

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
Alphahill Capital Limited	BRK187	Licensed Corporation	9
Ascent Fund Management (HK) Limited	BRJ303	Licensed Corporation	9
Bay Area Capital Partners Limited	BRH248	Licensed Corporation	4, 9
CDIB Capital International (Hong Kong) Corporation Limited	BRI450	Licensed Corporation	1, 4, 9
CMC Partners Limited	BRK888	Licensed Corporation	1, 4, 9
Fosun Hani Asset Management Limited	BPX707	Licensed Corporation	4, 9
Huaan Securities (Hong Kong) Brokerage Limited	BRG799	Licensed Corporation	1, 4
Huaan Securities (Hong Kong) Futures Limited	BRH155	Licensed Corporation	2, 5
Jump Trading Hong Kong Limited	BRG604	Licensed Corporation	1, 2
MP Capital Partners (HK) Limited	BRM403	Licensed Corporation	4, 9
Pearl Capital Limited	BQZ916	Licensed Corporation	1, 4, 9
Raine Advisors Hong Kong Limited	BRF726	Licensed Corporation	1, 4, 6
Regent Securities Limited	BRF703	Licensed Corporation	1
Seeds Capital Limited	BRI755	Licensed Corporation	4, 9
Sequoia Capital China Advisors (Hong Kong) Limited	APL956	Licensed Corporation	9
Sequoia China Healthcare Partners (Hong Kong) Limited	BRO798	Licensed Corporation	9
Sunrise Fund (China) Limited	BRH173	Licensed Corporation	4, 9
Ubiquant Asset Management Co., Limited	BRM204	Licensed Corporation	9
Zero2IPO Capital Limited	BRH906	Licensed Corporation	6
Ping An Bank Co., Ltd.	AMA916	Registered Institution	1, 4
AGGARWAL Minal	BRS201	Licensed Representative	1
AL BUSAID Ahmed Thabit Ahmed	BRS559	Licensed Representative	1, 4, 9
ALAFATE Abulaiti	BRT568	Licensed Representative	4
AN Dongni	BRS766	Licensed Representative	1, 2
AN Na	BRR567	Licensed Representative	4
ARAUJO Alexander Almendra	BRS479	Licensed Representative	1
BAI Weizhou	BRU914	Licensed Representative	4
BATBOLD Ariunzaya	BRT242	Licensed Representative	1, 2
BELLEMIN Velik Alexandre	AQE028	Licensed Representative	1, 2
BIAN Yang	BRS565	Licensed Representative	9
BOUTTOU Abdelkader	BRR736	Licensed Representative	1
BU Junxiao	BRT645	Licensed Representative	1, 6
CAI Jinga	BRS387	Licensed Representative	1, 4
CAI Yipin	BLP008	Licensed Representative	1, 4
CHAKER Sebastien Alexandre	BMB278	Licensed Representative	1, 4
CHAN Alexander Ho-Young	BRS793	Licensed Representative	4
CHAN Cheuk Ling	BRT108	Licensed Representative	1, 4, 9
CHAN David Hantang	ATH921	Licensed Representative	1, 4
CHAN Hoi Lam	BRU853	Licensed Representative	1, 6
CHAN Kar Yeung Barnaby	BRT087	Licensed Representative	1, 2
CHAN Mei Han	AXV221	Licensed Representative	1
CHAN Nai Yan	BFA523	Licensed Representative	1, 4, 6
CHAN Shuk Man	BRV558	Licensed Representative	1
CHAN Tsan Sing	BRS435	Licensed Representative	4
CHAN Wing Ho	BFD134	Licensed Representative	1
CHAN Wing Man	BRS568	Licensed Representative	1, 4
CHAN Yi Sin	BRW051	Licensed Representative	1, 6
CHAN Ying Yu Leanna	AXC369	Licensed Representative	1, 4, 9
CHAN Yiu Fai	BRU831	Licensed Representative	1, 4
CHAN Yuen Man, Venus	AFU895	Licensed Representative	1
CHAO HIU WAI ROTHIA	BRT433	Licensed Representative	1
CHAUDRY Ahmad Sadiq	BFK341	Licensed Representative	1, 2
CHEN Bowen	BRR498	Licensed Representative	1, 4, 6
CHEN Chu Yu	BRS922	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Hanzhu	BRT130	Licensed Representative	10
CHEN Hao	BRQ652	Licensed Representative	4
CHEN Hui Ju	BRO771	Licensed Representative	1, 4, 9
CHEN langjie	BRS978	Licensed Representative	1, 9
CHEN Mingxiao	BMJ700	Licensed Representative	6
CHEN Ngan Nee, Noelle	BRV484	Licensed Representative	1, 4, 9
CHEN Ni	BRT241	Licensed Representative	1, 4
CHEN Pengfei	BRT457	Licensed Representative	4
CHEN Qinyu	BRR560	Licensed Representative	1, 6
CHEN Rulian	BRV097	Licensed Representative	4
CHEN Siwei	BRV429	Licensed Representative	1, 6
CHEN Wei	AWS993	Licensed Representative	4, 9
CHEN Wen	BRV888	Licensed Representative	4, 9
CHEN Xiang	BJX967	Licensed Representative	1, 2, 9
CHEN Xiangju	BRV130	Licensed Representative	6
CHEN Xiaoyue	BLH895	Licensed Representative	4, 5, 9
CHEN Xiling	BRU624	Licensed Representative	4
CHEN Xingyu	BRU801	Licensed Representative	4, 9
CHEN Yangyang	BRQ617	Licensed Representative	2
CHEN Yi Ning	BRV479	Licensed Representative	1, 2, 4
CHEN Yixin	BRS785	Licensed Representative	1, 4
CHEN Yunyan	BRS667	Licensed Representative	9
CHEN Yuqian	BRS901	Licensed Representative	1, 4
CHEN Yu-ting	BRT517	Licensed Representative	1, 4
CHEN Zhu	BRS805	Licensed Representative	4, 5, 9
CHENE Charly Noel	BRS384	Licensed Representative	1, 2
CHENG Chi Yiu	BRS961	Licensed Representative	2
CHENG CHUI YIN ELSA	BRT147	Licensed Representative	1, 4
CHENG Jiwei	BRT346	Licensed Representative	1
CHENG Juyuan	BIQ903	Licensed Representative	1
CHENG Kwong Yin	AXK472	Licensed Representative	1, 4
CHENG Man Man	BJM105	Licensed Representative	1
CHENG Mun Wa	BRR256	Licensed Representative	4
CHENG Nga Man	BRU631	Licensed Representative	1, 2
CHENG Ningyuan Gloria	BNG054	Licensed Representative	4, 9
CHENG Siyuan	BRS542	Licensed Representative	1, 4, 9
CHENG Wai Tak	AEZ085	Licensed Representative	1
CHENG Yu Hin	BRV374	Licensed Representative	2
CHEONG Fung Nean	ADZ294	Licensed Representative	1, 4, 9
CHEUNG Chak Man	BRV297	Licensed Representative	1, 4
CHEUNG Chui Yi	BRU974	Licensed Representative	1, 4
CHEUNG Chun	BLF137	Licensed Representative	2
CHEUNG Chun Yau	BRU682	Licensed Representative	1
CHEUNG Hiu Shan	BRU896	Licensed Representative	1, 4
CHEUNG Hiu Yau	BRV305	Licensed Representative	1, 2
CHEUNG Ho Ying	BRS928	Licensed Representative	1
CHEUNG Ling Ling Sardonna	BRT557	Licensed Representative	1, 4
CHEUNG Oi Yee	BRS481	Licensed Representative	1, 2
CHEUNG Ping Hang, Beck	BGC845	Licensed Representative	1, 2
CHEUNG Shing Kit	BDF108	Licensed Representative	1, 4
CHEUNG Siu Keung	BFK706	Licensed Representative	1, 4
CHEUNG Suk Mun	AIG228	Licensed Representative	1
CHEUNG Tsz Ling	BRV842	Licensed Representative	1, 4
CHING Arnold Sau Wang	BRT503	Licensed Representative	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHIU Ngai Lam	BRR927	Licensed Representative	1, 4
CHIU Wing Chor	AGH933	Licensed Representative	1, 4
CHO Min Chul	BRV769	Licensed Representative	1, 4
CHO Seok Won	BLI866	Licensed Representative	1
CHOI Jeffrey Kai Hei	BPY352	Licensed Representative	1, 6
CHOI Kyong Chul	BRR482	Licensed Representative	9
CHOI Lok Yee	BRT369	Licensed Representative	4
CHOI Yik Chun	BRV381	Licensed Representative	2, 5
CHOW Beletskiy Kevin	BRT465	Licensed Representative	1, 4, 9
CHOW Siu Yuen	BRT028	Licensed Representative	1
CHOW Wai Kit	BRS297	Licensed Representative	1
CHOW Wai Tat, Richard	AJB550	Licensed Representative	1, 4, 9
CHOW Yu Yin	ARO981	Licensed Representative	1, 2
CHOY Chi Ting, Eva	ADK046	Licensed Representative	1, 4, 9
CHUN Tsz Lik	BRW753	Licensed Representative	1
CHUNG Eunie	BJI252	Licensed Representative	1, 4, 9
CHUNG Ho Hin	BRT495	Licensed Representative	1, 4, 9
CHUNG Kit Man	BRT416	Licensed Representative	1, 4
CHUNG Yuen-kiu, Sharon	BRR463	Licensed Representative	1, 4, 9
CUI Yolanda Sze Yu	BRT646	Licensed Representative	1, 4
DAI Yilin	BRS779	Licensed Representative	4, 9
DEETMAN Herman Jan	BRS480	Licensed Representative	1
DENG CHANGFEI	BRS426	Licensed Representative	1, 2
DING Qiaoyi	BRR410	Licensed Representative	1, 4, 6
DOERIG Lukas Andreas	BRS570	Licensed Representative	1, 4, 6
DONG Lin	BRT058	Licensed Representative	1, 2, 4
DONG Wenbin	BFS720	Licensed Representative	1, 4
DOU Jiawei	BRS938	Licensed Representative	1, 4, 6
DU Ying Qian	BRS781	Licensed Representative	1
DUAN Xiaogang	BRR786	Licensed Representative	1, 6
DUAN Yixuan	BRT112	Licensed Representative	1, 2
FANG Jiajie	BRS577	Licensed Representative	1
FANG Yi Dong	BRT488	Licensed Representative	1, 4, 9
FENG Deyao	BRR399	Licensed Representative	1, 4
FENG Qibin	BRW011	Licensed Representative	1, 4
FERGUSON Guy Robert	BRT514	Licensed Representative	1, 4
FOO Sung Way Nigel	AVY265	Licensed Representative	1, 4, 9
FOONG Kuan Na	BRV124	Licensed Representative	6
FRERIS Andrew Francis	AAH001	Licensed Representative	4
FU Sijia	BRR517	Licensed Representative	4, 9
FU Yingying	BRT226	Licensed Representative	1, 4, 6
FUJIMOTO Midori	BRS615	Licensed Representative	10
FUNATSU Issei	BRQ631	Licensed Representative	9
FUNG Hing Hong	BRT450	Licensed Representative	1
FUNG Hiu Hang	BRW179	Licensed Representative	1
FUNG Man Yee	BRV727	Licensed Representative	1
GAO Meng	BRV463	Licensed Representative	1, 6
GENG Yang	BID762	Licensed Representative	4, 9
GOEL Anuj	BRT528	Licensed Representative	1, 4, 6
GONG Ruiqi	BRR891	Licensed Representative	1, 6
GUAN Chufan	BRS434	Licensed Representative	4
GUAN Tianian	BRT421	Licensed Representative	1, 6
GUO Li Ning	BKU075	Licensed Representative	4
GUO Litong	BRS638	Licensed Representative	1, 6

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CE Name	CE No.	Licence Type	Types of Regulated Activity
GUO Muqing	BRA762	Licensed Representative	4, 9
HA Jae Uk	BNJ329	Licensed Representative	1, 6
