

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
China BPC Asset Management Company Limited	BRD453	Licensed Corporation	4, 9
Franchise Capital Management Limited	BQS704	Licensed Corporation	9
Guangdong Capital Securities Limited	BRD434	Licensed Corporation	1, 4, 9
Hansui Capital Company Limited	BRA045	Licensed Corporation	1, 4
HopSun Global Limited	BQP833	Licensed Corporation	9
Inflexion Macro Capital Management Limited	BQS751	Licensed Corporation	1, 4, 9
LINGJUN CAPITAL (HONG KONG) LIMITED	BQH901	Licensed Corporation	9
MA Asset Management (Hong Kong) Limited	BQW293	Licensed Corporation	1
Mingshi Investment Management Limited	BRB143	Licensed Corporation	9
Robern Associates Limited	BQW134	Licensed Corporation	9
Shiva Capital Group Limited	BRF506	Licensed Corporation	4, 9
Tiantu Asset Management Company Limited	BRA148	Licensed Corporation	9
Tianyan Capital Limited	BRD609	Licensed Corporation	9
VicAsset Advisors HK Limited	BQT746	Licensed Corporation	1, 4
Victory Securities (Global) Limited	BRA946	Licensed Corporation	1
Zhuorui Securities (HK) Limited	BRE865	Licensed Corporation	1, 4, 9
Zrich Capital Limited	BRF415	Licensed Corporation	4, 9
AHN Boyoung	BRG539	Licensed Representative	1, 6
AHN Tae Eon	BRQ120	Licensed Representative	1, 4, 6
ALAVI Arash	BIS583	Licensed Representative	9
AN Pengfei	BRL007	Licensed Representative	1, 4
AU Chun	BRO810	Licensed Representative	1
AU Tsz Him Matthew	BRP811	Licensed Representative	1, 2, 9
AU Tsz Ki	BMM219	Licensed Representative	1
BAO Jie	BRP093	Licensed Representative	1, 6
BAO Yuhan	BRP860	Licensed Representative	1
BAUDOIN Victor Charles Moise	BRP034	Licensed Representative	1, 2
BHARGAVA Nalin	AVA353	Licensed Representative	9
BHATIA Sandeep Hareshkumar	BRP497	Licensed Representative	1
BI Yuepeng	BRP009	Licensed Representative	1, 6
BUT Long Tin	BRP025	Licensed Representative	1, 6
CAI Jinyu	BRJ330	Licensed Representative	9
CAI Juntao	BRK759	Licensed Representative	4
CAI Xiaoyu	BRQ348	Licensed Representative	1, 6
CAO Zeyuan	BRQ155	Licensed Representative	1, 6
CHAI Ching-Yu	BRP847	Licensed Representative	1
CHAN Chi Yuk	BRQ236	Licensed Representative	1
CHAN Chore Man, Germaine	ALI039	Licensed Representative	6
CHAN Chun Kit	BRP991	Licensed Representative	1, 4
CHAN Chun Yi	AZN469	Licensed Representative	1, 2
CHAN F. W.	BDM650	Licensed Representative	6
CHAN Hei Yan Hazel	BRP242	Licensed Representative	6
CHAN Kai Cheung	APO196	Licensed Representative	1
CHAN King Hei	BRO789	Licensed Representative	1, 2, 7
CHAN King Wing	BRP530	Licensed Representative	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Man Ying	BRO966	Licensed Representative	1, 4
CHAN Sau Shan	AGC410	Licensed Representative	1, 4, 9
CHAN Sheung Fai	BRO043	Licensed Representative	1, 2
CHAN Shi Xiang Malcolm	BRO633	Licensed Representative	1, 2
CHAN Siu Tung, Gary	BRL047	Licensed Representative	1, 4, 9
CHAN Sze Hin, Cherry	ALW768	Licensed Representative	4, 9
CHAN Sze Wai, Vanessa	BRO776	Licensed Representative	1
CHAN Tsz On	BRP026	Licensed Representative	1, 6
CHAN Tung Yi	BRJ481	Licensed Representative	1, 4
CHAN Wa Ching	BRQ308	Licensed Representative	1, 4
CHAN Wai Lun	BJP978	Licensed Representative	1
CHAN Wing Fung	BRO027	Licensed Representative	1, 4, 9
CHAN Wing Yi	BRO578	Licensed Representative	6
CHAN Wing Yin, Donna	BRP578	Licensed Representative	1, 4, 9
CHAN Wing Yu	BRP897	Licensed Representative	1, 2
CHAPMAN Keelan Henry	BRI257	Licensed Representative	4, 9
CHEN Chuan	BRO859	Licensed Representative	6
CHEN Haoxiu	BRG475	Licensed Representative	1
CHEN Jiawei	BPF984	Licensed Representative	1, 7
CHEN Nan	BRO449	Licensed Representative	6
CHEN Nanyou	BRO397	Licensed Representative	1, 6
CHEN Shi	BRO141	Licensed Representative	1, 4, 6
CHEN Shuangshuang	BRO944	Licensed Representative	1, 4, 6
CHEN Wei	BRO529	Licensed Representative	1
CHEN Wei	BRO909	Licensed Representative	1, 4, 6
CHEN Weiman	BRM541	Licensed Representative	1, 4, 9
CHEN Wenxin	BRP676	Licensed Representative	1, 2
CHEN Xiang	BRQ080	Licensed Representative	6
CHEN Xinpeng	BRP097	Licensed Representative	1, 4, 6
CHEN Xinyang	BRO200	Licensed Representative	1, 4
CHEN Xixi	BLS908	Licensed Representative	1, 4
CHEN Xizi	BRN848	Licensed Representative	1, 6
CHEN Ya Chun	BRI185	Licensed Representative	9
CHEN Yiliang	BRQ408	Licensed Representative	1, 6
CHEN Yong	BKC195	Licensed Representative	1
CHEN Zhenhong	BRQ147	Licensed Representative	1, 4, 9
CHEN Zhu	BLO224	Licensed Representative	4
CHEN Zijian	BRK717	Licensed Representative	1, 2, 4
CHENG Chi	BFP712	Licensed Representative	1, 4, 6
CHENG Fuk Wai	BRO434	Licensed Representative	1, 6
CHENG Guo	BRM310	Licensed Representative	1, 6
CHENG Hei Naat	ARO882	Licensed Representative	1, 2, 4, 5, 9
CHENG Lap Kiu	BRK650	Licensed Representative	1, 4
CHENG Wai Man	BRO416	Licensed Representative	1, 4
CHEUNG Cherrie Wing Kwan	BRQ035	Licensed Representative	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEUNG Chi Kiu	BRO462	Licensed Representative	1, 2, 4
CHEUNG Chung Ming	BRK484	Licensed Representative	1, 4
CHEUNG Hiu Lam	BRP433	Licensed Representative	1, 2
CHEUNG Hoi Li	BNU993	Licensed Representative	1, 4, 9
CHEUNG Hon	BRQ616	Licensed Representative	1
CHEUNG Man Chor Elton	BRM572	Licensed Representative	1, 9
CHEUNG Maureen	BIY666	Licensed Representative	1, 6
CHEUNG Pui Mei Heidi	BRQ411	Licensed Representative	1, 4
CHEUNG Suet Ying	BRQ169	Licensed Representative	9
CHEUNG Tsz Ching	BRO289	Licensed Representative	1
CHIE Chi Hon	BRP896	Licensed Representative	1
CHIK Ho Chit	BRQ718	Licensed Representative	1, 2
CHIN Guo Dong	BRH870	Licensed Representative	1, 4
CHIN Ka Man	BRO900	Licensed Representative	1
CHIN Shun Shing	BRC219	Licensed Representative	1, 4
CHO Bohwan	BRP573	Licensed Representative	1, 2
CHO KI WOONG	BRO005	Licensed Representative	1, 4, 9
CHOI Celine	BRN861	Licensed Representative	1, 2
CHOI Chun Fai Jeffrey	BRQ311	Licensed Representative	1, 4
CHOI Man Ho Jonathan	BRL071	Licensed Representative	4, 9
CHOI Yun Ming	BRR069	Licensed Representative	1
CHOI Zenas	BRP921	Licensed Representative	4, 9
CHONG Chi Wai	AXP602	Licensed Representative	1, 4
CHONG Tsz Fung	BRO128	Licensed Representative	1, 2
CHOW Kar Cheung Clarence	BRP090	Licensed Representative	1, 4
CHOW Man Him	BRM448	Licensed Representative	1, 4, 6
CHOW Yuan Hui	BHT023	Licensed Representative	1, 6
CHU Desai	BRO867	Licensed Representative	1, 4, 6
CHU Siu Wing	BRQ409	Licensed Representative	2
CHU Ying Na	BRP047	Licensed Representative	1, 2
CHUI Ming Him, Gordon	BIJ692	Licensed Representative	4
CHUNG Chor Kiu, Janice	BHY506	Licensed Representative	1, 6
CHUNG Wai Yi	BRP022	Licensed Representative	1, 6
CHUNG Yan Wo	BRP680	Licensed Representative	1, 6
CUI Lu	BRO292	Licensed Representative	4, 9
DA Qi	BRM015	Licensed Representative	1, 4
DALEY Jemma	BRK937	Licensed Representative	1, 4
DENG Qikun	BRO322	Licensed Representative	1, 4, 6
DENG Xiaotian	BRH081	Licensed Representative	9
DENG Yifan	BRN906	Licensed Representative	4, 9
DHANUKA Ayush Kumar	BRO433	Licensed Representative	1, 2
DIAO Yihang	BRO863	Licensed Representative	6
DING Joanna	BRO788	Licensed Representative	1, 6
DING Rui	BRO301	Licensed Representative	1, 4
DING Yanzhi	BRP340	Licensed Representative	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
DING Yifan	BQG398	Licensed Representative	6
DING Yilan	BRQ996	Licensed Representative	1, 4, 9
DONG Sirui	BRP094	Licensed Representative	1, 2, 4
DU Juan	BNT212	Licensed Representative	6
DUAN Lian	BRP041	Licensed Representative	4
DUNWOODY Ross	BRP791	Licensed Representative	9
FAN HO LAM	BRK927	Licensed Representative	1, 2
FAN Jingruo	BLQ525	Licensed Representative	1, 4, 6
FAN Minhao	BRQ217	Licensed Representative	1, 6
FANG Zhejing	BRQ104	Licensed Representative	4, 9
FENG Jin	BEN176	Licensed Representative	1, 4, 6
FENG Lei	BRN897	Licensed Representative	1, 4, 6
FENN Max Benjamin	BRO046	Licensed Representative	1
FOK Ching Pong	BCW143	Licensed Representative	4, 9
FONG Chun Wang Calvin	BRP537	Licensed Representative	1, 2
FONG Suk Yee Julie	BRQ271	Licensed Representative	1, 2
FU Dawei	BRO975	Licensed Representative	9
FU Sik Oriana	BRP844	Licensed Representative	1, 2
FUNG Man Chung	BRO044	Licensed Representative	1, 4
GALVIN Simon Stephen	AXY950	Licensed Representative	1, 4, 6
GAO Deng	BEA889	Licensed Representative	4
GAO Hanpu	BRO021	Licensed Representative	1
GAO Minye	BRO942	Licensed Representative	1, 6
GAO Wenli	BRP474	Licensed Representative	1, 7
GAO Xuehong	BRG026	Licensed Representative	1, 4, 9
GAO Xuemei	BRP315	Licensed Representative	1, 4
GAO Yuanru	BRQ166	Licensed Representative	1, 6
GE Junxiao	BRH646	Licensed Representative	1, 6
GOH Ju Wei Joshua	BRN798	Licensed Representative	1
GORADIA Jahnvi Deepak	BRO888	Licensed Representative	9
GORMAN Thomas James	BRQ404	Licensed Representative	1, 2
GU Jie	BQW548	Licensed Representative	4, 9
GU Ruoming	BRP672	Licensed Representative	1, 4, 6
GU Yulong	BRP706	Licensed Representative	1, 6
GUPTA Aman	BRK755	Licensed Representative	9
HAHN Ye Lin	BRL972	Licensed Representative	9
HAN Haocheng	BRP037	Licensed Representative	1, 2
HAN Jiandong	BFS085	Licensed Representative	4, 9
HAN Jun	BRP908	Licensed Representative	4
HAN Kexiong	BIG730	Licensed Representative	1, 2, 4
HAN Wenqing	BRM446	Licensed Representative	4
HAN Xu	BNC912	Licensed Representative	1, 2
HAN Xudong	BRO042	Licensed Representative	9
HANG Cheng	BRQ031	Licensed Representative	1, 4
HARTWELL Benjamin Luke	ATC163	Licensed Representative	1, 7

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CE Name	CE No.	Licence Type	Types of Regulated Activity
HE Huiwen	BRO420	Licensed Representative	1, 2
HE Jiayang	BRO442	Licensed Representative	1, 2, 4
HIM Christopher Gabriel	BRH069	Licensed Representative	1, 4, 9
HO Justin Ngao Wing	BRN855	Licensed Representative	6
HO Ka Yee	APH424	Licensed Representative	1, 4, 9
HO Kin Wing	BRR305	Licensed Representative	4, 9
HO Pui Ki	BRQ498	Licensed Representative	1
HO YEUNG HIN Ivan	BRM529	Licensed Representative	1, 2
HON Yi Shing	BRP413	Licensed Representative	1
HONG Yunfan	BRO883	Licensed Representative	1, 4, 9
HSIEH Tseng-Chuan	BRL063	Licensed Representative	4, 9
HSIEH Wen Yao	BRQ277	Licensed Representative	1, 4, 9
HSU Cheng Hung	BRP759	Licensed Representative	1
HSU Kai Ming, Kevin	ARA161	Licensed Representative	1, 2, 4, 5
HSU Kai-wei	BRN987	Licensed Representative	1, 4, 6
