

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2022

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
ActusRayPartners Limited	BPX742	Licensed Corporation	4	
Citrus Securities Limited	BPX754	Licensed Corporation	4	
Nanjia Capital Limited	BHS693	Licensed Corporation		1
Patrons Securities Limited	BPQ161	Licensed Corporation	4	
Standard Perpetual Securities Limited	BHU509	Licensed Corporation	4, 9	
Yuan Tong Global Securities Limited	BJW695	Licensed Corporation		2
AU Chung Nin	BPZ917	Licensed Representative	9	
BAI Shaonan	AWV318	Licensed Representative		1
CAI Yihan	BQN332	Licensed Representative	1, 6	4
CHAN Chi Yin	BOP754	Licensed Representative	3, 4	
CHAN Nok Yan	BNX030	Licensed Representative		2
CHAN Tin Shun	BIN234	Licensed Representative		2, 9
CHAN Tsz Chun	AZK755	Licensed Representative		2, 9
CHAN Wai Fung	ARB852	Licensed Representative	1	
CHAN Wai Ki	BFP688	Licensed Representative		2, 9
CHAU Kong Wai	BAA344	Licensed Representative		1, 4
CHAU Wing Kit	BRK904	Licensed Representative	4	
CHEN Xi	BPA221	Licensed Representative	9	
CHEN Xinpeng	BRP097	Licensed Representative		6
CHEUNG Cheng	BGE030	Licensed Representative	4, 6	
CHEUNG Pui Kei	AXG218	Licensed Representative	1	
CHEUNG Wai Leung	ABH991	Licensed Representative		2, 9
CHEUNG Pak Kin, Patrick	AEF320	Licensed Representative	1	
CHEUNG Ting Kin, Bernard	APN584	Licensed Representative		9
CHEUNG Tsz Kin	BJQ669	Licensed Representative	4, 9	
CHEUNG William Wai Yin	BNY428	Licensed Representative		2
CHIANG Chien Yin	BPU845	Licensed Representative	2, 4	
CHIU Nga Shu Queenie	BOZ725	Licensed Representative	4, 9	
CHOI Ho Yi, Phyllis	AHZ637	Licensed Representative	1, 4	
CHONG Wing Hong	BKN190	Licensed Representative	9	
CHOW Hung Yan	AQS650	Licensed Representative	1	
CHU Ho Wang, Horace	BDV949	Licensed Representative	1	
CHUNG Ho Yin	BGP507	Licensed Representative		4, 5, 9
CUI Ningshan	BRL005	Licensed Representative		4
DAL BROI David	AXY476	Licensed Representative		2, 3
DOCHERTY Paul David	BCL837	Licensed Representative	4	
DONG YANRAN	BQR058	Licensed Representative	9	
FAN Qi Chao	AYF492	Licensed Representative	4	
FONG Chi Wang	AWD664	Licensed Representative	5	1
FONG Wai Lun	ARL280	Licensed Representative	4	2, 9
GAO Wen	BHR562	Licensed Representative	1	
GE Yanwei	BNG522	Licensed Representative		1
GUAN Lei	BGM931	Licensed Representative		2
GUI Xiaohui	BRC048	Licensed Representative		6
HAO Dapeng	BPK183	Licensed Representative	4	
HENDERSON Kevin Ryan	BMQ137	Licensed Representative		6
HO Kit Ming	AEF856	Licensed Representative	2	
HO See Wing	AVS193	Licensed Representative		3
HO Tsz Yeung	BCD049	Licensed Representative		4
HO Wai Keung	AVQ936	Licensed Representative		4
HON Yi Shing	BRP413	Licensed Representative	9	
HU Chao	BLZ388	Licensed Representative		1
HU Juwei	BLM907	Licensed Representative		4
HU Zhangyi	BOD135	Licensed Representative	9	1, 4
HUANG Yujun	BMB621	Licensed Representative		2, 4
HUI Ming Yan	BPJ682	Licensed Representative	1, 4	6
IP Tsz King	BFL255	Licensed Representative	1	
JEON Jong Min	BOO898	Licensed Representative		1, 4
JI Meihui	BGT840	Licensed Representative		1, 4

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
