

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
Astrapto Capital Partners (HK) Limited	BRQ711	Licensed Corporation	9
Astraria Partners Limited	BRG658	Licensed Corporation	9
Betatron Ventures Limited	BRO048	Licensed Corporation	9
Coronation Capital Limited	BRK090	Licensed Corporation	4, 9
DL Capital (HK) Limited	BRB150	Licensed Corporation	4, 9
EmergeVest HK Limited	BRM404	Licensed Corporation	9
Gobi Admiralty Limited	BRH800	Licensed Corporation	9
MM Global Capital Limited	BRI780	Licensed Corporation	1, 4, 9
OLP Capital Management Limited	BRP707	Licensed Corporation	9
Pacific Aegis Capital Management (IM) Limited	BQW281	Licensed Corporation	9
Picea Oriental Investment Management Limited	BRQ179	Licensed Corporation	9
Roflex Capital Limited	BRJ807	Licensed Corporation	4, 9
Winbull Securities International (Hong Kong) Limited	BRG131	Licensed Corporation	1, 4, 9
Winner Zone Asset Management Limited	BRO369	Licensed Corporation	1, 4, 9
YT Capital Limited	BRJ998	Licensed Corporation	9
Zero2IPO Asset Management Limited	BRP688	Licensed Corporation	4, 9
Zhong Ou Rabbit (Hong Kong) Assets Management Limited	BPV443	Licensed Corporation	9
ZQ Asset Management Limited	BQG402	Licensed Corporation	4, 9
ANAND Naman	BRT327	Licensed Representative	1, 2, 6
ASKIN Simon Alec Javier	BRV055	Licensed Representative	1, 4, 6
AU YEUNG Sing Po	BQS723	Licensed Representative	2
AU Yuen Ting, Miranda	AEK664	Licensed Representative	9
AUBIN Louis Raphael Jules	BR5749	Licensed Representative	1, 2
AU-YEUNG Yiu Tung	BKO401	Licensed Representative	1, 2
BAI Ruoyun	BRY567	Licensed Representative	1, 6
BHOR Akshay	BRV193	Licensed Representative	9
BIAN Qinqin	BRK901	Licensed Representative	1, 4, 9
BRADY Mark Joseph	ARQ480	Licensed Representative	4, 9
BUKREDIWALA Mayank	BRV382	Licensed Representative	9
BUTT Daniel John	BRV788	Licensed Representative	1
CAI XI	BRX906	Licensed Representative	1
CAKIR Mehmet Burak	BRX292	Licensed Representative	9
CAO Wenqian	BPK506	Licensed Representative	4
CAO Xianda	BRW702	Licensed Representative	1, 6
CAO Zhuo Yuan	BRX149	Licensed Representative	1, 2
CEDRINI Emmanuel Simon	BRX883	Licensed Representative	1, 2
CHAN Chun Fai Anthony	AFD303	Licensed Representative	1
CHAN Hei Tung	BNE784	Licensed Representative	1, 4
CHAN Hiu To	BRX247	Licensed Representative	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Ho Yee	BRW765	Licensed Representative	1
CHAN Io Nang Terry	BRW477	Licensed Representative	1
CHAN Isabelle Wei Ngar	BRW749	Licensed Representative	1, 2
CHAN Ka Wai, Eric	ASZ583	Licensed Representative	1, 4
Chan Kai Yin	BQR226	Licensed Representative	1
CHAN Kin Ying	BFD599	Licensed Representative	1, 2
CHAN Po Kong	BRT437	Licensed Representative	4
CHAN Sum Ming	BRY443	Licensed Representative	1, 2
CHAN Tsit Ham	BQV710	Licensed Representative	1, 2
CHAN Tsz Kin	BRX519	Licensed Representative	1, 4
CHAN Tsz Yan Jessica	BRX047	Licensed Representative	1, 4
CHAN Wai Hin	BRX443	Licensed Representative	1
CHAN Wai Tai, Polly	AMM338	Licensed Representative	1, 4, 6
CHAN Wing Tung	BRW781	Licensed Representative	1, 2, 4, 5
CHAN Ying Kit	BLC894	Licensed Representative	1, 4
CHAN Yuk Wah	BRZ082	Licensed Representative	1
CHAN Yung Yung	BRW351	Licensed Representative	1, 4
CHANG Che Hin	BQR275	Licensed Representative	1, 4
CHANG Chung Yuan	BQW143	Licensed Representative	1
CHANG Hao Jan	BRW863	Licensed Representative	1, 4, 9
CHANG Hsun	AXF701	Licensed Representative	4, 9
CHAO Tien Sylvia	BRX321	Licensed Representative	4
CHAU Hin On	BRY442	Licensed Representative	1, 2
CHAU Ka Lai	BRW593	Licensed Representative	1, 4
CHAU Ka Man	BRV763	Licensed Representative	1, 2
CHAU Yee Man	AKO234	Licensed Representative	4, 5, 9
CHEN Chieh Hung	BRB488	Licensed Representative	1
CHEN Chuan	BRV475	Licensed Representative	9
CHEN Chun Hung	BGK285	Licensed Representative	2
CHEN Chutian	BGI769	Licensed Representative	1, 6
CHEN Dian	BRW304	Licensed Representative	1, 4
CHEN Lifeng	BRW500	Licensed Representative	1, 4, 6
CHEN Lijuan	BRW417	Licensed Representative	1, 6
CHEN Luwen	BHK046	Licensed Representative	9
CHEN Rubing	BOI329	Licensed Representative	1, 2, 4, 5, 9
CHEN Shiwen	BRW124	Licensed Representative	1
CHEN Xiaoyun	BRX034	Licensed Representative	1, 4, 9
CHEN Yayun	BRW538	Licensed Representative	1, 2
CHEN YI	BRW287	Licensed Representative	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Yi-Lin	AWC188	Licensed Representative	4
CHEN Yishi	BRY965	Licensed Representative	4
CHEN Yiting	AYY601	Licensed Representative	9
CHEN Yiwen	BPQ338	Licensed Representative	1
CHEN Yiwu	BHL998	Licensed Representative	4, 9
CHEN Yujie	BDP287	Licensed Representative	1, 4
CHEN Yujin	BRZ085	Licensed Representative	1, 4, 9
CHEN Yulong	BGX255	Licensed Representative	4, 9
CHEN Zhi	BRW476	Licensed Representative	9
CHENG Hui	BRW037	Licensed Representative	10
CHENG Ke	BRT362	Licensed Representative	1, 6
CHENG Ki Fung Kevin	BRY917	Licensed Representative	1, 4, 9
CHENG Nga Man	BOS613	Licensed Representative	1, 9
CHENG Wai Man	ANK374	Licensed Representative	9
CHENG Wan Yi	BRW502	Licensed Representative	1, 4
CHENG Xiao	BRV507	Licensed Representative	9
CHENG Ya Wei Alice	BRW997	Licensed Representative	1, 9
CHENG Yunshan	BRV110	Licensed Representative	1, 6
CHEONG Hoi Kit, Nicholas	BRW224	Licensed Representative	1
CHEUK Hiu Sim	BRS740	Licensed Representative	1
CHEUNG Chi Ling	BRW900	Licensed Representative	1
CHEUNG Darren	BRT570	Licensed Representative	1, 4, 9
CHEUNG Ho Chuen	BRS803	Licensed Representative	1, 4, 6
CHEUNG Jenny	BRW066	Licensed Representative	1, 4, 9
CHEUNG Yiu Lun	AGC910	Licensed Representative	1
CHEUNG Yuk Yin	BRX400	Licensed Representative	1
CHEVALIER JR Philippe William	BRV488	Licensed Representative	1, 7
CHIN Cheryl Pi I	AHT190	Licensed Representative	1, 2
CHING Hei Tung	BRT138	Licensed Representative	1
CHIU Ching	BRX917	Licensed Representative	4, 9
CHIU Chun Ting	BRV941	Licensed Representative	1
CHOCK Kit Mei May	BRV053	Licensed Representative	1
CHONG Chi Kit	BRX048	Licensed Representative	1, 6
CHONG Jun Jie	BRT363	Licensed Representative	1, 6
CHONG Sai Cheong	BRW798	Licensed Representative	1, 4
CHONG Siang Hooi	AOP024	Licensed Representative	4, 9
CHOW Andrew Edward Chun-Hong	BOT720	Licensed Representative	1, 4, 9
CHOW Tsz Fun	BRX055	Licensed Representative	1
CHOW Yin Wai, Yvonne	ALQ872	Licensed Representative	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHU Ka Wai Claudia	BRV386	Licensed Representative	1, 4
CHU Mei Lan	AGC929	Licensed Representative	1
CHUI Kwok Him	BRX152	Licensed Representative	1
CHUI Wai Yin Justin	BRV620	Licensed Representative	1, 6
CHUN Ka Man	BRW426	Licensed Representative	2
CHUN Yuet Yin	BRV750	Licensed Representative	1, 4
CHUNG Eva Ting Yan	AVH199	Licensed Representative	1, 4
CHUNG Oi San Sandra	BRU920	Licensed Representative	1
CHUNG Po Loi	BRT121	Licensed Representative	9
CHUNG Wai Wah	BRV512	Licensed Representative	1, 2, 4, 5, 7
CUI Shichen	BRW150	Licensed Representative	1, 6
CUI Yusheng	BRY379	Licensed Representative	4
DAI Shuting	BOI195	Licensed Representative	1
DAI Wenhui	BRW451	Licensed Representative	4, 9
DE CASTRO Dino Mikerino Peralta	BRU629	Licensed Representative	1, 4, 5, 9
DENG Zhiwei	BRX503	Licensed Representative	1, 6
DONG Ting	BRW054	Licensed Representative	1, 4
DU Jian	BRW529	Licensed Representative	1, 2, 7
DU Yichen	BNB807	Licensed Representative	9
DU Yunqiao	BRW864	Licensed Representative	4
DUAN Linheng	BRZ006	Licensed Representative	1, 2, 4
DURBAN Charles Etienne Marius	BRZ352	Licensed Representative	1, 2
FAN Chenyu	BRV720	Licensed Representative	1, 4
Fan Kam Kong Patrick	BPT876	Licensed Representative	1, 6
FAN Wai Hung	BBQ741	Licensed Representative	1
FAN Xiaoran	BRS406	Licensed Representative	1, 4
FAN Xijing	BRV572	Licensed Representative	6
FANG Chao	BDH515	Licensed Representative	4, 9
FEI Fei	BRW255	Licensed Representative	4, 9
FENG Chenmeizi	BRS796	Licensed Representative	1, 4, 6
FENG Yuying	BRX399	Licensed Representative	1
FOK Aaron Kai Tai	BRV153	Licensed Representative	1, 6
FOO Sze Wah	BRW036	Licensed Representative	1, 2
FRICK Michael Franz	AWI822	Licensed Representative	1, 6
FU Cui	BRU901	Licensed Representative	1, 4
FU Hongyu	BRV476	Licensed Representative	4, 9
FU Qiang	BGM188	Licensed Representative	9
FUNG Po Yee	BRW472	Licensed Representative	9
FUNG Wong Yu	BRX273	Licensed Representative	1, 9

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CE Name	CE No.	Licence Type	Types of Regulated Activity
FUNK Tiffany	BRV229	Licensed Representative	1
GAN Lu	BRW368	Licensed Representative	1, 6
GAO Peng	BRW834	Licensed Representative	4, 9
GAO Xiang	BIC191	Licensed Representative	1, 4
GAO Yichen	BRW624	Licensed Representative	2
GAO Yifan	BRY208	Licensed Representative	1, 4
GAO Zhenyi	BRR579	Licensed Representative	4
GEE Chung Won	BRT062	Licensed Representative	1, 2
GOH Julynn Kailin	BRV226	Licensed Representative	1, 6
GONG Kunkun	BRX736	Licensed Representative	4
GU Han	BRW747	Licensed Representative	1
