

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2021**

CE Name	CE No.	Licence Type	Types of Regulated Activity
AA Investment Management Limited	BQF712	Licensed Corporation	1, 4, 9
Da Yue Futures Co., Limited	BQE859	Licensed Corporation	2, 5
E&P Asia (HK) Limited	BPX011	Licensed Corporation	1, 4
EMR Capital Advisors Limited	BQO605	Licensed Corporation	1, 4, 9
Fides Asset Management Limited	BPY497	Licensed Corporation	4, 9
Global Voyager Fund (HK) Company Limited	BQM391	Licensed Corporation	4, 9
Greenlane Capital Limited	BQK488	Licensed Corporation	9
Kikaku Management Limited	BQO484	Licensed Corporation	9
Maison Asset Management Limited	BQI605	Licensed Corporation	9
Novel Wealth Management Limited	BQQ992	Licensed Corporation	1, 4, 9
ROC Capital Management Limited	BQI233	Licensed Corporation	9
ADEL Rebecca Sophia	BNC851	Licensed Representative	9
AGARWAL Yash	AUN893	Licensed Representative	1, 2, 4, 9
AGRAWAL Saurabh	AHM906	Licensed Representative	9
ALIAMANI Mehdi Ludwig	BQX036	Licensed Representative	1
AN Lin	BQV604	Licensed Representative	1, 4
AU Andrew	AGA691	Licensed Representative	1
AU YEUNG Lok Yan	AXI338	Licensed Representative	1
BAI YINSHENG	BQT501	Licensed Representative	1, 4
BALL Timothy Edward	APL603	Licensed Representative	9
BALLIN Larri Harold	BJW743	Licensed Representative	9
BARRAU Thomas Benjamin	BQV476	Licensed Representative	4, 5, 9
BATTENFELD Brenton George	AOP135	Licensed Representative	9
BI Edward Yonghua	BQU966	Licensed Representative	1, 6
BYUN Heesuk	BQS080	Licensed Representative	1, 4
CAI Ya Qi	BQU945	Licensed Representative	1, 4
CHAN Chun Tung	BOG537	Licensed Representative	9
CHAN Chun, Jerry	BKT791	Licensed Representative	1, 9
CHAN Eric Ho Chuen	BQW241	Licensed Representative	1, 2
CHAN Hoi Yan	BQU952	Licensed Representative	1, 2, 4, 5
CHAN Hou	BQQ297	Licensed Representative	1, 2, 7
CHAN Jeffrey Koon Yip	BQW221	Licensed Representative	6
CHAN Ka Chun, Edwin	BGB734	Licensed Representative	1
CHAN Ka Ho	BQV193	Licensed Representative	1, 2
CHAN Ka Man	BBW601	Licensed Representative	1
CHAN Kin Lun	BDZ946	Licensed Representative	1
CHAN Man Yin Tiffany	BQU487	Licensed Representative	1
CHAN Ming Leung	BQW330	Licensed Representative	1, 2
CHAN Mun Yee	ATQ902	Licensed Representative	1, 4
CHAN Nam Kwong Sidney	BQS992	Licensed Representative	1
CHAN Siu Chung	BDH698	Licensed Representative	1
CHAN Tsit Ham	BQV710	Licensed Representative	1, 2
CHAN Tung Hung, June	BQW139	Licensed Representative	1, 4
CHAN Wai Chung	ANZ625	Licensed Representative	1, 4
CHAN Wing Gee, Hermia	ARG978	Licensed Representative	4, 9
CHAN Wing Kit, Jeremy	ANM133	Licensed Representative	4, 9
CHANG Anqi	BQW305	Licensed Representative	1
CHANG Chung Yuan	BQW143	Licensed Representative	1
CHEANG Sio Ian	BRA095	Licensed Representative	1, 6
CHEN Jizong	BQU274	Licensed Representative	1, 2, 7

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2021**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
CHEN Junwei	AXQ715	Licensed Representative	1, 4, 9
CHEN Shilei	BQU942	Licensed Representative	1, 4, 6
CHEN Si	BQV781	Licensed Representative	1, 2
CHEN Xiangzhen	BQX022	Licensed Representative	1, 4, 6
CHEN Xinchun	BMT453	Licensed Representative	1, 4, 6
CHEN Yanqing	BIO886	Licensed Representative	4, 9
CHEN Yiming	BQW934	Licensed Representative	1, 4, 6
CHEN Ying	BND754	Licensed Representative	4, 5, 9
CHEN Ying-Tai	BQV871	Licensed Representative	1
CHEN Yingying	BQT435	Licensed Representative	1, 4
CHEN Yixin	AXQ293	Licensed Representative	4
CHEN Yiyi	BQW623	Licensed Representative	6
CHEN Yu Hsin	BQV643	Licensed Representative	1, 4
CHENG Dien Leung, Peter	AEU597	Licensed Representative	1, 4
CHENG Tien Yum Timothy	BHR348	Licensed Representative	1
CHENG Tze Hin	BQW638	Licensed Representative	1, 2, 4, 9
CHEUK Sui Yee	BJX144	Licensed Representative	1
CHEUNG Chin Wa	BQV934	Licensed Representative	1, 4
CHEUNG Ching Man	AXI346	Licensed Representative	9
CHEUNG CURTIS LOK LUN	BQW875	Licensed Representative	1, 2
CHEUNG Eric Ko-Kwok	BQV195	Licensed Representative	1, 6
CHEUNG Hoi Kit	BIZ340	Licensed Representative	1, 4
CHEUNG Ka Yee, Joyce	ANW539	Licensed Representative	1, 4, 9
CHEUNG Man Hin	BQY903	Licensed Representative	4, 9
CHEUNG Shun Fu	BQX155	Licensed Representative	1, 4
CHEUNG Tak Chuen Edwin	BQV140	Licensed Representative	4, 9
CHEUNG Yan Ho	BQV729	Licensed Representative	1, 4
CHEUNG Yee Tak Jonathan	BGZ326	Licensed Representative	1, 4, 6
CHEUNG Yuk Choi	BQU110	Licensed Representative	1
CHIN Chiuen Chou Gabriel	BQU853	Licensed Representative	2, 5
CHIN Pak Sum	BQW428	Licensed Representative	1, 4
CHOI Ka Yui	BQW100	Licensed Representative	1, 2, 4
CHOI Young Jun	BQT888	Licensed Representative	1, 4, 9
CHONG Chi Shing	BET661	Licensed Representative	1, 4, 9
CHONG Siu Wai	BQV712	Licensed Representative	1, 4
CHOU Sheng Wei	BQM925	Licensed Representative	1
CHOW Hoi Fai	BQW253	Licensed Representative	1, 4
CHOW Hou Man	AGW729	Licensed Representative	9
CHOY Yiu Ban	BQW227	Licensed Representative	1, 4
CHU Chun Him	BFK977	Licensed Representative	1, 4, 9
CHU Hiu Ki	BQW639	Licensed Representative	4, 9
CHU King So	BQR070	Licensed Representative	1
CHU Wai Tak Jonathan	BQO177	Licensed Representative	9
CHUNG Wing Hang	BQV949	Licensed Representative	1
CHUNG Yuen Ting	BQU761	Licensed Representative	1
COUTURE Audrey Juliette Muriel	BQV662	Licensed Representative	1, 2, 4, 5
CUI Minhui	BQU944	Licensed Representative	4
DALI David Paul	BQV342	Licensed Representative	1
DANG Tianchu	BME229	Licensed Representative	9
DANIER Janice	BQW738	Licensed Representative	1, 2