HALLIDAY Jake	BRS238	Licensed Representative	1
HAN Chia Lin	AGG699	Licensed Representative	1, 4
HAN Han	BRV236	Licensed Representative	1, 4, 6
HAN Shichen	BRT458	Licensed Representative	4
HANGYU Sophia	BRT094	Licensed Representative	1, 4, 9
HANZL David	BEE583	Licensed Representative	1, 4, 9
HAO Ruotong	BRV018	Licensed Representative	1, 2
HART Daniel Harry Jack	BRS734	Licensed Representative	1, 2
HE Jinchang	BEC496	Licensed Representative	9
HE Meng	BRT214	Licensed Representative	4, 9
HE Yuan Zhi	BRV807	Licensed Representative	1, 4
HE Zhuoda	BRR607	Licensed Representative	1, 4
HEUNG Ka Yiu	BRO758	Licensed Representative	1, 4
HEUNG Mun Wa	BRT282	Licensed Representative	1, 4
HEYDOLPH Jan Florian	BRU632	Licensed Representative	1
HO Chen Chang	BRU835	Licensed Representative	1
HO Chi Kei	BRS036	Licensed Representative	1, 4, 9
HO Chun Yin	BRN801	Licensed Representative	1
HO Ho Yin	BNY370	Licensed Representative	4, 5, 9
HO Hoi Yam	BRR601	Licensed Representative	1, 6
HO Jia Huan Jennifer	BRP323	Licensed Representative	1
HO Ka Man	BRS400	Licensed Representative	1, 4
HO Karen	AXL899	Licensed Representative	1, 4, 9
HO Mei Kit	BRS761	Licensed Representative	1
HSU Calvin James	ADM062	Licensed Representative	1, 4
HSU Ching Hua	BRT394	Licensed Representative	1, 4, 9
HU Senhao	BRT220	Licensed Representative	1, 2, 4
HU Shuying	BQL339	Licensed Representative	1, 6
HU Xuchen	BRT212	Licensed Representative	1, 6
HU Yang	BRS815	Licensed Representative	1, 6
HUANG Hao-yang	BRR180	Licensed Representative	1, 4, 6
HUANG Huimin	BRU642	Licensed Representative	2
HUANG Surong	BRS560	Licensed Representative	1, 6
HUANG Wenrong	BNY450	Licensed Representative	1, 4, 9
HUANG Yi	BRW053	Licensed Representative	6
HUANG You Rong	BRS182	Licensed Representative	1, 2, 4
HUANG Ziyang	BRV820	Licensed Representative	1, 4
HUI Yee Wah Evana	BRT090	Licensed Representative	1
HUNG Brendan Jeremy	BRS750	Licensed Representative	1, 4
HUNG Shan Nga	BRS635	Licensed Representative	1, 2
HUNG Yan Yee	BRT099	Licensed Representative	4, 9
HWANG Seung Hyun	AVA346	Licensed Representative	1, 2
IMBRIANI Federico Maria	BRV838	Licensed Representative	1
IP Andrea Victoria	AUZ168	Licensed Representative	1
JHA Satyarth	BRR458	Licensed Representative	1, 4, 6
JIA Ziyang	BRS181	Licensed Representative	1, 2, 4
JIANG Chenyao	BIU554	Licensed Representative	1, 9
JIANG Jingjing	BRR386	Licensed Representative	1, 4
JIANG Kaiwen	BRT089	Licensed Representative	1
JIANG Mengchang	BRV467	Licensed Representative	1, 2, 4
JIANG Shunxin	BJE128	Licensed Representative	9
JIANG Song	BRS113	Licensed Representative	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
JIN Chenghua	BDQ952	Licensed Representative	9
JIN Yulong	BRX277	Licensed Representative	1, 6
JOHN Tonisha	AXI532	Licensed Representative	9
JOSHI Aditi	BRR211	Licensed Representative	4
KAERNFELT Tage Maximilian David	BRV017	Licensed Representative	4
KAMATHAM Vamsi Krishna	BRS394	Licensed Representative	1, 4, 6
KAN Wai Lok	BRT418	Licensed Representative	1, 4
KANG In Hwan	BDN285	Licensed Representative	1, 4
KE Mai	BRR289	Licensed Representative	4
KIM Jinho	BRS517	Licensed Representative	1, 4
KIM Mee Song	BRR450	Licensed Representative	1, 6
KO Yeongbin	BRT012	Licensed Representative	1, 4, 6
KOBAYASHI Yoichi	BRS654	Licensed Representative	2
KONG Kar Fai Geraint	BRV224	Licensed Representative	1, 4, 6
KONG Lingming	BRR660	Licensed Representative	1, 6
KOT Pak Chuen Seth	BRS835	Licensed Representative	6
KOU Liyao	BRT083	Licensed Representative	1, 6
KRELL Adrian Akira	BRS009	Licensed Representative	1, 6
KUM Young Woon	BOU200	Licensed Representative	1, 4, 6
KWAN Cheuk Nang	BRT360	Licensed Representative	1, 2
KWAN Ho Yee	BRR800	Licensed Representative	1
KWAN Karen	BRS732	Licensed Representative	1, 4
KWAN Wing Sze Anita	BRU741	Licensed Representative	4
KWOK Siu Chun	BRJ444	Licensed Representative	6
KWOK YIK YI	BRT067	Licensed Representative	1, 4
KWONG Tsz Wai, Summer	BRO632	Licensed Representative	1
KWONG Wing Hei	BRS969	Licensed Representative	1, 4
LAI Ching	BRT434	Licensed Representative	1
LAI Kwai Yee	BRT219	Licensed Representative	1, 4
LAI Siu Hong	ACO548	Licensed Representative	1, 2
LAM Hoi Yan Jennifer	BRH309	Licensed Representative	1, 4, 9
LAM Ka Wing	BRS383	Licensed Representative	1
LAM Lam	BRV589	Licensed Representative	1, 4
LAM Man Kwong, David	ADI854	Licensed Representative	1, 4, 9
LAM Ngai Pan	BRT538	Licensed Representative	1, 2
LAM Sharon	AFU798	Licensed Representative	1
LAM Ting Hei Jeffrey	BRR569	Licensed Representative	1, 2
LAM WANG FUNG	BRU776	Licensed Representative	1
LAM Yuk Ying, Elsa	AFU002	Licensed Representative	1
LAN Xing	BRU964	Licensed Representative	1, 4
LAU Ho Yin	BRU944	Licensed Representative	1
LAU Jing Fung	BRS555	Licensed Representative	1, 4, 6
LAU Ka Hei	BAZ979	Licensed Representative	1, 2, 4, 5
LAU Shue Him	BRT005	Licensed Representative	1, 4, 9
LAU Tak Kit	BRU946	Licensed Representative	1, 4
LAU Yeung Yeung	BRS390	Licensed Representative	4, 9
LAW CHING FUNG	BRT150	Licensed Representative	1, 4
LAW Kwan Lok Richard	BRU720	Licensed Representative	1
LEE Cho Ki	BRU851	Licensed Representative	1, 4
LEE Dong Hyun	BRR378	Licensed Representative	1, 4, 6
LEE Hyun Keun	BQW087	Licensed Representative	1
LEE Jonathan	BRR483	Licensed Representative	1, 6
LEE Lok Yin Monique	BRS396	Licensed Representative	1
LEE Man Hon	AVC108	Licensed Representative	1, 4

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CE Name	CE No.	Licence Type	Types of Regulated Activity
LEE Man Yiu	BRS763	Licensed Representative	1
LEE Mo Yin Agnes	BRM118	Licensed Representative	1, 4
LEE Sangmin	BRP402	Licensed Representative	1, 6
LEE Siu Kiu	BRV183	Licensed Representative	1
LEE Sui Hang	BRT592	Licensed Representative	1
LEE Sun Ho	BRS200	Licensed Representative	10
LEE Tsz Fung Geoffrey	BRR481	Licensed Representative	9
LEE WAI YI	BRR459	Licensed Representative	1, 4, 9
LEE Wing Sze	BRT245	Licensed Representative	1, 4
LEI Xinwei	BNZ958	Licensed Representative	1, 4, 9
LENG Wing Hong Michael	BRS204	Licensed Representative	1
LEONG Wen Kang	BRS236	Licensed Representative	1, 6
LEUNG Chi Hin	BRV267	Licensed Representative	2
LEUNG Ho Yin	BJY143	Licensed Representative	1
LEUNG Hoi Yee Janis	BRV388	Licensed Representative	1, 4
LEUNG Hon Man	BMC232	Licensed Representative	2
LEUNG Lai Hong	BRS395	Licensed Representative	4, 9
LEUNG Pui Ying	BRT209	Licensed Representative	1
LEUNG Shing Wing, Andy	AML171	Licensed Representative	9
LEVIEUX Olivia Leigh	BRS114	Licensed Representative	2, 5
LI Bowen	BRS889	Licensed Representative	4
LI Jiamao	BRS187	Licensed Representative	1, 4, 6
LI Jiarui	BRQ782	Licensed Representative	1, 4, 6
LI Jiayu	BRV081	Licensed Representative	4
LI Junhao	BRS475	Licensed Representative	4
LI NOK NI	BRS674	Licensed Representative	1, 4
LI Qingqing	BRU709	Licensed Representative	1, 2, 4
LI Sha Na	BRU777	Licensed Representative	1, 4
LI Shiliang	BQR384	Licensed Representative	4, 9
LI Siyue	BRR605	Licensed Representative	1, 6
LI Wei	BRR906	Licensed Representative	1, 6
LI Wilson	BRO874	Licensed Representative	1
LI Xin	BRT414	Licensed Representative	1, 4
LI Yang	BDX525	Licensed Representative	9
LI Yi	BRS381	Licensed Representative	4
LI Yi	BRT132	Licensed Representative	1, 6
LI Yichen	BAI987	Licensed Representative	4, 9
LI Yisui	BLK608	Licensed Representative	4, 9
LI Yuhang	BRR051	Licensed Representative	1, 4, 6
LI Zhentong	BRT151	Licensed Representative	1
LI Ziyue	BRO759	Licensed Representative	1
LIANG Ce	BRS191	Licensed Representative	1, 4, 6
LIANG Sijin	BRS939	Licensed Representative	4, 9
LIANG Yuanpeng	BRT136	Licensed Representative	1, 6
LIAO Wenjing	BRR913	Licensed Representative	2
LIM Yvette Shi Yun	BRR089	Licensed Representative	1, 2
LIN Chih-Yuan	BRS397	Licensed Representative	1, 4, 6
LIN Shangyi	BRR831	Licensed Representative	1, 2
LIN Wei Jie	BRR787	Licensed Representative	10
LIN Wei Shau	BRS111	Licensed Representative	1
LIN Yi-Hui	BPX476	Licensed Representative	1, 4
LIN Yu Ling	BRP269	Licensed Representative	1, 7
LIN Zixin	BRV304	Licensed Representative	4
LIU Angela Yang	AMN658	Licensed Representative	1

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CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Bing Yim	BRS516	Licensed Representative	1
LIU Chen	BRT218	Licensed Representative	4, 9
LIU Christy Chi Yan	BRT168	Licensed Representative	1, 6
LIU Decong	BRS669	Licensed Representative	1, 6
LIU Fan	BRT565	Licensed Representative	4, 9
LIU Fengchuan	BRS562	Licensed Representative	1
LIU Hanlun	BRS462	Licensed Representative	1, 9
LIU JIAMING	BRT368	Licensed Representative	4, 9
LIU Jiaxi	BRT135	Licensed Representative	1, 4, 6
LIU Jingjing	BRR592	Licensed Representative	4, 9
LIU Jingwen	BRV222	Licensed Representative	1, 4
LIU Junyu	BRS813	Licensed Representative	1, 4, 9
LIU Miaoling	BRR394	Licensed Representative	1, 6
LIU Ningyuan	BRU786	Licensed Representative	1, 6
LIU QING	BRU662	Licensed Representative	4, 9
