

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
AP Asset Management (HK) Limited	AKI977	Licensed Corporation	1, 9
CLC Futures Limited	BDO397	Licensed Corporation	2, 5
DeepBlue Global Investment Limited	BIK039	Licensed Corporation	4, 9
Gold Fund Securities Company Limited	ACW560	Licensed Corporation	1
Gold Medal Global Investment Co., Limited	BHO673	Licensed Corporation	4, 9
Grace Financial Limited	AQG945	Licensed Corporation	4, 9
Hoi Yick International Securities Limited	BHB966	Licensed Corporation	1, 4
IDS Forex HK Limited	BDH481	Licensed Corporation	3, 4, 9
Methodology Securities (HK) Company Limited	BNP845	Licensed Corporation	1, 4
Mitsubishi UFJ Investment Services (HK) Limited	APS303	Licensed Corporation	4
Pearl Bridge Securities Limited	BNE724	Licensed Corporation	1, 4
Poplar Asset Management Limited	AXZ100	Licensed Corporation	9
Prosperous Alliance Investments Limited	BAY187	Licensed Corporation	9
Victoria Capital Limited	AFH182	Licensed Corporation	6
AHMAD Mateen	BOT988	Licensed Representative	1
AN Meng	AXY880	Licensed Representative	9
ANUGERAH Billy	BIY120	Licensed Representative	1
ASSAD Karim Micael	BMW507	Licensed Representative	1, 4, 9
AU Hoi Fung	BIY369	Licensed Representative	1, 4
BAILEY Robert Ogilvie	BHV327	Licensed Representative	1, 4, 6
BAO Yunfan	BMS695	Licensed Representative	1, 4
BARNES Simon Andrew	BKZ985	Licensed Representative	1
BHATI Anil	BMI555	Licensed Representative	1, 2
CAI Yongjun	BOT306	Licensed Representative	1, 2
CAO Weiliang	BMZ620	Licensed Representative	1, 6
CHAN Cheuk Lam	BQC596	Licensed Representative	1, 2, 3
CHAN Chi Wing	AVH087	Licensed Representative	1
CHAN Chiu Yuet	BOQ011	Licensed Representative	1, 2
CHAN Christopher Hanlin	AZW925	Licensed Representative	9
CHAN Ho Kiu, Jovia	AZB961	Licensed Representative	1, 2
CHAN Ka Wah	ACT175	Licensed Representative	1
CHAN Keen Lok	AQB140	Licensed Representative	1, 4, 9
CHAN Kin Ying	BFD599	Licensed Representative	1, 2, 4
CHAN Siu Chung	AAD569	Licensed Representative	1
CHAN Ting Yiu	BQA988	Licensed Representative	1
CHAN Yuen Shing	BMG894	Licensed Representative	6
CHAN Yuen Yau, Chloe	BDI328	Licensed Representative	4
CHAN Yuet Wa	BGC147	Licensed Representative	1, 4
CHEN Chih-chieh	ATH471	Licensed Representative	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEN Huanming	AIU879	Licensed Representative	1, 4, 9
CHEN Li	BOQ080	Licensed Representative	1, 4
CHEN Moxin	BPK869	Licensed Representative	9
CHEN Rubing	BOI329	Licensed Representative	2
CHEN Zeyu Apollo	BIY785	Licensed Representative	4, 9
CHENG Clement Kin Kwan	AQG415	Licensed Representative	1, 2
CHENG Man Man	BJM105	Licensed Representative	1, 2
CHENG Mee Mee, Lina	ASY524	Licensed Representative	9
CHENG Tin Hang Enzo	BOT880	Licensed Representative	1, 4, 6
CHING Yee Man	BPQ483	Licensed Representative	1, 2, 4, 9
CHOI Ping Kwan	BAU486	Licensed Representative	1, 2
CHOI Suzie	BFZ079	Licensed Representative	1, 2
CHOI Yuet Wah	ACE033	Licensed Representative	1
CHONG Chi Man	APK628	Licensed Representative	1
CHOU David Tse Young	AEU433	Licensed Representative	1, 2, 4
CHOW Shuk Yi Zoe	AVP865	Licensed Representative	4, 9
CHU Chun Wa	BMX722	Licensed Representative	6
CHU Lai Ching	BCS756	Licensed Representative	1
CHUA Pei Jing Joy	BNF694	Licensed Representative	1
CHUI Ching Tak	AQL177	Licensed Representative	4, 9
CHUI Heung Chin	ANQ230	Licensed Representative	1, 2, 4
CHUNG Li Peng	BPX712	Licensed Representative	1, 4, 9
CLAYTON Andrew Robert	ANG620	Licensed Representative	1
CLEMENT Theophile Thomas Jean-Pierre	AAP310	Licensed Representative	1, 4
DANDINE Jerome Theodore	AMQ624	Licensed Representative	1, 2
DENG Qitong	BNJ835	Licensed Representative	1, 2
DENG Xinhe	BIR278	Licensed Representative	1, 4, 5, 9
DING Xiaomin	BDZ904	Licensed Representative	1
DU Josh Sheng	AQO386	Licensed Representative	9
DWYER Brendan Patrick	AWZ263	Licensed Representative	1
EMERY Bradley Miles	BNX812	Licensed Representative	4, 6, 9
FAN Jingjing	BGA352	Licensed Representative	1, 6
FENG Sisi	BNS776	Licensed Representative	1, 6
FONG Chun Lok	BNF838	Licensed Representative	9
FRASER Gordon	BJX002	Licensed Representative	1, 4, 9
FU Cheuk Kei, Frederick	APL965	Licensed Representative	1, 4, 6
FUNG Chi Chung	BGQ351	Licensed Representative	1
FUNG King Hing, Edwin	AIB745	Licensed Representative	1, 2
FUNG Tsz Chun Leo	BPE784	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
GAO Duohuai	BIM697	Licensed Representative	1, 6
GAO Xing	BGI426	Licensed Representative	1, 4, 9
GAO Zijun	BNH365	Licensed Representative	1, 6
GARG Mukul	BOD966	Licensed Representative	4
GENG Wen	AWC781	Licensed Representative	9
GONG Yuelai	BOI129	Licensed Representative	4
GU Jin	BPH488	Licensed Representative	1, 4, 9
GUO Dan	ATO171	Licensed Representative	4, 9
GUO Weiwei	BOG386	Licensed Representative	1, 2, 4, 5
GUO Ying	ARO902	Licensed Representative	1
GUO Zhenxian	BLZ767	Licensed Representative	1, 4, 9
HA Chun Kit	ALY336	Licensed Representative	1, 4
HABOUCHA Mohammed Simon	BHH080	Licensed Representative	1, 2
HAN Jiandong	BFS085	Licensed Representative	4, 9
HARTWELL Benjamin Luke	ATC163	Licensed Representative	1
HAYMAN Grace Susan	BOF496	Licensed Representative	1
HE Fan	BDU840	Licensed Representative	1
HILADO Maria Elena Del Rosario	BEW795	Licensed Representative	2, 5
HO Anthony Siu Cheuk	APO552	Licensed Representative	4, 9
HO Ji Nok	BPV248	Licensed Representative	4, 9
HSIA Wei Hsuan	BND740	Licensed Representative	9
HSU Tien Heng	BJP989	Licensed Representative	1, 4, 6
HUA Xiaowei	BJU617	Licensed Representative	4
HUI Ka Wai	BOT345	Licensed Representative	4, 9
HUNG Yiu Keung, Horace	ANJ138	Licensed Representative	1, 4, 9
HUYNH Amy Kim	BHF801	Licensed Representative	9
IP Hon Lam, Hiram	AIB932	Licensed Representative	1, 4