HSU Yu Chen	BRO438	Licensed Representative	1, 2
HU Chenghua	BRQ284	Licensed Representative	1, 6
HU Lingzi	BEI552	Licensed Representative	9
HU Tengfeng	BRO696	Licensed Representative	1, 6
HU YAN	BRQ810	Licensed Representative	1
HU Yuanliu	BRM260	Licensed Representative	4
HUANG Guozhao	BRO489	Licensed Representative	1, 4, 6
HUANG Hongchang	BRO307	Licensed Representative	4
HUANG Jiajun	BRO356	Licensed Representative	1, 4, 9
HUANG Jialun	BRQ536	Licensed Representative	1, 6
HUANG Jiayi	BRP298	Licensed Representative	1, 6
HUANG Jinzhen	BRO321	Licensed Representative	1, 4, 6
HUANG Ruilong	BRK700	Licensed Representative	1
HUANG Xinyuan	BRO003	Licensed Representative	1, 4, 9
HUI Yee Nok Jessica	BRQ046	Licensed Representative	1, 2
HUNG Pei Ling	APC388	Licensed Representative	1, 4, 9
HUNG Shek Ming	BRP674	Licensed Representative	9
ICHIKAWA Eriko	BNH011	Licensed Representative	1, 2
IP Yan Yee	BRL077	Licensed Representative	1, 4, 9
JAO Shang Shu	BRO841	Licensed Representative	1, 2, 4
JIA Ruo	BRM662	Licensed Representative	1, 4, 6
JIANG Heyi	BRP758	Licensed Representative	1, 4
JIANG Jiaheng	BRM258	Licensed Representative	1, 6
JIANG Wei	BRP887	Licensed Representative	4
JIANG YETONG	BRO293	Licensed Representative	1, 2
JIAO Ting	BRP703	Licensed Representative	1, 6
JIN Joon Ki	BRP095	Licensed Representative	1, 2, 7
JIN Jun	BRM585	Licensed Representative	1, 4, 5, 9
JING Yi	BRQ314	Licensed Representative	4
JO Sang Youl	AEP482	Licensed Representative	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
JU Shenzhi	BRQ109	Licensed Representative	1, 6
KADHIM Oliver	BRO998	Licensed Representative	1
KE Xinran	BRN752	Licensed Representative	1, 4, 6
KEUNG King Yuk	BRO319	Licensed Representative	4, 9
KILPATRICK Oliver David Leal	BRP439	Licensed Representative	1, 2
KIM Nam Seok	BRH458	Licensed Representative	1, 4
KLEMENS Clarissa	BRO990	Licensed Representative	1, 2
KO Ho Wai	BRQ318	Licensed Representative	1, 2
KO Tin Ho	BRM125	Licensed Representative	1, 4, 9
KO Yat Him	BRO291	Licensed Representative	1
KONG Jingning	BRQ118	Licensed Representative	1
KUO Yen-Ting	AHK087	Licensed Representative	4, 9
KWAN Ka Yin	AWF338	Licensed Representative	1
KWAN Wing Tung	BRK995	Licensed Representative	1
KWOK Ha Lam	BRP988	Licensed Representative	4, 5, 9
KWOK Pui Yan Deborah	BRO684	Licensed Representative	1, 4, 6
KWOK Siu Chung, Jonathan	BBX571	Licensed Representative	9
LAFON Pierre Jean Philippe Noel	BHE064	Licensed Representative	1, 4
LAHIRI Prithvijit	AOV401	Licensed Representative	6
LAI Chi Wang	BPJ943	Licensed Representative	4, 9
LAI Chi Wing	BRO839	Licensed Representative	1, 2
LAI Kwok Hei	BRO020	Licensed Representative	1
LAI Tsz Kit, Danny	BEW346	Licensed Representative	1, 9
LAI Wang Chung	BRL054	Licensed Representative	1
LAI Ying Kit	BRJ374	Licensed Representative	2
LAM Ka Lok, Paul	BHC989	Licensed Representative	1, 4, 9
LAM Lok Ka	BRP505	Licensed Representative	1, 2
LAM Sai Ho	BBP886	Licensed Representative	4, 9
LAM Sheung Zak	BRI570	Licensed Representative	1
LAM Tak Wai, Derek	BEZ160	Licensed Representative	4, 9
LAM Wo Hui	AFU639	Licensed Representative	1, 2
LAM Yat Fung	BRO062	Licensed Representative	6
LAM Yi Lok	BRM581	Licensed Representative	1
LAN Huihao	BRQ539	Licensed Representative	1, 6
LAU Bik Sum Betty	BRP310	Licensed Representative	1, 4
LAU Cheuk Nam	BRO775	Licensed Representative	1, 4
LAU Ching Yee, Rebecca	AAF232	Licensed Representative	1
LAU Chun Wah	BRQ066	Licensed Representative	1, 4
LAU Joyce Kar Yan	BRO828	Licensed Representative	1
LAU KA LING	BRN647	Licensed Representative	1, 2, 4, 5
LAU Shun Fai Andy	BRO238	Licensed Representative	1
LAU Tai Wai	BRN878	Licensed Representative	4, 9
LAU Wai Ting	BRL069	Licensed Representative	1
LAU Wing Sang	BEW750	Licensed Representative	1, 6
LAU Yee Ching	BRQ259	Licensed Representative	6

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CE Name	CE No.	Licence Type	Types of Regulated Activity
LAWLOR Patrick Martin	AGU696	Licensed Representative	1
LEE Chin Cheet	BGQ969	Licensed Representative	6
LEE Clement Ka-lok	BRP893	Licensed Representative	4, 9
LEE Fu Kuen	BRI007	Licensed Representative	1, 4
LEE Ka Ho	ATO877	Licensed Representative	1, 6
LEE Kai Yee	BAD882	Licensed Representative	1, 4, 9
LEE Man Jun	BRO791	Licensed Representative	4, 9
LEE Sin Ping Anita	BRM521	Licensed Representative	4, 9
LEE Vanessa	AYS194	Licensed Representative	1, 9
LEE Yan Yim	BRQ415	Licensed Representative	1, 2
LEE Yu Ting	BRO313	Licensed Representative	1, 2, 3, 4
LEE Ziu Erin	BRO084	Licensed Representative	1, 2
LEI Yannan	BRO770	Licensed Representative	1, 2, 4
LEUNG Chi Hing	BRM592	Licensed Representative	1, 4
LEUNG Hin Ho	BRO877	Licensed Representative	1, 2, 7
LEUNG Wai Hung	BRO969	Licensed Representative	1, 4, 6
LEUNG Yau Man Vivian	BRP587	Licensed Representative	1, 2, 4, 5
LEUNG Yuen Shan	BRP540	Licensed Representative	1, 2
LI Beili	BRQ223	Licensed Representative	1, 6
LI Chi Tung	BRM259	Licensed Representative	1
LI Chongyang	BRP933	Licensed Representative	1, 6
LI Dongdong	BRO857	Licensed Representative	1, 6
LI Fung Wang	BRM284	Licensed Representative	1, 4
LI Huan	BRP234	Licensed Representative	1, 4
LI Jiaqi	BRO773	Licensed Representative	1, 6
LI Jiawen	BRQ420	Licensed Representative	1, 6
LI Kam Ming	ANR915	Licensed Representative	4
LI Katherine Bei	AJO552	Licensed Representative	1, 4, 9
LI Keung Kenneth	BRK632	Licensed Representative	4, 9
LI KWOK WAI	BRP878	Licensed Representative	1, 4
LI Lei	BRM320	Licensed Representative	1
LI Libo	BRQ047	Licensed Representative	1, 6
LI Qingru	BRO127	Licensed Representative	4, 9
LI Qinshi	BRO015	Licensed Representative	1, 4, 9
LI Shuhan	BRM244	Licensed Representative	1, 4, 6
LI Shuk Ying	BRO748	Licensed Representative	1
LI Wei Bo	BQK707	Licensed Representative	1, 4
LI Weihao	BRP409	Licensed Representative	10
LI Xin	BRO866	Licensed Representative	2
LI Yangle	BRN814	Licensed Representative	1, 2
LI Yichao	BCX226	Licensed Representative	9
LI Ying Kin	BRK489	Licensed Representative	1, 4
LI Yongyi	BRN996	Licensed Representative	1, 6
LI Yue	BRO399	Licensed Representative	4
LI Yuemin	BRO945	Licensed Representative	6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Yunlu	BRP696	Licensed Representative	1, 4, 6
LI Zhaoyang	BRK978	Licensed Representative	1, 4
LI Zhaoying	BRO580	Licensed Representative	1, 6
LIAN Ruiyu	BRK767	Licensed Representative	1, 6
LIANG Jinming	BLK923	Licensed Representative	1, 6
LIANG Yongjia	BRO455	Licensed Representative	4, 9
LIAO Jingqiu	BRP846	Licensed Representative	1, 4, 6
LIAO Ling	BRO851	Licensed Representative	1, 6
LIM Chun Yin, Nicholas	BJI255	Licensed Representative	1, 2
LIN Jinjun	BDL609	Licensed Representative	6
LIN Jonathan	BRM222	Licensed Representative	4
LIN Man Man	BRO817	Licensed Representative	1
LIN Shing Chun, Ambrose	BOP726	Licensed Representative	1, 4
LIN Yu-Min	BRQ078	Licensed Representative	1, 6
LIU Chang	BRQ065	Licensed Representative	1, 4
LIU Chao	BRK475	Licensed Representative	1, 6
LIU Fanglu	BRQ219	Licensed Representative	1, 6
LIU Huayu	BRP925	Licensed Representative	1, 4, 6
LIU Jiali	BRP098	Licensed Representative	4, 9
LIU Jiayao	BRE223	Licensed Representative	4
LIU Kao Jui	BJU745	Licensed Representative	1
LIU Liqing	BRP985	Licensed Representative	1, 6
LIU Nian	BRQ193	Licensed Representative	1, 6
LIU Ning	BNO014	Licensed Representative	1, 6
LIU Ninghui	BRP507	Licensed Representative	1, 2, 4, 5, 9
LIU SIQING	BRK702	Licensed Representative	1, 4
LIU Siyuan	AXZ979	Licensed Representative	1, 4, 9
LIU Wenqiang	BRO873	Licensed Representative	2
LIU Xiaofei	BRK986	Licensed Representative	1, 4
LIU Xiaoning	BRP417	Licensed Representative	1, 6
LIU Yang	BRO854	Licensed Representative	1, 6
LIU Ying	BHK372	Licensed Representative	1, 4, 6
LIU Yiran	BRM301	Licensed Representative	1, 2, 7
LIU Yiran	BRP088	Licensed Representative	1, 4
LIU Yuk Ling	BRP476	Licensed Representative	1, 2
LIU Yun	BRQ333	Licensed Representative	1, 6
LIU Yunqi	BRO938	Licensed Representative	1, 6
LIU Zihan	BRL963	Licensed Representative	1, 6
LO Chi Ho, Richard	ABW803	Licensed Representative	1, 9
LO Kwai Leung	BRN717	Licensed Representative	1
LO Yee Man	AUH450	Licensed Representative	1
LO Yu Man	BMX714	Licensed Representative	1
LONG Shicheng	BRP275	Licensed Representative	1, 4, 6
LOW Henry Donald Greenall Ka-Sing	BRM657	Licensed Representative	1, 4, 6
LU Renhao	BRM157	Licensed Representative	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
LU Wenqi	BRN905	Licensed Representative	1, 4
LU Yanyang	BRK982	Licensed Representative	1, 4
LU Yunzhi	BRO414	Licensed Representative	1, 2
LU Zilin	BRO895	Licensed Representative	1
LUK Cameo	BRQ238	Licensed Representative	9
LUK Wai Ha Eva	BRM311	Licensed Representative	1, 4, 9
LUO Zhonghua	BRQ197	Licensed Representative	1, 6
LUO Ziyue	BRP425	Licensed Representative	1, 6
MA David	AXY618	Licensed Representative	1, 2
MA Ke	BRO254	Licensed Representative	1, 6
MA Ruixiao	BHR410	Licensed Representative	1, 4
MA Tsz Yin	BRL073	Licensed Representative	9
MA Yuanrong	BGE021	Licensed Representative	1, 4, 6
MAI Jingtong	BRQ145	Licensed Representative	1, 4
MAK Wai Ching	BRO061	Licensed Representative	6
MAO Yu	BRP301	Licensed Representative	1
MARTENSSON Ella Lee	BRG553	Licensed Representative	1, 2
MAU Ching Wah Joey	BRM621	Licensed Representative	1, 4
MC CARROLL James Patrick	BRN941	Licensed Representative	1, 2
MEI Yiteng	BRP865	Licensed Representative	4, 9
MENDONCA Vinella Anne	BRR081	Licensed Representative	1
MENG Yue	BRP552	Licensed Representative	2
MINAKI Tomoya	BIW810	Licensed Representative	1, 2
MINAMI Taishi	BRN981	Licensed Representative	2, 5
MIU Yuk Shan	BRO123	Licensed Representative	1, 4
MOON Jaesuk	BRP036	Licensed Representative	1
NARANG Radhika	BRP909	Licensed Representative	1, 4
NEWTON Christopher John	AVJ280	Licensed Representative	1, 2, 7
NG Andrew Ka Chun	BQI736	Licensed Representative	1, 4, 9
NG Cheuk Him Ivan	BRQ096	Licensed Representative	6
NG Chi Keung	AWH341	Licensed Representative	1
NG Chi Sing	BRO400	Licensed Representative	1, 4
NG Chi Wai	AKX184	Licensed Representative	1, 4
NG Hiu Ching	BKS840	Licensed Representative	1
NG Siu Na Sarnian	BMC120	Licensed Representative	1
NG Wai Cheong, Tony	ASU462	Licensed Representative	1
NG Wai See	BOW964	Licensed Representative	1, 2, 4
NG Wing Nga	BRP561	Licensed Representative	4
NGUYEN TANG Xuan Lam	ASL990	Licensed Representative	1, 4, 9