LIU Ruhui	BRT299	Licensed Representative	9
LIU Shuai	BCC326	Licensed Representative	4
LIU Siyang	BRW616	Licensed Representative	1, 9
LIU Wei-Hsiao	BRR955	Licensed Representative	1, 9
LIU Wenqing	BRU706	Licensed Representative	1, 6
LIU Xi	BRU707	Licensed Representative	1, 2, 4
LIU Xu	BRT384	Licensed Representative	1, 6
LIU Yilin	BRS593	Licensed Representative	1, 6
LIU Yuan-bo	BRS295	Licensed Representative	1
LIU Yuran	BRW308	Licensed Representative	1, 6
LIU Zhenzhou	BRS101	Licensed Representative	1, 4
LIU ZHOU Yuxi	BRP768	Licensed Representative	1, 2
LIU Zizheng	BRS539	Licensed Representative	1, 6
LO Kai Ho	BRS895	Licensed Representative	1, 2, 3, 4
LONG Dingkun	BRT056	Licensed Representative	1, 6
LOW Huey Fen	BRT456	Licensed Representative	1, 2
LOW Jie Ying	BRQ534	Licensed Representative	4, 9
LU Chia Min	AOI879	Licensed Representative	9
LU Genyan	BRV227	Licensed Representative	1, 4, 6
LU Zicheng	BRU712	Licensed Representative	1, 4, 9
LUAN Yuwei	BRV764	Licensed Representative	9
LUI Nok Man, Adrian	BRT567	Licensed Representative	4
LUI Yin Sing	BRS333	Licensed Representative	1
LUK Ka Chun	AXO221	Licensed Representative	1
LUO Junyan	BRS772	Licensed Representative	1, 2
LUO Zhiqiang	BIK248	Licensed Representative	4
LYU Yugang	BRS126	Licensed Representative	1, 6
LYU Zongyuan	BRV595	Licensed Representative	1, 2, 4
MA Mengdan	BRU806	Licensed Representative	9
MA Xiao	BRS178	Licensed Representative	9
MA Yun	BRT176	Licensed Representative	1, 6
MALLIRIS Panayotis John	ADY556	Licensed Representative	1, 4
MARK Sau King	BRV215	Licensed Representative	1, 4
MARTIN Alexandre Joseph Gaston	ARW206	Licensed Representative	1
MIAO DI	BRS018	Licensed Representative	4
MIDDLETON Edward Simon	ARN102	Licensed Representative	6
MIKURIYA KUNIIKO	BRR397	Licensed Representative	4, 9
MING Yafei	BRR376	Licensed Representative	6
MOK Chi Ho	BRP129	Licensed Representative	4, 9

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CE Name	CE No.	Licence Type	Types of Regulated Activity
MOK Ka Yin	BRS719	Licensed Representative	1, 2
MOK Yik Hei	BQW222	Licensed Representative	4
MU Yu	BRW229	Licensed Representative	4, 9
NA Seung Won	BRT303	Licensed Representative	1
NG Ho Ting	BRU910	Licensed Representative	1, 2
NG Jerry Chi Wai	BRU784	Licensed Representative	4, 9
NG Ka Man	BAH318	Licensed Representative	4
NG Kar Yin, Derek	AVZ068	Licensed Representative	9
NG Lok Man, Rose	BIQ518	Licensed Representative	1, 4, 9
NG Sai Yip, Justin	APL249	Licensed Representative	1, 4, 9
NG Shun On	BRQ405	Licensed Representative	9
NG Si Yeuk, Dee	AZO339	Licensed Representative	1, 4, 9
NG Ting Him Gareth	BRT060	Licensed Representative	1, 4, 9
NG Tsoi Yee	BRW052	Licensed Representative	1, 6
NG Tsz Shan Leona	BRU811	Licensed Representative	1, 2
NICHOLSON Gareth Dreg	BRT353	Licensed Representative	1, 4
NING Hill Fung	BOK828	Licensed Representative	4
NING Xiaobo	BRR052	Licensed Representative	1, 6
OR Ying Choi	ADF394	Licensed Representative	2
PAKSOY Doruk	BRT470	Licensed Representative	2, 5
PAN Jihao	BOG015	Licensed Representative	4, 5, 9
PAN Yueming	BRV194	Licensed Representative	9
PANG Kit Cheung	BRR993	Licensed Representative	1, 4
PENG Jiajie	BRS047	Licensed Representative	9
POON Dic Yan	BJR316	Licensed Representative	4
PU Frank Chang	BOT378	Licensed Representative	1, 4, 6
PURHONEN Lauri Sakari	BGQ224	Licensed Representative	1, 4
QIAO Fuzhen	BRR619	Licensed Representative	1, 2
QIU Jialing	BRS842	Licensed Representative	1, 6
QU Aohan	BRS741	Licensed Representative	1, 4, 9
QU Chenyi	BRS665	Licensed Representative	1, 6
QU Kaifeng	BRS566	Licensed Representative	1
RANJAN Revant	BRT118	Licensed Representative	1, 2
RASTOGI Abhishek	AYB018	Licensed Representative	1, 2, 7
REN Yinglun	BRS209	Licensed Representative	1, 2
RICHELLE Nicolas Pierre Alain David	BRV494	Licensed Representative	1, 4
RUAN Hongzhe	BRT539	Licensed Representative	1, 2
RUAN Tiancheng	BRS190	Licensed Representative	9
SANDLUND William Thomas Bradford	BRT449	Licensed Representative	4
SAXENA Rounaque	BRT365	Licensed Representative	1, 2
SHAM Chi Ho	AXY804	Licensed Representative	1
SHAN Jialin	BGG144	Licensed Representative	1, 4, 9
SHAO Dong	BRS825	Licensed Representative	1, 6
SHAO Yizhuo	BRS809	Licensed Representative	1, 6
SHAO Ziyue	BRS195	Licensed Representative	1, 6
SHEN Jiaojiao	BCM624	Licensed Representative	1, 4, 9
SHEN Yu	BRR325	Licensed Representative	1, 4
SHEN Zhilu	BRV474	Licensed Representative	1
SHEN Zining	BRS935	Licensed Representative	1
SHENG Yaoming	BRU948	Licensed Representative	1, 2, 4
SHI Chun Wai	BHU927	Licensed Representative	1
SHI Huiyu	BRT630	Licensed Representative	4
SHI Jingshan	BRQ052	Licensed Representative	1, 2, 9
SHI Run	BRT389	Licensed Representative	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
SHI Zhuojun	BRV021	Licensed Representative	1, 4, 9
SILSBY Myles Montgomery	BRR781	Licensed Representative	4
SJOESTROEM Mats Johan	AMF102	Licensed Representative	9
SO Samuel Chun Yiu	BRV585	Licensed Representative	1, 4
SONG Liang	BRP779	Licensed Representative	4
SONG Miaoyan	BRS808	Licensed Representative	1, 4
SONG Xiangyang	BRT250	Licensed Representative	1, 4, 9
SONG Yijian	BRR796	Licensed Representative	1, 4
SONG Yiming	BRT131	Licensed Representative	1
SONG Zhenyao	BRS249	Licensed Representative	9
SOU Chon Hei	ALT765	Licensed Representative	1, 2
SU Minghui	BNU137	Licensed Representative	4
SU Qin	BRS563	Licensed Representative	1, 6
SU Shangqing	BRU962	Licensed Representative	1, 4, 9
SU Xianzhi	BRT452	Licensed Representative	1, 6
SUEN Ting Fong Boris	BIS100	Licensed Representative	1, 4
SUI Xinyi	BRS694	Licensed Representative	1, 6
SUN Haiyang	BRQ433	Licensed Representative	4
SUN Qinying	BRR110	Licensed Representative	9
SUN Yuanjing	BRS717	Licensed Representative	1, 6
SUNG Brian	BRV485	Licensed Representative	1, 2
SYLVESTER-EVANS James	BRS012	Licensed Representative	1
TAI Chor Yan	BRV723	Licensed Representative	1, 2
TAM Ka Fu Kelvin	BRR398	Licensed Representative	1
TAM Ka Man	BRT390	Licensed Representative	1, 9
TAM Kam Hing	BRR558	Licensed Representative	1, 4
TAN Ewe Jin	BRT563	Licensed Representative	9
TAN Ken Yao	BRT119	Licensed Representative	1, 2
TAN Kian Seng	BRT216	Licensed Representative	1, 4, 9
TAN Xiaozhong	BRV451	Licensed Representative	1, 2
TANG Choi Fun	BRU863	Licensed Representative	1
TANG Danquan	BRU704	Licensed Representative	1, 4, 6
TANG Hansen	BRR513	Licensed Representative	4
TANG Jianpeng	BRS868	Licensed Representative	1, 4, 9
TANG Jiaxin	BRT217	Licensed Representative	1, 4, 6
TANG Na	BRT210	Licensed Representative	2, 5
TANG Shunyao	BRV278	Licensed Representative	4, 9
TANG Yun	BRW007	Licensed Representative	1, 6
TING Marlyn Chua	BRT359	Licensed Representative	1, 4
TO Hin Wing	BRT619	Licensed Representative	1, 4
TOMLINS James Edward	BOI295	Licensed Representative	1
TONG Pui Yin	BLE755	Licensed Representative	4
TONG Wenzhao	BRR054	Licensed Representative	1, 4, 6
TOOLE Daniel	BRR274	Licensed Representative	1, 2
TSANG Chun Sing	BRR768	Licensed Representative	1
TSANG Maggie Man-Ying	AOD595	Licensed Representative	9
TSANG WAI YUEN	BRU685	Licensed Representative	1
TSANG Yiu Fung	BRS920	Licensed Representative	1, 2
TSE Chi Hang	BRV219	Licensed Representative	1
TSE Irene Ying-Wa	BRQ395	Licensed Representative	9
TSE Yvonne	AFT821	Licensed Representative	9
TSENG Yu Ting	BRT006	Licensed Representative	1, 2
TSOI Man Ting	BRS999	Licensed Representative	1
TSOI Sin Yuk Cindy	BRR594	Licensed Representative	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
TSUI Sing Long	BRS098	Licensed Representative	1, 2, 4
TUO Fang	BRR546	Licensed Representative	6
WAI Lung	BRW071	Licensed Representative	1
WANG Cheryl Cheuk Ying	BRV300	Licensed Representative	1, 4
WANG Chien-Kai	BRQ901	Licensed Representative	4
WANG Huibin	BRV014	Licensed Representative	1
WANG Jiayin	BRS207	Licensed Representative	1, 4, 6
WANG Jing	APB403	Licensed Representative	1, 2, 9
WANG Jueling	BRR992	Licensed Representative	1
WANG Leyang	BRV432	Licensed Representative	4, 9
WANG Lezhi	BRS392	Licensed Representative	1, 6
WANG Midi	BRS804	Licensed Representative	1, 4
WANG Min	BRU867	Licensed Representative	1, 6
WANG Ruochen	BRR793	Licensed Representative	4, 9
WANG Ruocheng	BRS681	Licensed Representative	1, 4, 6
WANG Tianyi	BRW755	Licensed Representative	4
WANG Ting	BLT890	Licensed Representative	4
WANG Tingting	BRQ966	Licensed Representative	1, 6
WANG Wenshuo	BRU881	Licensed Representative	4, 9
WANG Xiahao	BRU708	Licensed Representative	1, 2, 4
WANG Xiaoyu	BRR447	Licensed Representative	1, 4, 6
WANG Xinling	BRV731	Licensed Representative	1
WANG Yan	BRQ414	Licensed Representative	1
WANG Yikai	BRS697	Licensed Representative	1
WANG Yiming	BRS134	Licensed Representative	4
WANG Yinpeng	BNY940	Licensed Representative	4
WANG Yirui	BRR775	Licensed Representative	1, 6
WANG Yusi	BRS507	Licensed Representative	1, 4
WANG Zimo	BRS788	Licensed Representative	1, 4, 6
