

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
Argonaut Securities (Asia) Limited	AXO052	Licensed Corporation	1, 4, 6
Asia Climate Partners Hong Kong Limited	BGY602	Licensed Corporation	1, 4
Chow Sang Sang Futures Limited	ABN348	Licensed Corporation	2
Cloudview Capital Investment Management Limited	BDR584	Licensed Corporation	9
CTW Securities Limited	AGC150	Licensed Corporation	1
DBG Investment Limited	BPL949	Licensed Corporation	4, 9
Ferran Securities Limited	BHG080	Licensed Corporation	1, 4
Founder Futures (Hong Kong) Limited	BGP636	Licensed Corporation	2
Founder Securities (Hong Kong) Capital Company Limited	BLX755	Licensed Corporation	6
Guo Bang (HK) Asset Management Limited	BKV833	Licensed Corporation	9
Kai Wo Asset Management Limited	ARB812	Licensed Corporation	4, 9
Success Asset Management Limited	BBF230	Licensed Corporation	9
AN Ran	ATW424	Licensed Representative	4, 9
ARAKI Tomohiro	AYH941	Licensed Representative	4
ARAYA OLIVARES Rafaello Javier	BPW896	Licensed Representative	1, 4, 9
ARTHUR Anthony James	BNM919	Licensed Representative	1, 4
AVENEL Gerald Marie Jean Alain	BKO053	Licensed Representative	1, 4
BAI Yun	BJI014	Licensed Representative	4, 9
BAO Rencheng	BEZ873	Licensed Representative	4
BAZANY Rosalind Jane	BIC636	Licensed Representative	1, 4
BHEN Ka Ho	BNX272	Licensed Representative	6
BI Wei	ATC372	Licensed Representative	9
BIAN Ce	BIM214	Licensed Representative	1, 4, 9
BLANCO GOMEZ Felipe	AZX336	Licensed Representative	2, 5
CAI Yule	BPF215	Licensed Representative	1
CHAI Yingshan	BKD933	Licensed Representative	1, 4, 6
CHAK Kwai Ting John	BQH314	Licensed Representative	1
CHAN Chor Kuen, Diana	AER326	Licensed Representative	1
CHAN Chun Fai	AUM731	Licensed Representative	1, 2
Chan Chung Yin Barry	AQG984	Licensed Representative	1
CHAN Justin Chak-shun	BFU419	Licensed Representative	9
CHAN Ka Kin	BBY274	Licensed Representative	1, 2
CHAN Ka Wai	BNJ689	Licensed Representative	1
CHAN Ka Wing, Amy	BCO965	Licensed Representative	1, 2, 3, 4
CHAN Kim Ming, Vincent	ABI373	Licensed Representative	1
CHAN King Fai	BBW081	Licensed Representative	1
CHAN Lai Lai	AFC696	Licensed Representative	1
CHAN Lap San Raymond	AQH361	Licensed Representative	4, 9
CHAN Ling Chung	AFD795	Licensed Representative	4, 9
CHAN Ling Fung	AMR221	Licensed Representative	1
CHAN Lung Ming	ATT477	Licensed Representative	6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Mei Mei	AHX196	Licensed Representative	1, 2
CHAN Ming Yan	ACD664	Licensed Representative	1
CHAN Nga Nam	AQQ030	Licensed Representative	1
CHAN Sai Keung	BNR456	Licensed Representative	1, 2
CHAN Sau Han	AUM922	Licensed Representative	4, 9
CHAN Shuk Ming	ANZ528	Licensed Representative	1, 2
CHAN Siu Man	BPM586	Licensed Representative	1, 4
CHAN Sze Nga	BDT330	Licensed Representative	1
CHAN Tsz Him	BNJ067	Licensed Representative	1, 4
CHANG Cheng-Yu	BDT596	Licensed Representative	4
CHANG Chih-Han	BNF236	Licensed Representative	10
CHANG Wei Hsuan	BPV643	Licensed Representative	6
CHANG Ying-ying	ARI568	Licensed Representative	1, 6
CHAO Yee Hung	BOG041	Licensed Representative	1, 4
CHAR Ching Fung Jimmy	BJU206	Licensed Representative	1, 6
CHAU Hoi Man Helen	BKC585	Licensed Representative	1, 6
CHAU Siu Ching	AEJ999	Licensed Representative	1
CHAU Yiu Tak James	BQE790	Licensed Representative	1, 2
CHEN Allen	BOQ989	Licensed Representative	1, 6
CHEN Chuanxuan	ANT855	Licensed Representative	1, 4, 6
CHEN Gengxing	BQH311	Licensed Representative	4
CHEN Haiyun	AXH543	Licensed Representative	1, 4, 9
CHEN Jiadian	BOP349	Licensed Representative	1, 2
CHEN Junhui	AXW582	Licensed Representative	4, 5, 9
CHEN Junyi	BPU740	Licensed Representative	1, 4, 6
CHEN Lan	AHD684	Licensed Representative	1, 4, 6
CHEN Le	ARS239	Licensed Representative	4, 9
CHEN Luxiao	BLR648	Licensed Representative	4
CHEN Shuk Wa	AER887	Licensed Representative	1
CHEN Xiaoxin	BMX949	Licensed Representative	1, 2, 4, 9
CHEN Xingmin	BPW992	Licensed Representative	1, 4
CHEN Yunyu	BQN522	Licensed Representative	1, 4
CHENG Hiu Nam, Wien	BNS466	Licensed Representative	1, 6
CHENG Kei Pong	ANR239	Licensed Representative	1, 4, 9
CHENG Ngan Chau	AWD953	Licensed Representative	1
CHENG Tien Yum Timothy	BHR348	Licensed Representative	1
CHENG Wing Si Constance	BNR696	Licensed Representative	1
CHENG Wing Sze	BPA117	Licensed Representative	1
CHENG Yu Chi	BNC809	Licensed Representative	4, 9
CHENG Yu Sum	BNV471	Licensed Representative	1, 4
CHEONG Tat Shing, Anthony	BBU287	Licensed Representative	1, 4, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHEUNG Ching Leung, David	AFY173	Licensed Representative	9
CHEUNG Hiu Fung	BCU432	Licensed Representative	1
CHEUNG Kim Fung	BOR163	Licensed Representative	1
CHEUNG Man Chit	AZO231	Licensed Representative	1, 2, 4, 9
CHEUNG Man Yin	BRD717	Licensed Representative	1, 4, 9
CHEUNG Ming Hing	AFQ369	Licensed Representative	1
CHEUNG Ming Tak	ACS870	Licensed Representative	1
CHEUNG Sing Po	AMU052	Licensed Representative	1
CHEUNG Siu Fun	AED137	Licensed Representative	1
CHEUNG Siu Hei	BQP334	Licensed Representative	1, 4
CHEUNG Wai Man Raymond	AEM756	Licensed Representative	1
CHING Tai Kong, Gregory	AAD522	Licensed Representative	1
CHIO Mei Seong	AKQ627	Licensed Representative	1
CHIU Jone Yin	BCZ927	Licensed Representative	1
CHIU King Him	BMP470	Licensed Representative	1, 4
CHIU Wing Nga, Rita	AUR827	Licensed Representative	1, 4, 9
CHOI Chung Ling	AOM647	Licensed Representative	2
CHOI Ji Yoon	BCC887	Licensed Representative	2, 5
CHOI Oi Fung	ASU157	Licensed Representative	1
CHOI Terese	BPN261	Licensed Representative	1, 4
CHOI Tung Yin, Patrick	AVA754	Licensed Representative	1, 2
CHOI Wai Ming Albert	ABO740	Licensed Representative	1
CHONG Man Dick	BOR098	Licensed Representative	1
CHOR Chun Ming	ANL068	Licensed Representative	1, 2, 4, 5
CHOW Lai Lai	AFU027	Licensed Representative	1
CHOW Wai Chi	ATO798	Licensed Representative	1, 4
CHOW Wai Ho	AUJ413	Licensed Representative	1
CHOY Yiu Ban	BQW227	Licensed Representative	1, 4
CHU HO Sun Lin	AAY109	Licensed Representative	1
CHU Ka Lok	BQQ842	Licensed Representative	9
CHU Pui Yan	BPI540	Licensed Representative	1, 2
CHU Yiwei	BPW311	Licensed Representative	1, 4, 6
CHUI Hoi Yan	AKW893	Licensed Representative	1, 4
CHUI Nicholas	BQL723	Licensed Representative	1, 2
CHUI Sin Ying	BNP730	Licensed Representative	1
CHUI Una Wing Yi	BII440	Licensed Representative	1, 4
CHUI Yuen Kwan	BQY901	Licensed Representative	1
CHUNG Miu Chu, Can	AGJ481	Licensed Representative	4
CHUNG Tsz Kin	BCU599	Licensed Representative	1
CHUNG Yi Tung	AUP364	Licensed Representative	1
DAI Longji	BEW411	Licensed Representative	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
DANG Jolyn Le Thuy	AWY706	Licensed Representative	1, 4
DELRUE Thibault Marc	AOJ141	Licensed Representative	1, 2
DENG Daye David	BNY295	Licensed Representative	4, 9
DHANUKA Ayush Kumar	BRO433	Licensed Representative	1, 2
DI Yun	BLL511	Licensed Representative	1, 4
DING Siyuan	BQS818	Licensed Representative	1, 4
DONG Mengqi	BCN977	Licensed Representative	1, 4, 9
DOU XUANFEI	BQL732	Licensed Representative	1, 4, 9
EASTWOOD Helen Rebecca	BEO215	Licensed Representative	1, 4
ENLUND Dianna	APU795	Licensed Representative	1, 4, 9
FAN Wai Kwong, William	ALA767	Licensed Representative	1
FEDOTOVA Jelena	BNH659	Licensed Representative	1, 4
FENG Rui	BAE076	Licensed Representative	1
FENG Yangyang	BOM713	Licensed Representative	4
FOK Pui Shan	AFT509	Licensed Representative	1
FONG Joseph J	AUQ951	Licensed Representative	10
FONG Sao Kun	AFR548	Licensed Representative	1
FONG Yuet Yu	AFK622	Licensed Representative	1
FOO Xiang Quan Gary	BND649	Licensed Representative	1, 4, 6
FU Hsiang Chuan	ALR024	Licensed Representative	1
FU Sixia	BOQ856	Licensed Representative	1, 6
FUNG Cheuk Luk	ANC143	Licensed Representative	1, 4, 9
FUNG Tsz Ching, Paper	BGI746	Licensed Representative	1
GARCIA Cristobal Antonio	ANM682	Licensed Representative	1, 4
GERRARD Elizabeth Sarah	BON891	Licensed Representative	1, 4
GO Robrina	ASZ683	Licensed Representative	1
GORNY Wolfgang Georg	AWD943	Licensed Representative	4
GROSS Steven Edward	AVA755	Licensed Representative	1
GU Jun	AZW288	Licensed Representative	1
GU Zhengzhou	BEW409	Licensed Representative	1, 4, 9
GUO Shuai	AZF998	Licensed Representative	6
HA Po Kwan	ALB254	Licensed Representative	9
HO Ching Wah	ACQ758	Licensed Representative	1, 2
HO Ho Kei, Diamond	AAJ607	Licensed Representative	1, 2
HO Kok Chi	ALB191	Licensed Representative	1
HO Sze Long	BAQ979	Licensed Representative	1, 4
HO Tack Wei	BKI538	Licensed Representative	1, 6
HO Tung Leung	BQA766	Licensed Representative	1, 4, 9
HO Wing Lim	AOG204	Licensed Representative	1
HO Yin Ling, Elaine	ALS373	Licensed Representative	1
HONDA Kazuma	BIY261	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
HONG Leyuan	BFY987	Licensed Representative	1, 6
HONG Xueyu	BFE233	Licensed Representative	4
HONG Yaya	BPD390	Licensed Representative	1, 2, 4
HSIEH Shan Man	AJD195	Licensed Representative	1, 4, 9
HSIEH Sheng Ta	BPY285	Licensed Representative	1
HU Ka Lam	AEP558	Licensed Representative	1
HU Xinyi	BRE260	Licensed Representative	1, 6
HUANG Chih Yu	BPK563	Licensed Representative	1, 2, 4, 5
HUANG Hongjiang	BOH725	Licensed Representative	1, 2
HUANG Nan	BIX778	Licensed Representative	1, 4, 9
HUANG Wei	BLL815	Licensed Representative	1, 2