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2021**

CE Name	CE No.	Licence Type	Types of Regulated Activity
DIAO Kunxiang	BRA187	Licensed Representative	4, 9
DING Mingyuan	BIV620	Licensed Representative	9
DING Siyuan	BQS818	Licensed Representative	1, 4
DONG Bushu	BQW328	Licensed Representative	1, 6
DORE James Anthony	AML303	Licensed Representative	9
DU Peng	BRA094	Licensed Representative	1, 6
DU Yunfan	AVS847	Licensed Representative	1, 4
FAN Chung Ling Lillian	BQU584	Licensed Representative	1, 6
FAN Wenya	BQQ607	Licensed Representative	1, 2
FANG Jike	BQS950	Licensed Representative	1, 4, 6
FANG Kaiyue	BPM748	Licensed Representative	4, 9
FANG Shu	BQV198	Licensed Representative	4, 9
FANG Shuyuan	BQZ908	Licensed Representative	1, 2
FANG Zhou	BQV983	Licensed Representative	1
FENG Chenyang	BQW011	Licensed Representative	4
FENG Yun	BQX853	Licensed Representative	10
FOK Yeuk Yorke	BOJ066	Licensed Representative	6
FONG HIU LIN	BQW025	Licensed Representative	2
FOOT Martin Kevin	AMB401	Licensed Representative	4, 9
FU Kwan Ling	BQW120	Licensed Representative	1
FU Tin Noel	BQY958	Licensed Representative	1, 2, 4
FUNG Calvin Sherab Zangpo	BQY919	Licensed Representative	1
FUNG Tak Lok	ANB772	Licensed Representative	1, 4
GAO Hanru	BQY961	Licensed Representative	1, 6
GAO Qi	BQU502	Licensed Representative	1
GAO Tianhang	BNH282	Licensed Representative	1, 6
GAO Yingyu	BQU842	Licensed Representative	9
GAO Yue	BQU795	Licensed Representative	1
GAO Yuyang	BQW927	Licensed Representative	2, 5
GILL Harjot Singh	BQV105	Licensed Representative	1, 6
GREGORY-ROBERTS Simon Arnold John	APW359	Licensed Representative	1
GUI Zhu	BQW376	Licensed Representative	1
GUO Qingkun	BQR815	Licensed Representative	4, 9
GUO YONGLIN	BQS459	Licensed Representative	1, 4, 9
GUO Ziyu	BQS942	Licensed Representative	6
HAN Lin	BQF403	Licensed Representative	6
HAN Shu Chen	BQV426	Licensed Representative	1
HAN Zhen	BQS919	Licensed Representative	1, 4, 6
HE Yingcheng	BQU211	Licensed Representative	2, 5
HE Zijing	BQV967	Licensed Representative	4, 9
HEDDAR Hichem Djaloul	BQW976	Licensed Representative	1, 2
HENG Sung Kit Justin	AFC294	Licensed Representative	4, 9
HIOE Kwok Ho Nick	BFZ230	Licensed Representative	4, 9
HO Carissa	BQW852	Licensed Representative	1
HO Chak Kie	AEC938	Licensed Representative	1, 2
HO Cheuk Ming	BID232	Licensed Representative	1, 4
HO Cho Yiu	BRA184	Licensed Representative	1, 4
HO Eric Chi-fung	BQU733	Licensed Representative	1, 4, 9
HO George Chenghua	ANM619	Licensed Representative	1, 4, 9
HO Lelia Tze Fun	ALN769	Licensed Representative	1

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
HO Ming Hei, William	BFD430	Licensed Representative	1
HO Shung Pui, Pansy	ARV131	Licensed Representative	1
HO Wing Tuen	BQW898	Licensed Representative	9
HONG Jie	BQW978	Licensed Representative	1, 4, 5, 9
HONG Xining	BQU836	Licensed Representative	4
HOPPER Graham Robert	BQU591	Licensed Representative	1, 2
HSU Ren Pei	BQV664	Licensed Representative	1, 4, 6
HU An	BQU495	Licensed Representative	1, 4, 6
HU Yajuan	BAA706	Licensed Representative	1, 6
HUAN Peixuan	BQU768	Licensed Representative	1, 4
HUANG Hao	BQV089	Licensed Representative	4
HUANG Jing-Tian	BQQ717	Licensed Representative	1, 4
HUANG Kai	BQV053	Licensed Representative	1, 4, 9
HUANG Xiaobin	BQW518	Licensed Representative	4
HUI Hok Wa	BOQ824	Licensed Representative	1
HUI Yun Chak	BKH449	Licensed Representative	1, 2
HUNG Chin Chi	BML862	Licensed Representative	2
HUNG Hsin Ying	BQV560	Licensed Representative	1
HUR Min Kyong	BGJ410	Licensed Representative	1, 4
IP Howard Ho Yin	BQW102	Licensed Representative	1, 2, 4
IP Ka Yee	BQX141	Licensed Representative	1, 4
IP Moses Alan	BMB936	Licensed Representative	1, 2
JANG Jinah	BQR908	Licensed Representative	1, 2
KAM Chi Chung Kevin	BQT502	Licensed Representative	1, 9
KE Chenchen	BQX024	Licensed Representative	1, 4, 9
KIM Kun Hee	BQW125	Licensed Representative	1, 4
KONG Ning	BQG204	Licensed Representative	2
KOU Yuanyuan	BKX467	Licensed Representative	1, 4, 5, 9
KU Ming Jen	BQO818	Licensed Representative	9
KWAN Kit Ling	BIX484	Licensed Representative	1
KWOK Chun Wah	BQQ200	Licensed Representative	1
LAI Chi Nok	BOP230	Licensed Representative	1
LAI Ketong	BQS234	Licensed Representative	4, 9
LAI Qiwen	BQV868	Licensed Representative	1
LAI Tsz Lung	APV204	Licensed Representative	9
LAI Yee Nok	BQW333	Licensed Representative	1, 2
LAM Kuen	ANJ657	Licensed Representative	9
LAU Chun Lam	BQU581	Licensed Representative	1, 4, 9
LAU Fung Shek	BQU683	Licensed Representative	1, 4
LAU Ho Cheong	BQV549	Licensed Representative	1, 2, 3, 4
LAU Ho Kwong Heymens	BQU574	Licensed Representative	1, 4, 6
LAU Kwok Wai	AQJ443	Licensed Representative	1, 2
LAU Pui Sze Fanny	BJZ085	Licensed Representative	4
LAU Pui Sze, Priscilla	AFW717	Licensed Representative	1
LAU Wing Man	BQU145	Licensed Representative	1
LAU Yim Ha	BQV765	Licensed Representative	1, 4
LAU Yin Tsun Eugene	BQS292	Licensed Representative	6
LAU Yuet Chiu	BEI688	Licensed Representative	1, 4
LAW Sum Yee, Purple	BQW400	Licensed Representative	1, 2, 4, 5, 7
LAW Yeung Sin Angelin	BQW535	Licensed Representative	1, 2

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
LEE Chien Han	BQS640	Licensed Representative	1, 2
LEE Chun Hoi	AJD777	Licensed Representative	1, 4
LEE Dongjin	BQT934	Licensed Representative	1, 6
LEE Jack Kai Tik	BQV551	Licensed Representative	1, 4
LEE Jungyoon	BQU841	Licensed Representative	2, 5
LEE Kar Yee	BQX843	Licensed Representative	1
LEE Kit Sum	BQW632	Licensed Representative	1, 4, 9
LEE Michelle Mingren	BHX793	Licensed Representative	1, 4, 9
LEE Pei Yee Jovina	BQW994	Licensed Representative	1, 2
LEE Pui Yu	BQU992	Licensed Representative	9
LEE Sai Man	BMZ548	Licensed Representative	10
LEE Seung Jin	AFV898	Licensed Representative	1
LEUNG Alex Chun Hin	BQV618	Licensed Representative	1, 4
LEUNG Mei Shan	BIW785	Licensed Representative	1
LEUNG Sin Yee	BQX151	Licensed Representative	1
LI Chi Lung	AZL751	Licensed Representative	1
LI Dan	BQX076	Licensed Representative	1, 4, 6
LI Hanyang	BQZ779	Licensed Representative	1, 4
LI Haoran	BQV619	Licensed Representative	1, 4, 6
LI Jiacheng	BQU582	Licensed Representative	1, 2
LI Jing	BQV764	Licensed Representative	1, 4, 6
LI Juan	BPJ045	Licensed Representative	9
LI Ka Tsun	BNR840	Licensed Representative	1, 2, 4
LI Kin Man, Kent	AOY339	Licensed Representative	1, 4, 9
LI Liangzi	BQW500	Licensed Representative	1, 4, 6
LI Na	BQW907	Licensed Representative	2
LI Suet Man, Nora	ASH687	Licensed Representative	1, 4
LI Wing Chee	BFS581	Licensed Representative	1, 2
LI Ximei	BPJ159	Licensed Representative	1, 4, 9
LI Yuxiang	BQU403	Licensed Representative	1, 4, 9
LI Zheng	BQW983	Licensed Representative	4, 9
LI Zhiyao	BQY967	Licensed Representative	1, 6
LI Zihao	BQW194	Licensed Representative	1
LIANG Chengfang	BQN319	Licensed Representative	4
LIAO John Bond Jonathan	BQF096	Licensed Representative	1, 2
LIN Jing	AZQ419	Licensed Representative	1, 4, 9
LIN Wai Leong	BQW260	Licensed Representative	1, 2
LIN Zhongxin	BQW103	Licensed Representative	1, 4
LING Yao	BBF570	Licensed Representative	1, 4, 9
LIT Ching Nam	BQW258	Licensed Representative	1, 2
LIU Ching Pan, Derek	BQT849	Licensed Representative	4
LIU Enyu	BQV611	Licensed Representative	1, 2, 4, 9
LIU Hanning	BRA098	Licensed Representative	1, 4
LIU Jun	BQX072	Licensed Representative	1, 4, 6
LIU Lu	BQX073	Licensed Representative	1, 4, 6
LIU Mengran	BQW928	Licensed Representative	4, 9
LIU Shihao	BQO842	Licensed Representative	1, 4, 9
LIU Shuiyin	BHK590	Licensed Representative	1, 4, 5, 9
LIU Su-Hui	BGM904	Licensed Representative	1, 4
LIU Wenbo	BQW323	Licensed Representative	1, 6