WEI Xiang	BRW013	Licensed Representative	1, 6
WENG Yangyang	BRT230	Licensed Representative	1
WONG Chok Heng David Michael	BOT692	Licensed Representative	4
WONG Chun Hin	BRS742	Licensed Representative	1, 2
WONG Ho Sum Michelle	BRU921	Licensed Representative	1, 4
WONG Kar Ngai	BRV020	Licensed Representative	1, 4
WONG Kin San	BRK894	Licensed Representative	1
WONG Kwan Yan	BRS050	Licensed Representative	1, 2, 4
WONG LAI FAN	BRT107	Licensed Representative	4, 9
WONG Ming Shing	AAF460	Licensed Representative	1, 2, 4
WONG Po Kiu Kelly	BRT460	Licensed Representative	9
WONG Po Ling Pauline	AQE192	Licensed Representative	1, 4, 9
WONG PUI KIE	BRT153	Licensed Representative	9
WONG Pui Shan	BRU783	Licensed Representative	4, 9
WONG SIN MAN	BRT149	Licensed Representative	1, 4
WONG Siu Pan	AZR692	Licensed Representative	1, 4
WONG Suk Wun, Suzanna	AHI337	Licensed Representative	1, 4, 9
WONG Wai Chun James	BRS692	Licensed Representative	1
WONG Wing Hin	BOS077	Licensed Representative	4, 9
WONG Yin Chun	BFU604	Licensed Representative	1, 4
WOO Wing Sum, Caroline	ANT715	Licensed Representative	1, 4, 9
WU Chun Kit	BRS822	Licensed Representative	4
WU Huanxin	BRT454	Licensed Representative	1, 6
WU Junhua	BRU687	Licensed Representative	4, 9
WU Kin Hang	BRR604	Licensed Representative	1, 2, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
WU Kwun Tung	BPQ396	Licensed Representative	1
WU Liang	BRR766	Licensed Representative	1, 6
WU Mei Shan	BRP270	Licensed Representative	1, 7
WU Ming Yan	BHK413	Licensed Representative	9
WU Shuyang	BRE144	Licensed Representative	1, 4, 9
WU Yao	BQE051	Licensed Representative	1
WU Yuchen	BRS028	Licensed Representative	4
WUN Po Yu	BRS569	Licensed Representative	1
XIAN Xuanyin	BRS374	Licensed Representative	1, 2, 4
XIAO Chenhao	BRR872	Licensed Representative	6
XIAO Rui	BRR575	Licensed Representative	1, 4
XIAO Weiwei	BRT127	Licensed Representative	1, 6
XIAO Xintong	BRS789	Licensed Representative	6
XIAO Yinlai	BMP512	Licensed Representative	1, 6
XIE Siyao	BRV098	Licensed Representative	1, 6
XING Chao	BRT415	Licensed Representative	1, 6
XU Chao	BRT397	Licensed Representative	1, 4, 9
XU Di	BRS666	Licensed Representative	4
XU Haoshuang	BRT468	Licensed Representative	1, 4, 6
XU Hongsi	BRR319	Licensed Representative	1, 6
XU Hua	BLF599	Licensed Representative	1, 6
XU Jiayi	BRT399	Licensed Representative	1, 4
XU Ke	BRR496	Licensed Representative	1, 6
XU Lei	BRS677	Licensed Representative	6
XU Meijing	BRT451	Licensed Representative	1, 4, 6
XU Minggang	BRS071	Licensed Representative	1, 2, 4
XU Yuanyuan	BRT152	Licensed Representative	1
XU Zhixin	BRR270	Licensed Representative	1, 4, 9
XU Zijun	BRT424	Licensed Representative	1, 2, 4, 9
XUE Tao	BRS646	Licensed Representative	1, 6
YAN Huijing	BRR985	Licensed Representative	4
YANG Hao	BRT459	Licensed Representative	1, 4, 6
YANG HAOLING	BRR577	Licensed Representative	1, 4, 9
YANG Hongyi	BRR202	Licensed Representative	9
YANG Jiaqian	BRT142	Licensed Representative	1, 6
YANG Jin	BOZ177	Licensed Representative	1, 4, 9
YANG Lillian	BRS695	Licensed Representative	9
YANG Longsheng	BRR315	Licensed Representative	1, 6
YANG Shuting	BHH029	Licensed Representative	4, 9
YANG Shuwei	BRB161	Licensed Representative	2
YANG Xi	BRV136	Licensed Representative	4, 9
YANG Xiaoqing	BRV225	Licensed Representative	1, 6
YANG Yingqi	BRT117	Licensed Representative	1, 6
YANG YUJIAO	BRS246	Licensed Representative	4, 9
YANG Yumeng	BRS812	Licensed Representative	1, 4, 6
YANG Yurong	BRV605	Licensed Representative	1, 2, 4
YANG Zhan	BRV095	Licensed Representative	1
YAO Mengqian	BRU842	Licensed Representative	1, 4, 9
YAU Chi Wa	BRS407	Licensed Representative	1, 2, 7
YAU Sze Wing	BRS783	Licensed Representative	1, 4, 9
YE Hanqing	BRT482	Licensed Representative	1, 6
YE Manqing	BRT376	Licensed Representative	1, 2, 9
YE Ming	BRW008	Licensed Representative	1, 4
YE Siqi	BRR367	Licensed Representative	6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
YE Xiao	BQF882	Licensed Representative	4
YE Xiuping	BRV505	Licensed Representative	6
YEE Ching Tung	BRS389	Licensed Representative	1, 4
YEH Fang Tzu	BAE708	Licensed Representative	1, 4, 6
YEUNG Chi Ho	BRP685	Licensed Representative	1, 2
YEUNG Chi Yin	AQQ909	Licensed Representative	1, 2
YEUNG Ming Tsun	BRV228	Licensed Representative	1, 4
YEUNG Sze Win	BOD824	Licensed Representative	1, 4
YONG Zeyu	BRT447	Licensed Representative	4
YOU Yaohui	BIR511	Licensed Representative	4, 9
YU Hang	BRS097	Licensed Representative	4, 5, 9
YU Ke Cheng	BRU880	Licensed Representative	4
YU Ruihua	BRS482	Licensed Representative	4
YU Wenshi	BRR411	Licensed Representative	1, 6
YU Woei Chyi	BRR963	Licensed Representative	1
YUAN Jieying	BRR314	Licensed Representative	4
YUAN Meng	BRT358	Licensed Representative	1, 6
YUAN Mingjia	BRI579	Licensed Representative	1, 4
YUAN Shuoqi	BRQ998	Licensed Representative	10
YUANYUAN QIU	BRV221	Licensed Representative	1, 4
YUEN Chun Ting. Jonathan	BRR999	Licensed Representative	9
YUEN Man Ling	BNG615	Licensed Representative	1, 2
YUEN Mei Wa	BRR712	Licensed Representative	6
YUEN Tik Hong	BRU745	Licensed Representative	1, 4
YUNG KIN MING	BRV120	Licensed Representative	1, 4
ZENG Kevin Z W	BMB543	Licensed Representative	1, 6
ZHAN QIUSHA	BRT236	Licensed Representative	4, 9
ZHAN Weixin	BRR286	Licensed Representative	1, 4, 9
ZHANG Dongyang	BMQ950	Licensed Representative	1, 6
ZHANG Jiaming	BRW825	Licensed Representative	1, 6
ZHANG Jiarui	BRV148	Licensed Representative	1, 4, 9
ZHANG Luojia	BRU909	Licensed Representative	1, 4
ZHANG Mei	ACX158	Licensed Representative	1, 4, 9
ZHANG Qian	BRS112	Licensed Representative	1, 4
ZHANG Ruoyun	BRQ074	Licensed Representative	1, 2, 9
ZHANG Shuqi	BOC312	Licensed Representative	4, 5, 9
ZHANG Tian	BMS675	Licensed Representative	1, 2, 4
ZHANG Wei	BRS543	Licensed Representative	1
ZHANG Wenbin	BRV546	Licensed Representative	1, 2, 4
ZHANG Xin	BRR900	Licensed Representative	1, 6
ZHANG Xinyun	BRT137	Licensed Representative	1, 4, 6
ZHANG Xiongzhi	BRV152	Licensed Representative	1, 4
ZHANG Xudong	BRT240	Licensed Representative	1, 2, 4
ZHANG Yaxi	BRU886	Licensed Representative	4, 9
ZHANG Yi	BRV431	Licensed Representative	1, 6
ZHANG Yunhua	BRT419	Licensed Representative	1, 2
ZHANG Zhifan	AVG893	Licensed Representative	9
ZHANG Zhihang	BRR323	Licensed Representative	1, 6
ZHAO Haoping	BRR572	Licensed Representative	1, 4, 6
ZHAO Ji	BRT383	Licensed Representative	1, 4, 9
ZHAO Linhai	BRS906	Licensed Representative	1, 4
ZHAO PEIDONG	BRS048	Licensed Representative	4, 9
ZHAO Shengjie	BFW849	Licensed Representative	1, 4
ZHAO Tianhui	BRQ278	Licensed Representative	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHAO Yan	BRQ768	Licensed Representative	1, 6
ZHAO Yilan	BRS651	Licensed Representative	1, 4, 6
ZHAO Yixuan	BRV237	Licensed Representative	1, 4
ZHAO Yuheng	BPP153	Licensed Representative	4, 9
ZHENG Huide	BRS896	Licensed Representative	1, 4
ZHENG Qiaochu	BRR789	Licensed Representative	1, 6
ZHENG Zhan	BRS312	Licensed Representative	1, 6
ZHONG Siyuan	BRS816	Licensed Representative	1, 6
ZHOU Eileen	BRV734	Licensed Representative	1, 4, 6
ZHOU Jiawei	BRS649	Licensed Representative	1, 6
ZHOU Junye	BNN653	Licensed Representative	1, 6
ZHOU Wei	BRT564	Licensed Representative	1, 6
ZHOU WENBO	BRR907	Licensed Representative	1
ZHOU Xunnan	BRP306	Licensed Representative	1, 4, 6
ZHOU Zewen	BRT010	Licensed Representative	1, 2, 4
ZHOU Zhou	BRS725	Licensed Representative	1, 4, 6
ZHOU Zipeng	BRW302	Licensed Representative	1, 4
ZHU Min	BPX372	Licensed Representative	1, 4
ZHU Xiaokang	BNL517	Licensed Representative	1, 4, 6
ZHU Xiaoyi	BRT252	Licensed Representative	4, 9
ZIBERT Matthew Richard	BRS233	Licensed Representative	1, 2
ZITAN Oubeid	BRS771	Licensed Representative	1, 4, 6
ZOU Tianyu	BRS477	Licensed Representative	1, 6
ZUO Zhongfan	BRT620	Licensed Representative	1, 2, 4
BROWN Jordan McLeod	BRI741	Licensed Representative - Responsible Officer	1, 4
CHAN Chau Yuen	AFQ905	Licensed Representative - Responsible Officer	4, 9
CHAN Chun Keung	ALK169	Licensed Representative - Responsible Officer	4, 9
CHAN Ka Wah	ACT175	Licensed Representative - Responsible Officer	1, 2, 4, 5, 9
CHAN Wan Ying, Debby	AGG430	Licensed Representative - Responsible Officer	4
CHAN Yee Pui	ABK900	Licensed Representative - Responsible Officer	1
CHEN Hongpei	BFS296	Licensed Representative - Responsible Officer	1, 4, 9
CHEN Housheng	BRP984	Licensed Representative - Responsible Officer	1, 4, 6
DE SAINT EXUPERY Lionel Alain Odon	BPS372	Licensed Representative - Responsible Officer	1, 4, 9
GIBSON Cathy	BRH393	Licensed Representative - Responsible Officer	1
GUO Qifei	AWV419	Licensed Representative - Responsible Officer	1, 4, 9
HAN Yu	BRJ053	Licensed Representative - Responsible Officer	1, 4, 9
HO Clara	AEM719	Licensed Representative - Responsible Officer	9
HUANG Bingjie	AQO380	Licensed Representative - Responsible Officer	9
