

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
AlpInvest Partners Limited	BFP028	Licensed Corporation	1
Azentus Capital Management Limited	AWG073	Licensed Corporation	9
BrightSphere (Asia-Pacific) Limited	BGV079	Licensed Corporation	1
C.P. Financial Management Limited	APL344	Licensed Corporation	9
DOUBLE S CAPITAL LIMITED	BFD751	Licensed Corporation	4, 9
Hong Kong Securities Limited	BMQ618	Licensed Corporation	1
One Belt One Road Securities and International Asset Management Limited	BHA064	Licensed Corporation	1, 4, 9
UCF Asset Management (HK) Limited	AZF661	Licensed Corporation	4, 9
AKIE Sachiko	BNB410	Licensed Representative	1, 4
ANDERSON Hannah Jess	BJB153	Licensed Representative	1, 4, 9
AU Andrew	AGA691	Licensed Representative	1, 4, 9
AU Sen Ming Samuel	BMT434	Licensed Representative	1, 2, 4, 5
BAI Jiajie	AYT442	Licensed Representative	1, 4, 6
BAO Wenjia	BCS997	Licensed Representative	1, 2
BARRY Ahmed Wilhelm	BME265	Licensed Representative	1, 2
BHALLA Sumeet Kumar	BLH891	Licensed Representative	4, 9
BIN MAHADZIR Aiman	BKW446	Licensed Representative	1, 6
CHAN Cheuk Fai Andy	BGI432	Licensed Representative	4
CHAN Cheuk Ying, Jeffrey	BJM078	Licensed Representative	6
CHAN Chi Hung	AAB402	Licensed Representative	9
CHAN Chi Kiu	BAP128	Licensed Representative	1
CHAN Chi Wing	BKY313	Licensed Representative	1, 4
CHAN Chui Shan	ACN116	Licensed Representative	4, 9
CHAN Chun Yan, Lionel	BGN505	Licensed Representative	9
CHAN Hau Chung	BCH528	Licensed Representative	1, 2, 3
CHAN Hiang Wei, Elgin	AOV932	Licensed Representative	1, 4
CHAN Kin Yuen	BLR510	Licensed Representative	1
CHAN King Yuet	AHF243	Licensed Representative	1, 4, 9
CHAN Koon Har	AFB360	Licensed Representative	4
CHAN Kwok Ho	BPY953	Licensed Representative	1
CHAN Man Kwong	BFE383	Licensed Representative	1, 4
CHAN Ting Hei	BPK999	Licensed Representative	1, 4, 6
CHAN Wai Hong	AAK835	Licensed Representative	1
CHAN Yui Wing	BOK053	Licensed Representative	6
CHANG SHENG-YAO	BBU591	Licensed Representative	6
CHANG Shik Fen	BPW072	Licensed Representative	1, 4, 9
CHEN Chia-ching	BNJ667	Licensed Representative	9
CHEN Chucan	BOC035	Licensed Representative	4
CHEN Huizhu	BMU999	Licensed Representative	4, 9
CHEN I Hsuan	BIH514	Licensed Representative	4, 9
CHEN Minzhi	AYJ302	Licensed Representative	1, 4
CHEN Pinzhu	BMN240	Licensed Representative	1, 6
CHEN Xiaojian	AVV977	Licensed Representative	1, 4, 9
CHENG Wing Tsan, Wilson	AXC894	Licensed Representative	4, 9
CHENG Yee Ming	APW643	Licensed Representative	1, 4
CHEUK Chung Yan	BIV992	Licensed Representative	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEUNG Kin Wah	AAD570	Licensed Representative	1
CHEUNG Man Ka	BNR762	Licensed Representative	4
CHEUNG Ming Fai	BOG198	Licensed Representative	4, 9
CHEUNG Wai Hang	BAG339	Licensed Representative	1, 2
CHIU Curtis Sean Wai Seen	BNX912	Licensed Representative	1, 4
CHIU Yuen	AVI626	Licensed Representative	1, 6
CHOI Cheuk Hei	BOI193	Licensed Representative	1
CHONG Ping Sai	AEE753	Licensed Representative	1
CHONG Tam Keung	AOQ514	Licensed Representative	1
CHOW Po Kan	AGD099	Licensed Representative	1
CHOW Tat Yan Richard	BPG150	Licensed Representative	1, 4
CHOW Tsz Kit, Vincent	BGE065	Licensed Representative	1, 6
CHU Yiu Fai	BOI194	Licensed Representative	1, 2
CLARK Miranda Helen	BOD965	Licensed Representative	4
CONSTANTINESCU Clara-Andreea	BPC748	Licensed Representative	9
DEMEHRI Arya Pariborz	BPB589	Licensed Representative	9
ELSON Benjamin Adrian	BBA740	Licensed Representative	2, 5
FEI Yue	BIY641	Licensed Representative	4, 9
FONG Wai Ying, Vivian Catherine	BBT305	Licensed Representative	1, 6
FU Fangxin	BLZ052	Licensed Representative	4
FUNG Hiu Wai	AWH243	Licensed Representative	1, 4
FUNG Wai Yee, Veronica	ADG739	Licensed Representative	1
GABBAI Maurizio Mose	BIC486	Licensed Representative	1
GARG Manish	AKT480	Licensed Representative	9
GUO Jianjin	BBV020	Licensed Representative	1, 4
GUO Linjun	BHL543	Licensed Representative	9
GUO Xiangyun	BLL341	Licensed Representative	10
HADIWIDJOJO Pramadwiandika	BEV992	Licensed Representative	1
HAI Sin Man Estella	BDV513	Licensed Representative	1, 4, 9
HAN Dongfang	BNY976	Licensed Representative	6
HAN LEE Angela Maria	AYK456	Licensed Representative	4
HAN Shengtao	BOB221	Licensed Representative	9
HAPP Benjamin Robert	APD098	Licensed Representative	9
HE Rui	AZN802	Licensed Representative	1
HEPPER Roger Anthony	AET484	Licensed Representative	1, 4, 5, 9
HEPPLESTONE Richard	BPE699	Licensed Representative	1, 4
HO Ka Ki, Brian	BCC931	Licensed Representative	1
HO Purdy Pin Pin	ASI353	Licensed Representative	4
HO Sing Wai Kurtise	BMH373	Licensed Representative	1, 2
HO Siu Lam	BEZ475	Licensed Representative	9
HONG Mei	BAM634	Licensed Representative	4, 9
HU Di	BBD763	Licensed Representative	1, 4, 9
HUANG Shuo	BIH648	Licensed Representative	4, 9
HUANG Ying	BNP292	Licensed Representative	1, 4, 6
JIANG Chenyao	BIU554	Licensed Representative	1, 2, 9
JIANG Danqing	BOD769	Licensed Representative	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
JIANG Kang	BOZ983	Licensed Representative	9
JIANG Mingbo	BNL437	Licensed Representative	1
KIM Bradley Byungki	AZC281	Licensed Representative	9
KWAN Chung Ting	BPY822	Licensed Representative	1, 4, 6
KWAN Kai Lung	BNI315	Licensed Representative	3
KWAN Ngai Chun	AWS025	Licensed Representative	1
KWAN Sing Yan	BKR621	Licensed Representative	1
KWAN Wai Ling	BMF477	Licensed Representative	1, 4, 9
KWOK Wai Yin Circle	BJL685	Licensed Representative	1
KWOK Yui Shing Alex	BAU766	Licensed Representative	1, 2
LAI Chi Chiu	ARO718	Licensed Representative	1
LAI Shuk Yi	BMP679	Licensed Representative	1
LAIDLAW Mark	BGH771	Licensed Representative	4
LAM Ka Chun	BOW602	Licensed Representative	1, 4, 9
LAM Ka Wai	AIJ824	Licensed Representative	1, 4