HUANG Yilong	BOG576	Licensed Representative	1, 4
HUANG Yue	AVS803	Licensed Representative	1, 4, 6
HUANG Yuk Ling	APG007	Licensed Representative	1
HUANG Zidong	BFY826	Licensed Representative	1, 4
HUI Wai Pong	AMM423	Licensed Representative	1, 4
HUNG Hiu Ying	BJV893	Licensed Representative	1
HUNG Lap Tung	ANB792	Licensed Representative	1, 2
IMAZU Nanako	AVU642	Licensed Representative	1, 2
INOUE Yuichiro	AWX808	Licensed Representative	1, 2
IONG Matthew Ka Leong	AYD592	Licensed Representative	1, 4, 9
IP Hon Ming	AGD860	Licensed Representative	1
IP Mina Mei Na	ATS690	Licensed Representative	6
IP Tze Kai, Brian	BNR260	Licensed Representative	1, 4
IP Yau Lung	AKI626	Licensed Representative	1
JEARAJASINGAM Premanand	ANP073	Licensed Representative	4
JI Weiqing	BGM937	Licensed Representative	1, 4, 9
JIANG Yongyi	BPL830	Licensed Representative	4, 9
JING Hua	BMQ902	Licensed Representative	4, 9
JUNG Joong Hoon	BKF444	Licensed Representative	2, 5
KAM Ngar Ting	BEC912	Licensed Representative	1, 4, 9
KAYE Patrick Jon	ALG236	Licensed Representative	1, 4
KELLY	BMT541	Licensed Representative	1, 4
KIM Minwook	BOI266	Licensed Representative	10
KITAZAWA Tomohiro	ANU570	Licensed Representative	1, 2
KLAVINS Roberts	AZS942	Licensed Representative	1, 2
KO Bo Ting	BMQ375	Licensed Representative	1
KO Sheung Yan, Russell	BBB576	Licensed Representative	1
KONG Hon Man	AFR505	Licensed Representative	1
KOO Dawson	AQV537	Licensed Representative	1
KORINKE Ryan Patrick	BKN674	Licensed Representative	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
KOSARI Andrew	BOQ038	Licensed Representative	9
KWOK Hiu Lam	AOY903	Licensed Representative	1, 4, 9
KWOK Wa Yan, Irene	AYR945	Licensed Representative	1, 4, 6
KWONG Ho Yee	AMA164	Licensed Representative	1
KWONG Shuk Ngan	AGO996	Licensed Representative	1, 4
LAI Hoi Yan	AYJ382	Licensed Representative	1
LAI Kwok Leung	AJE162	Licensed Representative	9
LAI Maureen	AUU966	Licensed Representative	1, 4, 6
LAI Tat Ming	BPI647	Licensed Representative	1, 2, 4
LAM Chak Yu	BOE255	Licensed Representative	1, 4, 9
LAM Chi Kit Edward	BMO974	Licensed Representative	10
LAM Keng long	AGC604	Licensed Representative	1, 4
LAM King Tai	APF446	Licensed Representative	2
LAM Lai Yin, Sophia	ANT520	Licensed Representative	1
LAM Lin, Irene	AEC981	Licensed Representative	1
LAM Man Kin	BGO350	Licensed Representative	2
LAM Mei Fong	AND459	Licensed Representative	1
LAM Ping Ping	BPY067	Licensed Representative	1, 4
LAM Renee	AXG318	Licensed Representative	10
LANG Ning	BNX456	Licensed Representative	1, 4, 6
LAU Bernard Yu Kwan	AFT473	Licensed Representative	9
LAU Chi Wai, Fred	AAA314	Licensed Representative	2
LAU Ho Lam Rex	BOL692	Licensed Representative	1, 4, 6
LAU Yi Wang Ivan	BLO501	Licensed Representative	9
LAUDER Jamie David	BKC410	Licensed Representative	1, 2
LAW Kwai Yee	BHZ142	Licensed Representative	1, 6
LAW Kwok Kei, Keith	BBN726	Licensed Representative	1, 4, 9
LAWRENCE William Theodore	ALK635	Licensed Representative	4, 9
LEE Cheuk Yi	BQU922	Licensed Representative	1
LEE Chi Chung	AEP713	Licensed Representative	1, 2
LEE Chun Pong	AOD724	Licensed Representative	1, 4, 6
LEE Ka Ming	ADQ941	Licensed Representative	4
LEE Ka Ming	AFK671	Licensed Representative	1
LEE Ka Yee, Jacqueline	AOS409	Licensed Representative	1, 2
LEE Liang-Teh	AEQ246	Licensed Representative	1
LEE Man Ching	ABH052	Licensed Representative	1, 2
LEE Mei Wah, Edith	AAAY330	Licensed Representative	1
LEE Sau Ming	BPN596	Licensed Representative	1
LEE Sin Tung	BBQ225	Licensed Representative	1
LEE Tsz Wai	AYT809	Licensed Representative	1, 4
LEE Yen-Wei	BFC411	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
LEE YoungKyu	BJL305	Licensed Representative	10
LEE Yue Sun	APH667	Licensed Representative	1
LEESINSKY Mikolaj Teng Hui	BQC304	Licensed Representative	9
LEUNG Chun Kit	BIV755	Licensed Representative	3
LEUNG Chin Yu, Edmond	APO551	Licensed Representative	1, 2, 3
LEUNG HIU YEE	BRV489	Licensed Representative	1, 4
LEUNG Jessamine	ARG532	Licensed Representative	1
LEUNG Ka Yan	BOR767	Licensed Representative	1
LEUNG Kin Hung	AFI622	Licensed Representative	1
LEUNG Po Chun	AEY251	Licensed Representative	4, 9
LEUNG Pui Kin	ADI865	Licensed Representative	1, 2
LEUNG Shek Tin	BQP854	Licensed Representative	1, 2, 4, 9
LEUNG Sun Yuen, Gordon	AAC290	Licensed Representative	1
LEUNG Tak Ming	ACJ959	Licensed Representative	1, 2
LI Boqian	BGW430	Licensed Representative	1
LI Chi Ho	BOR142	Licensed Representative	1
LI Chin Pang	ARR814	Licensed Representative	1
LI Fangfang	BJO038	Licensed Representative	4
LI Guangchen	BNY840	Licensed Representative	4, 9
LI Hui	AYY608	Licensed Representative	2
LI Kim Ho, Martin	ACP472	Licensed Representative	1
LI Kit Ling, Anifa	BOR134	Licensed Representative	1
LI Liwen	AZV309	Licensed Representative	4, 5, 9
LI Qiyuan	BNQ516	Licensed Representative	4, 9
LI Xiaomin	BIE107	Licensed Representative	1, 4, 6
LI Yinghao	BOE880	Licensed Representative	1, 2
LI Yuk Kwan	BNM904	Licensed Representative	6
LIAO Yining	BAB696	Licensed Representative	6
LILA Miron	AWW333	Licensed Representative	1, 6
LIN Han	BFA306	Licensed Representative	6
LIN Ming-jung	AUG886	Licensed Representative	1
LIN Qi	BPO746	Licensed Representative	1, 2
LIN Wenyi	BQP045	Licensed Representative	1, 2
LIN Wo Yen	BML109	Licensed Representative	4, 5, 9
LIN Xinxin	BLT350	Licensed Representative	1, 6
LIU Ching-chih	BLN454	Licensed Representative	1, 4, 9
LIU Geng	BPK485	Licensed Representative	1
LIU Hsiang-Wen	AHG443	Licensed Representative	1, 4, 9
LIU Jing	BQT560	Licensed Representative	1, 4, 5, 9
LIU Liu	BEI881	Licensed Representative	1, 4
LIU Mengfei	BFN826	Licensed Representative	6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
LIU Peiyu	BRR033	Licensed Representative	6
LIU Shuiyin	BHK590	Licensed Representative	1, 4, 5, 9
LIU Tao	BFJ984	Licensed Representative	2, 5
LIU Xin	BPY628	Licensed Representative	1, 4, 6
LIU Xuemei	BBX851	Licensed Representative	1, 2
LIU Yingxi	BOU607	Licensed Representative	4
LIU Yirui	BOE480	Licensed Representative	1, 4
LIU Zhijing	AWW251	Licensed Representative	4
LO Ho Chi	BOG852	Licensed Representative	1
LO Jodi Natalie	BAC094	Licensed Representative	1, 4, 9
LO Ming Dong, Dominic	AER284	Licensed Representative	1
LO Shui Ping, Adela	AJL620	Licensed Representative	1, 4, 9
LO Wing Kam	BFA203	Licensed Representative	1, 6
LU Liyang	BGH413	Licensed Representative	4, 9
LU Xin	BPF674	Licensed Representative	6
LUK Wing Tung	ASI166	Licensed Representative	1
LUNG Kwai Yuk	ASZ610	Licensed Representative	1
LUO Lan	BIN423	Licensed Representative	4
MA Chao	BBS855	Licensed Representative	1, 2, 4, 5
MA Chi Koon, Ralph	AAY188	Licensed Representative	1, 2
MA Yi Hing	BOZ819	Licensed Representative	1, 2, 4
MACKLEM Richard Gray	BON634	Licensed Representative	1
MAK Hon Wai	AIZ568	Licensed Representative	1
MAN Hiu Tung Crystal	BRD912	Licensed Representative	1
MAN Mei Yuk	AFU549	Licensed Representative	1, 2
MC NICHOLAS Daniel Patrick	ANQ141	Licensed Representative	1, 2
MCGUIGAN Evgeniya	BQM952	Licensed Representative	9
MENG Haoting	BFW977	Licensed Representative	1, 4, 6
MENG Jing	BPC701	Licensed Representative	1, 2
MIAO Jie	BML160	Licensed Representative	1, 4, 6
MIRANDA Brett Andrew	BNV321	Licensed Representative	2
MO Kim Yi, Patricia	AFR522	Licensed Representative	1
MOK Ho Tung, Clayton	APC642	Licensed Representative	1, 2, 9
MOK Po Tak	BHB450	Licensed Representative	4, 9
MOROTA Toshiharu	BOS374	Licensed Representative	1, 4
MORRISON Jessica Mary	AZL269	Licensed Representative	1, 7
MUKAIDONO Kazuhiro	BHL854	Licensed Representative	1, 2
MUKHERJEE Suranjan	APH111	Licensed Representative	1
NAGAO Yoshitaka	AVM354	Licensed Representative	4
NG Carole	BMI351	Licensed Representative	1, 4, 6
NG Chi Keung	AAU337	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
NG Chi Wai	AFL133	Licensed Representative	1
NG Joe Him Thomas	BQA890	Licensed Representative	1, 2, 4
NG Ka Po	AGN945	Licensed Representative	1
NG Ka Ying	BQL493	Licensed Representative	6
NG Kam Ho	ABC181	Licensed Representative	1, 2
NG Mei Yee	BMN437	Licensed Representative	1
NG Pui Ying	BAP670	Licensed Representative	1, 2, 4, 9
NG Tsz Chung	BNI417	Licensed Representative	1
NG Yu Hei, Caroline	AFZ217	Licensed Representative	1
NG Yu Hin John	ATW740	Licensed Representative	1, 4
NGAI Pai Yin	BOR156	Licensed Representative	1
NGAN Or	BCW210	Licensed Representative	1, 2
NGAN Siu Man	BEQ305	Licensed Representative	1
NI Ruiyang	BMB609	Licensed Representative	2
NOZAKI Takuya	BNT223	Licensed Representative	1, 4, 6
OSEKITA Folasefeoluwa Ayoifeoluwape Oluwamisi	BNL373	Licensed Representative	1, 2
PANG Chi Ho	BPL800	Licensed Representative	4
PANG See Kit Alwyn	ACJ317	Licensed Representative	1, 4
PANG Siu Fai Jeffery	BPH417	Licensed Representative	1, 4
PAULIN Gary David	BBY517	Licensed Representative	1
POH Wee Leng Alan	BJW574	Licensed Representative	1, 2
PONG Hoi Yiu, Heidi	BGB548	Licensed Representative	6
POON Yuen Ping	AFB359	Licensed Representative	1
PRIEUR DE LA COMBLE Armand Stefan Gaston	BHS242	Licensed Representative	1, 2, 4, 5
RUSSELL Scott Gerald	APU452	Licensed Representative	4
SAE TOW Samrouy	BDL309	Licensed Representative	1
SAIGAL Seema	BCV276	Licensed Representative	1, 2
SANG Zijing	BIX210	Licensed Representative	4, 5, 9
SANKARANARAYANAN Sundareswaran	BHC657	Licensed Representative	1, 6