HUI Kwan Yue Judy	AFU622	Licensed Representative - Responsible Officer	1, 4
JIANG Haoxin	BRJ312	Licensed Representative - Responsible Officer	9
JIANG Siliang	AVT505	Licensed Representative - Responsible Officer	9
KONG Lingxi	BRO807	Licensed Representative - Responsible Officer	9
KWOK Wai Kit	AOB670	Licensed Representative - Responsible Officer	1, 7
LAM Yuk Ying, Elsa	AFU002	Licensed Representative - Responsible Officer	4, 9
LEE Ling Feng	BNY024	Licensed Representative - Responsible Officer	4, 9
LEE Yuan Chuan	AQZ552	Licensed Representative - Responsible Officer	9
LEVY Frederic David	ARJ654	Licensed Representative - Responsible Officer	4, 9
LI Jingbo	AYN948	Licensed Representative - Responsible Officer	1, 2, 4, 5
LI Jixiang	APW452	Licensed Representative - Responsible Officer	1, 4, 9
LIANG Bin	BNU674	Licensed Representative - Responsible Officer	1, 4, 9
LUO Shanshan	BEM051	Licensed Representative - Responsible Officer	9
MEI Deborah Yupin	ACA931	Licensed Representative - Responsible Officer	1, 4, 6
NG Fai Yeung, Eric	AVF598	Licensed Representative - Responsible Officer	4, 9
PARK Jae Wu	AVY657	Licensed Representative - Responsible Officer	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
SABAS Steffen Erich August	BIB411	Licensed Representative - Responsible Officer	9
SHAW Sun Kan Gordon	AIC747	Licensed Representative - Responsible Officer	9
SHEN Nan Peng	ACN912	Licensed Representative - Responsible Officer	9
SUN Qian	APL957	Licensed Representative - Responsible Officer	9
TAYLOR Andrew James Emery	ADD108	Licensed Representative - Responsible Officer	9
TRIVERS Tor Lee	BEV690	Licensed Representative - Responsible Officer	1, 4, 9
TSE Ka Hou	ARE470	Licensed Representative - Responsible Officer	1, 4, 9
WONG Chi Yin	AZF224	Licensed Representative - Responsible Officer	1, 4, 9
WONG Ying Kit, William	AJI491	Licensed Representative - Responsible Officer	1
YANG Yong	AYJ431	Licensed Representative - Responsible Officer	9
YING Long Shang Alex	BLV213	Licensed Representative - Responsible Officer	1, 4, 9
YUEN Yui Chi, Peter	AMP163	Licensed Representative - Responsible Officer	1, 2
ZHANG Fan	BQJ805	Licensed Representative - Responsible Officer	4, 9
ZHANG Huan	BRM255	Licensed Representative - Responsible Officer	9
ZHOU Ze	BRF819	Licensed Representative - Responsible Officer	1, 4, 6
ANAND Naman	BRT327	Licensed Representative (Provisional Licence)	1, 2, 6
ASKIN Simon Alec Javier	BRV055	Licensed Representative (Provisional Licence)	1, 4, 6
AU YEUNG Sing Po	BQS723	Licensed Representative (Provisional Licence)	2
AU Yuen Ting, Miranda	AEK664	Licensed Representative (Provisional Licence)	9
AUBIN Louis Raphael Jules	BRS749	Licensed Representative (Provisional Licence)	1, 2
BHOR Akshay	BRV193	Licensed Representative (Provisional Licence)	9
BIAN Qinjin	BRK901	Licensed Representative (Provisional Licence)	1, 4, 9
BUKREDIWALA Mayank	BRV382	Licensed Representative (Provisional Licence)	9
BUTT Daniel John	BRV788	Licensed Representative (Provisional Licence)	1
CAKIR Mehmet Burak	BRX292	Licensed Representative (Provisional Licence)	9
CAO Wenqian	BPK506	Licensed Representative (Provisional Licence)	4
CAO Xianda	BRW702	Licensed Representative (Provisional Licence)	1, 6
CAO Zhuo Yuan	BRX149	Licensed Representative (Provisional Licence)	1, 2
CHAN Chun Fai Anthony	AFD303	Licensed Representative (Provisional Licence)	1
CHAN David Hantang	ATH921	Licensed Representative (Provisional Licence)	1, 4
CHAN Hiu To	BRX247	Licensed Representative (Provisional Licence)	4
CHAN Ho Yee	BRW765	Licensed Representative (Provisional Licence)	1
CHAN Isabelle Wei Ngar	BRW749	Licensed Representative (Provisional Licence)	1, 2
CHAN Kin Ying	BFD599	Licensed Representative (Provisional Licence)	1, 2
CHAN Man Wing	AXJ787	Licensed Representative (Provisional Licence)	1
CHAN Shuk Man	BRV558	Licensed Representative (Provisional Licence)	1
CHAN Suet Ying	BOF948	Licensed Representative (Provisional Licence)	1, 4, 5, 9
CHAN Tsz Yan Jessica	BRX047	Licensed Representative (Provisional Licence)	1, 4
CHAN Wai Tai, Polly	AMM338	Licensed Representative (Provisional Licence)	1, 4, 6
CHAN Yi Sin	BRW051	Licensed Representative (Provisional Licence)	1, 6
CHAN Ying Kit	BLC894	Licensed Representative (Provisional Licence)	1, 4
CHAN Yiu Fai	BRU831	Licensed Representative (Provisional Licence)	1, 4
CHAN Yuen Man, Venus	AFU895	Licensed Representative (Provisional Licence)	1
CHAN Yung Yung	BRW351	Licensed Representative (Provisional Licence)	1, 4
CHANG Hao Jan	BRW863	Licensed Representative (Provisional Licence)	1, 4, 9
CHAO Tien Sylvia	BRX321	Licensed Representative (Provisional Licence)	4
CHAU Ka Man	BRV763	Licensed Representative (Provisional Licence)	1, 2
CHEN Chieh Hung	BRB488	Licensed Representative (Provisional Licence)	1
CHEN Chuan	BRV475	Licensed Representative (Provisional Licence)	9
CHEN Dian	BRW304	Licensed Representative (Provisional Licence)	1, 4
CHEN Hui	BMA148	Licensed Representative (Provisional Licence)	1
CHEN Lifeng	BRW500	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Lijuan	BRW417	Licensed Representative (Provisional Licence)	1, 6
CHEN Luwen	BHK046	Licensed Representative (Provisional Licence)	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Ngan Nee, Noelle	BRV484	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Rubing	BOI329	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
CHEN Shiwen	BRW124	Licensed Representative (Provisional Licence)	1
CHEN Wen	BRV888	Licensed Representative (Provisional Licence)	4, 9
CHEN Xiaoyun	BRX034	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Xiling	BRU624	Licensed Representative (Provisional Licence)	4
CHEN Xingyu	BRU801	Licensed Representative (Provisional Licence)	4, 9
CHEN Zhi	BRW476	Licensed Representative (Provisional Licence)	9
CHEN Zhifen	BRW306	Licensed Representative (Provisional Licence)	4, 9
CHENG Hui	BRW037	Licensed Representative (Provisional Licence)	10
CHENG Ke	BRT362	Licensed Representative (Provisional Licence)	1, 6
CHENG Xiao	BRV507	Licensed Representative (Provisional Licence)	9
CHENG Yu Hin	BRV374	Licensed Representative (Provisional Licence)	2
CHENG Yueyang	BRE388	Licensed Representative (Provisional Licence)	1, 4, 9
CHEONG Hoi Kit, Nicholas	BRW224	Licensed Representative (Provisional Licence)	1
CHEUNG Darren	BRT570	Licensed Representative (Provisional Licence)	1, 4, 9
CHEUNG Hiu Shan	BRU896	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Hiu Yau	BRV305	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Jenny	BRW066	Licensed Representative (Provisional Licence)	1, 4, 9
CHEUNG Siu Keung	BFK706	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Yiu Lun	AGC910	Licensed Representative (Provisional Licence)	1
CHIU Chun Ting	BRV941	Licensed Representative (Provisional Licence)	1
CHIU Ngai Lam	BRR927	Licensed Representative (Provisional Licence)	1, 4
CHIU Wing Chor	AGH933	Licensed Representative (Provisional Licence)	1, 4
CHO Min Chul	BRV769	Licensed Representative (Provisional Licence)	1, 4
CHOI Kyong Chul	BRR482	Licensed Representative (Provisional Licence)	9
CHOI Lok Yee	BRT369	Licensed Representative (Provisional Licence)	4
CHONG Chi Kit	BRX048	Licensed Representative (Provisional Licence)	1, 6
CHONG Jun Jie	BRT363	Licensed Representative (Provisional Licence)	1, 6
CHONG Siang Hooi	AOP024	Licensed Representative (Provisional Licence)	4, 9
CHOW Andrew Edward Chun-Hong	BOT720	Licensed Representative (Provisional Licence)	1, 4, 9
CHUI Kwok Him	BRX152	Licensed Representative (Provisional Licence)	1
CHUI Wai Yin Justin	BRV620	Licensed Representative (Provisional Licence)	1, 6
CHUN Ka Man	BRW426	Licensed Representative (Provisional Licence)	2
CHUN Tsz Lik	BRW753	Licensed Representative (Provisional Licence)	1
CHUNG Eunie	BJI252	Licensed Representative (Provisional Licence)	1, 4, 9
CHUNG Oi San Sandra	BRU920	Licensed Representative (Provisional Licence)	1
CHUNG Wai Wah	BRV512	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
CUI Shichen	BRW150	Licensed Representative (Provisional Licence)	1, 6
CUI Yolanda Sze Yu	BRT646	Licensed Representative (Provisional Licence)	1, 4
DAI Shuting	BOI195	Licensed Representative (Provisional Licence)	1
DENG Zhiwei	BRX503	Licensed Representative (Provisional Licence)	1, 6
DONG Ting	BRW054	Licensed Representative (Provisional Licence)	1, 4
DONG Wenxin	BRW883	Licensed Representative (Provisional Licence)	1, 4, 6
DU Jian	BRW529	Licensed Representative (Provisional Licence)	1, 2, 7
DU Yunqiao	BRW864	Licensed Representative (Provisional Licence)	4
FAN Chenyu	BRV720	Licensed Representative (Provisional Licence)	1, 4
FAN Xiaoran	BRS406	Licensed Representative (Provisional Licence)	1, 4
FAN Xijing	BRV572	Licensed Representative (Provisional Licence)	6
FANG Chao	BDH515	Licensed Representative (Provisional Licence)	4, 9
FANG Yi Dong	BRT488	Licensed Representative (Provisional Licence)	1, 4, 9