LAM King Sun, Andrew	AAB168	Licensed Representative	1
LAM Yee Ting	BBT549	Licensed Representative	1, 4, 9
LAU King Yee	BOK401	Licensed Representative	1
LAU Kong Leong	BOQ043	Licensed Representative	1, 4
LAU Wing Lam	BOL345	Licensed Representative	1, 4
LAU Yan Kit	ARI646	Licensed Representative	4, 9
LAU Yun Mei	BNV579	Licensed Representative	1
LAW For Yau	ABW534	Licensed Representative	1, 2
LEE Haesung	AQO516	Licensed Representative	1, 4, 9
LEE Kwan Wai	BFR411	Licensed Representative	4, 9
LEE Man Shing	AEC513	Licensed Representative	1
LEE Ming Shui	BBU799	Licensed Representative	1, 2, 4, 9
LEE Wee Siang	AMN563	Licensed Representative	9
LEE Yuen Mei	BJM393	Licensed Representative	1, 2
LEUNG Hoi Ting	BMV685	Licensed Representative	1, 4
LEUNG Mei Yan	ALU973	Licensed Representative	1, 4
LEUNG Pak Chun	AQH376	Licensed Representative	1, 9
LEUNG Pui Lam	AYF566	Licensed Representative	1
LEUNG Yeuk Hei	BLJ508	Licensed Representative	6
LI Chin Yiu	BOL538	Licensed Representative	4, 9
LI Dan	BOU347	Licensed Representative	1, 6
LI Jiaping	BFH777	Licensed Representative	1, 2, 4, 5
LI Lin	BIO011	Licensed Representative	1, 4
LI Litong	BOM365	Licensed Representative	1, 2
LI Lok Tao, Louis	BCP052	Licensed Representative	1, 2, 4, 5, 9
LI Mingyi	BEV534	Licensed Representative	4, 5
LI Minyu	BNC406	Licensed Representative	1
LI Runxing	BOR990	Licensed Representative	1, 2
LI Wei	BOC574	Licensed Representative	4, 9
LI Weinian	BFK175	Licensed Representative	1
LI Wing Leung	AAF400	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Yuenan	AQX519	Licensed Representative	1
LIANG Shulin	BOP261	Licensed Representative	1, 4, 9
LIANG Shuming	BOI062	Licensed Representative	1, 4, 6
LIAO MingXi	BOF461	Licensed Representative	4, 5
LIAO Weicong	BOW492	Licensed Representative	1, 4
LIAO Zhaohui	BPF560	Licensed Representative	1, 4
LIN Xingyang	BOU163	Licensed Representative	1, 4, 9
LIN Xujia	AYS531	Licensed Representative	1, 4, 6
LIU Rui	BMV044	Licensed Representative	6
LIU Sui	BMH845	Licensed Representative	1, 6
LIU Wan Yi Winnie	BNL118	Licensed Representative	1, 2
LIU Zhongshu	BHK457	Licensed Representative	4, 9
LO Ka Shun	AXA210	Licensed Representative	4, 9
LOKE Chern Yang Ian	BOM372	Licensed Representative	1, 4, 6
LU Yang	BGF973	Licensed Representative	1, 4, 6
LUI Yee Lin, Eva	ATN123	Licensed Representative	1, 4
LUK Pui Chi	AWJ279	Licensed Representative	1, 4, 6
LUO Kaiheng	BLN135	Licensed Representative	1, 6
MCMANUS Shaun Thomas	BMV276	Licensed Representative	9
MITCHELL Zahron Hung	BDC510	Licensed Representative	4, 5, 9
NAKANISHI Hideyuki	BBL050	Licensed Representative	4, 9
NG Chi Shing	AXA202	Licensed Representative	1, 2
NG Ka Kit	ACM397	Licensed Representative	1, 2
NG Ka Wa	BMT299	Licensed Representative	1, 4, 9
NG Ka Wai, Eric	ABI099	Licensed Representative	1, 4, 9
NG Keegan Ken	BKJ530	Licensed Representative	1, 6
NG Kian Heng	AOJ160	Licensed Representative	9
NI Cheng	BFR292	Licensed Representative	4, 9
NIU Yafang	BON854	Licensed Representative	4, 9
O'BRIEN Neill Maurice	BNH891	Licensed Representative	1
OH Soo Hoon	BNS482	Licensed Representative	1, 4
PANG Pui Yue	BOA514	Licensed Representative	6
PERRIN Francois Jean Bernard	AVD963	Licensed Representative	4, 9
PIACHAUD James Anthony Charles	ARG656	Licensed Representative	9
POON Fung	AYD001	Licensed Representative	1, 2
POON Ting Wo	AGC357	Licensed Representative	1, 4
PRESNICK Mitchell Adam	BIU559	Licensed Representative	4, 9
QIU Chuqi	BMT435	Licensed Representative	1, 4
RAVEENDRAN Benoy	BOR070	Licensed Representative	9
SCHUTTE Daniel Johannes	AHD687	Licensed Representative	4
SHAM Ka Lok	BER999	Licensed Representative	1, 2, 4, 9
SHI Guyu	BOJ033	Licensed Representative	1
SHIRAIWA Chiyuki	BOG725	Licensed Representative	1, 4
SHU Liangfeng	BGB337	Licensed Representative	6
SIT Kin Ho	AUN899	Licensed Representative	1
SIU Ting Hin	BPX550	Licensed Representative	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
SO Lydia Wing	BOG389	Licensed Representative	1
SPRATT Nicholas Edward	AFR779	Licensed Representative	9
SUEN Man Kit	BMW074	Licensed Representative	1, 4
SUN Jiannan	BJC785	Licensed Representative	4, 9
SUN Zhe	BLO827	Licensed Representative	4
SURESH Adarsh	ATH913	Licensed Representative	1, 2
SUZUKI Kiyoko	AXE045	Licensed Representative	1
SVOBODA Vivienne	AIR404	Licensed Representative	1, 7
SZE Yee Chun	AGJ431	Licensed Representative	1
TAI Chien Hung	BJJ513	Licensed Representative	1, 2, 4, 5
TAM Chung Tak	ABC169	Licensed Representative	1, 2, 4, 9
TAMACHI Hajime	BDH546	Licensed Representative	1, 4, 6
TAN Fang Li, Vera	ATX839	Licensed Representative	4
TAN Michael June Kiat	BJU099	Licensed Representative	1
TANAKA Chikai	AWO799	Licensed Representative	4
TANG Chun Kit	BIQ326	Licensed Representative	1, 2, 4, 9
TAO Wen	BPM184	Licensed Representative	1, 2, 3
TECHASOMBOON Dollapon	BOO308	Licensed Representative	1
TONG Chin Pang	BON483	Licensed Representative	2
TONG KA KIT	BLW915	Licensed Representative	1
TSANG Siu Hin, Gary	BJG568	Licensed Representative	4
TSANG Yu Shing	BJU695	Licensed Representative	1, 2
TSE Man Wai	BJU424	Licensed Representative	1, 2
TSE Sheung Yu	BDQ747	Licensed Representative	1
TSO Key Man, Kevin	ADH219	Licensed Representative	4
TU Haitao	BJY425	Licensed Representative	4, 9
UEJIMA Eiji	BJM098	Licensed Representative	1
VLASOV Dmitriy	BFK461	Licensed Representative	4, 9
VON MOLL Charles Edward	AYD481	Licensed Representative	1, 9
WAI Chi Ho, Bob	APP003	Licensed Representative	1, 2, 4
WAN Yu	BNV286	Licensed Representative	1
WANG Ben	BHX268	Licensed Representative	1, 4, 6
WANG Fan	BIE949	Licensed Representative	1, 2, 4, 9
WANG Huiquan	BNX003	Licensed Representative	1, 2
WANG Shuang	BEZ808	Licensed Representative	1, 4
WANG Siyu	BPM165	Licensed Representative	1, 6
