

CE Name	CE No.	Licence Type	Types of Regulated Activity
AB Capital Investment Limited	BQJ666	Licensed Corporation	9
Alvarez & Marsal Corporate Finance Limited	BQF569	Licensed Corporation	6
Aspoon Capital Limited	BQK362	Licensed Corporation	9
DBG Investment Limited	BPL949	Licensed Corporation	4, 9
Jane Street Asia Pacific Limited	BPL628	Licensed Corporation	1, 2
Kingdom Securities Limited	BQB316	Licensed Corporation	1
LC Management (International) Limited	BQJ891	Licensed Corporation	4, 9
Logic Global Assets Management Limited	BQC462	Licensed Corporation	9
Maxa Asset Management Limited	BQE541	Licensed Corporation	4, 9
Mighty Divine Securities Limited	BQH291	Licensed Corporation	1
New Thinking (HONG KONG) Investment Management Co. Limited	BQH043	Licensed Corporation	9
RaffAello Investment Management (HK) Limited	BQG355	Licensed Corporation	9
Shanghai Securities (Hong Kong) Limited	BQK277	Licensed Corporation	1, 4
Silverlion Capital (Hong Kong) Limited	BQB313	Licensed Corporation	9
Silverlion Securities Limited	BQB312	Licensed Corporation	1, 4
Sun Hung Kai Capital Partners Limited	BQL519	Licensed Corporation	1, 9
Tashi Capital Management Limited	BQM048	Licensed Corporation	9
Trenda Corporate Finance Limited	BQB884	Licensed Corporation	6
Universal Unite Wealth Management Limited	BQE621	Licensed Corporation	4, 9
Win Full Investment Management Group Limited	BPM324	Licensed Corporation	4, 9
YBN Asset Management Limited	BPI582	Licensed Corporation	4, 9
ZCH Global Capital Limited	BPJ907	Licensed Corporation	1, 4, 9
Banque Pictet & Cie SA	BMG891	Registered Institution	1, 4, 9
Hua Xia Bank Co., Limited	BDQ794	Registered Institution	1, 4
AIRAULT Ferdinand-clovis Leonard	BQS807	Licensed Representative	1, 2
ATTING Lars Erik Fredrik	AHC686	Licensed Representative	1, 4
AU Pui Shan	BQT181	Licensed Representative	1, 2
AU Shing Wai	BQU533	Licensed Representative	1
BAIK Kyungwon	BQQ774	Licensed Representative	1, 4
BAJA Lauro III Castro	AFL365	Licensed Representative	6
BARK Wilhelmus Albertus Joseph	BQT483	Licensed Representative	9
BEDFORD Charles Ellwood	BQR929	Licensed Representative	9
BHANOT Rohan	BQQ390	Licensed Representative	1, 4, 7
BOZOU Sacha Ludovic Samuel	BQT184	Licensed Representative	1, 2
BROGGI Alexandre Francois Joseph	BQT198	Licensed Representative	1, 4, 9
BRUECK Hannah Madeleine	BQS589	Licensed Representative	1, 2, 4, 5
CAI Yixin	BQT023	Licensed Representative	1, 4, 6
CHAK Wai Wing	AIX144	Licensed Representative	1, 2
CHAN Chun Lin, Johnny	BFO992	Licensed Representative	4, 9
CHAN Hoi Wa	BQR237	Licensed Representative	1
CHAN Ka Fai	BCL632	Licensed Representative	1, 4, 6
CHAN Kai Kok Kenny	BNH727	Licensed Representative	1, 2, 4



