

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERS				
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
Aden Financial Group Limited	BMO727	Licensed Corporation	4, 9	
Ally Bridge Group (HK) Limited	BBS728	Licensed Corporation	1, 4	
BNS Securities (HK) Limited	BME559	Licensed Corporation		2
Mission Capital Management Limited	BPK428	Licensed Corporation	1	
Orion Investment Management Limited	ATM567	Licensed Corporation	4, 9	
Rencent International Asset Management Company Limited	BBM841	Licensed Corporation	4	
Rykadan Capital Management Limited	BOR619	Licensed Corporation	4	
Silverbricks Securities Company Limited	BLN458	Licensed Corporation	2	
Studium Securities Limited	AXT242	Licensed Corporation	4, 9	
Tyee Capital Management Limited	BFT408	Licensed Corporation	1	
Bank of Singapore Limited	ALB135	Registered Institution	4	
Standard Chartered Bank (Hong Kong) Limited	AJI614	Registered Institution	2,5	
AU YEONG Wai Mun	BKO309		2,0	4
		Licensed Representative	0	4
BAEK June Seok	AJC640	Licensed Representative	9	1, 2
BAHUGUNA Himanshu	BGT178	Licensed Representative	2	4
BIAN Jing	BMY570	Licensed Representative	4	6
BREWER James Christopher	BHB321	Licensed Representative	4, 9	6
CAI Peng	BGG428	Licensed Representative	9	1
CAO Sihui	BLE062	Licensed Representative		4
CHAN Cheuk Lam	BQC596	Licensed Representative		3
CHAN Chun Kit	BMY037	Licensed Representative	4	2
CHAN Kelly	AFD940	Licensed Representative	2	
CHAN Ming Hang	BJT505	Licensed Representative	1, 4	
CHAN Pui Yin	BJG225	Licensed Representative	1, 4	
CHAN Shu Tim	BND340	Licensed Representative	1	9
CHAN Tak Chiu	AWV321	Licensed Representative	9	2
CHAN Tsz Yeung	BHD531	Licensed Representative		5
CHAN Wan Yin Ada	BOB271	Licensed Representative		1
			9	
CHAN Yan Wing	BKG341	Licensed Representative	9	1, 4, 6
CHANG Yan Wing	ADX897	Licensed Representative		9
CHAU Chun Sing	BBZ774	Licensed Representative	1	
CHEN Leimiaojie	BFZ048	Licensed Representative	4, 5, 9	1, 2
CHENG Ka Wai	BIX841	Licensed Representative	4, 9	
CHENG Shu Yuen	AIS494	Licensed Representative	1	
CHENG Wing Chung	BNU320	Licensed Representative	4	
CHENG Yuen Chiu	AQJ100	Licensed Representative		1
CHEUNG Chi Pong Jack	BOA297	Licensed Representative	4	
CHEUNG Chor Hei	BOM283	Licensed Representative	4, 9	1, 2
CHEUNG Kwok Kee, Kaman	AWF521	Licensed Representative	2	
CHEUNG Tsz Kit	AIX574	Licensed Representative	1	
CHEW Emily Loei Yeen	BIH236	Licensed Representative	1	9
CHIN Kwan Yiu, Genny	BDY727	Licensed Representative	9	4
CHOI Chi Cheong, Antonius	AXS308	Licensed Representative		2
CHOI Ho Shing	BBQ048	Licensed Representative		9
CHONG Gan	ABU567	Licensed Representative		2, 4, 5
CHONG Hau Yip	AOT758	Licensed Representative	5	1
CHONG Wai Ho	BKB680	Licensed Representative	1	4
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CHOW Chin Hang, Joel	ALA520	Licensed Representative		
CHOW Hau Fan	AXZ765	Licensed Representative	-	2, 4
CHOW Mitchelle Tze Wei	AXN139	Licensed Representative	9	
CHOW Yuen Yun	BQC280	Licensed Representative	2	