GU Kaiqi	BOU750	Licensed Representative	1, 2, 4, 5
GUAN Yue	BRW466	Licensed Representative	1, 4, 6
GUNN James Campbell	BRV610	Licensed Representative	9
GUO Lin	BNB213	Licensed Representative	1
GUO Ran	BRW326	Licensed Representative	4, 9
GUO Shaohua	BRW216	Licensed Representative	10
GUO Ting	BRV805	Licensed Representative	9
GUO Xiaokai	BRW413	Licensed Representative	1, 4, 9
GUO Yang	BMS606	Licensed Representative	4
GUPTA Gaurav	BRX344	Licensed Representative	1
GUPTA Manish	BRT375	Licensed Representative	9
GURNANI Dinesh	BCO755	Licensed Representative	1, 2
HA Cheuk Wah, Michael	ABZ431	Licensed Representative	1, 4
HAN Chengwei	BHC509	Licensed Representative	9
HAN Ling	BRV755	Licensed Representative	1, 4
HAO Andrew	AQE517	Licensed Representative	4, 9
HAO Bohan	BRQ466	Licensed Representative	1, 4, 9
HAO Xinzhu	BRQ559	Licensed Representative	1, 4, 9
HARPER Matthew Robert	BOG771	Licensed Representative	9
HASE Takeaki	BII442	Licensed Representative	1, 2
HATAKEYAMA Susumu	BRX066	Licensed Representative	10
HE Jiantu	BRU622	Licensed Representative	1, 2
HE Lei	BRZ216	Licensed Representative	1, 6
HE Tang	BRS659	Licensed Representative	6
HE Xinwei	BRR770	Licensed Representative	4, 9
HE Zhaoqiang	BAQ188	Licensed Representative	6
HEUNG Ching Ting Vivian	ASO625	Licensed Representative	1
HO Chin Wah	BRX362	Licensed Representative	1, 2, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
HO Duncan	BBZ848	Licensed Representative	1, 6
HO Jonathan Godfrey	BRU625	Licensed Representative	1, 4
HO Ka Yan	BRV477	Licensed Representative	4
HO Marcus Jian Yung	BID036	Licensed Representative	1, 2, 7
HO Wai Fun	AES372	Licensed Representative	1, 2, 4, 5, 9
HONG En	BIZ598	Licensed Representative	9
HONG Sok Yong	BRX313	Licensed Representative	10
HONG Tiandu	BGA351	Licensed Representative	4
HU Jiarong	BRX899	Licensed Representative	1, 6
HU Ping	BRW327	Licensed Representative	4, 9
HU Tao	BRY478	Licensed Representative	1, 6
HUANG Jingwen	BRX061	Licensed Representative	1, 4
HUANG Lih-yueh	BRV652	Licensed Representative	1
HUANG Ruozuo	BRT644	Licensed Representative	1, 2
HUANG Wenyi	BIC123	Licensed Representative	1, 4
HUANG Yuezhou	BRW033	Licensed Representative	10
HUANG Zijun	BRU714	Licensed Representative	1
HUBERT Pierre-emmanuel	BRV742	Licensed Representative	9
HUI Chun Ying	BRE768	Licensed Representative	4, 9
HUI Ka Yan, Cynthia	BRW069	Licensed Representative	6
IP Po Wun	BEC783	Licensed Representative	1, 4, 6
IP Tsz Man Mandy	BRV886	Licensed Representative	1, 4
JANG Tsz Yeung	BRV958	Licensed Representative	1
JANSON Valentin Stephane Bernard	BRU731	Licensed Representative	1, 2
JIANG Anqi	BRU802	Licensed Representative	1, 2, 7
JIANG Chao	BRV006	Licensed Representative	1, 6
JIANG Longlong	AYI259	Licensed Representative	1
JIANG Minghao	BRW612	Licensed Representative	1, 4
JIANG Sheng	BRR138	Licensed Representative	1, 4
JIANG Wenbin	BRV323	Licensed Representative	1, 6
JIANG Xingyuan	BRX785	Licensed Representative	6
JIANG Yuetian	BRU630	Licensed Representative	6
JIAO Jian	BRS229	Licensed Representative	1, 2
JIN Anning	BRW644	Licensed Representative	1, 6
JIN Moon-Yub	BRT406	Licensed Representative	1, 6
JOERGENSEN Victor	BRX567	Licensed Representative	4, 9
KANG Le	BRW215	Licensed Representative	1, 6
KANG Taeho	BRW673	Licensed Representative	1, 4
KANG Yiliu	BRW640	Licensed Representative	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
KIM Jungsun	BRS723	Licensed Representative	4, 6, 9
KIM Min Jae	BRW615	Licensed Representative	1, 6
KIM Na Jung	BRY197	Licensed Representative	1, 2
KIM Yoojin	BRW178	Licensed Representative	9
KIM Youngeun	BRT455	Licensed Representative	1, 2
KIT Wing Fung	BRT248	Licensed Representative	1, 4, 5, 9
KO Sui Ho	BRX046	Licensed Representative	1, 2
KONG Chak Yau	BRV378	Licensed Representative	4
KONG Shaofeng	BRW506	Licensed Representative	1, 4, 6
KONG Shuk Fong	BRW768	Licensed Representative	1, 4, 9
KONG Xiangpei	BRR954	Licensed Representative	1, 2
KOO Sung Mo	BRV609	Licensed Representative	1, 2
KOO Wai Sum	BRS099	Licensed Representative	9
KOON Fiona Mei Lin	ACW516	Licensed Representative	4, 9
KULUPPUARACHCHI Savith Viduranga	AYM695	Licensed Representative	1
KUNG Chun Wah	BRY438	Licensed Representative	4
KUSUNOKI Hidenori	BNR348	Licensed Representative	9
KWAN Ho Yan	BFW321	Licensed Representative	1, 2, 4
KWAN Kit Yan, Anderson	AUX006	Licensed Representative	1, 4
KWAN Man Hon Herman	BRX773	Licensed Representative	1, 2, 4, 9
KWAN Shuk Yee	AOS686	Licensed Representative	1
KWAN Yuen Ming	BRX975	Licensed Representative	1, 4, 9
KWOK Fung Yee	BRY357	Licensed Representative	1, 9
KWOK Man Chun, Felix	AWS974	Licensed Representative	1, 4, 9
KWOK Tung Sum Thomas	BRY940	Licensed Representative	4, 9
KWOK Yin Ching	ACW690	Licensed Representative	1, 4, 9
KWONG Chin Fung	AWK998	Licensed Representative	1, 2, 4
KWONG Tak Kim Eddy	BFC771	Licensed Representative	1, 4
KWONG Tat Tak, Teddy	BBM575	Licensed Representative	1, 4, 9
KWONG Wing Man	AFC448	Licensed Representative	1, 4
LAI Ching Yin	BRY642	Licensed Representative	1
LAI Hiu Laam Justin	BRS678	Licensed Representative	1, 4
LAI Ka Ying	BRX833	Licensed Representative	1
LAI Mo Ying	AGL460	Licensed Representative	1, 4
LAI Shenhua	BNW200	Licensed Representative	1, 4
LAI Voon San	ACQ503	Licensed Representative	1, 9
LAM Chui Fei	BRW509	Licensed Representative	1, 4, 9
LAM Kwan Yau	BFY123	Licensed Representative	1, 6
LAM Shun Hei, Samantha	BRV231	Licensed Representative	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAM Tsz Man	BRW090	Licensed Representative	1, 2
LAM Wing Fung	BPU256	Licensed Representative	4, 9
LAM Yuen Yau	BRX351	Licensed Representative	1, 4
LAM Yuk Shan	BRX267	Licensed Representative	1, 2
LAU Chun Sing	AMF586	Licensed Representative	1, 4, 9
LAU Kei Yin	BRW479	Licensed Representative	1, 4, 9
LAU Kwan Hing	BDD696	Licensed Representative	4, 9
LAU Ting Fang Tiffany	BRV223	Licensed Representative	1, 6
LAU Vivian Wai Man	BRW598	Licensed Representative	1, 4, 9
LAU Wai Man	BRX401	Licensed Representative	1
LE Fai Ting	BRW992	Licensed Representative	1, 4
LEE Alexander Partick	AXC695	Licensed Representative	1, 4
LEE Han Ju	BRS952	Licensed Representative	1, 6
LEE Hiu Lam	BRU907	Licensed Representative	1, 4, 5, 9
LEE Hyun Ho	BRS592	Licensed Representative	1, 4
LEE JE CHUNG	BRV497	Licensed Representative	1, 4, 9
LEE Kang Yun	BRV303	Licensed Representative	2
LEE Kin Hang	BRW006	Licensed Representative	1, 6
LEE Lok Ching	BKW666	Licensed Representative	1
LEE Long Ting Ronald	BRY553	Licensed Representative	1, 6
LEE Ming Sum Mason	BLI900	Licensed Representative	1, 4, 9
LEE Ngo Chim	BRX832	Licensed Representative	1
LEE Siu Cheuk Ryan	BQM416	Licensed Representative	1, 4, 9
LEE Soryo	AYS244	Licensed Representative	1, 4
LEE Wai Yu	BRW415	Licensed Representative	9
LEE WING LAM	BRW012	Licensed Representative	1, 2, 4, 5
LEUNG Chik Yin, Jeremy	AVX367	Licensed Representative	1, 4
LEUNG HIU YEE	BRV489	Licensed Representative	1, 4
LEUNG Ngai Yiu	BRW778	Licensed Representative	1, 4
LEUNG Tsz Ying Percy	BRV458	Licensed Representative	4
LEUNG Wing Hang	BRU664	Licensed Representative	4, 9
LEUNG Yan Ting	BRR591	Licensed Representative	4, 9
LEUNG Yu Tung	BRY476	Licensed Representative	1
LEUNG Yun Tim	BRY795	Licensed Representative	1
LI Chenying	BRW257	Licensed Representative	1, 4, 6
LI Chun Kin	BOT703	Licensed Representative	1
LI Cong	BRW518	Licensed Representative	4
LI Ho Yin	BAE416	Licensed Representative	4
LI Hoi Kan	BRX520	Licensed Representative	6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Huanji	BRV433	Licensed Representative	1, 6
LI Jiali	BRV091	Licensed Representative	1, 4, 6
LI Jifei	BRV957	Licensed Representative	1, 6
LI Kai Yi	BRV950	Licensed Representative	1, 6
LI Kwok Kan	BRW217	Licensed Representative	1, 4
LI Linlin	BOW562	Licensed Representative	1, 2
LI Longxin	BRS557	Licensed Representative	9
LI Man Yi Kathy	BRW751	Licensed Representative	1, 2
LI Ming	BRX032	Licensed Representative	1
LI Mo Wa	BRU775	Licensed Representative	1, 4, 9
LI Muyao	BRW082	Licensed Representative	1, 2
LI Peijia	BEC796	Licensed Representative	9
LI Peter Yunhe	BRV794	Licensed Representative	9
LI Qi	BRW203	Licensed Representative	1, 6
LI Qiaochu	BRW400	Licensed Representative	1, 4
LI Sai Ming	BRT535	Licensed Representative	1, 4, 9
LI Shengnan	BRW111	Licensed Representative	1, 4, 9
LI Shuyan	BRX783	Licensed Representative	10
LI Su Cheong	BRV465	Licensed Representative	1
LI Tsam Hong	BRX388	Licensed Representative	1, 4
LI Tsz Ching	BRV626	Licensed Representative	1
LI Tsz Ying	BRY477	Licensed Representative	1, 4
LI Xinyi	BRU941	Licensed Representative	1
LI Xitao	BRV551	Licensed Representative	1, 4, 6
LI Yan	BRO855	Licensed Representative	1, 6
LI Yanmei	BRV230	Licensed Representative	1, 4, 6
LI Ying Wai	BLM060	Licensed Representative	1
LI Yizhong	BRV614	Licensed Representative	1, 4, 6
LI Yuhan	BRW156	Licensed Representative	6
LI Yulong	BRU839	Licensed Representative	1, 6
LI Zhenting	BRW145	Licensed Representative	1, 6
LI Ziyu	BRV743	Licensed Representative	4
