF728	Licensed Representative (Provisional Licence)	4
ZHANG Yuxuan	BQG626	Licensed Representative (Provisional Licence)	1, 2, 4
ZHANG Zheming	BQF082	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Ziwei	BQG817	Licensed Representative (Provisional Licence)	1, 2
ZHAO Yang	BHR796	Licensed Representative (Provisional Licence)	4, 5, 9
ZHENG Jiwei	BQG649	Licensed Representative (Provisional Licence)	6
ZHENG Junbo	BQF272	Licensed Representative (Provisional Licence)	1
ZHENG Yanran	BQH928	Licensed Representative (Provisional Licence)	1, 2
ZHONG Xian	BQF019	Licensed Representative (Provisional Licence)	4
ZHOU Deyang	BJX389	Licensed Representative (Provisional Licence)	1
ZHOU Jialiang	BQG463	Licensed Representative (Provisional Licence)	1, 2
ZHOU Qian	BQH378	Licensed Representative (Provisional Licence)	1, 4
ZHOU Tu	AZR295	Licensed Representative (Provisional Licence)	1, 2, 7
ZHOU Yutong	BQG266	Licensed Representative (Provisional Licence)	1, 4
ZHU Xuhua	AUK284	Licensed Representative (Provisional Licence)	1, 4
ZHU Zhaoming	BQI451	Licensed Representative (Provisional Licence)	4
ZHUANG Dan	BHH668	Licensed Representative (Provisional Licence)	4
ZHUO Yue	BQF288	Licensed Representative (Provisional Licence)	1, 2, 7
ABDUL JABBAR Sufyan Bin	BQH307	Licensed Representative (Temporary Licence)	6
CHEN Hao	BQH895	Licensed Representative (Temporary Licence)	6
FENG Xinglei	BQG528	Licensed Representative (Temporary Licence)	6
HAN Lin	BQF403	Licensed Representative (Temporary Licence)	6
LIN Tiantian	BIB398	Licensed Representative (Temporary Licence)	6
LUI Wing Nam	BQF351	Licensed Representative (Temporary Licence)	1, 6
MIAO Xi	BQH325	Licensed Representative (Temporary Licence)	6
SANO Fumihiko	BPW837	Licensed Representative (Temporary Licence)	1, 6
YOO-PROT Pimchanok	BQH322	Licensed Representative (Temporary Licence)	6