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
LIU Xiao	BQT701	Licensed Representative	4, 9
LIU Xinyi	BQU973	Licensed Representative	4, 9
LIU Yichen	BQW327	Licensed Representative	1, 6
LOH Howard Howe Yeung	BCA495	Licensed Representative	9
LOW Kay Kham	BQV337	Licensed Representative	1, 4, 6
LU Xiaomeng	BQV486	Licensed Representative	1, 4, 6
LUO Wei	BPY860	Licensed Representative	1, 6
LUO Yuxin	BQP771	Licensed Representative	1, 2, 4, 5
LYU Yu	BGA441	Licensed Representative	4
LYU Zhen	BQV810	Licensed Representative	1, 4, 6
MA Kaiyi	BQU833	Licensed Representative	4
MA Kit Yu Priscilla	BQV948	Licensed Representative	1, 2
MA Minyi	BQV197	Licensed Representative	1, 4
MA Yuwei	BQR923	Licensed Representative	1, 4
MADIOUNI Yassine	BNL369	Licensed Representative	1, 2
MAN Tai Wan	BQU215	Licensed Representative	1, 4
MATSUBARA Fuyuki	BQU257	Licensed Representative	1, 2, 4, 5
MENG Ke	BQW003	Licensed Representative	9
MOK CHI FUNG	BQX150	Licensed Representative	9
MOORFIELD-YEE Liam	BQW092	Licensed Representative	1
NACSON Andes	BQW477	Licensed Representative	9
NAGASAWA Shohei	BQW081	Licensed Representative	9
NAKADA Makoto	BFY821	Licensed Representative	4, 9
NG Chi Hang, John	BFD830	Licensed Representative	1
NG Ka Ying	BQL493	Licensed Representative	6
NG Sze Wang Antonio	BQV762	Licensed Representative	1, 6
ONO Yasuhiro	BQT660	Licensed Representative	9
PAN JIANONG	BQV863	Licensed Representative	1, 4
PAN Qiaochu	BQV939	Licensed Representative	1
POON Hei Wai	ARF067	Licensed Representative	1
PYTTLIK Patricia Anna	BQW685	Licensed Representative	4
QIAN Gaolin	BQU932	Licensed Representative	1, 2
QIAN Yangyang	BQV694	Licensed Representative	1, 2
QIN SI	BQU935	Licensed Representative	1, 6
QIN Tongbiao	BQQ817	Licensed Representative	1, 4, 9
RODFORD Mark Andrew	BQV688	Licensed Representative	1, 2
SAMANT Aditya Ashok	ACA985	Licensed Representative	1
SHAO Michael	BQW032	Licensed Representative	1, 4
SHI Jiacheng	BQS650	Licensed Representative	1, 6
SHI Yafei	BQV151	Licensed Representative	2, 5
SHI Yilei	BQW109	Licensed Representative	1, 4
SHI Zhuoyue	BOZ221	Licensed Representative	1, 4, 6
SI Xianrong	BQW396	Licensed Representative	1, 4, 6
SIU Chit Fai	BQW712	Licensed Representative	1, 4
SIU Chun Pong	BQX158	Licensed Representative	1, 2
SO Ka Ho	BQV873	Licensed Representative	1
SO Ka Yee	BQV884	Licensed Representative	1
SO Mei Yee Marilyn	AWE114	Licensed Representative	1, 4
SONG Guchuan	BQF157	Licensed Representative	6
SONG Shaofeng	BQT719	Licensed Representative	4, 9

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CE Name	CE No.	Licence Type	Types of Regulated Activity
SONG Zhiyuan	BRA089	Licensed Representative	1, 6
SUN Bo	BQF186	Licensed Representative	6
SUN Fanding	BQV360	Licensed Representative	1, 4
SUN Junhua	BQU259	Licensed Representative	1
SUN Shuai	BFS276	Licensed Representative	9
SUN Zewen	BRA092	Licensed Representative	1, 6
SUNG Yuk Ting	BQT880	Licensed Representative	1
SZE Wing Man Ada	BQV333	Licensed Representative	1
SZETO Fong Wa, Jenny	AED920	Licensed Representative	4, 9
TAI Yiu Ho	BQR278	Licensed Representative	1
TAM Chi Kin	BQX895	Licensed Representative	1
TAM Chris Sze On	BJD946	Licensed Representative	1, 4, 6
TAM Yin Kong, Econ	AKS772	Licensed Representative	1
TAN Daniel Gabriel Soon Lye	BQU587	Licensed Representative	1, 4, 9
TAN Gavin Yang Bi	BQT605	Licensed Representative	9
TAN Taizhi	BCH565	Licensed Representative	1, 4, 6
TANG Chi Kwan, Veronica	BOG443	Licensed Representative	4, 9
TANG Dawei	BQW340	Licensed Representative	1, 6
TANG Lok Chi	BOO535	Licensed Representative	9
TAO Wing Han Vera	BQV918	Licensed Representative	1, 4
TAU Sai Kit, Terry	ARI167	Licensed Representative	1
TIAN Yifan	BQW516	Licensed Representative	4, 9
TO Man Ting	BQY880	Licensed Representative	1, 4
TSANG Clement Si Yuen	BQW324	Licensed Representative	1
TSANG Shuk Ching	BQW711	Licensed Representative	1, 4
TSANG Wa Chin	BQX011	Licensed Representative	1, 2
TSAO Ching Tsung	BQW411	Licensed Representative	1, 4, 9
TSE Yu Lung	AVU827	Licensed Representative	1, 2
TSUI Ursula Yee Har	AUB434	Licensed Representative	1
TUEN Hei Ching	BQV418	Licensed Representative	4, 9
TUERSHUNJIANG Ahemaitijiang	BQW336	Licensed Representative	1, 2
WAN Chun Man	ATY752	Licensed Representative	1, 2
WAN Ho Fung	BQV498	Licensed Representative	1, 4
WANG Guoliang	BQV746	Licensed Representative	1, 4, 6
WANG Jue	BOF346	Licensed Representative	1
WANG Kai	BQR446	Licensed Representative	4
WANG Pei	BQW985	Licensed Representative	1, 4, 5, 9
WANG Siyun	BGO634	Licensed Representative	6
WANG Weifeng	BPU674	Licensed Representative	1
WANG Yingfeng	BNY361	Licensed Representative	9
WANG Yue	BMT609	Licensed Representative	1, 2, 4, 5
WEI Hong Fu	BQV809	Licensed Representative	9
WEI Yu	BPM749	Licensed Representative	4, 9
WONG Bon Lun Barry	BQT103	Licensed Representative	1, 4
WONG Ching Ki	AGP092	Licensed Representative	4, 9
WONG Ching-Ching Jane	BQV954	Licensed Representative	1
WONG Chow Wah	ABP448	Licensed Representative	1, 2
WONG Chun Lam Kevin	BQW028	Licensed Representative	1
WONG Fai Yeung	BQW998	Licensed Representative	1
WONG Hiu Tung	BQW355	Licensed Representative	1