SAYER David Michael	AFT910	Licensed Representative	9
SEALEY Ian	BOR771	Licensed Representative	4
SELWAY James Howell	BAE026	Licensed Representative	1
SEO Yeunho	BQM300	Licensed Representative	1, 4
SHA Wai Yee	BNT766	Licensed Representative	1
SHAH Riddhi Mahendra	AXH331	Licensed Representative	1, 4
SHAN Yi	BGW834	Licensed Representative	1, 2, 4
SHANG Chuyi	AZO998	Licensed Representative	6
SHEKLETON Peter Maxwell	BFE234	Licensed Representative	1, 4
SHI Tuo	BPR541	Licensed Representative	4
SHU Wan Chi	AVF621	Licensed Representative	1
SHUM Ka Yi	BBG283	Licensed Representative	1, 4, 9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
SHUM Ying Chi	BMN417	Licensed Representative	1, 6
SIA Sze Wai	AQI873	Licensed Representative	1, 2
SIT Lai Kwan, Gloria	AER300	Licensed Representative	1
SIU Wai Hung	AAH875	Licensed Representative	1
SMITS Vincentius Johannes	BRM314	Licensed Representative	9
SO Hoi Shan	AQI868	Licensed Representative	1
SO Tsz Him	BOR770	Licensed Representative	1
SPELMAN Daryl Martin	BJP631	Licensed Representative	9
STITT Samuel James	BBX341	Licensed Representative	2, 5
SUN Haoyu	BQL341	Licensed Representative	1, 6
SUN Jing	BFU393	Licensed Representative	4
SUN Jing	BOE387	Licensed Representative	6
SUN Kaiwen	BIH180	Licensed Representative	4
SUN Lida	BBS690	Licensed Representative	1, 4, 6
SUN Tianyi	BQJ930	Licensed Representative	4
SUN Yiding	BBR448	Licensed Representative	1, 4
SUN Yue	BKQ407	Licensed Representative	1, 6
SVIRIN Anton	BOT414	Licensed Representative	1, 2
TAM Lik Fu	BLI883	Licensed Representative	1
TAN Tian	BNJ761	Licensed Representative	1, 4
TAN Xiaoyong	BOA243	Licensed Representative	1, 6
TAN Zhiyi	BQS570	Licensed Representative	1, 6
TANEJA Karan	BPQ514	Licensed Representative	1
TANG Hok Yau, Eric	AGD945	Licensed Representative	1
TANG Pui Fun	AAD923	Licensed Representative	1
TANG Sau King	AAC291	Licensed Representative	1
TANG Yuk Fun	AIC353	Licensed Representative	1
TANUDIREDJA Kwandy	AQF506	Licensed Representative	9
TAO Wing Sum	APA400	Licensed Representative	1, 2
TEO Chien Liang, Alvin	BOI301	Licensed Representative	1, 4
TEO Yin Chian	AYR078	Licensed Representative	1, 6
THEODORUS Billy	BNW206	Licensed Representative	1, 4, 6
THIAN Sum	BCU789	Licensed Representative	1, 4
TIAN Yuan	APU609	Licensed Representative	4
TIEU Minh Hai	BNF594	Licensed Representative	1, 4, 9
TO SYLVIA	BPK647	Licensed Representative	1, 4, 9
TOM Edward	ANF158	Licensed Representative	2, 5
TONG Heung Yan Donna	BML658	Licensed Representative	1
TSANG Chi Chung	BHU817	Licensed Representative	1
TSANG Po Sze	BNH609	Licensed Representative	1
TSANG Ying Ha	BHF469	Licensed Representative	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
TSE Pui Yi	BNE636	Licensed Representative	1, 4, 6
TSE Yuk Yung	BHJ474	Licensed Representative	1
TSUI Ting Kwan Quentin	BHL217	Licensed Representative	1, 6
TSUI Wing Cheung	AFC274	Licensed Representative	1
TULSHYAN Sachin	AOR578	Licensed Representative	1, 2
Vaibhav-Singh	BLG103	Licensed Representative	9
WAH Chee Hang Leo	ABV411	Licensed Representative	10
WAN Kam Wa	BFJ310	Licensed Representative	1, 2
WAN Siew Wai	AXI081	Licensed Representative	10
WAN Siu Hang, Nigel	ARW201	Licensed Representative	1
WANG Audrey Zhiji	BOT024	Licensed Representative	1, 4
WANG Lei	BNN451	Licensed Representative	1, 4
WANG Manli	BEN543	Licensed Representative	1
WANG Ruilin	BGJ256	Licensed Representative	1, 4
WANG Shifen	BFU175	Licensed Representative	1
WANG Wei	AQQ732	Licensed Representative	1, 6
WANG Wei	BKQ730	Licensed Representative	1, 4, 6
WANG Wei	BMU938	Licensed Representative	1, 4, 6
WANG Wei	BOH300	Licensed Representative	9
WANG Xiaohan	BRF997	Licensed Representative	4, 9
WANG Xiaoxiao	BNB928	Licensed Representative	6
WANG Xinyuan	BOD279	Licensed Representative	1, 6
WANG Yihao	BAF155	Licensed Representative	1, 4
WANG Yinxiao	AVL554	Licensed Representative	1, 4
WANG Yueh-wen	BNL353	Licensed Representative	2, 5
WANG Yuhao	BGO814	Licensed Representative	1, 4
WANG Yuwei	BNY470	Licensed Representative	4
WEBB Anthony Patrick	BGI051	Licensed Representative	1
WEE Jia Yng	BPY614	Licensed Representative	1
WEI Like	AWC816	Licensed Representative	1, 4
WENG Hongbo	BMP537	Licensed Representative	1, 4, 9
WONG Chi Chung	AFT846	Licensed Representative	1
WONG Chi Chung	BOW495	Licensed Representative	1, 2, 4, 5
WONG Chin Fun	ATL427	Licensed Representative	1
WONG Chun Ho	BLB188	Licensed Representative	1, 6
WONG Ho Yin	AQS653	Licensed Representative	1, 2, 4, 5
WONG Ka Wai Jeff	BJN836	Licensed Representative	6
WONG Kam Wing	ANH121	Licensed Representative	1
WONG Kar Po	AQG676	Licensed Representative	1, 4
WONG Kwok Leung	ASK759	Licensed Representative	4, 9
WONG Man Chan	BOB411	Licensed Representative	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Ming Wai	ANX951	Licensed Representative	1, 2
WONG Mun Yee	AGP124	Licensed Representative	1
WONG Nathan	BRR321	Licensed Representative	4, 9
WONG Pui Ni	BQA616	Licensed Representative	1, 4, 9
WONG Sau Wah	ABX563	Licensed Representative	1
WONG So Choi	ACI629	Licensed Representative	2
WONG Sze Fei	AVJ260	Licensed Representative	9
WONG Tse Kwun, Wesley	BOO831	Licensed Representative	1, 4, 9
WONG Wai Yin	AHU140	Licensed Representative	1
WONG Wing Ki Winky	BID605	Licensed Representative	1, 2, 4, 5
WONG Wing Yan	BPS190	Licensed Representative	1, 4
WONG Wing Yuk	AUO161	Licensed Representative	1
WONG Yin Chau	AFV048	Licensed Representative	1
WONG Yin Ming	BNW887	Licensed Representative	1, 2, 3, 4
WOO Michael T	AGP791	Licensed Representative	1, 4, 6
WOO Wai Man, Wyman Karen	AQU150	Licensed Representative	6
WU Huihuang	BKU224	Licensed Representative	6
WU Sin Tai	AGY103	Licensed Representative	1
WU Xiaowei	BOW561	Licensed Representative	1
WU Xuotong	BNN583	Licensed Representative	1, 6
WU Yiwen	BIW812	Licensed Representative	4, 9
XIA Shuang	BDD517	Licensed Representative	6
XIAN Junqi	BNM265	Licensed Representative	1, 4
XIE Yingying	BPK575	Licensed Representative	1, 4, 9
XU Beike	BOU361	Licensed Representative	1
XU Chanjuan	BOI213	Licensed Representative	1, 4
XU Haole	BOT128	Licensed Representative	1
XU Shuhun	BGN079	Licensed Representative	1, 6
YAMAMURA Junko	BES915	Licensed Representative	4
YAN Chongzhou	BLN679	Licensed Representative	4, 9
YAN Yijie	BMT538	Licensed Representative	1, 4, 9
YAN Yuguang	BET078	Licensed Representative	4, 9
YANG Chi Hung	BEM329	Licensed Representative	1, 6
YANG Dezhong	BGX441	Licensed Representative	9
YANG Tung Han	BJD657	Licensed Representative	1, 6
YANG Xiaotian	BPR904	Licensed Representative	1, 4, 9
YANG Xiaoxiao	BPR483	Licensed Representative	1
YANG Xu	BMP319	Licensed Representative	1, 6
YANG Yang	BLB385	Licensed Representative	1
YANG Yi	BHA126	Licensed Representative	9
YAO Junfei	BPV703	Licensed Representative	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
YAU Fung, Chloe	APQ340	Licensed Representative	1
YAU Ka Man Karmen	BRQ835	Licensed Representative	1
YAU Man Hong	APB175	Licensed Representative	1, 2
YE Dan	BOZ788	Licensed Representative	1, 4, 9
YE Xiao	AUM476	Licensed Representative	1, 4, 6
YEUNG Cheong Yi	AXS442	Licensed Representative	1, 2, 3, 4
YEUNG Cheung Ho Charles	BNX671	Licensed Representative	1
YEUNG Chi Hong	BIQ873	Licensed Representative	1, 7
YEUNG Nga Yi	ACP957	Licensed Representative	1
YEUNG Po Yin Poey	BNO292	Licensed Representative	1, 4, 5, 9
YEUNG Pui Shan, Shirley	ANL962	Licensed Representative	1, 4, 6
YEUNG Ting Wai	AUD258	Licensed Representative	1, 2
YEUNG Yim Lan	BGG010	Licensed Representative	4, 9
YIM Yue Ling, Louis	AEM744	Licensed Representative	1
YIN Guangyue	BR1802	Licensed Representative	4
YIP Chun Hin	BQC944	Licensed Representative	1, 2
YIP Ka Lee	BIG286	Licensed Representative	1
YORK Paul Robert	APL841	Licensed Representative	1
YU Chi Shing	BHY276	Licensed Representative	1
YU Ya-Chu	BPM093	Licensed Representative	1, 9
YU Yik Man	BPX311	Licensed Representative	4, 9
YUAN Zhenting	BPK767	Licensed Representative	4, 9
YUEN Lai Ying	AGP101	Licensed Representative	1
YUEN Sau Fung, Susanna	AFK618	Licensed Representative	1
YUEN Siu Kei, Ivan	BEN355	Licensed Representative	1, 2
YUNG Pui Kwan	BET113	Licensed Representative	1
YUNG Yee Ching, Rebecca	ARO666	Licensed Representative	1
ZENG Gan	BMQ569	Licensed Representative	4, 9
ZENG Zizhang	BOS824	Licensed Representative	1, 6
ZHAN Haixi	BQI331	Licensed Representative	6
ZHANG Chi	BOT379	Licensed Representative	1, 6
ZHANG Fan	AOR821	Licensed Representative	6
ZHANG Hao	BPK074	Licensed Representative	1, 4
ZHANG Huizi	BQB926	Licensed Representative	1
ZHANG Kuo	BNY965	Licensed Representative	1, 2, 4, 5
ZHANG Lin	AWA216	Licensed Representative	1, 4, 6
ZHANG Mingzhao	BNP871	Licensed Representative	1, 4
ZHANG Ruiyang	BRD908	Licensed Representative	1, 6
ZHANG Shujian	BBS738	Licensed Representative	1, 6
ZHANG Sirui	BPL914	Licensed Representative	1, 6
ZHANG Tianyu	BLM854	Licensed Representative	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG Ting	BHR323	Licensed Representative	1
ZHANG Xin	AXG731	Licensed Representative	1
ZHANG Xuemeng	AXH109	Licensed Representative	1, 2, 4
ZHANG Yiang	BIJ330	Licensed Representative	4, 9
ZHANG Yifan	BOT499	Licensed Representative	4, 6
ZHANG Yu	AQJ317	Licensed Representative	1, 2
ZHANG Yu Lin	BOZ724	Licensed Representative	1
ZHAO Feiya	BLY281	Licensed Representative	4
