

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
AUS Financial Group (Hong Kong) Limited	BLU727	Licensed Corporation	3
BFIN Private Advisors (Hong Kong) Limited	BCA093	Licensed Corporation	1, 4
Capari Asset Management (Hong Kong) Limited	BNC934	Licensed Corporation	1, 4, 9
Capital For Business Limited	AAA113	Licensed Corporation	9
General Enterprise Management Services (HK) Limited	AHG115	Licensed Corporation	4
Grand Cartel Hong Kong Asset Management Limited	BGK502	Licensed Corporation	9
Green Capital Limited	BGV314	Licensed Corporation	6
HFZ Capital Management Limited	AQJ840	Licensed Corporation	9
J.W. Childs Asia Equities (Hong Kong) Limited	AOS057	Licensed Corporation	4, 9
JS Capital Limited	BNN239	Licensed Corporation	6
KVB Kunlun Asset Management (HK) Limited - KVB Kunlun Asset Management (HK) Limited (AQG577) and KVB Kunlun Securities (HK) Limited (AQG528) amalgamated on 15 December 2020, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is KVB Kunlun Asset Management (HK) Limited (BQK571).	AQG577	Licensed Corporation	4, 9
KVB Kunlun Securities (HK) Limited - KVB Kunlun Securities (HK) Limited (AQG528) and KVB Kunlun Asset Management (HK) Limited (AQG577) amalgamated on 15 December 2020, pursuant to the relevant provisions of the Companies Ordinance (Chapter 622). The amalgamated company is KVB Kunlun Asset Management (HK) Limited (BQK571).	AQG528	Licensed Corporation	1, 2, 5
Numerical Universe Asset Management Limited	BNX086	Licensed Corporation	9
QH Capital Limited	ARC062	Licensed Corporation	4, 6
Vanhou Asset Management Limited	BIC884	Licensed Corporation	4, 5, 9
Wing On Cheong Securities Company Limited	AAJ122	Licensed Corporation	1, 4
Yuanhao Capital Management Limited	BAZ659	Licensed Corporation	9
AN Yuan	BMV714	Licensed Representative	6
ANEGAWA Toshiyuki	BAI276	Licensed Representative	1
ARAI Katsumi	BMO263	Licensed Representative	1
ARAYANIMITSKUL Janyawan	BDF627	Licensed Representative	1, 4
AU YEUNG Pui Yi	AGL489	Licensed Representative	1
AU Yuet Ting	BJC097	Licensed Representative	1
AUBAGUE Adrien Pierre	BOJ031	Licensed Representative	1
BAE Yu Jung	BFG508	Licensed Representative	4
BERMEJO Philippe	AUH272	Licensed Representative	2
BI Xiaojing	BOR895	Licensed Representative	1
BOREN Scott Fontanez	BEA214	Licensed Representative	9
BOUAKHASITH Mathieu	AZK560	Licensed Representative	1, 2
BRACKENBURY Benjamin James Rhodes	BPR459	Licensed Representative	1
BREWSTER Trevor	BIJ685	Licensed Representative	1
BURT Dionne Michelle	AYI108	Licensed Representative	1, 2
CARPENTER James Alistair	BPE998	Licensed Representative	1, 2
CHAI Jun	BID608	Licensed Representative	1, 2, 4, 5, 9
CHAN Christy	BHQ079	Licensed Representative	1, 4, 9
CHAN Ka Chun	BNK737	Licensed Representative	1, 6
CHAN Ka Ho	AWL141	Licensed Representative	1
CHAN Ka Lun	AQJ305	Licensed Representative	9
CHAN Kin Lun	BDZ946	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Peter I-Chuin	BHU073	Licensed Representative	4
CHAN Pik Han, Belinda	AIH411	Licensed Representative	1
CHAN Pik Sim	AAE042	Licensed Representative	1
CHAN Susan Min Jing	ALF752	Licensed Representative	1
CHAN Wai Leung	AUX093	Licensed Representative	1, 2
CHEN CEN Charlis	BNQ742	Licensed Representative	1
CHEN Chaoqin	BMH488	Licensed Representative	1, 4, 6
CHEN Haohang	BFV929	Licensed Representative	1, 4, 9
CHEN Hongpei	BFS296	Licensed Representative	4, 9
CHEN Jiashi	BIT259	Licensed Representative	1, 4
CHEN Kam Yin	AGK503	Licensed Representative	1, 2
CHEN Mengru	BOM630	Licensed Representative	1, 4, 9
CHEN Zhenhao	BPR665	Licensed Representative	1, 4
CHEN Zi Mu	ALA254	Licensed Representative	1, 4, 9
CHEUNG Chun Hin, Selwyn	BMV732	Licensed Representative	4
CHEUNG Fuk Kam, David	AUE238	Licensed Representative	3
CHEUNG Ngok Ching	BNK951	Licensed Representative	1
CHEUNG Wing Yin	AYS789	Licensed Representative	1
CHEUNG Yuk Sing	ARP728	Licensed Representative	1
CHEUNG Angela On Ki	AFT714	Licensed Representative	1, 4, 9
CHEUNG Chak Ho	BCY422	Licensed Representative	1
CHEUNG Chi Hung	APF960	Licensed Representative	1
CHEUNG Fu Sang	AAF297	Licensed Representative	1, 2
CHEUNG Kai Hung	BGL610	Licensed Representative	1, 2
CHEUNG Ng Mui, Cecilia	AFK647	Licensed Representative	1
CHEUNG Siu Lui, Camby	AMS440	Licensed Representative	1
CHEUNG Sun Yung	AHF981	Licensed Representative	1
CHEUNG Wai Yin	BPL986	Licensed Representative	1
CHEUNG Yip Wai, Wilson	ACY227	Licensed Representative	1
CHIU Yu Lam	ADY662	Licensed Representative	1, 2
CHOI Byungjun	BNU508	Licensed Representative	4
CHOI Jiwan	BFA104	Licensed Representative	1, 4
CHONG Chi Shing	BET661	Licensed Representative	1, 4, 9
CHONG Yee Wai	ARM326	Licensed Representative	9
CHOW Ka Chun	AQA972	Licensed Representative	4, 5, 9
CHOW Ming Leung, Danny	AXK806	Licensed Representative	1, 2
CHOW Suk Yan	BOO197	Licensed Representative	1, 4
CHOW Wing Chun, Alexander	BEK765	Licensed Representative	1
CHU Chik Wing, Johann	AEM747	Licensed Representative	1
CHU Shek Chiu	AXF395	Licensed Representative	1
CHU Sze Nga	BOH164	Licensed Representative	1
CHU Wai Ping	BHS039	Licensed Representative	1, 2
CHUI Lik Ching	BIH311	Licensed Representative	4
CHUI Shu Kit	ADV649	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHUN Wing Ling	ABB015	Licensed Representative	1
CHUNG Chiao Ling	BOU156	Licensed Representative	1
CHUNG Lung Kong	AMY966	Licensed Representative	1
CHUNG Shun Kay	AAB365	Licensed Representative	1
COOK JR William P	BOT791	Licensed Representative	1
CUI Can	BPM918	Licensed Representative	1, 6
CUI Youmao	BOX018	Licensed Representative	4, 9
DE SEQUEIRA Julia Maria Aisha	BFS538	Licensed Representative	1, 6
DING Mingyuan	BIV620	Licensed Representative	1
DING Yan	BOP667	Licensed Representative	1
DODGSON Ryan Peter	BDH245	Licensed Representative	4, 9
DONG William Chen	AIU737	Licensed Representative	4
DUAN Mohao	BNW282	Licensed Representative	2
DWIVEDI Harshit	BFC405	Licensed Representative	1, 4, 9
DWIVEDI Vikas	BOI609	Licensed Representative	4
FAN Chi Wai	AFU087	Licensed Representative	1, 2, 3
FAN See Hang	ARM892	Licensed Representative	6
FANG Fang	BEE787	Licensed Representative	2, 5
FENG Canaryao	BPF852	Licensed Representative	1, 2
FORZY Albane Sophie Marie Odette	BOJ193	Licensed Representative	1, 2
FU Huihui	BEJ105	Licensed Representative	1, 2, 4
FUNG Hon Kau, Nelson	AEM745	Licensed Representative	1
FUNG Man Kei	AOW960	Licensed Representative	1
FURUKAWA Makoto	APA389	Licensed Representative	1
GANLEY Conor Francis	BPK066	Licensed Representative	9
GAO Min	BJO856	Licensed Representative	4
GAO Xiang	BNU645	Licensed Representative	1, 2, 4