OGASAWARA Fumihito	BFC759	Licensed Representative	1, 4, 9
PAI Hong Wei	BRP364	Licensed Representative	1
PAN Chunling	BRO470	Licensed Representative	1, 2
PAN Yiwei	BRO761	Licensed Representative	1, 4, 6
PARK Jinsun	BRP454	Licensed Representative	1, 2
PENG Danhan	BRO095	Licensed Representative	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
PENG Haoxiang	BRP478	Licensed Representative	4, 9
PENG Siyu	BRQ089	Licensed Representative	6
PENG Xiaohui	BRK900	Licensed Representative	1, 4, 6
PEQUIGNOT Jean-david	AWC880	Licensed Representative	1, 7
POON Wai Ching	BNS896	Licensed Representative	1
PRASAD Akshay	BRF257	Licensed Representative	1, 4
QI Qi	BRO403	Licensed Representative	10
QI Ruixiao	BLE417	Licensed Representative	1, 4, 6
QIAN Qinyue	BRJ502	Licensed Representative	1
QIN Peijing	BDX574	Licensed Representative	4
QIN Xiaotian	BCF240	Licensed Representative	1, 4
QIN Zehan	BJT869	Licensed Representative	1
QIU Xiang	BRM243	Licensed Representative	1, 4, 6
QU HUAQIANG	BRO050	Licensed Representative	1, 6
QU Wenchan	BNC099	Licensed Representative	1, 4, 9
REN Hongliang	BRP867	Licensed Representative	9
RIDLEY Hannah Grace	BRP845	Licensed Representative	1, 2
ROWE Paul James	BRO265	Licensed Representative	1, 2
SAW Jae Won	BRP011	Licensed Representative	9
SEATON Alastair William	BRK797	Licensed Representative	9
SHASTRI Vallabh	BRP342	Licensed Representative	1, 2, 4
SHAW Philip	BRK607	Licensed Representative	1, 2
SHEN Hanming	BRP495	Licensed Representative	1, 6
SHEN Jie	BRQ225	Licensed Representative	1, 6
SHEN Shao Feng	BRN873	Licensed Representative	4, 9
SHEN Wenbin	BRQ659	Licensed Representative	1, 6
SHEN Yixiao	BRP096	Licensed Representative	1, 4, 6
SHI Chang	BRQ660	Licensed Representative	1, 6
SHI Heng	BRP586	Licensed Representative	4
SHI Meiqi	BRM159	Licensed Representative	1, 2
SHI Shanni	BRQ325	Licensed Representative	1, 2
SHIMABUKU LANDAURE Ramiro Angel	BRM028	Licensed Representative	1, 2, 7
SHIN Yuet Ming	BRN912	Licensed Representative	6
SHU Kelan	BRK461	Licensed Representative	1, 4
SIU Yee Man	BRP012	Licensed Representative	1
SIVANATHAN Saththyan	BRG330	Licensed Representative	1, 2
SMITS Vincentius Johannes	BRM314	Licensed Representative	9
SO Ka Hei	BOZ912	Licensed Representative	1, 2, 4
SO Monique Ellie Mo Shun	BNI837	Licensed Representative	1, 4
SONG Jia Xin	BRP092	Licensed Representative	1, 6
SONG Long	BRP554	Licensed Representative	9
SONG Shaoling	BQD390	Licensed Representative	4
SONG Sijia	BIY897	Licensed Representative	1, 6
SONG Yeqing	BRO856	Licensed Representative	6
SONG Zhenjiang	BRM313	Licensed Representative	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
SONG Zhiqing	BRQ051	Licensed Representative	1, 6
SRINIVASAN Sairam	BRO744	Licensed Representative	1, 2
SU Hailing	BRO448	Licensed Representative	1, 6
SU Huachun	BRO846	Licensed Representative	1, 6
SU Jiawei	BRO035	Licensed Representative	1
SUEN Ka Ho	BRM318	Licensed Representative	1, 4
SUI Fuyang	BRM250	Licensed Representative	1, 4
SUN Guoxiao	BRG207	Licensed Representative	1, 6
SUN Xuan	BQR385	Licensed Representative	1
SUZUKI Hideki	BRP487	Licensed Representative	4, 9
SZE Tin Yeung, Randoll	ANW189	Licensed Representative	1, 4, 6
TAKEMURA Tsutomu	BRM008	Licensed Representative	1, 4, 6
TAM Man Yi	BRI219	Licensed Representative	1, 4
TAM Sze Hang	BRO687	Licensed Representative	1
TAN Hong	BRL095	Licensed Representative	1, 4, 9
TAN Peng Ming Joel	BQC315	Licensed Representative	1, 4, 9
TAN Su-iong	BRP976	Licensed Representative	1
TAN Yawen	BJP932	Licensed Representative	9
TANG Chao	BBH968	Licensed Representative	1, 4
TANG Eryang	BRP356	Licensed Representative	1, 4, 6
TANG Ting Kwong	BRO822	Licensed Representative	9
TANG Tsz Mei	BJP054	Licensed Representative	1, 4, 9
TAO Yanru	BRJ707	Licensed Representative	1, 4
THAI Adrien Quoc Duy	BRP915	Licensed Representative	2
THOO Ee Yang	BRM455	Licensed Representative	1, 6
TIAN Muqing	BRP488	Licensed Representative	1, 4, 6
TIAN Yang	BRO777	Licensed Representative	6
TIAN Youjia	BRP481	Licensed Representative	1, 4, 6
TONG Weiting	BRK507	Licensed Representative	9
TRAN Chun Shing	BRO581	Licensed Representative	1, 4
TRIPATHI Kriti	BRI262	Licensed Representative	1, 6
TSE Kun Mei	BRN999	Licensed Representative	1, 4
TSE Yiu Ki	BRP575	Licensed Representative	1, 4
TSUI Ha Fong	BRP855	Licensed Representative	1
TSUI Hiu Ting	BRP042	Licensed Representative	1, 2, 7
TSUI Sing Yam	BRO927	Licensed Representative	1, 4, 5, 9
TU Zhenqi	BRP854	Licensed Representative	1, 4, 6
UYYYURU Mohnish Sai Prasad	BRQ100	Licensed Representative	9
VAN DYKE Joshua Lee	BRP992	Licensed Representative	1, 4
VERDASCA Andrew F	BRL072	Licensed Representative	1, 4, 6
WAI Jian Rong	BRM660	Licensed Representative	1, 2
WAI Ka Bo Gabriel	BRO019	Licensed Representative	4, 9
WALKER Benjamin Jonathan James	BRI614	Licensed Representative	1, 2, 4, 5, 7
WAN Kenan	BRL034	Licensed Representative	1, 6
WAN Ming Hui Ryan	BRO946	Licensed Representative	6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Fang	BRK746	Licensed Representative	9
WANG Hao	BRP403	Licensed Representative	1
WANG Haoyang	BRO766	Licensed Representative	1, 6
WANG Huijie	BRQ679	Licensed Representative	1, 6
WANG Jingyi	BRP868	Licensed Representative	1, 2, 4
WANG Ju	BRP227	Licensed Representative	1, 6
WANG Kang	BLM893	Licensed Representative	1, 2, 4
WANG Ligeng	BRQ049	Licensed Representative	1, 6
WANG Liru	BRQ077	Licensed Representative	1, 6
WANG Ning	BRE810	Licensed Representative	4, 9
WANG Pingshi	BRF840	Licensed Representative	6
WANG Ruoyu	BRQ340	Licensed Representative	1, 6
WANG Shaoyang	BRO852	Licensed Representative	1, 6
WANG Shuang	BEZ808	Licensed Representative	1
WANG Tianqi	BRP800	Licensed Representative	1, 6
WANG Wenwen	AYM691	Licensed Representative	1, 2
WANG Xichen	BOG737	Licensed Representative	1, 4, 9
WANG Xuan	BEW977	Licensed Representative	9
WANG Xueqi	BRL973	Licensed Representative	1, 6
WANG Yajie	BRP343	Licensed Representative	1, 6
WANG Yang	BRM530	Licensed Representative	4
WANG Yanxu	BNO691	Licensed Representative	9
WANG Yidong	BRQ359	Licensed Representative	1, 6
WANG Yinuo	BRJ183	Licensed Representative	6
WANG Yizhou	BRQ965	Licensed Representative	1, 4
WANG Zhiyu	BRO853	Licensed Representative	1, 6
WANG Ziqiao	BRK748	Licensed Representative	6
WANG Zixin	BRM297	Licensed Representative	4, 9
WEI Jie	AUT751	Licensed Representative	1, 6
WEI Penghe	BRO256	Licensed Representative	1, 6
WEI Shiyu	BRM460	Licensed Representative	1, 4, 6
WEI Tong	BRO487	Licensed Representative	1, 6
WEN Hanlin	BRO913	Licensed Representative	1, 4, 9
WEN Yu	BRO393	Licensed Representative	1, 6
WONG Carina Jaime	BRO745	Licensed Representative	1
WONG Chi Yat	BRN891	Licensed Representative	10
WONG Ho	BRJ479	Licensed Representative	1, 4
WONG Hoi On	BRP582	Licensed Representative	1, 2, 4
WONG Kwun Man	BEB657	Licensed Representative	1, 6
WONG Lap Chi	AAA643	Licensed Representative	1
WONG Man Ho	BEV529	Licensed Representative	1, 4
WONG Pui Wing	ADP032	Licensed Representative	1, 2
WONG Tsun Lung	BRP942	Licensed Representative	4
WONG Wan Lam	BRP901	Licensed Representative	1, 2
WONG Wing Yu Chelsea	BRQ097	Licensed Representative	6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG YIU HIN	BRP056	Licensed Representative	1, 4, 5, 9
WU Chi Sik	BK1637	Licensed Representative	1, 4, 9
WU Da	BRQ098	Licensed Representative	1, 6
WU Jiaming	BRO212	Licensed Representative	4, 6
WU Kailin	BRP584	Licensed Representative	4
WU Liang	BRN925	Licensed Representative	1, 4, 6
WU Liang	BRP486	Licensed Representative	1, 4
WU Mengdi	BRO031	Licensed Representative	4
WU Qixuan	BRO255	Licensed Representative	1, 6
WU Qiyue	BRP244	Licensed Representative	6
WU Ruihan	BRN898	Licensed Representative	1, 2
WU Yilin	BOA252	Licensed Representative	1, 2, 4
XIA Yang	BIR052	Licensed Representative	1, 4, 6
XIANG Yixin	BHA262	Licensed Representative	1, 6
XIAO He	BRR464	Licensed Representative	6
XIAO Jiutian	BRQ050	Licensed Representative	1, 6
XIAO Nan	BRQ076	Licensed Representative	1
XIAO Yu	BRP917	Licensed Representative	1, 6
XIE Dong Hua	BRP946	Licensed Representative	1, 6
XIE Jia	BRO097	Licensed Representative	1
XIE Zhen	BRO334	Licensed Representative	1, 6
XING Dandan	BRO903	Licensed Representative	1
XU Ang	BRJ825	Licensed Representative	1
XU Jun	BMP513	Licensed Representative	1, 7
XU Lei	BRO889	Licensed Representative	1, 4
XU Muye	BLW942	Licensed Representative	9
XU Pengbing	AWC146	Licensed Representative	1
XU Ran	BRP918	Licensed Representative	1, 2, 7
XU Wenchu	BRP881	Licensed Representative	1
XU Yi	BRQ467	Licensed Representative	1
XU Yidong	BRQ084	Licensed Representative	6
XU Ying	BRK529	Licensed Representative	6
XU Yuehui	BRP699	Licensed Representative	1, 6
YAM Chung Man Joseph	BHR865	Licensed Representative	1, 6
YAMADA Takehiro	BRN815	Licensed Representative	1
YAN Chongda	BRQ831	Licensed Representative	1, 6
YAN Xing	BRI778	Licensed Representative	1, 4, 9
YAN Yunfeng	BRP462	Licensed Representative	1
YANG Hongzhi	BRO860	Licensed Representative	6
YANG Junjie	BOJ945	Licensed Representative	1, 6
YANG Lile	BFR220	Licensed Representative	1, 4, 9
YANG Linzi	BRO401	Licensed Representative	1, 4, 6
YANG Shengpeng	BRO879	Licensed Representative	1, 2
YANG Wenhan	BRQ210	Licensed Representative	1, 6
YATES Jason	AHS273	Licensed Representative	1, 2, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
YAU Patrick	AFT664	Licensed Representative	1, 4, 9
YE Lingling	BRK480	Licensed Representative	1
YE Ying	APU794	Licensed Representative	1, 6
YE Zhiyu	BRQ105	Licensed Representative	4, 9
YEUNG Kwok Chung	BRP938	Licensed Representative	1
YEUNG Lok Kei	BRJ352	Licensed Representative	1
YEUNG Lok Yan	BRK996	Licensed Representative	1
YEUNG Man Lung	ANU416	Licensed Representative	1, 4, 9
YEUNG Shuk Mei	BRQ088	Licensed Representative	9
YI Juehui	BRP930	Licensed Representative	1, 4, 9
YI Xin	BRP651	Licensed Representative	4
YIN Zhe	BRM641	Licensed Representative	1, 4
YIP Cheuk Lai	BRO056	Licensed Representative	6
YIP Shun Fung	BBA275	Licensed Representative	1, 4
YONG Clement Yun Sun	BRP617	Licensed Representative	4, 9
YOO Jae le	BRI541	Licensed Representative	1, 4
YOON Seonghoon	BRP048	Licensed Representative	1
YU Ching Ting Alexander	BRP864	Licensed Representative	1, 4, 5, 9
YU Fengbo	BRN926	Licensed Representative	1, 4, 6
YU Ho Ching	BPD659	Licensed Representative	4, 9
YU Lihan	BRP006	Licensed Representative	4
YU Mun Shan Pearl	BNJ551	Licensed Representative	6