ZHAO Yi	BRN915	Licensed Representative	1, 4, 6
ZHENG Jiachuang	BIM005	Licensed Representative	1, 4, 9
ZHENG Lang	BOF945	Licensed Representative	1, 4
ZHENG Lingjing	BMR578	Licensed Representative	1, 4, 6
ZHENG Shizeng	BRA364	Licensed Representative	4, 9
ZHENG Yumeng	BMV682	Licensed Representative	1, 2, 4
ZHONG Weijian	BPZ960	Licensed Representative	1, 4, 6
ZHOU Yongliang	BRE612	Licensed Representative	1, 9
ZHOU Yue	BQW497	Licensed Representative	4
ZHOU ZHOU	BJD160	Licensed Representative	1, 4, 6
ZHU Lifan	BEV697	Licensed Representative	1, 6
ZHU Weini	BQL792	Licensed Representative	9
ZHU Yanan	BEV970	Licensed Representative	4, 9
ZHUANG Tingzhou	BQZ933	Licensed Representative	4
ZU Xinhui	BGO608	Licensed Representative	10
ARNOTT Robert Lauriston John	BBY536	Licensed Representative - Responsible Officer	1, 4
BAEUERLE Dagmar	AVC059	Licensed Representative - Responsible Officer	9
BHANDARI Samir	BLC120	Licensed Representative - Responsible Officer	1, 4
BRUM LOYOLA Joao Paulo	AYS553	Licensed Representative - Responsible Officer	1, 4
CHAN Albert	ARL119	Licensed Representative - Responsible Officer	1, 3
CHAN Chi Leong	AAK013	Licensed Representative - Responsible Officer	1, 4, 5, 9
CHAN Kei Shu	AOC991	Licensed Representative - Responsible Officer	1, 4, 9
CHAN Kin Bong, Edwin	ANP708	Licensed Representative - Responsible Officer	1, 4
CHAN Vivian Rivera	BNU407	Licensed Representative - Responsible Officer	4, 9
CHANDGOTHIA Binay	AMQ648	Licensed Representative - Responsible Officer	4, 9
CHANG Chun Chung	AND527	Licensed Representative - Responsible Officer	1
CHEN Junhui	AXW582	Licensed Representative - Responsible Officer	1
CHENG Chih Hsiung Vincent	AFR838	Licensed Representative - Responsible Officer	1
CHENG Kai Cheung	AQA098	Licensed Representative - Responsible Officer	4, 9
CHU Chun Wai	ACA601	Licensed Representative - Responsible Officer	2
CHUN Man Ka, Angela	AFW118	Licensed Representative - Responsible Officer	9
ESCUDIER Romain Jean David	AZB452	Licensed Representative - Responsible Officer	1, 2
FLINT Darryl Mark	AIO091	Licensed Representative - Responsible Officer	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
FOK Cho Kit	AGJ003	Licensed Representative - Responsible Officer	9
FUKUSHIMA Takeki	BEK858	Licensed Representative - Responsible Officer	9
GHIA Manish Hasmukh	AJL427	Licensed Representative - Responsible Officer	1, 2
GORNY Wolfgang Georg	AWD943	Licensed Representative - Responsible Officer	1
HAO Yun	AVI199	Licensed Representative - Responsible Officer	1
HEUGH Kristian Ness-hansen	ASU019	Licensed Representative - Responsible Officer	1, 9
HO Chi Lun, Barry	AUQ143	Licensed Representative - Responsible Officer	1, 6
HO Gerran Chun Yin	APR258	Licensed Representative - Responsible Officer	1, 4
HSU Hao Tsuan, Jonathan	BHM064	Licensed Representative - Responsible Officer	1
HU Ka Lam	AEP558	Licensed Representative - Responsible Officer	9
HUANG Chih-ming	BJH181	Licensed Representative - Responsible Officer	4, 9
IIDA Kazunori	BGH213	Licensed Representative - Responsible Officer	3
IP Kar Kok	ARA152	Licensed Representative - Responsible Officer	3
KOH Soo Wei	BIH098	Licensed Representative - Responsible Officer	1
LAI Cheuk Hong	APA137	Licensed Representative - Responsible Officer	6
LAI Chun Chiu	AWC888	Licensed Representative - Responsible Officer	1, 6
LAM Fat, Charles	AGQ583	Licensed Representative - Responsible Officer	1, 4
LAM King Tai	APF446	Licensed Representative - Responsible Officer	1, 4
LAW Po Chi	AAB782	Licensed Representative - Responsible Officer	1, 4, 9
LEE Kwok Keung, Franki	AMV343	Licensed Representative - Responsible Officer	1, 4
LEE Kwong Wai	AXI348	Licensed Representative - Responsible Officer	2
LEUNG Wang Pong	AAK089	Licensed Representative - Responsible Officer	4, 9
LI Koon Lok	BFK460	Licensed Representative - Responsible Officer	9
LI Min	ASU506	Licensed Representative - Responsible Officer	1, 4, 9
LIM Yui Sing	BGU684	Licensed Representative - Responsible Officer	1, 4, 9
LIN Chi Long, Danny	ADD804	Licensed Representative - Responsible Officer	4, 9
LIU Ximeng	BEI255	Licensed Representative - Responsible Officer	4, 5, 9
LOUIE Leung Kwan	AQE309	Licensed Representative - Responsible Officer	6
LUI Hiu Ki Jesine	BNW222	Licensed Representative - Responsible Officer	4, 9
LUO Xuan	AQS758	Licensed Representative - Responsible Officer	1, 2
MA Hui Ming	AYA620	Licensed Representative - Responsible Officer	1, 4, 9
MACDONALD Angus Neil George	BGC939	Licensed Representative - Responsible Officer	1
MCCARROLL Jessica Lee Temple	AAU810	Licensed Representative - Responsible Officer	9
MCCLATCHEY Mark	BHR464	Licensed Representative - Responsible Officer	1, 4, 9
MOK James Ka-Chi	AIL413	Licensed Representative - Responsible Officer	1
MOORREES Robert Henri	BBQ884	Licensed Representative - Responsible Officer	1
MORIARTY Richard Paul	BEE927	Licensed Representative - Responsible Officer	1, 4, 6
PALMER Geoffrey Simon	ACC112	Licensed Representative - Responsible Officer	9
POON Chi Wai	AGG750	Licensed Representative - Responsible Officer	2, 5
QIU Yizhou	BJP012	Licensed Representative - Responsible Officer	1, 2, 4, 5, 6, 9
QUINN JR James Jerome	AWZ640	Licensed Representative - Responsible Officer	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
SCULLY Charles Sheridan	BHJ189	Licensed Representative - Responsible Officer	1, 4, 9
SHAN Li	AQD832	Licensed Representative - Responsible Officer	1, 4, 6
STOKES Nicholas Peter	AUE005	Licensed Representative - Responsible Officer	1
SUN Yanjun	AKX669	Licensed Representative - Responsible Officer	4, 9
TANG Chi Hong	AAF883	Licensed Representative - Responsible Officer	1, 2, 4, 5, 9
TANG Fong Chai, Francis	ABN510	Licensed Representative - Responsible Officer	1, 4
TENG Wei	AMC295	Licensed Representative - Responsible Officer	4, 9
TSANG Siu Tung, Tony	AFZ150	Licensed Representative - Responsible Officer	4, 9
TSO Chi Lik	APJ940	Licensed Representative - Responsible Officer	9
WANG Rowena	AEM242	Licensed Representative - Responsible Officer	6
WANG Yi	BJL823	Licensed Representative - Responsible Officer	4, 9
WONG Chung Ho, Ian	AMC785	Licensed Representative - Responsible Officer	4, 9
WU Bin	AQJ540	Licensed Representative - Responsible Officer	4, 9
WU Michael Chu Mo	BF1735	Licensed Representative - Responsible Officer	1, 2, 4, 9
WU Sin Tai	AGY103	Licensed Representative - Responsible Officer	2
YANG Dong	APR493	Licensed Representative - Responsible Officer	4, 9
YEN Sau Fan, Charlotte	ANF039	Licensed Representative - Responsible Officer	1, 6
YIP Darren Charles	AFZ129	Licensed Representative - Responsible Officer	1, 2, 7
YUNG Sai Kuen	AIH349	Licensed Representative - Responsible Officer	1
ZHANG Lin	BMV101	Licensed Representative - Responsible Officer	4, 9
ZHANG Xin	AXG731	Licensed Representative - Responsible Officer	6
ANAND Naman	BRT327	Licensed Representative (Provisional Licence)	1, 2, 6
ASKIN Simon Alec Javier	BRV055	Licensed Representative (Provisional Licence)	1, 4, 6
AU YEUNG Sing Po	BQS723	Licensed Representative (Provisional Licence)	2
AU Yuen Ting, Miranda	AEK664	Licensed Representative (Provisional Licence)	9
AUBIN Louis Raphael Jules	BRS749	Licensed Representative (Provisional Licence)	1, 2
AU-YEUNG Yiu Tung	BKO401	Licensed Representative (Provisional Licence)	1, 2
BAI Ruoyun	BRY567	Licensed Representative (Provisional Licence)	1, 6
BHOR Akshay	BRV193	Licensed Representative (Provisional Licence)	9
BIAN Qinqin	BRK901	Licensed Representative (Provisional Licence)	1, 4, 9
BRADY Mark Joseph	ARQ480	Licensed Representative (Provisional Licence)	4, 9
BUKREDIWALA Mayank	BRV382	Licensed Representative (Provisional Licence)	9
BUTT Daniel John	BRV788	Licensed Representative (Provisional Licence)	1
CAI XI	BRX906	Licensed Representative (Provisional Licence)	1
CAKIR Mehmet Burak	BRX292	Licensed Representative (Provisional Licence)	9
CAO Wenqian	BPK506	Licensed Representative (Provisional Licence)	4
CAO Xianda	BRW702	Licensed Representative (Provisional Licence)	1, 6
CAO Zhuo Yuan	BRX149	Licensed Representative (Provisional Licence)	1, 2
CEDRINI Emmanuel Simon	BRX883	Licensed Representative (Provisional Licence)	1, 2
CHAN Chiu Kit	BRG201	Licensed Representative (Provisional Licence)	1
CHAN Chun Fai Anthony	AFD303	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
CHAN Hiu To	BRX247	Licensed Representative (Provisional Licence)	4
CHAN Ho Yee	BRW765	Licensed Representative (Provisional Licence)	1
CHAN Isabelle Wei Ngar	BRW749	Licensed Representative (Provisional Licence)	1, 2
Chan Kai Yin	BQR226	Licensed Representative (Provisional Licence)	1
CHAN Kin Ying	BFD599	Licensed Representative (Provisional Licence)	1, 2
CHAN Po Kong	BRT437	Licensed Representative (Provisional Licence)	4
CHAN Sum Ming	BRY443	Licensed Representative (Provisional Licence)	1, 2
CHAN Tsit Ham	BQV710	Licensed Representative (Provisional Licence)	1, 2
CHAN Tsz Yan Jessica	BRX047	Licensed Representative (Provisional Licence)	1, 4
CHAN Wai Hin	BRX443	Licensed Representative (Provisional Licence)	1
CHAN Wai Tai, Polly	AMM338	Licensed Representative (Provisional Licence)	1, 4, 6
CHAN Ying Kit	BLC894	Licensed Representative (Provisional Licence)	1, 4
CHAN Yuk Wah	BRZ082	Licensed Representative (Provisional Licence)	1
CHAN Yung Yung	BRW351	Licensed Representative (Provisional Licence)	1, 4
