

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020**

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
Austin Asia Securities Limited	AYU405	Licensed Corporation		6
Centrum Capital International Limited	AUD356	Licensed Corporation		1
Conrad Investment Services Limited	BIV795	Licensed Corporation	9	
Futu Securities International (Hong Kong) Limited	AZT137	Licensed Corporation	3	
Goldlark (Hong Kong) Asset Management Company Limited	BJQ844	Licensed Corporation	4	
Guotai Junan Fund Management Limited	AQW326	Licensed Corporation		1
Hammer Capital Asset Management Limited	BIT752	Licensed Corporation	4	
iTrade Securities Investment Limited	BHL021	Licensed Corporation	4	
JMC Securities and Futures Investment HK Limited	BNO569	Licensed Corporation	1, 4	
Roofer Securities Limited	AER871	Licensed Corporation	4	
ANANTHARAM Vishweshwar	ATM017	Licensed Representative	1	4, 5
AU Wing Sing	ARF809	Licensed Representative	4, 9	6
BAHL Himalee	BFR746	Licensed Representative	4	
CHAN Chuk Cheung, Ivan	AJB937	Licensed Representative		6
CHAN Chun Wah	APD545	Licensed Representative	4	
CHAN Chung Ching, Winston	ACT420	Licensed Representative	4	
CHAN Hei Ming	ASO239	Licensed Representative	4	
CHAN Ho Ying	BHY941	Licensed Representative	1	
CHAN Ka Kit	ATS061	Licensed Representative	4	
CHAN Man Hung	ARL935	Licensed Representative	1	
CHAN Sze Ho	AWZ026	Licensed Representative		2, 4
CHAN Waiga, Yuki	BPK469	Licensed Representative	1, 4	
CHAN Wing Sum	BBE125	Licensed Representative	1	
CHAN Wing Yee	AEV342	Licensed Representative	4	
CHAN Yin Nei, Rita	AFS992	Licensed Representative	4	
CHAN Yuk	AZL980	Licensed Representative	2, 4	6
CHAU Yu Ting	AXS321	Licensed Representative	1, 4	
CHE Ka Cheung	BOP651	Licensed Representative	2	
CHEN Alex Hengkai	BFH248	Licensed Representative	2	
CHEN I-Ming	BFA906	Licensed Representative	1	
CHEN Keng Chia	BKD548	Licensed Representative	4, 9	
CHEN Keru	BPA242	Licensed Representative	1, 4	
CHEN Luwen	BHK046	Licensed Representative	4, 9	2
CHEN Qing	BCH576	Licensed Representative		1
CHEN Wuyou	BPX683	Licensed Representative		4
CHEN Yilan	BNP022	Licensed Representative		4
CHEUNG Chi Ho	AWC625	Licensed Representative		1
CHEUNG Zhaomin	BOZ955	Licensed Representative	4, 5	
CHEUNG Zhiyang	BLH890	Licensed Representative	4	6
CHEUNG Chun Ting	BFZ227	Licensed Representative	9	4
CHEUNG Chung Yiu	AEF749	Licensed Representative	9	
CHEUNG Fei Hung	BNR251	Licensed Representative	1	
CHEUNG Ka Ho	BOS843	Licensed Representative	1, 4, 6	10
CHEUNG Wing Yi, Wing	BOI998	Licensed Representative	4	
CHEUNG Yu Foon	ABR632	Licensed Representative		2
CHING Sum	BPL761	Licensed Representative	4	
CHO Kai Man	BBQ522	Licensed Representative	4	
CHO Lok Sze, Rozina	AEH807	Licensed Representative		4, 9
CHOI Ho Yin, Coey	BHL667	Licensed Representative		2
CHOI Ka Fai	AFG493	Licensed Representative	2	
CHOI Man Fai	BBP353	Licensed Representative		4, 9
CHOI Tsan Wan	AFG523	Licensed Representative	1	
CHOW Ho Ming, Henry	BIL449	Licensed Representative	9	1, 4
CHOW Kwok Cheung, Tony	AAE445	Licensed Representative		4
CHOW Kwun Hung	AEV862	Licensed Representative		9
CHU Kin Fun, John	AEE801	Licensed Representative	9	
CHUNG Chun Cheong, Joe	AXH107	Licensed Representative	5	
