

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
BMO Global Asset Management (Asia) Limited	ABA410	Licensed Corporation		1
Hong Kong Broad Capital Limited	BHD619	Licensed Corporation	4	
HSZ (Hong Kong) Limited	AFM607	Licensed Corporation	1	
Solid King Securities Limited	AAF969	Licensed Corporation		4
Wisdom Bright Asset Management Limited	BNU507	Licensed Corporation	1	
ANANTHARAM Vishweshwar	ATM017	Licensed Representative	2	9
AU Chun Hong Jos	BFB210	Licensed Representative	1	
AU Hin Kwong	AZK297	Licensed Representative		1
AU Tin Wai	AJF371	Licensed Representative	2	
BAI Yanan	BOS478	Licensed Representative		4
BELL Ian Michael	AXF702	Licensed Representative		4, 6
BIAN Zhongling	BRI816	Licensed Representative	2	
BUT Kwun Ho	BLR561	Licensed Representative		4
CAI Jun	BNU312	Licensed Representative		4
CAO Yutian	BNJ876	Licensed Representative	1, 4	
CASWELL NG Anita Hoi Ying	AKX740	Licensed Representative		1
CHAI Sijun	BKN457	Licensed Representative		2, 9
CHAN Chloe	BPY111	Licensed Representative	4	
CHAN Chun Lung	BNO199	Licensed Representative	1	
CHAN Debbie Ka Yee	AJH022	Licensed Representative	1	
CHAN Hei Wai	AXE875	Licensed Representative		1
CHAN Hop Keung	AND455	Licensed Representative	2	
CHAN Ka Hong Jason	BQB615	Licensed Representative	2	
CHAN Lily	BOF423	Licensed Representative	2, 4	
CHAN Ngai Kwong	BOU778	Licensed Representative	9	10
CHAN Oi Sze, Elsie	AJG217	Licensed Representative		9
CHAN Pui Chi	BIE991	Licensed Representative		9
CHAN Sau Ying, Doris	AAE759	Licensed Representative		5
CHAN Shiu Tak, Sheldon	AYM129	Licensed Representative	1	
CHAN Siu Pong, Kevin	BGU580	Licensed Representative	9	
CHAN Su-Li	BEV766	Licensed Representative	6	
CHAN Toi Kin	BNL529	Licensed Representative	1	
CHAN Wai Yin	BOT815	Licensed Representative		9
CHAN Wing Him	BQB035	Licensed Representative		2
CHAN Wing Ki, Nicole	BCN236	Licensed Representative	1	
CHAN Wong Kit	BDF051	Licensed Representative		4, 9
CHAN Ying Kwan	BOJ181	Licensed Representative	9	4
CHAN Yu Ka	BKC644	Licensed Representative		4
CHAN Yue Hong, Jimmy	ADB470	Licensed Representative	1	
CHANG Anqi	BQW305	Licensed Representative	2	
CHANG Yick Wai Albert	AFA799	Licensed Representative		1
CHAPMAN Daniel John	ARB931	Licensed Representative	1, 6	2, 5
CHAU Chun Sing	BBZ774	Licensed Representative		1
CHAU Kong Wai	BAA344	Licensed Representative	1	
CHEN Bingyu	BIX773	Licensed Representative	9	
CHEN Hao	BMV858	Licensed Representative		9
CHEN Jiahui	BGC177	Licensed Representative		4
CHEN Jing	ANU777	Licensed Representative	9	6
CHEN Po-Liang	BOG416	Licensed Representative	9	
CHEN Qianqian	AUV895	Licensed Representative	1	
CHEN Sida	APQ831	Licensed Representative	3	
CHEN Weijie	BBQ154	Licensed Representative		1
CHEN Xiaowei	BMQ531	Licensed Representative	9	
CHEN Yilin	BQI799	Licensed Representative		6
CHEN Zhenyu	BGN072	Licensed Representative	9	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHENG Chia-Yi	BPK718	Licensed Representative	9	
CHENG Haiwen	BIN077	Licensed Representative	9	1, 4