GAUTHIER-STREIFF DELPHINE CLELIA	BQC481	Licensed Representative	6
GRINNAN IV St George Tucker	BFP314	Licensed Representative	4, 9
GU Shangxuan	BPU698	Licensed Representative	4, 9
GU Xiaochen	BOR420	Licensed Representative	1, 6
GUINNESS Samuel Walter	AZC673	Licensed Representative	9
GUO Zidong	BPG211	Licensed Representative	6
GURUNG Prashant	BNY519	Licensed Representative	9
HAN James Doh-won	BOD931	Licensed Representative	9
HE Jiayu	BQC349	Licensed Representative	1, 6
HENG Johnny	APZ210	Licensed Representative	1, 4
HIDAKA Adrian	AXX260	Licensed Representative	1, 2
HO J. C.	BFC553	Licensed Representative	1, 4
HO Man Yi	AMF363	Licensed Representative	1
HO Mei Yi, Helen	AFV082	Licensed Representative	1
HO Sau Wai	BGY641	Licensed Representative	1, 4
HO Szu-hsien, Josephine	APS312	Licensed Representative	10
HO Wang Kei, David	ARK440	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
HON Yuk Ying	BF1463	Licensed Representative	1
HONG Meixian	BCH697	Licensed Representative	1
HOYAU Eric Jean-Pierre Maurice	AWW218	Licensed Representative	1, 2
HSU Calvin James	ADM062	Licensed Representative	1
HU Jiazhi	BMX452	Licensed Representative	6
HU Xiaojun	BOM157	Licensed Representative	1, 6
HUANG Chengyu	BHJ591	Licensed Representative	4
HUANG Jieying	BEZ611	Licensed Representative	9
HUANG Wen Wei	BHY268	Licensed Representative	1, 4
HUANG Yushan	BNS469	Licensed Representative	1, 2
HUEN Kit Ying	BFW361	Licensed Representative	1, 4, 9
HUI Kwok Sai	AXS322	Licensed Representative	1, 2
HUI Yau Kui Anthony	BIX398	Licensed Representative	1
HUNG Kin Ho	ALL163	Licensed Representative	9
HUNG Lok Kwan	AUB816	Licensed Representative	1, 2, 9
IWAI Toru	BND774	Licensed Representative	1
JI Wenxiu	BPM773	Licensed Representative	1
JIA Qin	AYT778	Licensed Representative	1, 4, 6
JIA Yanping	BDY739	Licensed Representative	1
JIN Ling	BKH182	Licensed Representative	4, 9
JU Tong	BMM186	Licensed Representative	1, 4, 6
KAN Tung Fai	AOQ748	Licensed Representative	1
KANG Mounjjin	BJV306	Licensed Representative	1, 6
KARAS Bernhard	ASW620	Licensed Representative	1, 4
KE Weijie	BMH284	Licensed Representative	1, 4, 9
KENNEDY Peter Joseph	BLK164	Licensed Representative	1
KEUNG Ka Lok	BAP680	Licensed Representative	1
KING Steven Michael	ALY605	Licensed Representative	1
KOMIYA Tomoki	BMO269	Licensed Representative	1
KONG Xiangyun	BPO550	Licensed Representative	6
KUO Anthony Chuanjie	BFW602	Licensed Representative	1, 4, 9
KWOK Chun Hei	APW669	Licensed Representative	1, 4
KWOK Kwan Kit	ART041	Licensed Representative	4, 5
KWOK Siu Ying	ADI143	Licensed Representative	1
KWOK Yee Fai	ANU951	Licensed Representative	1
KWON Se Young	APP194	Licensed Representative	4, 9
LAI Fai Fai	BPN921	Licensed Representative	1
LAM Kam Hung	BHX626	Licensed Representative	1
LAM Kin Wa	BIE364	Licensed Representative	1, 2
LAM Nok Sze Christie	BOC922	Licensed Representative	1, 4, 9
LAM Siu Ping, Caren	APD416	Licensed Representative	1, 4
LAM Suk Yee	BAH705	Licensed Representative	1
LAM Wing Mou	BBP565	Licensed Representative	1
LAU Hon Wing	BFD807	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAU Ching Yin	BPO905	Licensed Representative	1, 4
LAU Chun Hung	BJB485	Licensed Representative	4
LAU Hiu Wai	ALA867	Licensed Representative	1
LAU Kai Kwong	BGW428	Licensed Representative	1
LAU Kin Wing, Ken	AAB985	Licensed Representative	1
LAU Pik Chun Carria	AKX519	Licensed Representative	1
LAW I Lung	BFN654	Licensed Representative	1, 4, 5, 9
LE Xue	ARM838	Licensed Representative	1, 4
LEE Chin Ting	BEQ713	Licensed Representative	6
LEE For Lun	BEN077	Licensed Representative	1
LEE Ho Yin	AMS264	Licensed Representative	3
LEE Ho Yin	BCI442	Licensed Representative	6
LEE How Sing	AGP497	Licensed Representative	1
LEE Sai Man	BMZ548	Licensed Representative	10
LEE Sau Lin	AKT533	Licensed Representative	1
LEE Sin Yee	AWR726	Licensed Representative	1, 2
LEE Yan Ben, Samuel	ANU564	Licensed Representative	2
LEE Ying Leong	BFO130	Licensed Representative	9
LEUNG Fung Kuen Cindy	BNJ529	Licensed Representative	1
LEUNG Ka Man Norman	BIY835	Licensed Representative	1, 4
LEUNG Kam Fai	AGQ493	Licensed Representative	1
LEUNG Matthew Ting Pok	BOP252	Licensed Representative	6
LEUNG Mei Chu	AFZ229	Licensed Representative	1
LEUNG She Kwong, Jackson	BEH770	Licensed Representative	1, 4, 9
LEUNG Tze Shing, Vincent	AMC618	Licensed Representative	1
LEUNG Wai Yan	BOJ561	Licensed Representative	1, 4
LEUNG Yu Shun	BKL783	Licensed Representative	1, 4, 9
LEUNG Yuk Sim, Corinna	AJD996	Licensed Representative	1
LI Bowen	BPS171	Licensed Representative	1, 6
LI Junsong	BEY885	Licensed Representative	4
LI Mingzhe	BOE754	Licensed Representative	9
LI Ruo Yu	BNO559	Licensed Representative	1, 2, 4
LI Shuo-Ju	BJU789	Licensed Representative	1, 4, 6
LI Yunlu	BET994	Licensed Representative	1, 6
LI Zheng	BJM368	Licensed Representative	4, 9
LIAN Jie	AZV944	Licensed Representative	1, 4
LIM Chwee Suan, Corrina Doris	AFP852	Licensed Representative	9
LIM Kin Yung	BCS973	Licensed Representative	1, 2
LIN Danwei	BOQ910	Licensed Representative	1
LIN Zijie	BIH873	Licensed Representative	4
LINDSAY James Stuart	BOL436	Licensed Representative	9
LING Chi Kin	ABE290	Licensed Representative	1
LIU Shuai	BCC326	Licensed Representative	4
LIU Shuanglong	BLM093	Licensed Representative	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Yilin	BOR899	Licensed Representative	1
LIU Yuchen	BOM500	Licensed Representative	1, 4, 6
LIU Yuzhan	BHL719	Licensed Representative	2
LO Kam Tong	ADX374	Licensed Representative	1
LO Kang	BLK165	Licensed Representative	1, 4
LO Kit Ping, Wendy	AEM732	Licensed Representative	1
LOH Kin Chung Marcus	BHM584	Licensed Representative	1
LOK Chun Wai	BOR245	Licensed Representative	1
LOO Ching Rei Jessica	BHX431	Licensed Representative	1, 4, 6
LOO Wing Kit	ARD826	Licensed Representative	1, 2
LU Boyi	BMM613	Licensed Representative	1, 2, 4, 5
LU Nan	BKS599	Licensed Representative	1, 4, 6
LU Ping	BLY772	Licensed Representative	1, 2, 4
LU Yang	BPJ266	Licensed Representative	1, 9
LU Yi Chun	BHL047	Licensed Representative	1, 4, 9
LUI Tak Kai	ANR625	Licensed Representative	1
LUNG Donald Andrew	AKU007	Licensed Representative	9
MA Chun Yan	ABS874	Licensed Representative	1, 2
MA Siu Wah	ADG071	Licensed Representative	1
MA Sze Wai	APF831	Licensed Representative	1
MA Tsz Hin	AYA935	Licensed Representative	1, 4
MAN Kam Oi	AMF615	Licensed Representative	1
MANG Keng Fung	AGE486	Licensed Representative	1, 2
MAREACHEALEE Narendrasing	BMX877	Licensed Representative	1
MASATO Araki	BMV103	Licensed Representative	1
MCFERRAN Jack Howard Egar	AIN733	Licensed Representative	1, 2, 4