CHANG Chechung	AVH886	Licensed Representative (Provisional Licence)	4, 9
CHANG Hao Jan	BRW863	Licensed Representative (Provisional Licence)	1, 4, 9
CHANG Hsun	AXF701	Licensed Representative (Provisional Licence)	4, 9
CHAO Tien Sylvia	BRX321	Licensed Representative (Provisional Licence)	4
CHAU Hin On	BRY442	Licensed Representative (Provisional Licence)	1, 2
CHAU Ka Man	BRV763	Licensed Representative (Provisional Licence)	1, 2
CHAU Yee Man	AKO234	Licensed Representative (Provisional Licence)	4, 5, 9
CHEN Chieh Hung	BRB488	Licensed Representative (Provisional Licence)	1
CHEN Chuan	BRV475	Licensed Representative (Provisional Licence)	9
CHEN Chutian	BGI769	Licensed Representative (Provisional Licence)	1, 6
CHEN Dian	BRW304	Licensed Representative (Provisional Licence)	1, 4
CHEN Lifeng	BRW500	Licensed Representative (Provisional Licence)	1, 4, 6
CHEN Lijuan	BRW417	Licensed Representative (Provisional Licence)	1, 6
CHEN Luwen	BHK046	Licensed Representative (Provisional Licence)	9
CHEN Rubing	BOI329	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
CHEN Shiwen	BRW124	Licensed Representative (Provisional Licence)	1
CHEN Xiaoyun	BRX034	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Yi-Lin	AWC188	Licensed Representative (Provisional Licence)	4
CHEN Yishi	BRY965	Licensed Representative (Provisional Licence)	4
CHEN Yiwu	BHL998	Licensed Representative (Provisional Licence)	4, 9
CHEN Yujie	BDP287	Licensed Representative (Provisional Licence)	1, 4
CHEN Yujin	BRZ085	Licensed Representative (Provisional Licence)	1, 4, 9
CHEN Zhi	BRW476	Licensed Representative (Provisional Licence)	9
CHENG Hui	BRW037	Licensed Representative (Provisional Licence)	10
CHENG Ke	BRT362	Licensed Representative (Provisional Licence)	1, 6
CHENG Ki Fung Kevin	BRY917	Licensed Representative (Provisional Licence)	1, 4, 9
CHENG Wai Man	ANK374	Licensed Representative (Provisional Licence)	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	License Type	Types of Regulated Activity
CHENG Xiao	BRV507	Licensed Representative (Provisional Licence)	9
CHENG Yunshan	BRV110	Licensed Representative (Provisional Licence)	1, 6
CHEONG Hoi Kit, Nicholas	BRW224	Licensed Representative (Provisional Licence)	1
CHEUK Hiu Sim	BRS740	Licensed Representative (Provisional Licence)	1
CHEUNG Darren	BRT570	Licensed Representative (Provisional Licence)	1, 4, 9
CHEUNG Ho Chuen	BRS803	Licensed Representative (Provisional Licence)	1, 4, 6
CHEUNG Jenny	BRW066	Licensed Representative (Provisional Licence)	1, 4, 9
CHEUNG Yiu Lun	AGC910	Licensed Representative (Provisional Licence)	1
CHIN Cheryl Pi I	AHT190	Licensed Representative (Provisional Licence)	1, 2
CHIU Ching	BRX917	Licensed Representative (Provisional Licence)	4, 9
CHIU Chun Ting	BRV941	Licensed Representative (Provisional Licence)	1
CHONG Chi Kit	BRX048	Licensed Representative (Provisional Licence)	1, 6
CHONG Jun Jie	BRT363	Licensed Representative (Provisional Licence)	1, 6
CHONG Siang Hooi	AOP024	Licensed Representative (Provisional Licence)	4, 9
CHOW Andrew Edward Chun-Hong	BOT720	Licensed Representative (Provisional Licence)	1, 4, 9
CHOW Yin Wai, Yvonne	ALQ872	Licensed Representative (Provisional Licence)	4
CHUI Kwok Him	BRX152	Licensed Representative (Provisional Licence)	1
CHUI Wai Yin Justin	BRV620	Licensed Representative (Provisional Licence)	1, 6
CHUN Ka Man	BRW426	Licensed Representative (Provisional Licence)	2
CHUNG Eva Ting Yan	AVH199	Licensed Representative (Provisional Licence)	1, 4
CHUNG Oi San Sandra	BRU920	Licensed Representative (Provisional Licence)	1
CHUNG Po Loi	BRT121	Licensed Representative (Provisional Licence)	9
CHUNG Wai Wah	BRV512	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 7
CUI Shichen	BRW150	Licensed Representative (Provisional Licence)	1, 6
CUI Yusheng	BRY379	Licensed Representative (Provisional Licence)	4
DAI Shuting	BOI195	Licensed Representative (Provisional Licence)	1
DE CASTRO Dino Mikerino Peralta	BRU629	Licensed Representative (Provisional Licence)	1, 4, 5, 9
DENG Zhiwei	BRX503	Licensed Representative (Provisional Licence)	1, 6
DONG Ting	BRW054	Licensed Representative (Provisional Licence)	1, 4
DU Jian	BRW529	Licensed Representative (Provisional Licence)	1, 2, 7
DU Yunqiao	BRW864	Licensed Representative (Provisional Licence)	4
DUAN Linheng	BRZ006	Licensed Representative (Provisional Licence)	1, 2, 4
DURBAN Charles Etienne Marius	BRZ352	Licensed Representative (Provisional Licence)	1, 2
FAN Chenyu	BRV720	Licensed Representative (Provisional Licence)	1, 4
FAN Xiaoran	BRS406	Licensed Representative (Provisional Licence)	1, 4
FAN Xijing	BRV572	Licensed Representative (Provisional Licence)	6
FANG Chao	BDH515	Licensed Representative (Provisional Licence)	4, 9
FENG Chenmeizi	BRS796	Licensed Representative (Provisional Licence)	1, 4, 6
FENG Yuying	BRX399	Licensed Representative (Provisional Licence)	1
FOK Aaron Kai Tai	BRV153	Licensed Representative (Provisional Licence)	1, 6
FOO Sze Wah	BRW036	Licensed Representative (Provisional Licence)	1, 2

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
FRICK Michael Franz	AWI822	Licensed Representative (Provisional Licence)	1, 6
FU Cui	BRU901	Licensed Representative (Provisional Licence)	1, 4
FU Hongyu	BRV476	Licensed Representative (Provisional Licence)	4, 9
FU Qiang	BGM188	Licensed Representative (Provisional Licence)	9
FUNG Wong Yu	BRX273	Licensed Representative (Provisional Licence)	1, 9
FUNK Tiffany	BRV229	Licensed Representative (Provisional Licence)	1
GAN Lu	BRW368	Licensed Representative (Provisional Licence)	1, 6
GAO Xiang	BIC191	Licensed Representative (Provisional Licence)	1, 4
GAO Yichen	BRW624	Licensed Representative (Provisional Licence)	2
GAO Yifan	BRY208	Licensed Representative (Provisional Licence)	1, 4
GAO Zhenyi	BRR579	Licensed Representative (Provisional Licence)	4
GEE Chung Won	BRT062	Licensed Representative (Provisional Licence)	1, 2
GOH Julynn Kailin	BRV226	Licensed Representative (Provisional Licence)	1, 6
GONG Kunkun	BRX736	Licensed Representative (Provisional Licence)	4
GORDON Stuart Noel	ALS546	Licensed Representative (Provisional Licence)	1, 4
GU Han	BRW747	Licensed Representative (Provisional Licence)	1
GU Kaiqi	BOU750	Licensed Representative (Provisional Licence)	1, 2, 4, 5
GUAN Yue	BRW466	Licensed Representative (Provisional Licence)	1, 4, 6
GUO Lin	BNB213	Licensed Representative (Provisional Licence)	1
GUO Ran	BRW326	Licensed Representative (Provisional Licence)	4, 9
GUO Shaohua	BRW216	Licensed Representative (Provisional Licence)	10
GUO Ting	BRV805	Licensed Representative (Provisional Licence)	9
GUO Xiaokai	BRW413	Licensed Representative (Provisional Licence)	1, 4, 9
GUO Yang	BMS606	Licensed Representative (Provisional Licence)	4
GUPTA Gaurav	BRX344	Licensed Representative (Provisional Licence)	1
GUPTA Manish	BRT375	Licensed Representative (Provisional Licence)	9
GURNANI Dinesh	BCO755	Licensed Representative (Provisional Licence)	1, 2
HA Cheuk Wah, Michael	ABZ431	Licensed Representative (Provisional Licence)	1, 4
HAN Chengwei	BHC509	Licensed Representative (Provisional Licence)	9
HAN Ling	BRV755	Licensed Representative (Provisional Licence)	1, 4
HAO Andrew	AQE517	Licensed Representative (Provisional Licence)	4, 9
HASE Takeaki	BII442	Licensed Representative (Provisional Licence)	1, 2
HE Tang	BRS659	Licensed Representative (Provisional Licence)	6
HE Xinwei	BRR770	Licensed Representative (Provisional Licence)	4, 9
HE Zhaoqiang	BAQ188	Licensed Representative (Provisional Licence)	6
HEUNG Ching Ting Vivian	ASO625	Licensed Representative (Provisional Licence)	1
HO Chin Wah	BRX362	Licensed Representative (Provisional Licence)	1, 2, 9
HO Duncan	BBZ848	Licensed Representative (Provisional Licence)	1, 6
HO Jonathan Godfrey	BRU625	Licensed Representative (Provisional Licence)	1, 4
HO Ka Yan	BRV477	Licensed Representative (Provisional Licence)	4
HO Marcus Jian Yung	BID036	Licensed Representative (Provisional Licence)	1, 2, 7

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	License Type	Types of Regulated Activity
HO Wai Fun	AES372	Licensed Representative (Provisional Licence)	1, 2, 4, 5, 9
HONG En	BIZ598	Licensed Representative (Provisional Licence)	9
HONG Sok Yong	BRX313	Licensed Representative (Provisional Licence)	10
HONG Tiandu	BGA351	Licensed Representative (Provisional Licence)	4
HU Jiarong	BRX899	Licensed Representative (Provisional Licence)	1, 6
HU Ping	BRW327	Licensed Representative (Provisional Licence)	4, 9
HUANG Jingwen	BRX061	Licensed Representative (Provisional Licence)	1, 4
HUANG Lih-yueh	BRV652	Licensed Representative (Provisional Licence)	1
HUANG Ruozuo	BRT644	Licensed Representative (Provisional Licence)	1, 2
HUANG Yuezhou	BRW033	Licensed Representative (Provisional Licence)	10
HUANG Zijun	BRU714	Licensed Representative (Provisional Licence)	1
IP Po Wun	BEC783	Licensed Representative (Provisional Licence)	1, 4, 6
IP Tsz Man Mandy	BRV886	Licensed Representative (Provisional Licence)	1, 4
JANG Tsz Yeung	BRV958	Licensed Representative (Provisional Licence)	1
JANSON Valentin Stephane Bernard	BRU731	Licensed Representative (Provisional Licence)	1, 2
JIANG Anqi	BRU802	Licensed Representative (Provisional Licence)	1, 2, 7
JIANG Chao	BRV006	Licensed Representative (Provisional Licence)	1, 6
JIANG Longlong	AYI259	Licensed Representative (Provisional Licence)	1