CHENG Kwok Ming	BBK183	Licensed Representative	3	
CHENG Yee Man	BGG143	Licensed Representative	7	
CHEUNG Chung Yan	AFC555	Licensed Representative	5	
CHEUNG Hoi Fung	BCD348	Licensed Representative	9	
CHEUNG Hoi Ting	BHM242	Licensed Representative	4	
CHEUNG How Leung	ANU361	Licensed Representative	4	
CHEUNG Kiu To	BNJ801	Licensed Representative		1
CHEUNG Lai Nga	BMS690	Licensed Representative	4	
CHIU Ming Chi	BOW540	Licensed Representative	9	
CHO Yi Hsien	BQB897	Licensed Representative	1, 4, 9	
CHOI Ka Yui	BQW100	Licensed Representative		4
CHONG Yvonne	AJE056	Licensed Representative		2, 4, 5
CHOU Sheng Wei	BQM925	Licensed Representative	4	
CHOW Hei Man	ARN460	Licensed Representative		2, 9
CHOW Wah Hung	BJZ480	Licensed Representative		1
CHOY Wing Fai	AVS172	Licensed Representative		2, 9
CHOY Yan Yi	BQC450	Licensed Representative	1	
CHU Kin Cheung	ARQ377	Licensed Representative		1
CHU To, Jonathan	AAB571	Licensed Representative		4, 9
CHUANG Miin I, Zoe	BGB071	Licensed Representative	9	2
CHUNG Hay Wo, Martin	BNV563	Licensed Representative	9	1, 2
CHUNG Ho Fai, Derek	ALA688	Licensed Representative		1, 4
DU Xu	AZC459	Licensed Representative	4	
FAN Chun Yin	BPN274	Licensed Representative	2	
FANG Chih To	BPK735	Licensed Representative	1	
FENG Xuan	BQA790	Licensed Representative		4
FOK Ka Leung	ANH560	Licensed Representative		2
FUNG Chi Hang	AMK097	Licensed Representative		4
FUNG Pik Chun, Rebecca	AAF944	Licensed Representative		4
GAY Yiu, Grace	ABE575	Licensed Representative		4
GRUNEBAUM Julien, David, Edgar	BMK915	Licensed Representative	1	
GUO Yue	BHX273	Licensed Representative		4
HE Fangzhou	BLX819	Licensed Representative	1, 4	
HE Juneng	BGG873	Licensed Representative	9	
HERNANDEZ John Gabriel-apffel	BGY932	Licensed Representative		6
HO Cho Yiu	BRA184	Licensed Representative		4
HO Kwan Hung	AMC556	Licensed Representative	3	
HO Taine Ting Hin	BCO501	Licensed Representative	4, 9	
HU Weili	BKH778	Licensed Representative	5	1
HU Xinyu	BOW506	Licensed Representative	2	
HUA Wen	APK749	Licensed Representative		1, 4
HUANG Guanyu	BOF489	Licensed Representative		4, 6
HUANG Mengqin	BPG455	Licensed Representative	7	
HUANG Wei	BJI641	Licensed Representative		1
HUANG Xiao	BPJ487	Licensed Representative		4
HUI King Lung	ANJ588	Licensed Representative	3	
HUNG Ming Xi, Rainky	BFX024	Licensed Representative	3	
JI Tuo	BAH832	Licensed Representative		4, 6
JIANG Jihao	BJG860	Licensed Representative	9	1, 6
JIANG Pengcheng	BRG669	Licensed Representative	1	
JIANG Yuyin	BPX408	Licensed Representative	10	1
JING Shu	BIC415	Licensed Representative		2
JONES Jessica Veronica	BCZ177	Licensed Representative	4	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
JONES Richard Evan	AEC527	Licensed Representative		4, 9
KAM Man Wai	BBK676	Licensed Representative		3
KIM Boeun	BIQ132	Licensed Representative	9	1, 2
KIM Joon Young	BNJ268	Licensed Representative	9	
KIM Min Jung	BNC085	Licensed Representative		7
KO Fung	AZK319	Licensed Representative	9	4