MIYAMOTO Go	BIN850	Licensed Representative	4
MIYATA Naohiko	BMT555	Licensed Representative	1
MURAKAMI Hirotoshi	BMK025	Licensed Representative	1
NG Kar Yin, Derek	AVZ068	Licensed Representative	1, 6
NG Kei Man	BHC209	Licensed Representative	1
NG Li Shan	BEY937	Licensed Representative	1
NG Pui Sze	APR953	Licensed Representative	1
NGAN Chor Tin	ACI490	Licensed Representative	1
NGAN Siu Fun	AAC998	Licensed Representative	1
NICHOLSON John Marshall	AID049	Licensed Representative	1, 4, 6
NIE Shuoliang	AWX068	Licensed Representative	1, 4, 6
NIU Shihao	BLI959	Licensed Representative	1, 6
NOBLES IV William Daniel	BOK249	Licensed Representative	1, 4
OGINO REIJI	BOC299	Licensed Representative	1
OMURA Shunta	BBH669	Licensed Representative	1
ONUMA Kazuhiko	BHA489	Licensed Representative	1
PAN Fei	BPV622	Licensed Representative	1, 6
PANG Kwok Chi	AXZ811	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
PANG Nan	BLI006	Licensed Representative	9
PENG Fei	BNU244	Licensed Representative	4
PENG Shuaijie	BNJ202	Licensed Representative	1
PHUA Si Hui Nicole Faith	BJH534	Licensed Representative	4
PIM Jacob Samuel	BNY556	Licensed Representative	9
POK Ming Kai	BGB603	Licensed Representative	1, 6
POON Chun Fung	BDO579	Licensed Representative	1
POON Wing See Ada	BJT109	Licensed Representative	1, 4, 9
QIAO Yi	BPQ849	Licensed Representative	1
QU Xiaohui	BPI573	Licensed Representative	1, 4, 6
RAN Yuhang	BNS759	Licensed Representative	4
REN Dongyang	BHS243	Licensed Representative	4, 9
SAKURADA Junji	BAL557	Licensed Representative	9
SAMANT Medha Aditya	ACP452	Licensed Representative	1, 9
SASAKI Tsubasa	BFA015	Licensed Representative	1
SEO Se Heun	BKU705	Licensed Representative	1, 4, 6
SHAO Kam Fu	ACC651	Licensed Representative	9
SHEN Huajie	BPG214	Licensed Representative	6
SHEN Minggao	ATQ771	Licensed Representative	1, 4
SHEN Tingting	BLZ746	Licensed Representative	1, 2
SHI Hanbei	BMC485	Licensed Representative	1
SHI Wenjia	BMG353	Licensed Representative	1, 6
SHIOHARA Kunihiro	BLX817	Licensed Representative	1
SIT Chi Hang	APK619	Licensed Representative	1, 2
SIT Kwan Kit	AZQ787	Licensed Representative	1
SO Kin Yip	AIU263	Licensed Representative	1
SO Kwai Lin	BNV804	Licensed Representative	1, 4
SO Sze Yin	AFH636	Licensed Representative	1
SO Wai Ling	BMW393	Licensed Representative	1
SONG Cheng	BEW379	Licensed Representative	1, 4, 9
SONG Zhengwei	BIR545	Licensed Representative	4
STAFF Neil David	BPK453	Licensed Representative	1, 2
SUGIMOTO Koichi	AYI537	Licensed Representative	1
SUN Xiaolin	BOZ587	Licensed Representative	1, 4
SUN Yu	BMD863	Licensed Representative	6
SZETO Yuk Kit, Karen	ABE390	Licensed Representative	1
TAI Ka Chun	BPY617	Licensed Representative	1, 6
TAM Chris Sze On	BJD946	Licensed Representative	1, 6
TAN Mui Ling, Sharon	AMK254	Licensed Representative	1, 4
TANAKA Hideaki	BLX818	Licensed Representative	1
TANG Haibo	ALQ981	Licensed Representative	4, 9
TANG Karen	AFE983	Licensed Representative	4
TANG Yick Shun	BPM248	Licensed Representative	1
TAY Yi Teng Elaine	BGP471	Licensed Representative	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
TIPPAYAVAT Sutinee	BLQ460	Licensed Representative	1, 4
TOGO Tomoyuki	AXC507	Licensed Representative	9
TSANG Andrew In Man	BOG056	Licensed Representative	6
TSE Pak Cheong	ACK011	Licensed Representative	3
TSOI Lon Ho	BCC468	Licensed Representative	1, 4, 6
TSOI Yat Fei	BNC149	Licensed Representative	1, 2, 4
TSUI Man Ki Natalie	BPK604	Licensed Representative	4, 9
TSUNOYAMA Tomonobu	BMH728	Licensed Representative	1
TUBIANA Frederic Antoine	AFT309	Licensed Representative	1, 2
TUNG Peter Tze-Chung	ACO908	Licensed Representative	1, 4, 9
VIETTI Enrico	BEE489	Licensed Representative	1, 4
WADEL Vincent Nicolas Roger Jean	BHL501	Licensed Representative	1, 2
WAN Johnson	AQN189	Licensed Representative	9
WAN Lingyan	BCL741	Licensed Representative	1, 4, 9
WAN Tak Hin	BPM595	Licensed Representative	1
WANG Alice Yichen	BFY997	Licensed Representative	9
WANG Dongchen	AWX611	Licensed Representative	4, 9
WANG Fei	BES742	Licensed Representative	1, 4, 5, 9
WANG Minchen	BPS537	Licensed Representative	1, 4, 6
WANG Ning	AVA354	Licensed Representative	1, 4, 9
WANG OLIVER Ying Lun	BMG609	Licensed Representative	4, 9
WANG Rui	BFZ379	Licensed Representative	4, 9
WANG Rui	BHX270	Licensed Representative	1, 4, 6
WANG Shiqing	BPO888	Licensed Representative	1, 6
WANG Ting	BLT890	Licensed Representative	4
WANG Xiaolei	BNN901	Licensed Representative	1, 4, 9
WANG Xueyuan	BPM680	Licensed Representative	1, 6
WANG Yufei	BOQ833	Licensed Representative	1, 4, 6
WANG Zhi	BJD994	Licensed Representative	1, 4
WAT Hiu Ching	AMZ188	Licensed Representative	1, 2, 4, 9
WEI Ou	BPO672	Licensed Representative	1, 6
WEI Zhenbang	BPM582	Licensed Representative	1, 4, 6
WEI Zhiqiang	AXN293	Licensed Representative	4, 9
WONG Anthony Way-choy	BHV377	Licensed Representative	1, 2
WONG Chi Man	BGU408	Licensed Representative	4, 9
WONG Chong Sum	BPC397	Licensed Representative	1, 4, 9
WONG Kam Fai, Kelvin	BIV306	Licensed Representative	1
WONG Kwok Leung Orsan	BBW887	Licensed Representative	1
WONG Mabel	BQD397	Licensed Representative	1, 2
WONG Man Yan	BLD595	Licensed Representative	1
WONG Ming Wah	BNS217	Licensed Representative	1
WONG Ming Yeung, Anthony	BOG409	Licensed Representative	1
WONG Tin Shing	BKF525	Licensed Representative	1, 4, 6
WONG Wai Ling	AEU620	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Wan Yin, Pamela	API276	Licensed Representative	1, 4, 9
WONG Yat Chung Joseph	BJV894	Licensed Representative	1
WOO Ming Him	AER921	Licensed Representative	1
WU Hsiang Yun	BOE758	Licensed Representative	1, 4, 9
WU Shing Yan	BHI026	Licensed Representative	1
WU Yueh Ling	BPW984	Licensed Representative	1
XIA Chenxi	BEN358	Licensed Representative	1, 4, 9
XIA Yixin	BHV019	Licensed Representative	1, 4
XIAO Xin	AWU102	Licensed Representative	1, 2, 4
XIAO Zhuo	BJV078	Licensed Representative	1, 4, 9
XIE Shangyu	BMW764	Licensed Representative	4, 9
XIE Yi	BOL641	Licensed Representative	1, 2
XU Pengbing	AWC146	Licensed Representative	1
YAGI Ryo	BOJ669	Licensed Representative	1
YAMAUCHI Natsumu	BNP383	Licensed Representative	1
YAMAZAKI Mie	BMW881	Licensed Representative	1
YANG Chunxi	BJL681	Licensed Representative	4, 9
YANG Clinton Victor	ALI750	Licensed Representative	4
YANG Feng	BOT856	Licensed Representative	4
YANG Jin	BOZ177	Licensed Representative	1, 4, 9
YANG LINSHU	BPG367	Licensed Representative	6
YANG Xiao	BID413	Licensed Representative	1, 4, 9
YANG Yaru	BPF867	Licensed Representative	1, 4, 9
YAO Cissy Qian	ALK011	Licensed Representative	1, 2
