

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
Aden Financial Group Limited	BMO727	Licensed Corporation	4, 9	
Ally Bridge Group (HK) Limited	BBS728	Licensed Corporation	1, 4	
BNS Securities (HK) Limited	BME559	Licensed Corporation		2
Mission Capital Management Limited	BPK428	Licensed Corporation	1	
Orion Investment Management Limited	ATM567	Licensed Corporation	4, 9	
Rencent International Asset Management Company Limited	BBM841	Licensed Corporation	4	
Rykadan Capital Management Limited	BOR619	Licensed Corporation	4	
Silverbricks Securities Company Limited	BLN458	Licensed Corporation	2	
Stadium Securities Limited	AXT242	Licensed Corporation	4, 9	
Tyee Capital Management Limited	BFT408	Licensed Corporation	1	
Bank of Singapore Limited	ALB135	Registered Institution	4	
Standard Chartered Bank (Hong Kong) Limited	AJ1614	Registered Institution	2, 5	
AU YEONG Wai Mun	BKO309	Licensed Representative		4
BAEK June Seok	AJC640	Licensed Representative	9	1, 2
BAHUGUNA Himanshu	BGT178	Licensed Representative	2	4
BIAN Jing	BMV570	Licensed Representative	4	6
BREWER James Christopher	BHB321	Licensed Representative	4, 9	6
CAI Peng	BGG428	Licensed Representative	9	1
CAO Sihui	BLE062	Licensed Representative		4
CHAN Cheuk Lam	BQC596	Licensed Representative		3
CHAN Chun Kit	BMV037	Licensed Representative	4	2
CHAN Kelly	AFD940	Licensed Representative	2	
CHAN Ming Hang	BJT505	Licensed Representative	1, 4	
CHAN Pui Yin	BJG225	Licensed Representative	1, 4	
CHAN Shu Tim	BND340	Licensed Representative	1	9
CHAN Tak Chiu	AWV321	Licensed Representative	9	2
CHAN Tsz Yeung	BHD531	Licensed Representative		5
CHAN Wan Yin Ada	BOB271	Licensed Representative		1
CHAN Yan Wing	BKG341	Licensed Representative	9	1, 4, 6
CHANG Yan Wing	ADX897	Licensed Representative		9
CHAU Chun Sing	BBZ774	Licensed Representative	1	
CHEN Leimiaojie	BFZ048	Licensed Representative	4, 5, 9	1, 2
CHENG Ka Wai	BIX841	Licensed Representative	4, 9	
CHENG Shu Yuen	AIS494	Licensed Representative	1	
CHENG Wing Chung	BNU320	Licensed Representative	4	
CHENG Yuen Chi	AQJ100	Licensed Representative		1
CHEUNG Chi Pong Jack	BOA297	Licensed Representative	4	
CHEUNG Chor Hei	BOM283	Licensed Representative	4, 9	1, 2
CHEUNG Kwok Kee, Kaman	AWF521	Licensed Representative	2	
CHEUNG Tsz Kit	AIX574	Licensed Representative	1	
CHEW Emily Loei Yeen	BIH236	Licensed Representative	1	9
CHIN Kwan Yiu, Genny	BDY727	Licensed Representative	9	4
CHOI Chi Cheong, Antonius	AXS308	Licensed Representative		2
CHOI Ho Shing	BBQ048	Licensed Representative		9
CHONG Gan	ABU567	Licensed Representative		2, 4, 5
CHONG Hau Yip	AOT758	Licensed Representative	5	1
CHONG Wai Ho	BKB680	Licensed Representative	1	4
CHOW Chin Hang, Joel	ALA520	Licensed Representative		1
CHOW Hau Fan	AXZ765	Licensed Representative		2, 4
CHOW Michelle Tze Wei	AXN139	Licensed Representative	9	
CHOW Yuen Yun	BQC280	Licensed Representative	2	
CHU Hon Kit	ATJ549	Licensed Representative		4, 9
CHUA Kam Yi	BNQ733	Licensed Representative		4, 9
CHUNG Cheuk Lam	BHL816	Licensed Representative	1	
CLAIRET Simon Pierre Marie Eric	ARW232	Licensed Representative	9	
DE GARIDEL-THORON Thomas Henri S. M.	AUX091	Licensed Representative	2	