CHAN Trun Hong	ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS D	0111110 0072021		T
DEMIN Year   DEMIN	CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Victor Chi Chin   BGPR47   Lorented Representative   1, 4	CHAN Tsun Heng	BQS279	Licensed Representative	9
CHAN Yor Harry	CHAN Tze Ho	BQN329	Licensed Representative	1, 4
BANY 1/14 Hang	CHAN Victor Chi Chin	BQT122	Licensed Representative	1
BOY433   Loonsed Representative   1	CHAN Yin Hong	BQP047	Licensed Representative	1, 4
Defen Ringin	CHAN Yuk Hang	BQN772	Licensed Representative	1, 4
December   December	CHANG Ka Ming	BQV433	Licensed Representative	1
CHEN Chipum	CHANG Yu-ching Alfred	BQT794	Licensed Representative	4, 5
CHEN Jian	CHEN Bingqin	BQQ976	Licensed Representative	1, 6
BQV832   Licensed Representative   1, 6	CHEN Chiyuan	BQV499	Licensed Representative	1, 6
Delign   D	CHEN Jia	BQT624	Licensed Representative	4
BASSIZ   Licensed Representative   6	CHEN Jiaqi	BQV632	Licensed Representative	1, 6
CHEN Cinhus	CHEN Kun	BQT597	Licensed Representative	2
BOV497   Licensed Representative   1,6	CHEN Mingshi	BQS912	Licensed Representative	6
BPL626   Licensed Representative   1, 4, 9	CHEN Qinhua	BQQ803	Licensed Representative	1, 2
Description	CHEN Qiqi	BQV497	Licensed Representative	1, 6
BQQ386   Licensed Representative   1, 6	CHEN Tiecheng	BPL626	Licensed Representative	1, 4, 9
BQT196   Licensed Representative   1, 4, 6	CHEN Weiyi	BQT519	Licensed Representative	9
CHEN Yifang BOS940 Licensed Representative 6 CHEN Yuhao BOR195 Licensed Representative 4 CHEN Zhixue AQ1855 Licensed Representative 1, 4, 9 CHENG Chun Mei BOT234 Licensed Representative 1, 4 CHENG Chun Mei ALO504 Licensed Representative 1, 4 CHENG Chung Lim ALO504 Licensed Representative 1, 2, 4 CHENG Man Tung AY0068 Licensed Representative 1, 2, 4 CHENG Siqi BOR089 Licensed Representative 4, 9 CHEUNG Chi Keung AOH118 Licensed Representative 4, 9 CHEUNG Chi Wan BOR030 Licensed Representative 1, 4 CHEUNG Chi Wan BOR030 Licensed Representative 1, 4 CHEUNG Chi Wan CHEUNG Chi Wan BOR030 Licensed Representative 1, 4 CHEUNG Chi Wan CHEUNG Chi Wan ANR812 Licensed Representative 1, 4 CHEUNG Chi Wan ANR812 Licensed Representative 1, 4 CHEUNG Chi Wan ANR812 Licensed Representative 1, 4 CHEUNG Chi Wan ANR812 Licensed Representative 1, 2 CHEUNG Man Man APF463 Licensed Representative 1, 2 CHEUNG Man Hin BOH407 Licensed Representative 1, 4, 9 CHEUNG Sze Fan AD1822 Licensed Representative 1, 4, 9 CHEUNG Wai Lap AXZ097 Licensed Representative 1, 4, 9 CHEUNG Wai Lap AXZ097 Licensed Representative 1, 4, 9 CHEUNG Yung Yung BOT579 Licensed Representative 1, 4, 9 CHEUNG Wai Lap AXZ097 Licensed Representative 1, 4, 9 CHEUNG Ruei-cheng BOZ703 Licensed Representative 1, 4, 9 CHI LICENSE Representative 1, 4, 9 CHEUNG Ruei-cheng BOZ703 Licensed Representative 1, 6 CHING Brian Kai-wing BOR221 Licensed Representative	CHEN Yajing	BQQ386	Licensed Representative	1, 6
CHEN Yuhao BOR195 Licensed Representative 1, 4, 9 CHEN Zhixue AQ1855 Licensed Representative 1, 4, 9 CHENG Chun Mei BGT234 Licensed Representative 1, 4 CHENG Chung Lim ALO504 Licensed Representative 1, 2, 4 CHENG Chung Lim AY0068 Licensed Representative 1, 2, 4 CHENG Siqi BGR089 Licensed Representative 4, 9 CHEUNG Chi Keung AOH118 Licensed Representative 4, 9 CHEUNG Chi Keung AOH118 Licensed Representative 1, 4 CHEUNG Chi Man BGR030 Licensed Representative 1, 4 CHEUNG Chi Man ANR812 Licensed Representative 1 CHEUNG Chi Man APF463 Licensed Representative 1 CHEUNG Kit Man APF463 Licensed Representative 1, 2 CHEUNG Man Hin BQH407 Licensed Representative 1, 4, 9 CHEUNG Sze Fan AD1822 Licensed Representative 1, 4, 9 CHEUNG Wai Lap AXZ097 Licensed Representative 1, 4, 9 CHEUNG Yung Yung BQT579 Licensed Representative 1, 4, 9 CHEUNG Yung Yung BQT579 Licensed Representative 1, 4, 9 CHEUNG Ruei-cheng BOZ703 Licensed Representative 1, 6 CHING Brian Kai-wing BGR221 Licensed Representative 1 CHEUNG Kie, Kenneth BJX151 Licensed Representative 9	CHEN Yewei	BQT196	Licensed Representative	1, 4, 6
CHEN Zhixue         AQI855         Licensed Representative         1, 4, 9           CHENG Chun Mei         BQT234         Licensed Representative         1, 4           CHENG Chung Lim         ALO504         Licensed Representative         1           CHENG Man Tung         AY0068         Licensed Representative         1, 2, 4           CHENG Siqi         BQR089         Licensed Representative         4           CHEUNG Chi Keung         AOH118         Licensed Representative         4, 9           CHEUNG Chi Keung         BQR030         Licensed Representative         1, 4           CHEUNG Chi Wan         BQR030         Licensed Representative         1, 4           CHEUNG Ching Tung         BPF924         Licensed Representative         1           CHEUNG Chun Man         ANR812         Licensed Representative         1           CHEUNG Chun Man         ANR812         Licensed Representative         1           CHEUNG Kit Man         APF463         Licensed Representative         1, 2           CHEUNG Kit Man         APF463         Licensed Representative         1           CHEUNG Man Hin         BQM407         Licensed Representative         1           CHEUNG Man Hin         BQH407         Licensed Representative         1, 4,	CHEN Yifang	BQS940	Licensed Representative	6
CHENG Chun Mei         BGT234         Licensed Representative         1, 4           CHENG Chung Lim         AL0504         Licensed Representative         1           CHENG Man Tung         AY0068         Licensed Representative         1, 2, 4           CHENG Siqi         BQR089         Licensed Representative         4           CHEUNG Chi Keung         AOH118         Licensed Representative         4, 9           CHEUNG Chi Wan         BQR030         Licensed Representative         1, 4           CHEUNG Ching Tung         BPF924         Licensed Representative         1, 4           CHEUNG Ching Tung         ANR812         Licensed Representative         1           CHEUNG Chun Man         ANR812         Licensed Representative         1           CHEUNG Chun Man         APF463         Licensed Representative         1           CHEUNG Kit Man         APF463         Licensed Representative         1, 2           CHEUNG Kit Man         APF463         Licensed Representative         1           CHEUNG Man Hin         BQS460         Licensed Representative         1           CHEUNG Wai Lap         AXZ097         Licensed Representative         1, 4, 9           CHEUNG Wai Lap         AXZ097         Licensed Representative         1,	CHEN Yuhao	BQR195	Licensed Representative	4
CHENG Chung Lim  ALO504  Licensed Representative  1, 2, 4  CHENG Man Tung  AY0068  Licensed Representative  1, 2, 4  CHEUNG Chi Keung  AOH118  Licensed Representative  4, 9  CHEUNG Chi Wan  CHEUNG Chi Wan  BQR030  Licensed Representative  1, 4  CHEUNG Ching Tung  BPF924  Licensed Representative  1, 4  CHEUNG Ching Tung  BPF924  Licensed Representative  1  CHEUNG Ching Man  ANR812  Licensed Representative  1  CHEUNG Hongxiang, Alex  BQR043  Licensed Representative  1  CHEUNG Kit Man  APF463  Licensed Representative  1  CHEUNG Kit Man  APF463  Licensed Representative  1  CHEUNG Man Hin  BQH407  Licensed Representative  1  CHEUNG Sze Fan  ADI822  Licensed Representative  1, 4, 9  CHEUNG Wai Lap  CHEUNG Wai Lap  AXZ097  Licensed Representative  1, 4, 9  CHEUNG Yung Yung  BQT579  Licensed Representative  1, 4, 9  CHEUNG Yung Yung  CHEVALIER Alexis Eric  BQT629  Licensed Representative  1, 4, 9  CHING Brian Kai-wing  BQR221  Licensed Representative  1  CHING Brian Kai-wing  BQR221  Licensed Representative  1  CHIUNG Brian Kai-wing  BJX151  Licensed Representative  9	CHEN Zhixue	AQI855	Licensed Representative	1, 4, 9
CHENG Man Tung         AY0068         Licensed Representative         1, 2, 4           CHENG Siqi         BQR089         Licensed Representative         4           CHEUNG Chi Keung         AOH118         Licensed Representative         4, 9           CHEUNG Chi Wan         BQR030         Licensed Representative         1, 4           CHEUNG Ching Tung         BPF924         Licensed Representative         1, 4           CHEUNG Chun Man         ANR812         Licensed Representative         1           CHEUNG Hongxiang, Alex         BQR043         Licensed Representative         1           CHEUNG Kit Man         APF463         Licensed Representative         1, 2           CHEUNG Lam Yuet         BQS460         Licensed Representative         1           CHEUNG Man Hin         BQH407         Licensed Representative         1           CHEUNG Sze Fan         ADI822         Licensed Representative         1, 4, 9           CHEUNG Wai Lap         AXZ097         Licensed Representative         1, 2, 4           CHEUNG Yung Yung         BQT629         Licensed Representative         1, 4, 9           CHEUNG Ruei-cheng         BQT629         Licensed Representative         1, 4, 9           CHIANG Ruei-cheng         BQT63         Licensed Represen	CHENG Chun Mei	BQT234	Licensed Representative	1, 4
CHENG Siqi         BQR089         Licensed Representative         4           CHEUNG Chi Keung         AOH118         Licensed Representative         4, 9           CHEUNG Chi Wan         BQR030         Licensed Representative         1, 4           CHEUNG Ching Tung         BPF924         Licensed Representative         1, 4           CHEUNG Chun Man         ANR812         Licensed Representative         1           CHEUNG Hongxiang, Alex         BQR043         Licensed Representative         1           CHEUNG Kit Man         APF463         Licensed Representative         1, 2           CHEUNG Lam Yuet         BQS460         Licensed Representative         1           CHEUNG Man Hin         BQH407         Licensed Representative         1           CHEUNG Sze Fan         ADI822         Licensed Representative         1, 4, 9           CHEUNG Wai Lap         AXZ097         Licensed Representative         1, 2, 4           CHEUNG Yung Yung         BQT579         Licensed Representative         1, 4           CHEVALIER Alexis Eric         BQT629         Licensed Representative         1, 4, 9           CHIANG Ruel-cheng         BOZ703         Licensed Representative         1, 6           CHING Brian Kai-wing         BQR221         Licensed Rep	CHENG Chung Lim	ALO504	Licensed Representative	1
CHEUNG Chi Keung  AOH118 Licensed Representative  4, 9  CHEUNG Chi Wan  BQR030 Licensed Representative  1, 4  CHEUNG Ching Tung  BPF924 Licensed Representative  1, 4  CHEUNG Chun Man  ANR812 Licensed Representative  1  CHEUNG Hongxiang, Alex  BQR043 Licensed Representative  1  CHEUNG Kit Man  APF463 Licensed Representative  1, 2  CHEUNG Lam Yuet  BQS460 Licensed Representative  1  CHEUNG Man Hin  BQH407 Licensed Representative  1  CHEUNG Sze Fan  ADI822 Licensed Representative  1, 4, 9  CHEUNG Wai Lap  AXZ097 Licensed Representative  1, 4, 9  CHEUNG Yung Yung  BQT579 Licensed Representative  1, 4  CHEUNG Yung Yung  CHEUNG Hongxie Eric  BQT629 Licensed Representative  1, 4, 9  CHING Ruei-cheng  BOZ703 Licensed Representative  1, 6  CHING Brian Kai-wing  CHING Brian Kai-wing  BJX151 Licensed Representative  9	CHENG Man Tung	AYO068	Licensed Representative	1, 2, 4
CHEUNG Chi Wan  BQR030 Licensed Representative  1, 4  CHEUNG Ching Tung  BPF924 Licensed Representative  1, 4  CHEUNG Chun Man  ANR812 Licensed Representative  1  CHEUNG Hongxiang, Alex  BQR043 Licensed Representative  1  CHEUNG Kit Man  APF463 Licensed Representative  1, 2  CHEUNG Kit Man  APF463 Licensed Representative  1, 2  CHEUNG Lam Yuet  BQS460 Licensed Representative  1  CHEUNG Man Hin  BQH407 Licensed Representative  1  CHEUNG Sze Fan  ADI822 Licensed Representative  1, 4, 9  CHEUNG Wai Lap  AXZ097 Licensed Representative  1, 4, 9  CHEUNG Yung Yung  BQT579 Licensed Representative  1, 4  CHEUNG Yung Yung  BQT629 Licensed Representative  1, 4, 9  CHINANG Ruei-cheng  BQR221 Licensed Representative  1, 6  CHING Brian Kai-wing  CHIU Ka Kui, Kenneth  BJX151 Licensed Representative  9	CHENG Siqi	BQR089	Licensed Representative	4
CHEUNG Ching Tung  BPF924 Licensed Representative  1, 4  CHEUNG Chun Man  ANR812 Licensed Representative  1  CHEUNG Hongxiang, Alex  BQR043 Licensed Representative  1  CHEUNG Kit Man  APF463 Licensed Representative  1, 2  CHEUNG Lam Yuet  BQS460 Licensed Representative  1  CHEUNG Man Hin  BQH407 Licensed Representative  1  CHEUNG Sze Fan  ADI822 Licensed Representative  1, 4, 9  CHEUNG Wai Lap  AXZ097 Licensed Representative  1, 4, 9  CHEUNG Yung Yung  BQT579 Licensed Representative  1, 4, 9  CHEUNG Yung Yung  CHEVALIER Alexis Eric  BQT629 Licensed Representative  1, 4, 9  CHING Brian Kai-wing  BQR221 Licensed Representative  1  CHIU Ka Kui, Kenneth  BJX151 Licensed Representative  9	CHEUNG Chi Keung	AOH118	Licensed Representative	4, 9
CHEUNG Chun Man  ANR812 Licensed Representative  1  CHEUNG Hongxiang, Alex  BQR043 Licensed Representative  1, 2  CHEUNG Kit Man  APF463 Licensed Representative  1, 2  CHEUNG Lam Yuet  BQS460 Licensed Representative  1  CHEUNG Man Hin  BQH407 Licensed Representative  1  CHEUNG Sze Fan  ADI822 Licensed Representative  1, 4, 9  CHEUNG Wai Lap  AXZ097 Licensed Representative  1, 2, 4  CHEUNG Yung Yung  CHEUNG Yung Yung  BQT579 Licensed Representative  1, 4, 9  CHEUNG Hexis Eric  BQT629 Licensed Representative  1, 4, 9  CHINA Ruei-cheng  BOZ703 Licensed Representative  1, 6  CHING Brian Kai-wing  CHIU Ka Kui, Kenneth  BJX151 Licensed Representative  9	CHEUNG Chi Wan	BQR030	Licensed Representative	1, 4
CHEUNG Hongxiang, Alex  CHEUNG Kit Man  APF463  Licensed Representative  1, 2  CHEUNG Lam Yuet  BQS460  Licensed Representative  1  CHEUNG Man Hin  BQH407  Licensed Representative  1  CHEUNG Sze Fan  ADI822  Licensed Representative  1, 4, 9  CHEUNG Wai Lap  AXZ097  Licensed Representative  1, 2, 4  CHEUNG Yung Yung  BQT579  Licensed Representative  1, 4, 9  CHEVALIER Alexis Eric  BQT629  Licensed Representative  1, 4, 9  CHIANG Ruei-cheng  BOZ703  Licensed Representative  1, 6  CHING Brian Kai-wing  BQR221  Licensed Representative  9	CHEUNG Ching Tung	BPF924	Licensed Representative	1, 4
CHEUNG Kit Man  APF463 Licensed Representative  1, 2  CHEUNG Lam Yuet  BQS460 Licensed Representative  1  CHEUNG Man Hin  BQH407 Licensed Representative  1  CHEUNG Sze Fan  ADI822 Licensed Representative  1, 4, 9  CHEUNG Wai Lap  CHEUNG Wai Lap  AXZ097 Licensed Representative  1, 2, 4  CHEUNG Yung Yung  BQT579 Licensed Representative  1, 4  CHEUNG CHEUNG Heines Eric  BQT629 Licensed Representative  1, 4, 9  CHIANG Ruei-cheng  BOZ703 Licensed Representative  1, 6  CHING Brian Kai-wing  BQR221 Licensed Representative  1  CHIU Ka Kui, Kenneth  BJX151 Licensed Representative  9	CHEUNG Chun Man	ANR812	Licensed Representative	1
CHEUNG Lam Yuet  BQS460 Licensed Representative  1  CHEUNG Man Hin  BQH407 Licensed Representative  1  CHEUNG Sze Fan  ADI822 Licensed Representative  1, 4, 9  CHEUNG Wai Lap  AXZ097 Licensed Representative  1, 2, 4  CHEUNG Yung Yung  BQT579 Licensed Representative  1, 4, 9  CHEVALIER Alexis Eric  BQT629 Licensed Representative  1, 4, 9  CHIANG Ruei-cheng  BOZ703 Licensed Representative  1, 6  CHING Brian Kai-wing  BQR221 Licensed Representative  1  CHIU Ka Kui, Kenneth  BJX151 Licensed Representative  9	CHEUNG Hongxiang, Alex	BQR043	Licensed Representative	1
CHEUNG Man Hin  BQH407 Licensed Representative  1  ADI822 Licensed Representative  1, 4, 9  CHEUNG Wai Lap  AXZ097 Licensed Representative  1, 2, 4  CHEUNG Yung Yung  BQT579 Licensed Representative  1, 4  CHEVALIER Alexis Eric  BQT629 Licensed Representative  1, 4, 9  CHIANG Ruei-cheng  BOZ703 Licensed Representative  1, 6  CHING Brian Kai-wing  BQR221 Licensed Representative  1  CHIU Ka Kui, Kenneth  BJX151 Licensed Representative  9	CHEUNG Kit Man	APF463	Licensed Representative	1, 2
CHEUNG Sze Fan  ADI822 Licensed Representative  1, 4, 9  CHEUNG Wai Lap  CHEUNG Yung Yung  BQT579 Licensed Representative  1, 4  CHEVALIER Alexis Eric  BQT629 Licensed Representative  1, 4, 9  CHIANG Ruei-cheng  BOZ703 Licensed Representative  1, 6  CHING Brian Kai-wing  BQR221 Licensed Representative  1  CHIU Ka Kui, Kenneth  BJX151 Licensed Representative  9	CHEUNG Lam Yuet	BQS460	Licensed Representative	1
CHEUNG Wai Lap  AXZ097 Licensed Representative  1, 2, 4  CHEUNG Yung Yung  BQT579 Licensed Representative  1, 4  CHEVALIER Alexis Eric  BQT629 Licensed Representative  1, 4, 9  CHIANG Ruei-cheng  BOZ703 Licensed Representative  1, 6  CHING Brian Kai-wing  BQR221 Licensed Representative  1  CHIU Ka Kui, Kenneth  BJX151 Licensed Representative  9	CHEUNG Man Hin	BQH407	Licensed Representative	1
CHEUNG Yung Yung  BQT579 Licensed Representative  1, 4  CHEVALIER Alexis Eric  BQT629 Licensed Representative  1, 4, 9  CHIANG Ruei-cheng  BOZ703 Licensed Representative  1, 6  CHING Brian Kai-wing  BQR221 Licensed Representative  1  CHIU Ka Kui, Kenneth  BJX151 Licensed Representative  9	CHEUNG Sze Fan	ADI822	Licensed Representative	1, 4, 9
CHEVALIER Alexis Eric BQT629 Licensed Representative 1, 4, 9  CHIANG Ruei-cheng BOZ703 Licensed Representative 1, 6  CHING Brian Kai-wing BQR221 Licensed Representative 1  CHIU Ka Kui, Kenneth BJX151 Licensed Representative 9	CHEUNG Wai Lap	AXZ097	Licensed Representative	1, 2, 4
CHIANG Ruei-cheng  BOZ703 Licensed Representative  1, 6  CHING Brian Kai-wing  BQR221 Licensed Representative  1  CHIU Ka Kui, Kenneth  BJX151 Licensed Representative  9	CHEUNG Yung Yung	BQT579	Licensed Representative	1, 4
CHING Brian Kai-wing BQR221 Licensed Representative 1  CHIU Ka Kui, Kenneth BJX151 Licensed Representative 9	CHEVALIER Alexis Eric	BQT629	Licensed Representative	1, 4, 9
CHIU Ka Kui, Kenneth BJX151 Licensed Representative 9	CHIANG Ruei-cheng	BOZ703	Licensed Representative	1, 6
	CHING Brian Kai-wing	BQR221	Licensed Representative	1
CHIU Kin Hei BQR925 Licensed Representative 1	CHIU Ka Kui, Kenneth	BJX151	Licensed Representative	9
	CHIU Kin Hei	BQR925	Licensed Representative	1