JIANG Bowen	BNR216	Licensed Representative		2
JIANG Jiwei	AQY202	Licensed Representative		9
JIN Yue	BPK289	Licensed Representative	4	
KAHN Joshua Eliot	AUO009	Licensed Representative		6
KIM Hyung Do	BGX949	Licensed Representative	1	
KIM Min Ji	BKI604	Licensed Representative	2	
KO Tai Fun	ABD420	Licensed Representative		9
KUANG Xiaofei	BOP622	Licensed Representative		9
KWOK Chun Yu	AMJ193	Licensed Representative	1, 4	
LAI Gar Ho Karl	AUK295	Licensed Representative	9	
LAI Ka Ying	BRX833	Licensed Representative	2	
LAM Ambrose Kin Shek	BKY630	Licensed Representative	2	
LAN Qingwen	AWW400	Licensed Representative		1, 4
LAO Chan Wa	BNQ515	Licensed Representative	3	4, 5
LAU Chi Yan	BHL530	Licensed Representative		1
LAU Ho Wang	BQE117	Licensed Representative	9	1
LAU Wing Lam	AXY063	Licensed Representative	4, 9	
LAU Yin Lun	BME248	Licensed Representative	9	4
LAW Ho Wing, Ernest	AGE012	Licensed Representative	4	
LEE Ho Kwan	BGV078	Licensed Representative	9	
LEE Ming Shui	BBU799	Licensed Representative	9	
LEE Shon Kee, Kenneth	ARI072	Licensed Representative	4, 9	1
LEE Wai Lun	BOI346	Licensed Representative		4
LEE Wai Yu	BRW415	Licensed Representative	4	
LEUNG Chu	BNG367	Licensed Representative		4
LEUNG Ho Kiu	BJB931	Licensed Representative	1, 4	
LEUNG Ho Wing	BHR780	Licensed Representative	9	
LEUNG Lok Kin	AWD227	Licensed Representative	9	
LEUNG Tik Wai	ASM047	Licensed Representative		3, 4, 5
LEWIS Gareth Rhys	AOX202	Licensed Representative	4	
LI Cheong Wing	BIS547	Licensed Representative	4, 5	
LI Han	BPO810	Licensed Representative	2	4
LI Hui	AHK811	Licensed Representative	2	
LI Jiayuan	BOZ224	Licensed Representative	9	1, 4
LI Qinglin	BJT269	Licensed Representative		6
LI Te	BFH240	Licensed Representative	2	
LI Tsun Wai	BQL486	Licensed Representative	4	
LI Xiao	BEH577	Licensed Representative	4	
LIANG Heng	BLJ233	Licensed Representative		4, 6
LIANG Man Wei, Jonathan	AFR655	Licensed Representative		2
LIANG Shuang	BQL183	Licensed Representative	9	1, 4
LIANG Yao Quan	BMM572	Licensed Representative	4	
LIM Joanne Yue Shan	BDF560	Licensed Representative	9	1, 4
LIM Si Min, Michelle	ASI130	Licensed Representative		1, 2
LIN Tzu-Han	BNU524	Licensed Representative		9
LIN Yanjun	AMP596	Licensed Representative		1, 5
LINDSAY Craig Blaser	AEE208	Licensed Representative		4
LIU Enyu	BQV611	Licensed Representative		2
LIU Hui	AHO166	Licensed Representative		9
LIU Kui	BQG668	Licensed Representative	2, 4	
LIU Mengxiao	BNI018	Licensed Representative		1, 5
LIU Yihe	BDL890	Licensed Representative	6	4, 9
LIU Zhenzhou	BRS101	Licensed Representative	9	
LIU Zheyu	BOC008	Licensed Representative		9
LIU Ziye	BPB611	Licensed Representative	4	
LOW Jie Ying	BRQ534	Licensed Representative	1	
LU Xi	BPW675	Licensed Representative	1, 9	
LUI Kai Yiu	BKY644	Licensed Representative		2
LUK Wing Chung, Tony	AYK476	Licensed Representative		1

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2022

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
MA Xiyuan	BIU614	Licensed Representative	3	
MAK Hoi Kiu Sonia	BGT599	Licensed Representative	1	
MAK Ka Ho, Mathew	BNH922	Licensed Representative	9	1, 4, 6