DENG Yiqi	BMV298	Licensed Representative	9	6
DING Chen	BBZ161	Licensed Representative	4	
DING Lawrence Zheming	BOW531	Licensed Representative		4
DIU Felix Chi Ho	BOB732	Licensed Representative	5	
DONG Zilue	BPE061	Licensed Representative		1, 2, 4
FAN Kin Ming	BJV754	Licensed Representative	4	
FONG Tok Cheung	AOL345	Licensed Representative	4	

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020**

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
GONG Xiaoman	BNR624	Licensed Representative		4, 9
HAN Moyuan	BGE615	Licensed Representative	1, 4	
HILL Victoria Margaret Nahathai	AOT983	Licensed Representative	7	
HO Yan	AED207	Licensed Representative	4, 9	2, 3
HONG Sin Kee	ADO273	Licensed Representative	1	
HOU Xuening	BPX766	Licensed Representative		9
HU Dihong	BN4710	Licensed Representative	4	
HU Weili	BKH778	Licensed Representative	1, 4	
HUANG Jie	BCN933	Licensed Representative	4	
HUANG Shengjun	BPH733	Licensed Representative	1	2
HUANG Zhenxian	BMO602	Licensed Representative	1	
HUI Siu Chung, Williamclay	APH594	Licensed Representative	4	
HUI Yin Kwan	BDE110	Licensed Representative	1	
HUNG Chung Hei	BAY484	Licensed Representative		4
HUNG Fan Ming	ADV774	Licensed Representative	9	
JIANG Lulu	BMT231	Licensed Representative	4	10
JIANG Qinglin	BKK308	Licensed Representative	1	
KAMINAKA Asuka	AWV203	Licensed Representative	1	
KANG Tae Jong	BCB448	Licensed Representative		9
KANG You Jin	BNN544	Licensed Representative		4
KO Ying, Christine	ARI201	Licensed Representative	1	
KWAN Kin Kwok	BEH928	Licensed Representative	4	
KWOK Hoi Ming	BPO908	Licensed Representative	2	7
KWOK Ka Yin, Maggie	ACS121	Licensed Representative	4	
KWONG Chi Yun Anita	AHN065	Licensed Representative	9	
KWONG Chun Kit	BFH176	Licensed Representative	4	
LAI Tak Pui	BOQ911	Licensed Representative		6
LAM Chi Hsin	ALO052	Licensed Representative	4, 9	2
LAM Chi Wai	AKX862	Licensed Representative	4	
LAM Ho Hang	BAX257	Licensed Representative		1
LAM Kai Hong	ACY903	Licensed Representative		2
LAM So Ying	AKT570	Licensed Representative		1
LAO Peiwen	BNV650	Licensed Representative	9	6
LAO Wensi	BBS740	Licensed Representative	1, 4, 6	10
LAU Chung Wai, Johnny	ADW510	Licensed Representative	4	
LAU Hong	BDY028	Licensed Representative	1	
LAU Nga Yeung	ALQ199	Licensed Representative	3	
LAU Tsz Hung	BGC190	Licensed Representative	3	
LAU Yan Kit	ARI646	Licensed Representative		2, 4, 9
LEE Ho Leung, William	AEL247	Licensed Representative		6
LEE Ho Yeung	AHV034	Licensed Representative	4	
LEE Hon Ki	AST192	Licensed Representative	4, 9	
LEE Hon Sui, Henry	BPX363	Licensed Representative	4	
LEE Mona	BBP018	Licensed Representative	1	
LEE Wing Shing	AJD174	Licensed Representative		1, 2
LEE Yiu Kai, Godwin	AET319	Licensed Representative		1, 2, 4, 5
LEI Xi	BGN443	Licensed Representative	1	
LEUNG Chu	BNG367	Licensed Representative	4	
LEUNG Hei Man	BPX142	Licensed Representative	9	6
LEUNG Kai Chung	AQR032	Licensed Representative	2	
LEUNG LOK SUM SAM	BLL826	Licensed Representative	4, 9	1
LEUNG Sze Yan	BNQ998	Licensed Representative	1	
LI Ang	APF219	Licensed Representative	4	1, 2
LI Hanzheng	BND739	Licensed Representative	4	
LI Ka Fai	BPG576	Licensed Representative	1	9
LI Lyuzhi	BNW037	Licensed Representative	4, 5	