ADDITIONS OF LICENSED FERSONS/REGISTERED INSTITUTIONS D			
CE Name	CE No.	Licence Type	Types of Regulated Activity
CHIU Sing Wai	BQS274	Licensed Representative	1
CHOI Justin Anan	BQS338	Licensed Representative	1, 4, 6
CHOI Wai Sum	BQR291	Licensed Representative	1
CHOI Won Suk	AYP113	Licensed Representative	1
CHONG Ka Chun	BMV265	Licensed Representative	1, 2
CHONG Yee Wai	ARM326	Licensed Representative	9
CHONG Yi Chun	BQU916	Licensed Representative	1, 6
CHOW Cheuk Wang	BQU684	Licensed Representative	4
CHU Chun Kit	BBJ199	Licensed Representative	1, 4, 9
CHU Chun Pui, Jason	BQO412	Licensed Representative	1
CHU Ka Lok	BQQ842	Licensed Representative	9
CHU Pak Yin	BQQ628	Licensed Representative	1, 4
CHU Pei Yu Julian	BQQ769	Licensed Representative	1, 4, 6
CHUNG Ka Chun	BQR871	Licensed Representative	1
CHUNG Ka Pak	BQT173	Licensed Representative	1, 6
CONG Cong	BQP899	Licensed Representative	1, 4, 9
DASSANI Anuj	BQP740	Licensed Representative	1
DEGTIAREVA KSENIIA	BQR664	Licensed Representative	1, 4
DING Ding	BQS276	Licensed Representative	1, 4, 6
DING Nan	AVR827	Licensed Representative	1
DING Yanyuan	BQT821	Licensed Representative	9
DROPKIN Martin Baron	BQH284	Licensed Representative	1
DUAN Wei	BQT325	Licensed Representative	1, 4, 6
DUAN Yichun	BQQ797	Licensed Representative	2
FAN Da Jong	BQS779	Licensed Representative	1, 4
FAN Lili	AOT501	Licensed Representative	4, 9
FAN Zhuoyi	BQS689	Licensed Representative	1, 4
FANG Dan	BQU203	Licensed Representative	4, 9
FANG Zhou	BQV566	Licensed Representative	1, 2, 4
FENG Qifan	BQT565	Licensed Representative	1, 6
FONG Wai Ying, Vivian Catherine	BBT305	Licensed Representative	1, 4, 9
FU Minquan	BQT740	Licensed Representative	4, 9
FUNG Man Wa	BQV999	Licensed Representative	1, 2
GAO Chongguang	BQC580	Licensed Representative	4, 9
GAO Tengfei	BQS138	Licensed Representative	1, 4
GAO Xinye	BQT140	Licensed Representative	4
GAO Yu	BQR820	Licensed Representative	4
GOLDSHTEIN Simon	AWI075	Licensed Representative	1
GONZALES Desu Calvin Antonio	BQS456	Licensed Representative	1
GOULSTON Peter John	AGL234	Licensed Representative	1
GROUX Florian Rene Alexis	BQS949	Licensed Representative	1, 2
GU Ke	BQV104	Licensed Representative	1, 6
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ADDITIONS OF LICENSED FERSONS/REGISTERED INSTITUTIONS D			
CE Name	CE No.	Licence Type	Types of Regulated Activity
GULATI Naveen	BQP769	Licensed Representative	1, 4, 9
GUO Xingyou	BQR346	Licensed Representative	1, 4, 9
GUO Yijin	BQQ809	Licensed Representative	4, 9
GUO Yuanjing	BQV972	Licensed Representative	6
HAAS Harold, Gregory	ARW500	Licensed Representative	1, 2
HAN Chunxiao	BQT175	Licensed Representative	4
HAN Weizhou	BQR189	Licensed Representative	1, 4, 6
HE Hanxin	BQT747	Licensed Representative	1
HE Manli	BQR542	Licensed Representative	1
HO Po Kee	BCF701	Licensed Representative	1, 4, 9
HO Suet Li, Regina	BHI092	Licensed Representative	1, 4, 9
HO Sze Ting	BQR919	Licensed Representative	1, 2, 4
HO Wai Kam	BQQ765	Licensed Representative	4
HO Yui Ki	BIZ857	Licensed Representative	1, 2
HON lan Tin-yeung	BIC957	Licensed Representative	1
HONG Yancheng	AVS886	Licensed Representative	1, 2, 4, 5
HOU Chia-Lung	BQM396	Licensed Representative	1, 4
HU Di	BQT568	Licensed Representative	1, 6
HU Ka Lam	AEP558	Licensed Representative	1
HU Yi	BQS128	Licensed Representative	1, 2
HUANG Jen-te	ACE953	Licensed Representative	1, 4, 9
HUANG Mu	BQS336	Licensed Representative	9
HUANG Weiyi	BQS458	Licensed Representative	4
HUANG Xinyi	BNV279	Licensed Representative	1, 2, 4
HUANG Zixuan	BQT197	Licensed Representative	1
HUH Jeong Hoon	BQS590	Licensed Representative	1, 2
INAIDA Yosuke	ANT239	Licensed Representative	1, 4, 6
JEN Jian Ming, Alexander	BQT134	Licensed Representative	1
JENSEN Viktor Thor William	BED027	Licensed Representative	1
JEONG Shang Hyun	BQT138	Licensed Representative	1, 4
JIANG Fan	BQT738	Licensed Representative	9
JIANG Yue	BQR029	Licensed Representative	1, 4, 9
JIN Kailin	BQV911	Licensed Representative	1, 4, 6
KAO Yu Lap, Alan	BDL571	Licensed Representative	1, 2, 4
KE Qinming	BQR295	Licensed Representative	2
KIM Han Soo	BQQ935	Licensed Representative	1, 4
KO Kit Yan	BQN727	Licensed Representative	4, 9
KONG Cheuk Bun	BQN516	Licensed Representative	4, 9
KWAN Pak Hong	BQV428	Licensed Representative	1, 4, 6
KWAN Yuk Lun	ASY702	Licensed Representative	1, 2
KWOK Kwan Yee	BQT545	Licensed Representative	1, 4
LAI Carmen	AMN729	Licensed Representative	1, 4
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ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS D  CE Name	CE No.	Licence Type	Types of Regulated Activity
LAI Fu Chuen	BQR937	Licensed Representative	1
LAI Ka Kwan	ARE607	Licensed Representative	1, 2
LAI Yiu Kuen	BEK732	Licensed Representative	1, 2, 4
LAM Chiu Chun	BQT139	Licensed Representative	1
LAM Hei Tung	BQU131	Licensed Representative	1
LAM Mei Fai	ARG361	Licensed Representative	1
LAM Tsz Wai	BBN707	Licensed Representative	1, 4
LANG Shie-way	BBZ007	Licensed Representative	1, 4
LAU Bernard Yu Kwan	AFT473	Licensed Representative	9
LAU Hiu Ying, Virginia	BNO093	Licensed Representative	1
LAU Ho Man Jacky	BQS959	Licensed Representative	4, 9
LAU Shing Lok, Raymond	AND553	Licensed Representative	9
LAU Shu Ching Paul	BQR169	Licensed Representative	1, 4
LAU Wung Koon	ABY860	Licensed Representative	1, 2
LAU Yin Kwan	BQS032	Licensed Representative	1
LAW Hoi Kin	BQR296	Licensed Representative	4, 9
LAW Kai Hung	BQT141	Licensed Representative	1, 2
LEE Cheuk Yi	BQU922	Licensed Representative	1
LEE Hin Fai Frederick	BQB421	Licensed Representative	1, 2, 4
LEE Hiu Man	BQV423	Licensed Representative	1, 2
LEE Hyun Keun	BQW087	Licensed Representative	1
LEE Lau Yi	BQS914	Licensed Representative	1
LEE Ming Kai	BQV282	Licensed Representative	1, 2
LEE Miu Fan	AMZ208	Licensed Representative	1, 4
LEE So Bing Sylvia	BQR854	Licensed Representative	1, 2
LEE Sze Wing	BQR941	Licensed Representative	1, 4, 9
LEE Wing Him, Timothy	AYS240	Licensed Representative	1, 6
LEE Won Seok	BQU552	Licensed Representative	1, 6
LEI Linwen	BQP323	Licensed Representative	1
LEUNG Chi Wai	BCW209	Licensed Representative	1, 2, 4, 9
LEUNG Chun Man Boris	BFZ541	Licensed Representative	1, 6
LEUNG Chun Wing	BQR622	Licensed Representative	1, 4
LEUNG Hei Lok	BQR812	Licensed Representative	1, 2
LEUNG Ho Yan	BQM665	Licensed Representative	1, 4, 9
LEUNG Hong Lam	BQQ723	Licensed Representative	1, 4
LEUNG Lorraine Lok Yan	BQV388	Licensed Representative	1
LEUNG Shek Tin	BQP854	Licensed Representative	1, 2, 4
LEUNG Tik Fung	BJY456	Licensed Representative	4, 9
LI Changyu	BQT376	Licensed Representative	1, 4, 6
LI Chao	BQR891	Licensed Representative	1, 4
LI Cheong Faat	AYO609	Licensed Representative	1, 2, 9
LI Gengshuo	BQQ694	Licensed Representative	1, 2