JACKSON Christopher Macdougall	AGO287	Licensed Representative	4, 9
JIN Lumeng	BOT763	Licensed Representative	1, 6
KAJBAJE Sudhanshu	BAO644	Licensed Representative	1, 2
KAM Hoi Wai	AXB920	Licensed Representative	1
KAMATA Toru	BOL511	Licensed Representative	1, 4, 6
KANEKO Tomoyo	BMX081	Licensed Representative	1, 2
KAO Jui Tang	BNG896	Licensed Representative	1, 4
KHANDELWAL Amit	BNR233	Licensed Representative	1
KIM Christian Chung-Hwan	BCI869	Licensed Representative	1
KO Tsz Bui	BBX348	Licensed Representative	4, 6
KO Wai Yee, Wendy	ABN704	Licensed Representative	1
KOBAYASHI Yohsuke	BKD934	Licensed Representative	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
KONG Ming Yee	AUJ982	Licensed Representative	1, 2
KONG Wai Wa	BAX161	Licensed Representative	1, 4, 9
KWAN Wang Chi, Beryl	BAD777	Licensed Representative	1, 4, 9
KWOK Chi Fai	AXI219	Licensed Representative	1, 4
KWONG Lok Tin, Arthur	AJH430	Licensed Representative	4
LAI Mei Yuk	ABM054	Licensed Representative	1
LAI Nai Wing	AVI253	Licensed Representative	1
LAM Chung Yui, Jason	AZU995	Licensed Representative	1
LAM Lok Tin, Timothy	ANY093	Licensed Representative	1, 2
LAM Lun Tun	ANG763	Licensed Representative	1
LARCOMBE Sean Norman	BCS718	Licensed Representative	1, 2
LAU E Lei	AVC029	Licensed Representative	1, 2, 4, 5
LAU Heung Wa	AFB792	Licensed Representative	1
LAU Ho Kwan	BPK844	Licensed Representative	9
LAU Ka Ming	AGP191	Licensed Representative	1
LAU Ming Hang	BQB611	Licensed Representative	1, 4
LAU Wan Sze	ATY768	Licensed Representative	1, 2
LAU Yee Leung	BPQ099	Licensed Representative	1
LAU Yun Kit	BFK151	Licensed Representative	1
LEE Jin Young	BFN399	Licensed Representative	1, 2, 7
LEE Wai Man	AWC512	Licensed Representative	1
LEI Xinwei	BNZ958	Licensed Representative	1, 4, 9
LEUNG Chun Man	BND421	Licensed Representative	1
LEUNG Man Sik, Manz	BCK772	Licensed Representative	4, 9
LEUNG Po Chu	AJI676	Licensed Representative	1
LEUNG Sheung Bun	BIW863	Licensed Representative	4
LI Chun Hei	BMV012	Licensed Representative	4, 9
LI Heng	BEA213	Licensed Representative	4
LI Hui	BOG059	Licensed Representative	1
LI Kei Wing	BOR849	Licensed Representative	1
LI Mengdi	BKJ260	Licensed Representative	1, 4, 6
LI Pui Ching	ANB179	Licensed Representative	1, 4
LI Tak Yee Jovi	BNT007	Licensed Representative	1, 2
LIANG Ruichao	BNC396	Licensed Representative	1, 4, 9
LIANG Yabing	BOM625	Licensed Representative	1
LIM Seth Ee Jer	BNB709	Licensed Representative	9
LIU Enyan	BNU864	Licensed Representative	1, 2
LIU Haoyu	BLC497	Licensed Representative	1
LIU Jing	BNO984	Licensed Representative	1, 4, 9

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CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Lay Yuk, Monita	ADN520	Licensed Representative	1
LIU Nuo	BHQ070	Licensed Representative	1, 4
LIU Shui Yuen	BPC243	Licensed Representative	3
LIU Yang	BHN760	Licensed Representative	4
LIU Yi Chun	BOH459	Licensed Representative	1
LIU Yitong	BML888	Licensed Representative	1, 6
LO Chi Keung	AFT940	Licensed Representative	1, 2
LO Choi Ling	BPW637	Licensed Representative	1, 4
LO Ho Fung	ABM448	Licensed Representative	1
LONG Lei	BHZ167	Licensed Representative	4
LOONG Pui Fung, Andrew	BBL264	Licensed Representative	1, 4, 6
LUI Wan Hong	AYN585	Licensed Representative	1, 4, 6
LUK Siu Yeung	BEW974	Licensed Representative	9
MA Chelsea Siu Yu	BDO487	Licensed Representative	1, 4
MA Ka Wun, Belinda	BMR943	Licensed Representative	1, 6
MA Weiji	AYR066	Licensed Representative	1, 4, 6
MADHAVJI Premal	BGL496	Licensed Representative	4
MAK Hin Chun	APL244	Licensed Representative	1, 2
MAN Hon Sang	BJG630	Licensed Representative	4
MAN Wing Tuen	AAG341	Licensed Representative	1
MARKUS Paul Philip	BNU773	Licensed Representative	1, 9
MCNEE Dugald Richard	BDQ529	Licensed Representative	9
MICHAEL Wendy Ann	BQC279	Licensed Representative	9
MOK Ka Yee, Lydia	ASD450	Licensed Representative	1
NAKAMURA Kana	BOJ128	Licensed Representative	1, 6
NELSON Joel James	AQM059	Licensed Representative	1
NG Cheuk Him, Kenny	BDZ163	Licensed Representative	1, 2
NG Chun Yuen, Alexander	ARQ354	Licensed Representative	1, 4
NG Kit Yan	BEL761	Licensed Representative	1, 4
NG Kwan	BKD311	Licensed Representative	6
NG Yik Wah, Johnny	ABI134	Licensed Representative	1
NGAN Dickson	BPU676	Licensed Representative	1, 2
NIGGEMANN Taro	BAD917	Licensed Representative	1, 6
O'BRIEN Sean Emmet	BMN653	Licensed Representative	1
OR Wing Fai	BHS205	Licensed Representative	2
PADMANABHAN VIJAYBALAJI	BPT170	Licensed Representative	4, 9
PAN Shengjing	BOT818	Licensed Representative	1
PANG Hengsha	BGE023	Licensed Representative	1
PEDLEY Benjamin Ian	AQN579	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
PENG Antai	ANS154	Licensed Representative	1, 2, 7
PENG Bo	BIA035	Licensed Representative	1, 2, 4
PINNA-GRIFFITH Rhys Alejandro	BMW826	Licensed Representative	1, 7
POON Chun Ning, Linus	BJO277	Licensed Representative	1, 2
PUN Pak Hang, Kenny	BCL054	Licensed Representative	1
QIN Yue	BPW980	Licensed Representative	1
QU Jiaqi	BGH782	Licensed Representative	1, 6
RONG Yanping	BNF486	Licensed Representative	6
SAKAIDA Kunio	BJH321	Licensed Representative	4
SANO Nobuhiro	ADQ334	Licensed Representative	2, 6
SEREGIN Arseni Vladimirovich	BOZ643	Licensed Representative	1, 2
SHAH Apoorva	BCV077	Licensed Representative	1, 4, 6
SHAN Zhongbei	BOL151	Licensed Representative	2
SHEN Hanxiao	BOI232	Licensed Representative	4
SHEN Xin	BOT305	Licensed Representative	1, 4
SHENG Xu	BOF257	Licensed Representative	4
SHI Hui	BPF617	Licensed Representative	1, 4, 9
SHUM Hing Hung	AGI234	Licensed Representative	1, 4, 9
SINGH Naval	BQA895	Licensed Representative	9
SO Sau Ying	AHN915	Licensed Representative	1, 2
SOERENSEN Morten	BLC449	Licensed Representative	1