CHU Hon Kit	ATJ549	Licensed Representative		4, 9
CHUA Kam Yi	BNQ733	Licensed Representative		4, 9
CHUNG Cheuk Lam	BHL816	Licensed Representative	1	
CLAIRET Simon Pierre Marie Eric	ARW232	Licensed Representative	9	
DE GARIDEL-THORON Thomas Henri S. M.	AUX091	Licensed Representative	2	
DENNIS Michael Richard	BBG661	Licensed Representative	9	
DERBAUDRENGHIEN Valery Guy Leon	AVT246	Licensed Representative	9	2
DONG Shu	BEY904	Licensed Representative	1	
DONG Yu	BLX951	Licensed Representative		1
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VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERS	ONS/REGIST	ERED INSTITUTIONS DURING 10/2020		
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
FARRELL Stephen Robert	APW070	Licensed Representative	2	7
FONG Chi Chuen, Ronnie	BLF532	Licensed Representative	1, 2	4, 9
FUNG Pui Shi, Patsy	BNG999	Licensed Representative	2	
FUNG Sheung Yin	BPR542	Licensed Representative	4	
FUQUA Joseph Ben	BOF969	Licensed Representative	1	
GANY Yuki	BBW706	Licensed Representative		4
GE Jingjing	BFN385	Licensed Representative	1,9	
GSCHWEND Marco	BOK759	Licensed Representative		4
GUO Jiawei	BNK699	Licensed Representative	2	
HE Liu	BII019	Licensed Representative	4	
HO Chung Ming	AEN031	Licensed Representative	1, 4	
HO Hoi Lam, Anita	AOC914	Licensed Representative	4	
НО Ка Но	BDW690	Licensed Representative		4, 9
HO Kin Fun, Philip	AFL824	Licensed Representative	4	
HO Wai Ping, Regina	AVM917	Licensed Representative	5	
HSIAO Kai Cheung	BNJ278	Licensed Representative	4	
HSU Po Han	BLU702	Licensed Representative		1
HU Deyue	BOF356	Licensed Representative	2	1
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HU Lizhou	ARI970 ADF453	Licensed Representative		4.0
HUI Lam Chiu		Licensed Representative		4, 9
HUNG Tsun Hay	BGU300	Licensed Representative	2	
IP Fai Kit	BBY803	Licensed Representative	2	
IP Kar Kok	ARA152	Licensed Representative		1, 2
JACKSON Christopher Macdougall	AGO287	Licensed Representative	4	
JIANG Anduo	BOX456	Licensed Representative	2, 4, 5	
JIANG Haiyuan	BNK729	Licensed Representative	2	
JIANG Linqiang	AOJ455	Licensed Representative		1
JIE Jiangyi	BNZ878	Licensed Representative		9
KAM Ka On	BCB658	Licensed Representative	4, 9	
KAM Ngar Ting	BEC912	Licensed Representative	1	
KERRIGAN Daniel Burke	BIZ540	Licensed Representative	9	1
KIM Young Wook	BOF298	Licensed Representative	1	5
KO Kai San	AIT944	Licensed Representative	9	
KOO Hung Yuan, Kevin	AFE094	Licensed Representative	1	4
KUAI Chen	BGT617	Licensed Representative		1
LAI Kwok Keung	BLK712	Licensed Representative	9	
LAI Tsao Lung	AMR811	Licensed Representative		2
LAM Keung	ADZ888	Licensed Representative	2	
LAM Yi Fung	BOJ015	Licensed Representative	2	4
LAM Yuen Shan, Gloria	AXK571	Licensed Representative	4	
LAU Kin Wang	BMW295	Licensed Representative	4, 9	1
LAU King Yuen	AAC341	Licensed Representative		2
LAU Shu Yan	AMY735	Licensed Representative	4	
LAU Wai Ho	APD328	Licensed Representative	4	
LAU Ying Sum	BMS676	Licensed Representative		4, 9