Husban   BCO112   Liceraed Representative   1,2 7	CE Name	CE No.	Licence Type	Types of Regulated Activity
Description				
Lin			·	
Li Rivo Yu  I Shigu  Sel'N157  Sel'				
U Stokju         BEN197         Loensed Representative         1, 4, 9           U Stok Kim         AFW999         Loensed Representative         9           U Trao         BSA734         Loensed Representative         8           U Tray Trag         BS6776         Loensed Representative         1           U Tray Trag         BS6776         Loensed Representative         9           U Xiang         BLA865         Loensed Representative         9           U Xiang         BSC4734         Loensed Representative         1,2           U Xiang         BSQ4734         Loensed Representative         1,2           U Yinuo         BQ0646         Loensed Representative         1,4           U Yinuo         BQ0646         Loensed Representative         1,6           LANG Shihua         BQ08215         Loensed Representative         4           LANG Yuchen         BQ8215         Loensed Representative         1,4           LANG Yuchen         BQ9422         Loensed Representative         1,4           LANG Yuchen         BQ9832         Loensed Representative         1,4           LANG Yuchen         BQ9832         Loensed Representative         1,4           LANG Yuchen         BQ98321         Loense			'	
Line   December   De				
Train				
Li Tray Ting Li Tray Ting Li Tray Fan APV048 Li Licensed Representative 1 Li Xiang BUM865 Licensed Representative 9 1, 2 Li Xiang BUM865 Licensed Representative 1, 2 Li Xiang BUM374 Licensed Representative 1, 2 Li Xiang BUM374 Licensed Representative 1, 4 Li Xiang BUM374 Licensed Representative 1, 4 Li Xiang BUM374 Licensed Representative 1, 6 Li Xiang BUM375 Licensed Represe	LI Siu Kim	AFW899	Licensed Representative	9
Li Yaiu Fan APV048 Uconsed Representative 1 Li Xiang BLM865 Uconsed Representative 1,2 Li Xianan BAV430 Uconsed Representative 1,4 Li Xianan BAV430 Uconsed Representative 1,4 Li Xianan BAV430 Uconsed Representative 1,6 Li Xianan BAV430 Uconsed Representative 1,6 Li Xianan BAV431 Uconsed Representative 1,6 Li Xianan BAV440 Uconsed Representative 1,4 Li Xianan BAV440 Uconsed Representative 1,4,9 Li Xianan BAV440 Uconsed Representative 1,4,9 Li Xianan BAV440 Uconsed Representative 1,4,6 Li Xianan BAV440	LI Tao	BQA734	Licensed Representative	6
Li Xiang	LI Ting Ting	BQS776	Licensed Representative	1
Licensed Representative   1, 2	LI Tsui Fan	APV048	Licensed Representative	1
Li Niman	LI Xiang	BLM865	Licensed Representative	9
Li Yinuo BOQ646 Licensed Representative 1, 4  LIANG Shuming BOI062 Licensed Representative 1, 6  LIANG Shuming BOI062 Licensed Representative 1, 6  LIANG Shuming BOS215 Licensed Representative 4  LIANG Shuma BOS215 Licensed Representative 4  LIANG Vichen BOQ742 Licensed Representative 1, 4  LIANG Vichen BOQ742 Licensed Representative 1, 4  LIANG Vichen BOQ743 Licensed Representative 1, 4  LIANG Vichen BOQ743 Licensed Representative 1, 6  LIANG Vichen BOQ743 Licensed Representative 1, 6  LIANG Vichen BOQ743 Licensed Representative 1, 4  LIANG Shandong BOQ743 Licensed Representative 1, 4  LIANG Shandong BOQ740 Licensed Representative 1, 4  LIANG Vichen BOQ740 Licensed Representative 1, 4  LIANG Vichen BOQ740 Licensed Representative 1, 4, 6  LIANG Vichen BOQ741 Licensed Representative 1, 4, 6  LIANG Vichen BOQ740 Licensed Representative 1, 6  LIANG	LI Xiaoran	BQV430	Licensed Representative	1, 2
LIANG Shuming	LI Xinran	BQV734	Licensed Representative	1, 2
LIANG Zhonghiua         BOS215         Licensed Representative         4           LIANG Shihua         BUQ472         Licensed Representative         4           LIANG Yuchen         BOP942         Licensed Representative         1, 4           LIANG Yuchen         BOS238         Licensed Representative         1           LIANG Yichang         BOW831         Licensed Representative         1, 6           LIN Yohang         BOW831         Licensed Representative         1, 4           LIN Shadong         BOS371         Licensed Representative         1, 4           LIN Shabih Han         BON700         Licensed Representative         1, 4           LIN Wing Kai         BOT370         Licensed Representative         1, 7           LIN Shabih Han         BOT370         Licensed Representative         1, 7           LIN Dana         BOT370         Licensed Representative         1, 4, 6           LIU Dana         BOR374         Licensed Representative         1, 4, 6           LIU Jiayi         BOY190         Licensed Representative         4, 9           LIU Jing         BOX327         Licensed Representative         4, 4, 5, 9           LIU Jing         BOX3287         Licensed Representative         4, 9	LI Yinuo	BQQ646	Licensed Representative	1, 4
BJQ472   Licensed Representative   4	LIANG Shuming	BOI062	Licensed Representative	1, 6
LIAO Yuchen  BOP942 Licensed Representative  1, 4  LIAU May Ling, Jacqueline  BOS339 Licensed Representative  1, 6  LIN Yi Chang  BOV631 Licensed Representative  1, 4  LIN Shaelong  BOQ845 Licensed Representative  1, 4, 9  LIN Wing Kai  BON700 Licensed Representative  1, 7  LINDSAY George Singleton  BOT151 Licensed Representative  1, 4, 6  LIU Duan  BOQ847 Licensed Representative  1, 4, 6  LIU Duan  BOQ847 Licensed Representative  1, 4, 6  LIU Jiayi  BOV190 Licensed Representative  1, 4, 6  LIU Jiayi  BOV190 Licensed Representative  1, 4, 6  LIU Jiayi  BOV190 Licensed Representative  1, 4, 6  LIU LIU Licensed Representative  1, 4, 6  LIU LIU Licensed Representative  1, 4, 6  LIU LIU Licensed Representative  1, 4, 6  LIU Licensed Representative  4, 9  LIU Liu BOS592 Licensed Representative  1, 6  LIU Shangyu  BOQ867 Licensed Representative  1, 6  LIU Tanyi  BPF434 Licensed Representative  1, 4  Licensed Representative  1, 6  Licensed Representative  1,	LIANG Zhonghua	BQS215	Licensed Representative	4
LIAU May Ling. Jacqueline  BOS238  Licensed Representative  1, 6  LIN Po Man  BOS371  Licensed Representative  1, 4  LIN Shaolong  BOQ455  Licensed Representative  1, 4  LIN Shaolong  BOQ455  Licensed Representative  1, 4  LIN Shih Han  BON700  Licensed Representative  1, 4, 9  LIN Wing Kai  BOT370  Licensed Representative  1, 7  LICENSAY George Singleton  BOT151  Licensed Representative  1, 4, 6  LIU Dian  BOR374  Licensed Representative  1, 4, 6  LIU Juan  BOR374  Licensed Representative  1, 4, 6  LIU Juan  BOV121  Licensed Representative  1, 4, 6  LIU Jiayi  BOV190  Licensed Representative  1, 4, 6  LIU Jiayi  BOV190  Licensed Representative  1, 4, 6  LIU Licensed Representative  1, 4, 6  LIU Licensed Representative  1, 4, 6  LIU Jiayi  BOV190  Licensed Representative  1, 4, 6  LIU Licensed Representative  4, 9  Licensed Representative  4, 9  LIU Licensed Representative  1, 6  LIU Shangyu  BOV190  BOV190  Licensed Representative  1, 6  LIU Shangyu  BOV190  BOV190  Licensed Representative  1, 6  LIU Singleton  BOV190  Licensed Representative  1, 6  LIU Singleton  BOV190  Licensed Representative  1, 6  LIU Viel  LIU Xi  BOV190  Licensed Representative  1, 6  LIU Viel  LIU Xi  BOV190  Licensed Representative  1, 6  LICENSED Representative  1, 6  LICENSED Representative  1, 6  LICENSED Representative  1, 6  LIU Viel  LIU Viel  BOV190  Licensed Representative  1, 6  LICENSED Represent	LIAO Shihua	BJQ472	Licensed Representative	4
BQV631   Licensed Representative   1,6	LIAO Yuchen	BQP942	Licensed Representative	1, 4
BQS371   Licensed Representative   1, 4	LIAU May Ling, Jacqueline	BQS238	Licensed Representative	1
LIN Shaolong         BQQ845         Licensed Representative         1, 4           LIN Shih Han         BQN700         Licensed Representative         1, 4, 9           LIN Wing Kai         BQT370         Licensed Representative         1, 7           LIN DSAY George Singleton         BQT151         Licensed Representative         1           LIU Bohua         BQU721         Licensed Representative         1, 4, 6           LIU Duan         BQR374         Licensed Representative         1, 4, 6           LIU Ge         AXK573         Licensed Representative         4, 9           LIU Jiayi         BQV190         Licensed Representative         1, 4, 6           LIU Jing         BQT560         Licensed Representative         4           LIU Kedi         BQS297         Licensed Representative         4           LIU Li         BQS592         Licensed Representative         4, 9           LIU Shangyu         BQU687         Licensed Representative         1, 6           LIU Songbo         BQT635         Licensed Representative         1, 4           LIU Wei         BQT204         Licensed Representative         1, 4           LIU Xi         BQS782         Licensed Representative         1, 6           LIU Yang <td>LIM Yi Chang</td> <td>BQV631</td> <td>Licensed Representative</td> <td>1, 6</td>	LIM Yi Chang	BQV631	Licensed Representative	1, 6
LIN Shih Han         BON700         Licensed Representative         1, 4, 9           LIN Wing Kai         BOT370         Licensed Representative         1, 7           LIN DSAY George Singleton         BOT151         Licensed Representative         1           LIU Bohua         BQU721         Licensed Representative         1, 4, 6           LIU Duan         BQR374         Licensed Representative         4, 9           LIU Jayi         BQV190         Licensed Representative         4, 9           LIU Jing         BQT560         Licensed Representative         1, 4, 5, 9           LIU Kedi         BQS297         Licensed Representative         4           LIU Li         BQT700         Licensed Representative         4, 9           LIU Li         BQS592         Licensed Representative         4, 9           LIU Shangyu         BQU687         Licensed Representative         1, 6           LIU Songbo         BQT635         Licensed Representative         1, 4           LIU Wei         BQT204         Licensed Representative         1, 4           LIU Will Wei         BQT635         Licensed Representative         1, 4           LIU Yang         BQS762         Licensed Representative         1, 4           LIU Yan	LIN Po Man	BQS371	Licensed Representative	1, 4
BQT370   Licensed Representative   1, 7	LIN Shaolong	BQQ845	Licensed Representative	1, 4
LINDSAY George Singleton         BQT151         Licensed Representative         1           LIU Bohua         BQU721         Licensed Representative         1, 4, 6           LIU Duan         BQR374         Licensed Representative         1, 4, 6           LIU Ge         AXK573         Licensed Representative         4, 9           LIU Jiayi         BQV190         Licensed Representative         1, 4, 6           LIU Jing         BQT560         Licensed Representative         4           LIU Kedi         BQS297         Licensed Representative         4           LIU Li         BQT700         Licensed Representative         4, 9           LIU Li         BQS592         Licensed Representative         4, 9           LIU Shangyu         BQU687         Licensed Representative         1, 6           LIU Songbo         BQT635         Licensed Representative         1, 4           LIU Tianyi         BPF434         Licensed Representative         9           LIU Wei         BQT204         Licensed Representative         1, 4           LIU Yang         BQR881         Licensed Representative         1, 6           LIU Yang         BQT62         Licensed Representative         1, 6           LIU Yhoofin	LIN Shih Han	BQN700	Licensed Representative	1, 4, 9
LIU Bohua         BQU721         Licensed Representative         1, 4, 6           LIU Duan         BQR374         Licensed Representative         1, 4, 6           LIU Ge         AXK573         Licensed Representative         4, 9           LIU Jiayi         BQV190         Licensed Representative         1, 4, 6           LIU Jing         BQT560         Licensed Representative         1, 4, 5, 9           LIU Kedi         BQS297         Licensed Representative         4           LIU Li         BQS297         Licensed Representative         4, 9           LIU Li         BQS592         Licensed Representative         4, 9           LIU Shangyu         BQ4687         Licensed Representative         1, 6           LIU Songbo         BQ7635         Licensed Representative         1, 4           LIU Tianyi         BPF434         Licensed Representative         9           LIU Wei         BQ7204         Licensed Representative         1, 4           LIU Yi         BQ8782         Licensed Representative         1, 6           LIU Yang         BQ762         Licensed Representative         1, 2, 4           LIU Yitong         BQ8941         Licensed Representative         1, 2, 4           LIU Zhuolin <t< td=""><td>LIN Wing Kai</td><td>BQT370</td><td>Licensed Representative</td><td>1, 7</td></t<>	LIN Wing Kai	BQT370	Licensed Representative	1, 7
LIU Duan         BQR374         Licensed Representative         1, 4, 6           LIU Ge         AXK573         Licensed Representative         4, 9           LIU Jiayi         BQV190         Licensed Representative         1, 4, 6           LIU Jing         BQT560         Licensed Representative         1, 4, 5, 9           LIU Kedi         BQS297         Licensed Representative         4           LIU Lei         BQT700         Licensed Representative         4, 9           LIU Li         BQS592         Licensed Representative         4, 9           LIU Shangyu         BQU687         Licensed Representative         1, 6           LIU Songbo         BQT635         Licensed Representative         1, 4           LIU Tianyi         BPF434         Licensed Representative         9           LIU Wei         BQT204         Licensed Representative         1, 4           LIU Xi         BQS782         Licensed Representative         4           LIU Yang         BQP881         Licensed Representative         1, 6           LIU Yitong         BQT162         Licensed Representative         1, 2, 4           LIU Zhuolin         BQS941         Licensed Representative         9	LINDSAY George Singleton	BQT151	Licensed Representative	1
LIU Ge         AXK573         Licensed Representative         4,9           LIU Jiayi         BQV190         Licensed Representative         1,4,6           LIU Jing         BQT560         Licensed Representative         1,4,5,9           LIU Kedi         BQS297         Licensed Representative         4           LIU Lei         BQT700         Licensed Representative         4,9           LIU Li         BQS592         Licensed Representative         4,9           LIU Shangyu         BQU687         Licensed Representative         1,6           LIU Songbo         BQT635         Licensed Representative         1,4           LIU Tianyi         BPF434         Licensed Representative         9           LIU Wei         BQT204         Licensed Representative         1,4           LIU Xi         BQS782         Licensed Representative         4           LIU Yang         BQP881         Licensed Representative         1,6           LIU Yitong         BQT162         Licensed Representative         1,2,4           LIU Zhuolin         BQS941         Licensed Representative         9	LIU Bohua	BQU721	Licensed Representative	1, 4, 6
LIU Jiayi       BQV190       Licensed Representative       1, 4, 6         LIU Jing       BQT560       Licensed Representative       1, 4, 5, 9         LIU Kedi       BQS297       Licensed Representative       4         LIU Lei       BQT700       Licensed Representative       4, 9         LIU Li       BQS592       Licensed Representative       4, 9         LIU Shangyu       BQU687       Licensed Representative       1, 6         LIU Songbo       BQT635       Licensed Representative       1, 4         LIU Tianyi       BPF434       Licensed Representative       9         LIU Wei       BQT204       Licensed Representative       1, 4         LIU Xi       BQS782       Licensed Representative       4         LIU Yang       BQP881       Licensed Representative       1, 6         LIU Yitong       BQT162       Licensed Representative       1, 2, 4         LIU Zhuolin       BQS941       Licensed Representative       4         LO Hiu Tung, Karen       AXH227       Licensed Representative       9	LIU Duan	BQR374	Licensed Representative	1, 4, 6
LIU Jing         BQT560         Licensed Representative         1, 4, 5, 9           LIU Kedi         BQS297         Licensed Representative         4           LIU Lei         BQT700         Licensed Representative         4, 9           LIU Li         BQS592         Licensed Representative         4, 9           LIU Shangyu         BQU687         Licensed Representative         1, 6           LIU Songbo         BQT635         Licensed Representative         1, 4           LIU Tianyi         BPF434         Licensed Representative         9           LIU Wei         BQT204         Licensed Representative         1, 4           LIU Xi         BQS782         Licensed Representative         4           LIU Yang         BQP881         Licensed Representative         1, 6           LIU Yitong         BQT162         Licensed Representative         1, 2, 4           LIU Zhuolin         BQS941         Licensed Representative         4           LO Hiu Tung, Karen         AXH227         Licensed Representative         9	LIU Ge	AXK573	Licensed Representative	4, 9
LIU Kedi         BQS297         Licensed Representative         4           LIU Lei         BQT700         Licensed Representative         4, 9           LIU Li         BQS592         Licensed Representative         4, 9           LIU Shangyu         BQU687         Licensed Representative         1, 6           LIU Songbo         BQT635         Licensed Representative         1, 4           LIU Tianyi         BPF434         Licensed Representative         9           LIU Wei         BQT204         Licensed Representative         1, 4           LIU Xi         BQS782         Licensed Representative         4           LIU Yang         BQP881         Licensed Representative         1, 6           LIU Yitong         BQT162         Licensed Representative         1, 2, 4           LIU Zhuolin         BQS941         Licensed Representative         4           LO Hiu Tung, Karen         AXH227         Licensed Representative         9	LIU Jiayi	BQV190	Licensed Representative	1, 4, 6
LIU Lei BQT700 Licensed Representative 4, 9  LIU Li BQS592 Licensed Representative 4, 9  LIU Shangyu BQU687 Licensed Representative 1, 6  LIU Songbo BQT635 Licensed Representative 1, 4  LIU Tianyi BPF434 Licensed Representative 9  LIU Wei BQT204 Licensed Representative 1, 4  LIU Xi BQS782 Licensed Representative 4  LIU Yang BQP881 Licensed Representative 4  LIU Yitong BQT162 Licensed Representative 1, 6  LIU Tianyi Licensed Representative 4  LIU Yitong BQT162 Licensed Representative 1, 2, 4  LIU Tianyi Licensed Representative 9  LIU Yitong BQS941 Licensed Representative 9	LIU Jing	BQT560	Licensed Representative	1, 4, 5, 9
LIU Li BQS592 Licensed Representative 4, 9  LIU Shangyu BQU687 Licensed Representative 1, 6  LIU Songbo BQT635 Licensed Representative 1, 4  LIU Tianyi BPF434 Licensed Representative 9  LIU Wei BQT204 Licensed Representative 1, 4  LIU Xi BQS782 Licensed Representative 4  LIU Yang BQP881 Licensed Representative 1, 6  LIU Yitong BQT162 Licensed Representative 1, 2, 4  LIU Zhuolin BQS941 Licensed Representative 4  LO Hiu Tung, Karen AXH227 Licensed Representative 9	LIU Kedi	BQS297	Licensed Representative	4
LIU Shangyu         BQU687         Licensed Representative         1, 6           LIU Songbo         BQT635         Licensed Representative         1, 4           LIU Tianyi         BPF434         Licensed Representative         9           LIU Wei         BQT204         Licensed Representative         1, 4           LIU Xi         BQS782         Licensed Representative         4           LIU Yang         BQP881         Licensed Representative         1, 6           LIU Yitong         BQT162         Licensed Representative         1, 2, 4           LIU Zhuolin         BQS941         Licensed Representative         4           LO Hiu Tung, Karen         AXH227         Licensed Representative         9	LIU Lei	BQT700	Licensed Representative	4, 9
LIU Songbo         BQT635         Licensed Representative         1, 4           LIU Tianyi         BPF434         Licensed Representative         9           LIU Wei         BQT204         Licensed Representative         1, 4           LIU Xi         BQS782         Licensed Representative         4           LIU Yang         BQP881         Licensed Representative         1, 6           LIU Yitong         BQT162         Licensed Representative         1, 2, 4           LIU Zhuolin         BQS941         Licensed Representative         4           LO Hiu Tung, Karen         AXH227         Licensed Representative         9	LIU Li	BQS592	Licensed Representative	4, 9
LIU Tianyi         BPF434         Licensed Representative         9           LIU Wei         BQT204         Licensed Representative         1, 4           LIU Xi         BQS782         Licensed Representative         4           LIU Yang         BQP881         Licensed Representative         1, 6           LIU Yitong         BQT162         Licensed Representative         1, 2, 4           LIU Zhuolin         BQS941         Licensed Representative         4           LO Hiu Tung, Karen         AXH227         Licensed Representative         9	LIU Shangyu	BQU687	Licensed Representative	1, 6
LIU Wei         BQT204         Licensed Representative         1, 4           LIU Xi         BQS782         Licensed Representative         4           LIU Yang         BQP881         Licensed Representative         1, 6           LIU Yitong         BQT162         Licensed Representative         1, 2, 4           LIU Zhuolin         BQS941         Licensed Representative         4           LO Hiu Tung, Karen         AXH227         Licensed Representative         9	LIU Songbo	BQT635	Licensed Representative	1, 4
LIU Xi         BQS782         Licensed Representative         4           LIU Yang         BQP881         Licensed Representative         1, 6           LIU Yitong         BQT162         Licensed Representative         1, 2, 4           LIU Zhuolin         BQS941         Licensed Representative         4           LO Hiu Tung, Karen         AXH227         Licensed Representative         9	LIU Tianyi	BPF434	Licensed Representative	9
LIU Yang         BQP881         Licensed Representative         1, 6           LIU Yitong         BQT162         Licensed Representative         1, 2, 4           LIU Zhuolin         BQS941         Licensed Representative         4           LO Hiu Tung, Karen         AXH227         Licensed Representative         9	LIU Wei	BQT204	Licensed Representative	1, 4
LIU Yitong BQT162 Licensed Representative 1, 2, 4  LIU Zhuolin BQS941 Licensed Representative 4  LO Hiu Tung, Karen AXH227 Licensed Representative 9	LIU Xi	BQS782	Licensed Representative	4
LIU Zhuolin BQS941 Licensed Representative 4  LO Hiu Tung, Karen AXH227 Licensed Representative 9	LIU Yang	BQP881	Licensed Representative	1, 6
LIU Zhuolin BQS941 Licensed Representative 4  LO Hiu Tung, Karen AXH227 Licensed Representative 9	LIU Yitong	BQT162	Licensed Representative	1, 2, 4
	LIU Zhuolin	BQS941	Licensed Representative	
	LO Hiu Tung, Karen	AXH227	Licensed Representative	9
	LO Kwun Bui Lorraine		Licensed Representative	1, 2



