

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
ActusRayPartners Limited	BPX742	Licensed Corporation	9
ApaH Capital Management Limited	BPS449	Licensed Corporation	9
Bison Corporate Finance Limited	BPC515	Licensed Corporation	6
Easy Tech Capital Limited	BOC677	Licensed Corporation	6
EquityCom hk Limited	BPQ160	Licensed Corporation	2, 5
Going International (HK) Financial Group Limited	BPS863	Licensed Corporation	2, 5
Guolian Securities International Asset Management Co., Limited	BPX917	Licensed Corporation	9
Guolian Securities International Capital Co., Limited	BPX918	Licensed Corporation	1, 4
Hanyuanyongchang International Limited	BPQ449	Licensed Corporation	1, 4
HashKey Brokerage Services Limited	BPS791	Licensed Corporation	1, 4
Intrabase Capital Limited	BQA517	Licensed Corporation	1, 4
KVB Kunlun Asset Management (HK) Limited - KVB Kunlun Asset Management (HK) Limited (AQG577) and KVB Kunlun Securities (HK) Limited (AQG528) amalgamated on 15 December 2020, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is KVB Kunlun Asset Management (HK) Limited (BQK571).	BQK571	Licensed Corporation	1, 2, 4, 5, 9
Landmark Partners (Asia) Limited	BQB135	Licensed Corporation	1
Liquidity Finance (Hong Kong) Limited	BQE091	Licensed Corporation	1
Long Bridge HK Limited	BPX066	Licensed Corporation	1, 4, 9
Macquarie Markets Trading Limited	BPU280	Licensed Corporation	1, 4
OSL Digital Securities Limited	BPJ213	Licensed Corporation	1, 7
TFI Capital Limited	BPW736	Licensed Corporation	6
Vectr Ventures Advisors Limited	BPU803	Licensed Corporation	4, 9
Al Yandi	BQL335	Licensed Representative	1, 4, 6
ANN Gi Hong	BQG844	Licensed Representative	2, 5
ANTON Dendi	BQK579	Licensed Representative	1, 7
AO Man	ANT251	Licensed Representative	10
ASKALANY Nermin	BIS597	Licensed Representative	1
AU Chi Chiu	BQB516	Licensed Representative	9
AU Ka Wah	BOB552	Licensed Representative	9
AU Siu Sze, Sharon	BDH518	Licensed Representative	1
BAI Xiaopu	AYJ561	Licensed Representative	1, 2
BAY Wei Heng	BQL650	Licensed Representative	1, 2
BI Sheng	BQK658	Licensed Representative	1, 4, 9
BROOKER Holly Robyn	BQH132	Licensed Representative	1, 4, 7
CAI Yixuan	BQM274	Licensed Representative	6
CASTILLO Eric Alberto	AMJ780	Licensed Representative	1
CHAN Ching Yee	BQM897	Licensed Representative	4
CHAN Chun Yuen	BQI904	Licensed Representative	1, 2
CHAN Hei Shun	BPV702	Licensed Representative	1
CHAN Ho Lam	BQA570	Licensed Representative	1, 4
CHAN Ka Ho	BQF264	Licensed Representative	4, 9
CHAN Ka Shing	BQK692	Licensed Representative	4, 9
CHAN Kin Fai Eric	BQJ852	Licensed Representative	1, 4, 9
CHAN Kin Kwan	AUN432	Licensed Representative	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	License Type	Types of Regulated Activity
CHAN Long Chi	AMO538	Licensed Representative	4, 9
CHAN Man Hei	BBQ605	Licensed Representative	1, 4
CHAN Poh Kiat	ANM131	Licensed Representative	1
CHAN Yin Yin	BMO629	Licensed Representative	1
CHAN Yuen Hei Ronnie	BQI639	Licensed Representative	1, 6
CHANDLER Benjamin Victor Michael	AEO780	Licensed Representative	1
CHANG Chi Hung Aley	BQF143	Licensed Representative	1, 4, 9
CHANG Chiung Fang	BQN260	Licensed Representative	4, 9
CHAU Ching Ha	APF193	Licensed Representative	9
CHAU Mei Ki	BQI524	Licensed Representative	1, 4
CHE Yunji	BQL481	Licensed Representative	1, 4
CHEN Chi	BPY812	Licensed Representative	1, 6
CHEN Chun	BQE587	Licensed Representative	4, 9
CHEN Chuyao	BQG638	Licensed Representative	4
CHEN Gengxing	BQH311	Licensed Representative	4
CHEN Guiyi	BQD372	Licensed Representative	1, 2, 4
CHEN Hang	BQL709	Licensed Representative	1, 6
CHEN Li	BQI101	Licensed Representative	1, 4, 6
CHEN Ling Lam	BQN367	Licensed Representative	1, 2
CHEN Lingshan	BQL091	Licensed Representative	1, 4, 9
CHEN Meijing	BJY410	Licensed Representative	1, 4
CHEN Mingzhao	BQI845	Licensed Representative	4
CHEN Shen	BQM328	Licensed Representative	4
CHEN Sihan	BQH910	Licensed Representative	1, 6
CHEN Siyu	BQM433	Licensed Representative	9
CHEN Weiqi	BQL713	Licensed Representative	1, 4, 6
CHEN Xinwei	BQM914	Licensed Representative	9
CHEN Xiu Zhu	BPM663	Licensed Representative	4, 9
CHEN Yilin	BQI799	Licensed Representative	1, 6
CHEN Ying Chih	BIB859	Licensed Representative	1, 2
CHEN Yujie	BQM724	Licensed Representative	1, 4, 9
CHEN Yunn-Ru	BPY894	Licensed Representative	9
CHEN Yuxin	BQC295	Licensed Representative	9
CHEN Zheqi	BQJ928	Licensed Representative	1, 4, 9
CHENG Chang Tze Tsu	AAD161	Licensed Representative	1
CHENG Chi Shing	BQG036	Licensed Representative	1, 4
CHENG Chieh Chu	ANI665	Licensed Representative	1, 4, 9
CHENG Chin Li	BQL581	Licensed Representative	1, 4
CHENG Hon Fai	ADL038	Licensed Representative	1, 2
CHENG Ka Chun Chad	BMW975	Licensed Representative	1
CHENG Li	BQL835	Licensed Representative	4, 9
CHENG Wai Yi	BOQ051	Licensed Representative	1
CHENG Yi	BQL226	Licensed Representative	1, 4
CHEUNG King Wing Raymond	BQM509	Licensed Representative	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEUNG Leo Chun Kit	BQL007	Licensed Representative	1
CHEUNG LOI HEUNG	BQM939	Licensed Representative	1
CHEUNG Murray Ka Shun	BEO947	Licensed Representative	9
CHEUNG Wing Kei Stanley	BQL620	Licensed Representative	1, 2, 4
CHEUNG Yan Kit Ken	BKK360	Licensed Representative	1, 7
CHEUNG Yik Ki	BKL730	Licensed Representative	1, 6
CHIM Ka Tok	BQJ620	Licensed Representative	1, 2
CHIU Wai Pan	BQL555	Licensed Representative	9
CHO Yat Chau	BQL772	Licensed Representative	4
CHOI Dong Gyue	BQH237	Licensed Representative	1
CHOI Tsz Leung	BQM861	Licensed Representative	1
CHONG KA WAI	BQL524	Licensed Representative	1
CHOW Chak Shing	AGG820	Licensed Representative	4, 9
CHOW Chun Yu	BQH112	Licensed Representative	9
CHOW Ka Keung Samson	BQJ870	Licensed Representative	4, 9
CHOY Ivan	BQI814	Licensed Representative	1
CHU Kwun Yee	BQL606	Licensed Representative	1
CHU Siqi	BOJ195	Licensed Representative	9
CHUI Nicholas	BQL723	Licensed Representative	1, 2