FOK Aaron Kai Tai	BRV153	Licensed Representative (Provisional Licence)	1, 6
FOO Sze Wah	BRW036	Licensed Representative (Provisional Licence)	1, 2
FU Hongyu	BRV476	Licensed Representative (Provisional Licence)	4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
FU Qiang	BGM188	Licensed Representative (Provisional Licence)	9
FUNG Hiu Hang	BRW179	Licensed Representative (Provisional Licence)	1
FUNG Man Yee	BRV727	Licensed Representative (Provisional Licence)	1
FUNG Wong Yu	BRX273	Licensed Representative (Provisional Licence)	1, 9
GAN Lu	BRW368	Licensed Representative (Provisional Licence)	1, 6
GAO Meng	BRV463	Licensed Representative (Provisional Licence)	1, 6
GAO Yichen	BRW624	Licensed Representative (Provisional Licence)	2
GAO Zhenyi	BRR579	Licensed Representative (Provisional Licence)	4
GEE Chung Won	BRT062	Licensed Representative (Provisional Licence)	1, 2
GENG Yang	BID762	Licensed Representative (Provisional Licence)	4, 9
GOH Julynn Kailin	BRV226	Licensed Representative (Provisional Licence)	1, 6
GU Han	BRW747	Licensed Representative (Provisional Licence)	1
GUAN Yue	BRW466	Licensed Representative (Provisional Licence)	1, 4, 6
GUO Li Ning	BKU075	Licensed Representative (Provisional Licence)	4
GUO Ran	BRW326	Licensed Representative (Provisional Licence)	4, 9
GUO Shaohua	BRW216	Licensed Representative (Provisional Licence)	10
GUO Ting	BRV805	Licensed Representative (Provisional Licence)	9
GUO Yang	BMS606	Licensed Representative (Provisional Licence)	4
HA Cheuk Wah, Michael	ABZ431	Licensed Representative (Provisional Licence)	1, 4
HAN Chengwei	BHC509	Licensed Representative (Provisional Licence)	9
HAN Chia Lin	AGG699	Licensed Representative (Provisional Licence)	1, 4
HAN Ling	BRV755	Licensed Representative (Provisional Licence)	1, 4
HAO Andrew	AQE517	Licensed Representative (Provisional Licence)	4, 9
HE Xinwei	BRR770	Licensed Representative (Provisional Licence)	4, 9
HE Yilun	BRV878	Licensed Representative (Provisional Licence)	1, 2
HE Yuan Zhi	BRV807	Licensed Representative (Provisional Licence)	1, 4
HEUNG Ching Ting Vivian	ASO625	Licensed Representative (Provisional Licence)	1
HO Chen Chang	BRU835	Licensed Representative (Provisional Licence)	1
HO Chin Wah	BRX362	Licensed Representative (Provisional Licence)	1, 2, 9
HO Duncan	BBZ848	Licensed Representative (Provisional Licence)	1, 6
HO Jonathan Godfrey	BRU625	Licensed Representative (Provisional Licence)	1, 4
HO Ka Yan	BRV477	Licensed Representative (Provisional Licence)	4
HO Marcus Jian Yung	BID036	Licensed Representative (Provisional Licence)	1, 2, 7
HO Wai Fun	AES372	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
HO Wai Leong, Herrick	BRW648	Licensed Representative (Provisional Licence)	9
HONG En	BIZ598	Licensed Representative (Provisional Licence)	9
HONG Libin	BRX411	Licensed Representative (Provisional Licence)	1, 4, 6
HONG Tiandu	BGA351	Licensed Representative (Provisional Licence)	4
HU Fan	BRV894	Licensed Representative (Provisional Licence)	1, 2
HU Ping	BRW327	Licensed Representative (Provisional Licence)	4, 9
HU Ruoning	BRW618	Licensed Representative (Provisional Licence)	1
HUANG Hao-yang	BRR180	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Lih-yueh	BRV652	Licensed Representative (Provisional Licence)	1
HUANG Ruozuo	BRT644	Licensed Representative (Provisional Licence)	1, 2
HUANG Yi	BRW053	Licensed Representative (Provisional Licence)	6
HUANG Yuezhou	BRW033	Licensed Representative (Provisional Licence)	10
HUANG Zijun	BRU714	Licensed Representative (Provisional Licence)	1
HUANG Ziyan	BRV820	Licensed Representative (Provisional Licence)	1, 4
IMBRIANI Federico Maria	BRV838	Licensed Representative (Provisional Licence)	1
IP Po Wun	BEC783	Licensed Representative (Provisional Licence)	1, 4, 6
IP Tsz Man Mandy	BRV886	Licensed Representative (Provisional Licence)	1, 4
JANG Tsz Yeung	BRV958	Licensed Representative (Provisional Licence)	1
JANSON Valentin Stephane Bernard	BRU731	Licensed Representative (Provisional Licence)	1, 2
JHA Satyarth	BRR458	Licensed Representative (Provisional Licence)	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
JIANG Anqi	BRU802	Licensed Representative (Provisional Licence)	1, 2, 7
JIANG Chao	BRV006	Licensed Representative (Provisional Licence)	1, 6
JIANG Kaiwen	BRT089	Licensed Representative (Provisional Licence)	1
JIANG Longlong	AYI259	Licensed Representative (Provisional Licence)	1
JIANG Mengchang	BRV467	Licensed Representative (Provisional Licence)	1, 2, 4
JIANG Minghao	BRW612	Licensed Representative (Provisional Licence)	1, 4
JIANG Wenbin	BRV323	Licensed Representative (Provisional Licence)	1, 6
JIN Anning	BRW644	Licensed Representative (Provisional Licence)	1, 6
JIN Moon-Yub	BRT406	Licensed Representative (Provisional Licence)	1, 6
KANG In Hwan	BDN285	Licensed Representative (Provisional Licence)	1, 4
KANG Le	BRW215	Licensed Representative (Provisional Licence)	1, 6
KANG Taeho	BRW673	Licensed Representative (Provisional Licence)	1, 4
KANG Yiliu	BRW640	Licensed Representative (Provisional Licence)	1, 4, 6
KIM Jungsun	BRW723	Licensed Representative (Provisional Licence)	4, 6, 9
KIM Min Jae	BRW615	Licensed Representative (Provisional Licence)	1, 6
KIM Yoojin	BRW178	Licensed Representative (Provisional Licence)	9
KIT Wing Fung	BRT248	Licensed Representative (Provisional Licence)	1, 4, 5, 9
KO Yeongbin	BRT012	Licensed Representative (Provisional Licence)	1, 4, 6
KONG Shaofeng	BRW506	Licensed Representative (Provisional Licence)	1, 4, 6
KONG Shuk Fong	BRW768	Licensed Representative (Provisional Licence)	1, 4, 9
KONG Xiangpei	BRR954	Licensed Representative (Provisional Licence)	1, 2
KOO Sung Mo	BRV609	Licensed Representative (Provisional Licence)	1, 2
KOO Wai Sum	BRW099	Licensed Representative (Provisional Licence)	9
KOON Fiona Mei Lin	ACW516	Licensed Representative (Provisional Licence)	4, 9
KULUPPUARACHCHI Savith Viduranga	AYM695	Licensed Representative (Provisional Licence)	1
KWAN Kit Yan, Anderson	AUX006	Licensed Representative (Provisional Licence)	1, 4
KWOK Yin Ching	ACW690	Licensed Representative (Provisional Licence)	1, 4, 9
KWONG Chin Fung	AWK998	Licensed Representative (Provisional Licence)	1, 2, 4
LAI Ching	BRT434	Licensed Representative (Provisional Licence)	1
LAM Lam	BRV589	Licensed Representative (Provisional Licence)	1, 4
LAM Tsz Man	BRW090	Licensed Representative (Provisional Licence)	1, 2
LAM Yuk Shan	BRX267	Licensed Representative (Provisional Licence)	1, 2
LAN Xing	BRU964	Licensed Representative (Provisional Licence)	1, 4
LAU Ting Fang Tiffany	BRV223	Licensed Representative (Provisional Licence)	1, 6
LAW Kwan Lok Richard	BRU720	Licensed Representative (Provisional Licence)	1
LEE Han Ju	BRW952	Licensed Representative (Provisional Licence)	1, 6
LEE Hiu Lam	BRU907	Licensed Representative (Provisional Licence)	1, 4, 5, 9
LEE Hyun Ho	BRW592	Licensed Representative (Provisional Licence)	1, 4
LEE JE CHUNG	BRV497	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Kin Hang	BRW006	Licensed Representative (Provisional Licence)	1, 6
LEE Ming Sum Mason	BLI900	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Siu Cheuk Ryan	BQM416	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Sui Hang	BRT592	Licensed Representative (Provisional Licence)	1
LEE WING LAM	BRW012	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LEI Xinwei	BNZ958	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG HIU YEE	BRV489	Licensed Representative (Provisional Licence)	1, 4
LEUNG Ho Yin	BJY143	Licensed Representative (Provisional Licence)	1
LEUNG Pui Ying	BRT209	Licensed Representative (Provisional Licence)	1
LEUNG Tsz Ying Percy	BRV458	Licensed Representative (Provisional Licence)	4
LEUNG Yan Ting	BRR591	Licensed Representative (Provisional Licence)	4, 9
LI Chun Kin	BOT703	Licensed Representative (Provisional Licence)	1
LI Ho Yin	BAE416	Licensed Representative (Provisional Licence)	4
LI Hoi Kan	BRX520	Licensed Representative (Provisional Licence)	6
LI Huanji	BRV433	Licensed Representative (Provisional Licence)	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Jifei	BRV957	Licensed Representative (Provisional Licence)	1, 6
LI Jing	BRW511	Licensed Representative (Provisional Licence)	1, 4, 6
LI Jingtao	BRW508	Licensed Representative (Provisional Licence)	4
LI Kai Yi	BRV950	Licensed Representative (Provisional Licence)	1, 6
LI Longxin	BRS557	Licensed Representative (Provisional Licence)	9
LI Man Yi Kathy	BRW751	Licensed Representative (Provisional Licence)	1, 2
LI Ming	BRX032	Licensed Representative (Provisional Licence)	1
LI Peijia	BEC796	Licensed Representative (Provisional Licence)	9
LI Peter Yunhe	BRV794	Licensed Representative (Provisional Licence)	9
LI Qi	BRW203	Licensed Representative (Provisional Licence)	1, 6
LI Qiaochu	BRW400	Licensed Representative (Provisional Licence)	1, 4
LI Qingqing	BRU709	Licensed Representative (Provisional Licence)	1, 2, 4