KONG Peishi	BBN890	Licensed Representative		4
KWAN Chi Sum	BPY114	Licensed Representative	4	2
KWAN Man Chung	AQM760	Licensed Representative	1	
KWOK Hoi Chuen, Justin	AME366	Licensed Representative		4
KWOK Ka In	AXQ079	Licensed Representative	4	
KWOK Simon	AVQ920	Licensed Representative		2
KWOK Tsz Kiu	BET772	Licensed Representative	6	
LAI Kwok Kei	BGZ923	Licensed Representative	9	
LAM Fung	BPV203	Licensed Representative	9	1, 2, 4
LAM Kin Pong	BMT614	Licensed Representative	4, 5	
LAM Siu Yan, Cathy	BFJ754	Licensed Representative	4	2, 9
LAM Tat Shing	AUV992	Licensed Representative	3	
LAM Yat Yeung	BQM756	Licensed Representative	1, 4	
LAM Yee Kit	AYF885	Licensed Representative	9	
LAU Chun Sing	BOW446	Licensed Representative	9	
LAU Homan	AWU132	Licensed Representative	4	
LAU Ka Ho	AXB862	Licensed Representative	7	
LAU Man Kit	BHC241	Licensed Representative	1	
LAU Siu Cheung	ABV536	Licensed Representative		1, 2
LAU Tak Wa	AUP819	Licensed Representative		1
LAU Wai Kit	BRJ131	Licensed Representative	3	
LAU Yim Ha	BQV765	Licensed Representative		4
LEE Chun On John	BNU213	Licensed Representative	1	4
LEE Ka Wa	BOZ271	Licensed Representative	9	2
LEE Kwan Lun, Colin	BGC215	Licensed Representative		1
LEE Tsz Yan	BCK322	Licensed Representative	2	
LEE Wang Sun	ARF794	Licensed Representative	3	
LEI Lizhi	BRJ445	Licensed Representative	4	
LEONG U Weng	AXR831	Licensed Representative	9	
LEUNG Hui Hong	BMW771	Licensed Representative	1, 4, 5, 9	6
LEUNG Ka Cheong	BJL585	Licensed Representative		4
LEUNG Ka Chun	BPL750	Licensed Representative	5	
LEUNG Lai Kuen	BPY639	Licensed Representative	4, 9	
LEUNG Siu Kwong	ADR128	Licensed Representative		2
LI Botao	BKW478	Licensed Representative		1
LI Chen	BEZ042	Licensed Representative	1	
LI Gengrong	BGD432	Licensed Representative		1, 4, 5, 9
LI Gengshuo	BQQ694	Licensed Representative	4, 9	
LI Hiu Chung	BEZ340	Licensed Representative	4	2
LI Jiaheng	BOR665	Licensed Representative	6	
LI Kai Wang	BFY153	Licensed Representative		5
LI Kiu Yue	BLM118	Licensed Representative	1	
LI Pei Hang	BPE371	Licensed Representative	1	
LI Po Yi	AWQ112	Licensed Representative	9	
LI Sze Hang	AVY336	Licensed Representative	3	
LI Teng	BLI066	Licensed Representative		1
LI Weidong	BOS568	Licensed Representative	6	
LI Xiaopeng	BRG480	Licensed Representative	9	
LI Xuan	BGG514	Licensed Representative	9	1, 4

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LIANG Liyun	BMS363	Licensed Representative	9	
LIANG Ruizhi	BHZ469	Licensed Representative	4	
LIANG Shiyuan	BNJ578	Licensed Representative	1, 4	
LIANG Xingjun	BKQ748	Licensed Representative	9	1, 4
LIANG Yao Quan	BMM572	Licensed Representative		4
LIANG Yizhou	BOE419	Licensed Representative	1, 2, 4, 5	9
LIAO Wen Wei	BFZ332	Licensed Representative		2, 6
LIEM Chung Wong, Jasper	APY809	Licensed Representative		1
LIM Ji Youn	BFR528	Licensed Representative		9
LIN Haohua	BOI926	Licensed Representative		6
LIN Shaoyu	BNI916	Licensed Representative	4, 9	6