CHUNG Hee Young	BEN019	Licensed Representative	1, 7
CHWANG Winnie Phua	BQJ714	Licensed Representative	1
COLANI LI Mei Yun	AMU104	Licensed Representative	4, 9
CUI Yue	BQG381	Licensed Representative	1, 4, 6
DAI Xi	BEL250	Licensed Representative	6
DANG Yun	BQK259	Licensed Representative	1, 6
DHAND Vikram	BOL406	Licensed Representative	9
DING Ding	BQJ707	Licensed Representative	6
DING Jianxiang	BQL712	Licensed Representative	1, 4, 6
DONG Siyuan	BQK487	Licensed Representative	4
DOU XUANFEI	BQL732	Licensed Representative	1, 4, 9
DU Ke	BQI464	Licensed Representative	1, 4, 6
DUAN Ting	BQG585	Licensed Representative	1, 4, 9
EDELMAN Marilyn Miki	ARN992	Licensed Representative	1
ELLISON David Charles George	BQJ929	Licensed Representative	1
EVANS Rhodri Lloyd	BQH313	Licensed Representative	1
FAN Chao	BQK473	Licensed Representative	4
FAN Ho Nga	BQL070	Licensed Representative	1
FAN Jiayang	BQL379	Licensed Representative	1, 4, 6
FAN Jing	BQI395	Licensed Representative	1, 4, 9
FAN KWOK KEUNG	BQH113	Licensed Representative	1, 2
FENG He	BQL100	Licensed Representative	4
FITZGERALD Paul Gavin	AOB567	Licensed Representative	1
FOK Thomas Kui Chuen	AMR345	Licensed Representative	9
FOURNIER James Edward	BQI513	Licensed Representative	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
FU Yuet Wan	APZ093	Licensed Representative	1
FUNG Ann Moran	AAD571	Licensed Representative	1, 2
FUNG Hon Bun	BQK708	Licensed Representative	1, 4, 9
FUNG Kin Wai	AUC495	Licensed Representative	9
GAO Xibai	BQF738	Licensed Representative	4
GAO Yangyang	BQN567	Licensed Representative	1, 6
GAO Yuanqi	BQL633	Licensed Representative	4, 9
GARG Manish	AKT480	Licensed Representative	9
GIARRATANO James Robert	BOL534	Licensed Representative	1
GONG Shaoyang	BQI137	Licensed Representative	4, 5, 9
GONG Yuanyue	BQL737	Licensed Representative	4
GU Siyu	BQI774	Licensed Representative	1, 4
GUAN Dongqilai	BQI170	Licensed Representative	4
GUAN Quansen	BQK477	Licensed Representative	4
GUO Ting	BQD218	Licensed Representative	1, 4, 6
GUO Yang	AMA891	Licensed Representative	1, 4, 9
GUO Yihan	BQM382	Licensed Representative	6
GUO Yinchang	BQM980	Licensed Representative	4, 9
HAN Mingfei	BQL558	Licensed Representative	1, 2
HAN Yichao	BQK468	Licensed Representative	4
HASBERRY Joseph John	BQH121	Licensed Representative	9
HAYASE Takahiro	AUE611	Licensed Representative	1, 2
HENRY Samuel Alain Alexandre	BQL635	Licensed Representative	1
HO Wing Sum, Veda	AOA335	Licensed Representative	1, 4
HO Yin Shan, Cookie	ANG573	Licensed Representative	9
HONG Wenxi	BHH491	Licensed Representative	1, 6
HU Chien-hsiu	ATN334	Licensed Representative	1, 4, 9
HU Jerry	BQL334	Licensed Representative	1, 4
HU Zhe	BQI147	Licensed Representative	1, 6
HUA Lining	BQK548	Licensed Representative	1, 4, 6
HUANG Benchen	BQJ771	Licensed Representative	4
HUANG Fanyang	BQK283	Licensed Representative	4
HUANG Limin	BQM510	Licensed Representative	9
HUANG Po Jui	BOM844	Licensed Representative	1, 4, 9
HUANG Wenwei	BQH918	Licensed Representative	1, 4
HUANG Yuan	BQM946	Licensed Representative	6
HUI Mei Ling Kary	BQI096	Licensed Representative	1
HUI On Kei Angel	BQF970	Licensed Representative	1, 4
HUI Sai Fung	BDL757	Licensed Representative	4, 9
HUNG Chun Ho	BNO534	Licensed Representative	1, 2, 5
HUNG Lok Ming, Gary	ADY288	Licensed Representative	4
HUNG Tsz Ching	BQM901	Licensed Representative	1, 2
HUNG Wai Yee	BQJ935	Licensed Representative	4, 9
HYEON Cheongmu	BBI766	Licensed Representative	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
IU Cheuk Lok	BQH316	Licensed Representative	1, 4
JANG Jae Hee	BQG804	Licensed Representative	1, 4, 9
JIANG Jin	BQL856	Licensed Representative	1, 4, 9
JIANG Wenju	BGN056	Licensed Representative	1, 6
KAMENZ Maria Katharina	BQJ736	Licensed Representative	1
KAO Lap Shing	BDU474	Licensed Representative	1, 4, 9
KE Yi	BQL397	Licensed Representative	1, 4, 6
KIM Jun Ku	BJA747	Licensed Representative	1, 4
KIRK Bruce Stephen James	ASZ619	Licensed Representative	1, 2, 4
KO Chi Yuen, Yvonne	AJJ561	Licensed Representative	1, 4, 9
KO Denise Chi Heng	ALI388	Licensed Representative	4, 9
KO Ling Siu	AQW629	Licensed Representative	1, 2
KO Lon	BQM078	Licensed Representative	1
KO Tak Wah Edward	BQN499	Licensed Representative	1
KOH Weng Siang	BQH894	Licensed Representative	1, 6
KUO-TSING-JEN Nicolas Guillaume Stephane	ARN751	Licensed Representative	9
KWOK Ka Chun	BGX110	Licensed Representative	1
KWOK Shing Him Bryan	BQM118	Licensed Representative	6
KWOK Siu Chung, Jonathan	BBX571	Licensed Representative	9
LAI Che Man, Cherie	AQA449	Licensed Representative	1
LAI Nga Ying	ANK181	Licensed Representative	1
LAI Yin King	BNO630	Licensed Representative	1
LAI Yuen Wai	BQM879	Licensed Representative	1, 2
LAM Chi Hin	BQL550	Licensed Representative	1, 4, 9
LAM So Ying	BQM151	Licensed Representative	2
LAM Wing Yuen	BQI697	Licensed Representative	1, 2
LAM Yat Yeung	BQM756	Licensed Representative	9
LAU Arthur Nicholas Tsz-him	BFZ391	Licensed Representative	1, 6
LAU Cheuk On Jason	BQL730	Licensed Representative	4
LAU Chung Kit	BQK911	Licensed Representative	1, 6
LAU HIU CHU	BQH310	Licensed Representative	4, 9
LAU Hui Long	BQM155	Licensed Representative	1
LAU Kai Yeung	ARA400	Licensed Representative	4, 9
LAU Shun	BQM701	Licensed Representative	1
LAU Tik Lun	BIY478	Licensed Representative	1, 4
LAU Wing Lam	BQL427	Licensed Representative	1
LAU Wun Shan	BQL557	Licensed Representative	1, 4
LAW Kam Chong	BQL716	Licensed Representative	1, 4
LE BARAZER Francois, Pierre, Marie	BQG632	Licensed Representative	9
LE COURT Delphine Marie-Gabrielle	BQG648	Licensed Representative	1, 4
LEE Heewon	BQN428	Licensed Representative	1
LEE Kai Chung	BQM720	Licensed Representative	1
LEE Kin Hok	BQM450	Licensed Representative	1
LEE MANG YUEN	BQL659	Licensed Representative	1, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	License Type	Types of Regulated Activity
LEE Mei Yee	BQI189	Licensed Representative	1