LEE Ka Him	AKV007	Licensed Representative	9	
LEE Siu Hang, Foster	BML668	Licensed Representative	4	1
LEE Tsz Wing	BPW069	Licensed Representative	2	
LEE Yuen Tsun	BIM241	Licensed Representative	5, 9	
LEM Jordan Te	BHT778	Licensed Representative	2, 7	6
LEUNG Chu	BNG367	Licensed Representative	·	3
LEUNG Man Wai	AEU886	Licensed Representative		1, 4
LI Bo	BPD375		1	·, ·
		Licensed Representative	4	
LI Chunliang	AQA052	Licensed Representative	1	
LI Kaiyan	BJE837	Licensed Representative	-	1
LI Ran	BMR086	Licensed Representative	2	
LI Tiantian	BGI747	Licensed Representative		4, 6, 9
LIANG Heng	BLJ233	Licensed Representative	9	
LIANG Xiaoli	ANG867	Licensed Representative		1
LIAO Zezhou	BMO987	Licensed Representative	4, 6	



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSO	UNS/REGIST	ERED INSTITUTIONS DURING 10/2020		
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LIN Shaoxing	BJI216	Licensed Representative		2, 4
LIN Tzu-Han	BNU524	Licensed Representative	9	1
LIN Wei Hsiang	BKS410	Licensed Representative	4	1
LIN Xiaotian	ASY956	Licensed Representative		4, 5, 9
LIU Chang	AOJ599	Licensed Representative	1	
LIU Wenqi	BIU684	Licensed Representative		1
			4.0	
LIU Zhao	BPL852	Licensed Representative	1, 6	
LO Kin Wa	BIH322	Licensed Representative	1	
LO Shui Ping, Adela	AJL620	Licensed Representative	1, 4	
LONG Liang	ALR027	Licensed Representative	1, 4	
LOONG Kwok Chueng	AEU391	Licensed Representative	4, 9	
LU Shiwei	BFZ179	Licensed Representative		2
LU Xinliang	AQM135	Licensed Representative		1,6
LUK Chi Woon, Peter	AHB993	Licensed Representative		1
LUO Jingyu	BPX012	Licensed Representative	4	
LUO Luyi	BEB247	Licensed Representative		1
LUO Tianbao	BQB242	Licensed Representative	2	
			2	
MA Che Tak, Andy	ABY165	Licensed Representative	4	
MA Ching Wo	APO049	Licensed Representative	4	
MA Kit Man, Maria	AJB850	Licensed Representative		4, 9
MA Teng	BFC772	Licensed Representative	1	4
MAK Pang Yan	BLK227	Licensed Representative	2	
MAO Zihan	BNU412	Licensed Representative	1, 4, 9	6
MAURER Caroline Yu	BFR076	Licensed Representative	1, 5	
MCGILL Ruarri Ronald	BFA600	Licensed Representative	2, 5	
MEI Wenjing	AYU557	Licensed Representative		1
MING Jia	BEN354	Licensed Representative		5
MOHINDRA Vani	BJV307	Licensed Representative	2	6
MONDOVITS Andreas Georg	ALO012	Licensed Representative		4
MOOIJEKIND YIN Jade Xuan	BOH827	Licensed Representative	1, 3	4, 9
MULVANY David Mark	APR476	Licensed Representative		9
NGAI Sing Lung	AQY372	Licensed Representative		2
NGAN Man Wing	ALF344	Licensed Representative	4, 5, 9	
ORMEROD Myles Weihao	BMM213	Licensed Representative	4	
PAIK Seung Hwan	BHS143	Licensed Representative		2
PANG Fong Ching	BOF430	Licensed Representative		9
PAO Lik	AEY552	Licensed Representative		9
PARK Hyo Eun	BPJ793	Licensed Representative	4	
PENG Shuaijie	BNJ202	Licensed Representative		4, 9
PENG Ying	BJV415	Licensed Representative	4	
PUN Chun Fung	AXD515	Licensed Representative	4, 9	
			4, 9	4.0.4
PUN Tit Shan	ABR234	Licensed Representative		1, 2, 4