LIN Tingting	AXI337	Licensed Representative		4
LING Yue	BOH780	Licensed Representative	1, 4	
LIU Feifan	BDJ264	Licensed Representative	1, 9	
LIU Jingyi	BNO058	Licensed Representative	4	
LIU Lingyu	BOF624	Licensed Representative	4	2
LIU Muzi	BNJ935	Licensed Representative		1
LIU Nan	BNB652	Licensed Representative	9	4
LIU Ngar Yin	AXC900	Licensed Representative	2	
LIU Tianlin	BNX606	Licensed Representative	1	
LIU Tong	BCL153	Licensed Representative	1, 9	
LIU Wai Hing, William	AEQ575	Licensed Representative		1
LIU YING	BQK609	Licensed Representative	9	
LIU Yuqi	APZ538	Licensed Representative	1	
LIU Zhihan	BCY179	Licensed Representative	4	
LIU Zhipeng	BEU992	Licensed Representative	9	4
LO Chi Kai, Ivan	AXH528	Licensed Representative		5
LO Dickson Tat San	AVM658	Licensed Representative		4
LO Kam Yan, Helen	AOS365	Licensed Representative		4
LOK Wai	AEL915	Licensed Representative		2
LONG Tien Ian	ADV780	Licensed Representative		1, 4
LOU Wing Chung, Simon	ABI724	Licensed Representative	2, 3	
LOW Audrey Ying Jun	BFI437	Licensed Representative		4
LOW Chung Weng	AQY033	Licensed Representative		2
LU Jiehao	BOF154	Licensed Representative		1
LU Jingyi	BJX882	Licensed Representative	5	1
LUK Kwok San	BJW652	Licensed Representative	1	9
LUK Wai Tat	AUP822	Licensed Representative	1	
MA Fung Chiu	AVI659	Licensed Representative	2	
MA Helun	BAV678	Licensed Representative	4	
MA Junjian	BOW559	Licensed Representative	9	
MA Wing Sing	AJI838	Licensed Representative		1, 2, 5
MEI Di	BIG357	Licensed Representative	6	
MENG Yi	BOK228	Licensed Representative	4	
MOK Wing Yan, Anita	BNV037	Licensed Representative	4	
MOOIJEKIND YIN Jade Xuan	BOH827	Licensed Representative	4	3
MOTWANI Romil Ramesh	BNO709	Licensed Representative	2, 7	
NAM Chun Man	BBS202	Licensed Representative	2	
NG Chi Him, Sam	ANQ542	Licensed Representative	1	
NG Chun Mi	AYF775	Licensed Representative		4
NG Kwok Ming	AWA039	Licensed Representative		9
NG Tsang Chak	BPX615	Licensed Representative		2
NG Yuk Wai	BDK988	Licensed Representative		1
NGAI Ming Kit	BLB368	Licensed Representative	9	
NIE Wenqi	BQT663	Licensed Representative	4	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
O'DONNELL Gerard Thomas	BRO787	Licensed Representative	2, 5	
OU Ofra Sueh Hung	AME527	Licensed Representative	1	
PAN Jiao	BGZ937	Licensed Representative	4	
PAN Weixiong	BPI644	Licensed Representative		2
PANG Lei Kan	AXP154	Licensed Representative	2	
PANG Wing Fai	BNT862	Licensed Representative	1	
PARK Seo Hye	BKA585	Licensed Representative	6	4, 5
PARK So Hyun	BEM817	Licensed Representative	2	4
PHAM John Le Hien	AXQ759	Licensed Representative	4	
PIENAAR Ross Daniel	AOO554	Licensed Representative	9	1, 4
QU Yuying	BNM223	Licensed Representative	6	1, 2
RAMZAN Vaseem	AYA947	Licensed Representative	1	
SAXTON Andrew Keith	BBL053	Licensed Representative		4
SCOTT Andrew David	AVR983	Licensed Representative	1, 4	
SHEN Xiaotong	AWU655	Licensed Representative	4	
SHEN Yi Hung	BML411	Licensed Representative	1	