YAO Peirong	AXB357	Licensed Representative	1
YAO Shijia	BJR345	Licensed Representative	4
YAO Xunyu	BPC088	Licensed Representative	1, 4
YE Fang	BLN946	Licensed Representative	1, 4, 6
YE Qiaoling	BNJ186	Licensed Representative	1, 6
YEUNG Chi Ying, Gina	AGD867	Licensed Representative	1
YEUNG Chun Ming	BNE907	Licensed Representative	1
YEUNG Hei Chun	BOL793	Licensed Representative	1
YI Weichen	BOL430	Licensed Representative	1
YIM Wai Han, Stella	ASZ248	Licensed Representative	1
YIP Tung Ching	BEH779	Licensed Representative	1
YOU Jianshu	BDX524	Licensed Representative	1
YU Jia	BMO320	Licensed Representative	1, 4, 9
YU Ki Biu	ALU837	Licensed Representative	1, 2
YU Mujun	BIO227	Licensed Representative	4, 9
YUAN Yue	BOK326	Licensed Representative	1, 4
ZHANG Hao	ASW837	Licensed Representative	1, 2, 4, 5
ZHANG Tingjie	ALU481	Licensed Representative	4, 6
ZHANG Yahan	BIW815	Licensed Representative	4
ZHANG Yufan	BED918	Licensed Representative	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Zhixin	BPO659	Licensed Representative	6
ZHAO Di	BOG045	Licensed Representative	4, 9
ZHAO Liang	BGH804	Licensed Representative	1, 4, 9
ZHAO Pengfei	BKA562	Licensed Representative	1, 4, 9
ZHAO Yihan	BOZ366	Licensed Representative	1
ZHONG Lei	APQ822	Licensed Representative	1, 4, 9
ZHOU Hongyu	BOS249	Licensed Representative	4, 9
ZHOU Qianwen	BLT528	Licensed Representative	1, 2, 5
ZHU Min	BPX372	Licensed Representative	4, 9
ZUO Jun	BMV721	Licensed Representative	1, 4, 9
AU Chi Wa	ADQ336	Licensed Representative - Responsible Officer	2
BUERGE David John	BEM324	Licensed Representative - Responsible Officer	1, 4, 9
CHAN Lok Him	BDF100	Licensed Representative - Responsible Officer	9
CHAN Tat Chee	AAA688	Licensed Representative - Responsible Officer	1
CHAN Tsun Man, Norman	ABW233	Licensed Representative - Responsible Officer	1
CHAN Wai Man Raymond	AEI190	Licensed Representative - Responsible Officer	1
CHAN Yu Kit, Jacky	ARO061	Licensed Representative - Responsible Officer	1
CHANG Hsun Fang	ANP491	Licensed Representative - Responsible Officer	4, 9
CHEN Peng	BAP176	Licensed Representative - Responsible Officer	4, 9
CHEUNG Man	ACP027	Licensed Representative - Responsible Officer	1
CHEUNG Ka Wong, Henry	AEX566	Licensed Representative - Responsible Officer	3
CHEUNG Ling Yee, Elaine	AEH773	Licensed Representative - Responsible Officer	1, 4, 6, 9
CHEUNG Tsun Hin, Samson	ARN600	Licensed Representative - Responsible Officer	4, 9
CHOW Chun Kit	AXW953	Licensed Representative - Responsible Officer	4, 9
CHOWDHARY Pranav	ATF072	Licensed Representative - Responsible Officer	1, 2
CHU Vincent Wing-yuen	ALP637	Licensed Representative - Responsible Officer	4, 9
DALE Ashley Robert William	AYC476	Licensed Representative - Responsible Officer	1, 4, 9
DE VliegHER Pierre-edouard M.R.G.A.	AUH914	Licensed Representative - Responsible Officer	2
DOMINICK Frank	AEW395	Licensed Representative - Responsible Officer	9
GIBSON Kevin Archer Graham	AKV153	Licensed Representative - Responsible Officer	4, 9
GOCSEI Renaud, Jean-Claude	ASD685	Licensed Representative - Responsible Officer	1, 2
HEYES Richard Charles	ACR432	Licensed Representative - Responsible Officer	1, 2, 4, 7
HO Man Tat	ADG964	Licensed Representative - Responsible Officer	1, 4
HUI Sheung Yin, Daniel	AGC425	Licensed Representative - Responsible Officer	4, 9
KAI Yu-sheng	ALS659	Licensed Representative - Responsible Officer	9
KAN Tung Fai	AOQ748	Licensed Representative - Responsible Officer	9
KOO Fook Sun, Louis	AAI903	Licensed Representative - Responsible Officer	6
KWOK Kwan Kit	ART041	Licensed Representative - Responsible Officer	1, 2
LAI Ka Cheong	BBD374	Licensed Representative - Responsible Officer	1, 4, 9
LAI Ming Hon, Justin	AWY011	Licensed Representative - Responsible Officer	1, 4, 9
LAU Hiu Wai	ALA867	Licensed Representative - Responsible Officer	4
LAU Ka Lung, Ali	AAG484	Licensed Representative - Responsible Officer	1, 4
LAU Kin Wing, Ken	AAB985	Licensed Representative - Responsible Officer	4, 9
LAU Kwan Hing	BDD696	Licensed Representative - Responsible Officer	4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAW Ka Fai	APH953	Licensed Representative - Responsible Officer	1, 2, 9
LEE Ling Feng	BNY024	Licensed Representative - Responsible Officer	4, 9
LEE Pui Hang Julian	AFN050	Licensed Representative - Responsible Officer	1, 4, 6
LEE Yan Ben, Samuel	ANU564	Licensed Representative - Responsible Officer	1, 7
LEUNG Mei King Maggie	ATL473	Licensed Representative - Responsible Officer	1
LEUNG Wing Cheung, William	ALI608	Licensed Representative - Responsible Officer	1, 4, 9
LI Rui	BOJ545	Licensed Representative - Responsible Officer	1
LIAN Ziqi	BCK867	Licensed Representative - Responsible Officer	9
LIANG Yuan	AMH432	Licensed Representative - Responsible Officer	1, 2, 4
LIU Yanmei	AXR042	Licensed Representative - Responsible Officer	1, 4, 9
LIU Yu	AYH745	Licensed Representative - Responsible Officer	1, 4, 6
LO Kai Yeung Kenneth	BBZ176	Licensed Representative - Responsible Officer	1, 6
LO Shuk Kwan, Alison	AOS013	Licensed Representative - Responsible Officer	1, 4, 9
MA Jui Hsiang	ARJ662	Licensed Representative - Responsible Officer	1, 4, 9
MA Wenhui	BMR908	Licensed Representative - Responsible Officer	4, 9
MAK Ka Yee	AEQ948	Licensed Representative - Responsible Officer	4, 9
MARR Kakai	AAV156	Licensed Representative - Responsible Officer	9
MUI YEUNG Mei Wah	AAC670	Licensed Representative - Responsible Officer	1
NG Tze Chung	ALA304	Licensed Representative - Responsible Officer	1, 2
NI Jianwei	BEM463	Licensed Representative - Responsible Officer	4, 9
NOBLES IV William Daniel	BOK249	Licensed Representative - Responsible Officer	9
PACE Linda Marie	BLY900	Licensed Representative - Responsible Officer	9
PARK Jung-yun	BNM915	Licensed Representative - Responsible Officer	1, 4, 9
PORTER Lee	ARR538	Licensed Representative - Responsible Officer	1, 7
QIU Hoi Fung	ALA484	Licensed Representative - Responsible Officer	4, 9
REYNAL Michael Louis	BCD346	Licensed Representative - Responsible Officer	9
SHAO Kam Fu	ACC651	Licensed Representative - Responsible Officer	4
SHAPIRO Michael Christopher	BIW066	Licensed Representative - Responsible Officer	1, 2
SUN Xiaolin	BOZ587	Licensed Representative - Responsible Officer	9
TAM Chun To	AAB800	Licensed Representative - Responsible Officer	1
TAM Pui Yi, Fiony	AHG456	Licensed Representative - Responsible Officer	4, 9
TANG Kwan Yue	AOV744	Licensed Representative - Responsible Officer	1, 4, 9
THOMPSON Michael Frazier	BJX191	Licensed Representative - Responsible Officer	1, 4, 9