ADDITIONS OF LICENSED FERSONS/REGISTERED INSTITUTIONS D			
CE Name	CE No.	Licence Type	Types of Regulated Activity
LO Maverick Ho Yeung	BQS591	Licensed Representative	1, 2
LO Mei Suet	BQU460	Licensed Representative	1, 9
LO Wai Hong	BQW067	Licensed Representative	1
LO Wing	APR851	Licensed Representative	1, 6
LU Chia-yen	BQL538	Licensed Representative	2
LU Qingzi	BQS038	Licensed Representative	6
LUI June Yi Vivian	BGD194	Licensed Representative	1
LUK Kwok San	BJW652	Licensed Representative	4, 9
LUK Wai Kei, Vicky	ALH855	Licensed Representative	1, 4
LUKE Chi Man, Carmen	BQR042	Licensed Representative	1, 4, 9
LUO Yuejiang	BQR826	Licensed Representative	4
LUO Zhenyu	BQT894	Licensed Representative	1, 4, 6
LYU Siyu	BPM479	Licensed Representative	4, 9
MAK Ching Kiu	BQR617	Licensed Representative	1, 4
MAK Chung Yee	BQR099	Licensed Representative	6
MAU Ka Ling	BKY894	Licensed Representative	1, 2
MIAO Cheng Ying	BQQ256	Licensed Representative	1, 4, 6
MINOURA Takaya	BQQ823	Licensed Representative	9
MO Wenyu	BQT625	Licensed Representative	4
MO YUQIAN	BQR980	Licensed Representative	4, 9
MOK Po Tak	BHB450	Licensed Representative	4, 9
MOSER Zane Josef	BQT205	Licensed Representative	1
NAKAJIMA Seiji	BQN689	Licensed Representative	1, 4, 6
NEO Choon Hoong, Colin	BQS037	Licensed Representative	4
NG Hui Heong	ASL101	Licensed Representative	1
NG Pak Yin Steven	BQU557	Licensed Representative	1, 4, 9
NG Sai Yip, Justin	APL249	Licensed Representative	1, 4, 9
NG Shing Yuk	BQT179	Licensed Representative	1, 2
NG Shum Tin, Agnes	ATI906	Licensed Representative	1, 4
NG Wai To	BQS381	Licensed Representative	1
NG Ying Hin	BQV424	Licensed Representative	1
NGAN Siu Hang	BQV992	Licensed Representative	1, 2
NI Yichen	BQV913	Licensed Representative	1, 4, 6
NIE Wenqi	BQT663	Licensed Representative	1
OH Kangseok	BQT177	Licensed Representative	1, 6
OUYANG Jiashu	BQV500	Licensed Representative	1, 4, 9
OW Jia Ho Eddie	BQT631	Licensed Representative	4
PENG Lulu	BJT944	Licensed Representative	1, 4
PENG Weifeng	AYJ720	Licensed Representative	9
POK Ming Kai	BGB603	Licensed Representative	6
POON Hon Wai	BQS775	Licensed Representative	1, 4, 9
POON Wing	BQS343	Licensed Representative	1, 2
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ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS D  CE Name	CE No.	Licence Type	Types of Regulated Activity
PUN Tsz Kui	BQV755	Licensed Representative	1, 2
QI Jiao	BQT129	Licensed Representative	1, 6
QI Tong	BQS630	Licensed Representative	2, 5
QI Wenjing	BQT185	Licensed Representative	1
QI Yingting	BQV595	Licensed Representative	1, 2
QIAO Liang	BQV912	Licensed Representative	1, 6
QU Jing	BQN935	Licensed Representative	1, 2, 4, 9
QU Xinluo	BQR380	Licensed Representative	1, 4
RAN Linwan	BJE120	Licensed Representative	1, 4, 6
REDDY Nikhil	BQT203	Licensed Representative	1, 4
ROGERS-UFF Jesse John	BFB282	Licensed Representative	1
RYOU Soo Han	BQU276	Licensed Representative	1, 2
SER Long Yin	BQR315	Licensed Representative	1, 4
SHANG Di	BQT573	Licensed Representative	4
SHAO Junyan	BQU843	Licensed Representative	9
SHAO Mingjiao	BQU690	Licensed Representative	1, 2
SHEKHAWAT Shilesh	AUE958	Licensed Representative	1, 2, 7
SHEN Blake C	AYQ549	Licensed Representative	1, 2
SHEN Fai Shing	BQT499	Licensed Representative	1, 4
SHEN Yichuan	BPL625	Licensed Representative	4, 9
SHER King Shing Matthias	BQV429	Licensed Representative	1
SHINOHARA Naoto	BQS086	Licensed Representative	4, 9
SHU Liangfeng	BGB337	Licensed Representative	1, 4
SHUM So Ying	BQR101	Licensed Representative	1
SO Adrian Lap Kuen	BKR795	Licensed Representative	1, 2
SO But Fut Bridget	BQO503	Licensed Representative	1, 4, 9
SOKLAKOV Andrei Nikolaevich	BQR852	Licensed Representative	4
SU Jianheng	BLH632	Licensed Representative	1, 6
SUN Kar Fai	BQV818	Licensed Representative	1
SUN Lijun	BAC326	Licensed Representative	6
SUN Wai Chun	BQT484	Licensed Representative	1, 4
SURATKAL Kishore Sheena	AFE831	Licensed Representative	9
TAM Cheuk Kiu	BJH021	Licensed Representative	1, 4, 9
TAM Ho Him Cliff	BQP749	Licensed Representative	1
TAM Siu Po	AOO245	Licensed Representative	4
TAM Wai Yui	AMY730	Licensed Representative	1, 2
TAN Bin	BMW049	Licensed Representative	1, 6
TAN Qingui	BQT901	Licensed Representative	1, 6
TAN Qiyao	BOP442	Licensed Representative	4
TAN Zhiyi	BQS570	Licensed Representative	1, 6
TAO Nina Chih-Yu	ANI909	Licensed Representative	1, 4, 9
TAU Weihua Robert	ARN628	Licensed Representative	9



CE Name	CE No.	Licence Type	Types of Regulated Activity
TEO Soo Meng, Kelvin	AMN714	Licensed Representative	1, 6
THATCHER STELLA	BQR330	Licensed Representative	1, 4
TIAN Qingqing	BQS220	Licensed Representative	1, 4, 6
TO Chun Wai	BQP770	Licensed Representative	9
TRAN QUI Ann-Sandra	ASD252	Licensed Representative	1, 2, 7
TSANG Chun Pak	BQT886	Licensed Representative	4
TSANG Yuen Ching	BMD850	Licensed Representative	1
TSE Chung Ting	BQS692	Licensed Representative	1
TSE Chung Wai Andrew	BQR914	Licensed Representative	1
TSE Fiona Yuen Tung	BQU422	Licensed Representative	1, 2
TSE Kate	BQR007	Licensed Representative	1, 4
TSE Mei Wah	BQT337	Licensed Representative	1
TSE Tsun Hei	BQU443	Licensed Representative	1, 4
TSO Shiu Wing	BKB094	Licensed Representative	9
TSUI Ching Wan	APG565	Licensed Representative	4, 9
TUNG Cheuk Shing	BQR663	Licensed Representative	1, 4
WANG Andy Aiqing	BQV559	Licensed Representative	1, 9
WANG Chengtang	BQU918	Licensed Representative	1, 6
WANG Chenyan	BQT566	Licensed Representative	1, 6
WANG Guowei	BQQ724	Licensed Representative	10
WANG Hetao	BQT626	Licensed Representative	4
WANG Jichao	BQR551	Licensed Representative	1, 4, 9
WANG Jingping	BQT791	Licensed Representative	4
WANG Juan	BQU719	Licensed Representative	1, 4, 6
WANG Jue	BHX885	Licensed Representative	4
WANG Kun	BQR868	Licensed Representative	1, 2
WANG Liyue	BQT207	Licensed Representative	1
WANG Meisha	BQT208	Licensed Representative	1
WANG Qing	BEZ918	Licensed Representative	4, 9
WANG RONG	BQS576	Licensed Representative	2
WANG Shenyu	BNX841	Licensed Representative	4
WANG Sihao	BQS629	Licensed Representative	1, 4, 6
WANG Tianyou	BQP589	Licensed Representative	1, 4, 9
WANG Yizhou	BQT896	Licensed Representative	1, 6
WONG Ching Ching	BQR805	Licensed Representative	1, 2
WONG Grace Fan Ying	BQT504	Licensed Representative	1, 4, 9
WONG HIN YAT	BMQ349	Licensed Representative	1
WONG Ka Fai	BQR406	Licensed Representative	9
WONG Ka Kit	BQR529	Licensed Representative	1, 4
WONG Man Shu	BQV550	Licensed Representative	1, 2
WONG Man Sze, Grace	ADX257	Licensed Representative	1
WONG Michael Kin Lok	BQU571	Licensed Representative	1, 4, 6



CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Po Chee	BQS453	Licensed Representative	10
WONG Sham Yip	BQR466	Licensed Representative	1
WONG Shun Kit	BQS404	Licensed Representative	4, 9
WONG Suet Ying	BQT729	Licensed Representative	1
WONG Tak Wing	BBC903	Licensed Representative	1, 4
WONG Tin Lok Rocky	BQU025	Licensed Representative	1, 4
WONG Yeung	BOB548	Licensed Representative	1, 4, 9
WU Di	BQU191	Licensed Representative	4, 9
WU Dongying	BQT951	Licensed Representative	4
WU Hsiao Ching	BQS778	Licensed Representative	1
WU Pui Tin	BQQ683	Licensed Representative	1, 4, 9
WU Wai Chun	BQT040	Licensed Representative	1, 4, 6
WU Yui Hin	BEI258	Licensed Representative	1
WU Yushan	BQQ766	Licensed Representative	1, 2
WUNG Sheng-Bin	AQS401	Licensed Representative	1
XIAO Hannan	BQU953	Licensed Representative	1, 6
XIAO Yang	BQR797	Licensed Representative	4, 9
XIAO Yanyan	BIL686	Licensed Representative	1, 4
XIAO Yinan	AYS881	Licensed Representative	1, 4, 9
XIE Peipei	BKC559	Licensed Representative	1, 4
XIE Yinglei	BQR883	Licensed Representative	2
XING Xiaolin	BQM986	Licensed Representative	4, 9
XIONG Cheng	BQT664	Licensed Representative	9
XU Bowen	BQG540	Licensed Representative	1, 6
XU CHI	BQV088	Licensed Representative	1
XU Jiangeng	BQV493	Licensed Representative	1, 4
XU Menghan	BQV711	Licensed Representative	1, 4
XU Michael Minghong	BQT595	Licensed Representative	1, 4, 6
XU Yinfan	BQR884	Licensed Representative	1, 4, 9
XU Yuanyuan	BQT572	Licensed Representative	1, 6
XU Yue	BFY023	Licensed Representative	1, 9
YAN Min	BQQ558	Licensed Representative	4
YANG Dongyi	BQR339	Licensed Representative	1, 2
YANG Guanya	BQU943	Licensed Representative	4
YANG Jiawei	BGO424	Licensed Representative	4, 9
YANG Shujun	AZQ514	Licensed Representative	1, 4, 6
YANG Wai Shek	BQS085	Licensed Representative	1
YANG Yang	BQS437	Licensed Representative	1, 4, 6
YANG Ying	BQT586	Licensed Representative	9
YAU Yuen Han Louisa	BQQ979	Licensed Representative	9
YENN Ho Yin	BQV110	Licensed Representative	1, 4, 9
YEUNG Choi Fung, Amy	ANN663	Licensed Representative	1, 4, 9