LIANG Fangyuan	BRW520	Licensed Representative	1, 6
LIANG Tao	BRV621	Licensed Representative	6
LIANG Xiaotong	BPV686	Licensed Representative	1, 2, 4, 9
LIM Chun De Samuel	BRU930	Licensed Representative	1, 2
LIM Jann Jiu Jason	BRW218	Licensed Representative	1, 4
LIM Say Keat	BRW222	Licensed Representative	1, 4, 6
LIM Xixiang David	BRW252	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIN Long	BIC892	Licensed Representative	1, 4
LIN Shang-Chen	BRW481	Licensed Representative	1, 4
LIN Xinye	BRV942	Licensed Representative	1, 4
LING Yuen Yee	BRW035	Licensed Representative	10
LIU Beite	BRV876	Licensed Representative	1, 4, 9
LIU Bofeng	BRT129	Licensed Representative	9
LIU Chang	BRX924	Licensed Representative	1, 2
LIU Fangzhou	BRZ410	Licensed Representative	1
LIU Ge	AXK573	Licensed Representative	4, 5, 9
LIU Huaiyi	BRT238	Licensed Representative	4
LIU Ka Tat	BMX425	Licensed Representative	1, 4
LIU Lei	BRS807	Licensed Representative	1, 6
LIU Lirui	BRV667	Licensed Representative	1, 6
LIU Pui Sun Tiffany	BRV294	Licensed Representative	1, 4, 9
LIU Shi	BRW584	Licensed Representative	1, 6
LIU Wei	BRV989	Licensed Representative	1
LIU Xingzhi	BRW744	Licensed Representative	1, 4, 9
LIU Xuanbei	BRV848	Licensed Representative	6
LIU Yanfei	BRW740	Licensed Representative	4
LIU Yitao	BRW666	Licensed Representative	1, 4
LIU Yunqing	BRW899	Licensed Representative	1, 4
LO Kwun Kit	BRT116	Licensed Representative	9
LOH Nicholas	BRV057	Licensed Representative	9
LOK Wing Sum	AMH581	Licensed Representative	1, 2
LONG James H	BRV944	Licensed Representative	9
LOU Peimeng	BRW420	Licensed Representative	1, 4, 6
LU Cindy Xingyi	BRW897	Licensed Representative	1, 4, 9
LU Ping	BLY772	Licensed Representative	1, 2, 4
LU Shaojun	BQP716	Licensed Representative	9
LU Yapeng	AUP546	Licensed Representative	1, 4, 9
LU Zitian	BRW743	Licensed Representative	1, 6
LUHAR Neha Akshay	BRV881	Licensed Representative	1, 2
LUI Chun Lung	BRW611	Licensed Representative	1, 4, 5, 9
LUI Wai Ching	BMO772	Licensed Representative	1, 4
LUNG Chi Hang	BRV390	Licensed Representative	9
LUO Haojie	BRX501	Licensed Representative	1
LUO Jianying	BRV216	Licensed Representative	1, 2
LUO Ruixiang	BRV972	Licensed Representative	1, 2
LUO Xi	BAE895	Licensed Representative	4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
LUO Yuanyuan	BRW275	Licensed Representative	1, 4
LV Yirui	BRU633	Licensed Representative	4
LYU Suyang	BRW936	Licensed Representative	4
MA Ming Hei	BRW412	Licensed Representative	1, 2, 4, 5
MA Ruohong	BRW096	Licensed Representative	4, 9
MA Tin Lok	BFL423	Licensed Representative	1, 4
MA Xiyuan	BIU614	Licensed Representative	1, 2, 4
MACLEOD Andrew Ian	BRW931	Licensed Representative	1, 9
MAK Ka Leung	BRV978	Licensed Representative	1
MAK Ka Ying	BRY472	Licensed Representative	1
MAK Raymond	AMF438	Licensed Representative	4, 9
MAN Siu Ting	BRS578	Licensed Representative	1
MAN Yiu Man, Edmond	BRW328	Licensed Representative	1, 4
MEHRA Karan	BRV761	Licensed Representative	2, 5
MIN Jie	BQO176	Licensed Representative	4, 9
MIRPURI Joseph Gul	AFP510	Licensed Representative	9
MUELLER Michael	BRY209	Licensed Representative	1, 6
MULCAHY Jack Patrick	BRT128	Licensed Representative	1
MURANO Shunya	BRV581	Licensed Representative	1, 2
NG Alan Zheng Shen	BRV707	Licensed Representative	4, 9
NG Cheuk Yin Joshua	BRW991	Licensed Representative	1, 2, 9
NG Faye Xiaoying	BRW126	Licensed Representative	1, 4
NG HIU KWAN NATALIE	BRW240	Licensed Representative	1, 4
NG Tsz Lung	BRY129	Licensed Representative	10
NG Yam Eng Emily	BRW770	Licensed Representative	1
NGAI Chin Chiu	BRX393	Licensed Representative	1, 2, 4
NGAI Tin Wai	AXB871	Licensed Representative	1, 2
NGAI Yung	BRV269	Licensed Representative	1, 4
NGAN Yi Shing	BRU947	Licensed Representative	4, 9
NI Jiawei	BRY479	Licensed Representative	1, 6
NI Xuedan	BRX306	Licensed Representative	1, 4, 6
NIE Hanfei	BRW458	Licensed Representative	1, 4, 6
NITHIANANTHAM Prashanth Shankar	BRW020	Licensed Representative	1
NIU Zhixiang	BKF244	Licensed Representative	4, 9
NOH Hoseong	BRW256	Licensed Representative	2, 5
ODA Daichi	BRV726	Licensed Representative	9
O'NEILL Stuart James	BRW537	Licensed Representative	4, 5, 9
ONG Xiu Ru	BRU743	Licensed Representative	10
OR Yi Shan	BRT579	Licensed Representative	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
ORION JEREMY	BRU808	Licensed Representative	1, 4, 9
OU Wangjie	BRR987	Licensed Representative	4
OUYANG Jiayi	BRX873	Licensed Representative	1, 4, 9
PAK Wai Ling	BRW872	Licensed Representative	1
PAN Xingguo Eric	BRV103	Licensed Representative	4, 9
PAN Yuanji	BCU992	Licensed Representative	4, 9
PANDA Angkit	ARG689	Licensed Representative	9
PANG Jian Ying	BRV611	Licensed Representative	1, 4, 5, 9
PANG Xiaoming	BRW589	Licensed Representative	1, 4, 9
PENG Haochen	BRY518	Licensed Representative	1, 4, 6
PINGALI Sivamsa	BRW246	Licensed Representative	4, 9
PODDA Guillaume Louis	BRX260	Licensed Representative	1, 2
POON Alfred Tin Ming	BRR698	Licensed Representative	1, 4
POON Cheuk Hei	BRV762	Licensed Representative	1, 4, 9
POON Hui Lai	BRV441	Licensed Representative	1, 2
POON Tak Mei	BRZ009	Licensed Representative	1
POULIOT Stefan Andre	BEU006	Licensed Representative	4, 9
PUN Hei Wai	AYJ115	Licensed Representative	1, 4
QIU Wei	BMX296	Licensed Representative	1, 4, 6
QU Ye	BRW095	Licensed Representative	1, 6
REN Siqi	BRV509	Licensed Representative	9
RILWAN Naveed Ahamed	BRX389	Licensed Representative	1, 4, 9
ROBINSON David Stuart	BFZ351	Licensed Representative	1, 2, 4
RONG Yanping	BNF486	Licensed Representative	6
RUAN Cheng	BRW510	Licensed Representative	1, 4, 6
SANJO Tsuyoshi	BRX138	Licensed Representative	1
SAREEN Gautam	ASF060	Licensed Representative	1, 4, 9
SHE Pat Hong	BRW769	Licensed Representative	1, 4
SHEN Fu-Hsuan	BRV593	Licensed Representative	1
SHEUNG Yuen Ting	BRV362	Licensed Representative	1
SHI Haochen	BRX741	Licensed Representative	1, 2
SHI Luming	BRV858	Licensed Representative	1, 6
SHI Wenjia	BMG353	Licensed Representative	6
SHI Yue	BRU844	Licensed Representative	1, 6
SHI Yue	BRX317	Licensed Representative	1, 4, 6
SHIPPERLEE James Alan	BHV431	Licensed Representative	1
SHIU Angela Ka Kei	BQQ984	Licensed Representative	1
SHUM King Tung	BRV553	Licensed Representative	1, 4, 9
SIAH Qi Yao	BRX392	Licensed Representative	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
SIEWERT Patrick Thomas	BRX122	Licensed Representative	1, 4
SIN Chun Wai	BRW366	Licensed Representative	1, 4
SIN Pak Hei	BRX319	Licensed Representative	1, 4
SIT King Ching	BRX938	Licensed Representative	1
SIT Stephanie	BRW419	Licensed Representative	1, 4, 9
SIU Kevin	BRZ717	Licensed Representative	1, 4
SO Kin Wan	BRX215	Licensed Representative	1, 2
SO Yau Mei	BRX482	Licensed Representative	1
SONG Yuming	BRX939	Licensed Representative	4, 9
SPRATT Stephen Kevin	BRX579	Licensed Representative	1, 2, 4
STEPHEN -	BJI247	Licensed Representative	9
SU Shanshan	BRW602	Licensed Representative	1, 2
SU Shi Chun	BRX120	Licensed Representative	9
SU Wai	BGH339	Licensed Representative	4
SU Zhiqiao	BRW761	Licensed Representative	4, 9
SUN Austen	BRU866	Licensed Representative	9
SUN Lu	BRV757	Licensed Representative	1, 2, 4
SUN Ting	BRX458	Licensed Representative	1, 6
SZE Ven	BRU769	Licensed Representative	1
SZETO Pui Tong	BLR492	Licensed Representative	1, 2, 4, 5, 9
TAKARINA The	BRW336	Licensed Representative	1
TAN He	BRW409	Licensed Representative	1, 6
TAN Kuan Wei Aaron	BRW654	Licensed Representative	1, 4, 6
TAN Xingzi	BRS418	Licensed Representative	4
TAN Yi Hao	BRX322	Licensed Representative	4
TANG Chi Kwan	ARS666	Licensed Representative	1, 4
TANG Chien Wen	BRX390	Licensed Representative	1, 4
TANG Kwan Ho	BRW932	Licensed Representative	1, 4, 6
TANG Kwok Ho	BRW048	Licensed Representative	1, 4
TANG Man Kwan Camay	BRW205	Licensed Representative	1, 4
TANG Ming Chung	BRT648	Licensed Representative	1
TANG Misi	AXD690	Licensed Representative	6
TANG Pak Kee	AVD968	Licensed Representative	1, 4, 9
TANG Sihai	BRS801	Licensed Representative	1, 4, 6
TANG Yingda	BRV887	Licensed Representative	1, 4, 6
TANG Yingying	BFE794	Licensed Representative	9
TAO Zemin	BRW742	Licensed Representative	1, 6
TAY Alvan Wei De	BRW507	Licensed Representative	1, 4, 6
TEO Ming Huai	BRW613	Licensed Representative	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
THOR Lian Ai	AOV963	Licensed Representative	1, 4
TIAN Mingyong	BRY546	Licensed Representative	9
TIAN Yu	BRT593	Licensed Representative	1, 4, 9
TIN Hiu Ping	BRX064	Licensed Representative	1
TO Yuen Ting	BIS544	Licensed Representative	4, 9
TO Yuen Ting	BRW149	Licensed Representative	1
TONG Yufeng	BRW258	Licensed Representative	4
TSANG Keith Chun Kwan	BRX517	Licensed Representative	1, 4
TSANG Theodorick Yerk Bun	BRX336	Licensed Representative	4, 9
TSANG Tsz Ki	BRX834	Licensed Representative	4, 9
TSAO Yam Man	BRW213	Licensed Representative	1
TSE Chin Wei	BRW777	Licensed Representative	1, 4, 6
TSE Man Nga	BNW855	Licensed Representative	1, 4