TSOI Chi Kun	ABH186	Licensed Representative - Responsible Officer	1
WARFIELD IV George Richard	ASH893	Licensed Representative - Responsible Officer	4, 9
WONG Hoi Wing	BMX627	Licensed Representative - Responsible Officer	1, 4, 6
WONG Howard	ADY281	Licensed Representative - Responsible Officer	9
WONG Ka Po	AIN952	Licensed Representative - Responsible Officer	6
WONG Man Tai	BGY959	Licensed Representative - Responsible Officer	1, 4, 9
WONG Wai Man	AEZ021	Licensed Representative - Responsible Officer	1, 4
WONG Yun Fai	APD419	Licensed Representative - Responsible Officer	1, 4, 9
WU Richard Chin-Shan	AFH226	Licensed Representative - Responsible Officer	9
WU Sheng	ADX634	Licensed Representative - Responsible Officer	1, 6
XING Zhijia	BGM617	Licensed Representative - Responsible Officer	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	Licence Type	Types of Regulated Activity
YAMA Arthur Elliott	ADY491	Licensed Representative - Responsible Officer	4, 9
YAMAGAMI Shinichiro	AYG572	Licensed Representative - Responsible Officer	1, 2, 4, 5
YANG Haoying	BHC252	Licensed Representative - Responsible Officer	9
YIP Kwok Kwan	ABX510	Licensed Representative - Responsible Officer	1, 6
YUEN Mun	AAD060	Licensed Representative - Responsible Officer	1, 4
ZEIDEN David Craig	BJV734	Licensed Representative - Responsible Officer	1, 9
ZHAI Xushen	BPM316	Licensed Representative - Responsible Officer	1, 4, 9
ZHENG Li	BNI087	Licensed Representative - Responsible Officer	9
AI Yandi	BQL335	Licensed Representative (Provisional Licence)	1, 4, 6
ANN Gi Hong	BQG844	Licensed Representative (Provisional Licence)	2, 5
ANTON Dendi	BQK579	Licensed Representative (Provisional Licence)	1, 7
AO Man	ANT251	Licensed Representative (Provisional Licence)	10
ASKALANY Nermin	BIS597	Licensed Representative (Provisional Licence)	1
BAI Xiaopu	AYJ561	Licensed Representative (Provisional Licence)	1, 2
BAY Wei Heng	BQL650	Licensed Representative (Provisional Licence)	1, 2
BROOKER Holly Robyn	BQH132	Licensed Representative (Provisional Licence)	1, 4, 7
CAI Yixuan	BQM274	Licensed Representative (Provisional Licence)	6
CASTILLO Eric Alberto	AMJ780	Licensed Representative (Provisional Licence)	1
CHAN Ching Yee	BQM897	Licensed Representative (Provisional Licence)	4
CHAN Chun Yuen	BQI904	Licensed Representative (Provisional Licence)	1, 2
CHAN Ho Lam	BQA570	Licensed Representative (Provisional Licence)	1, 4
CHAN Ka Shing	BQK692	Licensed Representative (Provisional Licence)	4, 9
CHAN Kin Fai Eric	BQJ852	Licensed Representative (Provisional Licence)	1, 4, 9
CHAN Long Chi	AMO538	Licensed Representative (Provisional Licence)	4, 9
CHAN Poh Kiat	ANM131	Licensed Representative (Provisional Licence)	1
CHAN Yuen Hei Ronnie	BQI639	Licensed Representative (Provisional Licence)	1, 6
CHANDLER Benjamin Victor Michael	AEO780	Licensed Representative (Provisional Licence)	1
CHAU Ching Ha	APF193	Licensed Representative (Provisional Licence)	9
CHAU Mei Ki	BQI524	Licensed Representative (Provisional Licence)	1, 4
CHE Yunji	BQL481	Licensed Representative (Provisional Licence)	1, 4
CHEN Chi	BPY812	Licensed Representative (Provisional Licence)	1, 6
CHEN Gengxing	BQH311	Licensed Representative (Provisional Licence)	4
CHEN Hang	BQL709	Licensed Representative (Provisional Licence)	1, 6
CHEN Li	BQI101	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Ling Lam	BQN367	Licensed Representative (Provisional Licence)	1, 2
CHEN Lingshan	BQL091	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Meijing	BJY410	Licensed Representative (Provisional Licence)	1, 4
CHEN Mingzhao	BQI845	Licensed Representative (Provisional Licence)	4
CHEN Sihan	BQH910	Licensed Representative (Provisional Licence)	1, 6
CHEN Siyu	BQM433	Licensed Representative (Provisional Licence)	9
CHEN Weiqi	BQL713	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Yilin	BQI799	Licensed Representative (Provisional Licence)	1, 6
CHEN Ying Chih	BIB859	Licensed Representative (Provisional Licence)	1, 2
CHEN Yujie	BQM724	Licensed Representative (Provisional Licence)	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	License Type	Types of Regulated Activity
CHEN Zheqi	BQJ928	Licensed Representative (Provisional Licence)	1, 4, 9
CHENG Chang Tze Tsu	AAD161	Licensed Representative (Provisional Licence)	1
CHENG Chi Shing	BQG036	Licensed Representative (Provisional Licence)	1, 4
CHENG Chieh Chu	ANI665	Licensed Representative (Provisional Licence)	1, 4, 9
CHENG Chin Li	BQL581	Licensed Representative (Provisional Licence)	1, 4
CHENG Hon Fai	ADL038	Licensed Representative (Provisional Licence)	1, 2
CHENG Li	BQL835	Licensed Representative (Provisional Licence)	4, 9
CHENG Wai Yi	BOQ051	Licensed Representative (Provisional Licence)	1
CHENG Yi	BQL226	Licensed Representative (Provisional Licence)	1, 4
CHEUNG King Wing Raymond	BQM509	Licensed Representative (Provisional Licence)	4
CHEUNG Leo Chun Kit	BQL007	Licensed Representative (Provisional Licence)	1
CHEUNG LOI HEUNG	BQM939	Licensed Representative (Provisional Licence)	1
CHEUNG Wing Kei Stanley	BQL620	Licensed Representative (Provisional Licence)	1, 2, 4
CHEUNG Yan Kit Ken	BKK360	Licensed Representative (Provisional Licence)	1, 7
CHEUNG Yik Ki	BKL730	Licensed Representative (Provisional Licence)	1, 6
CHIM Ka Tok	BQJ620	Licensed Representative (Provisional Licence)	1, 2
CHING Yi Edmund	AKU003	Licensed Representative (Provisional Licence)	1, 4
CHIU Wai Pan	BQL555	Licensed Representative (Provisional Licence)	9
CHO Yat Chau	BQL772	Licensed Representative (Provisional Licence)	4
CHOI Dong Gyue	BQH237	Licensed Representative (Provisional Licence)	1
CHOW Chak Shing	AGG820	Licensed Representative (Provisional Licence)	4, 9
CHOW Chun Yu	BQH112	Licensed Representative (Provisional Licence)	9
CHOY Ivan	BQI814	Licensed Representative (Provisional Licence)	1
CHU Kwun Yee	BQL606	Licensed Representative (Provisional Licence)	1
CHU Siqi	BOJ195	Licensed Representative (Provisional Licence)	9
CHUI Nicholas	BQL723	Licensed Representative (Provisional Licence)	1, 2
CHWANG Winnie Phua	BQJ714	Licensed Representative (Provisional Licence)	1
COLANI LI Mei Yun	AMU104	Licensed Representative (Provisional Licence)	4, 9
CUI Yue	BQG381	Licensed Representative (Provisional Licence)	1, 4, 6
DAI Xi	BEL250	Licensed Representative (Provisional Licence)	6
DAI Yuanyuan	AZT772	Licensed Representative (Provisional Licence)	9
DANG Yun	BQK259	Licensed Representative (Provisional Licence)	1, 6
DING Ding	BQJ707	Licensed Representative (Provisional Licence)	6
DING Jianxiong	BQL712	Licensed Representative (Provisional Licence)	1, 4, 6