MAN Chung Ching	BJV935	Licensed Representative	9	2
MARONILLA Ramon Gregory Jr. Fong	ALE992	Licensed Representative		9
MIAO Huaxin	BDE609	Licensed Representative		9
MUI Suet Yee, Phoebe	AGK771	Licensed Representative	4	
MURPHY Kieran James	BCE941	Licensed Representative		2
NG Ho Chi	BMX482	Licensed Representative		4, 9
NG Hung Tai	BLS821	Licensed Representative	4	
NGAN Ting Hin, Kevin	BGV415	Licensed Representative		1
OH Sang Hoon	BRY156	Licensed Representative	1	
OUYANG Chang	BLC345	Licensed Representative	4	
QIU Xiaolin	BEE257	Licensed Representative		2, 5
REN Tingting	BPM216	Licensed Representative		9
RONIS Kristaps	BEB410	Licensed Representative		6
RYU Hyung Seek	BRE843	Licensed Representative	1	
SEOK Seung Wook	AWU650	Licensed Representative	2	4, 9
SHAO Shuai	BDY279	Licensed Representative		9
SHENG jie	BPV046	Licensed Representative	9	
SHIM Hyun Jun	BRZ978	Licensed Representative		9
SIU Yeung	BAV317	Licensed Representative	9	
SO Lo	BSE605	Licensed Representative	2	
SO Yuen Yin	AQF067	Licensed Representative	4	
SUN Xiaodong	ATY657	Licensed Representative		1
SUN Xin	BOS462	Licensed Representative		9
SUN Xinyue	BNN093	Licensed Representative		9
SUN Yi	BFN866	Licensed Representative		4
TAM Cheung Wan	BDO128	Licensed Representative		4
TANG Chung Shun, Isaac	BOF043	Licensed Representative	9	2, 5
TANG Mo	BBG308	Licensed Representative	5	
TANG Ping Sum	AEL276	Licensed Representative		1
TANG Yinan	ARH247	Licensed Representative		1
TSANG Tik Ki	BPA517	Licensed Representative		9
TSANG Wing Kin	AEV250	Licensed Representative		1, 2
TSE Sing Lun Howard	BPO921	Licensed Representative	1, 9	5
TSE Wai	ARI922	Licensed Representative		3
TUNG Chun Fai	ALQ217	Licensed Representative		4
VALLY Loic Patrick Frederic	BLI480	Licensed Representative	2	
WADHWANI Yash Naresh	BSF105	Licensed Representative	2	
WAN Lai Sze	BOP273	Licensed Representative	1	
WAN Li	BOO731	Licensed Representative	4	
WANG Haowei	BMR381	Licensed Representative	2, 5, 9	
WANG Hongyin	BLP140	Licensed Representative		4, 9
WANG Jiaqi	AOH836	Licensed Representative		9
WANG Jingwei	BQQ798	Licensed Representative	2, 5, 9	
WANG Langxian	BSD849	Licensed Representative	4	
WANG Ruiqi	ASP497	Licensed Representative	1, 4	
WANG Ruochen	BRR793	Licensed Representative		4, 9
WANG Tianyou	BQP589	Licensed Representative		9
WANG Yanxu	BNO691	Licensed Representative	1, 4	
WEI Xunjiang	BOF961	Licensed Representative	9	6
WEN Xiaoxin	BMW762	Licensed Representative	4	
WENG Yimin	AXU883	Licensed Representative		4, 9
WIDJAJA Sophia	ASO710	Licensed Representative	4, 9	2
WONG Chun Wang	BRZ476	Licensed Representative	4	
WONG Chung Yin, James	ADT900	Licensed Representative		1
WONG Fung Yan	BCV336	Licensed Representative	3	
WONG Graham Alex	BPX063	Licensed Representative	2	

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
WONG Hok Man	BFR725	Licensed Representative		1
WONG Hon Yeung	BMN644	Licensed Representative	1	
WONG Kim Ming	AHU702	Licensed Representative		2
WONG Ming Fai	ALW838	Licensed Representative	1, 4	
WONG Si Si, Connie	BIZ557	Licensed Representative		1, 4
WONG Wing Kwan	AXH995	Licensed Representative	4	
WOO Gun	BFL248	Licensed Representative	5	