YU Yan	BRK468	Licensed Representative	1, 2, 7
YU Yang	BRO907	Licensed Representative	1, 6
YU Zhengyi	BRQ073	Licensed Representative	6
YUAN Runan	BRO914	Licensed Representative	1, 4
YUAN Yufei	BRO848	Licensed Representative	1, 6
YUE Pui Lam	AGA571	Licensed Representative	1, 4
YUEN Man Hin	BRP851	Licensed Representative	1, 4
YUEN Tsz King	AXJ865	Licensed Representative	1, 2
YUEN Yu Hung	BBZ746	Licensed Representative	4, 9
YVER Charly Werner	BNU457	Licensed Representative	9
ZENG Jiajing	BRQ770	Licensed Representative	1, 6
ZENG Jiakai	BRO549	Licensed Representative	1
ZENG Kunjie	BRO858	Licensed Representative	1, 6
ZENG Rui	BRJ453	Licensed Representative	6
ZENG Tao	BRQ196	Licensed Representative	1, 4
ZENG Wenyuan	BRP953	Licensed Representative	1, 4, 6
ZHAN Ruolin	BRR199	Licensed Representative	1, 4
ZHANG Bing	BRQ682	Licensed Representative	1, 4, 9
ZHANG Dale	BOZ872	Licensed Representative	9
ZHANG Duke Du	BRO764	Licensed Representative	1, 4
ZHANG Jing	BRP995	Licensed Representative	9
ZHANG Jinyao	BRO974	Licensed Representative	9
ZHANG Luyi	BRK781	Licensed Representative	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Qiao	BRN896	Licensed Representative	1, 4, 6
ZHANG Qilu	BRK990	Licensed Representative	1, 4
ZHANG Sishi	BRO558	Licensed Representative	4, 9
ZHANG Tao	BRO332	Licensed Representative	6
ZHANG Tiansheng	BRO838	Licensed Representative	4
ZHANG Wanjing	BRO790	Licensed Representative	1, 6
ZHANG Weiyi	BRP246	Licensed Representative	1
ZHANG Wenqing	BRN914	Licensed Representative	1, 4, 6
ZHANG Xingyu	BRO737	Licensed Representative	4, 9
ZHANG Xuechun	BRO864	Licensed Representative	1
ZHANG Yihong	BRM132	Licensed Representative	1, 6
ZHANG Yuhang	BRP499	Licensed Representative	1, 4, 6
ZHANG Zeming	BRQ538	Licensed Representative	1, 4, 6
ZHANG Zhaoyang	BRO763	Licensed Representative	1, 4, 6
ZHANG Zhen	BRO991	Licensed Representative	1, 6
ZHANG Zhixiong	BQZ795	Licensed Representative	9
ZHAO Di	BRJ887	Licensed Representative	4, 9
ZHAO Peng	BRN919	Licensed Representative	1, 4, 6
ZHAO Sitong	BRO970	Licensed Representative	1, 6
ZHAO Xin	BRQ226	Licensed Representative	1, 6
ZHAO Yi	BRN915	Licensed Representative	1, 4, 6
ZHAO Yiqin	BRP538	Licensed Representative	1, 4, 6
ZHAO Zhiyong	BRP550	Licensed Representative	4
ZHENG Ming Yuan	BQS627	Licensed Representative	1, 4, 9
ZHENG Pudong	BRQ021	Licensed Representative	1, 6
ZHENG Wenjing	BRO753	Licensed Representative	4
ZHENG Xinru	BRO695	Licensed Representative	1, 2
ZHONG Yi	BRK378	Licensed Representative	1, 9
ZHONG Zhenwu	BRK512	Licensed Representative	2
ZHOU Jian	BRP445	Licensed Representative	1, 2
ZHOU Mengjue	BRO028	Licensed Representative	1, 2
ZHOU Xiang	BRO749	Licensed Representative	1, 6
ZHOU Xinyi	BRP773	Licensed Representative	1, 4, 6
ZHOU Yangyi	BRK786	Licensed Representative	1, 6
ZHOU Yu	BRP477	Licensed Representative	1, 4, 9
ZHOU Zhiyu	BRM461	Licensed Representative	1, 4, 6
ZHOU Zijie	BRO041	Licensed Representative	1, 4, 6
ZHU Beihang	BRP560	Licensed Representative	1, 6
ZHU Bowen	BRN923	Licensed Representative	1, 4, 6
ZHU Difei	BRB478	Licensed Representative	4, 9
ZHU Han	BRQ030	Licensed Representative	1, 6
ZHU Hongyi	BRJ939	Licensed Representative	1, 4, 9
ZHU Zheng	BGG429	Licensed Representative	1, 4, 6
ZHUO Qiuying	BRN854	Licensed Representative	1, 6
ZOU Dongliang	BRN807	Licensed Representative	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZOU Miaolang	BRO870	Licensed Representative	1
ZOU Yining	BRQ724	Licensed Representative	1, 6
ZUO Yongwei	BRQ540	Licensed Representative	1, 4, 6
ABRAHAMS Richard	BIG685	Licensed Representative - Responsible Officer	1, 2, 4, 9
CHAN Ka Kam	BDM048	Licensed Representative - Responsible Officer	1, 4
CHAN Kit Yan	ALF290	Licensed Representative - Responsible Officer	1, 4
CHOI Wai King	ACL677	Licensed Representative - Responsible Officer	4, 9
CHONG Hang Fai	BRA681	Licensed Representative - Responsible Officer	1, 4
CHU Yue	BRQ071	Licensed Representative - Responsible Officer	9
DE MESTRE Christopher Etienne	BQT970	Licensed Representative - Responsible Officer	1, 4
FAN Shuaishuai	BQB351	Licensed Representative - Responsible Officer	1, 9
GAO Xingxing	BQU177	Licensed Representative - Responsible Officer	9
GE Chenliang	BRD835	Licensed Representative - Responsible Officer	9
HA Wai Kee	BJS130	Licensed Representative - Responsible Officer	1, 4, 9
HE Rui	AZN802	Licensed Representative - Responsible Officer	4, 9
HON Chin Wing	BAG058	Licensed Representative - Responsible Officer	9
HONG Chu Sheng	BFW366	Licensed Representative - Responsible Officer	1, 4, 9
KONG Chun On	AZO335	Licensed Representative - Responsible Officer	4, 9
LAI Wai Kiu	BRA680	Licensed Representative - Responsible Officer	1, 4
LAI Ming Hon, Justin	AWY011	Licensed Representative - Responsible Officer	9
LAU Chi Yin	BND487	Licensed Representative - Responsible Officer	1, 4
LAU Kok Wah	AAA605	Licensed Representative - Responsible Officer	9
LEE Hoi Kam	ABE301	Licensed Representative - Responsible Officer	1, 4, 9
LEE Ka Chun Jeffrey	BRA678	Licensed Representative - Responsible Officer	1, 4
LERESCHE Nicolas David	BBZ455	Licensed Representative - Responsible Officer	1, 2
LI Ho Fung	AZS874	Licensed Representative - Responsible Officer	1, 4, 9
LI Kei	BQS074	Licensed Representative - Responsible Officer	9
LIN Jing	BRA324	Licensed Representative - Responsible Officer	9
LIU Guangming	BDD671	Licensed Representative - Responsible Officer	4, 9
LUK King Sang, Benny	AED814	Licensed Representative - Responsible Officer	1, 7
NG Ka Kin	AGO101	Licensed Representative - Responsible Officer	1, 4, 9
RHEE James Kwanwoo	AFY551	Licensed Representative - Responsible Officer	9
SAM CHANG Segundo Willy	AHE451	Licensed Representative - Responsible Officer	6
SHEN Chengjie	AVQ799	Licensed Representative - Responsible Officer	1, 4, 9
STANKARD Enda Thomas	BRA179	Licensed Representative - Responsible Officer	1
TANG Alice Hau Yee	ASJ677	Licensed Representative - Responsible Officer	1
WANG Meng	BQV246	Licensed Representative - Responsible Officer	9
WANG Shihong	BFY624	Licensed Representative - Responsible Officer	9
WANG Zhongze	AFU195	Licensed Representative - Responsible Officer	1, 2, 4, 5
WONG Chun Wah	AAA213	Licensed Representative - Responsible Officer	1, 2, 4, 5, 9
XIA Lisi	BRE261	Licensed Representative - Responsible Officer	6
XU Yongle	BCZ105	Licensed Representative - Responsible Officer	9
YEUNG Kwok Wing	BRA677	Licensed Representative - Responsible Officer	1, 4
YING Xiaochen	BQS964	Licensed Representative - Responsible Officer	1
YU Kin Shun	BQZ971	Licensed Representative - Responsible Officer	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHAO Liang	BGH804	Licensed Representative - Responsible Officer	4, 9
ZHU Ying	BQT977	Licensed Representative - Responsible Officer	1, 4
AGGARWAL Minal	BRS201	Licensed Representative (Provisional Licence)	1
AN Na	BRR567	Licensed Representative (Provisional Licence)	4
AN Xuancheng	BRQ870	Licensed Representative (Provisional Licence)	1, 6
ANDUJAR Benjamin Alexander	BRR125	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
ANG Clyde Wesley Si	BRQ889	Licensed Representative (Provisional Licence)	1, 2
ANIBAL VAZ Pedro Nuno	BRQ069	Licensed Representative (Provisional Licence)	1, 2
AU YEUNG Ying Hei Joyee	BRS103	Licensed Representative (Provisional Licence)	1, 4, 6
BAEK Soe Jung	BRM656	Licensed Representative (Provisional Licence)	10
BAHETI Mohit Kailash	BRG195	Licensed Representative (Provisional Licence)	9
BAI Junhao Vincent	BRP585	Licensed Representative (Provisional Licence)	1, 4, 6
BAI Yu	BRS330	Licensed Representative (Provisional Licence)	1, 4, 9
BAO YIHAN	BRQ974	Licensed Representative (Provisional Licence)	1
BAO Zheng	BRP607	Licensed Representative (Provisional Licence)	1, 6
BEAUDOIN Hunter Reece	BRR988	Licensed Representative (Provisional Licence)	4
BOUTTOU Abdelkader	BRR736	Licensed Representative (Provisional Licence)	1
CAI Jinga	BRS387	Licensed Representative (Provisional Licence)	1, 4
CAI Yijing	BOI991	Licensed Representative (Provisional Licence)	4
CAI Yujie	BRR958	Licensed Representative (Provisional Licence)	1, 6
CAO Shiwen	BRQ423	Licensed Representative (Provisional Licence)	9
CAO Yifan	BRQ678	Licensed Representative (Provisional Licence)	1, 6
CAO Zeyuan	BRQ155	Licensed Representative (Provisional Licence)	1, 6
CARRENO CERRILLO David	BRR465	Licensed Representative (Provisional Licence)	1, 4
CHADDA Rajat	BRP939	Licensed Representative (Provisional Licence)	1, 2
CHAN Chun Kit	BRP991	Licensed Representative (Provisional Licence)	1, 4
CHAN Chung Hei	BRR388	Licensed Representative (Provisional Licence)	1
CHAN Hoi Kei	BRQ951	Licensed Representative (Provisional Licence)	4, 9
CHAN Ka Kin	BRS045	Licensed Representative (Provisional Licence)	1, 4
CHAN Lap Lun	BRQ692	Licensed Representative (Provisional Licence)	1, 2
CHAN Sze Hin, Cherry	ALW768	Licensed Representative (Provisional Licence)	4, 9
CHAN Tsan Sing	BRS435	Licensed Representative (Provisional Licence)	4
CHAN Tsz Shan	BRQ117	Licensed Representative (Provisional Licence)	1
CHAN Wan Fung	BRR740	Licensed Representative (Provisional Licence)	1
CHAN Wang Ming	BRR440	Licensed Representative (Provisional Licence)	1, 2, 4
CHAN Wing Yiu Winnie	BRR039	Licensed Representative (Provisional Licence)	1
CHAN Yiu Hang	BRR240	Licensed Representative (Provisional Licence)	1, 6
CHANG Nai Ting	BRR200	Licensed Representative (Provisional Licence)	1, 4, 6
CHAU Ka Kin	BRQ456	Licensed Representative (Provisional Licence)	1, 4
CHEN Adrien Panpan	BRR580	Licensed Representative (Provisional Licence)	1, 4
CHEN Bowen	BRR498	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Hao	BRQ652	Licensed Representative (Provisional Licence)	4
CHEN Housheng	BRP984	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN I-An	BRM543	Licensed Representative (Provisional Licence)	1
CHEN Li	BRQ811	Licensed Representative (Provisional Licence)	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Long	BOW916	Licensed Representative (Provisional Licence)	1
CHEN Qinyu	BRR560	Licensed Representative (Provisional Licence)	1, 6
CHEN Shuyan	BRS451	Licensed Representative (Provisional Licence)	1
CHEN Tong	BRR616	Licensed Representative (Provisional Licence)	4, 9
CHEN Xianfan	BRO897	Licensed Representative (Provisional Licence)	1, 4
CHEN Xiang	BJX967	Licensed Representative (Provisional Licence)	1, 2, 9