DENNIS Michael Richard	BBG661	Licensed Representative	9	
DERBAUDRENGHIEN Valery Guy Leon	AVT246	Licensed Representative	9	2
DONG Shu	BEY904	Licensed Representative	1	
DONG Yu	BLX951	Licensed Representative		1

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
FARRELL Stephen Robert	APW070	Licensed Representative	2	7
FONG Chi Chuen, Ronnie	BLF532	Licensed Representative	1, 2	4, 9
FUNG Pui Shi, Patsy	BNG999	Licensed Representative	2	
FUNG Sheung Yin	BPR542	Licensed Representative	4	
FUQUA Joseph Ben	BOF969	Licensed Representative	1	
GANY Yuki	BBW706	Licensed Representative		4
GE Jingjing	BFN385	Licensed Representative	1, 9	
GSCHWEND Marco	BOK759	Licensed Representative		4
GUO Jiawei	BNK699	Licensed Representative	2	
HE Liu	BI019	Licensed Representative	4	
HO Chung Ming	AEN031	Licensed Representative	1, 4	
HO Hoi Lam, Anita	AOC914	Licensed Representative	4	
HO Ka Ho	BDW690	Licensed Representative		4, 9
HO Kin Fun, Philip	AFL824	Licensed Representative	4	
HO Wai Ping, Regina	AVM917	Licensed Representative	5	
HSIAO Kai Cheung	BNJ278	Licensed Representative	4	
HSU Po Han	BLU702	Licensed Representative		1
HU Deyue	BOF356	Licensed Representative	2	4
HU Lizhou	ARI970	Licensed Representative	1	
HUI Lam Chiu	ADF453	Licensed Representative		4, 9
HUNG Tsun Hay	BGU300	Licensed Representative	2	
IP Fai Kit	BBY803	Licensed Representative	2	
IP Kar Kok	ARA152	Licensed Representative		1, 2
JACKSON Christopher Macdougall	AGO287	Licensed Representative	4	
JIANG Anduo	BOX456	Licensed Representative	2, 4, 5	
JIANG Haiyuan	BNK729	Licensed Representative	2	
JIANG Lingqiang	AQJ455	Licensed Representative		1
JIE Jiangyi	BNZ878	Licensed Representative		9
KAM Ka On	BCB658	Licensed Representative	4, 9	
KAM Ngar Ting	BEC912	Licensed Representative	1	
KERRIGAN Daniel Burke	BIZ540	Licensed Representative	9	1
KIM Young Wook	BOF298	Licensed Representative	1	5
KO Kai San	AIT944	Licensed Representative	9	
KOO Hung Yuan, Kevin	AFE094	Licensed Representative	1	4
KUAI Chen	BGT617	Licensed Representative		1
LAI Kwok Keung	BLK712	Licensed Representative	9	
LAI Tsao Lung	AMR811	Licensed Representative		2
LAM Keung	ADZ888	Licensed Representative	2	
LAM Yi Fung	BOJ015	Licensed Representative	2	4
LAM Yuen Shan, Gloria	AXK571	Licensed Representative	4	
LAU Kin Wang	BMW295	Licensed Representative	4, 9	1
LAU King Yuen	AAC341	Licensed Representative		2
LAU Shu Yan	AMY735	Licensed Representative	4	
LAU Wai Ho	APD328	Licensed Representative	4	
LAU Ying Sum	BMS676	Licensed Representative		4, 9
LEE Ka Him	AKV007	Licensed Representative	9	
LEE Siu Hang, Foster	BML668	Licensed Representative	4	1
LEE Tsz Wing	BPW069	Licensed Representative	2	
LEE Yuen Tsun	BIM241	Licensed Representative	5, 9	
LEM Jordan Te	BHT778	Licensed Representative	2, 7	6
LEUNG Chu	BNG367	Licensed Representative		3
LEUNG Man Wai	AEU886	Licensed Representative		1, 4
LI Bo	BPD375	Licensed Representative	1	
LI Chunliang	AQA052	Licensed Representative	1	
LI Kaiyan	BJE837	Licensed Representative		1
LI Ran	BMR086	Licensed Representative	2	
LI Tiantian	BGI747	Licensed Representative		4, 6, 9
LIANG Heng	BLJ233	Licensed Representative	9	
LIANG Xiaoli	ANG867	Licensed Representative		1