CE Name	CE No.	Licence Type	Types of Regulated Activity
YEUNG Ka Wai	ANN260	Licensed Representative	4, 9
YEUNG Kim	BQU129	Licensed Representative	1, 2
YEUNG Tsz Lung	BQU463	Licensed Representative	1
YIM Yeuk King, Claire	AIB370	Licensed Representative	1, 4
YIN Li	BQU720	Licensed Representative	1, 2, 4
YIP Chun Fung	BQT633	Licensed Representative	1, 4, 9
YU King Yip	BJW114	Licensed Representative	4, 9
YU Rong	BQR038	Licensed Representative	1, 2, 4, 5
YU Sze Yung	BQR804	Licensed Representative	4, 9
YU Tong	BQV094	Licensed Representative	1, 2, 4, 9
YUAN Wurong	BQQ381	Licensed Representative	1, 6
YUAN Xinlin	BQS972	Licensed Representative	1, 4, 9
YUE Yuan	BJB569	Licensed Representative	1, 4
YUEN Ryan Aaron	BQJ921	Licensed Representative	6
ZANG Ruizhe	BQS096	Licensed Representative	2, 5
ZENG Wenting	BKC247	Licensed Representative	1, 4, 9
ZHAI Ke	BQS298	Licensed Representative	4, 9
ZHANG Bo	BQU193	Licensed Representative	1, 4, 6
ZHANG Chunhui	BQV106	Licensed Representative	1, 6
ZHANG Fan	BKS625	Licensed Representative	4, 9
ZHANG Jincheng	ATT807	Licensed Representative	1, 4
ZHANG Lu	BNY936	Licensed Representative	1, 4, 6
ZHANG Weihua	BQT627	Licensed Representative	4
ZHANG Wenwen	BQP581	Licensed Representative	1, 2, 4, 5
ZHANG Xi	BQU938	Licensed Representative	1, 4, 6
ZHANG Xuan	BQT632	Licensed Representative	1, 4, 6
ZHANG Yelin	AUF147	Licensed Representative	4, 9
ZHANG Zongbao	BQN453	Licensed Representative	1, 4, 6
ZHAO Hui	BJR221	Licensed Representative	9
ZHAO Huijin	BQR105	Licensed Representative	4, 9
ZHAO Kai	BQQ820	Licensed Representative	4, 9
ZHAO Luming	AMN775	Licensed Representative	1, 2, 4
ZHAO Ran	BQQ828	Licensed Representative	4
ZHAO Xi	BMT548	Licensed Representative	9
ZHAO Xiaohu	BQQ972	Licensed Representative	1, 4, 6
ZHAO Yifan	BQR829	Licensed Representative	1
ZHENG Ming Yuan	BQS627	Licensed Representative	1, 4, 9
ZHENG Ye	BQV915	Licensed Representative	1,6
ZHONG Yujia	BQT241	Licensed Representative	1
ZHOU JING	BQS804	Licensed Representative	1, 4
ZHOU Jingjing	BQT628	Licensed Representative	4
ZHOU Muyang	BQQ747	Licensed Representative	1, 6



CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHOU Xuetao	APJ216	Licensed Representative	1, 2
ZHU Chenyue	BQR869	Licensed Representative	1, 4
ZHU Hui	BQU041	Licensed Representative	1, 2
ZHU Jun	BQT514	Licensed Representative	1, 4
ZHU Yaolin	BQT551	Licensed Representative	1, 4, 5, 9
ZUO Huiying	BQP772	Licensed Representative	1, 2
ZUO Tianyou	BQT571	Licensed Representative	1, 6
BENNETT Benjamin	BQP585	Licensed Representative - Responsible Officer	1, 2, 9
CHAN Sai Yee, Vincent	ABM105	Licensed Representative - Responsible Officer	4, 9
CHANG Haeyoon Aileen	AJH217	Licensed Representative - Responsible Officer	9
CHEN Leh Chiarng, Alan	AGH159	Licensed Representative - Responsible Officer	4, 9
CHENG Lok Wai	ALS138	Licensed Representative - Responsible Officer	1
CHO Yi Hsien	BQB897	Licensed Representative - Responsible Officer	6
CHO Youn Kyung	BQQ617	Licensed Representative - Responsible Officer	1, 4, 9
CHOY Wong Hoi, Peter	AGA968	Licensed Representative - Responsible Officer	4, 9
DROPKIN Martin Baron	BQH284	Licensed Representative - Responsible Officer	4, 9
FANG Wenhui	BNN921	Licensed Representative - Responsible Officer	4, 9
FOO Wei-Hsien Dawn Florina	ARN980	Licensed Representative - Responsible Officer	1, 4
GERSBACH Luke	BQK955	Licensed Representative - Responsible Officer	1, 4, 9
GUERVILLE Jerome Christian	AVM345	Licensed Representative - Responsible Officer	9
HAN Guangbin	AXL533	Licensed Representative - Responsible Officer	9
HO Sin Pan	BJX201	Licensed Representative - Responsible Officer	9
HOWARTH CHEUNG Natalie Jane Yuet Seen	BPY499	Licensed Representative - Responsible Officer	1, 4
HU Ka Lam	AEP558	Licensed Representative - Responsible Officer	9
JI Qingyu	ASD333	Licensed Representative - Responsible Officer	1, 4
KAM Wing Kai	BBR427	Licensed Representative - Responsible Officer	9
KRISHANTHAN Jogeesvaran	BQP762	Licensed Representative - Responsible Officer	9
KUBOI Yoshiyuki	BQF300	Licensed Representative - Responsible Officer	3
LEE Bryan Kwun Leung	BCP712	Licensed Representative - Responsible Officer	9
LEE Kyoung Won	BQQ618	Licensed Representative - Responsible Officer	1, 4, 9
LI Weijing	BQK299	Licensed Representative - Responsible Officer	6
LING Pui Kwan	ATL571	Licensed Representative - Responsible Officer	4, 9
MA Hui Ming	AYA620	Licensed Representative - Responsible Officer	1, 4, 9
OUYANG Hao	BQL151	Licensed Representative - Responsible Officer	4, 9
OUYANG Xupeng	BGN162	Licensed Representative - Responsible Officer	1, 4, 9
POTIE Thibault Dany Michel	BCQ498	Licensed Representative - Responsible Officer	9
SHANG Xin	ADT752	Licensed Representative - Responsible Officer	9
SHUM Kwok Fai	ACP470	Licensed Representative - Responsible Officer	1
SIT Kwan Kit	AZQ787	Licensed Representative - Responsible Officer	1, 4
SUEN Pui Shan	BBS465	Licensed Representative - Responsible Officer	1, 4, 9
SUTRO Lucy Jane	AMR188	Licensed Representative - Responsible Officer	4, 9
TAM Kwan Hung	BBT082	Licensed Representative - Responsible Officer	4, 9



CE Name	CE No.	Licence Type	Types of Regulated Activity
THOMPSON David Russell	AST611	Licensed Representative - Responsible Officer	9
THOMPSON Ronald Brien	AIU800	Licensed Representative - Responsible Officer	6
TSE Siu Yuen	AQA082	Licensed Representative - Responsible Officer	1
WANG Piau Voon	BHJ603	Licensed Representative - Responsible Officer	4, 9
WANG Yuntao	BIG914	Licensed Representative - Responsible Officer	4, 9
WINIGER Adrian	BQP918	Licensed Representative - Responsible Officer	1
WONG Chun Yuen	AQA097	Licensed Representative - Responsible Officer	1
WONG Hoi Ka	BFK210	Licensed Representative - Responsible Officer	1, 4, 9
WONG Kan Tat, Frederick	AHJ600	Licensed Representative - Responsible Officer	1, 4, 9
WUNG Sheng-Bin	AQS401	Licensed Representative - Responsible Officer	4, 9
YIN Jiahao	BQL257	Licensed Representative - Responsible Officer	9
YUAN Xi	BQE409	Licensed Representative - Responsible Officer	9
YUNG Wai Sing	ANP500	Licensed Representative - Responsible Officer	4, 9
ZHANG Mengfei	BQH405	Licensed Representative - Responsible Officer	9
ZHAO Jun	BQM577	Licensed Representative - Responsible Officer	4, 9
ZHOU Linghui	BNW224	Licensed Representative - Responsible Officer	1, 4, 6, 9
ADEL Rebecca Sophia	BNC851	Licensed Representative (Provisional Licence)	9
AGRAWAL Saurabh	AHM906	Licensed Representative (Provisional Licence)	9
ALIAMANI Mehdi Ludwig	BQX036	Licensed Representative (Provisional Licence)	1
AN Lin	BQV604	Licensed Representative (Provisional Licence)	1, 4
AU Shing Wai	BQU533	Licensed Representative (Provisional Licence)	1
BALL Timothy Edward	APL603	Licensed Representative (Provisional Licence)	9
BALLIN Larri Harold	BJW743	Licensed Representative (Provisional Licence)	9
BARRAU Thomas Benjamin	BQV476	Licensed Representative (Provisional Licence)	4, 5, 9
BATTENFELD Brenton George	AOP135	Licensed Representative (Provisional Licence)	9
BI Edward Yonghua	BQU966	Licensed Representative (Provisional Licence)	1, 6
BYUN Heesuk	BQS080	Licensed Representative (Provisional Licence)	1, 4
CAI Bo	BQW990	Licensed Representative (Provisional Licence)	1, 4, 6
CAI Ya Qi	BQU945	Licensed Representative (Provisional Licence)	1, 4
CAI Yixin	BQT023	Licensed Representative (Provisional Licence)	1, 4, 6
CHAN Ching Ka	BQV763	Licensed Representative (Provisional Licence)	1
CHAN Eric Ho Chuen	BQW241	Licensed Representative (Provisional Licence)	1, 2
CHAN Hou	BQQ297	Licensed Representative (Provisional Licence)	1, 2, 7
CHAN Ka Chun, Edwin	BGB734	Licensed Representative (Provisional Licence)	1
CHAN Ka Ho	BQV193	Licensed Representative (Provisional Licence)	1, 2
CHAN Ka Man	BBW601	Licensed Representative (Provisional Licence)	1
CHAN Ming Leung	BQW330	Licensed Representative (Provisional Licence)	1, 2
CHAN Mun Yee	ATQ902	Licensed Representative (Provisional Licence)	1, 4
CHAN Nam Kwong Sidney	BQS992	Licensed Representative (Provisional Licence)	1
CHAN Siu Chung	BDH698	Licensed Representative (Provisional Licence)	1
CHAN Tsit Ham	BQV710	Licensed Representative (Provisional Licence)	1, 2
CHANG Anqi	BQW305	Licensed Representative (Provisional Licence)	1



CE Name	CE No.	Licence Type	Types of Regulated Activity
CHANG Chung Yuan	BQW143	Licensed Representative (Provisional Licence)	1
CHANG Hsun Fang	ANP491	Licensed Representative (Provisional Licence)	9
CHEANG Sio lan	BRA095	Licensed Representative (Provisional Licence)	1, 6
CHEN Chen	BQW769	Licensed Representative (Provisional Licence)	1
CHEN Chiyuan	BQV499	Licensed Representative (Provisional Licence)	1, 6
CHEN Jiaqi	BQV632	Licensed Representative (Provisional Licence)	1, 6
CHEN Jizong	BQU274	Licensed Representative (Provisional Licence)	1, 2, 7
CHEN Junwei	AXQ715	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Qiqi	BQV497	Licensed Representative (Provisional Licence)	1, 6
CHEN Shilei	BQU942	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Si	BQV781	Licensed Representative (Provisional Licence)	1, 2
CHEN Weiyi	BQT519	Licensed Representative (Provisional Licence)	9
CHEN Xinchen	BMT453	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Yewei	BQT196	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Yiming	BQW934	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Yixin	AXQ293	Licensed Representative (Provisional Licence)	4
CHENG Dien Leung, Peter	AEU597	Licensed Representative (Provisional Licence)	1, 4
CHENG Tien Yum Timothy	BHR348	Licensed Representative (Provisional Licence)	1
CHENG Tze Hin	BQW638	Licensed Representative (Provisional Licence)	1, 2, 4, 9
CHEUNG Eric Ko-Kwok	BQV195	Licensed Representative (Provisional Licence)	1, 6
CHEUNG Hoi Kit	BIZ340	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Ka Yee, Joyce	ANW539	Licensed Representative (Provisional Licence)	1, 4, 9
CHEUNG Kit Man	APF463	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Lam Yuet	BQS460	Licensed Representative (Provisional Licence)	1
CHEUNG Shun Fu	BQX155	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Yan Ho	BQV729	Licensed Representative (Provisional Licence)	1, 4
CHEVALIER Alexis Eric	BQT629	Licensed Representative (Provisional Licence)	1, 4, 9
CHIN Pak Sum	BQW428	Licensed Representative (Provisional Licence)	1, 4
CHIU Sing Wai	BQS274	Licensed Representative (Provisional Licence)	1
CHOI Ka Yui	BQW100	Licensed Representative (Provisional Licence)	1, 2, 4
CHONG Ka Chun	BMV265	Licensed Representative (Provisional Licence)	1, 2
CHONG Yi Chun	BQU916	Licensed Representative (Provisional Licence)	1, 6
CHOU Sheng Wei	BQM925	Licensed Representative (Provisional Licence)	1
CHOW Cheuk Wang	BQU684	Licensed Representative (Provisional Licence)	4
CHOW Hoi Fai	BQW253	Licensed Representative (Provisional Licence)	1, 4
CHOW Hou Man	AGW729	Licensed Representative (Provisional Licence)	9
CHU Chun Him	BFK977	Licensed Representative (Provisional Licence)	1, 4, 9
CHU Hiu Ki	BQW639	Licensed Representative (Provisional Licence)	4, 9
CHUI Mei Ling	AAF390	Licensed Representative (Provisional Licence)	1, 4, 9
CHUNG Yuen Ting	BQU761	Licensed Representative (Provisional Licence)	1
COUTURE Audrey Juliette Muriel	BQV662	Licensed Representative (Provisional Licence)	1, 2, 4, 5
CUI Minhui	BQU944	Licensed Representative (Provisional Licence)	4