LI Qiao	BNO029	Licensed Representative	9	1, 2
LI Sha	BPN447	Licensed Representative	1	9
LI Tsz Fai	BPY498	Licensed Representative	2, 4, 5	
LI Xueying	BPK181	Licensed Representative		4, 9
LI Yanlin	ANI899	Licensed Representative	9	
LI Yaqi	BEW203	Licensed Representative	9	
LIANG Zhao	BOP908	Licensed Representative		1
LIANG Zhide	BOA511	Licensed Representative	9	1

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2020**

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LIEM Chung Wong, Jasper	APY809	Licensed Representative	1	
LIN Hao	BFE478	Licensed Representative	4	
LING Sau Fung	ALZ735	Licensed Representative	4	
LIU Boying	BNC925	Licensed Representative	4	
LIU Huan Chieh, Gary	ADW756	Licensed Representative		1
LIU Hui	BNC679	Licensed Representative	1	
LIU Jingyang	BML621	Licensed Representative		1
LIU Ka Lim	ACZ705	Licensed Representative		1
LIU Yihe	BDL890	Licensed Representative	9	
LIU Yuen Shan	AAM383	Licensed Representative	4	
LO Kuei Tzu	BCS464	Licensed Representative	1	
LO Sin Sin	AOD942	Licensed Representative	1	
LONG Yuanyuan	AQY297	Licensed Representative	9	4
LU Yizhuo	AXY602	Licensed Representative	9	4
LUI Kit Chun, Michelle	AUS687	Licensed Representative	4	
LUI Sai Man	APY610	Licensed Representative	9	
MA Jun	AQE888	Licensed Representative	4	
MA Victor Yanqi	BPR485	Licensed Representative	9	
MA Yuk Wah	AAE454	Licensed Representative		4
MAK Chi Wai	ASI136	Licensed Representative		4
MAK Ho Yan Jonathan	AYU358	Licensed Representative	1	
MAK Lok Yin	BNP627	Licensed Representative		4, 6
MIYAWAKI Takeru	BOT739	Licensed Representative	9	1
MURRAYLEE Blaise Edward	BQK600	Licensed Representative	2	
NG Kai Fung	BHW683	Licensed Representative	1	
NG Manhoe	AUT769	Licensed Representative		5
NG Wing Tai	AUZ073	Licensed Representative	9	
NG Yu Hei	BEC094	Licensed Representative		9
NGAN Hei Chung	AEQ890	Licensed Representative	4	
NGAN Po Yan	BKG873	Licensed Representative	4	
PAK Chi Wing	AGM852	Licensed Representative	1	
PAN Luyi	BBH993	Licensed Representative	4	
PARK Ji Yeop	AWK780	Licensed Representative		2
PAU Ho Yin, Alexander	ANH677	Licensed Representative	4	
QI Sihao	BPW397	Licensed Representative	9	6
SCRASE Neil John	AME544	Licensed Representative	1	
SHEN Xiaotong	AWU655	Licensed Representative		4
SIAO Pui Ling	BKD280	Licensed Representative	9	1
SIN Oscar Kwok Leung	AVL136	Licensed Representative		1
SINGH Anuj Kumar	BMT482	Licensed Representative	2	
SO Chak San	ANR355	Licensed Representative	4	
SUEN Ching Yan	AMM385	Licensed Representative	4	
SUEN Ka Kit	BAM439	Licensed Representative	2, 5	
SUN Boqian	BMP491	Licensed Representative	4	
SUN Qiong	BNJ871	Licensed Representative	4, 6	2
SZE Wai	APF452	Licensed Representative		4, 9
SZETO Hon	BF1943	Licensed Representative	2	
TAI Ka Chun Terence	BCL286	Licensed Representative		4, 9
TAM Ka Ho	BCC092	Licensed Representative	4, 9	
TAM Kai Yi, Kelly	AVE810	Licensed Representative	3	
TAM Kin Yan	BNU802	Licensed Representative	4, 9	
TAM Raymond Hin Tat	ADT748	Licensed Representative		9
TAM Yuk Kwan	AAE592	Licensed Representative		4, 9
TANG Wai Shan	BLM882	Licensed Representative	1	
TANG Wing Hang	AXO388	Licensed Representative		1
TIAN Ge	BJR799	Licensed Representative		1, 4