LEE Ming Sai	BQL611	Licensed Representative	1
LEE Pui Shan	AIU560	Licensed Representative	1, 4
LEE Sin Yeung	BQM186	Licensed Representative	1, 4, 9
LEE Tae Gyoon	AHE195	Licensed Representative	1, 4, 9
LEONG Wei Hao	BOE222	Licensed Representative	1, 4, 6
LEUNG Ho Cheong, Barry	ALY163	Licensed Representative	1, 2
LEUNG Kwan Kit Sidney	BQH887	Licensed Representative	1, 4
LEUNG Lap Hin	BQF326	Licensed Representative	1, 4
LEUNG Siu Fung	BJA647	Licensed Representative	1, 6
LEUNG Wai Bong	APQ335	Licensed Representative	1, 4, 9
LI Ao	BQG749	Licensed Representative	1, 4, 9
LI Guan Ying	BQI385	Licensed Representative	1, 4
LI Hui	ATX931	Licensed Representative	1, 4, 6
LI Kai Lam	BQE786	Licensed Representative	1, 6
LI Ning	BEM063	Licensed Representative	1
LI Tsun Wai	BQL486	Licensed Representative	1
LI Wei Bo	BQK707	Licensed Representative	1, 4, 9
LI Xiaoya	BHM189	Licensed Representative	1, 2, 4
LI Yang	BHP108	Licensed Representative	4, 9
LI Ye	BQH211	Licensed Representative	1, 4
LI Zhen	BQM312	Licensed Representative	1, 6
LIANG Baole	BQN216	Licensed Representative	2
LIANG Guangkai	BQL423	Licensed Representative	4
LIANG Shuang	BQL183	Licensed Representative	1, 4
LIANG Ying	BQL539	Licensed Representative	1, 4, 9
LIAO Weijin	BQL685	Licensed Representative	1
LIM Jia Jie	BQL711	Licensed Representative	1, 4
LIN Chen	BQM712	Licensed Representative	1, 6
LIN Che-Yu	BQK957	Licensed Representative	1
LIN Wei-Ming	AHU950	Licensed Representative	1, 4, 9
LIN Yuanyuan	BQE588	Licensed Representative	4, 9
LIN Yuchen	BQJ742	Licensed Representative	1, 4, 6
LING Yuk Fai, Bernard	AMJ499	Licensed Representative	4, 9
LIU Binrong	BQL858	Licensed Representative	1, 4
LIU Hongyi	BES156	Licensed Representative	1, 4
LIU Ting	BQJ819	Licensed Representative	4, 9
LIU Yanqi	BQL648	Licensed Representative	4
LIU YING	BQK609	Licensed Representative	1, 4
LO Pui San	BQH108	Licensed Representative	4, 9
LOH Weixian Emily	AOY689	Licensed Representative	1
LONG Hongquan	BQI376	Licensed Representative	4, 9
LONG Matthew Nathan	AHE130	Licensed Representative	7
LU Qiyang	BQM229	Licensed Representative	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	License Type	Types of Regulated Activity
LU Robert Bryan	AIB831	Licensed Representative	1, 4
LU Wentao	BQL549	Licensed Representative	4
LUNDSTROM Jan Benjamin Kenneth	BQE582	Licensed Representative	9
LUO Haoyue	BQK348	Licensed Representative	1, 4, 9
LYU Xinyi	BQL704	Licensed Representative	1, 6
LYU Yiming	BQI138	Licensed Representative	1, 6
MA Shiyang	BQL409	Licensed Representative	4, 9
MA Xiao	BQK855	Licensed Representative	1, 6
MAI Siwei	AUJ127	Licensed Representative	1, 4, 9
MAK Wing Ki	BQK249	Licensed Representative	4, 5, 9
MALOUF Michelle Maria	BLH578	Licensed Representative	1
MAN Ki Cheung	BMQ592	Licensed Representative	1, 4
MAO Xiaotian	BQN676	Licensed Representative	1
MATHERS Toby Jack Alexander	BQG596	Licensed Representative	9
MC KERNAN Eamonn Peter	BQM936	Licensed Representative	1
MEHTA Amelia Ann	AMF457	Licensed Representative	1
MEREDITH John Patrick Paul	BQL640	Licensed Representative	1, 2
MIKALONYTE Laura	BQL126	Licensed Representative	1
MIKAMI Kazuhisa	BQI678	Licensed Representative	1, 2
MOK Ian Ka Yat	BOD623	Licensed Representative	4, 9
MOK Sin Nam	BQL480	Licensed Representative	1
MORRISON Justin David Christopher	AVO760	Licensed Representative	1
MOU Tiantian	BQL336	Licensed Representative	1, 6
MURRAYLEE Blaise Edward	BQK600	Licensed Representative	1
NG Chung Ting	BPB715	Licensed Representative	1, 2
NG Ka Pong	BJR599	Licensed Representative	1, 4, 9
NG Siu Yan	BQL717	Licensed Representative	1, 4
NG Tsun Ho	BQL613	Licensed Representative	1, 2
NG Tsz Wing	BBD847	Licensed Representative	9
NG Tsz Yan	BQF580	Licensed Representative	1, 4, 9
NGAI Yvonne Yuet-ying	APC469	Licensed Representative	1, 4, 9
ONG Wellington Y.	AXD381	Licensed Representative	4, 9
OUYANG Linshan	BQA722	Licensed Representative	6
PAN Jin	AVV688	Licensed Representative	1, 4
PAN Ying	BEQ552	Licensed Representative	1
PARK Yoon Hye	BQJ934	Licensed Representative	2, 5
PATHARE Rohan Ramesh	BQL614	Licensed Representative	9
PEI Dandi	BQL401	Licensed Representative	1, 6
PENG Cong	BQK234	Licensed Representative	1
PUN Yat Kan	BKW033	Licensed Representative	1, 4
QIAN Yating	BQJ937	Licensed Representative	1, 9
QIN Zhiyin	BQK559	Licensed Representative	1, 4
QIU Chuqi	BMT435	Licensed Representative	4, 9
QIU Jiangjie	BQH353	Licensed Representative	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
QUEK San Foong Raymond	BQL521	Licensed Representative	1, 4
REN Tian	BQK903	Licensed Representative	1, 4
SALL Ibrahima Zouwaya	BQM154	Licensed Representative	2
SEE Kit Long	APY536	Licensed Representative	1, 2, 3
SEO Jangwon	BAU892	Licensed Representative	1, 4
SHEN Jing	BOZ611	Licensed Representative	1, 4
SHEN Yuan	BQM392	Licensed Representative	1, 6
SHEN Zhe	BQC066	Licensed Representative	1, 4, 6
SHIH Kai Chi	BQI370	Licensed Representative	1
SHUM Sze Wan	BQJ657	Licensed Representative	1, 4
SIA Elaine Soh Leng	BQL044	Licensed Representative	1
SIM Zhe Wei	AOE808	Licensed Representative	4
SIU Lee Hung	BQK980	Licensed Representative	1, 4
SMITH Paul Henry	ADL754	Licensed Representative	1, 4, 9
SONG Cheng	BQL512	Licensed Representative	1, 4, 9
SONG Jingru	BQI321	Licensed Representative	4
SONI Neeraj Narendra	BQJ777	Licensed Representative	1, 2
STANLEY Thomas James	BQG467	Licensed Representative	1, 4, 9
SU Darong	BIV798	Licensed Representative	1, 4
SUN Bangdong	BQK544	Licensed Representative	1, 4, 6
SUN Jinqi	BQM292	Licensed Representative	4
SUN Ran	AUZ782	Licensed Representative	1, 4, 9
SUN Tianyi	BQJ930	Licensed Representative	4
SUN Xue	BQL540	Licensed Representative	1, 4, 6
TAM Ka Li, Cindy	AWS582	Licensed Representative	4, 9
TAN Jieyu	BQD366	Licensed Representative	4, 9
TAN Li	BQM511	Licensed Representative	4, 5, 9
TANG Shiyu	BQG428	Licensed Representative	1
TANG Tak Chun	BKJ158	Licensed Representative	2