WANG Yunying	BJG612	Licensed Representative	1, 2, 4
WANG Yuyang	BOP434	Licensed Representative	4
WANG Zi	BOU036	Licensed Representative	4, 6
WEN Xin	BOL194	Licensed Representative	1, 2
WONG Billy Yim Chi	APB796	Licensed Representative	9
WONG Ching Yu	BHH395	Licensed Representative	9
WONG Gaam Ho	AQV817	Licensed Representative	1, 2
WONG Hiu Kwan	BIF173	Licensed Representative	1, 4
WONG Hoi Ching	AQS435	Licensed Representative	1, 4
WONG Kenny Yukoon	BHP074	Licensed Representative	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG King Cheung	BCB957	Licensed Representative	4
WONG Kit Ling Jessica	BCS737	Licensed Representative	1, 2
WONG Kwok Chung	ADP781	Licensed Representative	4, 9
WONG Kwok Wa	AMF352	Licensed Representative	1
WONG Kwok Wai, William	ADQ175	Licensed Representative	4
WONG Po	BPD513	Licensed Representative	1, 9
WONG Shing Chi	ARS765	Licensed Representative	2
WONG Tin Lun	AFA801	Licensed Representative	1, 2, 9
WONG Tin Yu	AFS830	Licensed Representative	4
WONG Wai Ho, Michael	ABY518	Licensed Representative	4, 9
WONG Yee Wai	AOR454	Licensed Representative	4
WONG Yu Ming	BOB624	Licensed Representative	1, 2
WOO Fook Cheong	AFN039	Licensed Representative	4, 5, 9
WU Clinton Yumo	BNH160	Licensed Representative	1, 4
WU Hok Sau	ACD001	Licensed Representative	1, 2
WU Ka Ho	BPE300	Licensed Representative	1, 6
WU Linpeng	BGA438	Licensed Representative	4, 9
WU Nga Yin Adela	ATF053	Licensed Representative	1, 2
WU Oden Ren Hao	BOM400	Licensed Representative	1, 9
WU Renhao	APV536	Licensed Representative	1, 4, 9
WU Sidi	BHX712	Licensed Representative	1, 6
WU Teng	BDF068	Licensed Representative	1, 4
WU Ying Hang	APF295	Licensed Representative	1
WU Yi-Ping	BFN192	Licensed Representative	4, 9
WU Yulin	BLY776	Licensed Representative	4
XIAO Yuanqing	BHP471	Licensed Representative	1, 6
XIE Hui	BKQ420	Licensed Representative	1
XIE Jiejing	AWA458	Licensed Representative	1, 6
XIE Mali	BJM104	Licensed Representative	1, 4, 9
XIE Yu	BGD219	Licensed Representative	4
XIONG Fei	AUW687	Licensed Representative	1, 4
XIONG Li	AWQ251	Licensed Representative	1, 2
XIONG Youchan	BNF615	Licensed Representative	1, 6
YAMADA Shinya	AUW525	Licensed Representative	9
YANG Hui-Chi	BEN658	Licensed Representative	1
YANG Ying	BIY483	Licensed Representative	2
YAU May Ling	BBN096	Licensed Representative	1
YE Rong	BCY469	Licensed Representative	1, 4, 6
YEOH Choo Guan	ANR771	Licensed Representative	1, 2
YEOH Kevin Wei-Cher	BCF308	Licensed Representative	9
YEUM Jeehye	BIJ241	Licensed Representative	9
YEUNG Chi Kit	AYV421	Licensed Representative	1, 4, 9
YEUNG Chun Kit Oscar	BNK835	Licensed Representative	1, 4, 9
YEUNG Yeuk Kei	AEE400	Licensed Representative	1, 2
YIN Haili	BOT778	Licensed Representative	1, 6
YIP Ho Chi Dominic	BIZ712	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
YIP Yuk Fung	ABS821	Licensed Representative	1, 2
YU Lawrence Lang-cheng	BCV082	Licensed Representative	9
YU Mou Chih	BOB158	Licensed Representative	4
YU Yun Chu	AFU769	Licensed Representative	1
YUEH Hui-Chen	BEG042	Licensed Representative	1, 9
YUNG Huen Ling	BMA136	Licensed Representative	1
ZENG Guoxin	BPA559	Licensed Representative	6
ZHANG Guilin	AWF886	Licensed Representative	1, 4
ZHANG Qi	BPK199	Licensed Representative	9
ZHANG Rong	BLX787	Licensed Representative	4
ZHANG Xue	BEB248	Licensed Representative	1, 4, 9
ZHANG Yan	BEW812	Licensed Representative	1, 2
ZHANG Yichen	AZY376	Licensed Representative	1, 2
ZHANG Yu	APS294	Licensed Representative	1, 4, 9
ZHANG Yu	BPB756	Licensed Representative	4, 5, 9
ZHAO Hongyan	BGQ457	Licensed Representative	4
ZHAO Junao	BLF624	Licensed Representative	1, 4, 5, 9
ZHONG Yan	BDQ097	Licensed Representative	1
ZHU Xuhua	AUK284	Licensed Representative	2, 5
ASTROM Lars Marcus	BKB303	Licensed Representative - Responsible Officer	1
BALL Julian Robert Steven	AFH016	Licensed Representative - Responsible Officer	4
BOSSHART Stephan Louis	BOB203	Licensed Representative - Responsible Officer	9
CHAN Chi Hung	AAB402	Licensed Representative - Responsible Officer	1
CHAN Pui Yi	AUM181	Licensed Representative - Responsible Officer	4, 9
CHANG Yung Ching	AFF918	Licensed Representative - Responsible Officer	1, 4, 9
CHEUNG Kai Pong	AMP112	Licensed Representative - Responsible Officer	9
CHOW Wai Man, Ronny	ARW735	Licensed Representative - Responsible Officer	1, 2, 4, 9
CHU Wai Ting, Eddie	ABX990	Licensed Representative - Responsible Officer	1, 4, 9
CHUAH Keng Hin	AYG549	Licensed Representative - Responsible Officer	4, 9
CHUNG Shiang Chang	BOU393	Licensed Representative - Responsible Officer	4, 9
DE COURCY HUGHES Callum John	ANQ028	Licensed Representative - Responsible Officer	1, 2, 4, 9
FANG Wei	AOT337	Licensed Representative - Responsible Officer	1, 2, 4
FLORO Jeff Rey	BGP818	Licensed Representative - Responsible Officer	4
GONG Bo	BOA768	Licensed Representative - Responsible Officer	1
GRISHIN Ivan	BGZ079	Licensed Representative - Responsible Officer	1, 4
HAN Bing	AKY573	Licensed Representative - Responsible Officer	1
HANSON-LAWSON Michael John	AEW093	Licensed Representative - Responsible Officer	1
HAO Kang	AFC198	Licensed Representative - Responsible Officer	4, 9
HARRIS Richard	AAK411	Licensed Representative - Responsible Officer	1, 4, 9
HE Rui	AZN802	Licensed Representative - Responsible Officer	4, 9
HO Kwong Kiu	APU675	Licensed Representative - Responsible Officer	4, 9
HU Bin	AOG214	Licensed Representative - Responsible Officer	4, 9
IP Pak Cheong	AQA094	Licensed Representative - Responsible Officer	4, 9
JACKSON Anthony Philip	AGB448	Licensed Representative - Responsible Officer	4
KIM Sung Ho	BOO310	Licensed Representative - Responsible Officer	1, 4
KINGCOTT Jacqueline	BNU910	Licensed Representative - Responsible Officer	4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