JIANG Minghao	BRW612	Licensed Representative (Provisional Licence)	1, 4
JIANG Sheng	BRR138	Licensed Representative (Provisional Licence)	1, 4
JIANG Wenbin	BRV323	Licensed Representative (Provisional Licence)	1, 6
JIANG Xingyuan	BRX785	Licensed Representative (Provisional Licence)	6
JIAO Jian	BRS229	Licensed Representative (Provisional Licence)	1, 2
JIN Anning	BRW644	Licensed Representative (Provisional Licence)	1, 6
JIN Moon-Yub	BRT406	Licensed Representative (Provisional Licence)	1, 6
JOERGENSEN Victor	BRX567	Licensed Representative (Provisional Licence)	4, 9
KANG Le	BRW215	Licensed Representative (Provisional Licence)	1, 6
KANG Taeho	BRW673	Licensed Representative (Provisional Licence)	1, 4
KANG Yiliu	BRW640	Licensed Representative (Provisional Licence)	1, 4, 6
KIM Jungsun	BRS723	Licensed Representative (Provisional Licence)	4, 6, 9
KIM Min Jae	BRW615	Licensed Representative (Provisional Licence)	1, 6
KIM Yoojin	BRW178	Licensed Representative (Provisional Licence)	9
KIM Youngeun	BRT455	Licensed Representative (Provisional Licence)	1, 2
KIT Wing Fung	BRT248	Licensed Representative (Provisional Licence)	1, 4, 5, 9
KO Sui Ho	BRX046	Licensed Representative (Provisional Licence)	1, 2
KONG Chak Yau	BRV378	Licensed Representative (Provisional Licence)	4
KONG Shaofeng	BRW506	Licensed Representative (Provisional Licence)	1, 4, 6
KONG Shuk Fong	BRW768	Licensed Representative (Provisional Licence)	1, 4, 9
KONG Xiangpei	BRR954	Licensed Representative (Provisional Licence)	1, 2
KOO Sung Mo	BRV609	Licensed Representative (Provisional Licence)	1, 2
KOO Wai Sum	BRS099	Licensed Representative (Provisional Licence)	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	License Type	Types of Regulated Activity
KOON Fiona Mei Lin	ACW516	Licensed Representative (Provisional Licence)	4, 9
KULUPPUARACHCHI Savith Viduranga	AYM695	Licensed Representative (Provisional Licence)	1
KUNG Chun Wah	BRY438	Licensed Representative (Provisional Licence)	4
KUSUNOKI Hidenori	BNR348	Licensed Representative (Provisional Licence)	9
KWAN Ho Yan	BFW321	Licensed Representative (Provisional Licence)	1, 2, 4
KWAN Kit Yan, Anderson	AUX006	Licensed Representative (Provisional Licence)	1, 4
KWAN Man Hon Herman	BRX773	Licensed Representative (Provisional Licence)	1, 2, 4, 9
KWAN Yuen Ming	BRX975	Licensed Representative (Provisional Licence)	1, 4, 9
KWOK Man Chun, Felix	AWS974	Licensed Representative (Provisional Licence)	1, 4, 9
KWOK Yin Ching	ACW690	Licensed Representative (Provisional Licence)	1, 4, 9
KWONG Chin Fung	AWK998	Licensed Representative (Provisional Licence)	1, 2, 4
KWONG Tak Kim Eddy	BFC771	Licensed Representative (Provisional Licence)	1, 4
KWONG Tat Tak, Teddy	BBM575	Licensed Representative (Provisional Licence)	1, 4, 9
LAI Hiu Laam Justin	BRS678	Licensed Representative (Provisional Licence)	1, 4
LAI Ka Ying	BRX833	Licensed Representative (Provisional Licence)	1
LAI Voon San	ACQ503	Licensed Representative (Provisional Licence)	1, 9
LAM Kwan Yau	BFY123	Licensed Representative (Provisional Licence)	1, 6
LAM Tsz Man	BRW090	Licensed Representative (Provisional Licence)	1, 2
LAM Yuk Shan	BRX267	Licensed Representative (Provisional Licence)	1, 2
LAU Ting Fang Tiffany	BRV223	Licensed Representative (Provisional Licence)	1, 6
LAU Vivian Wai Man	BRW598	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Alexander Partick	AXC695	Licensed Representative (Provisional Licence)	1, 4
LEE Han Ju	BRS952	Licensed Representative (Provisional Licence)	1, 6
LEE Hiu Lam	BRU907	Licensed Representative (Provisional Licence)	1, 4, 5, 9
LEE Hyun Ho	BRS592	Licensed Representative (Provisional Licence)	1, 4
LEE Jae Seung	BRX732	Licensed Representative (Provisional Licence)	1, 4
LEE JE CHUNG	BRV497	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Ka Man Kevin	AXI267	Licensed Representative (Provisional Licence)	9
LEE Kin Hang	BRW006	Licensed Representative (Provisional Licence)	1, 6
LEE Lok Ching	BKW666	Licensed Representative (Provisional Licence)	1
LEE Long Ting Ronald	BRY553	Licensed Representative (Provisional Licence)	1, 6
LEE Ming Sum Mason	BLI900	Licensed Representative (Provisional Licence)	1, 4, 9
LEE Ngo Chim	BRX832	Licensed Representative (Provisional Licence)	1
LEE Siu Cheuk Ryan	BQM416	Licensed Representative (Provisional Licence)	1, 4, 9
LEE WING LAM	BRW012	Licensed Representative (Provisional Licence)	1, 2, 4, 5
LEUNG HIU YEE	BRV489	Licensed Representative (Provisional Licence)	1, 4
LEUNG Tsz Ying Percy	BRV458	Licensed Representative (Provisional Licence)	4
LEUNG Wing Hang	BRU664	Licensed Representative (Provisional Licence)	4, 9
LEUNG Yan Ting	BRR591	Licensed Representative (Provisional Licence)	4, 9
LEUNG Yu Tung	BRY476	Licensed Representative (Provisional Licence)	1
LEUNG Yun Tim	BRY795	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	License Type	Types of Regulated Activity
LI Chenying	BRW257	Licensed Representative (Provisional Licence)	1, 4, 6
LI Chun Kin	BOT703	Licensed Representative (Provisional Licence)	1
LI Cong	BRW518	Licensed Representative (Provisional Licence)	4
LI Ho Yin	BAE416	Licensed Representative (Provisional Licence)	4
LI Hoi Kan	BRX520	Licensed Representative (Provisional Licence)	6
LI Huanji	BRV433	Licensed Representative (Provisional Licence)	1, 6
LI Jiali	BRV091	Licensed Representative (Provisional Licence)	1, 4, 6
LI Jifei	BRV957	Licensed Representative (Provisional Licence)	1, 6
LI Kai Yi	BRV950	Licensed Representative (Provisional Licence)	1, 6
LI Linlin	BOW562	Licensed Representative (Provisional Licence)	1, 2
LI Longxin	BRS557	Licensed Representative (Provisional Licence)	9
LI Man Yi Kathy	BRW751	Licensed Representative (Provisional Licence)	1, 2
LI Ming	BRX032	Licensed Representative (Provisional Licence)	1
LI Mo Wa	BRU775	Licensed Representative (Provisional Licence)	1, 4, 9
LI Peijia	BEC796	Licensed Representative (Provisional Licence)	9
LI Peter Yunhe	BRV794	Licensed Representative (Provisional Licence)	9
LI Qi	BRW203	Licensed Representative (Provisional Licence)	1, 6
LI Qiaochu	BRW400	Licensed Representative (Provisional Licence)	1, 4
LI Sai Ming	BRT535	Licensed Representative (Provisional Licence)	1, 4, 9
LI Shengnan	BRW111	Licensed Representative (Provisional Licence)	1, 4, 9
LI Shuyan	BRX783	Licensed Representative (Provisional Licence)	10
LI Su Cheong	BRV465	Licensed Representative (Provisional Licence)	1
LI Tsam Hong	BRX388	Licensed Representative (Provisional Licence)	1, 4
LI Tsz Ying	BRY477	Licensed Representative (Provisional Licence)	1, 4
LI Xinyi	BRU941	Licensed Representative (Provisional Licence)	1
LI Xitao	BRV551	Licensed Representative (Provisional Licence)	1, 4, 6
LI Yan	BRO855	Licensed Representative (Provisional Licence)	1, 6
LI Yanmei	BRV230	Licensed Representative (Provisional Licence)	1, 4, 6
LI Yizhong	BRV614	Licensed Representative (Provisional Licence)	1, 4, 6
LI Yulong	BRU839	Licensed Representative (Provisional Licence)	1, 6
LI Zhenting	BRW145	Licensed Representative (Provisional Licence)	1, 6
LI Ziyu	BRV743	Licensed Representative (Provisional Licence)	4
LIANG Fangyuan	BRW520	Licensed Representative (Provisional Licence)	1, 6
LIANG Xiaotong	BPV686	Licensed Representative (Provisional Licence)	1, 2, 4, 9
LIM Chun De Samuel	BRU930	Licensed Representative (Provisional Licence)	1, 2
LIM Jann Jiu Jason	BRW218	Licensed Representative (Provisional Licence)	1, 4
LIM Say Keat	BRW222	Licensed Representative (Provisional Licence)	1, 4, 6
LIM Xixiang David	BRW252	Licensed Representative (Provisional Licence)	1
LIN Shang-Chen	BRW481	Licensed Representative (Provisional Licence)	1, 4
LIN Xinye	BRV942	Licensed Representative (Provisional Licence)	1, 4
LING Yuen Yee	BRW035	Licensed Representative (Provisional Licence)	10

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	License Type	Types of Regulated Activity
LIU Bofeng	BRT129	Licensed Representative (Provisional Licence)	9
LIU Chang	BRX924	Licensed Representative (Provisional Licence)	1, 2
LIU Fangzhou	BRZ410	Licensed Representative (Provisional Licence)	1
LIU Ge	AXK573	Licensed Representative (Provisional Licence)	4, 5, 9
LIU Huaiyi	BRT238	Licensed Representative (Provisional Licence)	4
LIU Ka Tat	BMX425	Licensed Representative (Provisional Licence)	1, 4
LIU Lei	BRS807	Licensed Representative (Provisional Licence)	1, 6
LIU Shi	BRW584	Licensed Representative (Provisional Licence)	1, 6
LIU Yitao	BRW666	Licensed Representative (Provisional Licence)	1, 4
LIU Yunqing	BRW899	Licensed Representative (Provisional Licence)	1, 4
LO Kwun Kit	BRT116	Licensed Representative (Provisional Licence)	9
LOU Peimeng	BRW420	Licensed Representative (Provisional Licence)	1, 4, 6
LU Ping	BLY772	Licensed Representative (Provisional Licence)	1, 2, 4
LU Yapeng	AUP546	Licensed Representative (Provisional Licence)	1, 4, 9
LU Zitian	BRW743	Licensed Representative (Provisional Licence)	1, 6
LUHAR Neha Akshay	BRV881	Licensed Representative (Provisional Licence)	1, 2
LUI Chun Lung	BRW611	Licensed Representative (Provisional Licence)	1, 4, 5, 9
LUO Jianying	BRV216	Licensed Representative (Provisional Licence)	1, 2
LUO Xi	BAE895	Licensed Representative (Provisional Licence)	4, 9
LUO Yuanyuan	BRW275	Licensed Representative (Provisional Licence)	1, 4
LV Yirui	BRU633	Licensed Representative (Provisional Licence)	4