CHEN Xiao	BRS166	Licensed Representative (Provisional Licence)	1, 6
CHEN Xiaoyue	BLH895	Licensed Representative (Provisional Licence)	4, 5, 9
CHEN Yichuan	BRP112	Licensed Representative (Provisional Licence)	1, 6
CHEN Yilang	BRR534	Licensed Representative (Provisional Licence)	1, 6
CHEN Yiliang	BRQ408	Licensed Representative (Provisional Licence)	1, 6
CHEN Youqi	BRK789	Licensed Representative (Provisional Licence)	1, 6
CHEN Zhenhong	BRQ147	Licensed Representative (Provisional Licence)	1, 4, 9
CHENG Enshu	BRR241	Licensed Representative (Provisional Licence)	1, 6
CHENG Fuk Hoi	BQC992	Licensed Representative (Provisional Licence)	1, 2
CHENG Hei Naat	ARO882	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
CHENG Juyuan	BIQ903	Licensed Representative (Provisional Licence)	1
CHENG Kwan Lok	BRQ274	Licensed Representative (Provisional Licence)	1, 2
CHENG Mun Wa	BRR256	Licensed Representative (Provisional Licence)	4
CHENG Siyuan	BRS542	Licensed Representative (Provisional Licence)	1, 4, 9
CHENG Zhiying	BRQ744	Licensed Representative (Provisional Licence)	1, 6
CHEUNG Cheuk Yan	BRQ102	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Chin Ho	BRS205	Licensed Representative (Provisional Licence)	1, 2, 7
CHEUNG Ching Fai, Daniel	ANC002	Licensed Representative (Provisional Licence)	4, 9
CHEUNG Hon	BRQ616	Licensed Representative (Provisional Licence)	1
CHEUNG Ping Hang, Beck	BGC845	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Suk Mun	AIG228	Licensed Representative (Provisional Licence)	1
CHIK Ho Chit	BRQ718	Licensed Representative (Provisional Licence)	1, 2
CHIN Kun-Jui	BRR387	Licensed Representative (Provisional Licence)	1, 4, 6
CHIN Shun Shing	BRC219	Licensed Representative (Provisional Licence)	1, 4
CHIU Josephine Wing Sze	BFS501	Licensed Representative (Provisional Licence)	1, 4, 9
CHIU Yuen	AVI626	Licensed Representative (Provisional Licence)	9
CHO Beattie	BRQ752	Licensed Representative (Provisional Licence)	1
CHO Seok Won	BLI866	Licensed Representative (Provisional Licence)	1
CHO Yoonhee	BRR618	Licensed Representative (Provisional Licence)	1, 4, 7
CHOI Jeffrey Kai Hei	BPY352	Licensed Representative (Provisional Licence)	1, 6
CHOI Wai Ying	BRS739	Licensed Representative (Provisional Licence)	1
CHOI Yun Ming	BRR069	Licensed Representative (Provisional Licence)	1
CHOW Wai Kit	BRS297	Licensed Representative (Provisional Licence)	1
CHU Yuen Wan	BRQ563	Licensed Representative (Provisional Licence)	1, 4, 6
CHUI Minnie Yik Yee	AQH594	Licensed Representative (Provisional Licence)	1, 2
CUI Yue	BQG381	Licensed Representative (Provisional Licence)	1, 4, 6
DAI Dayu	BRR098	Licensed Representative (Provisional Licence)	1, 6
DANG Ting	BRR416	Licensed Representative (Provisional Licence)	1
DENG Jinglin	BRS391	Licensed Representative (Provisional Licence)	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
DENG Yun	BRQ451	Licensed Representative (Provisional Licence)	4, 9
DENG Zaiqiang	BRP934	Licensed Representative (Provisional Licence)	1, 6
DIAO Guijun	BRO881	Licensed Representative (Provisional Licence)	1, 6
DIAO Tiancong	BRR812	Licensed Representative (Provisional Licence)	1, 4, 9
DING Jian	BRQ847	Licensed Representative (Provisional Licence)	1, 4
DING Qiaoyi	BRR410	Licensed Representative (Provisional Licence)	1, 4, 6
DING Yilan	BRQ996	Licensed Representative (Provisional Licence)	1, 4, 9
DING Yilun	BRS544	Licensed Representative (Provisional Licence)	1, 2, 4
DONG Yixin	BRG445	Licensed Representative (Provisional Licence)	9
DONG Yujun	BRR893	Licensed Representative (Provisional Licence)	4
DOUGLAS Richard Howard	BPL635	Licensed Representative (Provisional Licence)	1, 2
DU Siyuan	BRR554	Licensed Representative (Provisional Licence)	1, 2
DU Xiyuan	BNG836	Licensed Representative (Provisional Licence)	1, 4, 6
DU Yixuan	BRO649	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
DU Zhirui	BRQ666	Licensed Representative (Provisional Licence)	1, 2, 4
DUAN Jiahao	BRQ468	Licensed Representative (Provisional Licence)	1, 4, 6
FAGAN Alexander Douglas	BRQ658	Licensed Representative (Provisional Licence)	1, 4
FALLON James Thomas	AMX827	Licensed Representative (Provisional Licence)	1, 4
FAN Minhao	BRQ217	Licensed Representative (Provisional Licence)	1, 6
FANG Wei	BRS364	Licensed Representative (Provisional Licence)	1, 6
FANG Zeyu	BRS366	Licensed Representative (Provisional Licence)	1, 6
FEI Yifan	BRR467	Licensed Representative (Provisional Licence)	1, 4, 6
FENG Simiao	BRS399	Licensed Representative (Provisional Licence)	1
FENG Xiaohan	BRR193	Licensed Representative (Provisional Licence)	1, 6
FU Sijia	BRR517	Licensed Representative (Provisional Licence)	1, 4
FUNG Hoi Tung	BRS043	Licensed Representative (Provisional Licence)	1, 2
GAN Siyang	BRQ532	Licensed Representative (Provisional Licence)	1, 6
GAO Haifeng	BRQ611	Licensed Representative (Provisional Licence)	9
GAO Mingqi	BRR389	Licensed Representative (Provisional Licence)	4
GAO Xiang	BIC191	Licensed Representative (Provisional Licence)	1, 4
GAO Zhenyi	BRR579	Licensed Representative (Provisional Licence)	1, 4
GAO Zonghao	BRP117	Licensed Representative (Provisional Licence)	1, 6
GOH Shao Wen Justin	BRP594	Licensed Representative (Provisional Licence)	1, 6
GONG QING	BRR606	Licensed Representative (Provisional Licence)	1, 4
GONG Ruiqi	BRR891	Licensed Representative (Provisional Licence)	1, 6
GORMAN Thomas James	BRQ404	Licensed Representative (Provisional Licence)	1, 2
GRANDHAYE Thomas	BRQ115	Licensed Representative (Provisional Licence)	1, 2
GU Haoyi	BRP606	Licensed Representative (Provisional Licence)	1, 6
GU Wei	BRQ635	Licensed Representative (Provisional Licence)	1, 4, 6
GUITO Amanda Alodia	BRR589	Licensed Representative (Provisional Licence)	1, 2
GUO Ningxin	BRR939	Licensed Representative (Provisional Licence)	1, 6
GUO Qian	BRQ615	Licensed Representative (Provisional Licence)	1, 4
GUO Shanshan	BRQ623	Licensed Representative (Provisional Licence)	1
GUO Wenli	BRS515	Licensed Representative (Provisional Licence)	4
GUO Xiaowei	BRO750	Licensed Representative (Provisional Licence)	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
GUO Yuning	BRP119	Licensed Representative (Provisional Licence)	1, 6
HAI Qiang	BRQ930	Licensed Representative (Provisional Licence)	1, 4, 6
HAN Donglin	BRR602	Licensed Representative (Provisional Licence)	1, 6
HE Jingxuan	BRP565	Licensed Representative (Provisional Licence)	1, 6
HE Zhuoda	BRR607	Licensed Representative (Provisional Licence)	1, 4
HEUNG Ka Yiu	BRO758	Licensed Representative (Provisional Licence)	1, 4
HO Chi Kei	BRS036	Licensed Representative (Provisional Licence)	1, 4, 9
HO Ho Yin	BNY370	Licensed Representative (Provisional Licence)	4, 5, 9
HO Hoi Yam	BRR601	Licensed Representative (Provisional Licence)	1, 6
HO Kin Wing	BRR305	Licensed Representative (Provisional Licence)	4, 9
HO Pui Ki	BRQ498	Licensed Representative (Provisional Licence)	1
HO Ta Chiu	BRR070	Licensed Representative (Provisional Licence)	9
HO Yeuk Lam	BRS016	Licensed Representative (Provisional Licence)	1
HONG Alan Ngou Kit	BRQ434	Licensed Representative (Provisional Licence)	1, 6
HONG CHOY Jia Wei Natalie	BRP980	Licensed Representative (Provisional Licence)	9
HONG Xueliang	BRQ929	Licensed Representative (Provisional Licence)	4
HOU Yugao	BRQ982	Licensed Representative (Provisional Licence)	1, 6
HSIAO Chia Ju	BRR932	Licensed Representative (Provisional Licence)	1, 6
HU Qianlin	BRR017	Licensed Representative (Provisional Licence)	4, 9
HU Xiaojun	BOM157	Licensed Representative (Provisional Licence)	1, 6
HU YAN	BRQ810	Licensed Representative (Provisional Licence)	1
HU Yuxiang	BRR190	Licensed Representative (Provisional Licence)	1, 6
HU Zidong	BRQ877	Licensed Representative (Provisional Licence)	9
HUA Xia	BRR551	Licensed Representative (Provisional Licence)	4
HUA Yushi	BQW594	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Chao-Hua	BRN852	Licensed Representative (Provisional Licence)	4
HUANG Chujun	BRP125	Licensed Representative (Provisional Licence)	1, 6
HUANG Genie	BRQ952	Licensed Representative (Provisional Licence)	1, 9
HUANG Huang	BRP936	Licensed Representative (Provisional Licence)	1, 6
HUANG Jiaqi	BRS299	Licensed Representative (Provisional Licence)	1
HUANG Jiawei	BRR510	Licensed Representative (Provisional Licence)	1
HUANG Junhao	BRP595	Licensed Representative (Provisional Licence)	1, 6
HUANG Kaisong	BRQ876	Licensed Representative (Provisional Licence)	1, 4
HUANG Surong	BRS560	Licensed Representative (Provisional Licence)	1, 6
HUANG TAIHSIANG	BRO363	Licensed Representative (Provisional Licence)	1, 4, 9
HUANG Wenjing	BRG436	Licensed Representative (Provisional Licence)	1, 4
HUANG Yiwen	BRR409	Licensed Representative (Provisional Licence)	1, 4, 9
HUANG You Rong	BRS182	Licensed Representative (Provisional Licence)	1, 2, 4
HUANG Yung Hsien	BHS017	Licensed Representative (Provisional Licence)	1, 4, 9
JI Hongyu	BRR420	Licensed Representative (Provisional Licence)	1, 6
JIA Weixuan	BRQ023	Licensed Representative (Provisional Licence)	1, 4, 6
JIA Zhenmin	BRP612	Licensed Representative (Provisional Licence)	1, 6
JIA Ziyang	BRS181	Licensed Representative (Provisional Licence)	1, 2, 4
JIANG Peishan	BRR077	Licensed Representative (Provisional Licence)	4
JIANG Qinyu	BRR208	Licensed Representative (Provisional Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
JIANG Yifan	BRR092	Licensed Representative (Provisional Licence)	1
JIAO Jian	BRS229	Licensed Representative (Provisional Licence)	1, 2, 4
JIAO Shan	BRQ187	Licensed Representative (Provisional Licence)	1, 4
JIN Ruoxi	BRS321	Licensed Representative (Provisional Licence)	1
JIN Shaotong	BRQ603	Licensed Representative (Provisional Licence)	1, 6
JING Yi	BRQ314	Licensed Representative (Provisional Licence)	4
JING Zheng	BRR390	Licensed Representative (Provisional Licence)	1, 4, 6
JO Sang Youl	AEP482	Licensed Representative (Provisional Licence)	1, 4, 9
JU Yansu Christie	ALI339	Licensed Representative (Provisional Licence)	4, 9
JUNEJA Gaurav	BRQ237	Licensed Representative (Provisional Licence)	9
KAN Tsz Hang	BRS476	Licensed Representative (Provisional Licence)	1, 4, 6
KE Mai	BRR289	Licensed Representative (Provisional Licence)	4
KEHRES Robert Allen	BRR792	Licensed Representative (Provisional Licence)	4, 9
KHOO Pei Jing	BRR885	Licensed Representative (Provisional Licence)	1, 2