DONG Siyuan	BQK487	Licensed Representative (Provisional Licence)	4
DOU XUANFEI	BQL732	Licensed Representative (Provisional Licence)	1, 4, 9
DU Ke	BQI464	Licensed Representative (Provisional Licence)	1, 4, 6
DUAN Ting	BQG585	Licensed Representative (Provisional Licence)	1, 4, 9
EDELMAN Marilyn Miki	ARN992	Licensed Representative (Provisional Licence)	1
ELLISON David Charles George	BQJ929	Licensed Representative (Provisional Licence)	1
EVANS Rhodri Lloyd	BQH313	Licensed Representative (Provisional Licence)	1
FAN Chao	BQK473	Licensed Representative (Provisional Licence)	4
FAN Ho Nga	BQL070	Licensed Representative (Provisional Licence)	1
FAN Jiayang	BQL379	Licensed Representative (Provisional Licence)	1, 4, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	License Type	Types of Regulated Activity
FAN Jing	BQI395	Licensed Representative (Provisional Licence)	1, 4, 9
FAN KWOK KEUNG	BQH113	Licensed Representative (Provisional Licence)	1, 2
FENG He	BQL100	Licensed Representative (Provisional Licence)	4
FITZGERALD Paul Gavin	AOB567	Licensed Representative (Provisional Licence)	1
FU Yuet Wan	APZ093	Licensed Representative (Provisional Licence)	1
FUNG Ann Moran	AAD571	Licensed Representative (Provisional Licence)	1, 2
GAO Xibai	BQF738	Licensed Representative (Provisional Licence)	4
GAO Yangyang	BQN567	Licensed Representative (Provisional Licence)	1, 6
GIARRATANO James Robert	BOL534	Licensed Representative (Provisional Licence)	1
GONG Shaoyang	BQI137	Licensed Representative (Provisional Licence)	4, 5, 9
GONG Yuanyue	BQL737	Licensed Representative (Provisional Licence)	4
GU Siyu	BQI774	Licensed Representative (Provisional Licence)	1, 4
GUAN Dongqilai	BQI170	Licensed Representative (Provisional Licence)	4
GUAN Quansen	BQK477	Licensed Representative (Provisional Licence)	4
GUO Ting	BQD218	Licensed Representative (Provisional Licence)	1, 4, 6
GUO Yang	AMA891	Licensed Representative (Provisional Licence)	1, 4, 9
HAN Mingfei	BQL558	Licensed Representative (Provisional Licence)	1, 2
HAN Yichao	BQK468	Licensed Representative (Provisional Licence)	4
HAYASE Takahiro	AUE611	Licensed Representative (Provisional Licence)	1, 2
HENRY Samuel Alain Alexandre	BQL635	Licensed Representative (Provisional Licence)	1
HO Wing Sum, Veda	AOA335	Licensed Representative (Provisional Licence)	1, 4
HONG Wenxi	BHH491	Licensed Representative (Provisional Licence)	1, 6
HU Chien-hsiu	ATN334	Licensed Representative (Provisional Licence)	1, 4, 9
HU Jerry	BQL334	Licensed Representative (Provisional Licence)	1, 4
HU Zhe	BQI147	Licensed Representative (Provisional Licence)	1, 6
HUA Lining	BQK548	Licensed Representative (Provisional Licence)	1, 4, 6
HUANG Benchen	BQJ771	Licensed Representative (Provisional Licence)	4
HUANG Fanyang	BQK283	Licensed Representative (Provisional Licence)	4
HUANG Limin	BQM510	Licensed Representative (Provisional Licence)	9
HUANG Po Jui	BOM844	Licensed Representative (Provisional Licence)	1, 4, 9
HUANG Wenwei	BQH918	Licensed Representative (Provisional Licence)	1, 4
HUANG Yuan	BQM946	Licensed Representative (Provisional Licence)	6
HUI On Kei Angel	BQF970	Licensed Representative (Provisional Licence)	1, 4
HUI Sai Fung	BDL757	Licensed Representative (Provisional Licence)	4, 9
HUNG Chun Ho	BNO534	Licensed Representative (Provisional Licence)	1, 2, 5
IU Cheuk Lok	BQH316	Licensed Representative (Provisional Licence)	1, 4
JANG Jae Hee	BQG804	Licensed Representative (Provisional Licence)	1, 4, 9
JIANG Jin	BQL856	Licensed Representative (Provisional Licence)	1, 4, 9
JIANG Wenju	BGN056	Licensed Representative (Provisional Licence)	1, 6
KAMENZ Maria Katharina	BQJ736	Licensed Representative (Provisional Licence)	1
KE Yi	BQL397	Licensed Representative (Provisional Licence)	1, 4, 6
KIM Jun Ku	BJA747	Licensed Representative (Provisional Licence)	1, 4
KIRK Bruce Stephen James	ASZ619	Licensed Representative (Provisional Licence)	1, 2, 4
KO Chi Yuen, Yvonne	AJJ561	Licensed Representative (Provisional Licence)	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	License Type	Types of Regulated Activity
KO Ling Siu	AQW629	Licensed Representative (Provisional Licence)	1, 2
KO Lon	BQM078	Licensed Representative (Provisional Licence)	1
KUO-TSING-JEN Nicolas Guillaume Stephane	ARN751	Licensed Representative (Provisional Licence)	9
KWAN Tsun Man	AWA326	Licensed Representative (Provisional Licence)	2, 5
KWOK Shing Him Bryan	BQM118	Licensed Representative (Provisional Licence)	6
KWOK Siu Chung, Jonathan	BBX571	Licensed Representative (Provisional Licence)	9
LAI Che Man, Cherie	AQA449	Licensed Representative (Provisional Licence)	1
LAI Yuen Wai	BQM879	Licensed Representative (Provisional Licence)	1, 2
LAM Wing Yuen	BQI697	Licensed Representative (Provisional Licence)	1, 2
LAU Chung Kit	BQK911	Licensed Representative (Provisional Licence)	1, 6
LAU Hui Long	BQM155	Licensed Representative (Provisional Licence)	1
LAU Kai Yeung	ARA400	Licensed Representative (Provisional Licence)	4, 9
LAU Tik Lun	BIY478	Licensed Representative (Provisional Licence)	1, 4
LAU Wing Lam	BQL427	Licensed Representative (Provisional Licence)	1
LAW Kam Chong	BQL716	Licensed Representative (Provisional Licence)	1, 4
LE BARAZER Francois, Pierre, Marie	BQG632	Licensed Representative (Provisional Licence)	9
LE COURT Delphine Marie-Gabrielle	BQG648	Licensed Representative (Provisional Licence)	1, 4
LEE Kai Chung	BQM720	Licensed Representative (Provisional Licence)	1
LEE Kin Hok	BQM450	Licensed Representative (Provisional Licence)	1
LEE Ming Sai	BQL611	Licensed Representative (Provisional Licence)	1
LEE Pui Shan	AIU560	Licensed Representative (Provisional Licence)	1, 4
LEE Sin Yeung	BQM186	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Tae Gyoony	AHE195	Licensed Representative (Provisional Licence)	1, 4, 9
LEUNG Ho Cheong, Barry	ALY163	Licensed Representative (Provisional Licence)	1, 2
LEUNG Siu Fung	BJA647	Licensed Representative (Provisional Licence)	1, 6
LI Ao	BQG749	Licensed Representative (Provisional Licence)	1, 4, 9
LI Guan Ying	BQI385	Licensed Representative (Provisional Licence)	1, 4
LI Hui	ATX931	Licensed Representative (Provisional Licence)	1, 4, 6
LI Kai Lam	BQE786	Licensed Representative (Provisional Licence)	1, 6
LI Ning	BEM063	Licensed Representative (Provisional Licence)	1
LI Wei Bo	BQK707	Licensed Representative (Provisional Licence)	1, 4, 9
LI Xiaoya	BHM189	Licensed Representative (Provisional Licence)	1, 2, 4
LI Yang	BHP108	Licensed Representative (Provisional Licence)	4, 9
LI Ye	BQH211	Licensed Representative (Provisional Licence)	1, 4