LYU Suyang	BRW936	Licensed Representative (Provisional Licence)	4
MA Xiyuan	BIU614	Licensed Representative (Provisional Licence)	1, 2, 4
MACLEOD Andrew Ian	BRW931	Licensed Representative (Provisional Licence)	1, 9
MAK Ka Ying	BRY472	Licensed Representative (Provisional Licence)	1
MAN Yiu Man, Edmond	BRW328	Licensed Representative (Provisional Licence)	1, 4
MEHRA Karan	BRV761	Licensed Representative (Provisional Licence)	2, 5
MICHEL Gauthier, Gilles-Arthur	BRW422	Licensed Representative (Provisional Licence)	9
MIN Jie	BQO176	Licensed Representative (Provisional Licence)	4, 9
MIRPURI Joseph Gul	AFP510	Licensed Representative (Provisional Licence)	9
MUELLER Michael	BRY209	Licensed Representative (Provisional Licence)	1, 6
MULCAHY Jack Patrick	BRT128	Licensed Representative (Provisional Licence)	1
MURANO Shunya	BRV581	Licensed Representative (Provisional Licence)	1, 2
NG Chi Wai	APH765	Licensed Representative (Provisional Licence)	1, 4
NG Faye Xiaoying	BRW126	Licensed Representative (Provisional Licence)	1, 4
NG Tsz Lung	BRY129	Licensed Representative (Provisional Licence)	10
NG Yam Eng Emily	BRW770	Licensed Representative (Provisional Licence)	1
NGAI Tin Wai	AXB871	Licensed Representative (Provisional Licence)	1, 2
NGAN Yi Shing	BRU947	Licensed Representative (Provisional Licence)	4, 9
NI Xuedan	BRX306	Licensed Representative (Provisional Licence)	1, 4, 6
NIE Hanfei	BRW458	Licensed Representative (Provisional Licence)	1, 4, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	License Type	Types of Regulated Activity
NITHIANANTHAM Prashanth Shankar	BRW020	Licensed Representative (Provisional Licence)	1
NOH Hoseong	BRW256	Licensed Representative (Provisional Licence)	2, 5
ODA Daichi	BRV726	Licensed Representative (Provisional Licence)	9
O'NEILL Stuart James	BRW537	Licensed Representative (Provisional Licence)	4, 5, 9
ONG Xiu Ru	BRU743	Licensed Representative (Provisional Licence)	10
OR Yi Shan	BRT579	Licensed Representative (Provisional Licence)	1, 4, 9
ORION JEREMY	BRU808	Licensed Representative (Provisional Licence)	1, 4, 9
OU Wangjie	BRR987	Licensed Representative (Provisional Licence)	4
PAN Xingguo Eric	BRV103	Licensed Representative (Provisional Licence)	4, 9
PAN Yuanji	BCU992	Licensed Representative (Provisional Licence)	4, 9
PANG Jian Ying	BRV611	Licensed Representative (Provisional Licence)	1, 4, 5, 9
PANG Xiaoming	BRW589	Licensed Representative (Provisional Licence)	1, 4, 9
PENG Haochen	BRY518	Licensed Representative (Provisional Licence)	1, 4, 6
PODDA Guillaume Louis	BRX260	Licensed Representative (Provisional Licence)	1, 2
POON Cheuk Hei	BRV762	Licensed Representative (Provisional Licence)	1, 4, 9
POON Hui Lai	BRV441	Licensed Representative (Provisional Licence)	1, 2
POULIOT Stefan Andre	BEU006	Licensed Representative (Provisional Licence)	4, 9
PUN Hei Wai	AYJ115	Licensed Representative (Provisional Licence)	1, 4
QIU Wei	BMX296	Licensed Representative (Provisional Licence)	1, 4, 6
QU Ye	BRW095	Licensed Representative (Provisional Licence)	1, 6
ROBINSON David Stuart	BFZ351	Licensed Representative (Provisional Licence)	1, 2, 4
RONG Yanping	BNF486	Licensed Representative (Provisional Licence)	6
SANJO Tsuyoshi	BRX138	Licensed Representative (Provisional Licence)	1
SAREEN Gautam	ASF060	Licensed Representative (Provisional Licence)	1, 4, 9
SHE Pat Hong	BRW769	Licensed Representative (Provisional Licence)	1, 4
SHEN Fu-Hsuan	BRV593	Licensed Representative (Provisional Licence)	1
SHEUNG Yuen Ting	BRV362	Licensed Representative (Provisional Licence)	1
SHI Haochen	BRX741	Licensed Representative (Provisional Licence)	1, 2
SHI Luming	BRV858	Licensed Representative (Provisional Licence)	1, 6
SHI Yue	BRU844	Licensed Representative (Provisional Licence)	1, 6
SHI Yue	BRX317	Licensed Representative (Provisional Licence)	1, 4, 6
SHIPPERLEE James Alan	BHV431	Licensed Representative (Provisional Licence)	1
SHIU Angela Ka Kei	BQQ984	Licensed Representative (Provisional Licence)	1
SHUM King Tung	BRV553	Licensed Representative (Provisional Licence)	1, 4, 9
SlAH Qi Yao	BRX392	Licensed Representative (Provisional Licence)	1, 4
SIEWERT Patrick Thomas	BRX122	Licensed Representative (Provisional Licence)	1, 4
SIN Pak Hei	BRX319	Licensed Representative (Provisional Licence)	1, 4
SIT King Ching	BRX938	Licensed Representative (Provisional Licence)	1
SIT Stephanie	BRW419	Licensed Representative (Provisional Licence)	1, 4, 9
SO Kin Wan	BRX215	Licensed Representative (Provisional Licence)	1, 2
SO Yau Mei	BRX482	Licensed Representative (Provisional Licence)	1

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	License Type	Types of Regulated Activity
SPRATT Stephen Kevin	BRX579	Licensed Representative (Provisional Licence)	1, 2, 4
SU Shanshan	BRW602	Licensed Representative (Provisional Licence)	1, 2
SU Shi Chun	BRX120	Licensed Representative (Provisional Licence)	9
SU Wai	BGH339	Licensed Representative (Provisional Licence)	4
SUN Austen	BRU866	Licensed Representative (Provisional Licence)	9
SUN Lu	BRV757	Licensed Representative (Provisional Licence)	1, 2, 4
SUN Ting	BRX458	Licensed Representative (Provisional Licence)	1, 6
SZE Ven	BRU769	Licensed Representative (Provisional Licence)	1
TAKARINA The	BRW336	Licensed Representative (Provisional Licence)	1
TAN Kuan Wei Aaron	BRW654	Licensed Representative (Provisional Licence)	1, 4, 6
TAN Xingzi	BRS418	Licensed Representative (Provisional Licence)	4
TAN Yi Hao	BRX322	Licensed Representative (Provisional Licence)	4
TANG Chi Kwan	ARS666	Licensed Representative (Provisional Licence)	1, 4
TANG Kwan Ho	BRW932	Licensed Representative (Provisional Licence)	1, 4, 6
TANG Man Kwan Camay	BRW205	Licensed Representative (Provisional Licence)	1, 4
TANG Ming Chung	BRT648	Licensed Representative (Provisional Licence)	1
TANG Misi	AXD690	Licensed Representative (Provisional Licence)	6
TANG Sihai	BRS801	Licensed Representative (Provisional Licence)	1, 4, 6
TANG Yingda	BRV887	Licensed Representative (Provisional Licence)	1, 4, 6
TANG Yingying	BFE794	Licensed Representative (Provisional Licence)	9
TAO Zemin	BRW742	Licensed Representative (Provisional Licence)	1, 6
TAY Alvan Wei De	BRW507	Licensed Representative (Provisional Licence)	1, 4, 6
TEO Ming Huai	BRW613	Licensed Representative (Provisional Licence)	1, 2
TIAN Mingyong	BRY546	Licensed Representative (Provisional Licence)	9
TIAN Yu	BRT593	Licensed Representative (Provisional Licence)	1, 4, 9
TIN Hiu Ping	BRX064	Licensed Representative (Provisional Licence)	1
TO Yuen Ting	BRW149	Licensed Representative (Provisional Licence)	1
TONG Yufeng	BRW258	Licensed Representative (Provisional Licence)	4
TSANG Kar Wai	BMX285	Licensed Representative (Provisional Licence)	7
TSANG Keith Chun Kwan	BRX517	Licensed Representative (Provisional Licence)	1, 4
TSANG Theodoric Yerik Bun	BRX336	Licensed Representative (Provisional Licence)	4, 9
TSANG Tsz Ki	BRX834	Licensed Representative (Provisional Licence)	4, 9
TSAO Yam Man	BRW213	Licensed Representative (Provisional Licence)	1
TSE Chin Wei	BRW777	Licensed Representative (Provisional Licence)	1, 4, 6
TSE Man Nga	BNW855	Licensed Representative (Provisional Licence)	1, 4
TSIEN Hanlin	BGW602	Licensed Representative (Provisional Licence)	9
TSO Hoi Lam Alison	BRX835	Licensed Representative (Provisional Licence)	1, 6
VERGHESE Tarun Chandy	BRV821	Licensed Representative (Provisional Licence)	1, 2
WAH Wang Ming, Johnny	ABB624	Licensed Representative (Provisional Licence)	1, 2
WANG Bojin	BRV123	Licensed Representative (Provisional Licence)	4
WANG Chuan	BRL041	Licensed Representative (Provisional Licence)	9

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	License Type	Types of Regulated Activity
WANG Chuqiao	BRV810	Licensed Representative (Provisional Licence)	1, 6
WANG Edmund	BRX124	Licensed Representative (Provisional Licence)	9
WANG Jing	BRT505	Licensed Representative (Provisional Licence)	9
WANG Junbo	BRV830	Licensed Representative (Provisional Licence)	1, 4, 9
WANG Lixian	BBM228	Licensed Representative (Provisional Licence)	1, 4
WANG Qi	BAK287	Licensed Representative (Provisional Licence)	4, 9
WANG Rui	BFZ379	Licensed Representative (Provisional Licence)	4
WANG Shu Matthew	BRV506	Licensed Representative (Provisional Licence)	1, 2, 4, 5
WANG SHUN	BRU870	Licensed Representative (Provisional Licence)	9
WANG Wendi	BRW180	Licensed Representative (Provisional Licence)	1, 6
WANG Will Way	BLH658	Licensed Representative (Provisional Licence)	1, 4
WANG Xing Da	BPF994	Licensed Representative (Provisional Licence)	1
WANG Xiong	BRV434	Licensed Representative (Provisional Licence)	1, 6
WANG Xu	BRX894	Licensed Representative (Provisional Licence)	1, 6
WANG Xuekun	BRV954	Licensed Representative (Provisional Licence)	1, 6
WANG Yajing	BRW005	Licensed Representative (Provisional Licence)	1, 6
WANG Yanze	BRU906	Licensed Representative (Provisional Licence)	1, 4, 6
WANG Yawen	BRT373	Licensed Representative (Provisional Licence)	4
WANG Yi	BRV960	Licensed Representative (Provisional Licence)	1, 2, 4
WANG Yi	BRW361	Licensed Representative (Provisional Licence)	1, 2, 9
WANG Yinuo	BRQ634	Licensed Representative (Provisional Licence)	6
WANG Yinuo	BRT469	Licensed Representative (Provisional Licence)	9
WANG Yiqin	BRV754	Licensed Representative (Provisional Licence)	1, 4, 5, 9
WANG Yiyi	BRX750	Licensed Representative (Provisional Licence)	4