KUET Chu Chau	BBF122	Licensed Representative - Responsible Officer	1
KWONG Wang Pok	AAD943	Licensed Representative - Responsible Officer	6
LAM Man Kwong, David	ADI854	Licensed Representative - Responsible Officer	1, 4
LAU Chris Cheuk Wah	AYO821	Licensed Representative - Responsible Officer	6
LAU Yan Kit	ARI646	Licensed Representative - Responsible Officer	1, 2
LEUNG Chun Bong	AEK319	Licensed Representative - Responsible Officer	1, 2, 4, 5, 7
LI Chi On	ADQ161	Licensed Representative - Responsible Officer	1, 4
LI Fan	BFK350	Licensed Representative - Responsible Officer	9
LI Hiu Tung	ALY258	Licensed Representative - Responsible Officer	1, 2
LI Siu Kim	AFW899	Licensed Representative - Responsible Officer	4, 9
LIN Sui Tai	AMY745	Licensed Representative - Responsible Officer	1, 4, 5, 6, 9
LIU Zhengrong	ARP555	Licensed Representative - Responsible Officer	9
LO Ka Shun	AXA210	Licensed Representative - Responsible Officer	1, 2
LUI Chi Hang	AYW027	Licensed Representative - Responsible Officer	1, 4, 9
MAK Wan Yan, Eugene	ARD506	Licensed Representative - Responsible Officer	1, 4
MARSHALL James	ASS787	Licensed Representative - Responsible Officer	4, 9
MIDDLETON Edward Simon	ARN102	Licensed Representative - Responsible Officer	1, 4, 6
NG Chun Yiu, Richard	BER542	Licensed Representative - Responsible Officer	9
NI Yu-Chun	BOO856	Licensed Representative - Responsible Officer	4, 9
NIXEL Stefan Dominik	BKH173	Licensed Representative - Responsible Officer	4, 5, 9
NOGUCHI Kenji	AZI956	Licensed Representative - Responsible Officer	1, 4, 6
RIKKERINK Henk Jan	BJG769	Licensed Representative - Responsible Officer	4, 9
RUAN Wenjun	ARE186	Licensed Representative - Responsible Officer	1
RUCKERT Simon John	AAZ359	Licensed Representative - Responsible Officer	9
SACHDEVA Amit	BIB386	Licensed Representative - Responsible Officer	1
SO Pang Tat	AQS633	Licensed Representative - Responsible Officer	2
SUH Jin Won	BBZ479	Licensed Representative - Responsible Officer	1, 4, 6, 9
TANG Wai Kei	AEF333	Licensed Representative - Responsible Officer	1, 2, 4
TAO Ye	BLR930	Licensed Representative - Responsible Officer	9
TING Michael P.	AML235	Licensed Representative - Responsible Officer	4
TING Wai Ying, Irene	AQD610	Licensed Representative - Responsible Officer	1
TSANG Kin Wah	ADP012	Licensed Representative - Responsible Officer	1, 4
TSO Key Man, Kevin	ADH219	Licensed Representative - Responsible Officer	1
WEBSTER Mark	BFR105	Licensed Representative - Responsible Officer	1, 2
WONG Ka Wai, Bonnie	AQQ838	Licensed Representative - Responsible Officer	1
WONG Tin Yu	AFS830	Licensed Representative - Responsible Officer	1
WU Yi-Ping	BFN192	Licensed Representative - Responsible Officer	1
YEUNG Kwai Hung	AGI981	Licensed Representative - Responsible Officer	1
YORK Philip Michael	AXO808	Licensed Representative - Responsible Officer	4, 9
ZHAO Zhijie	BPE393	Licensed Representative - Responsible Officer	9
ZHU Wendy Wenwen	AMF185	Licensed Representative - Responsible Officer	1
ZUO Lesi	AJK660	Licensed Representative - Responsible Officer	1
AMIR Faridah	BDJ450	Licensed Representative (Provisional Licence)	1
AN Qi	BQD371	Licensed Representative (Provisional Licence)	9
ARNULPHY Robb Victor	BOW990	Licensed Representative (Provisional Licence)	9
AU Wai Yin	BQF524	Licensed Representative (Provisional Licence)	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	License Type	Types of Regulated Activity
BAO Yili	BOA506	Licensed Representative (Provisional Licence)	1, 4, 9
BIAN Huan	BGY961	Licensed Representative (Provisional Licence)	9
BISWAS Shubhomoy	ATJ132	Licensed Representative (Provisional Licence)	1, 4, 6
BOTTA Ramnath	BQA992	Licensed Representative (Provisional Licence)	1, 2
BOUEE Charles-Edouard Pascal Marie Daniel	BPQ364	Licensed Representative (Provisional Licence)	4, 9
BOURMAYAN Vahe Olivier	AJD563	Licensed Representative (Provisional Licence)	1, 2
BOVAR Cedric	BPR588	Licensed Representative (Provisional Licence)	1, 2
CAI Qiyu	BQC377	Licensed Representative (Provisional Licence)	1, 4, 6
CARROLL III Francis Joseph	BQB535	Licensed Representative (Provisional Licence)	1, 4, 9
CHAI Tak Loon	AWH859	Licensed Representative (Provisional Licence)	1, 4
CHAKRABORTY Shounak	BPX701	Licensed Representative (Provisional Licence)	1, 2
CHAN Cheuk Lam	BQC596	Licensed Representative (Provisional Licence)	1, 2, 3
CHAN Chin Kiu	AVM792	Licensed Representative (Provisional Licence)	1, 2, 7
CHAN Hoi Ting	BQC479	Licensed Representative (Provisional Licence)	9
CHAN Ka Hong Jason	BQB615	Licensed Representative (Provisional Licence)	1, 4
CHAN Kit Shan Rita	BQB276	Licensed Representative (Provisional Licence)	1
CHAN Pak Ka	BJL689	Licensed Representative (Provisional Licence)	4
CHAN Sai Yik	BPY742	Licensed Representative (Provisional Licence)	1, 6
CHAN Sze Chung	AEE351	Licensed Representative (Provisional Licence)	1, 4, 6
CHAN Tat Hong	BMV838	Licensed Representative (Provisional Licence)	1
CHAN Ting Yiu	BQA988	Licensed Representative (Provisional Licence)	1
CHAN Wai Ying	BPD391	Licensed Representative (Provisional Licence)	1, 4
CHAN Wing Sze	BIZ710	Licensed Representative (Provisional Licence)	1, 2, 3, 4
CHAN Yui Pang, Oscar	AVI901	Licensed Representative (Provisional Licence)	1, 4, 9
CHANG Byung Woon	BQD509	Licensed Representative (Provisional Licence)	6
CHAU Yue Man	BQC523	Licensed Representative (Provisional Licence)	1, 4
CHE Yishan	BQF310	Licensed Representative (Provisional Licence)	1, 6
CHEN Cen	BPY603	Licensed Representative (Provisional Licence)	1, 4
CHEN Chih-chieh	ATH471	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Feiyang	BQC220	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Gaoyuan	BQC120	Licensed Representative (Provisional Licence)	6