QIAO Yashuang	BKR873	Licensed Representative	1	
QIU Tong	BMY618	Licensed Representative	2	
QIU Xiaochuan	BO1996	Licensed Representative	1, 4	
RAN Ziyue	BPM453	Licensed Representative	2	
SAADANE Mohammed Fatih Zineddine	BNJ831	Licensed Representative		7
SANFT Erwin Ralph	AED179	Licensed Representative		1, 4
SHE Jingwei	BKH340	Licensed Representative		6
SO Jiarong	AJG263	Licensed Representative	4	
SO Lai Shing	BPK384	Licensed Representative	2	
SONG Mengjie	AVM348	Licensed Representative	1	
SONG Pengcheng	BIO003	Licensed Representative	1, 2	
SUN Hanyue	AYW802	Licensed Representative		9
l	AUT130	Licensed Representative	1	
SUN Kam Ming				
SUN Kam Ming SUN Renjie	BKI603	Licensed Representative		9
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WAG QuanBF2256Lerned RepresentativeI4WANG YungyingAWS12Lerned Representative55WANG XingyiBF242Lerned Representative12.5WANG YungBF252Lerned Representative1.41WANG YungBK853Lerned Representative1.44WANG YungBK853Lerned Representative1.41WANG YungBK853Lerned Representative14WANG YungBK853Lerned Representative41WONG Gli GordonBK863Lerned Representative41WONG Sci GordonBK863Lerned Representative21WONG Sci GordonBK863Lerned Representative21WONG Sci GordonBK863Lerned Representative21WONG Sci GordonBK863Lerned Representative21WONG Sci GordonBK863Lerned Representative41WONG Sci GordonBK863Lerned Representative41WONG Sci GordonBK863Lerned Representative11WONG Sci GordonBK863Lerned Representative41WONG Sci GordonBK863Lerned Representative11WONG Sci GordonBK863Lerned Representative11WONG Sci GordonBK863Lerned Representative11WONG Sci GordonBK863Lerned Representative11WO ChrightBK863 <td></td>	
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YUN Hongming BKY626 Licensed Representative 1	
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ZHANG Jiawei BPT385 Licensed Representative 1, 6	
ZHANG Kangkang BBP542 Licensed Representative 4	
ZHANG Lei BOF318 Licensed Representative 4	
ZHANG Wangyi BFZ312 Licensed Representative 9	
ZHANG Xinyi BKK367 Licensed Representative 2	
ZHANG Xuanci BQC406 Licensed Representative 2	
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CE NameCE NZHENG BoyuBOB3ZHONG MincongBNS3ZHUANG WeiBCE3CHAN Chun LuenAHC3CHAN Ngai FunBIC8CHAN Shuet Sum, IsabellaAMUCHAN Shuet Sum, IsabellaAMUCHAN Tak HongAUZ3CHAN Wan Hei PierreBOT3CHEN Wen-ChungAEB3CHEN Yann-HueiBPI3DENG William ShijunBGT3DING HanzhiARA4DONG KejiaBDNS4JING JingAV11JING JingAV16LAM Alvin Tsz-wangAMULAM HoustonAV17	297 394 948 381 671 339 231 983 311 750 149 638 083 083 0055 021 469	Licensed Representative Licensed Representative Licensed Representative Licensed Representative Responsible Officer Licensed Representative - Responsible Office	Types of Regulated Activity Added 4, 5, 9 2 6, 9 1 9 4 1, 9 2, 5 1 1, 9 1, 9 1 4, 9 4, 9 4, 9 4 1 2, 5 1 1, 9 1 4, 5 1	Types of Regulated Activity Removed 1 2