TSIEN Hanlin	BGW602	Licensed Representative	9
TSO Hoi Lam Alison	BRX835	Licensed Representative	1, 6
TSOI Man Kwan	BRY101	Licensed Representative	1, 4
VERGHESE Tarun Chandy	BRV821	Licensed Representative	1, 2
WAH Wang Ming, Johnny	ABB624	Licensed Representative	1, 2
WAN Pui Shan	BRW866	Licensed Representative	1, 4
WANG Bojin	BRV123	Licensed Representative	4
WANG Chuan	BRL041	Licensed Representative	9
WANG Chuqiao	BRV810	Licensed Representative	1, 6
WANG Edmund	BRX124	Licensed Representative	9
WANG Jing	BRT505	Licensed Representative	9
WANG Junbo	BRV830	Licensed Representative	1, 4, 9
WANG Lixian	BBM228	Licensed Representative	1, 4
WANG Miao	BRS454	Licensed Representative	6
WANG Naixi	BRU955	Licensed Representative	1, 4
WANG Qi	BAK287	Licensed Representative	4, 9
WANG Rui	BFZ379	Licensed Representative	4
WANG Shu Matthew	BRV506	Licensed Representative	1, 2, 4, 5
WANG SHUN	BRU870	Licensed Representative	9
WANG Tianzi	BRX823	Licensed Representative	1, 4, 9
WANG Wendi	BRW180	Licensed Representative	1, 6
WANG Will Way	BLH658	Licensed Representative	1, 4
WANG Xing Da	BPF994	Licensed Representative	1
WANG Xiong	BRV434	Licensed Representative	1, 6
WANG Xu	BRX894	Licensed Representative	1, 6
WANG Xuekun	BRV954	Licensed Representative	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Yajing	BRW005	Licensed Representative	1, 6
WANG Yanze	BRU906	Licensed Representative	1, 4, 6
WANG Yawen	BRT373	Licensed Representative	4
WANG Yi	BRV960	Licensed Representative	1, 2, 4
WANG Yi	BRW361	Licensed Representative	1, 2, 9
WANG Yinuo	BRQ634	Licensed Representative	6
WANG Yinuo	BRT469	Licensed Representative	9
WANG Yiqin	BRV754	Licensed Representative	1, 4, 5, 9
WANG Yiyi	BRX750	Licensed Representative	4
WANG Yu	BRX824	Licensed Representative	9
WANG Yue	BRW915	Licensed Representative	1, 2
WANG Zhaohong	BRW741	Licensed Representative	1, 6
WEI Chong	AYS671	Licensed Representative	4, 9
WEI Dick	AFU285	Licensed Representative	4
WEI Jianing	BRW388	Licensed Representative	1, 6
WING Brandan	BRV461	Licensed Representative	1, 4
WONG Yiu Wai, Antainy	BRW047	Licensed Representative	1, 2, 4, 9
WONG Choi Sin	BRW940	Licensed Representative	1, 4
WONG Chun	BNP057	Licensed Representative	4, 9
WONG Chun Hin	BRW244	Licensed Representative	1, 2
WONG Chun Kiu	BRX333	Licensed Representative	1, 4
WONG Chun Wang	BRZ476	Licensed Representative	1, 6
WONG Gary Wai Hung	ABM237	Licensed Representative	4, 9
WONG Hin Lai	BRT364	Licensed Representative	1, 4
WONG Ho Kan	BRZ083	Licensed Representative	1, 2
WONG Hok Yiu Horace	BRW681	Licensed Representative	1, 4
WONG Ka Hei	BRX219	Licensed Representative	1
WONG Kai Siu, Kelvin	BFX834	Licensed Representative	4, 9
WONG Koon Ying	BRW414	Licensed Representative	1
WONG Pak To	BRV749	Licensed Representative	1, 4, 6
WONG Siofong	BRX599	Licensed Representative	4
WONG Sung Tak	BGE769	Licensed Representative	1, 2
WONG Tat Kwong	ACU059	Licensed Representative	1
WONG Tin Yu	AFS830	Licensed Representative	1, 2, 4
WONG Tsun Shing	BRX972	Licensed Representative	1, 2
WONG Tsz Wa	BRV322	Licensed Representative	1, 4, 6
WONG Wai Man, June	ACS213	Licensed Representative	1, 4, 9
WONG Wing To, Alex	ANH516	Licensed Representative	6
WONG Yip Kai	BRT471	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Yuen Ngok	BKL968	Licensed Representative	1
WOO Chui Man, Ida	BLR052	Licensed Representative	1, 4
WOO Sau Wai	BPF017	Licensed Representative	1, 2
WU Chih Yun	BRX347	Licensed Representative	9
WU Dongxue	BRW474	Licensed Representative	2, 5
WU Erica	BRU969	Licensed Representative	1, 4
WU Ke	BRV529	Licensed Representative	4, 9
WU Kunqi	BRT139	Licensed Representative	4
WU Man Kit	BRZ470	Licensed Representative	1, 4
WU Shuzhen	BRV860	Licensed Representative	1, 6
WU Yan Yee	BHC888	Licensed Representative	9
WU Yanan	BHU997	Licensed Representative	1, 4, 6
WU Ying	BRX432	Licensed Representative	1, 2
WU Yuanyi	BRX088	Licensed Representative	4, 9
WU Yunfei	BRX199	Licensed Representative	4
XIA Yipeng	BRW416	Licensed Representative	1, 6
XIAO Lin	BRV744	Licensed Representative	1, 6
XIAO Minling	BRV675	Licensed Representative	6
XIAO Yingjie	BRV613	Licensed Representative	1, 2
XIE Dan	BRV127	Licensed Representative	1, 4, 9
XIE Xiaoping	BPM129	Licensed Representative	1, 9
XIE Yao	BRU757	Licensed Representative	4, 9
XIE Yeping	BRX758	Licensed Representative	1, 4
XIE Zizhu	BNV256	Licensed Representative	1, 6
XING Chang	AVD305	Licensed Representative	1
XU Liang	BRV338	Licensed Representative	4
XU Mu	BRW895	Licensed Representative	4, 9
XU Teng	BRW288	Licensed Representative	1, 6
XU Xiaohui	BBT118	Licensed Representative	1, 4, 6
XU Ying Nan	BRU905	Licensed Representative	9
XU Yunbang	BRW032	Licensed Representative	10
XU Zhaoji	BRU848	Licensed Representative	4
XU Zidong	BRS679	Licensed Representative	1, 4, 6
YADAV Lavish	BRV616	Licensed Representative	1, 2
YAM Man Leong	BRV775	Licensed Representative	1, 4
YAMAMOTO Takeshi	BRW038	Licensed Representative	1
YAN Shucai	BRW078	Licensed Representative	1, 4
YAN Xi	BRR931	Licensed Representative	1, 4, 6
YANG Bailing	BRW524	Licensed Representative	1, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
YANG He	BRZ240	Licensed Representative	1, 6
YANG Jun	BRY608	Licensed Representative	4, 9
YANG Ling	BRX752	Licensed Representative	1, 4
YANG Ru Wei Francis	BRY555	Licensed Representative	6
YANG Ying	BRW391	Licensed Representative	1
YANG Yutong	BIX047	Licensed Representative	1, 2, 4
YANG Zhe	BRW754	Licensed Representative	4, 9
YANG Zhuohan	AOG230	Licensed Representative	9
YAO Wei	BJV305	Licensed Representative	4, 5
YAO Zesen	BRY638	Licensed Representative	1, 6
YAU Yat Wing Evan	BRY504	Licensed Representative	1
YE Dan	BOZ788	Licensed Representative	1, 2
YE Yukuan	BRU785	Licensed Representative	1, 6
YEO Jingyang Ron	BR5738	Licensed Representative	1, 4, 9
YEUNG CHUN NING JUDE	BRW533	Licensed Representative	1, 4
YEUNG Chun Yu	BGC808	Licensed Representative	1, 4
YEUNG Miu Kwan	BRT064	Licensed Representative	1, 4
YEUNG WAI SAN	BLI616	Licensed Representative	1, 4, 9
YEUNG Yu Ming	BCQ236	Licensed Representative	4, 9
YI Allan Wei Han	BGW577	Licensed Representative	1, 4
YI Rujia	BRX563	Licensed Representative	4
YIK Kam Hong	BRV857	Licensed Representative	1, 4
YIN Zi	BRV383	Licensed Representative	1, 2
YING Xiaofei	BRT483	Licensed Representative	9
YIP Hiu Yan	BRT239	Licensed Representative	1, 4
YIP Kai Yin, Adam	BRX009	Licensed Representative	1
YIP Siu Ming Derrick	BRT048	Licensed Representative	4, 9
YIP Wing Mau	BRX259	Licensed Representative	4, 9
YONG Hou Hin	BRI524	Licensed Representative	9
YU Chung Yin	BRX914	Licensed Representative	1
YU Fang	BNP252	Licensed Representative	1, 2, 4
YU Feng	BOF949	Licensed Representative	1, 4, 9
YU Hin Wah Lotus	BRT466	Licensed Representative	1, 2
YU Jing	BRV104	Licensed Representative	4, 9
YU Liang	BRY523	Licensed Representative	1, 4
YU Luxi	BRW617	Licensed Representative	1, 2
YU Menglin	BRV436	Licensed Representative	1, 4, 9
YU Shansong	BRV889	Licensed Representative	1, 4
YU Shuang	BRV680	Licensed Representative	1

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
YU Xiao	BRB999	Licensed Representative	1, 4
YUAN HUI	BRV859	Licensed Representative	1, 6
YUAN Shiji	BRQ792	Licensed Representative	1, 6
YUAN Tang Chun	BRW504	Licensed Representative	1
ZENG Cheng	BRX900	Licensed Representative	1, 6
ZENG Qingfeng	BRO451	Licensed Representative	4
ZHAN Xinyang	BOM762	Licensed Representative	1
ZHANG Bolun	BRW750	Licensed Representative	1, 6
ZHANG Chongren	BRX538	Licensed Representative	1, 4
ZHANG Haitao	BRS733	Licensed Representative	4
ZHANG Hanyu	BBR102	Licensed Representative	4
ZHANG Jin	BRX214	Licensed Representative	1, 2
ZHANG Luyao	BRW055	Licensed Representative	1, 6
ZHANG Menghan	AYQ805	Licensed Representative	4, 9
ZHANG Mengyu	BRZ348	Licensed Representative	1, 4
ZHANG Qian	BRW230	Licensed Representative	1, 6
ZHANG Qin	BRX031	Licensed Representative	1
ZHANG Shibo	BRX255	Licensed Representative	1, 2, 9
ZHANG Wen	BRW237	Licensed Representative	1, 6
ZHANG Xiqing	BRT361	Licensed Representative	1, 6
ZHANG Xu	BRW763	Licensed Representative	1
ZHANG Yang	BRV016	Licensed Representative	1, 4, 6
ZHANG YI	BRX591	Licensed Representative	1, 4
ZHANG YI	BRY790	Licensed Representative	1, 6
ZHANG Ying	BRX281	Licensed Representative	4
ZHANG Yu	BRV965	Licensed Representative	1, 6
ZHANG Yuandi	BRV462	Licensed Representative	4
ZHANG Yunduan	BRW779	Licensed Representative	1, 2, 4, 5
ZHANG Yusheng	BRW402	Licensed Representative	1, 6
ZHANG Zheng	BLA617	Licensed Representative	9
ZHAO Honghao	BRY293	Licensed Representative	1, 6
ZHAO kaiwen	BRY440	Licensed Representative	1, 2
ZHAO Ting	BRQ560	Licensed Representative	1, 4, 9
ZHAO Xuan	BRX573	Licensed Representative	4, 9
ZHAO Ziqi	BRX252	Licensed Representative	6
ZHENG Bowen	BRY520	Licensed Representative	9
ZHENG Edwin	BRR991	Licensed Representative	2
ZHENG Jia	BRV885	Licensed Representative	1
ZHENG Wan Rong	BRT244	Licensed Representative	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHENG Xiaolu	BRX024	Licensed Representative	4