LIAO Zezhou	BMO987	Licensed Representative	4, 6	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2020

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LIN Shaoming	BJJ216	Licensed Representative		2, 4
LIN Tzu-Han	BNU524	Licensed Representative	9	1
LIN Wei Hsiang	BKS410	Licensed Representative	4	1
LIN Xiaotian	ASY956	Licensed Representative		4, 5, 9
LIU Chang	AOJ599	Licensed Representative	1	
LIU Wenqi	BIU684	Licensed Representative		1
LIU Zhao	BPL852	Licensed Representative	1, 6	
LO Kin Wa	BIH322	Licensed Representative	1	
LO Shui Ping, Adela	AJL620	Licensed Representative	1, 4	
LONG Liang	ALR027	Licensed Representative	1, 4	
LOONG Kwok Chueng	AEU391	Licensed Representative	4, 9	
LU Shiwei	BFZ179	Licensed Representative		2
LU Xinliang	AQM135	Licensed Representative		1, 6
LUK Chi Woon, Peter	AHB993	Licensed Representative		1
LUO Jingyu	BPX012	Licensed Representative	4	
LUO Luyi	BEB247	Licensed Representative		1
LUO Tianbao	BOB242	Licensed Representative	2	
MA Che Tak, Andy	ABY165	Licensed Representative	4	
MA Ching Wo	APO049	Licensed Representative	4	
MA Kit Man, Maria	AJB850	Licensed Representative		4, 9
MA Teng	BFC772	Licensed Representative	1	4
MAK Pang Yan	BLK227	Licensed Representative	2	
MAO Zhan	BNU412	Licensed Representative	1, 4, 9	6
MAURER Caroline Yu	BFR076	Licensed Representative	1, 5	
MCGILL Ruarri Ronald	BFA600	Licensed Representative	2, 5	
MEI Wenjing	AYU557	Licensed Representative		1
MING Jia	BEN354	Licensed Representative		5
MOHINDRA Vani	BJV307	Licensed Representative	2	6
MONDOVITS Andreas Georg	ALO012	Licensed Representative		4
MOOJEKIND YIN Jade Xuan	BOH827	Licensed Representative	1, 3	4, 9
MULVANY David Mark	APR476	Licensed Representative		9
NGAI Sing Lung	AQY372	Licensed Representative		2
NGAN Man Wing	ALF344	Licensed Representative	4, 5, 9	
ORMEROD Myles Weihao	BMM213	Licensed Representative	4	
PAIK Seung Hwan	BHS143	Licensed Representative		2
PANG Fong Ching	BOF430	Licensed Representative		9
PAO Lik	AEY552	Licensed Representative		9
PARK Hyo Eun	BPJ793	Licensed Representative	4	
PENG Shuaijie	BNJ202	Licensed Representative		4, 9
PENG Ying	BJV415	Licensed Representative	4	
PUN Chun Fung	AXD515	Licensed Representative	4, 9	
PUN Tit Shan	ABR234	Licensed Representative		1, 2, 4
QIAO Yashuang	BKR873	Licensed Representative	1	
QIU Tong	BMV618	Licensed Representative	2	
QIU Xiaochuan	BOI996	Licensed Representative	1, 4	
RAN Ziyue	BPM453	Licensed Representative	2	
SAADANE Mohammed Fatih Zineddine	BNJ831	Licensed Representative		7
SANFT Erwin Ralph	AED179	Licensed Representative		1, 4
SHE Jingwei	BKH340	Licensed Representative		6
SO Jiarong	AJG263	Licensed Representative	4	
SO Lai Shing	BPK384	Licensed Representative	2	
SONG Mengjie	AVM348	Licensed Representative	1	
SONG Pengcheng	BIO003	Licensed Representative	1, 2	
SUN Hanyue	AYW802	Licensed Representative		9
SUN Kam Ming	AUT130	Licensed Representative	1	
SUN Renjie	BKI603	Licensed Representative		9
SUN Xin	BHS412	Licensed Representative	9	1
TAI On Tik	BJT280	Licensed Representative	1	9
TAM Chung Him	AQZ882	Licensed Representative	1	
TAN Wentao	AXS425	Licensed Representative		1, 2

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