TAO Shengyu	BQK280	Licensed Representative	4
TAPADIA Rishabh	BQM671	Licensed Representative	1, 2, 4
TAY Hui Yi	BQH099	Licensed Representative	1, 4, 9
THIBAUD Antoine Paul Jean Charles	BBM609	Licensed Representative	9
TIMBERT Adrien Leo	BQM395	Licensed Representative	1
TING Wai	BQK284	Licensed Representative	1, 2, 4, 5, 9
TSANG Chi Hong	BQI458	Licensed Representative	1, 4
TSANG Chi Kin	BQI640	Licensed Representative	1, 6
TSOI Wai Ling Wendy	BQM236	Licensed Representative	1
TSUI Melanie Pui-Chi	BQK519	Licensed Representative	10
TSUI Sai On	BQG426	Licensed Representative	1, 4
TSUI Sui Lun	BQK456	Licensed Representative	1
WAI Anthony Hau-chun	BEL224	Licensed Representative	4, 9
WAI Sung Hei	BQJ817	Licensed Representative	1, 4, 9
WAN Fan Ting	BQJ919	Licensed Representative	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Aiqian	BOB754	Licensed Representative	1, 4
WANG Baojun	BQL609	Licensed Representative	4, 9
WANG Chengshuai	BQL483	Licensed Representative	1, 6
WANG Guohan	BQL057	Licensed Representative	4
WANG Mengyuan	BMH307	Licensed Representative	1, 6
WANG Nan	BQM721	Licensed Representative	4, 9
WANG Siran	BKU794	Licensed Representative	4, 9
WANG Siyu	BQJ936	Licensed Representative	2, 5
WANG Xuegang	BMD008	Licensed Representative	1
WANG Zhongyao	BQJ932	Licensed Representative	4
WEI Wenguan	BQL012	Licensed Representative	1, 4, 6
WEI Xueyu	BQL258	Licensed Representative	1, 4, 9
WEN Kailing	BQK634	Licensed Representative	1, 4
WEN XIAOTING	BQL124	Licensed Representative	1
WENG Weijie	BQK779	Licensed Representative	4, 9
WONG Chen Ho Jeremy	BQI355	Licensed Representative	6
WONG Chon Hou	BQE252	Licensed Representative	1, 4, 6
WONG Chun Lok	BQE761	Licensed Representative	1, 4, 9
WONG Jonathan Yang Yi	BQF691	Licensed Representative	1, 4, 9
WONG Ka Lee	AZG056	Licensed Representative	1
WONG Keng Fung	BQC383	Licensed Representative	4
WONG Sin Him	BQM585	Licensed Representative	1, 4, 9
WONG Wa Hong	ATO895	Licensed Representative	1, 2
WONG Wai San Wilson	BQI652	Licensed Representative	4, 9
WONG Wing Man	AWL652	Licensed Representative	1
WONG Yat Lung	BQI611	Licensed Representative	1, 4
WOO Elliott Tak On	AYR304	Licensed Representative	9
WU Bohua	BQK482	Licensed Representative	4
WU Chaoyu	BQI145	Licensed Representative	1, 6
WU Chen	BQI580	Licensed Representative	1, 4
WU Chin Jung	BQB853	Licensed Representative	1
WU Conglu	BQJ931	Licensed Representative	4
WU Haozong	BQD389	Licensed Representative	1, 4
WU Philip Han Wen	BOM514	Licensed Representative	1, 2
WU Pik Yan	BQL612	Licensed Representative	1
WU Xinting	BQM271	Licensed Representative	6
WU Yue	BQL594	Licensed Representative	1, 4
XIAO Jie	BOJ277	Licensed Representative	1, 2, 4
XIAO Peiwei	BQJ981	Licensed Representative	1, 6
XIE Jialin	APB506	Licensed Representative	1, 2
XIE Mali	BJM104	Licensed Representative	1, 4, 9
XIE Zhaojie	BQL801	Licensed Representative	1, 4, 9
XIONG Ye	BQM944	Licensed Representative	1, 6
XU Huangsheng	BQK562	Licensed Representative	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	License Type	Types of Regulated Activity
XU Ke	BQH323	Licensed Representative	1, 4
XU Ran	BQL482	Licensed Representative	1, 6
XU Yintao	BQM311	Licensed Representative	1, 6
XUE Wei	BQL518	Licensed Representative	4
YAN Gege	BQJ614	Licensed Representative	1
YAN Ka Man	BQG340	Licensed Representative	1, 4, 9
YAN Xinyi	BQH315	Licensed Representative	1, 4
YANG Chaozhong	BOB378	Licensed Representative	1, 4, 6
YANG Guang	BPS653	Licensed Representative	4, 9
YANG Jing	BGQ911	Licensed Representative	9
YANG Wayne Ky	APW468	Licensed Representative	1, 4
YANG Wei	BQL485	Licensed Representative	1, 6
YANG Wenxuan	BQL513	Licensed Representative	1, 2
YANG Xu	BQL484	Licensed Representative	1, 6
YANG Xuemin	BOQ037	Licensed Representative	1, 4, 9
YANG Yang	BQK770	Licensed Representative	2
YANG Yixin	BQL514	Licensed Representative	4, 9
YANG Zilin	BQM669	Licensed Representative	6
YAO Yuexin	BQL604	Licensed Representative	1, 4, 9
YEUNG Sze Nga	BNT995	Licensed Representative	1
YEUNG Wing Hang	BQH123	Licensed Representative	1, 2
YIN Jingying	BQH909	Licensed Representative	1
YIN Ke	AI428	Licensed Representative	1
YIN Xiaoyuan	BQL734	Licensed Representative	1
YIU King Man	BDX230	Licensed Representative	1
YIU Sui Chong	AOS002	Licensed Representative	6
YU Lijia	BQH136	Licensed Representative	9
YU Mou Chih	BOB158	Licensed Representative	1, 4
YUEN Ryan Aaron	BQJ921	Licensed Representative	1, 6
YUN Zhaokun	BQI141	Licensed Representative	1, 6
ZHAI Hongwu	BQN719	Licensed Representative	4, 9
ZHAN Haixi	BQI331	Licensed Representative	6
ZHANG Bolun	BQM776	Licensed Representative	1, 4
ZHANG Boyan	BQJ743	Licensed Representative	1, 4, 9
ZHANG Ke	BQL710	Licensed Representative	4, 9
ZHANG Qi	BQF979	Licensed Representative	1, 4, 6
ZHANG Xianning	AWS214	Licensed Representative	4, 9
ZHANG Xiaohui	BQH398	Licensed Representative	1
ZHAO Fangyi	BQK243	Licensed Representative	1, 6
ZHAO Haoxing	BQL534	Licensed Representative	1, 6
ZHAO Jiahe	BQM994	Licensed Representative	4
ZHAO Xiang	BLN130	Licensed Representative	4, 9
ZHAO Ying	BNR594	Licensed Representative	1, 2
ZHENG Shuo	BQL745	Licensed Representative	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	License Type	Types of Regulated Activity
ZHENG Xiaohong	BBA758	Licensed Representative	4, 9
ZHENG Yumeng	BQJ772	Licensed Representative	4
ZHOU Bingyu	BQL705	Licensed Representative	1, 2
ZHOU Deyang	BJX389	Licensed Representative	1
ZHOU Fan	BQL610	Licensed Representative	1, 4
ZHOU Fanyi	BQI368	Licensed Representative	1, 2
ZHOU Xuhui	BQL583	Licensed Representative	4
ZHOU Yan	BPW935	Licensed Representative	6
ZHOU Yu	BPY362	Licensed Representative	4, 9
ZHU Jiaer	BQL306	Licensed Representative	1, 2, 4
ZHU Weini	BQL792	Licensed Representative	9
ZHU Xue	BQM514	Licensed Representative	1, 2, 4, 5, 7
ZHU Xun	BQK711	Licensed Representative	4
ZONG Bo	BQL060	Licensed Representative	4
AHMAD Usman Syed	BPK310	Licensed Representative - Responsible Officer	7