CHEN Guanyu	BQB979	Licensed Representative (Provisional Licence)	1
CHEN Huaitao	BQF224	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Jiabo	BQC378	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Junqi	BQD435	Licensed Representative (Provisional Licence)	4
CHEN Nai Tzu	BQD499	Licensed Representative (Provisional Licence)	9
CHEN Weihang	BPL845	Licensed Representative (Provisional Licence)	1, 2
CHEN Xiaouu	BPY428	Licensed Representative (Provisional Licence)	1, 4
CHEN Yixuan	BQC235	Licensed Representative (Provisional Licence)	1, 2
CHENG Ke	BDT161	Licensed Representative (Provisional Licence)	9
CHENG Xinyu	BQB301	Licensed Representative (Provisional Licence)	1, 6
CHENG Yi Yan	BQD378	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Ka Yau	BQF522	Licensed Representative (Provisional Licence)	1
CHEUNG Kwan Hoi, Tony	BQD386	Licensed Representative (Provisional Licence)	1
CHEUNG Lap Sang	BQF751	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Mei Wai	BPW349	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	License Type	Types of Regulated Activity
CHEUNG Siu Kei	BQC382	Licensed Representative (Provisional Licence)	4
CHEUNG Tsz Kit	AIX574	Licensed Representative (Provisional Licence)	4, 9
CHOI Foo Tong	BQC310	Licensed Representative (Provisional Licence)	1
CHU Sin Mo	BQC431	Licensed Representative (Provisional Licence)	9
CHUARD Victoria Wenyi	ASI157	Licensed Representative (Provisional Licence)	1, 2
CHUNG Diana	BII595	Licensed Representative (Provisional Licence)	1, 2
CHUNG Ting Kwok	ARN967	Licensed Representative (Provisional Licence)	4
CHUNG Wing Chung	BQB503	Licensed Representative (Provisional Licence)	6
COPIN Denis, Francois, Rene	ATG734	Licensed Representative (Provisional Licence)	2
DENG Guirong	BQE171	Licensed Representative (Provisional Licence)	1, 2, 4
DENG Zhibo	BQD384	Licensed Representative (Provisional Licence)	1, 4
DI Hanhua	BQE527	Licensed Representative (Provisional Licence)	1, 4, 6
DING Qiaosha	BQC217	Licensed Representative (Provisional Licence)	1, 6
DU Han	BQA901	Licensed Representative (Provisional Licence)	1, 6
EL KETTANI EL HAMIDI Mounia	BDW697	Licensed Representative (Provisional Licence)	1, 4, 9
FAN Kit Wing, Katherine	BFP026	Licensed Representative (Provisional Licence)	1, 4
FAN Yixian	BPW988	Licensed Representative (Provisional Licence)	1
FANG Xinyu	BQF453	Licensed Representative (Provisional Licence)	4
FANG Yingxue	BQC792	Licensed Representative (Provisional Licence)	1
FANG YUAN	BQD301	Licensed Representative (Provisional Licence)	4, 9
FONG Sin Shing	BQC929	Licensed Representative (Provisional Licence)	9
FU Yena	BPZ941	Licensed Representative (Provisional Licence)	1, 6
FUNG Kwun Ming	BQF531	Licensed Representative (Provisional Licence)	1
GAO Jiamin	BMV831	Licensed Representative (Provisional Licence)	1, 4, 6
GAO Mengxuan	BQE549	Licensed Representative (Provisional Licence)	1, 6
GAO Ying	BQE244	Licensed Representative (Provisional Licence)	1, 6
GAO Zhiheng	BQD153	Licensed Representative (Provisional Licence)	1, 4, 9
GAUTHIER-STREIFF DELPHINE CLELIA	BQC481	Licensed Representative (Provisional Licence)	6
GONG Hongyu	BQE247	Licensed Representative (Provisional Licence)	4, 6
GREIF Joseph Judah	AZD669	Licensed Representative (Provisional Licence)	1, 4, 9
GRISSELL Edward Henry	BPY390	Licensed Representative (Provisional Licence)	1
GU Zhihan	BPY808	Licensed Representative (Provisional Licence)	1
GUAN Li	BPX893	Licensed Representative (Provisional Licence)	1, 4, 9
GUO Kaize	BQB504	Licensed Representative (Provisional Licence)	4, 9
GUO Shaoyu	BQG663	Licensed Representative (Provisional Licence)	4, 9
GUO Yali	BQB164	Licensed Representative (Provisional Licence)	4
GUPTA Kapil	BPY730	Licensed Representative (Provisional Licence)	6
HAN Xiao	BOO400	Licensed Representative (Provisional Licence)	1, 6
HAN Xiao	BQA941	Licensed Representative (Provisional Licence)	4
HAO Xin	BQC601	Licensed Representative (Provisional Licence)	1
HATTA Arata	BPY750	Licensed Representative (Provisional Licence)	9
HE Jiayu	BQC349	Licensed Representative (Provisional Licence)	1, 6
HE Peng	AQJ038	Licensed Representative (Provisional Licence)	1
HE Yucheng	BIQ230	Licensed Representative (Provisional Licence)	9
HENTHORN Kurt Davis	BQA663	Licensed Representative (Provisional Licence)	1, 2
HO Stacy Kristine	BPY697	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	License Type	Types of Regulated Activity
HO Suet Yiu	BQE698	Licensed Representative (Provisional Licence)	1
HO Tack Wei	BKI538	Licensed Representative (Provisional Licence)	1, 6
HSU Chih-Kai	BQA511	Licensed Representative (Provisional Licence)	1, 6
HUANG Jiangyan	BPY912	Licensed Representative (Provisional Licence)	1, 4
HUANG Xiaoyi	BQE176	Licensed Representative (Provisional Licence)	1, 2
HUANG Xinran	BQB323	Licensed Representative (Provisional Licence)	1, 6
HUANG Yuqiang	BQE386	Licensed Representative (Provisional Licence)	1, 2
HUI Tin Lung, Hollis	BQB354	Licensed Representative (Provisional Licence)	1, 4
JI Hongyu	BQE209	Licensed Representative (Provisional Licence)	1, 2, 4
JIANG Yuanlin	BQE131	Licensed Representative (Provisional Licence)	1, 4, 6
JIN Tinghua	BQC255	Licensed Representative (Provisional Licence)	1, 2, 7
JIN Yi	BQC994	Licensed Representative (Provisional Licence)	4
JUAN Evian	BPW985	Licensed Representative (Provisional Licence)	1
KAJIYAMA Yohei	BOP676	Licensed Representative (Provisional Licence)	1
KALE Aditya Ajit	BPX071	Licensed Representative (Provisional Licence)	1, 2
KAMIKI Toru	BQF032	Licensed Representative (Provisional Licence)	1, 4
KAMIMURA Mamiko	BQD069	Licensed Representative (Provisional Licence)	1, 4
KANCHERLA Pruthvi	BQC261	Licensed Representative (Provisional Licence)	1, 2
KHOSA Amarik Singh	BPY323	Licensed Representative (Provisional Licence)	1, 6