CE Name	CE No.	Licence Type	Types of Regulated Activity
DALI David Paul	BQV342	Licensed Representative (Provisional Licence)	1
DANIER Janice	BQW738	Licensed Representative (Provisional Licence)	1, 2
DING Mingyuan	BIV620	Licensed Representative (Provisional Licence)	9
DING Siyuan	BQS818	Licensed Representative (Provisional Licence)	1, 4
DONG Bushu	BQW328	Licensed Representative (Provisional Licence)	1,6
DORE James Anthony	AML303	Licensed Representative (Provisional Licence)	9
DU Peng	BRA094	Licensed Representative (Provisional Licence)	1, 6
FAN Chung Ling Lillian	BQU584	Licensed Representative (Provisional Licence)	1,6
FANG Dan	BQU203	Licensed Representative (Provisional Licence)	4, 9
FANG Jike	BQS950	Licensed Representative (Provisional Licence)	1, 4, 6
FANG Shu	BQV198	Licensed Representative (Provisional Licence)	4, 9
FANG Shuyu	BFE791	Licensed Representative (Provisional Licence)	1, 4, 5, 9
*		, , , , , , , , , , , , , , , , , , , ,	1
FANG Zhou	BQV566	Licensed Representative (Provisional Licence)	1, 2, 4
FANG Zhou	BQV983	Licensed Representative (Provisional Licence)	4
FENG Chenyang	BQW011	Licensed Representative (Provisional Licence)	4
FENG Yun	BQX853	Licensed Representative (Provisional Licence)	10
FOK Yeuk Yorke	BOJ066	Licensed Representative (Provisional Licence)	6
FU Tin Noel	BQY958	Licensed Representative (Provisional Licence)	1, 2, 4
FUJIKAWA Shingo	BQV191	Licensed Representative (Provisional Licence)	1, 4, 9
FUNG Calvin Sherab Zangpo	BQY919	Licensed Representative (Provisional Licence)	1
FUNG Man Wa	BQV999	Licensed Representative (Provisional Licence)	1, 2
GAO Hanru	BQY961	Licensed Representative (Provisional Licence)	1, 6
GAO Qi	BQU502	Licensed Representative (Provisional Licence)	1
GAO Tianhang	BNH282	Licensed Representative (Provisional Licence)	1, 6
GAO Yue	BQU795	Licensed Representative (Provisional Licence)	1
GILL Harjot Singh	BQV105	Licensed Representative (Provisional Licence)	1, 6
GOULSTON Peter John	AGL234	Licensed Representative (Provisional Licence)	1
GU Ke	BQV104	Licensed Representative (Provisional Licence)	1, 6
GUO YONGLIN	BQS459	Licensed Representative (Provisional Licence)	1, 4, 9
GUO Yuanjing	BQV972	Licensed Representative (Provisional Licence)	6
GUO Ziyu	BQS942	Licensed Representative (Provisional Licence)	6
HAN Lin	BQF403	Licensed Representative (Provisional Licence)	6
HAN Zhen	BQS919	Licensed Representative (Provisional Licence)	1, 4, 6
HE Yingcheng	BQU211	Licensed Representative (Provisional Licence)	2, 5
HE Zijing	BQV967	Licensed Representative (Provisional Licence)	4, 9
HEDDAR Hichem Djaloul	BQW976	Licensed Representative (Provisional Licence)	1, 2
HENG Sung Kit Justin	AFC294	Licensed Representative (Provisional Licence)	4, 9
HO Carissa	BQW852	Licensed Representative (Provisional Licence)	1
HO Chak Kie	AEC938	Licensed Representative (Provisional Licence)	1, 2
HO Joann Chung Yan	BQX012	Licensed Representative (Provisional Licence)	1, 2
HO Lelia Tze Fun	ALN769	Licensed Representative (Provisional Licence)	1
	BFD430	Licensed Representative (Provisional Licence)	1



CE Name	CE No.	Licence Type	Types of Regulated Activity
HO Purdy Pin Pin	ASI353	Licensed Representative (Provisional Licence)	4
HO Shung Pui, Pansy	ARV131	Licensed Representative (Provisional Licence)	1
HONG Jie	BQW978	Licensed Representative (Provisional Licence)	1, 4, 5, 9
HONG Xining	BQU836	Licensed Representative (Provisional Licence)	4
HONG Yancheng	AVS886	Licensed Representative (Provisional Licence)	1, 2, 4, 5
HOPPER Graham Robert	BQU591	Licensed Representative (Provisional Licence)	1, 2
HU An	BQU495	Licensed Representative (Provisional Licence)	1, 4, 6
HU Yajuan	BAA706	Licensed Representative (Provisional Licence)	1, 6
HUAN Peixuan	BQU768	Licensed Representative (Provisional Licence)	1, 4
HUANG Hao	BQV089	Licensed Representative (Provisional Licence)	4
HUANG Jing-Tian	BQQ717	Licensed Representative (Provisional Licence)	1, 4
HUANG Kai	BQV053	Licensed Representative (Provisional Licence)	1, 4, 9
HUANG Xiaobin	BQW518	Licensed Representative (Provisional Licence)	4
HUNG Chin Chi	BML862	Licensed Representative (Provisional Licence)	2
HUNG Hsin Ying	BQV560	Licensed Representative (Provisional Licence)	1
HUR Min Kyong	BGJ410	Licensed Representative (Provisional Licence)	1, 4
IP Howard Ho Yin	BQW102	Licensed Representative (Provisional Licence)	1, 2, 4
IP Ka Yee	BQX141	Licensed Representative (Provisional Licence)	1, 4
JANG Jinah	BQR908	Licensed Representative (Provisional Licence)	1, 2
JIANG Fan	BQT738	Licensed Representative (Provisional Licence)	9
JIN Kailin	BQV911	Licensed Representative (Provisional Licence)	1, 4, 6
KE Chenchen	BQX024	Licensed Representative (Provisional Licence)	1, 4, 9
KIM Kun Hee	BQW125	Licensed Representative (Provisional Licence)	1, 4
KOU Yuanyuan	BKX467	Licensed Representative (Provisional Licence)	1, 4, 5, 9
KWAN Kit Ling	BIX484	Licensed Representative (Provisional Licence)	1
KWAN Pak Hong	BQV428	Licensed Representative (Provisional Licence)	1, 4, 6
LAI Chi Nok	BOP230	Licensed Representative (Provisional Licence)	1
LAI Ketong	BQS234	Licensed Representative (Provisional Licence)	4, 9
LAI Qiwen	BQV868	Licensed Representative (Provisional Licence)	1
LAI Yee Nok	BQW333	Licensed Representative (Provisional Licence)	1, 2
LAI Yiu Kuen	BEK732	Licensed Representative (Provisional Licence)	1, 2, 4
LAI Yuying	BNC871	Licensed Representative (Provisional Licence)	1, 4
LAM Kuen	ANJ657	Licensed Representative (Provisional Licence)	9
LAU Chun Wang	BQW899	Licensed Representative (Provisional Licence)	4
LAU Fung Shek	BQU683	Licensed Representative (Provisional Licence)	1, 4
LAU Hiu Ying, Virginia	BNO093	Licensed Representative (Provisional Licence)	1
LAU Ho Cheong	BQV549	Licensed Representative (Provisional Licence)	1, 2, 3, 4
LAU Kwok Wai	AQJ443	Licensed Representative (Provisional Licence)	1, 2
LAU Ming Hei	BQU931	Licensed Representative (Provisional Licence)	1, 2
LAU Yim Ha	BQV765	Licensed Representative (Provisional Licence)	1, 4
LAU Yuet Chiu	BEI688	Licensed Representative (Provisional Licence)	1, 4
LAW Yeung Sin Angelin	BQW535	Licensed Representative (Provisional Licence)	1, 2



CE Name	CE No.	Licence Type	Types of Regulated Activity
LEE Chun Hoi	AJD777	Licensed Representative (Provisional Licence)	1, 4
LEE Dongjin	BQT934	Licensed Representative (Provisional Licence)	1, 6
LEE Hiu Man	BQV423	Licensed Representative (Provisional Licence)	1, 2
LEE Hyun Keun	BQW087	Licensed Representative (Provisional Licence)	1
LEE Jack Kai Tik	BQV551	Licensed Representative (Provisional Licence)	1, 4
LEE Jungyoon	BQU841	Licensed Representative (Provisional Licence)	2, 5
LEE Kit Sum	BQW632	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Ming Kai	BQV282	Licensed Representative (Provisional Licence)	1, 2
LEE Pei Yee Jovina	BQW994	Licensed Representative (Provisional Licence)	1, 2
LEE Pui Yu	BQU992	Licensed Representative (Provisional Licence)	9
LEE Sai Man	BMZ548	Licensed Representative (Provisional Licence)	10
LEE Wing Him, Timothy	AYS240	Licensed Representative (Provisional Licence)	1, 6
LEI Wenkai	BQU814	Licensed Representative (Provisional Licence)	1, 2
LEUNG Alex Chun Hin	BQV618	Licensed Representative (Provisional Licence)	1, 4
LEUNG Lorraine Lok Yan	BQV388	Licensed Representative (Provisional Licence)	1
LEUNG Mei Shan	BIW785	Licensed Representative (Provisional Licence)	1
LI Chao	BQR891	Licensed Representative (Provisional Licence)	1, 4
LI Hanyang	BQZ779	Licensed Representative (Provisional Licence)	1, 4
LI Haoran	BQV619	Licensed Representative (Provisional Licence)	1, 4, 6
LI Huaxin	BQQ112	Licensed Representative (Provisional Licence)	1, 2, 7
LI Jiacheng	BQU582	Licensed Representative (Provisional Licence)	1, 2
LI Jing	BQV764	Licensed Representative (Provisional Licence)	1, 4, 6
LI Ka Tsun	BNR840	Licensed Representative (Provisional Licence)	1, 2, 4
LI Liangzi	BQW500	Licensed Representative (Provisional Licence)	1, 4, 6
LI Lin	AVD808	Licensed Representative (Provisional Licence)	4
LI Siu Kim	AFW899	Licensed Representative (Provisional Licence)	9
LI Suet Man, Nora	ASH687	Licensed Representative (Provisional Licence)	1, 4
LI Wing Chee	BFS581	Licensed Representative (Provisional Licence)	1, 2
LI Xinyuan	BQU757	Licensed Representative (Provisional Licence)	1, 6
LI Zhiyao	BQY967	Licensed Representative (Provisional Licence)	1, 6
LI Zihao	BQW194	Licensed Representative (Provisional Licence)	1
LI Zijun	BQX069	Licensed Representative (Provisional Licence)	4
LIAO John Bond Jonathan	BQF096	Licensed Representative (Provisional Licence)	1, 2
LIM Ji Youn	BFR528	Licensed Representative (Provisional Licence)	1, 4, 9
LIM Yi Chang	BQV631	Licensed Representative (Provisional Licence)	1, 6
LIN Jing	AZQ419	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Wai Leong	BQW260	Licensed Representative (Provisional Licence)	1, 2
LING Cheuk Nam	BQX817	Licensed Representative (Provisional Licence)	1, 4, 9
LING Yao	BBF570	Licensed Representative (Provisional Licence)	1, 4, 9
LIT Ching Nam	BQW258	Licensed Representative (Provisional Licence)	1, 2
LIU Bohua	BQU721	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Enyu	BQV611	Licensed Representative (Provisional Licence)	1, 2, 4, 9
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CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Hanning	BRA098	Licensed Representative (Provisional Licence)	1, 4
LIU Jiayi	BQV190	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Kedi	BQS297	Licensed Representative (Provisional Licence)	4
LIU Mengran	BQW928	Licensed Representative (Provisional Licence)	4, 9
LIU Shuiyin	BHK590	Licensed Representative (Provisional Licence)	1, 4, 5, 9
LIU Tianyi	BPF434	Licensed Representative (Provisional Licence)	9
LIU Wenbo	BQW323	Licensed Representative (Provisional Licence)	1, 6
LIU Xinyi	BQU973	Licensed Representative (Provisional Licence)	4, 9
LIU Yichen	BQW327	Licensed Representative (Provisional Licence)	1, 6
LIU Zhe	BQV916	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Zizheng	BQW995	Licensed Representative (Provisional Licence)	1
LO Mei Suet	BQU460	Licensed Representative (Provisional Licence)	1, 9
LO Wai Hong	BQW067	Licensed Representative (Provisional Licence)	1
LOH Howard Howe Yeung	BCA495	Licensed Representative (Provisional Licence)	9
LOW Kay Kham	BQV337	Licensed Representative (Provisional Licence)	1, 4, 6
LU Xiaomeng	BQV486	Licensed Representative (Provisional Licence)	1, 4, 6
LUI June Yi Vivian	BGD194	Licensed Representative (Provisional Licence)	1
LUO Wei	BPY860	Licensed Representative (Provisional Licence)	1, 6
LUO Yuxin	BQP771	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LYU Zhen	BQV810	Licensed Representative (Provisional Licence)	1, 4, 6
MA Kaiyi	BQU833	Licensed Representative (Provisional Licence)	4
MA Kit Yu Priscilla	BQV948	Licensed Representative (Provisional Licence)	1, 2
MA Yuwei	BQR923	Licensed Representative (Provisional Licence)	1, 4
MATSUBARA Fuyuki	BQU257	Licensed Representative (Provisional Licence)	1, 2, 4, 5
MENG Ke	BQW003	Licensed Representative (Provisional Licence)	9
MOORFIELD-YEE Liam	BQW003	Licensed Representative (Provisional Licence)	1
NAGASAWA Shohei	BQW092	Licensed Representative (Provisional Licence)	9
NG Sze Wang Antonio	BQV762	Licensed Representative (Provisional Licence)	1, 6
NGAN Siu Hang	BQV702 BQV992	Licensed Representative (Provisional Licence)	1, 2
NI Yichen	BQV992 BQV913	Licensed Representative (Provisional Licence)	1, 4, 6
ONO Yasuhiro	BQT660	Licensed Representative (Provisional Licence)	9
OUYANG Haodi	BQW599	Licensed Representative (Provisional Licence)	1, 4, 6
PAN JIANONG	BQV863	Licensed Representative (Provisional Licence)	1, 4
	BQV939		1
PAN Qiaochu PENG Lulu	BQV939 BJT944	Licensed Representative (Provisional Licence)  Licensed Representative (Provisional Licence)	1 4
POON Hei Wai	ARF067	Licensed Representative (Provisional Licence)	1, 4
			4
PYTTLIK Patricia Anna	BQW685	Licensed Representative (Provisional Licence)	
QI Tong	BQS630	Licensed Representative (Provisional Licence)	2, 5
QI Yingting	BQV595	Licensed Representative (Provisional Licence)	1, 2
QIAN Yan yan a	BQU932	Licensed Representative (Provisional Licence)	1, 2
QIAN Yangyang	BQV694	Licensed Representative (Provisional Licence)	1, 2
QIAO Liang	BQV912	Licensed Representative (Provisional Licence)	1, 6