ALEXANDER Andrew Bernard	ABM861	Licensed Representative - Responsible Officer	9
ALFELD Chad Steven	BQC277	Licensed Representative - Responsible Officer	1
BOAS Michael Joel	AUF165	Licensed Representative - Responsible Officer	1, 4
CHAN Raymond Siu Wai	AXB536	Licensed Representative - Responsible Officer	9
CHAN Yiu Lun, Alan	ANX937	Licensed Representative - Responsible Officer	4, 9
CHAPMAN David James	BNL402	Licensed Representative - Responsible Officer	7
CHEN Jie	BF1718	Licensed Representative - Responsible Officer	6
CHEN Xi	AZT130	Licensed Representative - Responsible Officer	4, 9
CHEUNG Patrick	BAP747	Licensed Representative - Responsible Officer	9
CHEUNG Wai Ching, Anthony	AEC908	Licensed Representative - Responsible Officer	1, 2, 9
CHIM Yiu Ping	AMF530	Licensed Representative - Responsible Officer	1, 4
CHING Yi Edmund	AKU003	Licensed Representative - Responsible Officer	1, 4
CHOW Chung Shun, Johnson	AEF857	Licensed Representative - Responsible Officer	1, 4, 9
DAI Yuanyuan	AZT772	Licensed Representative - Responsible Officer	9
HIGSON SMITH Louis Piers	ACN201	Licensed Representative - Responsible Officer	1, 6
HO Po Sum	BNB598	Licensed Representative - Responsible Officer	1, 4
HSU Chia-Wei	AOW885	Licensed Representative - Responsible Officer	4, 9
HU Disi	BPV028	Licensed Representative - Responsible Officer	4, 9
IFILL Gerry Edwin Patrick	ANM952	Licensed Representative - Responsible Officer	1, 4
JING Hui	BKM782	Licensed Representative - Responsible Officer	1, 4, 9
JOHNSTON Wesley Lance Gladwin	APF631	Licensed Representative - Responsible Officer	1
KWAN Tsun Man	AWA326	Licensed Representative - Responsible Officer	2, 5
LAU Ka Ho, Gordon	AVF159	Licensed Representative - Responsible Officer	4, 9
LAU Shuk Ying, Teresa	AEJ900	Licensed Representative - Responsible Officer	1, 4
LAW Arthur Cheuk Fung	BPV229	Licensed Representative - Responsible Officer	4, 9
LEUNG Chi Hin	BBK455	Licensed Representative - Responsible Officer	9
LI Ting Kuan, Evan	BQE682	Licensed Representative - Responsible Officer	1
LI Zhenfu	AZT478	Licensed Representative - Responsible Officer	4, 9
LIU Hanhua	AUW243	Licensed Representative - Responsible Officer	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Yuanmo	ARJ807	Licensed Representative - Responsible Officer	1
LONG Matthew Nathan	AHE130	Licensed Representative - Responsible Officer	1
MADDEN Hugh Douglas	BNL401	Licensed Representative - Responsible Officer	1, 7
MADDURI Anand Balakrishna	ANH754	Licensed Representative - Responsible Officer	9
MAN Ki Cheung	BMQ592	Licensed Representative - Responsible Officer	6
NG Kwok Leung	BDW184	Licensed Representative - Responsible Officer	4, 9
NIU Siwen	BNN859	Licensed Representative - Responsible Officer	4, 9
PARKER Paul Edward	BQC337	Licensed Representative - Responsible Officer	1
SHUM Jimmy	BPV231	Licensed Representative - Responsible Officer	4, 9
SMITH Matthew Richard	BOC894	Licensed Representative - Responsible Officer	1
TAKAKU Hirofumi	AXI172	Licensed Representative - Responsible Officer	1, 4
TIAN Yingzhi	BPV227	Licensed Representative - Responsible Officer	4, 9
TISSERAND Jean-Charles Henri Gustave	BPW546	Licensed Representative - Responsible Officer	9
TO Sam Hung	APJ479	Licensed Representative - Responsible Officer	1, 4, 9
TRENCH Wayne	AQO347	Licensed Representative - Responsible Officer	1, 7
WANG Junlai	BCL625	Licensed Representative - Responsible Officer	1, 6
WANG Kaifan	BNR810	Licensed Representative - Responsible Officer	4, 9
WONG Pak Kan	AXK066	Licensed Representative - Responsible Officer	4, 9
WONG Yet Chit Ray	BPV151	Licensed Representative - Responsible Officer	9
WU Rebecca Yuan	BAG235	Licensed Representative - Responsible Officer	1
YANG Lin	BFK527	Licensed Representative - Responsible Officer	1, 4, 9
YAO Sheng	ALL051	Licensed Representative - Responsible Officer	9
ZHOU Deyang	BJX389	Licensed Representative - Responsible Officer	4, 9
AU Pui Ho	BNX486	Licensed Representative (Provisional Licence)	1, 2
BAI Guangxin	BQN454	Licensed Representative (Provisional Licence)	1, 4, 6
BAI Yang	BGN055	Licensed Representative (Provisional Licence)	1, 4
BAO Hong	BQO793	Licensed Representative (Provisional Licence)	1, 4, 6
BOA Yuk Wah Yuan	BNG891	Licensed Representative (Provisional Licence)	9
BURNET Antoine	BQN318	Licensed Representative (Provisional Licence)	1, 4, 9
CAI Linfeng	BQO296	Licensed Representative (Provisional Licence)	1, 4, 6
CAI Wenjie	BQN333	Licensed Representative (Provisional Licence)	1, 2
CAI Yihan	BQN332	Licensed Representative (Provisional Licence)	1, 4, 6
CHAK Kwok Fai	AAV091	Licensed Representative (Provisional Licence)	1, 4
CHAN Ching Yee	BQM897	Licensed Representative (Provisional Licence)	4
CHAN Chui Ling	BQM152	Licensed Representative (Provisional Licence)	1
CHAN Jason Chun Ho	BQO425	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Matthew Wing Tou	BGH189	Licensed Representative (Provisional Licence)	1, 2
CHAN Shun Choi	BQN317	Licensed Representative (Provisional Licence)	1, 2
CHAN Siu Ki, Eric	AXG825	Licensed Representative (Provisional Licence)	1
CHAN Yin Ming	ARO378	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Yu Fung Christopher	BQL861	Licensed Representative (Provisional Licence)	1
CHANG Chuo	BQM508	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Dong	BQO797	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Ling Lam	BQN367	Licensed Representative (Provisional Licence)	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Lu Shuan	BQM241	Licensed Representative (Provisional Licence)	1, 2, 4
CHEN Meijing	BJY410	Licensed Representative (Provisional Licence)	1, 4
CHEN Ronghua	BQO896	Licensed Representative (Provisional Licence)	1, 2
CHEN Wanqi	BQO436	Licensed Representative (Provisional Licence)	4
CHEN Yida	BQN726	Licensed Representative (Provisional Licence)	1, 6
CHEN Yingjie	BQO618	Licensed Representative (Provisional Licence)	4, 9
CHEN Yu	BQM408	Licensed Representative (Provisional Licence)	1, 2, 7