SONG Qi	BMC486	Licensed Representative	4, 9
SONG Shaofeng	BQT719	Licensed Representative	4, 9
SONG Yu	ALA699	Licensed Representative	1, 4
SUEHIRO Toru	BHL321	Licensed Representative	4
SUN Xukanghong	BNG638	Licensed Representative	4, 9
SURANA Sandeep	BNO018	Licensed Representative	9
TA Yun Ju	BMV857	Licensed Representative	1, 4
TAM Chung Shing, Jensen	BEN896	Licensed Representative	1, 4
TAN Lei	BNR837	Licensed Representative	10
TANG Lik Man	BFY110	Licensed Representative	6
TANG Yeung Long	BNH251	Licensed Representative	3
TANG Zipei	BPU409	Licensed Representative	4
TIAN Dan	BKY217	Licensed Representative	1, 4
TO Yuk Sing	BGD428	Licensed Representative	1, 4
TONG Tin Yau	BFO842	Licensed Representative	4, 9
TONINE-DI MEGLIO Alix Marie Victorie	BPA569	Licensed Representative	2, 5
TOPPANI Daniel Simon	BBZ367	Licensed Representative	9
TSANG Tak Ki	BMC017	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
TSE Man Tik Mondy	BOA777	Licensed Representative	1, 6
TSOI Chi Ying, Kate	BIB294	Licensed Representative	1, 2
UN Ka Hong	BNH937	Licensed Representative	1, 9
VUN Yu Seng, Isaac	ALW785	Licensed Representative	9
WAN KAMARUZAMAN Wan Ahmad Shukri	BJC172	Licensed Representative	4, 9
WAN Zhiyu	BJR274	Licensed Representative	1, 4
WANG Bou-li	AQZ702	Licensed Representative	4, 9
WANG Haixiao	AQM271	Licensed Representative	4
WANG Hao	BGD708	Licensed Representative	1, 6
WANG Jiju	BOJ291	Licensed Representative	1, 4
WANG Pei	BNJ942	Licensed Representative	4, 9
WANG Shujie	BNP253	Licensed Representative	4
WANG Sofia Xiaobi	BHK235	Licensed Representative	4, 9
WANG Ting	BNJ934	Licensed Representative	1
WANG Wenwen	BLX148	Licensed Representative	6
WANG Yifang	BLF144	Licensed Representative	4
WANG Yinpeng	BNY940	Licensed Representative	1, 4, 6
WANG Zizheng	BLL351	Licensed Representative	9
WELCH Peter Joseph	AEU528	Licensed Representative	1, 4, 6
WENG Dongzhen	BNS036	Licensed Representative	1, 4, 6
WENG Weijie	BQK779	Licensed Representative	4, 9
WONG Cho Yu	BPY727	Licensed Representative	1
WONG Hoi Lam	AOO804	Licensed Representative	1
WONG Ka Po	AXL881	Licensed Representative	1, 4
WONG Ka Ying	BNJ671	Licensed Representative	4, 9
WONG Kai Hin	BOT978	Licensed Representative	1
WONG Kwok Lai, Vincent	BLO118	Licensed Representative	1, 4
WONG Man Hei Katrina	BOD930	Licensed Representative	1
WONG Mee Lee	AMZ266	Licensed Representative	4
WONG Ming Him	AXJ784	Licensed Representative	4
WONG Miu Wan	BNR830	Licensed Representative	6
WONG Oi Ling, Irene	ADS873	Licensed Representative	1, 2, 9
WONG Sheh Chung, Michael	AFY781	Licensed Representative	1
WONG Shek Ting Stephen	BNB521	Licensed Representative	1, 4, 9
WONG Shing Chun	AGK068	Licensed Representative	1, 2
WONG Wing Yin, Raymond	AAW773	Licensed Representative	1
WONG Yat Wang	BOI198	Licensed Representative	1, 2, 9
WORBY Jonathan Nicholas Howard	BDO977	Licensed Representative	1
WU Hua-Chang	BLI964	Licensed Representative	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
WU Peizhe	BOM088	Licensed Representative	1, 4
WU Xia	BFX736	Licensed Representative	4, 9
WU Xiaofan	BBP489	Licensed Representative	1
WU Ziwei	BPU172	Licensed Representative	1, 6
XIA Yang	BIR052	Licensed Representative	1, 6
XIAN Zhiping	BNY285	Licensed Representative	1
XIE Hao	BPX534	Licensed Representative	1
XU Ke	BJH116	Licensed Representative	1, 6
XU Pengfei	BMP525	Licensed Representative	1, 4, 6
XU Wenyun	BKE808	Licensed Representative	4
XUN Tianran	BAB162	Licensed Representative	1, 4, 6
YAMAMOTO Naoki	BKM294	Licensed Representative	1
YANG Bohan	AWH555	Licensed Representative	4, 9
YANG Xue	BNO304	Licensed Representative	1, 4
YEUNG Cheuk Lai	AOM639	Licensed Representative	1, 2
YIP Ho Man, Kirk	AQN832	Licensed Representative	4, 9
YOSHIDA Hirofumi	BMW810	Licensed Representative	1
YOSHIMURA Takuya	BOA831	Licensed Representative	1, 4, 6
YU Feng	BOF949	Licensed Representative	4, 9
YU Feng-Ming	BHW485	Licensed Representative	1, 4, 9
YU Haisi	AXY674	Licensed Representative	9
YU Na	BOG685	Licensed Representative	10
YUEN Lung Hin	BEX963	Licensed Representative	1, 6
ZHANG Dale	BOZ872	Licensed Representative	9
ZHANG Lily	BHX636	Licensed Representative	1, 4
ZHANG Ming Min	BGB214	Licensed Representative	1, 4, 6
ZHANG Shaozhi	BPS634	Licensed Representative	1, 4
ZHANG Xiaying	BCL115	Licensed Representative	1, 4
ZHANG Yuebo	BOM680	Licensed Representative	4, 9
ZHANG Ziqi	BPB595	Licensed Representative	4
ZHAO Dongfang	BNK367	Licensed Representative	1, 6
ZHONG Mincong	BNS394	Licensed Representative	1, 2, 4
ZHOU Junzhi	BNV557	Licensed Representative	4
ZHOU Yu	BPY362	Licensed Representative	4, 9
ZHOU Zhongming	BGQ687	Licensed Representative	4, 6
ZHU Lingbo	BOZ914	Licensed Representative	1, 4, 6
CAMPBELL Lachlan Gordon	ADU213	Licensed Representative - Responsible Officer	1
CARRIERI Joseph	BOJ785	Licensed Representative - Responsible Officer	1
CHAN Coburn	ATO806	Licensed Representative - Responsible Officer	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Ka Wah	ACT175	Licensed Representative - Responsible Officer	2, 3, 5
CHAN Kar Yin, Gabriel	AIB796	Licensed Representative - Responsible Officer	1, 2, 4
CHAN Lai Fun	AQE682	Licensed Representative - Responsible Officer	1
CHAN Pak Cheung	ADG999	Licensed Representative - Responsible Officer	1, 4
CHANG Jack P	AKR038	Licensed Representative - Responsible Officer	4, 9
CHEN Hsi-An	ALB958	Licensed Representative - Responsible Officer	9
CHEN Yat Sun	ABU367	Licensed Representative - Responsible Officer	1, 4, 9
CHENG Wai Man	ANK374	Licensed Representative - Responsible Officer	9
CHEUNG Ning	AQX919	Licensed Representative - Responsible Officer	1
CHONG Hey	AXK219	Licensed Representative - Responsible Officer	1, 4
CHONG Yuen Man Alice	ABI140	Licensed Representative - Responsible Officer	4, 9