ZHONG Mincong BNS3 ZHUANG Wei BCES CHAN Chun Luen AHC: CHAN Shuet Sum, Isabella AMUR CHAN Shuet Sum, Isabella AMUR CHAN Shuet Sum, Isabella AMUR CHAN Tak Hong AUZ: CHAN Wan Hel Pierre BOT: CHEN Wen-Chung AEBS CHEN Vann-Huei BPI3 DENG William Shijun BGT: DING Hanzhi ARA: DONG Kejia BNS6 FAN Jia BEBG JIN Ming AY11 JING Jing BDMR KASHYAP Sunil AVFO LAM Alvin Tsz-wang AMUL LAM Chi Lam AY11	394 948 381 391 671 339 231 983 311 750 149 638 083 109 055 021 469	Licensed Representative Licensed Representative Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	2 6,9 1 9 4 4 4 4,9 4 1 2,5 1 1 1,9 1	1,4 2
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CHAN Ngai Fun BIC8 CHAN Shuet Sum, Isabella AMUL CHAN Tak Hong AUZ: CHAN Tak Hong AUZ: CHAN Wan Hei Pierre BOT: CHEN Wen-Chung AEBS CHEN Yann-Huei BPI3 DENG William Shijun BGT: DING Hanzhi ARA* DONG Kejia BNSI FAN Jia BEBD JIN Ming AY11 JING Jing BDMI KASHYAP Sunil AVFO LAM Alvin Tsz-wang AMUL LAM Chi Lam AY13	391 671 339 231 983 311 750 149 638 0033 109 055 021 469	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	4 4,9 4 1 2,5 1 1,9 1	2
CHAN Shuet Sum, Isabella AMUI CHAN Tak Hong AUZ; CHAN Wan Hei Pierre BOT; CHEN Wen-Chung AEBS CHEN Yann-Huei BPI3 DENG William Shijun BGT; DING Hanzhi ARA; DONG Kejia BNSR FAN Jia BEBS JIN Ming AY11 JING Jing BDMR KASHYAP Sunil AVFC LAM Alvin Tsz-wang AMUL LAM Chi Lam AY13	671 339 231 983 311 750 149 638 083 0055 021 469	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	4 4,9 4 1 2,5 1 1,9 1	2
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CHAN Wan Hei Pierre BOT: CHEN Wen-Chung AEB8 CHEN Yann-Huei BPI3 DENG William Shijun BGT: DING Hanzhi ARA* DONG Kejia BNSG FAN Jia BEB0 JIN Ming AY11 JING Jing BDM KASHYAP Sunil AVFC LAM Alvin Tsz-wang AMUL LAM Chi Lam AY11	231 983 311 750 149 638 083 09 055 021 469	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	4 1 2,5 1 1,9 1 1	2
CHEN Wen-Chung AEBS CHEN Yann-Huei BPI3 DENG William Shijun BGT: DING Hanzhi ARA: DONG Kejia BNSG FAN Jia BEBG JIN Ming AYI1 JING Jing BDMR KASHYAP Sunil AVFG LAM Alvin Tsz-wang AMUL LAM Chi Lam AYJ1	983 311 750 149 638 083 083 09 055 021 469	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	1 2,5 1 1,9 1	2
CHEN Yann-Huei BPI3 DENG William Shijun BGT3 DING Hanzhi ARA DONG Kejia BNS0 FAN Jia BEB0 JIN Ming AY11 JING Jing BDM0 KASHYAP Sunil AVF0 LAM Alvin Tsz-wang AMUL LAM Chi Lam AY13	311 750 149 638 083 109 055 021 469	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	1 1,9 1 1	2
DENG William Shijun BGT. DING Hanzhi ARA DONG Kejia BNSI FAN Jia BEBO JIN Ming AYI1 JING Jing BDM KASHYAP Sunil AVFO LAM Alvin Tsz-wang AMUL LAM Chi Lam AYJ1	750 149 638 083 109 055 021 469	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	1 1,9 1 1	2
DING Hanzhi ARA DONG Kejia BNSt FAN Jia BEB0 JIN Ming AY11 JING Jing BDM KASHYAP Sunil AVF0 LAM Alvin Tsz-wang AMU- LAM Chi Lam AYJ1	149 638 083 109 055 021 469	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	1	2
DONG Kejia BNS0 FAN Jia BEB0 JIN Ming AYI1 JING Jing BDM KASHYAP Sunil AVF0 LAM Alvin Tsz-wang AMUL LAM Chi Lam AYJ1	638 083 109 055 021 469	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	1	2