LI Shengnan	BRW111	Licensed Representative (Provisional Licence)	1, 4, 9
LI Su Cheong	BRV465	Licensed Representative (Provisional Licence)	1
LI Xinyi	BRU941	Licensed Representative (Provisional Licence)	1
LI Xitao	BRV551	Licensed Representative (Provisional Licence)	1, 4, 6
LI Yichen	BAI987	Licensed Representative (Provisional Licence)	4, 9
LI Yizhong	BRV614	Licensed Representative (Provisional Licence)	1, 4, 6
LI Yulong	BRU839	Licensed Representative (Provisional Licence)	1, 6
LI Zhaochen	BRW043	Licensed Representative (Provisional Licence)	1, 4, 6
LI Zhenting	BRW145	Licensed Representative (Provisional Licence)	1, 6
LI Ziyu	BRV743	Licensed Representative (Provisional Licence)	4
LI Zuming	BRV745	Licensed Representative (Provisional Licence)	1, 4
LIANG Fangyuan	BRW520	Licensed Representative (Provisional Licence)	1, 6
LIM Chun De Samuel	BRU930	Licensed Representative (Provisional Licence)	1, 2
LIM Jann Jiu Jason	BRW218	Licensed Representative (Provisional Licence)	1, 4
LIN Shang-Chen	BRW481	Licensed Representative (Provisional Licence)	1, 4
LIN Wei Shau	BRS111	Licensed Representative (Provisional Licence)	1
LIN Xinye	BRV942	Licensed Representative (Provisional Licence)	1, 4
LIN Yu Ling	BRP269	Licensed Representative (Provisional Licence)	1, 7
LING Yuen Yee	BRW035	Licensed Representative (Provisional Licence)	10
LIU Huaiyi	BRT238	Licensed Representative (Provisional Licence)	4
LIU Mengyu	BRW299	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Ningyuan	BRU786	Licensed Representative (Provisional Licence)	1, 6
LIU Shi	BRW584	Licensed Representative (Provisional Licence)	1, 6
LIU Yilin	BRS593	Licensed Representative (Provisional Licence)	1, 6
LIU Yitao	BRW666	Licensed Representative (Provisional Licence)	1, 4
LIU Yunqing	BRW899	Licensed Representative (Provisional Licence)	1, 4
LONG Dingkun	BRT056	Licensed Representative (Provisional Licence)	1, 6
LOU Peimeng	BRW420	Licensed Representative (Provisional Licence)	1, 4, 6
LU Lijun	BRW881	Licensed Representative (Provisional Licence)	1, 4, 6
LU Ping	BLY772	Licensed Representative (Provisional Licence)	1, 2, 4
LU Zitian	BRW743	Licensed Representative (Provisional Licence)	1, 6
LUHAR Neha Akshay	BRV881	Licensed Representative (Provisional Licence)	1, 2
LUI Chun Lung	BRW611	Licensed Representative (Provisional Licence)	1, 4, 5, 9
LUO Andi	BRX505	Licensed Representative (Provisional Licence)	4
LUO Jianying	BRV216	Licensed Representative (Provisional Licence)	1, 2
LUO Yuanyuan	BRW275	Licensed Representative (Provisional Licence)	1, 4
LYE Yueming, Alvin	BRT631	Licensed Representative (Provisional Licence)	1, 6
LYU Zongyuan	BRV595	Licensed Representative (Provisional Licence)	1, 2, 4
MA Jiao	BRF664	Licensed Representative (Provisional Licence)	1, 4
MA Mengdan	BRU806	Licensed Representative (Provisional Licence)	9
MA Xiyuan	BIU614	Licensed Representative (Provisional Licence)	1, 2, 4
MALLIRIS Panayotis John	ADY556	Licensed Representative (Provisional Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
MAN Yiu Man, Edmond	BRW328	Licensed Representative (Provisional Licence)	1, 4
MICHEL Gauthier, Gilles-Arthur	BRW422	Licensed Representative (Provisional Licence)	9
MILLAR Jordan Russell	BRW060	Licensed Representative (Provisional Licence)	1, 2
MIN Jie	BQO176	Licensed Representative (Provisional Licence)	4, 9
MIRPURI Joseph Gul	AFP510	Licensed Representative (Provisional Licence)	9
MULCAHY Jack Patrick	BRT128	Licensed Representative (Provisional Licence)	1
MURANO Shunya	BRV581	Licensed Representative (Provisional Licence)	1, 2
NA Seung Won	BRT303	Licensed Representative (Provisional Licence)	1
NG Chi Wai	APH765	Licensed Representative (Provisional Licence)	1, 4
NG Faye Xiaoying	BRW126	Licensed Representative (Provisional Licence)	1, 4
NG Tsoi Yee	BRW052	Licensed Representative (Provisional Licence)	1, 6
NG Tsz Shan Leona	BRU811	Licensed Representative (Provisional Licence)	1, 2
NGAI Tin Wai	AXB871	Licensed Representative (Provisional Licence)	1, 2
NGAN Yi Shing	BRU947	Licensed Representative (Provisional Licence)	4, 9
NI Xuedan	BRX306	Licensed Representative (Provisional Licence)	1, 4, 6
NICHOLSON Gareth Dreg	BRT353	Licensed Representative (Provisional Licence)	1, 4
NIE Hanfei	BRW458	Licensed Representative (Provisional Licence)	1, 4, 6
NITHIANANTHAM Prashanth Shankar	BRW020	Licensed Representative (Provisional Licence)	1
ODA Daichi	BRV726	Licensed Representative (Provisional Licence)	9
O'NEILL Stuart James	BRW537	Licensed Representative (Provisional Licence)	4, 5, 9
ORION JEREMY	BRU808	Licensed Representative (Provisional Licence)	1, 4, 9
PAKSOY Doruk	BRT470	Licensed Representative (Provisional Licence)	2, 5
PAN Xingguo Eric	BRV103	Licensed Representative (Provisional Licence)	4, 9
PANG Jian Ying	BRV611	Licensed Representative (Provisional Licence)	1, 4, 5, 9
PANG Kit Cheung	BRR993	Licensed Representative (Provisional Licence)	1, 4
PANG Xiaoming	BRW589	Licensed Representative (Provisional Licence)	1, 4, 9
POON Cheuk Hei	BRV762	Licensed Representative (Provisional Licence)	1, 4, 9
POON Hui Lai	BRV441	Licensed Representative (Provisional Licence)	1, 2
POULIOT Stefan Andre	BEU006	Licensed Representative (Provisional Licence)	4, 9
PU Frank Chang	BOT378	Licensed Representative (Provisional Licence)	1, 4, 6
PUN Hei Wai	AYJ115	Licensed Representative (Provisional Licence)	1, 4
QU Ye	BRW095	Licensed Representative (Provisional Licence)	1, 6
REN Jianzhong	BRW756	Licensed Representative (Provisional Licence)	4, 9
RICHELLE Nicolas Pierre Alain David	BRV494	Licensed Representative (Provisional Licence)	1, 4
SAXENA Rounaque	BRT365	Licensed Representative (Provisional Licence)	1, 2
SHAM Chi Ho	AXY804	Licensed Representative (Provisional Licence)	1
SHEN Jiaojiao	BCM624	Licensed Representative (Provisional Licence)	1, 4, 9
SHEN Yutong	BRW882	Licensed Representative (Provisional Licence)	1, 4, 6
SHENG Yaoming	BRU948	Licensed Representative (Provisional Licence)	1, 2, 4
SHEUNG Yuen Ting	BRV362	Licensed Representative (Provisional Licence)	1
SHI Luming	BRV858	Licensed Representative (Provisional Licence)	1, 6
SHI Run	BRT389	Licensed Representative (Provisional Licence)	1, 6
SHI Yue	BRU844	Licensed Representative (Provisional Licence)	1, 6
SHI Zhuojun	BRV021	Licensed Representative (Provisional Licence)	1, 4, 9
SHIPPERLEE James Alan	BHV431	Licensed Representative (Provisional Licence)	1
SHUM King Tung	BRV553	Licensed Representative (Provisional Licence)	1, 4, 9
SIEWERT Patrick Thomas	BRX122	Licensed Representative (Provisional Licence)	1, 4
SO Kin Wan	BRX215	Licensed Representative (Provisional Licence)	1, 2
SO Samuel Chun Yiu	BRV585	Licensed Representative (Provisional Licence)	1, 4
SO Yau Mei	BRX482	Licensed Representative (Provisional Licence)	1
SU Shanshan	BRW602	Licensed Representative (Provisional Licence)	1, 2
SU Shi Chun	BRX120	Licensed Representative (Provisional Licence)	9
SU Wai	BGH339	Licensed Representative (Provisional Licence)	4
SUN Austen	BRU866	Licensed Representative (Provisional Licence)	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
SUN Lu	BRV757	Licensed Representative (Provisional Licence)	1, 2, 4
SUN Ting	BRX458	Licensed Representative (Provisional Licence)	1, 6
TAI Chor Yan	BRV723	Licensed Representative (Provisional Licence)	1, 2
TAKAHASHI Ryuji	BRQ535	Licensed Representative (Provisional Licence)	1
TAN Xiaozhong	BRV451	Licensed Representative (Provisional Licence)	1, 2
TAN Yi Hao	BRX322	Licensed Representative (Provisional Licence)	4
TANG Chi Kwan	ARS666	Licensed Representative (Provisional Licence)	1, 4
TANG Hansen	BRR513	Licensed Representative (Provisional Licence)	4
TANG Man Kwan Camay	BRW205	Licensed Representative (Provisional Licence)	1, 4
TANG Yingda	BRV887	Licensed Representative (Provisional Licence)	1, 4, 6
TAO Zemin	BRW742	Licensed Representative (Provisional Licence)	1, 6
TIAN Yu	BRT593	Licensed Representative (Provisional Licence)	1, 4, 9
TIN Hiu Ping	BRX064	Licensed Representative (Provisional Licence)	1
TO Yuen Ting	BRW149	Licensed Representative (Provisional Licence)	1
TONG Yufeng	BRW258	Licensed Representative (Provisional Licence)	4
TSANG Kar Wai	BMX285	Licensed Representative (Provisional Licence)	7
TSAO Yam Man	BRW213	Licensed Representative (Provisional Licence)	1
TSE Chin Wei	BRW777	Licensed Representative (Provisional Licence)	1, 4, 6
TSE Man Nga	BNW855	Licensed Representative (Provisional Licence)	1, 4
TSIEN Hanlin	BGW602	Licensed Representative (Provisional Licence)	9
VERGHESE Tarun Chandy	BRV821	Licensed Representative (Provisional Licence)	1, 2
WANG Bojin	BRV123	Licensed Representative (Provisional Licence)	4
WANG CHAO	BRW406	Licensed Representative (Provisional Licence)	9
WANG Chuqiao	BRV810	Licensed Representative (Provisional Licence)	1, 6
WANG Jing	APB403	Licensed Representative (Provisional Licence)	1, 2, 9