DEL CASTILLO Roel James Gannaban	BNW874	Licensed Representative - Responsible Officer	9
DHOWN Rajiv	BNN766	Licensed Representative - Responsible Officer	1, 4
DONG Kejia	BNS638	Licensed Representative - Responsible Officer	1, 4, 9
EDSTEIN Alexandra	ASZ221	Licensed Representative - Responsible Officer	9
FAN Jia	BEB083	Licensed Representative - Responsible Officer	1
FISCHER Simon John	AVW178	Licensed Representative - Responsible Officer	1, 2, 7
FISHMAN Andrew Mark	BNT963	Licensed Representative - Responsible Officer	9
FLORSHEIM Alexander Carl Gabriel	ARA488	Licensed Representative - Responsible Officer	1, 4, 9
HAGE Julien Gerald	AVV903	Licensed Representative - Responsible Officer	1, 2
HUANG Guang	AVS141	Licensed Representative - Responsible Officer	9
HUANG Haiming	AWU883	Licensed Representative - Responsible Officer	1, 4, 9
HUNG Hing On	ACR693	Licensed Representative - Responsible Officer	9
IP Laurence Yegene	ACA689	Licensed Representative - Responsible Officer	4, 9
IP Wai Lun, William	AEK747	Licensed Representative - Responsible Officer	1, 4, 6
KWONG Lok Tin, Arthur	AJH430	Licensed Representative - Responsible Officer	9
LAM Lun Tun	ANG763	Licensed Representative - Responsible Officer	2, 5
LARCOMBE Sean Norman	BCS718	Licensed Representative - Responsible Officer	7
LAU Chak Lam	ABU297	Licensed Representative - Responsible Officer	1, 4, 9
LAU Siu Cheung	ABV536	Licensed Representative - Responsible Officer	1, 2, 9
LAU Wing Shan	AFE210	Licensed Representative - Responsible Officer	1, 4, 9
LAW Kin Man	BAB414	Licensed Representative - Responsible Officer	1, 4
LAW Yuen Sheung	APL590	Licensed Representative - Responsible Officer	4
LEE Hoi Kam	ABE301	Licensed Representative - Responsible Officer	1, 4, 9
LEE Hung Choi, Chris	BIM092	Licensed Representative - Responsible Officer	9
LEE Jeng Toa	AJA232	Licensed Representative - Responsible Officer	6
LEE Yen-Chin	BIK226	Licensed Representative - Responsible Officer	1, 6
LEE Yu Chuan	AGH978	Licensed Representative - Responsible Officer	9
LERNER Christopher Paul	AEL317	Licensed Representative - Responsible Officer	1

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CE Name	CE No.	Licence Type	Types of Regulated Activity
LI Vivian	BMR902	Licensed Representative - Responsible Officer	1, 4
LIANG Annie Phoebe	BJU620	Licensed Representative - Responsible Officer	1, 4
LIU Chao	BDR231	Licensed Representative - Responsible Officer	4, 9
LIU Jicheng	ARG111	Licensed Representative - Responsible Officer	4, 9
MAK Terence Chung Sing	AVK467	Licensed Representative - Responsible Officer	6
MIN Ji Hong	ADU316	Licensed Representative - Responsible Officer	9
NG Ka Shun	AIX164	Licensed Representative - Responsible Officer	1, 4, 9
RHEE James Kwanwoo	AFY551	Licensed Representative - Responsible Officer	9
ROWE Sarah Jane	BAV656	Licensed Representative - Responsible Officer	1, 4, 9
SANO Nobuhiro	ADQ334	Licensed Representative - Responsible Officer	1, 4
SATO Toshihisa	AIH727	Licensed Representative - Responsible Officer	2
SHI Shaoqiang	AHE750	Licensed Representative - Responsible Officer	9
SHIU Lap Kei	AOI979	Licensed Representative - Responsible Officer	9
SYWAK Simon Andrew	BPS601	Licensed Representative - Responsible Officer	9
SZE Morgan Chia-Wen	ANQ330	Licensed Representative - Responsible Officer	9
TILANI Raveen Lachmandas	BMW821	Licensed Representative - Responsible Officer	9
WALSH David John	AZP102	Licensed Representative - Responsible Officer	9
WANG Haixiao	AQM271	Licensed Representative - Responsible Officer	1
WEN Wenfeng	BCP997	Licensed Representative - Responsible Officer	4, 9
WENG Ming Sung	BBK140	Licensed Representative - Responsible Officer	9
WONG Charles Yau Kung	AUN422	Licensed Representative - Responsible Officer	4, 9
WONG Kok Hung	AMP461	Licensed Representative - Responsible Officer	1, 4
WONG Yin Kui, Derek	AMB370	Licensed Representative - Responsible Officer	1, 4, 9
XIE Junxiang	BPV269	Licensed Representative - Responsible Officer	1, 4
XU Lingfeng	AYZ049	Licensed Representative - Responsible Officer	1, 4, 9
YANG Wen	BCE788	Licensed Representative - Responsible Officer	1, 4, 9
YEUNG Yiu Bong, Anthony	ADG059	Licensed Representative - Responsible Officer	1, 6
YIP Ka Ho	ALQ922	Licensed Representative - Responsible Officer	1
YOO Jinseok	BHU687	Licensed Representative - Responsible Officer	4
YU Hon To, David	ACS335	Licensed Representative - Responsible Officer	6
ADEL Rebecca Sophia	BNC851	Licensed Representative (Provisional Licence)	9
AGRAWAL Saurabh	AHM906	Licensed Representative (Provisional Licence)	9
ALIAMANI Mehdi Ludwig	BQX036	Licensed Representative (Provisional Licence)	1
AN Lin	BQV604	Licensed Representative (Provisional Licence)	1, 4
AU Andrew	AGA691	Licensed Representative (Provisional Licence)	1
AU YEUNG Lok Yan	AXI338	Licensed Representative (Provisional Licence)	1
BAI YINSHENG	BQT501	Licensed Representative (Provisional Licence)	1, 4
BALL Timothy Edward	APL603	Licensed Representative (Provisional Licence)	9
BALLIN Larri Harold	BJW743	Licensed Representative (Provisional Licence)	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
BARRAU Thomas Benjamin	BQV476	Licensed Representative (Provisional Licence)	4, 5, 9
BATTENFELD Brenton George	AOP135	Licensed Representative (Provisional Licence)	9
BI Edward Yonghua	BQU966	Licensed Representative (Provisional Licence)	1, 6
BYUN Heesuk	BQS080	Licensed Representative (Provisional Licence)	1, 4
CAI Ya Qi	BQU945	Licensed Representative (Provisional Licence)	1, 4
CHAN Eric Ho Chuen	BQW241	Licensed Representative (Provisional Licence)	1, 2
CHAN Hou	BQQ297	Licensed Representative (Provisional Licence)	1, 2, 7
CHAN Ka Chun, Edwin	BGB734	Licensed Representative (Provisional Licence)	1
CHAN Ka Ho	BQV193	Licensed Representative (Provisional Licence)	1, 2