SHENG Lisha	BMH847	Licensed Representative	7	
SHIMOMURA Yoshio	BBD960	Licensed Representative	2	
SHUM Chun Wai	APF965	Licensed Representative	1	
SING Allen G	ADY343	Licensed Representative	1	
SO Cheuk Hei	BFN382	Licensed Representative		1
SON Benjamin Yong	BOR491	Licensed Representative		6
SONG Jin	BOH029	Licensed Representative		1
SUEN Ho Yi	BAZ565	Licensed Representative	9	
SUN Haoting	BHX571	Licensed Representative		4
SUN Hsueh Yi	BIC120	Licensed Representative	9	
SUN Hutaο	BPA258	Licensed Representative		6
SUN Shangyun	BJW317	Licensed Representative		4
SUN Yuejun	BNL060	Licensed Representative		1, 4
TAM Kong Lun	AXN158	Licensed Representative		9
TAM Oi Lun	BPV125	Licensed Representative	9	
TAN Ming Fung, Eric	AJI346	Licensed Representative	1, 4	
TAN Yat Quan	AMW935	Licensed Representative	2	
TANG Rundong	BLC422	Licensed Representative	4	
TANG Tsun Kit George	ASW346	Licensed Representative	5	1
TANG Yi Ting	BQJ953	Licensed Representative	1	9
TO Yu Fai	AEI209	Licensed Representative	3	
TSANG Brietta Tyla	ARM894	Licensed Representative		2
TSANG Ka Wai	AYC270	Licensed Representative		2
TSE Hillary Wai Yi	BOQ785	Licensed Representative	4	2
TSE Siu Jan	BLA984	Licensed Representative	1	
TSE Tak Wah	AIX141	Licensed Representative	1	
TUNG Bik Hei Brett	BRG755	Licensed Representative	9	
TUNG Kai Ah	BGH919	Licensed Representative		2, 5
TUNG Wing Fong, Corona	ABN060	Licensed Representative	2, 3	
VANNESSON Laurent Xavier Marie	BKL385	Licensed Representative	2	
WALKER Niamh Catriona	BPT317	Licensed Representative	9	
WAN You Ran	BRD726	Licensed Representative	9	
WANG Ao	BGT419	Licensed Representative	1	
WANG Buyun Jacob	BMT542	Licensed Representative	4	
WANG Chenyan	BNS566	Licensed Representative	9	
WANG Hang	BGG247	Licensed Representative	2	
WANG Jiali	BLE953	Licensed Representative	9	1
WANG Jiayi	BNI804	Licensed Representative	4, 9	
WANG Ke	BOB557	Licensed Representative	4	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
WANG Pinyue	BIX930	Licensed Representative		4, 9
WANG Ting	BIR267	Licensed Representative	9	
WANG Wendan	BGA506	Licensed Representative	1	
WANG Yihua	AVK616	Licensed Representative		6
WANG Yiping	BOR238	Licensed Representative	6	
WANG Yuhan	BKV088	Licensed Representative	4, 6	
WANG Yumeng	BNM125	Licensed Representative	4, 5, 9	1
WANG Ziming	BPJ797	Licensed Representative	6	2, 7
WAT Chi Ming	ADU895	Licensed Representative		1
WEI Yu	BPL275	Licensed Representative	1	
WEN Xin	BPH873	Licensed Representative	9	4
WILLIAMS Katherine Juri	ANR851	Licensed Representative	1	
WONG Chak Lam, Jeremy	AHY020	Licensed Representative		1
WONG Cheuk Hin	BRA887	Licensed Representative	9	
WONG Cheung Pui	BOA319	Licensed Representative	1, 9	6
WONG Chi Shing	BJI171	Licensed Representative	9	
WONG Chiu	BHL931	Licensed Representative	9	
WONG Ho Fung	ARN622	Licensed Representative	1	
WONG Hoi Ying	BKK545	Licensed Representative	1	2
WONG Jeffrey Chi-lert	AXV675	Licensed Representative		1
WONG Kin Chung	AJD184	Licensed Representative		1