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<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
WONG King Cheung	BCB957	Licensed Representative	1, 4, 9
WONG Kwan Pang	BND499	Licensed Representative	1, 4
WONG Man Kwong	BQV399	Licensed Representative	4
WONG Olivier	BQR904	Licensed Representative	1
WONG Sze Man Elsie	BQQ703	Licensed Representative	1, 4
WONG Wing Hang, Dominic	ACL614	Licensed Representative	1, 4, 9
WONG Yan Kei Christine	BKD487	Licensed Representative	1, 4, 9
WONG Yee Kae	ATV562	Licensed Representative	1, 2
WONG Yi Fung	ANR496	Licensed Representative	1, 4
WONG Yuk Wah	AFG167	Licensed Representative	4, 9
WU Chenguang	BQU494	Licensed Representative	4
WU Chih-Jung	BQV050	Licensed Representative	1, 2, 4, 5
WU Di	BQW332	Licensed Representative	1, 6
WU Guoyuan	BQV487	Licensed Representative	4
WU Hok Sau	ACD001	Licensed Representative	1, 2
WU Jung-En	BQU459	Licensed Representative	4
WU Shan	BQV150	Licensed Representative	1
WU Shuning	BQT148	Licensed Representative	1, 4, 9
WU Yanpeng	BQR008	Licensed Representative	1, 4, 9
WU Yuchen	BRA097	Licensed Representative	1, 6
WU Yuding	BAF612	Licensed Representative	1, 4, 5, 9
WU Yue	BQW339	Licensed Representative	1, 6
WU Yutong	BQZ909	Licensed Representative	1, 6
WU Zhiyi	BQW395	Licensed Representative	4, 9
XIAO GANG	BQV876	Licensed Representative	1, 6
XIE Guihong	BQW118	Licensed Representative	2
XIONG Xueying	BQV378	Licensed Representative	1, 2
XU Chenyang	BQU852	Licensed Representative	9
XU Huan	BRB730	Licensed Representative	1, 4, 9
XU Ke	BHU228	Licensed Representative	1, 4
XU Ke	BQS954	Licensed Representative	4, 9
XU Min	BQZ862	Licensed Representative	1, 6
XU Rui	BQN938	Licensed Representative	1, 2, 7
XU Yuqian	BQV813	Licensed Representative	1, 4, 6
XU Ziyi	BQW633	Licensed Representative	1, 6
YAMAGUCHI Tomohiro	BQV717	Licensed Representative	1, 4
YANG Chung Hoi	AQA058	Licensed Representative	1
YANG Jing	BIX539	Licensed Representative	4
YANG Lingxiu	AVH936	Licensed Representative	4
YANG Tsung-huan	BQT342	Licensed Representative	9
YANG Xiaoming	BQW808	Licensed Representative	4, 9
YANG Xiaoyu	BRA096	Licensed Representative	1, 4
YANG Yan	BQP698	Licensed Representative	1, 4
YANG Yueqi	BQV557	Licensed Representative	1, 4, 6
YE Tao	BQU111	Licensed Representative	1, 4
YEOH Chye Chuan Eric	BMM148	Licensed Representative	1, 4
YEUNG Ho Yam Richard	BMH865	Licensed Representative	4, 9
YEUNG Lily	BQV553	Licensed Representative	1, 4, 9
YEUNG Tsz Kwong	AQQ282	Licensed Representative	1, 4
YEUNG Wing Sum	BQW434	Licensed Representative	1, 2



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2021**

CE Name	CE No.	Licence Type	Types of Regulated Activity
YIK Anthony Kwun Toh	APZ645	Licensed Representative	9
YIN Chenli	BQW831	Licensed Representative	1, 2
YIN Fangfei	BMV612	Licensed Representative	1, 2, 4
YIN Xinch	BOT875	Licensed Representative	4
YIP Chi Chung	BQX043	Licensed Representative	1, 4
YIP Ka Man	BQV454	Licensed Representative	1
YIP Man Yui	BPS558	Licensed Representative	1
YIP Wing Sum	BQT855	Licensed Representative	4
YU Chin Ting	BQW322	Licensed Representative	1
YU Hongxin	BQW341	Licensed Representative	1, 6
YU Xin Bin	BPN221	Licensed Representative	4, 9
YU Yang	BQV952	Licensed Representative	1, 4, 9
YUAN Rachel	BQW470	Licensed Representative	1
YUE Yi	BJI225	Licensed Representative	4, 9
YUEN Wai Ching	BQW094	Licensed Representative	1, 2
YUNG Man Ching	BFP330	Licensed Representative	1, 4
ZENG Shiyu	BQX035	Licensed Representative	1
ZENG Zhaolin	BQQ549	Licensed Representative	4, 9
ZHANG Bingxue	BQY938	Licensed Representative	1, 4, 9
ZHANG Chen	BQW337	Licensed Representative	1, 6
ZHANG Ding	BQW338	Licensed Representative	1, 6
ZHANG Dongyu	BQU930	Licensed Representative	1, 4, 6
ZHANG Jiaqi	BLT202	Licensed Representative	1, 2, 4
ZHANG Jinglei	BQV633	Licensed Representative	1, 4, 6
ZHANG Jingyi	BQW014	Licensed Representative	1, 4, 6
ZHANG Jinyao	BQW387	Licensed Representative	4
ZHANG Kewei	BQU920	Licensed Representative	1, 6
ZHANG Qingyun	BQW104	Licensed Representative	1, 2
ZHANG Quanguo	BQV624	Licensed Representative	4
ZHANG Tianye	BQY963	Licensed Representative	1, 6
ZHANG Xi	BQX814	Licensed Representative	4
ZHANG Xiaoyu	BQV107	Licensed Representative	4
ZHANG Xu	BQV950	Licensed Representative	1, 2, 4, 5
ZHANG Yanyang	BQW668	Licensed Representative	1
ZHANG Zhuo	BQV857	Licensed Representative	1, 4
ZHAO He	BLN655	Licensed Representative	1, 6
ZHAO Heran	BRA093	Licensed Representative	1, 6
ZHAO Meiqi	BQT728	Licensed Representative	4, 9
ZHAO Qian	BMM556	Licensed Representative	4, 9
ZHENG Qin	BQV071	Licensed Representative	4
ZHENG Yiming	BQV917	Licensed Representative	4
ZHOU Huicheng	BQU273	Licensed Representative	1, 6
ZHOU Mali	BQW029	Licensed Representative	1, 2
ZHOU Xiaoxiao	BRA090	Licensed Representative	1, 4
ZHOU Yiran	BRA091	Licensed Representative	1, 6
ZHOU Yue	BQW497	Licensed Representative	4
ZHU Peng	BQF198	Licensed Representative	6
CARTWRIGHT Olivia Lucy	APA549	Licensed Representative - Responsible Officer	1, 4
CHAN Chi Wai	BFX535	Licensed Representative - Responsible Officer	1, 4
CHANG Chien Min	BQO631	Licensed Representative - Responsible Officer	1, 4, 9