CHAN Ka Man	BBW601	Licensed Representative (Provisional Licence)	1
CHAN Man Yin Tiffany	BQU487	Licensed Representative (Provisional Licence)	1
CHAN Ming Leung	BQW330	Licensed Representative (Provisional Licence)	1, 2
CHAN Mun Yee	ATQ902	Licensed Representative (Provisional Licence)	1, 4
CHAN Nam Kwong Sidney	BQS992	Licensed Representative (Provisional Licence)	1
CHAN Siu Chung	BDH698	Licensed Representative (Provisional Licence)	1
CHAN Tsit Ham	BQV710	Licensed Representative (Provisional Licence)	1, 2
CHANG Anqi	BQW305	Licensed Representative (Provisional Licence)	1
CHANG Chung Yuan	BQW143	Licensed Representative (Provisional Licence)	1
CHEANG Sio lan	BRA095	Licensed Representative (Provisional Licence)	1, 6
CHEN Jizong	BQU274	Licensed Representative (Provisional Licence)	1, 2, 7
CHEN Junwei	AXQ715	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Shilei	BQU942	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Si	BQV781	Licensed Representative (Provisional Licence)	1, 2
CHEN Xinchun	BMT453	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Yiming	BQW934	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Yixin	AXQ293	Licensed Representative (Provisional Licence)	4
CHEN Yu Hsin	BQV643	Licensed Representative (Provisional Licence)	1, 4
CHENG Dien Leung, Peter	AEU597	Licensed Representative (Provisional Licence)	1, 4
CHENG Tien Yum Timothy	BHR348	Licensed Representative (Provisional Licence)	1
CHENG Tze Hin	BQW638	Licensed Representative (Provisional Licence)	1, 2, 4, 9
CHEUNG CURTIS LOK LUN	BQW875	Licensed Representative (Provisional Licence)	1, 2
CHEUNG Eric Ko-Kwok	BQV195	Licensed Representative (Provisional Licence)	1, 6
CHEUNG Hoi Kit	BIZ340	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Ka Yee, Joyce	ANW539	Licensed Representative (Provisional Licence)	1, 4, 9
CHEUNG Shun Fu	BQX155	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Tak Chuen Edwin	BQV140	Licensed Representative (Provisional Licence)	4, 9
CHEUNG Yan Ho	BQV729	Licensed Representative (Provisional Licence)	1, 4
CHEUNG Yee Tak Jonathan	BGZ326	Licensed Representative (Provisional Licence)	1, 4, 6
CHIN Chiuen Chou Gabriel	BQU853	Licensed Representative (Provisional Licence)	2, 5

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHIN Pak Sum	BQW428	Licensed Representative (Provisional Licence)	1, 4
CHOI Ka Yui	BQW100	Licensed Representative (Provisional Licence)	1, 2, 4
CHOI Young Jun	BQT888	Licensed Representative (Provisional Licence)	1, 4, 9
CHOU Sheng Wei	BQM925	Licensed Representative (Provisional Licence)	1
CHOW Hoi Fai	BQW253	Licensed Representative (Provisional Licence)	1, 4
CHOW Hou Man	AGW729	Licensed Representative (Provisional Licence)	9
CHU Chun Him	BFK977	Licensed Representative (Provisional Licence)	1, 4, 9
CHU Hiu Ki	BQW639	Licensed Representative (Provisional Licence)	4, 9
CHUNG Yuen Ting	BQU761	Licensed Representative (Provisional Licence)	1
COUTURE Audrey Juliette Muriel	BQV662	Licensed Representative (Provisional Licence)	1, 2, 4, 5
CUI Minhui	BQU944	Licensed Representative (Provisional Licence)	4
DALI David Paul	BQV342	Licensed Representative (Provisional Licence)	1
DANIER Janice	BQW738	Licensed Representative (Provisional Licence)	1, 2
DIAO Kunxiang	BRA187	Licensed Representative (Provisional Licence)	4, 9
DING Mingyuan	BIV620	Licensed Representative (Provisional Licence)	9
DING Siyuan	BQS818	Licensed Representative (Provisional Licence)	1, 4
DONG Bushu	BQW328	Licensed Representative (Provisional Licence)	1, 6
DORE James Anthony	AML303	Licensed Representative (Provisional Licence)	9
DU Peng	BRA094	Licensed Representative (Provisional Licence)	1, 6
FAN Chung Ling Lillian	BQU584	Licensed Representative (Provisional Licence)	1, 6
FAN Wenya	BQQ607	Licensed Representative (Provisional Licence)	1, 2
FANG Jike	BQS950	Licensed Representative (Provisional Licence)	1, 4, 6
FANG Shu	BQV198	Licensed Representative (Provisional Licence)	4, 9
FANG Shuyuan	BQZ908	Licensed Representative (Provisional Licence)	1, 2
FANG Zhou	BQV983	Licensed Representative (Provisional Licence)	1
FENG Chenyang	BQW011	Licensed Representative (Provisional Licence)	4
FENG Yun	BQX853	Licensed Representative (Provisional Licence)	10
FOK Yeuk Yorke	BOJ066	Licensed Representative (Provisional Licence)	6
FU Kwan Ling	BQW120	Licensed Representative (Provisional Licence)	1
FU Tin Noel	BQY958	Licensed Representative (Provisional Licence)	1, 2, 4
FUJIKAWA Shingo	BQV191	Licensed Representative (Provisional Licence)	1, 4, 9
FUNG Calvin Sherab Zangpo	BQY919	Licensed Representative (Provisional Licence)	1
GAO Hanru	BQY961	Licensed Representative (Provisional Licence)	1, 6
GAO Qi	BQU502	Licensed Representative (Provisional Licence)	1
GAO Tianhang	BNH282	Licensed Representative (Provisional Licence)	1, 6
GAO Yue	BQU795	Licensed Representative (Provisional Licence)	1
GAO Yuyang	BQW927	Licensed Representative (Provisional Licence)	2, 5
GILL Harjot Singh	BQV105	Licensed Representative (Provisional Licence)	1, 6
GREGORY-ROBERTS Simon Arnold John	APW359	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
GUI Zhu	BQW376	Licensed Representative (Provisional Licence)	1
GUO YONGLIN	BQS459	Licensed Representative (Provisional Licence)	1, 4, 9
GUO Ziyu	BQS942	Licensed Representative (Provisional Licence)	6
HAN Lin	BQF403	Licensed Representative (Provisional Licence)	6
HAN Zhen	BQS919	Licensed Representative (Provisional Licence)	1, 4, 6
HE Yingcheng	BQU211	Licensed Representative (Provisional Licence)	2, 5
HE Zijing	BQV967	Licensed Representative (Provisional Licence)	4, 9
HEDDAR Hichem Djaloul	BQW976	Licensed Representative (Provisional Licence)	1, 2
HENG Sung Kit Justin	AFC294	Licensed Representative (Provisional Licence)	4, 9