WANG Yu	BRX824	Licensed Representative (Provisional Licence)	9
WANG Yue	BRW915	Licensed Representative (Provisional Licence)	1, 2
WANG Zhaohong	BRW741	Licensed Representative (Provisional Licence)	1, 6
WEI Chong	AYS671	Licensed Representative (Provisional Licence)	4, 9
WEI Dick	AFU285	Licensed Representative (Provisional Licence)	4
WEI Jianing	BRW388	Licensed Representative (Provisional Licence)	1, 6
WING Brandan	BRV461	Licensed Representative (Provisional Licence)	1, 4
WONG Yiu Wai, Antainy	BRW047	Licensed Representative (Provisional Licence)	1, 2, 4, 9
WONG Chun	BNP057	Licensed Representative (Provisional Licence)	4, 9
WONG Chun Kiu	BRX333	Licensed Representative (Provisional Licence)	1, 4
WONG Ho Kan	BRZ083	Licensed Representative (Provisional Licence)	1, 2
WONG Hok Yiu Horace	BRW681	Licensed Representative (Provisional Licence)	1, 4
WONG Ka Hei	BRX219	Licensed Representative (Provisional Licence)	1
WONG Kai Siu, Kelvin	BFX834	Licensed Representative (Provisional Licence)	4, 9
WONG Ngan, Jacqueline	ADX528	Licensed Representative (Provisional Licence)	1, 4
WONG Pak To	BRV749	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Siofong	BRX599	Licensed Representative (Provisional Licence)	4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
WONG Tat Kwong	ACU059	Licensed Representative (Provisional Licence)	1
WONG Tin Yu	AFS830	Licensed Representative (Provisional Licence)	1, 2, 4
WONG Tsun Shing	BRX972	Licensed Representative (Provisional Licence)	1, 2
WONG Tsz Wa	BRV322	Licensed Representative (Provisional Licence)	1, 4, 6
WONG Wai Man, June	ACS213	Licensed Representative (Provisional Licence)	1, 4, 9
WOO Sau Wai	BPF017	Licensed Representative (Provisional Licence)	1, 2
WU Dongxue	BRW474	Licensed Representative (Provisional Licence)	2, 5
WU Erica	BRU969	Licensed Representative (Provisional Licence)	1, 4
WU Ke	BRY529	Licensed Representative (Provisional Licence)	4, 9
WU Shuzhen	BRV860	Licensed Representative (Provisional Licence)	1, 6
WU Yanan	BHU997	Licensed Representative (Provisional Licence)	1, 4, 6
WU Ying	BRX432	Licensed Representative (Provisional Licence)	1, 2
WU Yuanyi	BRX088	Licensed Representative (Provisional Licence)	4, 9
XIA Yipeng	BRW416	Licensed Representative (Provisional Licence)	1, 6
XIAO Lin	BRV744	Licensed Representative (Provisional Licence)	1, 6
XIAO Minling	BRV675	Licensed Representative (Provisional Licence)	6
XIAO Yingjie	BRV613	Licensed Representative (Provisional Licence)	1, 2
XIE Yao	BRU757	Licensed Representative (Provisional Licence)	4, 9
XIE Yeping	BRX758	Licensed Representative (Provisional Licence)	1, 4
XIE Zizhu	BNV256	Licensed Representative (Provisional Licence)	1, 6
XING Chang	AVD305	Licensed Representative (Provisional Licence)	1
XU Liang	BRY338	Licensed Representative (Provisional Licence)	4
XU Mu	BRW895	Licensed Representative (Provisional Licence)	4, 9
XU Xiaohui	BBT118	Licensed Representative (Provisional Licence)	1, 4, 6
XU Ying Nan	BRU905	Licensed Representative (Provisional Licence)	9
XU Yunbang	BRW032	Licensed Representative (Provisional Licence)	10
XU Zhaoji	BRU848	Licensed Representative (Provisional Licence)	4
XU Zidong	BRS679	Licensed Representative (Provisional Licence)	1, 4, 6
YADAV Lavish	BRV616	Licensed Representative (Provisional Licence)	1, 2
YAMAMOTO Takeshi	BRW038	Licensed Representative (Provisional Licence)	1
YAN Xi	BRR931	Licensed Representative (Provisional Licence)	1, 4, 6
YANG Bailing	BRW524	Licensed Representative (Provisional Licence)	1, 6
YANG Jun	BRY608	Licensed Representative (Provisional Licence)	4, 9
YANG Ling	BRX752	Licensed Representative (Provisional Licence)	1, 4
YANG Ru Wei Francis	BRY555	Licensed Representative (Provisional Licence)	6
YANG Ying	BRW391	Licensed Representative (Provisional Licence)	1
YANG Yutong	BIX047	Licensed Representative (Provisional Licence)	1, 2, 4
YANG Zhe	BRW754	Licensed Representative (Provisional Licence)	4, 9
YANG Zhuohan	AOG230	Licensed Representative (Provisional Licence)	9
YAO Wei	BJV305	Licensed Representative (Provisional Licence)	4, 5
YAO Zesen	BRY638	Licensed Representative (Provisional Licence)	1, 6

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	License Type	Types of Regulated Activity
YAU Yat Wing Evan	BRY504	Licensed Representative (Provisional Licence)	1
YE Dan	BOZ788	Licensed Representative (Provisional Licence)	1, 2
YE Yukuan	BRU785	Licensed Representative (Provisional Licence)	1, 6
YEO Jingyang Ron	BRS738	Licensed Representative (Provisional Licence)	1, 4, 9
YEUNG Yu Hang	BRW752	Licensed Representative (Provisional Licence)	1, 9
YI Allan Wei Han	BGW577	Licensed Representative (Provisional Licence)	1, 4
YI Rujia	BRX563	Licensed Representative (Provisional Licence)	4
YIN Zi	BRV383	Licensed Representative (Provisional Licence)	1, 2
YING Xiaofei	BRT483	Licensed Representative (Provisional Licence)	9
YIP Hiu Yan	BRT239	Licensed Representative (Provisional Licence)	1, 4
YIP Kai Yin, Adam	BRX009	Licensed Representative (Provisional Licence)	1
YIP Wing Mau	BRX259	Licensed Representative (Provisional Licence)	4, 9
YONG Hou Hin	BR1524	Licensed Representative (Provisional Licence)	9
YU Chung Yin	BRX914	Licensed Representative (Provisional Licence)	1
YU Fang	BNP252	Licensed Representative (Provisional Licence)	1, 2, 4
YU Feng	BOF949	Licensed Representative (Provisional Licence)	1, 4, 9
YU Hin Wah Lotus	BRT466	Licensed Representative (Provisional Licence)	1, 2
YU Jing	BRV104	Licensed Representative (Provisional Licence)	4, 9
YU Liang	BRY523	Licensed Representative (Provisional Licence)	1, 4
YU Menglin	BRV436	Licensed Representative (Provisional Licence)	1, 4, 9
YU Shansong	BRV889	Licensed Representative (Provisional Licence)	1, 4
YU Shuang	BRV680	Licensed Representative (Provisional Licence)	1
YUAN HUI	BRV859	Licensed Representative (Provisional Licence)	1, 6
YUAN Shiji	BRQ792	Licensed Representative (Provisional Licence)	1, 6
YUAN Tang Chun	BRW504	Licensed Representative (Provisional Licence)	1
ZENG Cheng	BRX900	Licensed Representative (Provisional Licence)	1, 6
ZHANG Bolun	BRW750	Licensed Representative (Provisional Licence)	1, 6
ZHANG Haitao	BRS733	Licensed Representative (Provisional Licence)	4
ZHANG Hanyu	BBR102	Licensed Representative (Provisional Licence)	4
ZHANG Jin	BRX214	Licensed Representative (Provisional Licence)	1, 2
ZHANG Luyao	BRW055	Licensed Representative (Provisional Licence)	1, 6
ZHANG Mengyu	BRZ348	Licensed Representative (Provisional Licence)	1, 4
ZHANG Qian	BRW230	Licensed Representative (Provisional Licence)	1, 6
ZHANG Qin	BRX031	Licensed Representative (Provisional Licence)	1
ZHANG Shibo	BRX255	Licensed Representative (Provisional Licence)	1, 2, 9
ZHANG TIANLIANG	BRW305	Licensed Representative (Provisional Licence)	1, 4, 9
ZHANG Wen	BRW237	Licensed Representative (Provisional Licence)	1, 6
ZHANG Xiqing	BRT361	Licensed Representative (Provisional Licence)	1, 6
ZHANG Xu	BRW763	Licensed Representative (Provisional Licence)	1
ZHANG Yang	BRV016	Licensed Representative (Provisional Licence)	1, 4, 6
ZHANG YI	BRX591	Licensed Representative (Provisional Licence)	1, 4

REMOVALS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity
ZHANG YI	BRY790	Licensed Representative (Provisional Licence)	1, 6
ZHANG Ying	BRX281	Licensed Representative (Provisional Licence)	4
ZHANG Yu	BRV965	Licensed Representative (Provisional Licence)	1, 6
ZHANG Yuandi	BRV462	Licensed Representative (Provisional Licence)	4
ZHANG Yunduan	BRW779	Licensed Representative (Provisional Licence)	1, 2, 4, 5
ZHANG Yusheng	BRW402	Licensed Representative (Provisional Licence)	1, 6
ZHANG Zheng	AMS447	Licensed Representative (Provisional Licence)	1, 6
ZHAO Honghao	BRY293	Licensed Representative (Provisional Licence)	1, 6
ZHAO kaiwen	BRY440	Licensed Representative (Provisional Licence)	1, 2
ZHAO Xuan	BRX573	Licensed Representative (Provisional Licence)	4, 9
ZHAO Ziqi	BRX252	Licensed Representative (Provisional Licence)	6
ZHENG Bowen	BRY520	Licensed Representative (Provisional Licence)	9
ZHENG Jia	BRV885	Licensed Representative (Provisional Licence)	1
ZHENG Wan Rong	BRT244	Licensed Representative (Provisional Licence)	1, 2
ZHENG Xiaolu	BRX024	Licensed Representative (Provisional Licence)	4
ZHENG Yilin	BRU730	Licensed Representative (Provisional Licence)	1, 4
ZHENG Yunfei	BRV379	Licensed Representative (Provisional Licence)	1, 4
ZHONG Jiali	BRW695	Licensed Representative (Provisional Licence)	1, 4
ZHOU BEICHEN	BRW251	Licensed Representative (Provisional Licence)	1, 6
ZHOU James	BRW767	Licensed Representative (Provisional Licence)	1, 6
ZHOU Peng	BRY564	Licensed Representative (Provisional Licence)	1, 6
ZHU Yiqian	BRX125	Licensed Representative (Provisional Licence)	1, 4, 6
ZHU Yumeng	BOT548	Licensed Representative (Provisional Licence)	4, 9
ZHU Zhishuai	BRY051	Licensed Representative (Provisional Licence)	1, 6
ZHU Zijing	BRY467	Licensed Representative (Provisional Licence)	1, 6
ZHUANG Yue	BRY052	Licensed Representative (Provisional Licence)	4, 6, 9
ZOU Yuying	BRW877	Licensed Representative (Provisional Licence)	4
ZUO Sa	BNV280	Licensed Representative (Provisional Licence)	1, 6
MOLENAARS Sebastiaan Lennaert Herman Minne	BRR076	Licensed Representative (Temporary Licence)	1, 2
TEO Swee Yong	ASW357	Licensed Representative (Temporary Licence)	1