CHEN Yujie	BQM724	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Zuncheng	BQN732	Licensed Representative (Provisional Licence)	1, 6
CHENG Ka Chai	BQO627	Licensed Representative (Provisional Licence)	1, 2, 3
CHENG Wing Sze	BPY724	Licensed Representative (Provisional Licence)	1
CHENG Yasi	BQN264	Licensed Representative (Provisional Licence)	1, 4
CHEUN Wan Foon	BNC094	Licensed Representative (Provisional Licence)	1
CHEUNG Wai Yip	BQO612	Licensed Representative (Provisional Licence)	1
CHIK Yik Shu	BQO022	Licensed Representative (Provisional Licence)	4, 9
CHING Yi Edmund	AKU003	Licensed Representative (Provisional Licence)	1, 4
CHIU Lon, Ronald	AVY720	Licensed Representative (Provisional Licence)	1
CHIU Wai Pan	BQL555	Licensed Representative (Provisional Licence)	9
CHOW Ho Long	BQP267	Licensed Representative (Provisional Licence)	1, 2
CHOW Johnathan Long Ching	BQN725	Licensed Representative (Provisional Licence)	1, 2
CHUANG Shu Han Linnda	BJQ220	Licensed Representative (Provisional Licence)	1
COLANI LI Mei Yun	AMU104	Licensed Representative (Provisional Licence)	4, 9
CUI Chenfei	BHS701	Licensed Representative (Provisional Licence)	1, 4, 6
DAI Wei	BQM430	Licensed Representative (Provisional Licence)	1, 4, 6
DAI Yuan Yuan	AZT772	Licensed Representative (Provisional Licence)	9
DANG Yun	BQK259	Licensed Representative (Provisional Licence)	1, 6
DENG Qiaofeng	BQN515	Licensed Representative (Provisional Licence)	1, 4
DENG Xianheng	BQO036	Licensed Representative (Provisional Licence)	1, 6
DING Danhao	BQL551	Licensed Representative (Provisional Licence)	1, 2, 7
DING Liqi	AYJ941	Licensed Representative (Provisional Licence)	9
DUAN KaiZhong	BQO342	Licensed Representative (Provisional Licence)	6
EDELMAN Marilyn Miki	ARN992	Licensed Representative (Provisional Licence)	1
FANG Liangrun	BQN733	Licensed Representative (Provisional Licence)	1, 6
FANG Ming	BQM506	Licensed Representative (Provisional Licence)	1, 2
FENG Shiran	ARJ059	Licensed Representative (Provisional Licence)	1, 4
FU Shuyu	BQN291	Licensed Representative (Provisional Licence)	1, 4, 6
GAN Yuqi	BQM759	Licensed Representative (Provisional Licence)	1, 4, 9
GAN Zhuofeng	BQO456	Licensed Representative (Provisional Licence)	1, 2
GAO Danni	BQL608	Licensed Representative (Provisional Licence)	1
GAO Weiwei	BQL394	Licensed Representative (Provisional Licence)	1, 2
GAO Yangyang	BQN567	Licensed Representative (Provisional Licence)	1, 6
GONG Yuanyue	BQL737	Licensed Representative (Provisional Licence)	4
GRUSZEWSKI Toby William	BQM539	Licensed Representative (Provisional Licence)	1, 6
GU Shun	BQO373	Licensed Representative (Provisional Licence)	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
GUO Chaofeng	BQI612	Licensed Representative (Provisional Licence)	4, 9
Hai Ka Ki Martin	BPU999	Licensed Representative (Provisional Licence)	9
HAN Baiyang	BQO579	Licensed Representative (Provisional Licence)	1, 6
HE Xingtong	BQO621	Licensed Representative (Provisional Licence)	1, 2
HE Yuanyuan	BQP725	Licensed Representative (Provisional Licence)	1, 6
HE Zhishan	BQO934	Licensed Representative (Provisional Licence)	1, 4, 6
HEPPELSTONE Richard	BPE699	Licensed Representative (Provisional Licence)	1, 4
HIRATA Tomohiro	BQO764	Licensed Representative (Provisional Licence)	1, 4, 6
HO Chi Chung	AGL959	Licensed Representative (Provisional Licence)	10
HO Wing Shan	BQM807	Licensed Representative (Provisional Licence)	1
HSIEH Hong	BQN200	Licensed Representative (Provisional Licence)	1, 4, 9
HU Jiazhi	BMX452	Licensed Representative (Provisional Licence)	1, 4, 6
HU Weimin	BQN455	Licensed Representative (Provisional Licence)	1, 4, 6
HU Yongkang	BQM507	Licensed Representative (Provisional Licence)	1, 2, 4
HU Yuchen	BQO210	Licensed Representative (Provisional Licence)	1, 2
HUANG SIYI	BQM176	Licensed Representative (Provisional Licence)	6
HUI Tsz Chun	ALP760	Licensed Representative (Provisional Licence)	1
HULL Stephen Beattie	AZB042	Licensed Representative (Provisional Licence)	1, 4
ITO Hitoshi	BQO820	Licensed Representative (Provisional Licence)	1, 4
JI Sanghoon	BGX585	Licensed Representative (Provisional Licence)	1
JIANG Ge	BQN482	Licensed Representative (Provisional Licence)	1, 4
JIANG Jin	BQL856	Licensed Representative (Provisional Licence)	1, 4, 9
JOSHI Sumeet Sharad	AVF234	Licensed Representative (Provisional Licence)	1
KOH Miang Chuen	AUA678	Licensed Representative (Provisional Licence)	1, 4
KONG Peishi	BBN890	Licensed Representative (Provisional Licence)	1, 2, 4
KRUUSAMAE Uku	BQM049	Licensed Representative (Provisional Licence)	1, 2
KWOK Ka Yi	BQN921	Licensed Representative (Provisional Licence)	1, 2
LAI Yuen Wai	BQM879	Licensed Representative (Provisional Licence)	1, 2
LAM Fu Kit	BQM902	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Yu On	ABW082	Licensed Representative (Provisional Licence)	4, 9
LAU Yuen Hung	ADC124	Licensed Representative (Provisional Licence)	1
LAW Ka Wing	BQO635	Licensed Representative (Provisional Licence)	1, 2
LAYANI Yehoram	BCD230	Licensed Representative (Provisional Licence)	9
LEE Kai Chung	BQM720	Licensed Representative (Provisional Licence)	1
LEE Sin Yeung	BQM186	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Tae Gyoon	AHE195	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG Kai Hang, Clement	AJE890	Licensed Representative (Provisional Licence)	1, 4
LEUNG Siu Fung	BJA647	Licensed Representative (Provisional Licence)	1, 6
LEUNG Sze Man	BQO435	Licensed Representative (Provisional Licence)	1
LEUNG Tsz Yat	BPU176	Licensed Representative (Provisional Licence)	1
LEUNG Wang Ngai	BPY288	Licensed Representative (Provisional Licence)	1, 4
LEUNG Yat Sing	BQN230	Licensed Representative (Provisional Licence)	1
LI Cheong Wing	BIS547	Licensed Representative (Provisional Licence)	9
LI Chun Man, Anselm	ANM192	Licensed Representative (Provisional Licence)	2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Hiu Kwan	BQL096	Licensed Representative (Provisional Licence)	1