KIM Chan Young	BQB883	Licensed Representative (Provisional Licence)	1, 4
KIM Eric Myung Joon	BQA662	Licensed Representative (Provisional Licence)	1, 2
KIM Eun Ae	APR854	Licensed Representative (Provisional Licence)	4
KINGHAM Charles David	BQE226	Licensed Representative (Provisional Licence)	1, 2
KO Cheuk Yat	BQE303	Licensed Representative (Provisional Licence)	1, 2
KOPLIKU Ardit	BPY973	Licensed Representative (Provisional Licence)	1, 2
KUANG Kefu	BEA507	Licensed Representative (Provisional Licence)	1, 4
KWAI Hoi Ting	BQD387	Licensed Representative (Provisional Licence)	1, 2
KWAN Ka Ho	BQE509	Licensed Representative (Provisional Licence)	1, 2
KWEON Minsung	BQE645	Licensed Representative (Provisional Licence)	1, 2, 4
LAI Phenie Zoe	BQD022	Licensed Representative (Provisional Licence)	1, 2
LAM Chi Kwong, Jonathan	ACD659	Licensed Representative (Provisional Licence)	4, 9
LAM Ka Hei	BQB431	Licensed Representative (Provisional Licence)	1, 4, 6
LAM Lok Yan, Pauline	BBN652	Licensed Representative (Provisional Licence)	9
LAM Siu Lai	BQA677	Licensed Representative (Provisional Licence)	1
LAM Yan Ki	BQC092	Licensed Representative (Provisional Licence)	1, 2, 4
LAN Yu	BPY270	Licensed Representative (Provisional Licence)	1, 2, 7
LANCE Robert Waldo	AEL830	Licensed Representative (Provisional Licence)	1, 4
LAU Ming Hang	BQB611	Licensed Representative (Provisional Licence)	1, 4
LAU Wing Yuen, Whitney	BQC532	Licensed Representative (Provisional Licence)	1, 2
LAU Yik Leung	BQE684	Licensed Representative (Provisional Licence)	1
LEE Jaejoon	BQA942	Licensed Representative (Provisional Licence)	9
LEE Jennifer Jihyun	BPY519	Licensed Representative (Provisional Licence)	1
LEE Kwan Kiat Jarod	BPY225	Licensed Representative (Provisional Licence)	1
LEE Seong Kyun	BHS525	Licensed Representative (Provisional Licence)	1
LEE Tsz Kiu, Matthew	BQC262	Licensed Representative (Provisional Licence)	1, 2
LEESINSKY Mikolaj Teng Hui	BQC304	Licensed Representative (Provisional Licence)	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	License Type	Types of Regulated Activity
LEI Tong	BPY749	Licensed Representative (Provisional Licence)	1, 6
LEUNG Ka Man	BJX828	Licensed Representative (Provisional Licence)	1, 2, 4
LEUNG Lok Kwan	BQC222	Licensed Representative (Provisional Licence)	6
LEUNG Pui Lai	BQA857	Licensed Representative (Provisional Licence)	1, 2, 4, 9
LEUNG WAI HAN	BQB949	Licensed Representative (Provisional Licence)	1, 4
LEUNG Yau Jun Eugene	BQC193	Licensed Representative (Provisional Licence)	1, 4
LI Chunbo	AOS336	Licensed Representative (Provisional Licence)	1, 4, 7
LI Hongke	BQD443	Licensed Representative (Provisional Licence)	4
LI Po Yi	ANP486	Licensed Representative (Provisional Licence)	4
LI Pui Ching	BQB274	Licensed Representative (Provisional Licence)	1, 4, 9
LI Shiqiang	BQE276	Licensed Representative (Provisional Licence)	1, 2
LI Tianci	BQC381	Licensed Representative (Provisional Licence)	1, 4, 6
LI Wenfeng	BQF093	Licensed Representative (Provisional Licence)	1, 2
LI Xinwei	BQA898	Licensed Representative (Provisional Licence)	1, 6
LI Yimeng	BQA894	Licensed Representative (Provisional Licence)	1, 6
LI Yiwen	BPX928	Licensed Representative (Provisional Licence)	1, 2
LI Yucan	BQC043	Licensed Representative (Provisional Licence)	1, 2, 4
LI Zijian	BQF493	Licensed Representative (Provisional Licence)	1, 6
LIANG Yu	BQD587	Licensed Representative (Provisional Licence)	4, 9
LIANG Yunzhang	BQC352	Licensed Representative (Provisional Licence)	9
LIM Heng Yao Andrew	BQD021	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Shiqing	BQE332	Licensed Representative (Provisional Licence)	1, 4
LIN Ting	BPY751	Licensed Representative (Provisional Licence)	1, 6
LIN Yang	BPU163	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Yuqing	BQD244	Licensed Representative (Provisional Licence)	1, 2, 4
LIN Zhe	BQE083	Licensed Representative (Provisional Licence)	1, 4, 9
LIO Wai Ki	AVP390	Licensed Representative (Provisional Licence)	1, 2
LIU Fung Hang	BQF716	Licensed Representative (Provisional Licence)	1, 2
LIU Kaiwen	BQB160	Licensed Representative (Provisional Licence)	1, 4
LIU Li	BQB508	Licensed Representative (Provisional Licence)	1, 2
LIU Liujun	BPA409	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Qianlin	BQB847	Licensed Representative (Provisional Licence)	4
LIU Xiang	BQF176	Licensed Representative (Provisional Licence)	1, 2, 4
LIU Xiaohan	BQD008	Licensed Representative (Provisional Licence)	10
LIU Xing Ling	AKJ153	Licensed Representative (Provisional Licence)	4
LIU Xinyu	BQE326	Licensed Representative (Provisional Licence)	1
LIU Yaoyang	BQB430	Licensed Representative (Provisional Licence)	1, 6
LIU Yiheng	BQB324	Licensed Representative (Provisional Licence)	1, 6
LIU Yudian	BQC791	Licensed Representative (Provisional Licence)	1, 6
LIU Zehua	BQB363	Licensed Representative (Provisional Licence)	1, 4, 9
LIU Zhiwei	BPX301	Licensed Representative (Provisional Licence)	1, 2
LO Kin Chun	ANL083	Licensed Representative (Provisional Licence)	1
LO Tai Yue, Nelson	BQC447	Licensed Representative (Provisional Licence)	1
LONG Teng	BPY715	Licensed Representative (Provisional Licence)	1, 4, 6
LONG Xiaoyao	BOH705	Licensed Representative (Provisional Licence)	6
LONG Yupeng	BPY740	Licensed Representative (Provisional Licence)	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	License Type	Types of Regulated Activity
LOR Ching Yi	BQA631	Licensed Representative (Provisional Licence)	6
LUNG Wing Hong	AVP246	Licensed Representative (Provisional Licence)	1, 2
LUO Sha	BNL483	Licensed Representative (Provisional Licence)	1, 4, 6
LUO Xue	BQC455	Licensed Representative (Provisional Licence)	4, 9
LYU Xueqi	BPZ923	Licensed Representative (Provisional Licence)	1, 2