FAN Jia BEBO JIN Ming AY11 JING Jing BDM KASHYAP Sunil AVFC LAM Alvin Tsz-wang AMUL LAM Chi Lam AYJ1	083 109 055 021 469	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	4,5	2
JIN Ming AYI1 JING Jing BDM KASHYAP Sunil AVF(LAM Alvin Tsz-wang AMU LAM Chi Lam AYJ1	109 1055 021 469	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	1 4, 5	
JING Jing BDM KASHYAP Sunil AVFo LAM Alvin Tsz-wang AMU/ LAM Chi Lam AYJ1	055 021 469	Licensed Representative - Responsible Officer Licensed Representative - Responsible Officer	4, 5	-
KASHYAP Sunil AVF0 LAM Alvin Tsz-wang AMU- LAM Chi Lam AYJ1	021 469	Licensed Representative - Responsible Officer	4,5	
LAM Alvin Tsz-wang AMU- LAM Chi Lam AYJ1	469			1-
LAM Chi Lam AYJ1		Licensed Representative - Responsible Officer		2
	114		1	
LAM Houston AWT		Licensed Representative - Responsible Officer	4	
	986	Licensed Representative - Responsible Officer	4	
LAM Wang Tat AOE	015	Licensed Representative - Responsible Officer	4, 9	
LAM Yuk Kan, Ester APY:	313	Licensed Representative - Responsible Officer	9	
LAU King Yuen AAC	341	Licensed Representative - Responsible Officer	4	
LEE Yuen Tsun BIM2	241	Licensed Representative - Responsible Officer	1, 4	
LEUNG Chun Shing ALG2	206	Licensed Representative - Responsible Officer	4	
LEUNG Kit Yee, Elka AMW	/549	Licensed Representative - Responsible Officer	1, 9	
LIOU Wei Jei ATO-	438	Licensed Representative - Responsible Officer	4	
MA Kit Man, Maria AJB8	850	Licensed Representative - Responsible Officer	1	
MA Kwok Leung AFX8	841	Licensed Representative - Responsible Officer	4, 9	
MA Wing Sing AJI8	338	Licensed Representative - Responsible Officer	9	
MEAU Ambrose Yin Hung ALY7	778	Licensed Representative - Responsible Officer		1, 9
PANG Andrew Chee On BGR4	455	Licensed Representative - Responsible Officer	1, 4	
QUE Bon Tan, Gerald AABO	058	Licensed Representative - Responsible Officer	1	
SIU Chi Yiu, Kenny ANA	382	Licensed Representative - Responsible Officer	2, 4, 5	
SOUTHEE Gregory Ryan AXO	055	Licensed Representative - Responsible Officer	4	
SUH Eugene Won BIK6	665	Licensed Representative - Responsible Officer	1	
TANG Wai Fung ATN	569	Licensed Representative - Responsible Officer	4, 9	
WAN Ngar Yin, David ABH	754	Licensed Representative - Responsible Officer	2	
WATGANAI Hans Wang AOIS	996	Licensed Representative - Responsible Officer	1	
WONG Jeremy AEA8	858	Licensed Representative - Responsible Officer	1	
WONG Shiu Hung AYF0	093	Licensed Representative - Responsible Officer	4	
WONG Wai BAC2	295	Licensed Representative - Responsible Officer		2
WU Kwok Leung AJB6	638	Licensed Representative - Responsible Officer	1	
YEUNG Lap Kei AAHa		Licensed Representative - Responsible Officer	4	
YU Fan AEE	377	Licensed Representative - Responsible Officer	1,4	
YU Jin AQP	649	Licensed Representative - Responsible Officer	4	
YU Kim Ho, Douglas ABF1	198	Licensed Representative - Responsible Officer		9
ZHENG Juan AGI7		Licensed Representative - Responsible Officer	1	