LI Jiaqi	BQP723	Licensed Representative (Provisional Licence)	1, 6
LI Mengyue	BQO383	Licensed Representative (Provisional Licence)	1, 6
LI Wei Bo	BQK707	Licensed Representative (Provisional Licence)	1, 4, 9
LI Wing Cheuk	BQM921	Licensed Representative (Provisional Licence)	1
LI Yangyang	BAA704	Licensed Representative (Provisional Licence)	1, 4, 6
LI Yu	BQN431	Licensed Representative (Provisional Licence)	1, 4, 6
LI Yun	BQL715	Licensed Representative (Provisional Licence)	2, 5
LI Zhongying	BQP158	Licensed Representative (Provisional Licence)	1, 4, 6
LIANG Baole	BQN216	Licensed Representative (Provisional Licence)	2
LIANG Kanghua	BQO223	Licensed Representative (Provisional Licence)	9
LIANG Shiming	BQN928	Licensed Representative (Provisional Licence)	9
LIN Chen	BQM712	Licensed Representative (Provisional Licence)	1, 6
LIN Huanyu	BQO796	Licensed Representative (Provisional Licence)	4
LIN Hung Yu	BQO514	Licensed Representative (Provisional Licence)	1, 2
LIN Jiaqi	BQM973	Licensed Representative (Provisional Licence)	1, 2
LIU Bo	BGA920	Licensed Representative (Provisional Licence)	4, 9
LIU Chang	BQN232	Licensed Representative (Provisional Licence)	4
LIU Chengen	AMN873	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Chin Chung	BQN517	Licensed Representative (Provisional Licence)	1
LIU Qingxin	BQN269	Licensed Representative (Provisional Licence)	1, 4
LIU Sandra Siu Ching	BES752	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Xiao	BQM503	Licensed Representative (Provisional Licence)	1, 2
LIU Xiaoxiao	BQM504	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Xutong	BQP318	Licensed Representative (Provisional Licence)	1, 6
LU Wenyu	BQO594	Licensed Representative (Provisional Licence)	1, 4, 9
MAK Ho Yin	BQO864	Licensed Representative (Provisional Licence)	9
MAO Shaomeng	BQM505	Licensed Representative (Provisional Licence)	1, 4, 6
MAO Xiaotian	BQN676	Licensed Representative (Provisional Licence)	1
MC KERNAN Eamonn Peter	BQM936	Licensed Representative (Provisional Licence)	1
MEI Weikai	BQN708	Licensed Representative (Provisional Licence)	1, 2
MENG Kehan	BQK878	Licensed Representative (Provisional Licence)	4
MENG Yang	BQN760	Licensed Representative (Provisional Licence)	1, 2, 4
METCALFE Nicky Steven	BQK614	Licensed Representative (Provisional Licence)	1, 2
MO Guowei	BGC454	Licensed Representative (Provisional Licence)	6
NG Ka Lam, Karen	AIL331	Licensed Representative (Provisional Licence)	9
NG Ka Sing	BDX997	Licensed Representative (Provisional Licence)	1, 4
NG Keilem	BBL867	Licensed Representative (Provisional Licence)	9
NG Yu Hei	BNP568	Licensed Representative (Provisional Licence)	9
PAK Kwun Man	BQM696	Licensed Representative (Provisional Licence)	1, 4, 9
PANG Lisa	BQN440	Licensed Representative (Provisional Licence)	1, 4
PARK Woo Yeung	BER768	Licensed Representative (Provisional Licence)	1, 2
POON Wai Lim William	BQO865	Licensed Representative (Provisional Licence)	4, 9
QIAN Hongyu	BPK287	Licensed Representative (Provisional Licence)	1, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
QIU Chuqi	BMT435	Licensed Representative (Provisional Licence)	4, 9
QIU haoyang	BQO677	Licensed Representative (Provisional Licence)	1, 6
QUINN Joseph	BQP052	Licensed Representative (Provisional Licence)	1
RADZKI Michael	AUE954	Licensed Representative (Provisional Licence)	1
REN Minjian	BGX455	Licensed Representative (Provisional Licence)	4, 9
REN Xuemei	BQM610	Licensed Representative (Provisional Licence)	1, 4, 9
SAU Long Sze	BQO590	Licensed Representative (Provisional Licence)	1, 4, 9
SCOTT Andrew	BBT921	Licensed Representative (Provisional Licence)	9
SHANG Linzheng	BON473	Licensed Representative (Provisional Licence)	1, 6
SHANG Xin	ADT752	Licensed Representative (Provisional Licence)	9
SHENG Chen	BQO794	Licensed Representative (Provisional Licence)	6
SHI Daoyi	BQM728	Licensed Representative (Provisional Licence)	1, 4
SHI Fengyuan	BQO779	Licensed Representative (Provisional Licence)	4
SINGH Swati	BQO333	Licensed Representative (Provisional Licence)	1, 4, 9
SONG Denghui	BQO388	Licensed Representative (Provisional Licence)	1, 6
SONG Jingru	BQI321	Licensed Representative (Provisional Licence)	4
SRIVASTAVA Tarun	BQN014	Licensed Representative (Provisional Licence)	1, 2
SU Geni	BQM987	Licensed Representative (Provisional Licence)	2, 5
SUN Jia	BOL365	Licensed Representative (Provisional Licence)	4
SUN Jinqi	BQM292	Licensed Representative (Provisional Licence)	4
SUN Ning	BQM700	Licensed Representative (Provisional Licence)	1, 2, 7
SUN Ran	AUZ782	Licensed Representative (Provisional Licence)	1, 4, 9
SUN Yuchen	BQM668	Licensed Representative (Provisional Licence)	6
SUNDARESAN Balasubramanian	BDO592	Licensed Representative (Provisional Licence)	1, 2
SZE Chung Lok	BQO516	Licensed Representative (Provisional Licence)	1, 4, 9
TAN Jieyu	BQD366	Licensed Representative (Provisional Licence)	4, 9
TAN Li	BQM511	Licensed Representative (Provisional Licence)	4, 5, 9
TANG Chun Chung	BQI178	Licensed Representative (Provisional Licence)	1, 2
TANG Joyce	BQN556	Licensed Representative (Provisional Licence)	1
TANG Pak Lun	BQM923	Licensed Representative (Provisional Licence)	1
TAO Leyi	BQP304	Licensed Representative (Provisional Licence)	1, 6
TAPADIA Rishabh	BQM671	Licensed Representative (Provisional Licence)	1, 2, 4
TIAN Yun	BQO021	Licensed Representative (Provisional Licence)	1, 4, 6
TING Kenneth Alan	BQO439	Licensed Representative (Provisional Licence)	1, 2
TSANG Chi Kin	BQI640	Licensed Representative (Provisional Licence)	1, 6
TSE Pui Ying	BBL725	Licensed Representative (Provisional Licence)	1, 4, 9
TSOI Wai Ling Wendy	BQM236	Licensed Representative (Provisional Licence)	1
TSUI Melanie Pui- Chi	BQK519	Licensed Representative (Provisional Licence)	10
TSUI Moon Sze	BDK825	Licensed Representative (Provisional Licence)	1