WANG Junbo	BRV830	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Lixian	BBM228	Licensed Representative (Provisional Licence)	1, 4
WANG Qi	BAK287	Licensed Representative (Provisional Licence)	4, 9
WANG SHUN	BRU870	Licensed Representative (Provisional Licence)	9
WANG Tianyi	BRW755	Licensed Representative (Provisional Licence)	4
WANG Wendi	BRW180	Licensed Representative (Provisional Licence)	1, 6
WANG Xiong	BRV434	Licensed Representative (Provisional Licence)	1, 6
WANG Xu	BRX894	Licensed Representative (Provisional Licence)	1, 6
WANG Xuekun	BRV954	Licensed Representative (Provisional Licence)	1, 6
WANG Yajing	BRW005	Licensed Representative (Provisional Licence)	1, 6
WANG Yanze	BRU906	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Yi	BRV960	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Yi	BRW361	Licensed Representative (Provisional Licence)	1, 2, 9
WANG Yinuo	BRQ634	Licensed Representative (Provisional Licence)	6
WANG Yinuo	BRT469	Licensed Representative (Provisional Licence)	9
WANG Yiqin	BRV754	Licensed Representative (Provisional Licence)	1, 4, 5, 9
WANG Yue	BRW915	Licensed Representative (Provisional Licence)	1, 2
WANG Zhaohong	BRW741	Licensed Representative (Provisional Licence)	1, 6
WEI Dick	AFU285	Licensed Representative (Provisional Licence)	4
WEI Jianing	BRW388	Licensed Representative (Provisional Licence)	1, 6
WING Brandan	BRV461	Licensed Representative (Provisional Licence)	1, 4
WONG Chun	BNP057	Licensed Representative (Provisional Licence)	4, 9
WONG Chun Kiu	BRX333	Licensed Representative (Provisional Licence)	1, 4
WONG Ho Sum Michelle	BRU921	Licensed Representative (Provisional Licence)	1, 4
WONG Hok Yiu Horace	BRW681	Licensed Representative (Provisional Licence)	1, 4
WONG Ming Shing	AAF460	Licensed Representative (Provisional Licence)	1, 2, 4
WONG Pak To	BRV749	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Tat Kwong	ACU059	Licensed Representative (Provisional Licence)	1
WONG Tsz Wa	BRV322	Licensed Representative (Provisional Licence)	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
WU Shuzhen	BRV860	Licensed Representative (Provisional Licence)	1, 6
WU Yenan	BHU997	Licensed Representative (Provisional Licence)	1, 4, 6
XIA Yipeng	BRW416	Licensed Representative (Provisional Licence)	1, 6
XIAO Lin	BRV744	Licensed Representative (Provisional Licence)	1, 6
XIAO Minling	BRV675	Licensed Representative (Provisional Licence)	6
XIAO Yingjie	BRV613	Licensed Representative (Provisional Licence)	1, 2
XIE Siyao	BRV098	Licensed Representative (Provisional Licence)	1, 6
XING Chang	AVD305	Licensed Representative (Provisional Licence)	1
XU Haoshuang	BRT468	Licensed Representative (Provisional Licence)	1, 4, 6
XU Mu	BRW895	Licensed Representative (Provisional Licence)	4, 9
XU Xiaohui	BBT118	Licensed Representative (Provisional Licence)	1, 4, 6
XU Ying Nan	BRU905	Licensed Representative (Provisional Licence)	9
XU Yunbang	BRW032	Licensed Representative (Provisional Licence)	10
XU Zidong	BRS679	Licensed Representative (Provisional Licence)	1, 4, 6
YADAV Lavish	BRV616	Licensed Representative (Provisional Licence)	1, 2
YAMAMOTO Takeshi	BRW038	Licensed Representative (Provisional Licence)	1
YAN Xi	BRR931	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Bailing	BRW524	Licensed Representative (Provisional Licence)	1, 6
YANG Xiaoqing	BRV225	Licensed Representative (Provisional Licence)	1, 6
YANG Ying	BRW391	Licensed Representative (Provisional Licence)	1
YANG Yurong	BRV605	Licensed Representative (Provisional Licence)	1, 2, 4
YANG Yutong	BIX047	Licensed Representative (Provisional Licence)	1, 2, 4
YANG Zhe	BRW754	Licensed Representative (Provisional Licence)	4, 9
YAO Wei	BJV305	Licensed Representative (Provisional Licence)	4, 5
YE Hanqing	BRT482	Licensed Representative (Provisional Licence)	1, 6
YE Yukuan	BRU785	Licensed Representative (Provisional Licence)	1, 6
YEH Fang Tzu	BAE708	Licensed Representative (Provisional Licence)	1, 4, 6
YEUNG Chung Wai	BRW668	Licensed Representative (Provisional Licence)	9
YEUNG Ming Tsun	BRV228	Licensed Representative (Provisional Licence)	1, 4
YEUNG Yu Hang	BRW752	Licensed Representative (Provisional Licence)	1, 9
YIN Zi	BRV383	Licensed Representative (Provisional Licence)	1, 2
YING Xiaofei	BRT483	Licensed Representative (Provisional Licence)	9
YIP Kai Yin, Adam	BRX009	Licensed Representative (Provisional Licence)	1
YIP Kwok Hung	BRW651	Licensed Representative (Provisional Licence)	1
YIP Wing Mau	BRX259	Licensed Representative (Provisional Licence)	4, 9
YU Fang	BNP252	Licensed Representative (Provisional Licence)	1, 2, 4
YU Feng	BOF949	Licensed Representative (Provisional Licence)	1, 4, 9
YU Hin Wah Lotus	BRT466	Licensed Representative (Provisional Licence)	1, 2
YU Jialin	BRW938	Licensed Representative (Provisional Licence)	4, 9
YU Jing	BRV104	Licensed Representative (Provisional Licence)	4, 9
YU Menglin	BRV436	Licensed Representative (Provisional Licence)	1, 4, 9
YU Shansong	BRV889	Licensed Representative (Provisional Licence)	1, 4
YU Shuang	BRV680	Licensed Representative (Provisional Licence)	1
YU Wenshi	BRR411	Licensed Representative (Provisional Licence)	1, 6
YUAN HUI	BRV859	Licensed Representative (Provisional Licence)	1, 6
YUAN Shiji	BRQ792	Licensed Representative (Provisional Licence)	1, 6
YUAN Tang Chun	BRW504	Licensed Representative (Provisional Licence)	1
ZHANG Bolun	BRW750	Licensed Representative (Provisional Licence)	1, 6
ZHANG Dongyang	BMQ950	Licensed Representative (Provisional Licence)	1, 6
ZHANG Haitao	BRS733	Licensed Representative (Provisional Licence)	4
ZHANG Luyao	BRW055	Licensed Representative (Provisional Licence)	1, 6
ZHANG Mei	AOD119	Licensed Representative (Provisional Licence)	6
ZHANG Qian	BRW230	Licensed Representative (Provisional Licence)	1, 6
ZHANG Qin	BRX031	Licensed Representative (Provisional Licence)	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Ruiqing	BRW487	Licensed Representative (Provisional Licence)	1, 6
ZHANG Shibao	BRX255	Licensed Representative (Provisional Licence)	1, 2, 9
ZHANG Tian	BMS675	Licensed Representative (Provisional Licence)	1, 2, 4
ZHANG TIANLIANG	BRW305	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Wen	BRW237	Licensed Representative (Provisional Licence)	1, 6
ZHANG Wenbin	BRV546	Licensed Representative (Provisional Licence)	1, 2, 4
ZHANG Xinwen	BRW848	Licensed Representative (Provisional Licence)	6
ZHANG Xiqing	BRT361	Licensed Representative (Provisional Licence)	1, 6
ZHANG Xu	BRW763	Licensed Representative (Provisional Licence)	1
ZHANG Yi	BRV431	Licensed Representative (Provisional Licence)	1, 6
ZHANG Ying	BRX281	Licensed Representative (Provisional Licence)	4
ZHANG Yu	BRV022	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Yu	BRV965	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yuandi	BRV462	Licensed Representative (Provisional Licence)	4
ZHANG Yusheng	BRW402	Licensed Representative (Provisional Licence)	1, 6
ZHAO Yixuan	BRV237	Licensed Representative (Provisional Licence)	1, 4
ZHENG Jia	BRV885	Licensed Representative (Provisional Licence)	1
ZHENG Xiaolu	BRX024	Licensed Representative (Provisional Licence)	4
ZHENG Yilin	BRU730	Licensed Representative (Provisional Licence)	1, 4
ZHENG Yunfei	BRV379	Licensed Representative (Provisional Licence)	1, 4
ZHONG Jiali	BRW695	Licensed Representative (Provisional Licence)	1, 4
ZHOU BEICHEN	BRW251	Licensed Representative (Provisional Licence)	1, 6
ZHOU Eileen	BRV734	Licensed Representative (Provisional Licence)	1, 4, 6
ZHOU James	BRW767	Licensed Representative (Provisional Licence)	1, 6
ZHOU Wei	BRT564	Licensed Representative (Provisional Licence)	1, 6
ZHOU Zewen	BRT010	Licensed Representative (Provisional Licence)	1, 2, 4
ZHU Min	BPX372	Licensed Representative (Provisional Licence)	1, 4
ZHU Xiaokang	BNL517	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Yiqian	BRX125	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Yumeng	BOT548	Licensed Representative (Provisional Licence)	4, 9
ZOU SIQI	BRW525	Licensed Representative (Provisional Licence)	9
ZOU Yuying	BRW877	Licensed Representative (Provisional Licence)	4
ZUO Sa	BNV280	Licensed Representative (Provisional Licence)	1, 6
BIGLEY Erin Marie	BRW250	Licensed Representative (Temporary Licence)	4
DI MAGGIO Scott A	BIV434	Licensed Representative (Temporary Licence)	4
HARTING Morgan Clothier	AXT012	Licensed Representative (Temporary Licence)	4
HONDA Ryota	BRW303	Licensed Representative (Temporary Licence)	1, 2
LIU Yuping	BRW696	Licensed Representative (Temporary Licence)	4
LOEWY Daniel Jay	BEC626	Licensed Representative (Temporary Licence)	4
QIU Lei	AEO446	Licensed Representative (Temporary Licence)	4
ROARTY Daniel Charles	BQU482	Licensed Representative (Temporary Licence)	4
SUZUKI Seiichiro	AUK287	Licensed Representative (Temporary Licence)	4
THAPAR Vinay	BNR482	Licensed Representative (Temporary Licence)	4
ZHOU Xiangyu	BRW685	Licensed Representative (Temporary Licence)	4