KIM Mee Song	BRR450	Licensed Representative (Provisional Licence)	1, 6
KO Ho Wai	BRQ318	Licensed Representative (Provisional Licence)	1, 2
KO Jian Xiang	BRS105	Licensed Representative (Provisional Licence)	1, 2, 4
KONG Lingming	BRR660	Licensed Representative (Provisional Licence)	1, 6
KONG Wong Kai	BRO720	Licensed Representative (Provisional Licence)	1, 4, 6
KOO Hyun Kyu	BRH046	Licensed Representative (Provisional Licence)	9
KWAN Ho Yee	BRR800	Licensed Representative (Provisional Licence)	1
KWAN Ka Yin	AWF338	Licensed Representative (Provisional Licence)	1
KWAN Karen	BRS732	Licensed Representative (Provisional Licence)	1, 4
KWAN Yee Ki	BRQ585	Licensed Representative (Provisional Licence)	1
KWOK Ho Ying	BQW780	Licensed Representative (Provisional Licence)	1, 4, 9
KWOK Siu Chung, Jonathan	BBX571	Licensed Representative (Provisional Licence)	9
KWONG Ho Fai	BMW742	Licensed Representative (Provisional Licence)	1
LAI Oi Lam	BRS737	Licensed Representative (Provisional Licence)	1
LAI Shing Hin	BRS502	Licensed Representative (Provisional Licence)	1, 4
LAM Deborah	BHZ436	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Ting Pong	AXZ105	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Yik Lung	BRR275	Licensed Representative (Provisional Licence)	1, 6
LAN Huihao	BRQ539	Licensed Representative (Provisional Licence)	1, 6
LAO Xiangxiang	BRQ072	Licensed Representative (Provisional Licence)	1
LAU Him Chun	BOU485	Licensed Representative (Provisional Licence)	1, 4, 6
LAU Ivy	BRR511	Licensed Representative (Provisional Licence)	1, 4, 6
LAU Ka Ying	BRQ948	Licensed Representative (Provisional Licence)	1
LAU Tsz Ching	BNP581	Licensed Representative (Provisional Licence)	1, 2
LAU Wing Hing	BRQ663	Licensed Representative (Provisional Licence)	1
LAW Pui Ka	BRP659	Licensed Representative (Provisional Licence)	1, 2
LEE Ho Ming Joshua	BRQ550	Licensed Representative (Provisional Licence)	4, 9
LEE Jonathan	BRR483	Licensed Representative (Provisional Licence)	1, 6
LEE Wing Shan	BRP945	Licensed Representative (Provisional Licence)	1, 4
LEE Yan Yim	BRQ415	Licensed Representative (Provisional Licence)	1, 2
LEI Jingyi	BRR372	Licensed Representative (Provisional Licence)	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEI Xiaoyi	BRQ788	Licensed Representative (Provisional Licence)	4
LENG Ning	BMO298	Licensed Representative (Provisional Licence)	1, 4, 6
LENG Yuchen	BRQ116	Licensed Representative (Provisional Licence)	1, 6
LEUNG Hin Ho	BRO877	Licensed Representative (Provisional Licence)	1, 2, 7
LEUNG Ho Chi Edmund	BRR541	Licensed Representative (Provisional Licence)	1
LEUNG Ka Ho	BRR290	Licensed Representative (Provisional Licence)	1
LEUNG Kin Yau, Simon	AYT028	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG Shing Wing, Andy	AML171	Licensed Representative (Provisional Licence)	9
LI Beili	BRQ223	Licensed Representative (Provisional Licence)	1, 6
LI Bo	BRQ688	Licensed Representative (Provisional Licence)	1, 6
LI Changzhi	BRR100	Licensed Representative (Provisional Licence)	1, 6
LI Dai	BRQ470	Licensed Representative (Provisional Licence)	9
LI Duruo	BRG970	Licensed Representative (Provisional Licence)	1, 4, 9
LI Fanding	BRR708	Licensed Representative (Provisional Licence)	4
LI Hoi Fai	BRS309	Licensed Representative (Provisional Licence)	1, 4, 6
LI Jason Sze Kwok	BQP321	Licensed Representative (Provisional Licence)	1, 4, 9
LI Jiarui	BRQ782	Licensed Representative (Provisional Licence)	1, 4, 6
LI Jingyan	BRR536	Licensed Representative (Provisional Licence)	1, 6
LI Jinhang	BRS208	Licensed Representative (Provisional Licence)	1, 4, 6
LI Jixiang	APW452	Licensed Representative (Provisional Licence)	1, 4, 9
LI Junpei	BRS799	Licensed Representative (Provisional Licence)	1, 2, 4
LI King Wang	BRQ674	Licensed Representative (Provisional Licence)	1, 2
LI KWOK WAI	BRP878	Licensed Representative (Provisional Licence)	1, 4
LI Lei	BRM320	Licensed Representative (Provisional Licence)	1
LI Libo	BRQ047	Licensed Representative (Provisional Licence)	1, 6
LI Meng	BRR788	Licensed Representative (Provisional Licence)	1, 4
LI Rongyu	BRS310	Licensed Representative (Provisional Licence)	1, 4, 6
LI Rui	BRQ531	Licensed Representative (Provisional Licence)	1, 4
LI Runbo	BRR375	Licensed Representative (Provisional Licence)	9
LI Shengjie	BRP558	Licensed Representative (Provisional Licence)	1, 6
LI Shing Chun	BRR196	Licensed Representative (Provisional Licence)	1
LI Sipu	BRQ728	Licensed Representative (Provisional Licence)	1, 2, 4
LI Siyue	BRR605	Licensed Representative (Provisional Licence)	1, 6
LI Sui Ki	BEC264	Licensed Representative (Provisional Licence)	1, 2
LI Tianqi	BRR738	Licensed Representative (Provisional Licence)	1, 6
LI Wangzeng	BRR448	Licensed Representative (Provisional Licence)	1, 6
LI Wei	BRR906	Licensed Representative (Provisional Licence)	1, 6
LI Wenwen	BLA027	Licensed Representative (Provisional Licence)	1, 4, 9
LI Yang	BDX525	Licensed Representative (Provisional Licence)	9
LI Yang	BRR192	Licensed Representative (Provisional Licence)	1, 4, 9
LI Yinuo	BRP966	Licensed Representative (Provisional Licence)	1, 6
LI Yuhang	BRR051	Licensed Representative (Provisional Licence)	1, 4, 6
LI Yunfei	BRS100	Licensed Representative (Provisional Licence)	1, 4
LI Yunlu	BRP696	Licensed Representative (Provisional Licence)	1, 4, 6
LI Yusong	BRP600	Licensed Representative (Provisional Licence)	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Zhanghaoyu	BRQ721	Licensed Representative (Provisional Licence)	1, 6
LI Zhe	BRQ108	Licensed Representative (Provisional Licence)	1, 2, 4
LI Ziyue	BRO759	Licensed Representative (Provisional Licence)	1
LIAN Fenghe	BRR269	Licensed Representative (Provisional Licence)	1, 6
LIANG Ce	BRS191	Licensed Representative (Provisional Licence)	1, 4, 6
LIANG Xiaojun	BRR561	Licensed Representative (Provisional Licence)	4, 9
LIAO Wenjing	BRR913	Licensed Representative (Provisional Licence)	2
LIAO Xueyan	BRS104	Licensed Representative (Provisional Licence)	1, 4, 6
LIM Yvette Shi Yun	BRR089	Licensed Representative (Provisional Licence)	1, 2
LIN Chenying	BRR446	Licensed Representative (Provisional Licence)	4
LIN Shih-wei	BRP597	Licensed Representative (Provisional Licence)	1, 6
LIN Shing Chun, Ambrose	BOP726	Licensed Representative (Provisional Licence)	1, 4
LIN Wei Jie	BRR787	Licensed Representative (Provisional Licence)	10
LIN Wenbin	BRQ160	Licensed Representative (Provisional Licence)	1, 6
LIN Yizhou	BRR937	Licensed Representative (Provisional Licence)	1, 2
LIN Yuewen	BRR288	Licensed Representative (Provisional Licence)	1, 4
LIU Bowen	BRS537	Licensed Representative (Provisional Licence)	4
LIU Chenchen	BRQ487	Licensed Representative (Provisional Licence)	1, 2, 7
LIU Dengzhou	BRS478	Licensed Representative (Provisional Licence)	6
LIU Fanglu	BRQ219	Licensed Representative (Provisional Licence)	1, 6
LIU Guangming	BDD671	Licensed Representative (Provisional Licence)	4, 9
LIU Guanzhong	BRR292	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Jianwei	BRR706	Licensed Representative (Provisional Licence)	4
LIU Jieyu	BRS257	Licensed Representative (Provisional Licence)	1, 6
LIU Lewen	BPC301	Licensed Representative (Provisional Licence)	4
LIU Nian	BRQ193	Licensed Representative (Provisional Licence)	1, 6
LIU Ninghui	BRP507	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
LIU Peiyu	BRR033	Licensed Representative (Provisional Licence)	6
LIU Qin	BRR600	Licensed Representative (Provisional Licence)	1
LIU Shengli	BRQ606	Licensed Representative (Provisional Licence)	1, 6
LIU Weiy-Hsiao	BRR955	Licensed Representative (Provisional Licence)	1, 9
LIU Xi	BRQ625	Licensed Representative (Provisional Licence)	10
LIU Xiuping	BRP099	Licensed Representative (Provisional Licence)	1, 2
LIU Yu	BRS277	Licensed Representative (Provisional Licence)	4
LIU Yuan-bo	BRS295	Licensed Representative (Provisional Licence)	1
LIU Yue	BRR734	Licensed Representative (Provisional Licence)	6
LIU Yunqi	BRS311	Licensed Representative (Provisional Licence)	1, 6
LIU Zhichuan	BRR242	Licensed Representative (Provisional Licence)	1, 6
LO Pui Hung	BRR747	Licensed Representative (Provisional Licence)	1
LOH Nicholas	BRQ677	Licensed Representative (Provisional Licence)	4, 9
LONG Wei	BRP044	Licensed Representative (Provisional Licence)	1, 6
LU Christine	BRQ561	Licensed Representative (Provisional Licence)	1, 6
LU Nan	BKS599	Licensed Representative (Provisional Licence)	4, 9
LUI Lok Hei	BRQ101	Licensed Representative (Provisional Licence)	1, 4
LUI Yin Sing	BRS333	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
LUK Ka Chun	AXO221	Licensed Representative (Provisional Licence)	1
LUO Shicong	BRR373	Licensed Representative (Provisional Licence)	1, 4, 6
LUO YI	BRQ144	Licensed Representative (Provisional Licence)	10
LUO Zenan	BRR612	Licensed Representative (Provisional Licence)	1, 2, 4
LUO Zhonghua	BRQ197	Licensed Representative (Provisional Licence)	1, 6
MA Lanzi	BRQ932	Licensed Representative (Provisional Licence)	1, 2, 4
MA Qingrui	BRR889	Licensed Representative (Provisional Licence)	1, 6
MA Ruijun	BRP610	Licensed Representative (Provisional Licence)	1, 6
MA Xiao	BRS178	Licensed Representative (Provisional Licence)	9
MA Xiaowang	BRQ665	Licensed Representative (Provisional Licence)	1, 6
MA Yujing	BRS819	Licensed Representative (Provisional Licence)	1, 2, 4
MAI Jingtong	BRQ145	Licensed Representative (Provisional Licence)	1, 4
MAK ROY	BRQ034	Licensed Representative (Provisional Licence)	1
MAN Yuk Ying	AXG040	Licensed Representative (Provisional Licence)	1, 4
MAO Jinwen	BRL964	Licensed Representative (Provisional Licence)	1, 6
MAO Zhe	BNT074	Licensed Representative (Provisional Licence)	1, 4
MARTIN Alexandre Joseph Gaston	ARW206	Licensed Representative (Provisional Licence)	1
MENARD Olivier Jean Alexandre	BRQ394	Licensed Representative (Provisional Licence)	1, 4
MENDES AUGUSTO TSCHERNING DE ALBUQUERQUE Mariana	BRP751	Licensed Representative (Provisional Licence)	1, 2, 7
MENDONCA Vinella Anne	BRR081	Licensed Representative (Provisional Licence)	1
MENG Jiaxuan	BRR935	Licensed Representative (Provisional Licence)	1, 4
MENG Shan	BRQ604	Licensed Representative (Provisional Licence)	1, 6
MIAO Fan	ATB864	Licensed Representative (Provisional Licence)	4, 9
MINAKI Tomoya	BIW810	Licensed Representative (Provisional Licence)	1, 2