TAN Yibing	BPG156	Licensed Representative	4, 5	
TANG Ke	BGE407	Licensed Representative	1	
TAYLOR Richard Kirby	ACO169	Licensed Representative		1, 6
THU Zuo Hao Fernando Troeman	BPF604	Licensed Representative	4, 5	
TING Shu Hao	BOO993	Licensed Representative	1	
TONG Chun Kit	AJD428	Licensed Representative	4	2
TSE King Chung, Kendall	ANN387	Licensed Representative		9
TSE Ming Chu	ADW209	Licensed Representative		1
TSE Ming Kwong	ABE299	Licensed Representative		2, 5
TSUI Cheuk Chi	ARV914	Licensed Representative		1
TSUI Yiu Cham	AZH552	Licensed Representative	9	
WANG Lai	BAL857	Licensed Representative	1	
WANG Po I	BOR976	Licensed Representative	4	
WANG Qi	BLP469	Licensed Representative	4	
WANG Qian	BHZ255	Licensed Representative		4
WANG Xingxing	AWS124	Licensed Representative	5	
WANG Xingyi	BPL212	Licensed Representative	1	2, 5
WANG Yu	APZ458	Licensed Representative		1
WANG Zheng	BNG656	Licensed Representative	1, 4	
WANG Zhi	BNB833	Licensed Representative		4
WEI Yu	BPL275	Licensed Representative		1
WONG Chiu	BHL931	Licensed Representative		2, 9
WONG Cliff Gordon	BJG893	Licensed Representative	4	
WONG Ka Fook, Nigel	AZF870	Licensed Representative		1
WONG Sze Ho	BMW970	Licensed Representative	2	
WONG Tak Sing	BNH917	Licensed Representative		6
WONG Wai Hong	ACZ526	Licensed Representative		4
WONG Yin Ming	BNW887	Licensed Representative	4	
WU Ching Hon	AUG330	Licensed Representative		5, 9
WU Kwok Leung	AJB638	Licensed Representative		5, 6
WU Tin Chi	BMI058	Licensed Representative	4, 9	
WU Yanwan	BNY904	Licensed Representative		6
WU Yijun	BDA182	Licensed Representative		9
XIE Yi	BFZ396	Licensed Representative	1	9
XIONG Shiping	BQC407	Licensed Representative	2	
XU Hao	AVA631	Licensed Representative		1
YANG Lei	BBQ355	Licensed Representative		9
YANG Shanshan	BNP726	Licensed Representative	1, 4	10
YANG Zhixin	BMW319	Licensed Representative	4	
YAO Zhengwei	BEE981	Licensed Representative		9
YE Dui	BJC092	Licensed Representative	4	
YE Nan	BMZ444	Licensed Representative		9
YEUNG Ho Hung	BPT126	Licensed Representative	9	
YEUNG Po Yin Poey	BNO292	Licensed Representative	5	
YEUNG Yee Eve	BBQ487	Licensed Representative	9	
YI Allan Wei Han	BGW577	Licensed Representative	9	
YI Huan	AMH263	Licensed Representative		1
YING Chi Kwan	ALZ863	Licensed Representative		1
YIP Chun Wai	ACP491	Licensed Representative		1
YIU Pak Man	ATW867	Licensed Representative		1
YUN Hongming	BKY626	Licensed Representative		1
ZHAN Yueying	BNI774	Licensed Representative	9	
ZHANG Beibei	BOE216	Licensed Representative		2
ZHANG Jiawei	BPT385	Licensed Representative	1, 6	
ZHANG Kangkang	BBP542	Licensed Representative		4
ZHANG Lei	BOF318	Licensed Representative	4	
ZHANG Wangyi	BFZ312	Licensed Representative	9	
ZHANG Xinyi	BKK367	Licensed Representative	2	
ZHANG Xuanci	BQC406	Licensed Representative	2	
ZHAO Yue	BGA679	Licensed Representative	1, 2	9

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
ZHENG Boyu	BOB297	Licensed Representative	4, 5, 9	1
ZHONG Mincong	BNS394	Licensed Representative	2	
ZHUANG Wei	BCE948	Licensed Representative	6, 9	2
CHAN Chun Luen	AHC381	Licensed Representative - Responsible Officer	1	