ZHENG Yilin	BRU730	Licensed Representative	1, 4
ZHENG Yunfei	BRV379	Licensed Representative	1, 4
ZHONG Jiali	BRW695	Licensed Representative	1, 4
ZHOU BEICHEN	BRW251	Licensed Representative	1, 6
ZHOU Hang	BRP267	Licensed Representative	1, 4
ZHOU Huan	BLL165	Licensed Representative	9
ZHOU James	BRW767	Licensed Representative	1, 6
ZHOU Peng	BRY564	Licensed Representative	1, 6
ZHOU Qiao Ping	BRR643	Licensed Representative	1
ZHOU Yibo	BRX180	Licensed Representative	4
ZHU Xinyuan	BDF469	Licensed Representative	4, 9
ZHU Yiqian	BRX125	Licensed Representative	1, 4, 6
ZHU Yujia	BRX935	Licensed Representative	1, 4, 9
ZHU Yumeng	BOT548	Licensed Representative	4, 9
ZHU Zhishuai	BRY051	Licensed Representative	1, 6
ZHU Zijing	BRY467	Licensed Representative	1, 6
ZHUANG Yue	BRY052	Licensed Representative	4, 6, 9
ZOU Yuying	BRW877	Licensed Representative	4
ZUO Sa	BNV280	Licensed Representative	1, 6
CHAN Alex King Fai	BDY278	Licensed Representative - Responsible Officer	4, 9
CHANG Chechung	AVH886	Licensed Representative - Responsible Officer	4, 9
CHAU Ling Angel	BRH802	Licensed Representative - Responsible Officer	9
CHOU David Tse Young	AEU433	Licensed Representative - Responsible Officer	9
CHOWDHURY Arshad Gani	BRO055	Licensed Representative - Responsible Officer	9
FOK Siu Pong	AME216	Licensed Representative - Responsible Officer	2, 5
FORCIOLI Mathieu Jean	AYP973	Licensed Representative - Responsible Officer	4, 9
FUNG Ka Lok	AGN830	Licensed Representative - Responsible Officer	4, 9
GENG Hua	BPW013	Licensed Representative - Responsible Officer	4, 9
GORDON Stuart Noel	ALS546	Licensed Representative - Responsible Officer	1, 4
HOUNG Sui Lut, Richard	BOO566	Licensed Representative - Responsible Officer	1
HUANG Cindy	AVD113	Licensed Representative - Responsible Officer	4, 9
JIN Zi	BRK120	Licensed Representative - Responsible Officer	4, 9
KNOBLOCH Matthias Wolfgang	BRO052	Licensed Representative - Responsible Officer	9
LAW Mang Kwan	AON979	Licensed Representative - Responsible Officer	4, 9
LAW On Yee	AWQ303	Licensed Representative - Responsible Officer	1, 4
LEE Ka Man Kevin	AXI267	Licensed Representative - Responsible Officer	9
LEE Vizor	AWF319	Licensed Representative - Responsible Officer	9
LI Pui Yan	AVZ605	Licensed Representative - Responsible Officer	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIANG Ruichao	BNC396	Licensed Representative - Responsible Officer	1, 4, 9
LIN Peng	ACP158	Licensed Representative - Responsible Officer	1, 4, 9
LIU Lulu	BOU471	Licensed Representative - Responsible Officer	4, 9
LIU Xiushan	BEN693	Licensed Representative - Responsible Officer	9
LUK Ting Lung, Alan	AAV168	Licensed Representative - Responsible Officer	1, 4, 9
MOH Chuang Hue	ANX823	Licensed Representative - Responsible Officer	9
MOU Chung Sing Michael	ATI501	Licensed Representative - Responsible Officer	1, 4
NG Chi Wai	APH765	Licensed Representative - Responsible Officer	1, 4
NG Francis Chi Yin	AXX647	Licensed Representative - Responsible Officer	9
PARK Hong Cheol	BEX842	Licensed Representative - Responsible Officer	9
PING Ping	ARN421	Licensed Representative - Responsible Officer	4, 9
PING Wenjie	BOE560	Licensed Representative - Responsible Officer	4, 9
QIAO Jinsheng	BRQ732	Licensed Representative - Responsible Officer	1, 2, 4, 6, 9
QIU Zhong Wei	BER061	Licensed Representative - Responsible Officer	9
SHEN Di Fan	BRQ194	Licensed Representative - Responsible Officer	9
TAM Ka Hong	BIQ325	Licensed Representative - Responsible Officer	1, 4
TANG Chibo	BLF885	Licensed Representative - Responsible Officer	9
TSANG Kar Wai	BMX285	Licensed Representative - Responsible Officer	7
TUNG Wo Hon	BRH822	Licensed Representative - Responsible Officer	9
WANG Haifeng	BOI853	Licensed Representative - Responsible Officer	9
WANG Yang	BPV912	Licensed Representative - Responsible Officer	9
WU Xing	BPM061	Licensed Representative - Responsible Officer	9
XU Shengdong	BGR282	Licensed Representative - Responsible Officer	9
ZARIN Heath Brian	ANM356	Licensed Representative - Responsible Officer	9
ZHU Yinjun	BBI767	Licensed Representative - Responsible Officer	9
ATKINSON Peter Sean Dibley	BRF381	Licensed Representative (Provisional Licence)	1, 2
AU-YEUNG Yiu Tung	BKO401	Licensed Representative (Provisional Licence)	1, 2
BAI Ruoyun	BRY567	Licensed Representative (Provisional Licence)	1, 6
BRADY Mark Joseph	ARQ480	Licensed Representative (Provisional Licence)	4, 9
BRUCE Jessica Mary	BRZ842	Licensed Representative (Provisional Licence)	1
CAI Hongfeng	BRW933	Licensed Representative (Provisional Licence)	1, 4
CAI Shenzhi	BRY382	Licensed Representative (Provisional Licence)	4
CAI XI	BRX906	Licensed Representative (Provisional Licence)	1
CAI Yuxia	BSA005	Licensed Representative (Provisional Licence)	1, 6
CANG Tianyang	BAZ566	Licensed Representative (Provisional Licence)	1, 2, 4
CEDRINI Emmanuel Simon	BRX883	Licensed Representative (Provisional Licence)	1, 2
CHAI Nan	AWQ109	Licensed Representative (Provisional Licence)	1, 6
CHAN Chin Shing	BRZ530	Licensed Representative (Provisional Licence)	1, 6
CHAN Chui Ling	BRW609	Licensed Representative (Provisional Licence)	9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
Chan Kai Yin	BQR226	Licensed Representative (Provisional Licence)	1
CHAN Meow Khing Jeanna	AHK658	Licensed Representative (Provisional Licence)	1
CHAN Po	BRY473	Licensed Representative (Provisional Licence)	2
CHAN Suk Wai	AQZ710	Licensed Representative (Provisional Licence)	1, 2
CHAN Sum Ming	BRY443	Licensed Representative (Provisional Licence)	1, 2
CHAN Tsit Ham	BQV710	Licensed Representative (Provisional Licence)	1, 2
CHAN Wai Hin	BRX443	Licensed Representative (Provisional Licence)	1
CHAN Yu Kit, Jacky	ARO061	Licensed Representative (Provisional Licence)	1
CHAN Yuk Wah	BRZ082	Licensed Representative (Provisional Licence)	1
CHANG Chechung	AVH886	Licensed Representative (Provisional Licence)	4, 9
CHANG Hsun	AXF701	Licensed Representative (Provisional Licence)	4, 9
CHANG Matthew	BRX045	Licensed Representative (Provisional Licence)	1, 2
CHANG Michelle	BRX607	Licensed Representative (Provisional Licence)	1, 2
CHATTERJEE Jayanta	BRR614	Licensed Representative (Provisional Licence)	1, 4, 9
CHAU Hin On	BRY442	Licensed Representative (Provisional Licence)	1, 2
CHEN Chutian	BGI769	Licensed Representative (Provisional Licence)	1, 6
CHEN Gengxing	BQH311	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Jason Yeh-Pai	BRY639	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Jiahua	BRW674	Licensed Representative (Provisional Licence)	6
CHEN Lingzi	BRY664	Licensed Representative (Provisional Licence)	1, 6
CHEN Linjie	BSA334	Licensed Representative (Provisional Licence)	1, 4
CHEN Yen-fu	AYE805	Licensed Representative (Provisional Licence)	1, 4, 5, 9
CHEN Yewei	BQT196	Licensed Representative (Provisional Licence)	6
CHEN Yishi	BRY965	Licensed Representative (Provisional Licence)	4
CHEN Yiwu	BHL998	Licensed Representative (Provisional Licence)	4, 9
CHEN Yujin	BRZ085	Licensed Representative (Provisional Licence)	1, 4, 9
CHENG Hao Yu	BRY898	Licensed Representative (Provisional Licence)	1, 4
CHENG Ho Lam	BRZ543	Licensed Representative (Provisional Licence)	1, 2, 4
CHENG Ki Fung Kevin	BRY917	Licensed Representative (Provisional Licence)	1, 4, 9
CHENG Liqi	BRY907	Licensed Representative (Provisional Licence)	9
CHENG Man Man	AZW101	Licensed Representative (Provisional Licence)	1, 2, 3
CHENG Siwei	AZC805	Licensed Representative (Provisional Licence)	6
CHENG Wai Kit	BRP260	Licensed Representative (Provisional Licence)	1, 4, 9
CHENG Wai Man	ANK374	Licensed Representative (Provisional Licence)	9
CHEUNG Ka Him Jason	BRX179	Licensed Representative (Provisional Licence)	1, 7
CHEUNG Tsz Pan	BBQ514	Licensed Representative (Provisional Licence)	1
CHI King Tsz	BRZ123	Licensed Representative (Provisional Licence)	1, 4
CHIK Kwong Man	BRY431	Licensed Representative (Provisional Licence)	10
CHIU Ching	BRX917	Licensed Representative (Provisional Licence)	4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHOW Yin Wai, Yvonne	ALQ872	Licensed Representative (Provisional Licence)	4
CHOY Yee Wang	BRY453	Licensed Representative (Provisional Licence)	10
CHUNG Eva Ting Yan	AVH199	Licensed Representative (Provisional Licence)	1, 4
CUI Xun	BRZ985	Licensed Representative (Provisional Licence)	1, 6
CUI Yusheng	BRY379	Licensed Representative (Provisional Licence)	4
DING Xiaowen	AYV567	Licensed Representative (Provisional Licence)	1, 6
DU Rong	BRZ249	Licensed Representative (Provisional Licence)	1, 4, 9
DUAN Linheng	BRZ006	Licensed Representative (Provisional Licence)	1, 2, 4
DURANCEAU DESMARAIS Vincent	BRX619	Licensed Representative (Provisional Licence)	2
DURBAN Charles Etienne Marius	BRZ352	Licensed Representative (Provisional Licence)	1, 2
ECHARD Louis Germain Pierre Rene	BRE143	Licensed Representative (Provisional Licence)	9