WROBEL Maximilian Frederick	BRV137	Licensed Representative	1	
WU Sheng	ADX634	Licensed Representative	4	
WU Xiaozheng	AQM287	Licensed Representative		1, 4
XIAO Jianan	BOT814	Licensed Representative	9	1, 2
XIE Lin	BRO865	Licensed Representative	4, 5	
XU Chen	BHJ441	Licensed Representative	9	4
YANG Liuyang	BRE454	Licensed Representative	9	1
YANG Shanshan	BNP726	Licensed Representative	10	1, 4
YANG Yufei	BAO939	Licensed Representative	2	
YAU Kai Yu	BFW828	Licensed Representative		2
YEN Nga Man	AEA830	Licensed Representative	9	
YEUNG Ho Lun	BPT126	Licensed Representative	4, 9	2
YEUNG Yuk Yan	BBA227	Licensed Representative	9	
YIP Hiu Tung	BPD542	Licensed Representative	1	9
YIP Mei Chun	ACH828	Licensed Representative		2
YOO Jesang	BPA031	Licensed Representative		9
YU Honglao	BOM317	Licensed Representative		9
YU Pak Ngai	BFT172	Licensed Representative		4
ZENG Zhen	BGH008	Licensed Representative	4	
ZHANG Chenghong	AOX827	Licensed Representative		4
ZHANG Jinyao	BQW387	Licensed Representative	1, 6	4
ZHANG Xuefeng	BFS502	Licensed Representative		2, 5
ZHANG Yuhang	BRP499	Licensed Representative		6
ZHANG Yuting	BBB735	Licensed Representative	1	4, 9
ZHANG Ziwei	BQG817	Licensed Representative	9	
ZHAO Fang	BPJ402	Licensed Representative	4	
ZHAO Jiahe	BQM994	Licensed Representative	1, 6	
ZHAO Xiaohu	BQQ972	Licensed Representative		1, 6
ZHENG Yi	BNJ836	Licensed Representative	2	
ZHENG Yunfei	BRV379	Licensed Representative	9	
ZHONG Ye	BDP512	Licensed Representative	4	
ZHOU Pei	BPM792	Licensed Representative	1	
ZHOU Yueyi	BPX271	Licensed Representative	9	
ZHU Chang	BPJ700	Licensed Representative	2, 4	
ZHU He	BEN694	Licensed Representative	9	
ZHU Jianfeng	BPX064	Licensed Representative	1	
ZHU Qian	BOG200	Licensed Representative	1, 4	
ZHU Yiqian	BRX125	Licensed Representative		4, 6
ZOU Su	BEW130	Licensed Representative		4
ALEXANDER Andrew Bernard	ABM861	Licensed Representative - Responsible Officer	4	
CHAN Ka Hung	ACO888	Licensed Representative - Responsible Officer		9
CHAN Raymond Siu Wai	AXB536	Licensed Representative - Responsible Officer	4	
CHAN Simon	AXH327	Licensed Representative - Responsible Officer		9
CHAN Tsz Chun	AZK755	Licensed Representative - Responsible Officer	4	
CHAN Yin Lam	ANM835	Licensed Representative - Responsible Officer	1	
CHEUNG Wai Leung	ABH991	Licensed Representative - Responsible Officer	4	
CHEUNG Fan	AOE444	Licensed Representative - Responsible Officer	4	
CHEUNG Patrick	BAP747	Licensed Representative - Responsible Officer	4	
CHEUNG Sai Sai	APP369	Licensed Representative - Responsible Officer	8	
CHIEN Chung Lam	BEB913	Licensed Representative - Responsible Officer	1	
CHONG Chin	AAC622	Licensed Representative - Responsible Officer		2
CHU Chun Hung, Frederick	AOR257	Licensed Representative - Responsible Officer	9	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHU Yin Yin	BJX010	Licensed Representative - Responsible Officer		1
COATHAM Samuel Martyn	APU459	Licensed Representative - Responsible Officer		1
DONG Nan	AXH998	Licensed Representative - Responsible Officer	4	
DROPKIN Martin Baron	BQH284	Licensed Representative - Responsible Officer	2, 5	
GE Wenhong	BHO307	Licensed Representative - Responsible Officer	1, 4	