MA Hak	BPY426	Licensed Representative (Provisional Licence)	1, 4
MA Wenting	BQC287	Licensed Representative (Provisional Licence)	1, 4, 9
MAITLAND GARDNER David Colin	BPX777	Licensed Representative (Provisional Licence)	9
MENG Fanjie	AVC118	Licensed Representative (Provisional Licence)	9
MENG Hongyu	BQD385	Licensed Representative (Provisional Licence)	1
MICHAEL Wendy Ann	BQC279	Licensed Representative (Provisional Licence)	9
NEO Marcus Wai Siong	BQA566	Licensed Representative (Provisional Licence)	2, 5
NG CHEUK MAN	BQB875	Licensed Representative (Provisional Licence)	1, 4
NG Chi Tin, Herman	ASQ359	Licensed Representative (Provisional Licence)	4, 9
NG Jason Ka Chuen	AHG763	Licensed Representative (Provisional Licence)	9
NGAI Siu Chung	BPY804	Licensed Representative (Provisional Licence)	1, 6
NGUYEN Tieu-Bich	BQD113	Licensed Representative (Provisional Licence)	4, 9
NISHIHARA Ayumi	BQC967	Licensed Representative (Provisional Licence)	1, 4, 6
NORTH Christopher James	BPY784	Licensed Representative (Provisional Licence)	4
OLDHAM John Andrew	BQC943	Licensed Representative (Provisional Licence)	1, 4, 9
PAN Wai Teresa	BPY825	Licensed Representative (Provisional Licence)	1, 6
PARK Seyun	BQB947	Licensed Representative (Provisional Licence)	1
PENG Kuan Shen	BPX915	Licensed Representative (Provisional Licence)	9
PLAUCHU Simon	BPY238	Licensed Representative (Provisional Licence)	1, 2
QIU Leyuan	BQB163	Licensed Representative (Provisional Licence)	4
QU Jiahao	BPY756	Licensed Representative (Provisional Licence)	1, 6
QU Xiuquan	BPY143	Licensed Representative (Provisional Licence)	1, 6
RASTOGI Vishesh	BPL436	Licensed Representative (Provisional Licence)	1, 2
REN Cong	BQE949	Licensed Representative (Provisional Licence)	1, 6
SAVAGE Florence Lucy	BQC384	Licensed Representative (Provisional Licence)	1, 4, 9
SHAHAPURKAR Nilesh	ATX901	Licensed Representative (Provisional Licence)	2, 5
SHEN Liang	BGT829	Licensed Representative (Provisional Licence)	9
SHEN Yiding	BQC196	Licensed Representative (Provisional Licence)	1
SHIN Joo Kyung	BQC062	Licensed Representative (Provisional Licence)	1, 4, 9
SIEW Huiheng	BPW870	Licensed Representative (Provisional Licence)	1, 2
SINGH Naval	BQA895	Licensed Representative (Provisional Licence)	9
SLOW Yin Hwee	BQE168	Licensed Representative (Provisional Licence)	1, 6
SO Sai Kit	BQG007	Licensed Representative (Provisional Licence)	10
SPRANGERS Arnoud Jan	BQB271	Licensed Representative (Provisional Licence)	1, 4
SU Shiyu	BQE169	Licensed Representative (Provisional Licence)	1, 2
SUGIHARA Hiroki	BQA909	Licensed Representative (Provisional Licence)	4, 9
SURRENCY Richard Charles	AUC988	Licensed Representative (Provisional Licence)	1
SUSANTO Adrian Prawira	BQC563	Licensed Representative (Provisional Licence)	1, 2
TAN Jianqing	BQA791	Licensed Representative (Provisional Licence)	4
TAN Jiehua	BQA893	Licensed Representative (Provisional Licence)	1, 4
TAN Yock Shu	BPX416	Licensed Representative (Provisional Licence)	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	License Type	Types of Regulated Activity
TESSARI Riccardo	BPY814	Licensed Representative (Provisional Licence)	1, 2, 7
TIAN Chong	BQB396	Licensed Representative (Provisional Licence)	1, 4
TIAN Lun	BQE930	Licensed Representative (Provisional Licence)	1, 4
TING HUNG	BQE815	Licensed Representative (Provisional Licence)	1, 4, 9
TSAI Chi	BQB428	Licensed Representative (Provisional Licence)	4
TSANG Marisa See-man	BQB422	Licensed Representative (Provisional Licence)	1, 4, 9
TSOI Wing Leung	BQB158	Licensed Representative (Provisional Licence)	1
TSOI Yin Fong	BQC557	Licensed Representative (Provisional Licence)	1, 4
WAN Ki Wai	BQB309	Licensed Representative (Provisional Licence)	1, 2
WAN Lap Ching	BQB596	Licensed Representative (Provisional Licence)	1, 2
WAN Vivian	BBW591	Licensed Representative (Provisional Licence)	9
WAN Xicen	BQE353	Licensed Representative (Provisional Licence)	4
WANG Chang Yu Judy	BIU715	Licensed Representative (Provisional Licence)	1
WANG Chen-Chia	BQC263	Licensed Representative (Provisional Licence)	1, 2
WANG Danlin	BQF013	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Hengli	BQB617	Licensed Representative (Provisional Licence)	4
WANG Jian	AVM361	Licensed Representative (Provisional Licence)	1, 4
WANG Jinming	BQD109	Licensed Representative (Provisional Licence)	1, 4
WANG Liwen	BQE039	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Pi-Hung	BPX925	Licensed Representative (Provisional Licence)	1, 6
WANG Sheau Huei	AZM916	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Shuaiyong	BQC347	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Wanliu	BQC242	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Weiwei	BPY269	Licensed Representative (Provisional Licence)	1, 6
WANG Weiwei	BPY842	Licensed Representative (Provisional Licence)	1, 6
WANG Weiji	BQC673	Licensed Representative (Provisional Licence)	4
WANG Yufei	BQC071	Licensed Representative (Provisional Licence)	1, 6
WANG Yuren	BPY431	Licensed Representative (Provisional Licence)	1, 4
WANG Yuxi	BQC524	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Yuxin	BQC319	Licensed Representative (Provisional Licence)	1, 6
WAT Chung Yin, Janice	BPW952	Licensed Representative (Provisional Licence)	1, 2, 7
WEI Wei	BPZ926	Licensed Representative (Provisional Licence)	1, 2
WENG Yuhao	BQC265	Licensed Representative (Provisional Licence)	1, 2
WENHAM James Alexander Scott	AVF334	Licensed Representative (Provisional Licence)	1, 7
WONG Cheuk Kin	BQF238	Licensed Representative (Provisional Licence)	1
WONG Chi Wing, Kinson	BQE279	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Elaine	BQD394	Licensed Representative (Provisional Licence)	1, 4
WONG Florian	BQC211	Licensed Representative (Provisional Licence)	6