HIOE Kwok Ho Nick	BFZ230	Licensed Representative (Provisional Licence)	4, 9
HO Carissa	BQW852	Licensed Representative (Provisional Licence)	1
HO Chak Kie	AEC938	Licensed Representative (Provisional Licence)	1, 2
HO Eric Chi-fung	BQU733	Licensed Representative (Provisional Licence)	1, 4, 9
HO Lelia Tze Fun	ALN769	Licensed Representative (Provisional Licence)	1
HO Ming Hei, William	BFD430	Licensed Representative (Provisional Licence)	1
HO Shung Pui, Pansy	ARV131	Licensed Representative (Provisional Licence)	1
HONG Jie	BQW978	Licensed Representative (Provisional Licence)	1, 4, 5, 9
HONG Xining	BQU836	Licensed Representative (Provisional Licence)	4
HOPPER Graham Robert	BQU591	Licensed Representative (Provisional Licence)	1, 2
HSU Ren Pei	BQV664	Licensed Representative (Provisional Licence)	1, 4, 6
HU An	BQU495	Licensed Representative (Provisional Licence)	1, 4, 6
HU Yajuan	BAA706	Licensed Representative (Provisional Licence)	1, 6
HUAN Peixuan	BQU768	Licensed Representative (Provisional Licence)	1, 4
HUANG Hao	BQV089	Licensed Representative (Provisional Licence)	4
HUANG Jing-Tian	BQQ717	Licensed Representative (Provisional Licence)	1, 4
HUANG Kai	BQV053	Licensed Representative (Provisional Licence)	1, 4, 9
HUANG Xiaobin	BQW518	Licensed Representative (Provisional Licence)	4
HUNG Chin Chi	BML862	Licensed Representative (Provisional Licence)	2
HUNG Hsin Ying	BQV560	Licensed Representative (Provisional Licence)	1
HUR Min Kyong	BGJ410	Licensed Representative (Provisional Licence)	1, 4
IP Howard Ho Yin	BQW102	Licensed Representative (Provisional Licence)	1, 2, 4
IP Ka Yee	BQX141	Licensed Representative (Provisional Licence)	1, 4
IP Moses Alan	BMB936	Licensed Representative (Provisional Licence)	1, 2
JANG Jinah	BQR908	Licensed Representative (Provisional Licence)	1, 2
KE Chenchen	BQX024	Licensed Representative (Provisional Licence)	1, 4, 9
KIM Kun Hee	BQW125	Licensed Representative (Provisional Licence)	1, 4
KOU Yuanyuan	BKX467	Licensed Representative (Provisional Licence)	1, 4, 5, 9
KWAN Kit Ling	BIX484	Licensed Representative (Provisional Licence)	1
LAI Chi Nok	BOP230	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
LAI Ketong	BQS234	Licensed Representative (Provisional Licence)	4, 9
LAI Qiwen	BQV868	Licensed Representative (Provisional Licence)	1
LAI Yee Nok	BQW333	Licensed Representative (Provisional Licence)	1, 2
LAM Kuen	ANJ657	Licensed Representative (Provisional Licence)	9
LAU Fung Shek	BQU683	Licensed Representative (Provisional Licence)	1, 4
LAU Ho Cheong	BQV549	Licensed Representative (Provisional Licence)	1, 2, 3, 4
LAU Ho Kwong Heymens	BQU574	Licensed Representative (Provisional Licence)	1, 4, 6
LAU Kwok Wai	AQJ443	Licensed Representative (Provisional Licence)	1, 2
LAU Yim Ha	BQV765	Licensed Representative (Provisional Licence)	1, 4
LAU Yuet Chiu	BEI688	Licensed Representative (Provisional Licence)	1, 4
LAW Sum Yee, Purple	BQW400	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
LAW Yeung Sin Angelin	BQW535	Licensed Representative (Provisional Licence)	1, 2
LEE Chun Hoi	AJD777	Licensed Representative (Provisional Licence)	1, 4
LEE Dongjin	BQT934	Licensed Representative (Provisional Licence)	1, 6
LEE Jack Kai Tik	BQV551	Licensed Representative (Provisional Licence)	1, 4
LEE Jungyoon	BQU841	Licensed Representative (Provisional Licence)	2, 5
LEE Kit Sum	BQW632	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Michelle Mingren	BHX793	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Pei Yee Jovina	BQW994	Licensed Representative (Provisional Licence)	1, 2
LEE Pui Yu	BQU992	Licensed Representative (Provisional Licence)	9
LEE Sai Man	BMZ548	Licensed Representative (Provisional Licence)	10
LEE Seung Jin	AFV898	Licensed Representative (Provisional Licence)	1
LEUNG Alex Chun Hin	BQV618	Licensed Representative (Provisional Licence)	1, 4
LEUNG Mei Shan	BIW785	Licensed Representative (Provisional Licence)	1
LI Chi Lung	AZL751	Licensed Representative (Provisional Licence)	1
LI Dan	BQX076	Licensed Representative (Provisional Licence)	1, 4, 6
LI Hanyang	BQZ779	Licensed Representative (Provisional Licence)	1, 4
LI Haoran	BQV619	Licensed Representative (Provisional Licence)	1, 4, 6
LI Jiacheng	BQU582	Licensed Representative (Provisional Licence)	1, 2
LI Jing	BQV764	Licensed Representative (Provisional Licence)	1, 4, 6
LI Juan	BPJ045	Licensed Representative (Provisional Licence)	9
LI Ka Tsun	BNR840	Licensed Representative (Provisional Licence)	1, 2, 4
LI Liangzi	BQW500	Licensed Representative (Provisional Licence)	1, 4, 6
LI Suet Man, Nora	ASH687	Licensed Representative (Provisional Licence)	1, 4
LI Wing Chee	BFS581	Licensed Representative (Provisional Licence)	1, 2
LI Ying	BQN192	Licensed Representative (Provisional Licence)	4, 9
LI Zheng	BQW983	Licensed Representative (Provisional Licence)	4, 9
LI Zhiyao	BQY967	Licensed Representative (Provisional Licence)	1, 6
LI Zihao	BQW194	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIAO John Bond Jonathan	BQF096	Licensed Representative (Provisional Licence)	1, 2
LIN Jing	AZQ419	Licensed Representative (Provisional Licence)	1, 4, 9
LIN Wai Leong	BQW260	Licensed Representative (Provisional Licence)	1, 2
LING Yao	BBF570	Licensed Representative (Provisional Licence)	1, 4, 9
LIT Ching Nam	BQW258	Licensed Representative (Provisional Licence)	1, 2
LIU Ching Pan, Derek	BQT849	Licensed Representative (Provisional Licence)	4
LIU Enyu	BQV611	Licensed Representative (Provisional Licence)	1, 2, 4, 9
LIU Hanning	BRA098	Licensed Representative (Provisional Licence)	1, 4
LIU Jun	BQX072	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Lu	BQX073	Licensed Representative (Provisional Licence)	1, 4, 6
LIU Mengran	BQW928	Licensed Representative (Provisional Licence)	4, 9