TONG Joseph Che	BPC283	Licensed Representative	9	6
TSANG Kwok Yuk	ADJ392	Licensed Representative		1, 6
TSANG Kwong Hing	BFC736	Licensed Representative		1, 4
TSE Ka Cheung	BMR368	Licensed Representative	9	
TSE Si Wai	AUP890	Licensed Representative	4	
TSE Wing Lam, Michelle	AVB832	Licensed Representative	1	
TSE Ying Kin	AXV656	Licensed Representative	2	
TSUI Hok Man, Simon	AEZ898	Licensed Representative	4, 5	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
TSUI Shek Hung	BGK704	Licensed Representative	4	2
WAN Ho Yu, Candice	BPM724	Licensed Representative	4	
WANG Yao	BNY393	Licensed Representative	1	5
WANG Zhi	BNB833	Licensed Representative	1	
WANG Zhong	BPN597	Licensed Representative	2, 5	1, 4
WEI Jiarui	BML418	Licensed Representative		1, 6
WEINGARTEN Assaf Vivian	AUN855	Licensed Representative	4, 5	
WONG Chi Chung	BOW495	Licensed Representative	4, 5	
WONG Chi Hui	APD168	Licensed Representative		4, 9
WONG Chun Kai	BNG368	Licensed Representative	1	
WONG Chung Kin	AGC199	Licensed Representative		2
WONG Ho Yin	ALO58	Licensed Representative		2, 5
WONG Isaac James	BFE502	Licensed Representative	9	6
WONG Kin Pong	AJN217	Licensed Representative		1
WONG Kwan, Joanna	AQQ049	Licensed Representative	4	
WONG Kwok Keung, Lawrence	AFO320	Licensed Representative	2	
WONG Kwok Lun, Clovis	BCP173	Licensed Representative		1
WONG Pak Suet, Elsa	AEY493	Licensed Representative		9
WONG Shiu On	ALQ909	Licensed Representative	6	1
WONG Shu Sing	APD420	Licensed Representative		2
WONG Siu Han	AFZ500	Licensed Representative		2
WONG Siu Ming	BGB205	Licensed Representative	3	
WONG Tang Chung	ADQ176	Licensed Representative	9	
WONG Wai Man, Angel	BJO200	Licensed Representative		9
WOO Koon Fai	AQD905	Licensed Representative	4	
WU Charles Qian	BEO731	Licensed Representative	1	
WU Lin Sheng	BNJ555	Licensed Representative	9	6
WU Ting-ming	BBU622	Licensed Representative	4	
WU Yechong	AZS455	Licensed Representative	4	
WU Yi Ting	BNS681	Licensed Representative	9	
XIA Gaofeng	BOW539	Licensed Representative	4	
XIE Zhengkun	AYC752	Licensed Representative	1	
YAN Celia Chenshan	BEF685	Licensed Representative	1, 4	
YAN Ke	BPJ799	Licensed Representative		4
YANG Bo	AWW707	Licensed Representative	9	
YANG Shenghui	BQB668	Licensed Representative	6	
YAO Lan Mai, Margaret	ACG637	Licensed Representative	9	
YEH Shan-ju	APH120	Licensed Representative	1, 4	
YEUNG Cheuk Ki	BDO775	Licensed Representative	1	
YEUNG Nga Ying	AFT582	Licensed Representative		9
YEUNG Yuen Ki	BPY249	Licensed Representative	9	
YIM Fung	AFP741	Licensed Representative		9
YIM King Kam, Wilson	AEE748	Licensed Representative		2
YIM To Kin, Kenneth	AXC902	Licensed Representative	4	
YIP Ming Chun	AZQ980	Licensed Representative	1	
YIP Wai Ho	APF207	Licensed Representative	2	4
YU An Li	BIT643	Licensed Representative		2
YU Ka Kong	AXL635	Licensed Representative	1, 2	9
YU Kwan Fei	ALU224	Licensed Representative	3	
ZHANG Jingrui	BKP678	Licensed Representative		4
ZHANG Li	BHX883	Licensed Representative	4	
ZHANG Sirui	BPL914	Licensed Representative		9
ZHAO Gangyi	BOR688	Licensed Representative	1	
ZHENG Puyu	BLU393	Licensed Representative	4	
ZHOU Jiayun	BPF799	Licensed Representative	1, 4	
ZHOU Yuting	BPN759	Licensed Representative	1	