WONG Hoi Ting	BQE975	Licensed Representative (Provisional Licence)	1
WONG Johnny Kin Man	ACI033	Licensed Representative (Provisional Licence)	4
WONG Mabel	BQD397	Licensed Representative (Provisional Licence)	1, 2
WONG Sai Kit	BQB528	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Timothy Shun Yik	BQB305	Licensed Representative (Provisional Licence)	1, 6
WONG Tsun Ming	BQC294	Licensed Representative (Provisional Licence)	1, 2
WONG Tsz Ho	BQB484	Licensed Representative (Provisional Licence)	1
WONG Yuen Tung	BQC375	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	License Type	Types of Regulated Activity
WOO Tsz Yin	BQF069	Licensed Representative (Provisional Licence)	1, 2
WU Weijiang	BQC919	Licensed Representative (Provisional Licence)	4
WU Yibo	BQC291	Licensed Representative (Provisional Licence)	4
WU Yuanzhuo	BQD515	Licensed Representative (Provisional Licence)	4
WU Yueh Ling	BPW984	Licensed Representative (Provisional Licence)	1
WU Zhounan	BNP014	Licensed Representative (Provisional Licence)	1
XIA Wei	BPU147	Licensed Representative (Provisional Licence)	9
XIE Yunze	BQC380	Licensed Representative (Provisional Licence)	1, 4, 6
XIONG Dong	BQB342	Licensed Representative (Provisional Licence)	1, 4, 6
XIONG Hao	AZ1741	Licensed Representative (Provisional Licence)	1, 2, 4, 9
XIONG Shiping	BQC407	Licensed Representative (Provisional Licence)	1, 4
XIONG Wen	BQC299	Licensed Representative (Provisional Licence)	1
XU Anqi	BQC219	Licensed Representative (Provisional Licence)	6
XU Chenming	BQB328	Licensed Representative (Provisional Licence)	1, 4, 6
XU Hang	BQC605	Licensed Representative (Provisional Licence)	4
XU Hengjie	BQC441	Licensed Representative (Provisional Licence)	1
XU Lingyi	BQC494	Licensed Representative (Provisional Licence)	1
XU Stanford Shaozheng	BQD598	Licensed Representative (Provisional Licence)	1
XU Weixiang	BJM252	Licensed Representative (Provisional Licence)	9
XU Zhao	BQA512	Licensed Representative (Provisional Licence)	1, 2
XU Zimeng	BQC341	Licensed Representative (Provisional Licence)	1, 2
YAN Denise	BQC637	Licensed Representative (Provisional Licence)	2, 5
YANG Ming, David	BPY524	Licensed Representative (Provisional Licence)	1
YANG Shenghui	BQB668	Licensed Representative (Provisional Licence)	1, 4
YANG Shicheng	BQE351	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Wei	BQA515	Licensed Representative (Provisional Licence)	1, 6
YANG Yingqi	BQD383	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Ziqi	BQE577	Licensed Representative (Provisional Licence)	1
YAU For Wai Texas	BQF076	Licensed Representative (Provisional Licence)	1, 2
YAU Yu Fung	BMA934	Licensed Representative (Provisional Licence)	1
YE Fen	BQE750	Licensed Representative (Provisional Licence)	1, 4
YEN Pin Chen	BQE103	Licensed Representative (Provisional Licence)	1
YEUNG Wai Shing	BQF094	Licensed Representative (Provisional Licence)	1, 4, 6
YIP Chun Hei	BPY506	Licensed Representative (Provisional Licence)	1, 6
YIP Chun Hin	BQC944	Licensed Representative (Provisional Licence)	1, 2
YIP Tang Pang	BBJ914	Licensed Representative (Provisional Licence)	1, 2, 4, 5
YIP Tsz Kin Joe	AXU899	Licensed Representative (Provisional Licence)	1, 4, 9
YIU Ho Ching Dennis	BPY799	Licensed Representative (Provisional Licence)	1
YU Hongyi	BAC149	Licensed Representative (Provisional Licence)	1, 4, 6
YU Hongyue	BPY755	Licensed Representative (Provisional Licence)	1, 6
YU Tin Yan Grace	BQB700	Licensed Representative (Provisional Licence)	1
YUAN Haozhe	BPY838	Licensed Representative (Provisional Licence)	1, 4, 6
YUAN Quan	BQC376	Licensed Representative (Provisional Licence)	1, 4, 6
YUAN Yifang	BQD375	Licensed Representative (Provisional Licence)	1, 4
ZHAI Muyun	BPY736	Licensed Representative (Provisional Licence)	1, 6
ZHANG Chenchen	BQB412	Licensed Representative (Provisional Licence)	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Guoxin	BQC463	Licensed Representative (Provisional Licence)	1
ZHANG Huizi	BQB926	Licensed Representative (Provisional Licence)	1
ZHANG Jiashu	BQB531	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Jingyi	BPX561	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Jiren	BQF015	Licensed Representative (Provisional Licence)	4
ZHANG Rui	BQB519	Licensed Representative (Provisional Licence)	4, 9
ZHANG Xiaonan	BPY496	Licensed Representative (Provisional Licence)	1, 4
ZHANG Xuan	BQD377	Licensed Representative (Provisional Licence)	1
ZHANG Xuanci	BQC406	Licensed Representative (Provisional Licence)	1, 4
ZHANG Yi	BKE631	Licensed Representative (Provisional Licence)	1, 4, 6
ZHAO Tianwei	BQC687	Licensed Representative (Provisional Licence)	1, 4, 6
ZHAO Yi Ning	BQC344	Licensed Representative (Provisional Licence)	1, 2
ZHENG Wenjia	BPX567	Licensed Representative (Provisional Licence)	4, 9
ZHOU Huaisong	BOQ931	Licensed Representative (Provisional Licence)	1, 4
ZHOU Shiyi	BGR107	Licensed Representative (Provisional Licence)	9
ZHOU Tu	AZR295	Licensed Representative (Provisional Licence)	1, 2, 7
ZHOU Yue	BPY022	Licensed Representative (Provisional Licence)	1, 6
ZHU Jiafeng	BBQ168	Licensed Representative (Provisional Licence)	1, 4
ZHUANG Siyu	BQC115	Licensed Representative (Provisional Licence)	1, 2
ZUO Pangli	BQE958	Licensed Representative (Provisional Licence)	1, 6
CAI Yaoyu	BQA728	Licensed Representative (Temporary Licence)	6
CHUAH Chia Ern	BMQ946	Licensed Representative (Temporary Licence)	1, 4, 6
DAI Xi	BEL250	Licensed Representative (Temporary Licence)	6
GRASHOFF Dennis	BGH024	Licensed Representative (Temporary Licence)	1
LI Tao	BQA734	Licensed Representative (Temporary Licence)	6
OUYANG Linshan	BQA722	Licensed Representative (Temporary Licence)	6
SANO Fumihiko	BPW837	Licensed Representative (Temporary Licence)	1, 6