CHAN Ngai Fun	BIC891	Licensed Representative - Responsible Officer	9	
CHAN Shuet Sum, Isabella	AMU671	Licensed Representative - Responsible Officer	4	
CHAN Tak Hong	AUZ339	Licensed Representative - Responsible Officer	4, 9	
CHAN Wan Hei Pierre	BOT231	Licensed Representative - Responsible Officer	4	
CHEN Wen-Chung	AEB983	Licensed Representative - Responsible Officer	1	
CHEN Yann-Huei	BPI311	Licensed Representative - Responsible Officer	2, 5	
DENG William Shijun	BGT750	Licensed Representative - Responsible Officer	1	
DING Hanzhi	ARA149	Licensed Representative - Responsible Officer	1, 9	
DONG Keja	BNS638	Licensed Representative - Responsible Officer		1, 4
FAN Jia	BEB083	Licensed Representative - Responsible Officer		2
JIN Ming	AY1109	Licensed Representative - Responsible Officer	1	
JING Jing	BDM055	Licensed Representative - Responsible Officer	4, 5	
KASHYAP Sunil	AVF021	Licensed Representative - Responsible Officer		2
LAM Alvin Tsz-wang	AMU469	Licensed Representative - Responsible Officer	1	
LAM Chi Lam	AYJ114	Licensed Representative - Responsible Officer	4	
LAM Houston	AWT986	Licensed Representative - Responsible Officer	4	
LAM Wang Tat	AOE015	Licensed Representative - Responsible Officer	4, 9	
LAM Yuk Kan, Ester	APY313	Licensed Representative - Responsible Officer	9	
LAU King Yuen	AAC341	Licensed Representative - Responsible Officer	4	
LEE Yuen Tsun	BIM241	Licensed Representative - Responsible Officer	1, 4	
LEUNG Chun Shing	ALG206	Licensed Representative - Responsible Officer	4	
LEUNG Kit Yee, Elka	AMW549	Licensed Representative - Responsible Officer	1, 9	
LIU Wei Jei	ATO438	Licensed Representative - Responsible Officer	4	
MA Kit Man, Maria	AJB850	Licensed Representative - Responsible Officer	1	
MA Kwok Leung	AFX841	Licensed Representative - Responsible Officer	4, 9	
MA Wing Sing	AJH838	Licensed Representative - Responsible Officer	9	
MEAU Ambrose Yin Hung	ALY778	Licensed Representative - Responsible Officer		1, 9
PANG Andrew Chee On	BGR455	Licensed Representative - Responsible Officer	1, 4	
QUE Bon Tan, Gerald	AAB058	Licensed Representative - Responsible Officer	1	
SIU Chi Yiu, Kenny	ANA382	Licensed Representative - Responsible Officer	2, 4, 5	
SOUTHEE Gregory Ryan	AXO055	Licensed Representative - Responsible Officer	4	
SUH Eugene Won	BIK665	Licensed Representative - Responsible Officer	1	
TANG Wai Fung	ATN569	Licensed Representative - Responsible Officer	4, 9	
WAN Ngar Yin, David	ABH754	Licensed Representative - Responsible Officer	2	
WATGANAI Hans Wang	AOI996	Licensed Representative - Responsible Officer	1	
WONG Jeremy	AEA858	Licensed Representative - Responsible Officer	1	
WONG Shiu Hung	AYF093	Licensed Representative - Responsible Officer	4	
WONG Wai	BAC295	Licensed Representative - Responsible Officer		2
WU Kwok Leung	AJB638	Licensed Representative - Responsible Officer	1	
YEUNG Lap Kei	AAH810	Licensed Representative - Responsible Officer	4	
YU Fan	AEE377	Licensed Representative - Responsible Officer	1, 4	
YU Jin	AQP649	Licensed Representative - Responsible Officer	4	
YU Kim Ho, Douglas	ABF198	Licensed Representative - Responsible Officer		9
ZHENG Juan	AGI798	Licensed Representative - Responsible Officer	1	