TU Kehan	BQP408	Licensed Representative (Provisional Licence)	1, 4, 6
VICTOR Meaghan Elizabeth	BQG703	Licensed Representative (Provisional Licence)	1, 4
WAN Ting Chau	BCF606	Licensed Representative (Provisional Licence)	1, 6
WANG Fan	BQN765	Licensed Representative (Provisional Licence)	6
WANG Fan	BQO517	Licensed Representative (Provisional Licence)	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Fang	BQN774	Licensed Representative (Provisional Licence)	1, 2, 4, 5
WANG Kaifan	BNR810	Licensed Representative (Provisional Licence)	4, 9
WANG Kaifeng	BQO197	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Lin	BQM410	Licensed Representative (Provisional Licence)	4
WANG Shiyu	BQN767	Licensed Representative (Provisional Licence)	1, 6
WANG Shuo	BQO355	Licensed Representative (Provisional Licence)	1, 2
WANG Zi	BQM253	Licensed Representative (Provisional Licence)	1, 4, 9
WEI Daren	BOI623	Licensed Representative (Provisional Licence)	1, 2
WEI Ming-De	AHX270	Licensed Representative (Provisional Licence)	1, 2, 4, 5
WENG Anyang	BQM491	Licensed Representative (Provisional Licence)	1, 4, 6
WENG Daiqun	BQJ796	Licensed Representative (Provisional Licence)	9
WONG Chi Yuen	BNJ009	Licensed Representative (Provisional Licence)	9
WONG Chun Ho	BCA257	Licensed Representative (Provisional Licence)	1, 4
WONG Gabriel Kun Yung	BQO490	Licensed Representative (Provisional Licence)	4
WONG Hau Wan	BQK802	Licensed Representative (Provisional Licence)	1, 4
WONG KA KIT	BQP221	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Pang Chak	BQN713	Licensed Representative (Provisional Licence)	1, 4
WONG Tse Hei	BQL404	Licensed Representative (Provisional Licence)	1, 2
WONG Wai San Wilson	BQI652	Licensed Representative (Provisional Licence)	4, 9
WONG Yet Chit Ray	BPV151	Licensed Representative (Provisional Licence)	9
WOO Elliott Tak On	AYR304	Licensed Representative (Provisional Licence)	9
WU Bangxian	BQP573	Licensed Representative (Provisional Licence)	1, 2, 4, 9
WU Ka Chun	BQN943	Licensed Representative (Provisional Licence)	10
WU Wenjing	BQO867	Licensed Representative (Provisional Licence)	1, 2
WU William Chen-Xi	BKW322	Licensed Representative (Provisional Licence)	1, 4
WU Xinyu	BQO318	Licensed Representative (Provisional Licence)	1, 4, 9
XIAO Di	BNH359	Licensed Representative (Provisional Licence)	1, 4
XIAO Jie	BOJ277	Licensed Representative (Provisional Licence)	1, 2, 4
XIE Yuan	BQO315	Licensed Representative (Provisional Licence)	1, 4, 9
XU Lei Te	BQN315	Licensed Representative (Provisional Licence)	9
XU Sihan	BQO141	Licensed Representative (Provisional Licence)	1, 6
XU Yaxin	BQI374	Licensed Representative (Provisional Licence)	4, 9
XU Yingzhi	BQN731	Licensed Representative (Provisional Licence)	4
YAN Men Hin	AZF773	Licensed Representative (Provisional Licence)	9
YANG Qian	BQN570	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Qincheng	BQO852	Licensed Representative (Provisional Licence)	1, 4
YANG Sen	BQN491	Licensed Representative (Provisional Licence)	1, 4
YANG Wenxuan	BQL513	Licensed Representative (Provisional Licence)	1, 2
YAO Hiu Tsun Nelson	BQN502	Licensed Representative (Provisional Licence)	4, 9
YAO Lifang	BQN229	Licensed Representative (Provisional Licence)	1, 4, 9
YAO Peirong	AXB357	Licensed Representative (Provisional Licence)	1, 2
YAZDANPANAHI Mehdi	BQO369	Licensed Representative (Provisional Licence)	1, 2
YE Zhuoying Susie	BEW110	Licensed Representative (Provisional Licence)	1, 6
YIP Sze Ki	ACL453	Licensed Representative (Provisional Licence)	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	License Type	Types of Regulated Activity
YU Chun Wai	ATC259	Licensed Representative (Provisional Licence)	1, 2
YU Jiaao	BQN383	Licensed Representative (Provisional Licence)	1, 6
YU Mou Chih	BOB158	Licensed Representative (Provisional Licence)	1, 4
YU Shuyi	BQM230	Licensed Representative (Provisional Licence)	1
YU Wai Sun	BFY027	Licensed Representative (Provisional Licence)	1, 2
YUAN Tingnan	BQN693	Licensed Representative (Provisional Licence)	1, 2
YUNG Muk Tsz	AUB778	Licensed Representative (Provisional Licence)	4
ZENG Jie	BQN348	Licensed Representative (Provisional Licence)	1, 4
ZENG Zhan	BCZ088	Licensed Representative (Provisional Licence)	4
ZHANG Bolun	BQM776	Licensed Representative (Provisional Licence)	1, 4
ZHANG Chiheng	BQO230	Licensed Representative (Provisional Licence)	9
ZHANG Haibin	BQM497	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Lingxi	BQO411	Licensed Representative (Provisional Licence)	1
ZHANG Lu	BNY936	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Mo	BQM965	Licensed Representative (Provisional Licence)	4
ZHANG Rongrong	BQN442	Licensed Representative (Provisional Licence)	1
ZHANG Tianqing	BMW075	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yudan	BPB714	Licensed Representative (Provisional Licence)	1, 2, 4, 5
ZHANG Zipei	BQM492	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Zongbao	BQN453	Licensed Representative (Provisional Licence)	1, 4, 6
ZHAO Han	BQO604	Licensed Representative (Provisional Licence)	1, 6
ZHAO Jing	BQO361	Licensed Representative (Provisional Licence)	1, 6
ZHAO Lu	BQN189	Licensed Representative (Provisional Licence)	1, 4, 6
ZHAO Yuan	BQK009	Licensed Representative (Provisional Licence)	6
ZHENG XUEXUAN	BQP240	Licensed Representative (Provisional Licence)	4
ZHOU Jiayu	BQN227	Licensed Representative (Provisional Licence)	1, 6
ZHOU Sihong	BQO018	Licensed Representative (Provisional Licence)	9
ZHOU Yan	BPW935	Licensed Representative (Provisional Licence)	6
ZHOU Yang	BNF447	Licensed Representative (Provisional Licence)	1
ZHU HONGHAI	BQO264	Licensed Representative (Provisional Licence)	1, 4, 9
ZHU Xue	BQM514	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
ZHU Yihan	BQO472	Licensed Representative (Provisional Licence)	1
ZHU Youwei	BQN783	Licensed Representative (Provisional Licence)	1, 2
LIAO John Bond Jonathan	BQF096	Licensed Representative (Temporary Licence)	1, 2