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2021**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
CHEN Lida	BQQ169	Licensed Representative - Responsible Officer	4, 9
CHEUNG Ching Man	AXI346	Licensed Representative - Responsible Officer	1, 4
CHEUNG Ming Tak, Rickael	ACZ049	Licensed Representative - Responsible Officer	1, 2
COKENG Katrina Marie Ku	AXQ289	Licensed Representative - Responsible Officer	4, 9
DA Yang	BBZ357	Licensed Representative - Responsible Officer	9
FRIEDENBERG Jeffrey	BNV084	Licensed Representative - Responsible Officer	1
FUJIKAWA Shingo	BQV191	Licensed Representative - Responsible Officer	1, 4, 9
HAAGEN Anders	ASI052	Licensed Representative - Responsible Officer	9
HACKETT William John	AWP597	Licensed Representative - Responsible Officer	1, 4, 9
HAN Xu	AOE724	Licensed Representative - Responsible Officer	1, 4, 6
HUANG He	BOS012	Licensed Representative - Responsible Officer	2
KEMP James Adam	BQR673	Licensed Representative - Responsible Officer	1, 6
LACEY JR Donald Edward	AUQ978	Licensed Representative - Responsible Officer	4, 9
LAM Josephine	AGY066	Licensed Representative - Responsible Officer	1, 4, 9
LARSEN Jonathan Christian	BQM545	Licensed Representative - Responsible Officer	4, 9
LAU Siu Fai	ADA388	Licensed Representative - Responsible Officer	1
LEUNG Kar Cheung, Catherine	AGN568	Licensed Representative - Responsible Officer	1, 4, 9
LI Fei	ANQ366	Licensed Representative - Responsible Officer	9
LI Kin Man, Kent	AOY339	Licensed Representative - Responsible Officer	2
LI Ye	BQP544	Licensed Representative - Responsible Officer	9
LI Ying	BQN192	Licensed Representative - Responsible Officer	4, 9
LI Yue	AQG737	Licensed Representative - Responsible Officer	9
LIAN Ziqi	BCK867	Licensed Representative - Responsible Officer	4, 9
LIANG Chengfang	BQN319	Licensed Representative - Responsible Officer	9
LO Ting Hei	BQL232	Licensed Representative - Responsible Officer	9
MCDONALD Cameron Sean	BPX914	Licensed Representative - Responsible Officer	4
PAN Lok Ping, Ivy	ADZ359	Licensed Representative - Responsible Officer	4, 9
PANTAWONGDECHA Raktapa	BBR329	Licensed Representative - Responsible Officer	4, 9
ROCK Alexander John	BPX913	Licensed Representative - Responsible Officer	1, 4
ROSS Jennifer Marie	BKB733	Licensed Representative - Responsible Officer	9
SHEN Zhan	BBE434	Licensed Representative - Responsible Officer	1, 4, 9
TAM Sin Bun	AOD765	Licensed Representative - Responsible Officer	9
TANG Li-yu	AWE763	Licensed Representative - Responsible Officer	4, 9
TIAN Jiayu	BQO632	Licensed Representative - Responsible Officer	1, 4, 9
WU Wai Kong, Lawrence	ACO383	Licensed Representative - Responsible Officer	1
XU Dapeng	AUZ670	Licensed Representative - Responsible Officer	9
XU Ke	BNL254	Licensed Representative - Responsible Officer	4, 9
YAN Suping	AUR633	Licensed Representative - Responsible Officer	1, 4, 5, 9
YANG Dongsheng	BQE328	Licensed Representative - Responsible Officer	2, 5
ZHAO Xue	BQU703	Licensed Representative - Responsible Officer	1
ZHOU Qiwei	BPN627	Licensed Representative - Responsible Officer	9
ZHU Fangming	BQU148	Licensed Representative - Responsible Officer	4, 9
AN Yuan	BMY714	Licensed Representative (Provisional Licence)	1, 6
AU Andrew	AGA691	Licensed Representative (Provisional Licence)	1
AU YEUNG Lok Yan	AXI338	Licensed Representative (Provisional Licence)	1
BAI YINSHENG	BQT501	Licensed Representative (Provisional Licence)	1, 4
BANDARUPALLI Laxmi Deepak	BGP937	Licensed Representative (Provisional Licence)	9
BHARGAVA Ambika Goel	BQO602	Licensed Representative (Provisional Licence)	1
CHAN Chi Keung	ARJ968	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Ching Ho	BQW689	Licensed Representative (Provisional Licence)	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2021**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
CHAN Chun Yan, Lionel	BGN505	Licensed Representative (Provisional Licence)	4, 5, 9
CHAN Fai Hong	BRB415	Licensed Representative (Provisional Licence)	1, 4, 6
CHAN Kwan Lee	BRB434	Licensed Representative (Provisional Licence)	1, 2
CHAN Leo Chee Wah	BRA825	Licensed Representative (Provisional Licence)	1, 2
CHAN Ramand Wai Ming	ANS053	Licensed Representative (Provisional Licence)	4, 9
CHAN Siu Ting	BOF597	Licensed Representative (Provisional Licence)	4
CHAN Tak Wah Jacky	BRD424	Licensed Representative (Provisional Licence)	1
CHAN Wai Nga, Christy	AQG586	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Chieh Hung	BRB488	Licensed Representative (Provisional Licence)	1
CHEN Hao	BQH895	Licensed Representative (Provisional Licence)	6
CHEN Hongda	BQY997	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Longyu	BQW188	Licensed Representative (Provisional Licence)	1, 4
CHEN Ruiqin	BRA100	Licensed Representative (Provisional Licence)	1, 2, 4
CHEN Tiantong	BRC178	Licensed Representative (Provisional Licence)	1, 2, 4
CHEN Yanqi	AYI422	Licensed Representative (Provisional Licence)	4, 5, 9
CHEN Yansong	BQY912	Licensed Representative (Provisional Licence)	1, 2
CHEN Yi	BQV869	Licensed Representative (Provisional Licence)	4
CHEN Yu Hsin	BQV643	Licensed Representative (Provisional Licence)	1, 4
CHEN Yue	BPL702	Licensed Representative (Provisional Licence)	1, 6
CHEN Yuexi	BRA461	Licensed Representative (Provisional Licence)	1
CHENG Hiu Kwong	BRD409	Licensed Representative (Provisional Licence)	6
CHENG Lok Hei	BRC170	Licensed Representative (Provisional Licence)	1, 2
CHEON Gene Young	BRA929	Licensed Representative (Provisional Licence)	1, 2, 4, 5
CHEUNG Aaron Yik Hei	AVZ772	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Chun Chun, Vivian	BLL579	Licensed Representative (Provisional Licence)	1, 4
CHEUNG CURTIS LOK LUN	BQW875	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Foon	BQD487	Licensed Representative (Provisional Licence)	4, 9
CHEUNG Tak Chuen Edwin	BQV140	Licensed Representative (Provisional Licence)	4, 9
CHEUNG Wing Pong	BRB316	Licensed Representative (Provisional Licence)	1, 4, 9
CHEUNG Yee Tak Jonathan	BGZ326	Licensed Representative (Provisional Licence)	1, 4, 6
CHIN Chiuen Chou Gabriel	BQU853	Licensed Representative (Provisional Licence)	2, 5
CHING Kam Hung	BNR367	Licensed Representative (Provisional Licence)	1, 6
CHIU Po Ki	BRB490	Licensed Representative (Provisional Licence)	4, 9
CHOBTUANGTHONG Pongsagon	AFQ785	Licensed Representative (Provisional Licence)	4, 9
CHOU Fang-Hsi	BQX102	Licensed Representative (Provisional Licence)	1, 2
CHOW Oscar	AWP108	Licensed Representative (Provisional Licence)	9
CHOW Yu Kan	BQX801	Licensed Representative (Provisional Licence)	1, 4
CHUI Lap Pan	BCK283	Licensed Representative (Provisional Licence)	1, 2, 4
CHUI Yuen Kwan	BQY901	Licensed Representative (Provisional Licence)	1
CHUNG Cheung Chak	BQR902	Licensed Representative (Provisional Licence)	6
CHUNG Nga Sze	BRB142	Licensed Representative (Provisional Licence)	1, 4
CLEIN Jonathan Robert	BQV767	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
DAYAL Prasoon	AEH431	Licensed Representative (Provisional Licence)	9
DIAO Kunxiang	BRA187	Licensed Representative (Provisional Licence)	4, 9
FAN Wei	BQF160	Licensed Representative (Provisional Licence)	6
FAN Wenya	BQQ607	Licensed Representative (Provisional Licence)	1, 2
FAN Xin	BQW037	Licensed Representative (Provisional Licence)	1, 6
FAN Xinyi	BFA099	Licensed Representative (Provisional Licence)	1, 4
FANG Kun	BRA873	Licensed Representative (Provisional Licence)	1, 6
FANG Shuyuan	BQZ908	Licensed Representative (Provisional Licence)	1, 2