EISERT Katherine Isabelle	BRY533	Licensed Representative (Provisional Licence)	4
FAN Bing	BRZ585	Licensed Representative (Provisional Licence)	1, 4, 9
FANG Luhang	BRZ551	Licensed Representative (Provisional Licence)	1, 4, 6
FANG Sheng	BRX410	Licensed Representative (Provisional Licence)	1, 4, 9
FENG Haofan	BRX350	Licensed Representative (Provisional Licence)	9
FENG Wei	BRV094	Licensed Representative (Provisional Licence)	1, 6
FENG Yuying	BRX399	Licensed Representative (Provisional Licence)	1
FONG Kok Pui	BRX718	Licensed Representative (Provisional Licence)	1, 2
FRICK Michael Franz	AWI822	Licensed Representative (Provisional Licence)	1, 6
GAO Jing	BRY832	Licensed Representative (Provisional Licence)	1, 6
GAO Siyuan	BRY530	Licensed Representative (Provisional Licence)	1, 2, 4
GAO Xiang	BSA174	Licensed Representative (Provisional Licence)	1, 6
GAO Ya Jun	BRX086	Licensed Representative (Provisional Licence)	1, 4, 6
GAO Yifan	BRY208	Licensed Representative (Provisional Licence)	1, 4
GAO Yuxiang	BOE909	Licensed Representative (Provisional Licence)	1, 4, 6
GOA Ang-shu	BRY737	Licensed Representative (Provisional Licence)	1, 2, 7
GONG Kunkun	BRX736	Licensed Representative (Provisional Licence)	4
GRAVE Yumi Natasha	BRY786	Licensed Representative (Provisional Licence)	1, 2
GU Xinchun	BRY540	Licensed Representative (Provisional Licence)	1, 4, 6
GUAN Qidong	BHC308	Licensed Representative (Provisional Licence)	1, 4, 9
GUO Can	BPE975	Licensed Representative (Provisional Licence)	1, 6
GUO Xiaokai	BRW413	Licensed Representative (Provisional Licence)	1, 4, 9
GUPTA Gaurav	BRX344	Licensed Representative (Provisional Licence)	1
GURNANI Dinesh	BCO755	Licensed Representative (Provisional Licence)	1, 2
HAO Yunhui	BRZ086	Licensed Representative (Provisional Licence)	1, 4
HE Yixuan Kevin	BSA266	Licensed Representative (Provisional Licence)	6
HE Zhaoqiang	BAQ188	Licensed Representative (Provisional Licence)	6
HE Zichun	BSA326	Licensed Representative (Provisional Licence)	1, 2, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
HEDDAD Moncef	BEC605	Licensed Representative (Provisional Licence)	9
HEUNG Man Chi Flora	BRZ091	Licensed Representative (Provisional Licence)	1
HO Cheuk Yan	BRZ252	Licensed Representative (Provisional Licence)	1, 4, 9
HO Chi Yan	APB565	Licensed Representative (Provisional Licence)	1, 2
HO Man Tat	ADG964	Licensed Representative (Provisional Licence)	1, 4
HONG Sok Yong	BRX313	Licensed Representative (Provisional Licence)	10
HOU Yiyun	BIU737	Licensed Representative (Provisional Licence)	1, 4
HU Jiarong	BRX899	Licensed Representative (Provisional Licence)	1, 6
HUANG Jingwen	BRX061	Licensed Representative (Provisional Licence)	1, 4
HUANG Mengyang	BRX454	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Shuyi	BRZ284	Licensed Representative (Provisional Licence)	1, 2, 4, 5
IP Chi Lui	BLA299	Licensed Representative (Provisional Licence)	1
ISHII HIBIKI	BRX875	Licensed Representative (Provisional Licence)	1, 9
JANG Yong	BRW637	Licensed Representative (Provisional Licence)	1, 2
Ji Qiang	BRY994	Licensed Representative (Provisional Licence)	1, 6
JIA Fan	BRW829	Licensed Representative (Provisional Licence)	4, 9
JIA Yifan	BRZ321	Licensed Representative (Provisional Licence)	1, 4, 6
JIANG Shuhang	BRX515	Licensed Representative (Provisional Licence)	4
JIANG Xiaozhou	BMM581	Licensed Representative (Provisional Licence)	9
JIANG Xingyuan	BRX785	Licensed Representative (Provisional Licence)	6
JIANG Yong	BSA136	Licensed Representative (Provisional Licence)	4
JIAO Jian	BRS229	Licensed Representative (Provisional Licence)	1, 2
JIM Chi Ting	AOY083	Licensed Representative (Provisional Licence)	9
JIN Chengshi	BRW941	Licensed Representative (Provisional Licence)	1, 4
JING Yun	AOI876	Licensed Representative (Provisional Licence)	1, 6
JOERGENSEN Victor	BRX567	Licensed Representative (Provisional Licence)	4, 9
KAUL SAMEER	BRU643	Licensed Representative (Provisional Licence)	1, 4
KECO Mario	BRY877	Licensed Representative (Provisional Licence)	4
KIM Minhee	BRX777	Licensed Representative (Provisional Licence)	10
KO Ho Fun	BRY992	Licensed Representative (Provisional Licence)	1, 2
KO Sui Ho	BRX046	Licensed Representative (Provisional Licence)	1, 2
KOK Lai Yin	BRY515	Licensed Representative (Provisional Licence)	1, 4
KUANG YUNFEI	BRZ072	Licensed Representative (Provisional Licence)	4, 9
KUNG Chun Wah	BRY438	Licensed Representative (Provisional Licence)	4
KUSUNOKI Hidenori	BNR348	Licensed Representative (Provisional Licence)	9
KWAN Ho Yan	BFW321	Licensed Representative (Provisional Licence)	1, 2, 4
KWAN Man Hon Herman	BRX773	Licensed Representative (Provisional Licence)	1, 2, 4, 9
KWAN Man Ting	BRZ005	Licensed Representative (Provisional Licence)	1
KWAN Tak Hei	BRY519	Licensed Representative (Provisional Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
KWAN Yuen Ming	BRX975	Licensed Representative (Provisional Licence)	1, 4, 9
KWOK Kin Chiu	BRX791	Licensed Representative (Provisional Licence)	1, 4
KWOK Man Chun, Felix	AWS974	Licensed Representative (Provisional Licence)	1, 4, 9
KWONG Tak Kim Eddy	BFC771	Licensed Representative (Provisional Licence)	1, 4
KWONG Tat Tak, Teddy	BBM575	Licensed Representative (Provisional Licence)	1, 4, 9
KWONG Zhi Ying	BRY807	Licensed Representative (Provisional Licence)	1
LAI Ka Ying	BRX833	Licensed Representative (Provisional Licence)	1
LAI Voon San	ACQ503	Licensed Representative (Provisional Licence)	1, 9
LAI Weng Si Vivian	BNU128	Licensed Representative (Provisional Licence)	1, 4, 9
LAM Chun Ho	AEX417	Licensed Representative (Provisional Licence)	1
LAM Idy Mei Po	BRZ034	Licensed Representative (Provisional Licence)	1
LAM Kwan Yau	BFY123	Licensed Representative (Provisional Licence)	1, 6
LAN Xinyi	BRX490	Licensed Representative (Provisional Licence)	6
LAU Hing Tat David	BLA481	Licensed Representative (Provisional Licence)	1, 2
LAU Ka Siu	BRY043	Licensed Representative (Provisional Licence)	1, 6
LAU Vivian Wai Man	BRW598	Licensed Representative (Provisional Licence)	1, 4, 9
LAU Yin Ting	BRY643	Licensed Representative (Provisional Licence)	9
LAW Hoi Ki	BRX725	Licensed Representative (Provisional Licence)	9
LEE Alexander Partick	AXC695	Licensed Representative (Provisional Licence)	1, 4
LEE Jae Seung	BRX732	Licensed Representative (Provisional Licence)	1, 4
LEE Ka Hei	BKQ236	Licensed Representative (Provisional Licence)	2
LEE Ka Man Kevin	AXI267	Licensed Representative (Provisional Licence)	9
LEE Ka Yan, Amy	BRY870	Licensed Representative (Provisional Licence)	1
LEE Kwok Wai	BAO433	Licensed Representative (Provisional Licence)	1, 4
LEE Lok Ching	BKW666	Licensed Representative (Provisional Licence)	1
LEE Long Ting Ronald	BRY553	Licensed Representative (Provisional Licence)	1, 6
LEE Ngo Chim	BRX832	Licensed Representative (Provisional Licence)	1
LEE Pui Ki, Peggy	ADR661	Licensed Representative (Provisional Licence)	1, 4
LEONG Sheng Yik	BRY525	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG Man Hong	BRA137	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG Tsz Sum	BRZ558	Licensed Representative (Provisional Licence)	1, 7
LEUNG Yu Tung	BRY476	Licensed Representative (Provisional Licence)	1
LEUNG Yun Tim	BRY795	Licensed Representative (Provisional Licence)	1
LI Ang	BRX982	Licensed Representative (Provisional Licence)	1, 4, 9
LI Chenying	BRW257	Licensed Representative (Provisional Licence)	1, 4, 6
LI Cheuk Hang	BRY896	Licensed Representative (Provisional Licence)	1, 4
LI Cong	BRW518	Licensed Representative (Provisional Licence)	4
LI Heqing	BMW777	Licensed Representative (Provisional Licence)	1, 4
LI Huimin	BRX731	Licensed Representative (Provisional Licence)	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Jia	BRX084	Licensed Representative (Provisional Licence)	1, 4, 6
LI Jiayi	BRV100	Licensed Representative (Provisional Licence)	4
LI Jing	BRZ797	Licensed Representative (Provisional Licence)	1, 6
LI Kwing	BRX534	Licensed Representative (Provisional Licence)	2
LI Linlin	BOW562	Licensed Representative (Provisional Licence)	1, 2
LI Shing Hei	BRZ465	Licensed Representative (Provisional Licence)	1
LI Shuyan	BRX783	Licensed Representative (Provisional Licence)	10
LI Tsam Hong	BRX388	Licensed Representative (Provisional Licence)	1, 4
LI Tsz Ying	BRY477	Licensed Representative (Provisional Licence)	1, 4
LI Xiaodong	BRZ010	Licensed Representative (Provisional Licence)	1, 4, 6
LI Xunming	BFX730	Licensed Representative (Provisional Licence)	4, 9
LI Yuxuan	BRZ468	Licensed Representative (Provisional Licence)	1, 6
LIANG Baoyue	BRY591	Licensed Representative (Provisional Licence)	1, 6
LIANG Wei Zhong Marcus	BOL869	Licensed Representative (Provisional Licence)	1, 4
LIANG Xiaotong	BPV686	Licensed Representative (Provisional Licence)	1, 2, 4, 9
LIM Say Keat	BRW222	Licensed Representative (Provisional Licence)	1, 4, 6
LIM Xixiang David	BRW252	Licensed Representative (Provisional Licence)	1
LIN MingLong	BRZ449	Licensed Representative (Provisional Licence)	1, 2, 3, 4