MIYATA Kotaro	BOI284	Licensed Representative (Provisional Licence)	1
MO Chelsea	BKI563	Licensed Representative (Provisional Licence)	9
MO Wenlei	BRR243	Licensed Representative (Provisional Licence)	1, 6
MORETTON Sebastien Benjamin	BRP755	Licensed Representative (Provisional Licence)	1
MU Yiyu	BRP609	Licensed Representative (Provisional Licence)	1, 6
NAKAGAWA Sodai	BRQ192	Licensed Representative (Provisional Licence)	9
NARANG Radhika	BRP909	Licensed Representative (Provisional Licence)	1, 4
NG Siu Na Sarnian	BMC120	Licensed Representative (Provisional Licence)	1
NG Wai Kwan	BRP601	Licensed Representative (Provisional Licence)	1, 6
NGAI Yuen Ching	BRR501	Licensed Representative (Provisional Licence)	1, 4
NI Chao	BPK828	Licensed Representative (Provisional Licence)	9
NI Xiaowei	BRF587	Licensed Representative (Provisional Licence)	6
NIE Chun	BRQ143	Licensed Representative (Provisional Licence)	1, 6
NING Xiaobo	BRR052	Licensed Representative (Provisional Licence)	1, 6
NIU Duorui	BRP602	Licensed Representative (Provisional Licence)	1, 6
OBEID Georges	BRQ091	Licensed Representative (Provisional Licence)	1, 2
O'NEILE James Finlay	ALV097	Licensed Representative (Provisional Licence)	1, 2, 4
OZAWA Takashi	BRR093	Licensed Representative (Provisional Licence)	1, 2, 9
PAN Jihao	BOG015	Licensed Representative (Provisional Licence)	4, 5, 9
PAN Larry Lesheng	BRS401	Licensed Representative (Provisional Licence)	1, 4, 6
PANG Lillee Eileen	BRR689	Licensed Representative (Provisional Licence)	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
PEAGAM David James	BRP489	Licensed Representative (Provisional Licence)	1, 4, 9
PENG Jiajie	BRS047	Licensed Representative (Provisional Licence)	9
PHANG Zi Xin	BRR690	Licensed Representative (Provisional Licence)	1, 4, 6
PHUA Joo Han Adam	ANW541	Licensed Representative (Provisional Licence)	1
POON Colin Vincent	BRO988	Licensed Representative (Provisional Licence)	1, 4, 6
POON Dic Yan	BJR316	Licensed Representative (Provisional Licence)	4
PRASAD Akshay	BRF257	Licensed Representative (Provisional Licence)	1, 4
QIAN Ruizhe	BRQ712	Licensed Representative (Provisional Licence)	1, 6
QIN Xiaonan	BRP127	Licensed Representative (Provisional Licence)	1, 6
QIN Xiaotian	BCF240	Licensed Representative (Provisional Licence)	1, 4
QIN Yuqin	BRR743	Licensed Representative (Provisional Licence)	1, 4, 6
QIU Yufei	BMZ544	Licensed Representative (Provisional Licence)	4, 9
QU Yi	BRQ726	Licensed Representative (Provisional Licence)	1, 6
QU Yiyang	BRS306	Licensed Representative (Provisional Licence)	1, 6
REDMOND Karl Brandon	BRQ019	Licensed Representative (Provisional Licence)	1
RIZZI Flavio	BRQ621	Licensed Representative (Provisional Licence)	1, 2
SHAN Bingchen	BRQ719	Licensed Representative (Provisional Licence)	1
SHEN Chengjie	AVQ799	Licensed Representative (Provisional Licence)	1, 4, 9
SHEN Jie	BRQ225	Licensed Representative (Provisional Licence)	1, 6
SHEN Yu	BRR325	Licensed Representative (Provisional Licence)	1, 4
SHEUNG Wing Chun	BRR709	Licensed Representative (Provisional Licence)	1, 2
SHI Boyi	BRS561	Licensed Representative (Provisional Licence)	4
SHI Kaiyuan	BRR370	Licensed Representative (Provisional Licence)	1, 6
SHI Wenyue	BRR188	Licensed Representative (Provisional Licence)	1, 6
SHIN HyungKyu	BRR247	Licensed Representative (Provisional Licence)	1, 6
SHIU Gordon Leong Wang	BRR094	Licensed Representative (Provisional Licence)	1
SI Yang	BRP122	Licensed Representative (Provisional Licence)	1, 6
SIAW Yong Chai	BRQ864	Licensed Representative (Provisional Licence)	1, 4
SILSBY Myles Montgomery	BRR781	Licensed Representative (Provisional Licence)	4
SIN Chi Ho	BLW771	Licensed Representative (Provisional Licence)	1, 4
SIN Wing Fai	BRR091	Licensed Representative (Provisional Licence)	1, 4
SINAY Joseph Allan Jegillos	BRQ656	Licensed Representative (Provisional Licence)	4, 9
SIT Chun Tat	BRQ086	Licensed Representative (Provisional Licence)	1, 4
SJOESTROEM Mats Johan	AMF102	Licensed Representative (Provisional Licence)	9
SO Ho Ying	BRQ900	Licensed Representative (Provisional Licence)	1, 6
SO Hoi Tong	BRQ624	Licensed Representative (Provisional Licence)	1, 2
SONG Jiacheng	BHL671	Licensed Representative (Provisional Licence)	1, 4, 9
SONG Jin	BNS837	Licensed Representative (Provisional Licence)	1, 6
SONG Kunrong	BRQ684	Licensed Representative (Provisional Licence)	1, 6
SONG Liang	BRP779	Licensed Representative (Provisional Licence)	4
STAERKLE Nicolas	AIR083	Licensed Representative (Provisional Licence)	1, 4, 9
STIFF Gavin Daniel Bradford	BRP438	Licensed Representative (Provisional Licence)	1, 2
SU Qin	BRS563	Licensed Representative (Provisional Licence)	1, 6
SU Wen-Pin	BRR516	Licensed Representative (Provisional Licence)	1
SU Zhenyu	BRS278	Licensed Representative (Provisional Licence)	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
SUEN Cheuk Ting Matthew	BRQ963	Licensed Representative (Provisional Licence)	9
SUN Deman	BRP611	Licensed Representative (Provisional Licence)	1, 6
SUN Weirong	BRR086	Licensed Representative (Provisional Licence)	4
SUN Xiangwei	BRR291	Licensed Representative (Provisional Licence)	1, 4, 6
SUNG Sing Ho	BRE395	Licensed Representative (Provisional Licence)	1, 4
SZEGDA Monika Joanna	BRQ809	Licensed Representative (Provisional Licence)	2, 5
TAM Ka Fu Kelvin	BRR398	Licensed Representative (Provisional Licence)	1
TAM Kam Hing	BRR558	Licensed Representative (Provisional Licence)	1, 4
TAM King Yu	BRR258	Licensed Representative (Provisional Licence)	1
TAM Mayce	ADW542	Licensed Representative (Provisional Licence)	1, 4
TAM Pak Hei	BRR095	Licensed Representative (Provisional Licence)	1, 4
TAM Sze Yan Tina	BRP496	Licensed Representative (Provisional Licence)	9
TAN Chang	BRR253	Licensed Representative (Provisional Licence)	1, 4
TAN Peng Ming Joel	BQC315	Licensed Representative (Provisional Licence)	1, 4, 9
TAN Wei Lynn	BPW628	Licensed Representative (Provisional Licence)	1, 6
TANG Ki Ming	BRR424	Licensed Representative (Provisional Licence)	1, 2
TANG Weiting	BRR608	Licensed Representative (Provisional Licence)	1, 6
TANG Zongqi	BRQ161	Licensed Representative (Provisional Licence)	1, 4
TAO Zhe	BRN918	Licensed Representative (Provisional Licence)	1, 4, 6
TAO Zhifeng	BRP968	Licensed Representative (Provisional Licence)	1, 6
TIAN Qiyuan	BRQ685	Licensed Representative (Provisional Licence)	1, 6
TIAN Youjia	BRP481	Licensed Representative (Provisional Licence)	1, 4, 6
TIAN Zhenjia	BRP598	Licensed Representative (Provisional Licence)	1, 6
TOMLINS James Edward	BOI295	Licensed Representative (Provisional Licence)	1
TONG Shing Kit, Jack	ADS004	Licensed Representative (Provisional Licence)	1, 2
TONG Wenzhao	BRR054	Licensed Representative (Provisional Licence)	1, 4, 6
TONG Yan Wing, Francis	ALD635	Licensed Representative (Provisional Licence)	9
TOOLE Daniel	BRR274	Licensed Representative (Provisional Licence)	1, 2
TRESSIERES Raphael Georges	BIZ032	Licensed Representative (Provisional Licence)	1, 4, 6
TSANG Chun Sing	BRR768	Licensed Representative (Provisional Licence)	1
TSANG Hin Tong	BRF968	Licensed Representative (Provisional Licence)	1, 4, 9
TSANG Wai Shing	BRP919	Licensed Representative (Provisional Licence)	1, 4
TSE Irene Ying-Wa	BRQ395	Licensed Representative (Provisional Licence)	9
TSE Kun Mei	BRN999	Licensed Representative (Provisional Licence)	1, 4
TSE WAI Shing	BRQ942	Licensed Representative (Provisional Licence)	2
TSE Wut Hei Raphael	BRQ999	Licensed Representative (Provisional Licence)	4
TSO Chun Kit Raymond	BRR543	Licensed Representative (Provisional Licence)	1
TSOI Chun Hei	BRS285	Licensed Representative (Provisional Licence)	1, 2
TSOI Sin Yuk Cindy	BRR594	Licensed Representative (Provisional Licence)	1, 4
TSUI Sing Long	BRS098	Licensed Representative (Provisional Licence)	1, 2, 4
VAN DER WALL Vanessa Therese	BDQ067	Licensed Representative (Provisional Licence)	1, 4
VAN DYKE Joshua Lee	BRP992	Licensed Representative (Provisional Licence)	1, 4
VARMA Rajan Austin	BRR887	Licensed Representative (Provisional Licence)	1, 4
VOGL Thomas Alois	BRR437	Licensed Representative (Provisional Licence)	4, 9
WALTER David Ronald James	BMV463	Licensed Representative (Provisional Licence)	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
WAN Jianfei	BRP559	Licensed Representative (Provisional Licence)	1, 6
WAN Tsz Wing	BRQ525	Licensed Representative (Provisional Licence)	1, 2
WANG Chudeng	BRQ633	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Hanyu	BRQ986	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Hanzhi	BRR565	Licensed Representative (Provisional Licence)	4, 9
WANG Huijie	BRQ679	Licensed Representative (Provisional Licence)	1, 6
WANG Jing	BRR040	Licensed Representative (Provisional Licence)	9
WANG Jingyi	BRP868	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Jueling	BRR992	Licensed Representative (Provisional Licence)	1
WANG Ligeng	BRQ049	Licensed Representative (Provisional Licence)	1, 6
WANG Taoyuan	BRL028	Licensed Representative (Provisional Licence)	1, 6
WANG Tianyang	BRP935	Licensed Representative (Provisional Licence)	1, 6
WANG Tongzhou	BRR244	Licensed Representative (Provisional Licence)	1, 6
WANG Wei	BRO721	Licensed Representative (Provisional Licence)	4
WANG Wei-Lung	ALI444	Licensed Representative (Provisional Licence)	1, 4
WANG Wenjia	BRS349	Licensed Representative (Provisional Licence)	1, 6
WANG Xiaotong	BRQ622	Licensed Representative (Provisional Licence)	1, 2
WANG Xuan	BEW977	Licensed Representative (Provisional Licence)	9
WANG Yi	BKA674	Licensed Representative (Provisional Licence)	4, 9
WANG Yida	BRS241	Licensed Representative (Provisional Licence)	9
WANG Yihao	BRQ720	Licensed Representative (Provisional Licence)	1, 6
WANG Yijun	BFC862	Licensed Representative (Provisional Licence)	4, 9
WANG Yinpeng	BNY940	Licensed Representative (Provisional Licence)	4
WANG Yiqi	BRL030	Licensed Representative (Provisional Licence)	1, 6
WANG Yirui	BRR775	Licensed Representative (Provisional Licence)	1, 6
WANG Yizhou	BRQ965	Licensed Representative (Provisional Licence)	1, 4
WANG Yu	AYG657	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Zhiyi	BRQ185	Licensed Representative (Provisional Licence)	4
WANG Ziai	BRQ687	Licensed Representative (Provisional Licence)	4
WANG Zihan	BRR068	Licensed Representative (Provisional Licence)	1