LIU Shuiyin	BHK590	Licensed Representative (Provisional Licence)	1, 4, 5, 9
LIU Wenbo	BQW323	Licensed Representative (Provisional Licence)	1, 6
LIU Xinyi	BQU973	Licensed Representative (Provisional Licence)	4, 9
LIU Yichen	BQW327	Licensed Representative (Provisional Licence)	1, 6
LOH Howard Howe Yeung	BCA495	Licensed Representative (Provisional Licence)	9
LOW Kay Kham	BQV337	Licensed Representative (Provisional Licence)	1, 4, 6
LU Xiaomeng	BQV486	Licensed Representative (Provisional Licence)	1, 4, 6
LUO Wei	BPY860	Licensed Representative (Provisional Licence)	1, 6
LUO Yuxin	BQP771	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LYU Yu	BGA441	Licensed Representative (Provisional Licence)	4
LYU Zhen	BQV810	Licensed Representative (Provisional Licence)	1, 4, 6
MA Kaiyi	BQU833	Licensed Representative (Provisional Licence)	4
MA Kit Yu Priscilla	BQV948	Licensed Representative (Provisional Licence)	1, 2
MA Yuwei	BQR923	Licensed Representative (Provisional Licence)	1, 4
MADIOUNI Yassine	BNL369	Licensed Representative (Provisional Licence)	1, 2
MATSUBARA Fuyuki	BQU257	Licensed Representative (Provisional Licence)	1, 2, 4, 5
MENG Ke	BQW003	Licensed Representative (Provisional Licence)	9
MOK CHI FUNG	BQX150	Licensed Representative (Provisional Licence)	9
MOORFIELD-YEE Liam	BQW092	Licensed Representative (Provisional Licence)	1
NACSON Andes	BQW477	Licensed Representative (Provisional Licence)	9
NAGASAWA Shohei	BQW081	Licensed Representative (Provisional Licence)	9
NG Chi Hang, John	BFD830	Licensed Representative (Provisional Licence)	1
NG Siu Na Sarnian	BMC120	Licensed Representative (Provisional Licence)	1
NG Sze Wang Antonio	BQV762	Licensed Representative (Provisional Licence)	1, 6
ONO Yasuhiro	BQT660	Licensed Representative (Provisional Licence)	9
PAN JIANONG	BQV863	Licensed Representative (Provisional Licence)	1, 4
PAN Qiaochu	BQV939	Licensed Representative (Provisional Licence)	1
POON Hei Wai	ARF067	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
PYTTLIK Patricia Anna	BQW685	Licensed Representative (Provisional Licence)	4
QIAN Gaolin	BQU932	Licensed Representative (Provisional Licence)	1, 2
QIAN Yangyang	BQV694	Licensed Representative (Provisional Licence)	1, 2
QIN SI	BQU935	Licensed Representative (Provisional Licence)	1, 6
RODFORD Mark Andrew	BQV688	Licensed Representative (Provisional Licence)	1, 2
SAMANT Aditya Ashok	ACA985	Licensed Representative (Provisional Licence)	1
SHAO Michael	BQW032	Licensed Representative (Provisional Licence)	1, 4
SHI Jiacheng	BQS650	Licensed Representative (Provisional Licence)	1, 6
SHI Yafei	BQV151	Licensed Representative (Provisional Licence)	2, 5
SHI Zhuoyue	BOZ221	Licensed Representative (Provisional Licence)	1, 4, 6
SI Xianrong	BQW396	Licensed Representative (Provisional Licence)	1, 4, 6
SIU Chun Pong	BQX158	Licensed Representative (Provisional Licence)	1, 2
SO Ka Ho	BQV873	Licensed Representative (Provisional Licence)	1
SO Ka Yee	BQV884	Licensed Representative (Provisional Licence)	1
SONG Guchuan	BQF157	Licensed Representative (Provisional Licence)	6
SONG Shaofeng	BQT719	Licensed Representative (Provisional Licence)	4, 9
SONG Zhiyuan	BRA089	Licensed Representative (Provisional Licence)	1, 6
SUN Bo	BQF186	Licensed Representative (Provisional Licence)	6
SUN Fanding	BQV360	Licensed Representative (Provisional Licence)	1, 4
SUN Junhua	BQU259	Licensed Representative (Provisional Licence)	1
SUN Shuai	BFS276	Licensed Representative (Provisional Licence)	9
SUN Zewen	BRA092	Licensed Representative (Provisional Licence)	1, 6
SUNG Yuk Ting	BQT880	Licensed Representative (Provisional Licence)	1
SZE Wing Man Ada	BQV333	Licensed Representative (Provisional Licence)	1
SZETO Fong Wa, Jenny	AED920	Licensed Representative (Provisional Licence)	4, 9
TAM Chi Kin	BQX895	Licensed Representative (Provisional Licence)	1
TAM Chris Sze On	BJD946	Licensed Representative (Provisional Licence)	1, 4, 6
TAN Daniel Gabriel Soon Lye	BQU587	Licensed Representative (Provisional Licence)	1, 4, 9
TAN Gavin Yang Bi	BQT605	Licensed Representative (Provisional Licence)	9
TAN Taizhi	BCH565	Licensed Representative (Provisional Licence)	1, 4, 6
TANG Dawei	BQW340	Licensed Representative (Provisional Licence)	1, 6
TANG Lok Chi	BOO535	Licensed Representative (Provisional Licence)	9
TAO Wing Han Vera	BQV918	Licensed Representative (Provisional Licence)	1, 4
TO Man Ting	BQY880	Licensed Representative (Provisional Licence)	1, 4
TSANG Clement Si Yuen	BQW324	Licensed Representative (Provisional Licence)	1
TSANG Shuk Ching	BQW711	Licensed Representative (Provisional Licence)	1, 4
TSANG Wa Chin	BQX011	Licensed Representative (Provisional Licence)	1, 2
TSAO Ching Tsung	BQW411	Licensed Representative (Provisional Licence)	1, 4, 9
TSE Yu Lung	AVU827	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
TSUI Ursula Yee Har	AUB434	Licensed Representative (Provisional Licence)	1
TUERSHUNJIANG Ahemaitijiang	BQW336	Licensed Representative (Provisional Licence)	1, 2
WAN Chun Man	ATY752	Licensed Representative (Provisional Licence)	1, 2
WAN Ho Fung	BQV498	Licensed Representative (Provisional Licence)	1, 4
WANG Guoliang	BQV746	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Jue	BOF346	Licensed Representative (Provisional Licence)	1
WANG Kai	BQR446	Licensed Representative (Provisional Licence)	4
WANG Pei	BQW985	Licensed Representative (Provisional Licence)	1, 4, 5, 9
WANG Siyun	BGO634	Licensed Representative (Provisional Licence)	6
WANG Yue	BMT609	Licensed Representative (Provisional Licence)	1, 2, 4, 5
WEI Hong Fu	BQV809	Licensed Representative (Provisional Licence)	9
WONG Bon Lun Barry	BQT103	Licensed Representative (Provisional Licence)	1, 4
WONG Fai Yeung	BQW998	Licensed Representative (Provisional Licence)	1