LIN Rujie	BSA327	Licensed Representative (Provisional Licence)	1
LIN You Jen	BOD232	Licensed Representative (Provisional Licence)	1, 4
LING Yu Hin	BJC896	Licensed Representative (Provisional Licence)	1, 6
LIU Bofeng	BRT129	Licensed Representative (Provisional Licence)	9
LIU Chang	BRX924	Licensed Representative (Provisional Licence)	1, 2
LIU Chang	BSA337	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Chin-Han	BRY441	Licensed Representative (Provisional Licence)	2, 5
LIU Fangzhou	BRZ410	Licensed Representative (Provisional Licence)	1
LIU Fengwu	BRY644	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Hanqing	BIQ854	Licensed Representative (Provisional Licence)	1, 4
LIU Jianyuan	BRZ151	Licensed Representative (Provisional Licence)	4, 9
LIU Ka Tat	BMX425	Licensed Representative (Provisional Licence)	1, 4
LIU Kai Fat	BRY919	Licensed Representative (Provisional Licence)	1, 4
LIU Liying	BSA138	Licensed Representative (Provisional Licence)	4
LIU Renxuan	BMA124	Licensed Representative (Provisional Licence)	1, 2
LIU Shen	BRY531	Licensed Representative (Provisional Licence)	1, 2, 4
LIU Siqi	BRZ032	Licensed Representative (Provisional Licence)	1, 4
LIU Xiaochen	BRX775	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Ying	BNI047	Licensed Representative (Provisional Licence)	1, 4, 6
LO Wai Tat	BRW658	Licensed Representative (Provisional Licence)	1
LOEHNERT Peter Herbert	BRT305	Licensed Representative (Provisional Licence)	1, 4, 9

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
LU Hongwei	BRZ012	Licensed Representative (Provisional Licence)	1, 4, 6
LU Huiting	BSA339	Licensed Representative (Provisional Licence)	1, 4
LU Lechuan	BRW898	Licensed Representative (Provisional Licence)	9
LU Yapeng	AUP546	Licensed Representative (Provisional Licence)	1, 4, 9
LUM Chun Fu	BQQ915	Licensed Representative (Provisional Licence)	1, 4
LYU Guanhuan	BSA328	Licensed Representative (Provisional Licence)	1, 4, 6
LYU Suyang	BRW936	Licensed Representative (Provisional Licence)	4
MA Pufan	BRY372	Licensed Representative (Provisional Licence)	4
MA Siding	BRW699	Licensed Representative (Provisional Licence)	1, 6
MACLEOD Andrew Ian	BRW931	Licensed Representative (Provisional Licence)	1, 9
MAK Hin Chun	APL244	Licensed Representative (Provisional Licence)	1, 2
MAK Ka Ying	BRY472	Licensed Representative (Provisional Licence)	1
MAK Tin Sang	BGM432	Licensed Representative (Provisional Licence)	1, 6
MAN Mei Ki	BMO628	Licensed Representative (Provisional Licence)	4
MEHRA Karan	BRV761	Licensed Representative (Provisional Licence)	2, 5
MENG Fanzhe	BRZ525	Licensed Representative (Provisional Licence)	6
MENG Xianyu	BRZ745	Licensed Representative (Provisional Licence)	1, 4, 6
MOREAU Nicolas Jean Marie Denis	AFB431	Licensed Representative (Provisional Licence)	1, 4, 9
MUELLER Michael	BRY209	Licensed Representative (Provisional Licence)	1, 6
MUNINI Andrea	BRV070	Licensed Representative (Provisional Licence)	9
NG Ching Kwan Clinton	BRX535	Licensed Representative (Provisional Licence)	1, 4
NG Choe Yong	BKB708	Licensed Representative (Provisional Licence)	1, 4, 9
NG Tsz Lung	BRY129	Licensed Representative (Provisional Licence)	10
NG Wai Yan	BRX840	Licensed Representative (Provisional Licence)	1, 2
NG Yam Eng Emily	BRW770	Licensed Representative (Provisional Licence)	1
NIU Rongge	BRY436	Licensed Representative (Provisional Licence)	1, 2, 4
NOH Hoseong	BRW256	Licensed Representative (Provisional Licence)	2, 5
OGDEN Hugo Sebastian	BRW442	Licensed Representative (Provisional Licence)	9
OH Sang Hoon	BRY156	Licensed Representative (Provisional Licence)	4
PAI Shuet Ting	BRY926	Licensed Representative (Provisional Licence)	1
PAO David	BKF573	Licensed Representative (Provisional Licence)	4, 9
PARK Se Hee	BRY753	Licensed Representative (Provisional Licence)	1, 4
PENG Bo	BRZ750	Licensed Representative (Provisional Licence)	1, 4, 6
PENG Haochen	BRY518	Licensed Representative (Provisional Licence)	1, 4, 6
PODDA Guillaume Louis	BRX260	Licensed Representative (Provisional Licence)	1, 2
POON Ying Heung, Charlotte	AVS728	Licensed Representative (Provisional Licence)	1, 4, 6
PU Junyi	BRW771	Licensed Representative (Provisional Licence)	4
PU Yang	BRY255	Licensed Representative (Provisional Licence)	1, 6
QIN Junjie	BRX715	Licensed Representative (Provisional Licence)	1, 4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
QIU Wei	BMX296	Licensed Representative (Provisional Licence)	1, 4, 6
REN Siting	BRZ349	Licensed Representative (Provisional Licence)	4
REVERT Thibaut, Edmond, Robert	AXO690	Licensed Representative (Provisional Licence)	1, 2
ROBINSON David Stuart	BFZ351	Licensed Representative (Provisional Licence)	1, 2, 4
RONG Ruxiang	BRZ557	Licensed Representative (Provisional Licence)	4, 9
RONG Yanping	BNF486	Licensed Representative (Provisional Licence)	6
SANJO Tsuyoshi	BRX138	Licensed Representative (Provisional Licence)	1
SAREEN Gautam	ASF060	Licensed Representative (Provisional Licence)	1, 4, 9
SEDDON James Edward	ANG455	Licensed Representative (Provisional Licence)	1, 4
SHAO Jiajun	BRW085	Licensed Representative (Provisional Licence)	4, 9
SHARMA Tanmay	BOJ108	Licensed Representative (Provisional Licence)	9
SHE Pat Hong	BRW769	Licensed Representative (Provisional Licence)	1, 4
SHEMIRANI Sebastian Darius	BRU652	Licensed Representative (Provisional Licence)	9
SHEN Fu-Hsuan	BRV593	Licensed Representative (Provisional Licence)	1
SHEN Liya	BRZ908	Licensed Representative (Provisional Licence)	1, 4, 6
SHEN Ziquan	BRX412	Licensed Representative (Provisional Licence)	1, 4, 6
SHI Haochen	BRX741	Licensed Representative (Provisional Licence)	1, 2
SHI Jing Wei	BRY997	Licensed Representative (Provisional Licence)	9
SHI Po	BSA329	Licensed Representative (Provisional Licence)	1, 4, 6
SHI Yue	BRX317	Licensed Representative (Provisional Licence)	1, 4, 6
SHIU Angela Ka Kei	BQQ984	Licensed Representative (Provisional Licence)	1
SIAH Qi Yao	BRX392	Licensed Representative (Provisional Licence)	1, 4
SIN Pak Hei	BRX319	Licensed Representative (Provisional Licence)	1, 4
SIT King Ching	BRX938	Licensed Representative (Provisional Licence)	1
SIT Stephanie	BRW419	Licensed Representative (Provisional Licence)	1, 4, 9
SO Wai Pang	AXR856	Licensed Representative (Provisional Licence)	1, 4, 9
SONG Tianyi	BRX328	Licensed Representative (Provisional Licence)	1, 4
SPRATT Stephen Kevin	BRX579	Licensed Representative (Provisional Licence)	1, 2, 4
SU Yi	BRY507	Licensed Representative (Provisional Licence)	4, 9
SUN Lingxiao	AXO391	Licensed Representative (Provisional Licence)	4
SZE Ven	BRU769	Licensed Representative (Provisional Licence)	1
TAKARINA The	BRW336	Licensed Representative (Provisional Licence)	1
TAM Lai Man	BRY044	Licensed Representative (Provisional Licence)	1
TAM TSZ CHUN ALAN	BRZ179	Licensed Representative (Provisional Licence)	1, 4
TAN Hui	BRY938	Licensed Representative (Provisional Licence)	1, 2
TAN Kuan Wei Aaron	BRW654	Licensed Representative (Provisional Licence)	1, 4, 6
TANG Hui	BSA335	Licensed Representative (Provisional Licence)	1, 2, 4
TANG Junshuai	BRY850	Licensed Representative (Provisional Licence)	1, 6
TANG Kexin	BRZ312	Licensed Representative (Provisional Licence)	4

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
TANG Kwan Ho	BRW932	Licensed Representative (Provisional Licence)	1, 4, 6
TANG Wing Sze Winnie	AGF374	Licensed Representative (Provisional Licence)	1, 4, 9
TANG Yingying	BFE794	Licensed Representative (Provisional Licence)	9
TAO Xiangrui	BOP401	Licensed Representative (Provisional Licence)	1, 4
TAO Zhaobo	BRY939	Licensed Representative (Provisional Licence)	1, 6
TAY Alvan Wei De	BRW507	Licensed Representative (Provisional Licence)	1, 4, 6
TEO Ming Huai	BRW613	Licensed Representative (Provisional Licence)	1, 2
TEOW Roger Ruu Shyang	ARI044	Licensed Representative (Provisional Licence)	1, 4
TIAN Mingyong	BRY546	Licensed Representative (Provisional Licence)	9
TIAN Ying	BRZ411	Licensed Representative (Provisional Licence)	4, 9
TING Wa Hing	AQG750	Licensed Representative (Provisional Licence)	1, 2
TO Kwan Tung Gillian	BRZ851	Licensed Representative (Provisional Licence)	4, 9
TSAI Tsung Ying	BRY429	Licensed Representative (Provisional Licence)	1, 4
TSANG Ka Yi	BSA010	Licensed Representative (Provisional Licence)	1, 2
TSANG Keith Chun Kwan	BRX517	Licensed Representative (Provisional Licence)	1, 4
TSANG Theodorick Yerk Bun	BRX336	Licensed Representative (Provisional Licence)	4, 9
TSANG Tsz Ki	BRX834	Licensed Representative (Provisional Licence)	4, 9
TSO Hoi Lam Alison	BRX835	Licensed Representative (Provisional Licence)	1, 6
TSUI Ho Yin	BIN084	Licensed Representative (Provisional Licence)	1, 4
TU Wantong	BRY425	Licensed Representative (Provisional Licence)	1, 4
WAN Mei Ching Julie	BKA885	Licensed Representative (Provisional Licence)	1, 2, 4, 5
WANG Andi	BRY296	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Bin	BRY378	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Chenqing	BRZ310	Licensed Representative (Provisional Licence)	9