WANG Zijun	BRR195	Licensed Representative (Provisional Licence)	4
WEI Yaoyao	BRR455	Licensed Representative (Provisional Licence)	1, 4, 9
WEN Siyu	BRR545	Licensed Representative (Provisional Licence)	6
WONG Fong Ki	BNN662	Licensed Representative (Provisional Licence)	1, 2, 4, 9
WONG Ka Wing	ATO884	Licensed Representative (Provisional Licence)	4, 9
WONG Kwan Yan	BRS050	Licensed Representative (Provisional Licence)	1, 2, 4
WONG Kwun Man	BEB657	Licensed Representative (Provisional Licence)	1, 6
WONG Ming Him	AXJ784	Licensed Representative (Provisional Licence)	4
WONG Nathan	BRR321	Licensed Representative (Provisional Licence)	4, 9
WONG Po Sum	BRR557	Licensed Representative (Provisional Licence)	4, 9
WONG Po Yue Bowie	BRS472	Licensed Representative (Provisional Licence)	1, 2
WONG Thomas Chung Hau	ANU194	Licensed Representative (Provisional Licence)	9
WONG Tsun Lung	BRP942	Licensed Representative (Provisional Licence)	4
WONG Yin Chun	BFU604	Licensed Representative (Provisional Licence)	1, 4
WU Chen	BRS564	Licensed Representative (Provisional Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
WU Chun-han	AXH168	Licensed Representative (Provisional Licence)	4, 9
WU Di	BRR549	Licensed Representative (Provisional Licence)	1, 4, 6
WU Kin Hang	BRR604	Licensed Representative (Provisional Licence)	1, 2, 4
WU Ming Yan	BHK413	Licensed Representative (Provisional Licence)	9
WU Qianwen	BRK931	Licensed Representative (Provisional Licence)	4, 9
WU Ruili	BRQ742	Licensed Representative (Provisional Licence)	1, 6
WU Yue Teng Zi	BRR681	Licensed Representative (Provisional Licence)	4
WU Zexi	BNU542	Licensed Representative (Provisional Licence)	9
WUN Lok Wing	BPX002	Licensed Representative (Provisional Licence)	1, 4
XIAO He	BRR464	Licensed Representative (Provisional Licence)	1
XIAO Jiutian	BRQ050	Licensed Representative (Provisional Licence)	1, 6
XIAO Rui	BRR575	Licensed Representative (Provisional Licence)	1, 4
XIAO Yun	BRQ715	Licensed Representative (Provisional Licence)	1, 6
XIE Xinru	BRR615	Licensed Representative (Provisional Licence)	4
XIE Zhenghong	BRR537	Licensed Representative (Provisional Licence)	1, 6
XING Denghui	BRQ609	Licensed Representative (Provisional Licence)	1, 6
XING Maosheng	AKJ354	Licensed Representative (Provisional Licence)	1, 2
XIONG Kuangyu	BRR377	Licensed Representative (Provisional Licence)	1, 4, 9
XU FAN	BRR981	Licensed Representative (Provisional Licence)	4, 9
XU Ke	BRR496	Licensed Representative (Provisional Licence)	1, 6
XU Liu	BRQ808	Licensed Representative (Provisional Licence)	1, 6
XU Ningshuang	BRQ488	Licensed Representative (Provisional Licence)	1
XU Qian	BRP563	Licensed Representative (Provisional Licence)	1, 6
XU Wanlu	BRK419	Licensed Representative (Provisional Licence)	1, 4, 6
XU Yangqi	BRR877	Licensed Representative (Provisional Licence)	1, 6
XU Zhixin	BRR270	Licensed Representative (Provisional Licence)	1, 4, 9
XU Ziyue	BRR613	Licensed Representative (Provisional Licence)	4
XUE Meichen	BRQ567	Licensed Representative (Provisional Licence)	1, 2
YAMAGUCHI Tomohiro	BBD526	Licensed Representative (Provisional Licence)	9
YAMAKAWA Hiroki	BGI791	Licensed Representative (Provisional Licence)	9
YAN Chongda	BRQ831	Licensed Representative (Provisional Licence)	1, 6
YAN Huijing	BRR985	Licensed Representative (Provisional Licence)	4
YAN Junchao	BRQ472	Licensed Representative (Provisional Licence)	1
YAN Wenwen	BRR542	Licensed Representative (Provisional Licence)	1, 6
YAN Yunzhong	BRR212	Licensed Representative (Provisional Licence)	1, 4, 6
YANG HAOLING	BRR577	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Haopeng	BRQ200	Licensed Representative (Provisional Licence)	1, 4
YANG Haoru	BRR892	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Jin	BOZ177	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Kai	BRS092	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Kewu	BRR617	Licensed Representative (Provisional Licence)	1, 6
YANG Longsheng	BRR315	Licensed Representative (Provisional Licence)	1, 6
YANG Miao	BRQ873	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Shiyao	BRP952	Licensed Representative (Provisional Licence)	1, 4
YANG Shuangyu	BRP596	Licensed Representative (Provisional Licence)	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
YANG Shuting	BHH029	Licensed Representative (Provisional Licence)	4, 9
YANG Wenhan	BRQ210	Licensed Representative (Provisional Licence)	1, 6
YANG YUJIAO	BRS246	Licensed Representative (Provisional Licence)	4, 9
YAO Chunmin	BRR839	Licensed Representative (Provisional Licence)	1, 6
YAU Ka Man Karmen	BRQ835	Licensed Representative (Provisional Licence)	1
YE Jiandong	BRR532	Licensed Representative (Provisional Licence)	1, 6
YEE Ching Tung	BRS389	Licensed Representative (Provisional Licence)	1, 4
YEUNG Chun Yin	BRR485	Licensed Representative (Provisional Licence)	1, 4, 9
YEUNG Chung Ki	BRQ566	Licensed Representative (Provisional Licence)	9
YEUNG Hing Fung	BRQ449	Licensed Representative (Provisional Licence)	1, 2, 3, 4
YEUNG Kin	BRS235	Licensed Representative (Provisional Licence)	1, 2, 7
YEUNG Pak Long	BRR082	Licensed Representative (Provisional Licence)	1, 6
YI Ran	BRO418	Licensed Representative (Provisional Licence)	10
YIN Mingyao	BRR002	Licensed Representative (Provisional Licence)	10
YIN Yuhui	BRR191	Licensed Representative (Provisional Licence)	4, 9
YIP Yuen Ni	BRO258	Licensed Representative (Provisional Licence)	1, 4, 9
YOON Su Sang	BRQ114	Licensed Representative (Provisional Licence)	4, 9
YOU Wei	BRR101	Licensed Representative (Provisional Licence)	1, 6
YOU Yaohui	BIR511	Licensed Representative (Provisional Licence)	4, 9
YU Che Kaei	AXL098	Licensed Representative (Provisional Licence)	1, 4, 9
YU Chloe Yan Yan	BJK853	Licensed Representative (Provisional Licence)	1, 2, 4
YU Hang	BRS097	Licensed Representative (Provisional Licence)	4, 5, 9
YU Ho Yi	BRR509	Licensed Representative (Provisional Licence)	4
YU Woei Chyi	BRR963	Licensed Representative (Provisional Licence)	1
YU Xinran	BRR890	Licensed Representative (Provisional Licence)	1, 6
YU Yingxin	BRQ610	Licensed Representative (Provisional Licence)	1, 6
YU Zequan	BRQ221	Licensed Representative (Provisional Licence)	1, 6
YUAN Jieying	BRR314	Licensed Representative (Provisional Licence)	4
YUAN Shuoqi	BRQ998	Licensed Representative (Provisional Licence)	10
YUAN Yang	BRQ931	Licensed Representative (Provisional Licence)	4
YUE Chuangwei	BRR374	Licensed Representative (Provisional Licence)	1, 6
ZAMORA Aezel Ann Beltran	BRR079	Licensed Representative (Provisional Licence)	1, 4, 9
ZENG Jiajing	BRQ770	Licensed Representative (Provisional Licence)	1, 6
ZENG Tao	BRQ196	Licensed Representative (Provisional Licence)	1, 4
ZHAN Ruolin	BRR199	Licensed Representative (Provisional Licence)	1, 4
ZHAN Weixin	BRR286	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Guozheng	BRP564	Licensed Representative (Provisional Licence)	1, 6
ZHANG Hengrui	BRS552	Licensed Representative (Provisional Licence)	1, 6
ZHANG Huiyan	BRS106	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Junfei	BRQ133	Licensed Representative (Provisional Licence)	4
ZHANG Kai	BRP247	Licensed Representative (Provisional Licence)	1
ZHANG Qixiao	BRR059	Licensed Representative (Provisional Licence)	1
ZHANG Shanshan	BRP608	Licensed Representative (Provisional Licence)	1, 6
ZHANG Shenzhe	BRP603	Licensed Representative (Provisional Licence)	1, 6
ZHANG Shuqi	BOC312	Licensed Representative (Provisional Licence)	4, 5, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Shuwei	BRR099	Licensed Representative (Provisional Licence)	1, 4
ZHANG Su	BRP593	Licensed Representative (Provisional Licence)	1, 6
ZHANG Tianliang	BRQ234	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Tie	BRP562	Licensed Representative (Provisional Licence)	1, 6
ZHANG Wanyue	BRQ582	Licensed Representative (Provisional Licence)	1, 6
ZHANG Wei	BRS543	Licensed Representative (Provisional Licence)	1
ZHANG Weijia	BRR245	Licensed Representative (Provisional Licence)	1, 6
ZHANG Xinyi	BRR414	Licensed Representative (Provisional Licence)	1, 2
ZHANG Yuxuan	BRS567	Licensed Representative (Provisional Licence)	1, 2, 4
ZHANG Zhifan	AVG893	Licensed Representative (Provisional Licence)	9
ZHANG Zhixiong	BQZ795	Licensed Representative (Provisional Licence)	9
ZHAO Haoping	BRR572	Licensed Representative (Provisional Licence)	1, 4, 6
ZHAO Lijun	ANR808	Licensed Representative (Provisional Licence)	1, 4, 6
ZHAO Ningda	BPP315	Licensed Representative (Provisional Licence)	4
ZHAO Xinyang	BRP604	Licensed Representative (Provisional Licence)	1, 6
ZHAO Yan	BRQ768	Licensed Representative (Provisional Licence)	1, 6
ZHAO Yang	AZX409	Licensed Representative (Provisional Licence)	1, 4
ZHAO Yangguang	BRQ723	Licensed Representative (Provisional Licence)	1, 6
ZHAO Yiqiang	BRQ657	Licensed Representative (Provisional Licence)	4, 9
ZHAO Yue	BRQ413	Licensed Representative (Provisional Licence)	1, 6
ZHENG Ming Yuan	BQS627	Licensed Representative (Provisional Licence)	1, 4, 9
ZHENG YAYUN	BRR603	Licensed Representative (Provisional Licence)	1, 4, 6
ZHOU Junye	BNN653	Licensed Representative (Provisional Licence)	1, 6
ZHOU Ziyao	BRQ710	Licensed Representative (Provisional Licence)	1, 4
ZHU Chaofan	BRP605	Licensed Representative (Provisional Licence)	1, 6
ZHU Guangzheng	BRJ333	Licensed Representative (Provisional Licence)	1, 4, 9
ZHU Kexin	BRP880	Licensed Representative (Provisional Licence)	1, 4
ZHU Quan	BRR593	Licensed Representative (Provisional Licence)	1
ZHU Yifeng	BRR599	Licensed Representative (Provisional Licence)	1, 6
ZHU Yike	BRR246	Licensed Representative (Provisional Licence)	1, 6
ZHU Yuening	BRQ569	Licensed Representative (Provisional Licence)	1, 2
ZOU Miao	BRR279	Licensed Representative (Provisional Licence)	1
ZOU Yining	BRQ724	Licensed Representative (Provisional Licence)	1, 6
CHEUNG Chit Hei	BRS405	Licensed Representative (Temporary Licence)	1, 6
HALL Aleisha Ming-Yee	BRE268	Licensed Representative (Temporary Licence)	6
LAMBERT Ashley George	BRD668	Licensed Representative (Temporary Licence)	6
MOLENAARS Sebastiaan Lennaert Herman Minne	BRR076	Licensed Representative (Temporary Licence)	1, 2
PAWLUK Matthew	BQZ930	Licensed Representative (Temporary Licence)	6
SHIU Angela Ka Kei	BQQ984	Licensed Representative (Temporary Licence)	1
SIAPKAS Dimitri	BRE275	Licensed Representative (Temporary Licence)	6