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2021**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
FANG Wangwei	BRA866	Licensed Representative (Provisional Licence)	1, 6
FENG Xinglei	BQG528	Licensed Representative (Provisional Licence)	6
FENG Yiyong	BIW362	Licensed Representative (Provisional Licence)	4, 9
FONG Emi Dochi	ARO581	Licensed Representative (Provisional Licence)	4, 9
FU Kwan Ling	BQW120	Licensed Representative (Provisional Licence)	1
FUNG Kwok Lun	BNG807	Licensed Representative (Provisional Licence)	1, 2
GALVIN Myles Emmet	BQW786	Licensed Representative (Provisional Licence)	1, 4, 9
GAN Shengnan	BQT680	Licensed Representative (Provisional Licence)	1, 4, 9
GAN Tanhuan	BRD477	Licensed Representative (Provisional Licence)	4
GAO Feng	AQQ495	Licensed Representative (Provisional Licence)	1, 6
GAO Yuyang	BQW927	Licensed Representative (Provisional Licence)	2, 5
GENG Lin	BRA437	Licensed Representative (Provisional Licence)	1, 6
GO Sing Fai	ACH859	Licensed Representative (Provisional Licence)	1, 4, 9
GREGORY-ROBERTS Simon Arnold John	APW359	Licensed Representative (Provisional Licence)	1
GUI Zhu	BQW376	Licensed Representative (Provisional Licence)	1
GUO Hui	BRC033	Licensed Representative (Provisional Licence)	1, 6
GUO Lina	BRA497	Licensed Representative (Provisional Licence)	9
GUO Yuelin	BRB915	Licensed Representative (Provisional Licence)	1, 6
HAN Yixuan	BRA344	Licensed Representative (Provisional Licence)	1, 6
HE Kaihong	BRA427	Licensed Representative (Provisional Licence)	1, 2
HE Kang	BRB318	Licensed Representative (Provisional Licence)	4
HE Yuan	BRB787	Licensed Representative (Provisional Licence)	1, 4, 6
HIOE Kwok Ho Nick	BFZ230	Licensed Representative (Provisional Licence)	4, 9
HO Eric Chi-fung	BQU733	Licensed Representative (Provisional Licence)	1, 4, 9
HO Kiu Yu	BRA463	Licensed Representative (Provisional Licence)	1
HO Wai Tung Nellie	BIT010	Licensed Representative (Provisional Licence)	1, 4, 6
HO Yuen Lee	AYO086	Licensed Representative (Provisional Licence)	1, 4, 5, 9
HONG Xiaoyan	BQY956	Licensed Representative (Provisional Licence)	1, 4
HSU Ren Pei	BQV664	Licensed Representative (Provisional Licence)	1, 4, 6
HU Rui	BRA774	Licensed Representative (Provisional Licence)	1, 4, 9
HU Xiaoyue	BRA247	Licensed Representative (Provisional Licence)	4
HUANG Frances	BRA770	Licensed Representative (Provisional Licence)	1
HUANG Heng	BRA892	Licensed Representative (Provisional Licence)	1, 6
HUANG Kaifa	BRD535	Licensed Representative (Provisional Licence)	1
HUI Chun Kit	BRA169	Licensed Representative (Provisional Licence)	1
IP Joseph Zi Jun	BHM170	Licensed Representative (Provisional Licence)	1, 2
IP Ping Kwong	BRB681	Licensed Representative (Provisional Licence)	1, 4
JAMIESON Hugh Hunter	BQY999	Licensed Representative (Provisional Licence)	9
JIANG Ruju	BQX101	Licensed Representative (Provisional Licence)	6
JIANG Shuyu	BRD724	Licensed Representative (Provisional Licence)	1
JIANG Yue	BRC036	Licensed Representative (Provisional Licence)	1
JU Pengfei	BRA104	Licensed Representative (Provisional Licence)	1, 4, 6
KAEOMANI Chariya	BRA609	Licensed Representative (Provisional Licence)	1, 2
KAM Kai Ki	BQW401	Licensed Representative (Provisional Licence)	1
KAMATA Marina	BQZ851	Licensed Representative (Provisional Licence)	1, 4, 6
KEUM Seung Hwan	BRB054	Licensed Representative (Provisional Licence)	10
KHAN Wylie	BRB733	Licensed Representative (Provisional Licence)	1, 4
KIM Soo Hyun	BQU743	Licensed Representative (Provisional Licence)	1, 6
KO Hee Jae	BQW670	Licensed Representative (Provisional Licence)	1, 4, 6
KONG Cheuk Hang	BRA994	Licensed Representative (Provisional Licence)	1, 2

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2021**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
KUNG Andre Hoi Hang	BFT022	Licensed Representative (Provisional Licence)	1, 4
KUO-TSING-JEN Jill	BRC086	Licensed Representative (Provisional Licence)	4
KWAN Yu Ting, Dennis	AFU648	Licensed Representative (Provisional Licence)	1, 4, 9
LAI Tsz Kit	BRA112	Licensed Representative (Provisional Licence)	9
LAI Yin Man Naomi	BRB007	Licensed Representative (Provisional Licence)	1, 6
LAI Yong Xin	BRD493	Licensed Representative (Provisional Licence)	1, 4, 6
LAI Yu Kwan	BRB433	Licensed Representative (Provisional Licence)	1, 2
LAM Ho Yin	BRB438	Licensed Representative (Provisional Licence)	1, 2
LAM Tat Ngai	BOJ640	Licensed Representative (Provisional Licence)	6
LAM Wai Sze	BRA337	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Ying Shan	BQU258	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Yuk Yu	BRB207	Licensed Representative (Provisional Licence)	4, 9
LANG Siyuan	BRA943	Licensed Representative (Provisional Licence)	9
LAU Ho Kwong Heymens	BQU574	Licensed Representative (Provisional Licence)	1, 4, 6
LAU Pok Yui	BQX159	Licensed Representative (Provisional Licence)	4
LAU Ying Ying, Ruby	ALW386	Licensed Representative (Provisional Licence)	1, 4, 9
LAW Sum Yee, Purple	BQW400	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
LEE Chun Yiu	BRA744	Licensed Representative (Provisional Licence)	1
LEE Jaeyong	BRA585	Licensed Representative (Provisional Licence)	1, 6
LEE Ka Chun	BRB859	Licensed Representative (Provisional Licence)	1, 6
LEE Kai Ho, Patrick	AJL012	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Man Wai Maria Katherine	BRB436	Licensed Representative (Provisional Licence)	1
LEE Seung Jin	AFV898	Licensed Representative (Provisional Licence)	1
LEE Sin Man	APV763	Licensed Representative (Provisional Licence)	1
LEUNG Jessamine	ARG532	Licensed Representative (Provisional Licence)	1
LEUNG Kin Sing	BRC211	Licensed Representative (Provisional Licence)	1, 4, 5, 9
LI Anqi	BQV468	Licensed Representative (Provisional Licence)	1, 6
LI Chi Lung	AZL751	Licensed Representative (Provisional Licence)	1
LI Chun Leung	BQX823	Licensed Representative (Provisional Licence)	1
LI Dan	BQX076	Licensed Representative (Provisional Licence)	1, 4, 6
LI Jiazhou	BRA026	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
LI Jinyuan	BRA107	Licensed Representative (Provisional Licence)	1, 4, 6
LI Jinzhe	BRA594	Licensed Representative (Provisional Licence)	4
LI Kai	BRD789	Licensed Representative (Provisional Licence)	1, 4, 6
LI Liangdianer	BRD923	Licensed Representative (Provisional Licence)	1, 4, 6
LI Ming	BQW734	Licensed Representative (Provisional Licence)	1, 4, 9
LI Na	BRA667	Licensed Representative (Provisional Licence)	1
LI Rong	BQW378	Licensed Representative (Provisional Licence)	1, 4, 9
LI Runtao	BRD676	Licensed Representative (Provisional Licence)	1, 4
LI Yan	BQW360	Licensed Representative (Provisional Licence)	1, 6
LI Yiting	BOG043	Licensed Representative (Provisional Licence)	1, 2, 4
LI Zheng	BQW983	Licensed Representative (Provisional Licence)	4, 9
LI Zhenxing	BRB523	Licensed Representative (Provisional Licence)	1, 6
LI Zhiyu	BRB022	Licensed Representative (Provisional Licence)	1, 4, 6
LI Zhongjin	BRD669	Licensed Representative (Provisional Licence)	1, 4, 6
LIAN Xinyi	BRA132	Licensed Representative (Provisional Licence)	1, 6
LIN Sen	BKZ414	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Tao	BRA862	Licensed Representative (Provisional Licence)	1, 6
LIN Tiantian	BIB398	Licensed Representative (Provisional Licence)	6
LIN Zhengheng	BRC046	Licensed Representative (Provisional Licence)	4