HE Tianzhong	BDO425	Licensed Representative - Responsible Officer		4
HELBO Flemming	AZG589	Licensed Representative - Responsible Officer	4, 9	
HO Kar Loong, Kenneth	ANA703	Licensed Representative - Responsible Officer	4, 9	
IP Wing Lam	AQU167	Licensed Representative - Responsible Officer		1
JEFFREY Kevin Alan	BFX287	Licensed Representative - Responsible Officer	9	
KWAN Wai Yee, Phoebe	BBJ087	Licensed Representative - Responsible Officer	9	
LAM Chi Lam	AYJ114	Licensed Representative - Responsible Officer	9	
LAM Si Yang, Calvin	AOO266	Licensed Representative - Responsible Officer	9	
LAU Fu Wing	ANH674	Licensed Representative - Responsible Officer	2, 6	
LAU Fung Ngor, Swan	ARO530	Licensed Representative - Responsible Officer	4	
LAU On Ying Janet	BHC775	Licensed Representative - Responsible Officer	4, 9	
LIM Si Min, Michelle	ASI130	Licensed Representative - Responsible Officer	9	
LIM Tak Hung	AXP028	Licensed Representative - Responsible Officer	8	
LIN Wen Pin	ALI163	Licensed Representative - Responsible Officer	1, 4	
LIU Hui	AHO166	Licensed Representative - Responsible Officer	4	
MEUNIER Bo Zhang	AWW207	Licensed Representative - Responsible Officer	4	
NG Yuk Ip, Ada	AEG677	Licensed Representative - Responsible Officer	4	
NGAN Hau Kuk	BJU818	Licensed Representative - Responsible Officer	4	
PENG Jia	AQV994	Licensed Representative - Responsible Officer	8	
POTTER Simon James	ARO318	Licensed Representative - Responsible Officer		1
QUE Bon Tan, Gerald	AAB058	Licensed Representative - Responsible Officer	4, 9	
SANDAYA Hiroyoshi	BDD021	Licensed Representative - Responsible Officer	4	
SHEK Sai Lok Edmond	BFR586	Licensed Representative - Responsible Officer	9	
SO Po Ching	ALD639	Licensed Representative - Responsible Officer	1	
STUDHOLME-WILSON Nicholas Claude	APF339	Licensed Representative - Responsible Officer	4	
SUM Yik Po	BGY112	Licensed Representative - Responsible Officer		1, 4
SUN Xiaodong	ATY657	Licensed Representative - Responsible Officer	4	
TANG Ping Sum	AEL276	Licensed Representative - Responsible Officer		4
TANG Ye	ANQ032	Licensed Representative - Responsible Officer	4	
TESHIMA Kenji	BBQ726	Licensed Representative - Responsible Officer		4, 6
WANG Feng	AYO606	Licensed Representative - Responsible Officer	1, 4	
WANG Qi	BJN322	Licensed Representative - Responsible Officer	4, 9	
WONG Chun Wai	AJJ806	Licensed Representative - Responsible Officer	9	
WONG Ying Kit, William	AJ491	Licensed Representative - Responsible Officer	4	
YANG Xiaojing	AWN157	Licensed Representative - Responsible Officer	9	
YAU Tak Lung, Simon	AQS425	Licensed Representative - Responsible Officer	4, 9	
YEUNG Man Kin	AGV238	Licensed Representative - Responsible Officer	4	
YU Kwan Lung	AAC706	Licensed Representative - Responsible Officer	4, 9	
ZHANG Jianguang	BBJ210	Licensed Representative - Responsible Officer	9	
ZHANG Ran	BHP610	Licensed Representative - Responsible Officer	2	
ZHAO Mingyue	BAE020	Licensed Representative - Responsible Officer		4
ZHAO Xiaohu	BQQ972	Licensed Representative - Responsible Officer	9	
POON Hiu Lam	BSE664	Licensed Representative (Provisional Licence)	1, 4	