CE Name	CE No.	Licence Type	Types of Regulated Activity
QIN SI	BQU935	Licensed Representative (Provisional Licence)	1, 6
RODFORD Mark Andrew	BQV688	Licensed Representative (Provisional Licence)	1, 2
SHAO Junyan	BQU843	Licensed Representative (Provisional Licence)	9
SHAO Michael	BQW032	Licensed Representative (Provisional Licence)	1, 4
SHI Jiacheng	BQS650	Licensed Representative (Provisional Licence)	1, 6
SHI Yafei	BQV151	Licensed Representative (Provisional Licence)	2, 5
SHI Zhuoyue	BOZ221	Licensed Representative (Provisional Licence)	1, 4, 6
SI Xianrong	BQW396	Licensed Representative (Provisional Licence)	1, 4, 6
SIU Chun Pong	BQX158	Licensed Representative (Provisional Licence)	1, 2
SO Ka Ho	BQV873	Licensed Representative (Provisional Licence)	1
SO Ka Yee	BQV884	Licensed Representative (Provisional Licence)	1
SONG Guchuan	BQF157	Licensed Representative (Provisional Licence)	6
SONG Shaofeng	BQT719	Licensed Representative (Provisional Licence)	4, 9
SONG Zhiyuan	BRA089	Licensed Representative (Provisional Licence)	1, 6
SUN Bo	BQF186	Licensed Representative (Provisional Licence)	6
SUN Fanding	BQV360	Licensed Representative (Provisional Licence)	1, 4
SUN Junhua	BQU259	Licensed Representative (Provisional Licence)	1
SUN Shuai	BFS276	Licensed Representative (Provisional Licence)	9
SUN Zewen	BRA092	Licensed Representative (Provisional Licence)	1, 6
SUNG Yuk Ting	BQT880	Licensed Representative (Provisional Licence)	1
SURATKAL Kishore Sheena	AFE831	Licensed Representative (Provisional Licence)	9
SZE Wing Man Ada	BQV333	Licensed Representative (Provisional Licence)	1
SZETO Fong Wa, Jenny	AED920	Licensed Representative (Provisional Licence)	4, 9
TAM Chi Kin	BQX895	Licensed Representative (Provisional Licence)	1
TAM Chris Sze On	BJD946	Licensed Representative (Provisional Licence)	1, 4, 6
TAN Boon Xin	BQY960	Licensed Representative (Provisional Licence)	1, 2, 4
TAN Fang	ARI742	Licensed Representative (Provisional Licence)	1, 2, 9
TAN Gavin Yang Bi	BQT605	Licensed Representative (Provisional Licence)	9
TAN Taizhi	BCH565	Licensed Representative (Provisional Licence)	1, 4, 6
TANG Congcong	ASE040	Licensed Representative (Provisional Licence)	1, 4
TANG Dawei	BQW340	Licensed Representative (Provisional Licence)	1, 6
TAO Wing Han Vera	BQV918	Licensed Representative (Provisional Licence)	1, 4
TO Man Ting	BQY880	Licensed Representative (Provisional Licence)	1, 4
TONG Wing Sze, Mimi	ABE943	Licensed Representative (Provisional Licence)	9
TSANG Clement Si Yuen	BQW324	Licensed Representative (Provisional Licence)	1
TSANG Shuk Ching	BQW711	Licensed Representative (Provisional Licence)	1, 4
TSANG Wa Chin	BQX011	Licensed Representative (Provisional Licence)	1, 2
TSAO Ching Tsung	BQW411	Licensed Representative (Provisional Licence)	1, 4, 9
TSE Fiona Yuen Tung	BQU422	Licensed Representative (Provisional Licence)	1, 2
TSE Yu Lung	AVU827	Licensed Representative (Provisional Licence)	1, 2
TSUI Ursula Yee Har	AUB434	Licensed Representative (Provisional Licence)	1
	BQW336	Licensed Representative (Provisional Licence)	1, 2



ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS D	CE No.	Licence Type	Types of Regulated Activity
WAN Chun Man	ATY752	Licensed Representative (Provisional Licence)	1, 2
WAN Ho Fung	BQV498	Licensed Representative (Provisional Licence)	1, 4
WAN Johnson	AQN189	Licensed Representative (Provisional Licence)	4
WANG Andy Aiqing	BQV559	Licensed Representative (Provisional Licence)	1, 9
WANG Chengtang	BQU918	Licensed Representative (Provisional Licence)	1, 6
WANG Dean	BQV509	Licensed Representative (Provisional Licence)	4
WANG Dongchen	AWX611	Licensed Representative (Provisional Licence)	4, 9
WANG Guoliang	BQV746	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Juan	BQU719	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Jue	BOF346	Licensed Representative (Provisional Licence)	1
WANG Pei	BQW985	Licensed Representative (Provisional Licence)	1, 4, 5, 9
WANG Sihao	BQS629	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Siyun	BGO634	Licensed Representative (Provisional Licence)	6
WANG Yumiao	BQR647	Licensed Representative (Provisional Licence)	1, 2
WANG Zhidong	BQW999	Licensed Representative (Provisional Licence)	1
WEI Hong Fu	BQV809	Licensed Representative (Provisional Licence)	9
WONG Bon Lun Barry	BQT103	Licensed Representative (Provisional Licence)	1, 4
WONG Fai Yeung	BQW998	Licensed Representative (Provisional Licence)	1
WONG Grace Fan Ying	BQT504	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Man Kwong	BQV399	Licensed Representative (Provisional Licence)	4
WONG Man Shu	BQV550	Licensed Representative (Provisional Licence)	1, 2
WONG Michael Kin Lok	BQU571	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Stanley Car-Lai	AXM488	Licensed Representative (Provisional Licence)	1
WONG Sze Man Elsie	BQQ703	Licensed Representative (Provisional Licence)	1, 4
WONG Tung Hing	BQV987	Licensed Representative (Provisional Licence)	1, 2, 4
WONG Wing Hang, Dominic	ACL614	Licensed Representative (Provisional Licence)	1, 4, 9
WU Caiyi	BQW613	Licensed Representative (Provisional Licence)	1, 2
WU Chenguang	BQU494	Licensed Representative (Provisional Licence)	4
WU Chih-Jung	BQV050	Licensed Representative (Provisional Licence)	1, 2, 4, 5
WU Di	BQW332	Licensed Representative (Provisional Licence)	1, 6
WU Guoyuan	BQV487	Licensed Representative (Provisional Licence)	4
WU Hsiao Ching	BQS778	Licensed Representative (Provisional Licence)	1
WU Jung-En	BQU459	Licensed Representative (Provisional Licence)	4
WU Shuning	BQT148	Licensed Representative (Provisional Licence)	1, 4, 9
WU Yuchen	BRA097	Licensed Representative (Provisional Licence)	1, 6
WU Yuding	BAF612	Licensed Representative (Provisional Licence)	1, 4, 5, 9
WU Yue	BQW339	Licensed Representative (Provisional Licence)	1, 6
WU Yushan	BQQ766	Licensed Representative (Provisional Licence)	1, 2
WU Yutong	BQZ909	Licensed Representative (Provisional Licence)	1, 6
XIAO GANG	BQV876	Licensed Representative (Provisional Licence)	1, 6
XIAO Hannan	BQU953	Licensed Representative (Provisional Licence)	1, 6
XIAO Yanyan	BIL686	Licensed Representative (Provisional Licence)	1, 4



CE Name	CE No.	Licence Type	Types of Regulated Activity
XIONG Li	AWQ251	Licensed Representative (Provisional Licence)	1, 4
XIONG Xueying	BQV378	Licensed Representative (Provisional Licence)	1, 2
XU Chenyang	BQU852	Licensed Representative (Provisional Licence)	9
XU CHI	BQV088	Licensed Representative (Provisional Licence)	1
XU Jiangeng	BQV493	Licensed Representative (Provisional Licence)	1, 4
XU Ke	BQS954	Licensed Representative (Provisional Licence)	4, 9
XU Min	BQZ862	Licensed Representative (Provisional Licence)	1, 6
XU Rui	BQN938	Licensed Representative (Provisional Licence)	1, 2, 7
XU Yuqian	BQV813	Licensed Representative (Provisional Licence)	1, 4, 6
XU Ziyi	BQW633	Licensed Representative (Provisional Licence)	1, 6
YAMAGUCHI Tomohiro	BQV717	Licensed Representative (Provisional Licence)	1, 4
YANG Guanya	BQU943	Licensed Representative (Provisional Licence)	4
YANG Kan	BQV514	Licensed Representative (Provisional Licence)	4
YANG Lingxiu	AVH936	Licensed Representative (Provisional Licence)	4
YANG Shujun	AZQ514	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Xiaoyu	BRA096	Licensed Representative (Provisional Licence)	1, 4
YANG Yan	BQP698	Licensed Representative (Provisional Licence)	1, 4
YANG Ying	BQT586	Licensed Representative (Provisional Licence)	9
YANG Ying	BQZ907	Licensed Representative (Provisional Licence)	1, 4, 6
YENN Ho Yin	BQV110	Licensed Representative (Provisional Licence)	1, 4, 9
YEOH Chye Chuan Eric	BMM148	Licensed Representative (Provisional Licence)	1, 4
YEUNG Tsz Kwong	AQQ282	Licensed Representative (Provisional Licence)	1, 4
YEUNG Tsz Lung	BQU463	Licensed Representative (Provisional Licence)	1
YEUNG Wing Sum	BQW434	Licensed Representative (Provisional Licence)	1, 2
YIN Chenli	BQW831	Licensed Representative (Provisional Licence)	1, 2
YIN Fangfei	BMY612	Licensed Representative (Provisional Licence)	1, 2, 4
YIN Li	BQU720	Licensed Representative (Provisional Licence)	1, 2, 4
YIN Yuxi	BQW732	Licensed Representative (Provisional Licence)	4
YIP Chi Chung	BQX043	Licensed Representative (Provisional Licence)	1, 4
YIP Ka Man	BQV454	Licensed Representative (Provisional Licence)	1
YU Hongxin	BQW341	Licensed Representative (Provisional Licence)	1, 6
YU Lok Yee Joanna	BQX008	Licensed Representative (Provisional Licence)	1, 2
YU Tong	BQV094	Licensed Representative (Provisional Licence)	1, 2, 4, 9
YU Yang	BQV952	Licensed Representative (Provisional Licence)	1, 4, 9
YUE Yi	BJI225	Licensed Representative (Provisional Licence)	4, 9
YUEN Ryan Aaron	BQJ921	Licensed Representative (Provisional Licence)	6
YUEN Wai Ching	BQW094	Licensed Representative (Provisional Licence)	1, 2
ZENG Shiyu	BQX035	Licensed Representative (Provisional Licence)	1
ZHANG Chen	BQW337	Licensed Representative (Provisional Licence)	1, 6
ZHANG Chuang	BPY859	Licensed Representative (Provisional Licence)	1, 6
ZHANG Chunhui	BQV106	Licensed Representative (Provisional Licence)	1, 6
ZHANG Ding	BQW338	Licensed Representative (Provisional Licence)	1, 6



CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Dongyu	BQU930	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Jiaqi	BLT202	Licensed Representative (Provisional Licence)	1, 2, 4
ZHANG Jinglei	BQV633	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Jinyao	BQW387	Licensed Representative (Provisional Licence)	4
ZHANG Kewei	BQU920	Licensed Representative (Provisional Licence)	1, 6
ZHANG Qingyun	BQW104	Licensed Representative (Provisional Licence)	1, 2
ZHANG Quanguo	BQV624	Licensed Representative (Provisional Licence)	4
ZHANG Ruohai	BQW521	Licensed Representative (Provisional Licence)	4
ZHANG Tianye	BQY963	Licensed Representative (Provisional Licence)	1, 6
ZHANG Xiaoyu	BQV107	Licensed Representative (Provisional Licence)	4
ZHANG Xu	BQV950	Licensed Representative (Provisional Licence)	1, 2, 4, 5
ZHANG Yanyang	BQW668	Licensed Representative (Provisional Licence)	1
ZHAO He	BLN655	Licensed Representative (Provisional Licence)	1, 6
ZHAO Heran	BRA093	Licensed Representative (Provisional Licence)	1, 6
ZHAO Luming	AMN775	Licensed Representative (Provisional Licence)	1, 2, 4
ZHAO Xue	BQU703	Licensed Representative (Provisional Licence)	1
ZHENG Qin	BQV071	Licensed Representative (Provisional Licence)	4
ZHENG Ye	BQV915	Licensed Representative (Provisional Licence)	1, 6
ZHENG Yiming	BQV917	Licensed Representative (Provisional Licence)	4
ZHOU Huicheng	BQU273	Licensed Representative (Provisional Licence)	1, 6
ZHOU Kexin	BQW844	Licensed Representative (Provisional Licence)	1, 6
ZHOU Ning	BQW909	Licensed Representative (Provisional Licence)	1, 4, 6
ZHOU Xuetao	APJ216	Licensed Representative (Provisional Licence)	1, 2
ZHOU Yiran	BRA091	Licensed Representative (Provisional Licence)	1, 6
ZHOU Yue	BQW497	Licensed Representative (Provisional Licence)	4
ZHU Fan	BQV874	Licensed Representative (Provisional Licence)	1, 4
ZHU Peng	BQF198	Licensed Representative (Provisional Licence)	6
BAKER Graeme Robert	BQW440	Licensed Representative (Temporary Licence)	4
JAYSANE-DARR Evan	BQU198	Licensed Representative (Temporary Licence)	4
LANG Thiemo	BLM120	Licensed Representative (Temporary Licence)	1
ROARTY Daniel Charles	BQU482	Licensed Representative (Temporary Licence)	4