WANG Chuan	BRL041	Licensed Representative (Provisional Licence)	9
WANG Edmund	BRX124	Licensed Representative (Provisional Licence)	9
WANG Fang	BRY053	Licensed Representative (Provisional Licence)	1, 2
WANG Hao	BGD708	Licensed Representative (Provisional Licence)	1, 4
WANG Lijuan	BIN154	Licensed Representative (Provisional Licence)	1, 6
WANG Lu	BRX709	Licensed Representative (Provisional Licence)	1, 4
WANG Mengting	BRZ084	Licensed Representative (Provisional Licence)	1, 4
WANG Qi	BRZ909	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Ren	AIB127	Licensed Representative (Provisional Licence)	1, 6
WANG Rui	BFZ379	Licensed Representative (Provisional Licence)	4
WANG Siqi	BRZ168	Licensed Representative (Provisional Licence)	1, 6
WANG Wenwen	BRZ685	Licensed Representative (Provisional Licence)	1, 6
WANG Will Way	BLH658	Licensed Representative (Provisional Licence)	1, 4
WANG Xiaowei	BRZ964	Licensed Representative (Provisional Licence)	1, 2
WANG Xinyang	BRZ751	Licensed Representative (Provisional Licence)	1, 4, 6

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
WANG Xuefeng	BSA177	Licensed Representative (Provisional Licence)	1
WANG Yiyi	BRX750	Licensed Representative (Provisional Licence)	4
WANG Yu	BRX824	Licensed Representative (Provisional Licence)	9
WANG Yu	BRY875	Licensed Representative (Provisional Licence)	1, 6
WANG Yu	BRZ146	Licensed Representative (Provisional Licence)	4
WANG Yuanhui	BRY990	Licensed Representative (Provisional Licence)	1, 6
WANG Zijia	BRN916	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Zining	BRZ556	Licensed Representative (Provisional Licence)	4
WEI Chong	AYS671	Licensed Representative (Provisional Licence)	4, 9
WITTS Clare Helen	BRX757	Licensed Representative (Provisional Licence)	1, 7
WONG Yiu Wai, Antainy	BRW047	Licensed Representative (Provisional Licence)	1, 2, 4, 9
WONG Ho Kan	BRZ083	Licensed Representative (Provisional Licence)	1, 2
WONG Ka Hei	BRX219	Licensed Representative (Provisional Licence)	1
WONG Kai Siu, Kelvin	BFX834	Licensed Representative (Provisional Licence)	4, 9
WONG Lai Yee	BRY281	Licensed Representative (Provisional Licence)	1
WONG Man Hei Katrina	BOD930	Licensed Representative (Provisional Licence)	1, 4
WONG Mankuan	BRV045	Licensed Representative (Provisional Licence)	1, 2
WONG Ngai Shing	AMI263	Licensed Representative (Provisional Licence)	1, 4
WONG Pui Ying Smona	BRZ498	Licensed Representative (Provisional Licence)	1, 4
WONG Siofong	BRX599	Licensed Representative (Provisional Licence)	4
WONG Siu Lun	BOK318	Licensed Representative (Provisional Licence)	1
WONG Tsun Shing	BRX972	Licensed Representative (Provisional Licence)	1, 2
WOO Sau Wai	BPF017	Licensed Representative (Provisional Licence)	1, 2
WROBEL Maximilian Frederick	BRY137	Licensed Representative (Provisional Licence)	4
WU Dongxue	BRW474	Licensed Representative (Provisional Licence)	2, 5
WU Jin	BRW772	Licensed Representative (Provisional Licence)	4
WU Ke	BRY529	Licensed Representative (Provisional Licence)	4, 9
WU Ying	BRX432	Licensed Representative (Provisional Licence)	1, 2
WU Yuanyi	BRX088	Licensed Representative (Provisional Licence)	4, 9
XIA Hongfei	BRY422	Licensed Representative (Provisional Licence)	1, 4
XIAO Hantong Tony	BRY428	Licensed Representative (Provisional Licence)	1, 4
XIE Xingchi	BRZ013	Licensed Representative (Provisional Licence)	1, 4, 6
XIE Yeping	BRX758	Licensed Representative (Provisional Licence)	1, 4
XIE Zizhu	BNV256	Licensed Representative (Provisional Licence)	1, 6
XU Junxuan	BSA336	Licensed Representative (Provisional Licence)	1, 4
XU Liang	BRY338	Licensed Representative (Provisional Licence)	4
XU Peirou	BRV826	Licensed Representative (Provisional Licence)	4, 9
XU ShiTing	BRY583	Licensed Representative (Provisional Licence)	1, 6
XU Xiaoyang	BRY512	Licensed Representative (Provisional Licence)	1, 2

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
YANG Hao	BSA331	Licensed Representative (Provisional Licence)	1, 4
YANG Jun	BRY608	Licensed Representative (Provisional Licence)	4, 9
YANG Ling	BRX752	Licensed Representative (Provisional Licence)	1, 4
YANG Puxi	BRY457	Licensed Representative (Provisional Licence)	1, 4
YANG Ru Wei Francis	BRY555	Licensed Representative (Provisional Licence)	6
YANG Sibao	BSA340	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Zhuohan	AOG230	Licensed Representative (Provisional Licence)	9
YAO Zesen	BRY638	Licensed Representative (Provisional Licence)	1, 6
YAU Yat Wing Evan	BRY504	Licensed Representative (Provisional Licence)	1
YE Dan	BOZ788	Licensed Representative (Provisional Licence)	1, 2
YEUNG Chau Mung	BRY297	Licensed Representative (Provisional Licence)	1, 6
YI Rujia	BRX563	Licensed Representative (Provisional Licence)	4
YIM Sze Wai	BRY526	Licensed Representative (Provisional Licence)	1, 6
YIP Shing Him	BRX181	Licensed Representative (Provisional Licence)	1, 4
YONG Hou Hin	BRI524	Licensed Representative (Provisional Licence)	9
YOU He	BRY645	Licensed Representative (Provisional Licence)	1, 4, 6
YOUNG HERRIES Flora Elizabeth Margaret	BRR765	Licensed Representative (Provisional Licence)	1, 4
YU Chi King, Danny	AWK997	Licensed Representative (Provisional Licence)	4
YU Chung Yin	BRX914	Licensed Representative (Provisional Licence)	1
YU FAN	BRY610	Licensed Representative (Provisional Licence)	4, 9
YU Hoi Leung	BRY659	Licensed Representative (Provisional Licence)	1, 4
YU Liang	BRY523	Licensed Representative (Provisional Licence)	1, 4
YU Mengyao	BRZ011	Licensed Representative (Provisional Licence)	1, 4, 6
YUEN Man Wun	BRY884	Licensed Representative (Provisional Licence)	1, 4
YUNG Lai Chi Mimi	BRV600	Licensed Representative (Provisional Licence)	1, 2
ZENG Cheng	BRX900	Licensed Representative (Provisional Licence)	1, 6
ZHANG Hanyu	BBR102	Licensed Representative (Provisional Licence)	4
ZHANG Jia Le	BRZ945	Licensed Representative (Provisional Licence)	1, 6
ZHANG Jin	BRX214	Licensed Representative (Provisional Licence)	1, 2
ZHANG Mengyu	BRZ348	Licensed Representative (Provisional Licence)	1, 4
ZHANG Minyan	BRY646	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Shuhua	BSA006	Licensed Representative (Provisional Licence)	1, 6
ZHANG Su	BDJ817	Licensed Representative (Provisional Licence)	1, 2, 4, 9
ZHANG Wenzhao	BRY562	Licensed Representative (Provisional Licence)	1, 6
ZHANG Xingrong	BRZ484	Licensed Representative (Provisional Licence)	1, 6
ZHANG YI	BRX591	Licensed Representative (Provisional Licence)	1, 4
ZHANG YI	BRY790	Licensed Representative (Provisional Licence)	1, 6
ZHANG Ying	BRW773	Licensed Representative (Provisional Licence)	4
ZHANG Yunduan	BRW779	Licensed Representative (Provisional Licence)	1, 2, 4, 5

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Yuxin	BRZ318	Licensed Representative (Provisional Licence)	1, 4, 6
ZHAO Di	BRZ219	Licensed Representative (Provisional Licence)	1, 4, 5, 9
ZHAO Honghao	BRY293	Licensed Representative (Provisional Licence)	1, 6
ZHAO kaiwen	BRY440	Licensed Representative (Provisional Licence)	1, 2
ZHAO Like	BRX726	Licensed Representative (Provisional Licence)	9
ZHAO Sheng	BSA011	Licensed Representative (Provisional Licence)	1, 6
ZHAO Xuan	BRX573	Licensed Representative (Provisional Licence)	4, 9
ZHAO Ziqi	BRX252	Licensed Representative (Provisional Licence)	6
ZHENG Bowen	BRY520	Licensed Representative (Provisional Licence)	9
ZHENG Chen	BRZ014	Licensed Representative (Provisional Licence)	4
ZHENG Xing	BRZ706	Licensed Representative (Provisional Licence)	1, 4, 9
ZHENG Xuxin	BRZ910	Licensed Representative (Provisional Licence)	1, 4, 6
ZHENG Yangfan	BRZ007	Licensed Representative (Provisional Licence)	1, 4, 6
ZHONG Qiang	BRW830	Licensed Representative (Provisional Licence)	4, 9
ZHOU Chen	BRY433	Licensed Representative (Provisional Licence)	1, 4
ZHOU Dexin	BRZ764	Licensed Representative (Provisional Licence)	1, 6
ZHOU Peng	BRY564	Licensed Representative (Provisional Licence)	1, 6
ZHOU Robert Yau	BPK832	Licensed Representative (Provisional Licence)	1, 2
ZHU Chenjie	BRZ138	Licensed Representative (Provisional Licence)	1, 6
ZHU Mengxin	BRY986	Licensed Representative (Provisional Licence)	1, 2
ZHU Qipei	BRZ754	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Shaohui	BRZ753	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Zhishuai	BRY051	Licensed Representative (Provisional Licence)	1, 6
ZHU Zijiang	BRY467	Licensed Representative (Provisional Licence)	1, 6
ZHUANG Yue	BRY052	Licensed Representative (Provisional Licence)	4, 6, 9
ZI Yuan	BRW480	Licensed Representative (Provisional Licence)	9
ZONG Fang	BJD918	Licensed Representative (Provisional Licence)	1, 4
DAI Brian	BRO092	Licensed Representative (Temporary Licence)	2, 5
TEO Swee Yong	ASW357	Licensed Representative (Temporary Licence)	1
XU FeiFei	BRY387	Licensed Representative (Temporary Licence)	4
ZHAO Xinru	BRY949	Licensed Representative (Temporary Licence)	4