ZHOU Zhou	BGL491	Licensed Representative		4
ZHU Yuxiang	BER747	Licensed Representative	4, 5	
ZOU Wuqiu	BAK936	Licensed Representative		1, 4
ATAMER Murat	APF056	Licensed Representative - Responsible Officer	4, 5	
CHEN Wenzhi	AWP610	Licensed Representative - Responsible Officer	4	
CHEUNG Siu Fai	AGD973	Licensed Representative - Responsible Officer	4	
CHIU Yan Kit	ANK160	Licensed Representative - Responsible Officer	6	
CHOW Kwun Hung	AEV862	Licensed Representative - Responsible Officer	2, 5	

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
FANG Zhou	BMX687	Licensed Representative - Responsible Officer	5	
GUO Ruogu	BHL025	Licensed Representative - Responsible Officer	6	
HO Wing Pong	AOJ711	Licensed Representative - Responsible Officer	1	
JACOB Josh Purackal	AWN394	Licensed Representative - Responsible Officer		1
JIM Long Lam, Jacky	ALZ431	Licensed Representative - Responsible Officer	4	
LAM Cheuk Ming	BCU804	Licensed Representative - Responsible Officer	4	
LAM Ka Wai	ARL775	Licensed Representative - Responsible Officer	9	
LEE Ho Leung, William	AEL247	Licensed Representative - Responsible Officer	1, 9	
LEE Hoi Ying	AOV787	Licensed Representative - Responsible Officer	4, 9	
LEE Hung Kong	BDI877	Licensed Representative - Responsible Officer	3	
LEE Wing Shing	AJD174	Licensed Representative - Responsible Officer	4, 9	
LIANG Yiming	AEY432	Licensed Representative - Responsible Officer	1, 4	
MA Kit Man, Maria	AJB850	Licensed Representative - Responsible Officer	9	
MA Pui Ka	ATB632	Licensed Representative - Responsible Officer	9	
MACDONALD Ian Robert	ARU238	Licensed Representative - Responsible Officer	9	
MILES Ashley James	ARD708	Licensed Representative - Responsible Officer	4	
MOK Kon Lim	ABS236	Licensed Representative - Responsible Officer	3	
NANAVATY Alok Rajesh	AUD358	Licensed Representative - Responsible Officer		1
SHENG Jiali	BEX239	Licensed Representative - Responsible Officer	5	
SHUM Alan Koon Ho	BBV882	Licensed Representative - Responsible Officer	1, 4	
TONG Pok Wai	ARR372	Licensed Representative - Responsible Officer	9	
TSANG King Wa	BGJ115	Licensed Representative - Responsible Officer	4	
TSANG Kwong Hing	BFC736	Licensed Representative - Responsible Officer	5	
TSE Tak Keung	ADH876	Licensed Representative - Responsible Officer	4	
WAN Man Yi, Mandy	BBQ502	Licensed Representative - Responsible Officer	9	
WANG Lin	BOJ507	Licensed Representative - Responsible Officer	4	
WANG Ruiqi	ASP497	Licensed Representative - Responsible Officer		1
WONG Ka Wai	ACF164	Licensed Representative - Responsible Officer	4	
WONG Kin Pong	AJN217	Licensed Representative - Responsible Officer	5	
WOO Wing Yin	APC057	Licensed Representative - Responsible Officer	4, 5, 9	
WU Biwei	AOV563	Licensed Representative - Responsible Officer	3	
XU Pengzi	AXT110	Licensed Representative - Responsible Officer		4
YEUNG Niki Ning Ki	ATD246	Licensed Representative - Responsible Officer	4	
ZHANG Xiao Long	BLR519	Licensed Representative - Responsible Officer		6
ZHONG Jingcheng	BOT991	Licensed Representative - Responsible Officer	4	
ZOU Yun	BJT266	Licensed Representative - Responsible Officer	4	
ZHOU Deyang	BJX389	Licensed Representative (Provisional Licence)	4, 9	