WONG Man Kwong	BQV399	Licensed Representative (Provisional Licence)	4
WONG Olivier	BQR904	Licensed Representative (Provisional Licence)	1
WONG Sze Man Elsie	BQQ703	Licensed Representative (Provisional Licence)	1, 4
WONG Wing Hang, Dominic	ACL614	Licensed Representative (Provisional Licence)	1, 4, 9
WONG Yan Kei Christine	BKD487	Licensed Representative (Provisional Licence)	1, 4, 9
WU Chenguang	BQU494	Licensed Representative (Provisional Licence)	4
WU Chih-Jung	BQV050	Licensed Representative (Provisional Licence)	1, 2, 4, 5
WU Di	BQW332	Licensed Representative (Provisional Licence)	1, 6
WU Guoyuan	BQV487	Licensed Representative (Provisional Licence)	4
WU Jung-En	BQU459	Licensed Representative (Provisional Licence)	4
WU Shuning	BQT148	Licensed Representative (Provisional Licence)	1, 4, 9
WU Yanpeng	BQR008	Licensed Representative (Provisional Licence)	1, 4, 9
WU Yuchen	BRA097	Licensed Representative (Provisional Licence)	1, 6
WU Yuding	BAF612	Licensed Representative (Provisional Licence)	1, 4, 5, 9
WU Yue	BQW339	Licensed Representative (Provisional Licence)	1, 6
WU Yutong	BQZ909	Licensed Representative (Provisional Licence)	1, 6
XIAO GANG	BQV876	Licensed Representative (Provisional Licence)	1, 6
XIONG Xueying	BQV378	Licensed Representative (Provisional Licence)	1, 2
XU Chenyang	BQU852	Licensed Representative (Provisional Licence)	9
XU Ke	BHU228	Licensed Representative (Provisional Licence)	1, 4
XU Ke	BQS954	Licensed Representative (Provisional Licence)	4, 9
XU Min	BQZ862	Licensed Representative (Provisional Licence)	1, 6
XU Rui	BQN938	Licensed Representative (Provisional Licence)	1, 2, 7
XU Yuqian	BQV813	Licensed Representative (Provisional Licence)	1, 4, 6
XU Ziyi	BQW633	Licensed Representative (Provisional Licence)	1, 6
YAMAGUCHI Tomohiro	BQV717	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
YAN Suping	AUR633	Licensed Representative (Provisional Licence)	1, 4, 5, 9
YANG Jing	BIX539	Licensed Representative (Provisional Licence)	4
YANG Lingxiu	AVH936	Licensed Representative (Provisional Licence)	4
YANG Tsung-huan	BQT342	Licensed Representative (Provisional Licence)	9
YANG Xiaoyu	BRA096	Licensed Representative (Provisional Licence)	1, 4
YANG Yan	BQP698	Licensed Representative (Provisional Licence)	1, 4
YANG Yueqi	BQV557	Licensed Representative (Provisional Licence)	1, 4, 6
YEOH Chye Chuan Eric	BMM148	Licensed Representative (Provisional Licence)	1, 4
YEUNG Lily	BQV553	Licensed Representative (Provisional Licence)	1, 4, 9
YEUNG Tsz Kwong	AQQ282	Licensed Representative (Provisional Licence)	1, 4
YEUNG Wing Sum	BQW434	Licensed Representative (Provisional Licence)	1, 2
YIK Anthony Kwun Toh	APZ645	Licensed Representative (Provisional Licence)	9
YIN Chenli	BQW831	Licensed Representative (Provisional Licence)	1, 2
YIN Fangfei	BMV612	Licensed Representative (Provisional Licence)	1, 2, 4
YIN Xinchu	BOT875	Licensed Representative (Provisional Licence)	4
YIP Chi Chung	BQX043	Licensed Representative (Provisional Licence)	1, 4
YIP Ka Man	BQV454	Licensed Representative (Provisional Licence)	1
YIP Wing Sum	BQT855	Licensed Representative (Provisional Licence)	4
YU Chin Ting	BQW322	Licensed Representative (Provisional Licence)	1
YU Hongxin	BQW341	Licensed Representative (Provisional Licence)	1, 6
YU Yang	BQV952	Licensed Representative (Provisional Licence)	1, 4, 9
YUAN Rachel	BQW470	Licensed Representative (Provisional Licence)	1
YUE Yi	BJI225	Licensed Representative (Provisional Licence)	4, 9
YUEN Wai Ching	BQW094	Licensed Representative (Provisional Licence)	1, 2
ZENG Shiyu	BQX035	Licensed Representative (Provisional Licence)	1
ZENG Zhaolin	BQQ549	Licensed Representative (Provisional Licence)	4, 9
ZHANG Chen	BQW337	Licensed Representative (Provisional Licence)	1, 6
ZHANG Chuang	BPY859	Licensed Representative (Provisional Licence)	1, 6
ZHANG Ding	BQW338	Licensed Representative (Provisional Licence)	1, 6
ZHANG Dongyu	BQU930	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Jiaqi	BLT202	Licensed Representative (Provisional Licence)	1, 2, 4
ZHANG Jinglei	BQV633	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Jingyi	BQW014	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG Jinyao	BQW387	Licensed Representative (Provisional Licence)	4
ZHANG Kewei	BQU920	Licensed Representative (Provisional Licence)	1, 6
ZHANG Qingyun	BQW104	Licensed Representative (Provisional Licence)	1, 2
ZHANG Quanguo	BQV624	Licensed Representative (Provisional Licence)	4
ZHANG Tianye	BQY963	Licensed Representative (Provisional Licence)	1, 6
ZHANG Xiaoyu	BQV107	Licensed Representative (Provisional Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Xu	BQV950	Licensed Representative (Provisional Licence)	1, 2, 4, 5
ZHANG Yanyang	BQW668	Licensed Representative (Provisional Licence)	1
ZHANG Zhuo	BQV857	Licensed Representative (Provisional Licence)	1, 4
ZHAO He	BLN655	Licensed Representative (Provisional Licence)	1, 6
ZHAO Heran	BRA093	Licensed Representative (Provisional Licence)	1, 6
ZHAO Xue	BQU703	Licensed Representative (Provisional Licence)	1
ZHENG Qin	BQV071	Licensed Representative (Provisional Licence)	4
ZHENG Yiming	BQV917	Licensed Representative (Provisional Licence)	4
ZHOU Huicheng	BQU273	Licensed Representative (Provisional Licence)	1, 6
ZHOU Xiaoxiao	BRA090	Licensed Representative (Provisional Licence)	1, 4
ZHOU Yiran	BRA091	Licensed Representative (Provisional Licence)	1, 6
ZHOU Yue	BQW497	Licensed Representative (Provisional Licence)	4
ZHU Peng	BQF198	Licensed Representative (Provisional Licence)	6
HE Jason	BQQ758	Licensed Representative (Temporary Licence)	10
MONAPPA Aditya	BNH920	Licensed Representative (Temporary Licence)	4
SHIU Angela Ka Kei	BQQ984	Licensed Representative (Temporary Licence)	1