LI Zhen	BQM312	Licensed Representative (Provisional Licence)	1, 6
LIANG Baole	BQN216	Licensed Representative (Provisional Licence)	2
LIANG Guangkai	BQL423	Licensed Representative (Provisional Licence)	4
LIANG Shuang	BQL183	Licensed Representative (Provisional Licence)	1, 4
LIANG Ying	BQL539	Licensed Representative (Provisional Licence)	1, 4, 9
LIM Jia Jie	BQL711	Licensed Representative (Provisional Licence)	1, 4
LIN Chen	BQM712	Licensed Representative (Provisional Licence)	1, 6
LIN Che-Yu	BQK957	Licensed Representative (Provisional Licence)	1
LIN Wei-Ming	AHU950	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Yuchen	BQJ742	Licensed Representative (Provisional Licence)	1, 4, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	License Type	Types of Regulated Activity
LING Yuk Fai, Bernard	AMJ499	Licensed Representative (Provisional Licence)	4, 9
LIU Binrong	BQL858	Licensed Representative (Provisional Licence)	1, 4
LIU Hongyi	BES156	Licensed Representative (Provisional Licence)	1, 4
LIU Ting	BQJ819	Licensed Representative (Provisional Licence)	4, 9
LIU Yanqi	BQL648	Licensed Representative (Provisional Licence)	4
LOH Weixian Emily	AOY689	Licensed Representative (Provisional Licence)	1
LONG Hongquan	BQI376	Licensed Representative (Provisional Licence)	4, 9
LU Qiuyang	BQM229	Licensed Representative (Provisional Licence)	4
LU Robert Bryan	AIB831	Licensed Representative (Provisional Licence)	1, 4
LU Wentao	BQL549	Licensed Representative (Provisional Licence)	4
LUNDSTROM Jan Benjamin Kenneth	BQE582	Licensed Representative (Provisional Licence)	9
LYU Xinyi	BQL704	Licensed Representative (Provisional Licence)	1, 6
LYU Yiming	BQI138	Licensed Representative (Provisional Licence)	1, 6
MA Shiyang	BQL409	Licensed Representative (Provisional Licence)	4, 9
MA Xiao	BQK855	Licensed Representative (Provisional Licence)	1, 6
MAI Siwei	AUJ127	Licensed Representative (Provisional Licence)	1, 4, 9
MAK Wing Ki	BQK249	Licensed Representative (Provisional Licence)	4, 5, 9
MALOUF Michelle Maria	BLH578	Licensed Representative (Provisional Licence)	1
MAO Xiaotian	BQN676	Licensed Representative (Provisional Licence)	1
MATHERS Toby Jack Alexander	BQG596	Licensed Representative (Provisional Licence)	9
MC KERNAN Eamonn Peter	BQM936	Licensed Representative (Provisional Licence)	1
MEHTA Amelia Ann	AMF457	Licensed Representative (Provisional Licence)	1
MEREDITH John Patrick Paul	BQL640	Licensed Representative (Provisional Licence)	1, 2
MIKALONYTE Laura	BQL126	Licensed Representative (Provisional Licence)	1
MIKAMI Kazuhisa	BQI678	Licensed Representative (Provisional Licence)	1, 2
MOK Ian Ka Yat	BOD623	Licensed Representative (Provisional Licence)	4, 9
MOK Sin Nam	BQL480	Licensed Representative (Provisional Licence)	1
MORRISON Justin David Christopher	AVO760	Licensed Representative (Provisional Licence)	1
MOU Tiantian	BQL336	Licensed Representative (Provisional Licence)	1, 6
MURRAYLEE Blaise Edward	BQK600	Licensed Representative (Provisional Licence)	1
NG Ka Pong	BJR599	Licensed Representative (Provisional Licence)	1, 4, 9
NG Siu Yan	BQL717	Licensed Representative (Provisional Licence)	1, 4
NG Tsun Ho	BQL613	Licensed Representative (Provisional Licence)	1, 2
NG Tsz Wing	BBD847	Licensed Representative (Provisional Licence)	9
NGAI Yvonne Yuet-ying	APC469	Licensed Representative (Provisional Licence)	1, 4, 9
ONG Wellington Y.	AXD381	Licensed Representative (Provisional Licence)	4, 9
OUYANG Linshan	BQA722	Licensed Representative (Provisional Licence)	6
PAN Jin	AVV688	Licensed Representative (Provisional Licence)	1, 4
PARK Yoon Hye	BQJ934	Licensed Representative (Provisional Licence)	2, 5
PATHARE Rohan Ramesh	BQL614	Licensed Representative (Provisional Licence)	9
PEI Dandi	BQL401	Licensed Representative (Provisional Licence)	1, 6
PENG Cong	BQK234	Licensed Representative (Provisional Licence)	1
QIAN Yating	BQJ937	Licensed Representative (Provisional Licence)	1, 9
QIN Zhiyin	BQK559	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	License Type	Types of Regulated Activity
QIU Chuqi	BMT435	Licensed Representative (Provisional Licence)	4, 9
QIU Jiangjie	BQH353	Licensed Representative (Provisional Licence)	1, 4, 6
QUEK San Foong Raymond	BQL521	Licensed Representative (Provisional Licence)	1, 4
REN Tian	BQK903	Licensed Representative (Provisional Licence)	1, 4
SALL Ibrahima Zouwaya	BQM154	Licensed Representative (Provisional Licence)	2
SEE Kit Long	APY536	Licensed Representative (Provisional Licence)	1, 2, 3
SHEN Jing	BOZ611	Licensed Representative (Provisional Licence)	1, 4
SHEN Yuan	BQM392	Licensed Representative (Provisional Licence)	1, 6
SHEN Zhe	BQC066	Licensed Representative (Provisional Licence)	1, 4, 6
SIA Elaine Soh Leng	BQL044	Licensed Representative (Provisional Licence)	1
SIM Zhe Wei	AOE808	Licensed Representative (Provisional Licence)	4
SONG Jingru	BQJ321	Licensed Representative (Provisional Licence)	4
SONI Neeraj Narendra	BQJ777	Licensed Representative (Provisional Licence)	1, 2
STANLEY Thomas James	BQG467	Licensed Representative (Provisional Licence)	1, 4, 9
SU Darong	BIV798	Licensed Representative (Provisional Licence)	1, 4
SUN Bangdong	BQK544	Licensed Representative (Provisional Licence)	1, 4, 6
SUN Jinqi	BQM292	Licensed Representative (Provisional Licence)	4
SUN Ran	AUZ782	Licensed Representative (Provisional Licence)	1, 4, 9
SUN Tianyi	BQJ930	Licensed Representative (Provisional Licence)	4
SUN Xue	BQL540	Licensed Representative (Provisional Licence)	1, 4, 6
TAN Jieyu	BQD366	Licensed Representative (Provisional Licence)	4, 9
TAN Li	BQM511	Licensed Representative (Provisional Licence)	4, 5, 9
TANG Shiyu	BQG428	Licensed Representative (Provisional Licence)	1
TANG Tak Chun	BKJ158	Licensed Representative (Provisional Licence)	2
TAO Shengyu	BQK280	Licensed Representative (Provisional Licence)	4
TAPADIA Rishabh	BQM671	Licensed Representative (Provisional Licence)	1, 2, 4
TAY Hui Yi	BQH099	Licensed Representative (Provisional Licence)	1, 4, 9
THIBAUD Antoine Paul Jean Charles	BBM609	Licensed Representative (Provisional Licence)	9
TIMBERT Adrien Leo	BQM395	Licensed Representative (Provisional Licence)	1
TING Wai	BQK284	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
TSANG Chi Hong	BQI458	Licensed Representative (Provisional Licence)	1, 4
TSANG Chi Kin	BQI640	Licensed Representative (Provisional Licence)	1, 6
TSOI Wai Ling Wendy	BQM236	Licensed Representative (Provisional Licence)	1
TSUI Melanie Pui- Chi	BQK519	Licensed Representative (Provisional Licence)	10
TSUI Sai On	BQG426	Licensed Representative (Provisional Licence)	1, 4