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2021**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
LIU Da	BGI024	Licensed Representative (Provisional Licence)	4
LIU Dun	BRA103	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Hengli	BRA828	Licensed Representative (Provisional Licence)	4
LIU Huanbin	BRA246	Licensed Representative (Provisional Licence)	4, 9
LIU Jun	BQX072	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Leishi	BMU961	Licensed Representative (Provisional Licence)	1, 6
LIU Lu	BQX073	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Xiaoyan	BRA645	Licensed Representative (Provisional Licence)	1
LIU Ying	BRA248	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Yingying	BRC032	Licensed Representative (Provisional Licence)	1, 4
LIU Yu Chieh	BRA047	Licensed Representative (Provisional Licence)	1, 4
LIU Zijun	BRA319	Licensed Representative (Provisional Licence)	4
LOCK Chun Wai	BHN005	Licensed Representative (Provisional Licence)	1
LU Hao	BRB543	Licensed Representative (Provisional Licence)	4
LU Jiatong	BRD857	Licensed Representative (Provisional Licence)	1, 6
LU Ling	BQZ805	Licensed Representative (Provisional Licence)	9
LU Xiaoxue	BRD933	Licensed Representative (Provisional Licence)	6
LU Yingguo	BRC236	Licensed Representative (Provisional Licence)	1, 2, 9
LUK Chun Ho	BRB978	Licensed Representative (Provisional Licence)	1, 2, 4
LUO Qitian	BQY943	Licensed Representative (Provisional Licence)	9
LYU Yu	BGA441	Licensed Representative (Provisional Licence)	4
MA Fengming	BRD866	Licensed Representative (Provisional Licence)	1, 6
MA Ke	BRA249	Licensed Representative (Provisional Licence)	1, 4, 6
MA Yubo	BRB400	Licensed Representative (Provisional Licence)	1, 4, 6
MACKAY Kaori	BRA204	Licensed Representative (Provisional Licence)	9
MADIOUNI Yassine	BNL369	Licensed Representative (Provisional Licence)	1, 2
MAK Ho Long Gareth	BQX809	Licensed Representative (Provisional Licence)	1, 2
MAN Hiu Tung Crystal	BRD912	Licensed Representative (Provisional Licence)	1
MAN Shing Sum	AJE069	Licensed Representative (Provisional Licence)	1, 4, 5, 9
MCLAUGHLAN James Douglas Edwards	BRA948	Licensed Representative (Provisional Licence)	1, 4, 6
MEHAY Neha	BQT665	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
MIAO Di	BRC197	Licensed Representative (Provisional Licence)	1, 2
MOK CHI FUNG	BQX150	Licensed Representative (Provisional Licence)	9
MOK Ngo Wang, Owen	BRC174	Licensed Representative (Provisional Licence)	1, 2, 4
MOK Wan Yee Winnie	BRA593	Licensed Representative (Provisional Licence)	1, 4
NACSON Andes	BQW477	Licensed Representative (Provisional Licence)	9
NG Bernice Silvana Nicole Rose	BRA156	Licensed Representative (Provisional Licence)	1
NG Carmen C M	BRA945	Licensed Representative (Provisional Licence)	1, 6
NG Chi Hang, John	BFD830	Licensed Representative (Provisional Licence)	1
NG Ching Ho, Patrick	BNV750	Licensed Representative (Provisional Licence)	9
NG Ching Man	BQW730	Licensed Representative (Provisional Licence)	1
NG Chong Fong	AZD382	Licensed Representative (Provisional Licence)	1, 4, 9
NG Chui Man	BRA117	Licensed Representative (Provisional Licence)	1
NG King Hei Arthur	BRD423	Licensed Representative (Provisional Licence)	1, 4, 6
NG Yu Ching Marco	BRB814	Licensed Representative (Provisional Licence)	1, 2
NI Zhengqing	BRB773	Licensed Representative (Provisional Licence)	1, 6
OEHRI Stefan Johann	BRB315	Licensed Representative (Provisional Licence)	1, 4, 9
OVERY Matthew James	BQT316	Licensed Representative (Provisional Licence)	4
PAN Jiazheng	BRA315	Licensed Representative (Provisional Licence)	1, 4, 5, 9
PAN Yuxuan	BQX090	Licensed Representative (Provisional Licence)	1

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2021**

CE Name	CE No.	Licence Type	Types of Regulated Activity
PAN Yuzhang	BJT264	Licensed Representative (Provisional Licence)	1, 6
PENG Danyan	BRB345	Licensed Representative (Provisional Licence)	1, 2
PENG Zhen	BRD873	Licensed Representative (Provisional Licence)	1, 6
POON Ching Ching	BRD827	Licensed Representative (Provisional Licence)	1, 4
POON Ho Wa	AXC425	Licensed Representative (Provisional Licence)	1, 4, 9
PUSCA Tereza Elisabeth	BQW641	Licensed Representative (Provisional Licence)	1, 2, 7
QU Jianfeng	BRA595	Licensed Representative (Provisional Licence)	1, 4, 6
RAO Yajie	BRB702	Licensed Representative (Provisional Licence)	4, 9
RODRIGUES Peter John	ABY942	Licensed Representative (Provisional Licence)	1, 4
RUAN Xinyu	BRC172	Licensed Representative (Provisional Licence)	4
SAMANT Aditya Ashok	ACA985	Licensed Representative (Provisional Licence)	1
SHEN Kaiyan	BRA870	Licensed Representative (Provisional Licence)	1, 6
SHEN Qiang	BQW926	Licensed Representative (Provisional Licence)	1
SHEN Yichen	BQY942	Licensed Representative (Provisional Licence)	9
SHEN Yuxing	BRA106	Licensed Representative (Provisional Licence)	1, 4, 6
SHI Zhenghan	BQW038	Licensed Representative (Provisional Licence)	1, 6
SIAMWALLA Kannika	BRA151	Licensed Representative (Provisional Licence)	4
SIU Wing	BQX131	Licensed Representative (Provisional Licence)	1, 2, 3
SLUZALY Frederick Christophe	BQV330	Licensed Representative (Provisional Licence)	1, 2, 7
SO Hor Kay Holly	BRC070	Licensed Representative (Provisional Licence)	1, 4, 9
SO Wai Ching	BRB314	Licensed Representative (Provisional Licence)	1
SU Hang	BRD596	Licensed Representative (Provisional Licence)	1, 2
SUN Haoyu	BQL341	Licensed Representative (Provisional Licence)	1, 6
SUN Qianya	BNH306	Licensed Representative (Provisional Licence)	1, 2
SUN Qirong	BNR195	Licensed Representative (Provisional Licence)	1, 4
SUN Rui	BRD856	Licensed Representative (Provisional Licence)	1, 6
SUN Yan	BJN166	Licensed Representative (Provisional Licence)	1, 2
SUN Yining	BRA930	Licensed Representative (Provisional Licence)	1, 2, 4
SUZUKI Issei	BQR024	Licensed Representative (Provisional Licence)	1, 2
SZE Hoi Cheung	BRC171	Licensed Representative (Provisional Licence)	1, 2
SZE Wan Hung	BRA099	Licensed Representative (Provisional Licence)	1, 4
TAI Chi Lok	BRB811	Licensed Representative (Provisional Licence)	1, 4
TAI Tak Yee, Yvonne	ADU050	Licensed Representative (Provisional Licence)	1, 4
TAN Daniel Gabriel Soon Lye	BQU587	Licensed Representative (Provisional Licence)	1, 4, 9
TANG Christopher Ping Yin	AMP024	Licensed Representative (Provisional Licence)	1, 4, 9
TANG Lok Chi	BOO535	Licensed Representative (Provisional Licence)	9
TANG WAI FUNG	BRA544	Licensed Representative (Provisional Licence)	9
TANG Xudong	BOB104	Licensed Representative (Provisional Licence)	1, 4, 5, 9
TANG Zhan	AYS459	Licensed Representative (Provisional Licence)	1, 4, 9
TIAN Zhuoxuan	BRB851	Licensed Representative (Provisional Licence)	4
TING Rachel Ka Wing	BRA653	Licensed Representative (Provisional Licence)	1, 4
TONG Yu Ning Nancy	BRB840	Licensed Representative (Provisional Licence)	1
TSE Pik San, Alice	BJW815	Licensed Representative (Provisional Licence)	9
TU Hechang	BFW345	Licensed Representative (Provisional Licence)	1, 4, 9
TU Qiang	BRA894	Licensed Representative (Provisional Licence)	1, 4, 6
WAN Pui Man	BRB772	Licensed Representative (Provisional Licence)	1
WANG Danqi	BIG992	Licensed Representative (Provisional Licence)	4, 9
WANG Ke	BRC044	Licensed Representative (Provisional Licence)	4
WANG Ning	BRA880	Licensed Representative (Provisional Licence)	1, 6
WANG Shuai	BRB789	Licensed Representative (Provisional Licence)	1, 6