TSUI Sui Lun	BQK456	Licensed Representative (Provisional Licence)	1
WAI Sung Hei	BQJ817	Licensed Representative (Provisional Licence)	1, 4, 9
WAN Fan Ting	BQJ919	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Chengshuai	BQL483	Licensed Representative (Provisional Licence)	1, 6
WANG Guohan	BQL057	Licensed Representative (Provisional Licence)	4
WANG Kaifan	BNR810	Licensed Representative (Provisional Licence)	4, 9
WANG Mengyuan	BMH307	Licensed Representative (Provisional Licence)	1, 6
WANG Nan	BQM721	Licensed Representative (Provisional Licence)	4, 9
WANG Siran	BKU794	Licensed Representative (Provisional Licence)	4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	License Type	Types of Regulated Activity
WANG Siyu	BQJ936	Licensed Representative (Provisional Licence)	2, 5
WANG Xuegang	BMD008	Licensed Representative (Provisional Licence)	1
WANG Zhongyao	BQJ932	Licensed Representative (Provisional Licence)	4
WEI Wenguan	BQL012	Licensed Representative (Provisional Licence)	1, 4, 6
WEI Xueyu	BQL258	Licensed Representative (Provisional Licence)	1, 4, 9
WENG Weijie	BQK779	Licensed Representative (Provisional Licence)	4, 9
WONG Chun Lok	BQE761	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Keng Fung	BQC383	Licensed Representative (Provisional Licence)	4
WONG Sin Him	BQM585	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Wa Hong	ATO895	Licensed Representative (Provisional Licence)	1, 2
WONG Wai San Wilson	BQI652	Licensed Representative (Provisional Licence)	4, 9
WONG Wing Man	AWL652	Licensed Representative (Provisional Licence)	1
WONG Yat Lung	BQI611	Licensed Representative (Provisional Licence)	1, 4
WONG Yet Chit Ray	BPV151	Licensed Representative (Provisional Licence)	9
WOO Elliott Tak On	AYR304	Licensed Representative (Provisional Licence)	9
WU Bohua	BQK482	Licensed Representative (Provisional Licence)	4
WU Chaoyu	BQI145	Licensed Representative (Provisional Licence)	1, 6
WU Chen	BQI580	Licensed Representative (Provisional Licence)	1, 4
WU Conglu	BQJ931	Licensed Representative (Provisional Licence)	4
WU Haozong	BQD389	Licensed Representative (Provisional Licence)	1, 4
WU Philip Han Wen	BOM514	Licensed Representative (Provisional Licence)	1, 2
WU Xinting	BQM271	Licensed Representative (Provisional Licence)	6
WU Yue	BQL594	Licensed Representative (Provisional Licence)	1, 4
XIAO Jie	BOJ277	Licensed Representative (Provisional Licence)	1, 2, 4
XIAO Peiwei	BQJ981	Licensed Representative (Provisional Licence)	1, 6
XIE Mali	BJM104	Licensed Representative (Provisional Licence)	1, 4, 9
XIE Zhaojie	BQL801	Licensed Representative (Provisional Licence)	1, 4, 9
XIONG Ye	BQM944	Licensed Representative (Provisional Licence)	1, 6
XU Huangsheng	BQK562	Licensed Representative (Provisional Licence)	1, 4
XU Ke	BQH323	Licensed Representative (Provisional Licence)	1, 4
XU Ran	BQL482	Licensed Representative (Provisional Licence)	1, 6
XU Yintao	BQM311	Licensed Representative (Provisional Licence)	1, 6
XUE Wei	BQL518	Licensed Representative (Provisional Licence)	4
YAN Gege	BQJ614	Licensed Representative (Provisional Licence)	1
YAN Xinyi	BQH315	Licensed Representative (Provisional Licence)	1, 4
YANG Chaozhong	BOB378	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Wayne Ky	APW468	Licensed Representative (Provisional Licence)	1, 4
YANG Wei	BQL485	Licensed Representative (Provisional Licence)	1, 6
YANG Wenxuan	BQL513	Licensed Representative (Provisional Licence)	1, 2
YANG Xu	BQL484	Licensed Representative (Provisional Licence)	1, 6
YANG Xuemin	BOQ037	Licensed Representative (Provisional Licence)	1, 4, 9
YANG Zilin	BQM669	Licensed Representative (Provisional Licence)	6
YEUNG Wing Hang	BQH123	Licensed Representative (Provisional Licence)	1, 2
YIN Jingying	BQH909	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020

CE Name	CE No.	License Type	Types of Regulated Activity
YIN Ke	All428	Licensed Representative (Provisional Licence)	1
YIN Xiaoyuan	BQL734	Licensed Representative (Provisional Licence)	1
YIU King Man	BDX230	Licensed Representative (Provisional Licence)	1
YIU Sui Chong	AOS002	Licensed Representative (Provisional Licence)	6
YU Mou Chih	BOB158	Licensed Representative (Provisional Licence)	1, 4
YUEN Ryan Aaron	BQJ921	Licensed Representative (Provisional Licence)	1, 6
YUN Zhaokun	BQI141	Licensed Representative (Provisional Licence)	1, 6
ZHAN Haixi	BQI331	Licensed Representative (Provisional Licence)	6
ZHANG Bolun	BQM776	Licensed Representative (Provisional Licence)	1, 4
ZHANG Boyan	BQJ743	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Ke	BQL710	Licensed Representative (Provisional Licence)	4, 9
ZHANG Qi	BQF979	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Xianning	AWS214	Licensed Representative (Provisional Licence)	4, 9
ZHAO Fangyi	BQK243	Licensed Representative (Provisional Licence)	1, 6
ZHAO Haoxing	BQL534	Licensed Representative (Provisional Licence)	1, 6
ZHAO Jiahe	BQM994	Licensed Representative (Provisional Licence)	4
ZHAO Ying	BNR594	Licensed Representative (Provisional Licence)	1, 2
ZHENG Shuo	BQL745	Licensed Representative (Provisional Licence)	1, 4
ZHENG Yumeng	BQJ772	Licensed Representative (Provisional Licence)	4
ZHOU Bingyu	BQL705	Licensed Representative (Provisional Licence)	1, 2
ZHOU Deyang	BJX389	Licensed Representative (Provisional Licence)	1, 4, 9
ZHOU Fan	BQL610	Licensed Representative (Provisional Licence)	1, 4
ZHOU Fanyi	BQI368	Licensed Representative (Provisional Licence)	1, 2
ZHOU Xuhui	BQL583	Licensed Representative (Provisional Licence)	4
ZHOU Yan	BPW935	Licensed Representative (Provisional Licence)	6
ZHOU Yu	BPY362	Licensed Representative (Provisional Licence)	4, 9
ZHU Jiaer	BQL306	Licensed Representative (Provisional Licence)	1, 2, 4
ZHU Xue	BQM514	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
ZHU Xun	BQK711	Licensed Representative (Provisional Licence)	4
ZHU Zhi	BQL603	Licensed Representative (Provisional Licence)	1, 4, 9
ABDUL JABBAR Sufyan Bin	BQH307	Licensed Representative (Temporary Licence)	6
FAN Wei	BQF160	Licensed Representative (Temporary Licence)	6
HAN Lin	BQF403	Licensed Representative (Temporary Licence)	6
LIAO John Bond Jonathan	BQF096	Licensed Representative (Temporary Licence)	1, 2
SONG Guchuan	BQF157	Licensed Representative (Temporary Licence)	6
SUN Bo	BQF186	Licensed Representative (Temporary Licence)	6
WANG Siyun	BGO634	Licensed Representative (Temporary Licence)	6
WANG Xiaotong	BQF174	Licensed Representative (Temporary Licence)	6
YOO-PROT Pimchanok	BQH322	Licensed Representative (Temporary Licence)	6
ZHU Peng	BQF198	Licensed Representative (Temporary Licence)	6