**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2021**

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Xiaotong	BQF174	Licensed Representative (Provisional Licence)	6
WANG Yichao	BOX370	Licensed Representative (Provisional Licence)	1, 6
WANG Yu	BRC030	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Yue	BMT609	Licensed Representative (Provisional Licence)	1, 2, 4, 5
WANG Zeren	BRA549	Licensed Representative (Provisional Licence)	6
WANG Zhiyi	BRB340	Licensed Representative (Provisional Licence)	1
WANG Zhufeng	BQZ980	Licensed Representative (Provisional Licence)	1, 4
WANG Zhuo	BRA425	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Zijiao	BHG785	Licensed Representative (Provisional Licence)	1, 4
WEI Chong	AYS671	Licensed Representative (Provisional Licence)	1, 4, 5, 9
WEI Li	BRA673	Licensed Representative (Provisional Licence)	4
WEI Ou	BPO672	Licensed Representative (Provisional Licence)	1, 6
WONG Bryant	BRC027	Licensed Representative (Provisional Licence)	1, 2
WONG Chi Wai	BRC167	Licensed Representative (Provisional Licence)	1, 2
WONG Ching Yu	BHH395	Licensed Representative (Provisional Licence)	9
WONG Chung Sing	BRB405	Licensed Representative (Provisional Licence)	6
WONG Ha Tai Camille	BRC173	Licensed Representative (Provisional Licence)	1
WONG Ho Yee	BRA885	Licensed Representative (Provisional Licence)	4, 9
WONG Ian Ieng	BRA553	Licensed Representative (Provisional Licence)	4, 9
WONG Man Kit	BRC152	Licensed Representative (Provisional Licence)	1
WONG Mei Yan	BRA936	Licensed Representative (Provisional Licence)	1, 4
WONG Ngan, Jacqueline	ADX528	Licensed Representative (Provisional Licence)	1, 4
WONG Po Yee	BRA527	Licensed Representative (Provisional Licence)	1, 6
WONG Tsz Ching	BQW036	Licensed Representative (Provisional Licence)	1, 2, 7
WONG Yan Kei Christine	BKD487	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Yuk Yiu	BRB010	Licensed Representative (Provisional Licence)	6
WU CHENGYU	BQZ952	Licensed Representative (Provisional Licence)	4
WU Feihong	BRD853	Licensed Representative (Provisional Licence)	1, 6
WU Jingyu	BRA102	Licensed Representative (Provisional Licence)	1, 4, 6
WU Kaixiang	BRD478	Licensed Representative (Provisional Licence)	4
WU Sai Chim	BRA869	Licensed Representative (Provisional Licence)	1
WU Sisi	BRA241	Licensed Representative (Provisional Licence)	1, 2
WU Yi	BRC175	Licensed Representative (Provisional Licence)	1, 6
WU Yuen Sing	BRC218	Licensed Representative (Provisional Licence)	1, 4, 9
WU Yun	BRA886	Licensed Representative (Provisional Licence)	1, 6
XIANG Xifei	BQX887	Licensed Representative (Provisional Licence)	1, 4, 6
XIE Chunsheng	BQZ938	Licensed Representative (Provisional Licence)	4
XIE Mengqi	BRB786	Licensed Representative (Provisional Licence)	1, 4, 6
XIE Tong	BQZ943	Licensed Representative (Provisional Licence)	1, 4, 6
XU Ke	BHU228	Licensed Representative (Provisional Licence)	1, 4
XU Tao	BRA672	Licensed Representative (Provisional Licence)	4
XU Wenyun	BKE808	Licensed Representative (Provisional Licence)	4, 9
XU Yefeng	BRA671	Licensed Representative (Provisional Licence)	4
YANG Juncheng	BRD670	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Qin	BRB808	Licensed Representative (Provisional Licence)	4
YANG Yueqi	BQV557	Licensed Representative (Provisional Licence)	1, 4, 6
YAO Jiahui	BQW517	Licensed Representative (Provisional Licence)	2, 5
YE Yu	BRC031	Licensed Representative (Provisional Licence)	1, 6
YEN Tzu Han	ANL837	Licensed Representative (Provisional Licence)	6
YEUM Jeehye	BIJ241	Licensed Representative (Provisional Licence)	1, 4, 9



**ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2021**

<b>CE Name</b>	<b>CE No.</b>	<b>Licence Type</b>	<b>Types of Regulated Activity</b>
YEUNG Chun Pui	BJE036	Licensed Representative (Provisional Licence)	1
YEUNG Lily	BQV553	Licensed Representative (Provisional Licence)	1, 4, 9
YEUNG Ming Yan	BRB338	Licensed Representative (Provisional Licence)	1, 4
YIK Anthony Kwun Toh	APZ645	Licensed Representative (Provisional Licence)	9
YIM Ki Him	BRA647	Licensed Representative (Provisional Licence)	1, 4, 9
YOSHIHISA Yuki	BRA659	Licensed Representative (Provisional Licence)	9
YU Chin Ting	BQW322	Licensed Representative (Provisional Licence)	1
YU Hang	BRD495	Licensed Representative (Provisional Licence)	1, 4, 6
YU Xinli	BFL253	Licensed Representative (Provisional Licence)	4
YU Xinyan	BRB788	Licensed Representative (Provisional Licence)	1, 2, 4
YUAN Rachel	BQW470	Licensed Representative (Provisional Licence)	1
YUE Sumeng	BRD850	Licensed Representative (Provisional Licence)	1, 6
YUEN Siu Ting	AHF360	Licensed Representative (Provisional Licence)	1, 4, 5, 9
YUEN Tin Chi, Edmund	ADI152	Licensed Representative (Provisional Licence)	1, 4, 5, 9
YUEN Yiu Chun	BRB356	Licensed Representative (Provisional Licence)	1, 2
ZENG Qinglin	BRB367	Licensed Representative (Provisional Licence)	1, 6
ZENG Qiumeng	BQW012	Licensed Representative (Provisional Licence)	1, 4
ZHANG Jingyi	BQW014	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Junyan	BQW040	Licensed Representative (Provisional Licence)	1, 6
ZHANG Ruiyang	BRD876	Licensed Representative (Provisional Licence)	1, 6
ZHANG Sijia	BKF448	Licensed Representative (Provisional Licence)	4, 5, 9
ZHANG Wei	BBP480	Licensed Representative (Provisional Licence)	9
ZHANG Wenjie	BRC259	Licensed Representative (Provisional Licence)	1, 4
ZHANG Xi	BQX866	Licensed Representative (Provisional Licence)	4, 9
ZHANG Yiang	BIJ330	Licensed Representative (Provisional Licence)	4, 9
ZHANG Ze	BRB322	Licensed Representative (Provisional Licence)	4
ZHANG Zhiyang	BRC251	Licensed Representative (Provisional Licence)	1
ZHANG Zhuo	BQV857	Licensed Representative (Provisional Licence)	1, 4
ZHENG Shizeng	BRA364	Licensed Representative (Provisional Licence)	4, 9
ZHENG Shumeng	BQY944	Licensed Representative (Provisional Licence)	9
ZHOU Fengyao	BRA243	Licensed Representative (Provisional Licence)	1, 2
ZHOU Jiang	BRA596	Licensed Representative (Provisional Licence)	1, 4, 6
ZHOU Ke Jun	BRC020	Licensed Representative (Provisional Licence)	1, 4, 5, 9
ZHOU Mengxuan	BRA264	Licensed Representative (Provisional Licence)	4
ZHOU Xiaoxiao	BRA090	Licensed Representative (Provisional Licence)	1, 4
ZHOU Yijia	BQZ747	Licensed Representative (Provisional Licence)	1
ZHU Aiyun	BRB417	Licensed Representative (Provisional Licence)	1, 4, 5, 9
ZHU Hui	BRA597	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Xiangyu	BRD851	Licensed Representative (Provisional Licence)	1, 6
ZHUANG Tingzhou	BQZ933	Licensed Representative (Provisional Licence)	4
ZHUO Qianren	BRA888	Licensed Representative (Provisional Licence)	1, 6
ZOU Hanyu	BRA780	Licensed Representative (Provisional Licence)	1, 4
CHIN Shun Shing	BRC219	Licensed Representative (Temporary Licence)	1, 4
LAMBERT Ashley George	BRD668	Licensed Representative (Temporary Licence)	6
LIU Liu	BRA448	Licensed Representative (Temporary Licence)	6
MONAPPA Aditya	BNH920	Licensed Representative (Temporary Licence)	4
PAWLUK Matthew	BQZ930	Licensed Representative (Temporary Licence)	6