WONG Kin Hang	BKB381	Licensed Representative		4
WONG Nga In	BNP861	Licensed Representative		1
WONG Sze Man	AQS231	Licensed Representative	1, 4	
WONG Tai Ying, Lorraine	AGF340	Licensed Representative	2	
WONG Ting Fung	BOU171	Licensed Representative	4	
WONG Yin Hing	ALZ820	Licensed Representative	9	
WU Hao	BEW105	Licensed Representative		1
WU Ziyu	BPX705	Licensed Representative	5	
XI Mengmeng	BMP021	Licensed Representative	1, 6	4
XIAO Xiao	BQE919	Licensed Representative	4	1, 6
XING Li	BMX389	Licensed Representative		9
XIONG Danrui	BMV931	Licensed Representative	1	
XIONG Jing	BAN219	Licensed Representative	1	5
XIONG Zhuang	BNR017	Licensed Representative		9
XU Qian	BGQ738	Licensed Representative	1, 4	
XU Qiumeng	BKZ025	Licensed Representative		1, 4
XU Wenyuan	BHL202	Licensed Representative	1, 4	
XU Yongquan	BEG516	Licensed Representative		1, 4
XUE Jiayun	BMF180	Licensed Representative	4	6
YAN Chen	BNQ534	Licensed Representative		1, 9
YAN Kai	BOK933	Licensed Representative		4
YAN Yuen Cheung	BPV918	Licensed Representative		1
YANG Jufan	BNP676	Licensed Representative	1	
YANG Lu	BOZ759	Licensed Representative		4
YANG Ming, David	BPY524	Licensed Representative	4, 6	
YANG Shuo	AQU542	Licensed Representative	1, 4	
YANG Xiaotong	BMC628	Licensed Representative	2	
YAO Zheng	BNZ078	Licensed Representative	4	
YEUNG Chun Pui	BJE036	Licensed Representative		4
YEUNG Chung Lung	AQX406	Licensed Representative		4, 9
YEUNG Fu Ngai	AOH572	Licensed Representative	9	
YEUNG Hon Ying	AQR635	Licensed Representative	3	
YEUNG Sze Nga	BNT995	Licensed Representative	4, 9	
YIP Wing Chuen	AQO150	Licensed Representative		1, 2

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
YOUNG Ting Kar	AEY445	Licensed Representative		9
YU Hongyi	BAC149	Licensed Representative		4
YU Man Ting Loretta	AWE558	Licensed Representative		1
YU Mao	BAN026	Licensed Representative	4	
YU Shuk Mei	AOU416	Licensed Representative	9	
YUEN Wai Man	AQG916	Licensed Representative	9	2
YUNG Wing Hung, Dominic	AFI615	Licensed Representative		2
ZHANG Jiaming	BPK476	Licensed Representative	1	6
ZHANG Jingyi	BOO999	Licensed Representative	9	1, 4, 6
ZHANG Qingyun	BQW104	Licensed Representative	9	
ZHANG Tianfei	BEV696	Licensed Representative	4, 9	
ZHANG Xinxiang	ARO301	Licensed Representative	9	
ZHANG Xuyan	BMM382	Licensed Representative	4	6
ZHANG Yijia	BKM831	Licensed Representative	1	
ZHANG Yizhou	BLZ750	Licensed Representative		6
ZHANG Yuxuan	BQG626	Licensed Representative		4
ZHANG Zichen	BJB888	Licensed Representative	2	
ZHANG Zijun	BHF774	Licensed Representative	7	
ZHAO Wantong	BEM634	Licensed Representative		1
ZHENG Kongdong	BFA756	Licensed Representative	9	
ZHENG Yi	BNJ836	Licensed Representative		2
ZHONG Yang	BHQ208	Licensed Representative	1	
ZHONG Ye	BDP512	Licensed Representative		2
ZHOU Diao	BQN680	Licensed Representative	1	
ZHOU Huanan	BEI379	Licensed Representative	1, 9	
ZHOU Jingjing	BEJ098	Licensed Representative		4
ZHOU Yicheng	BPM195	Licensed Representative		6
ZHOU Yijia	BQZ747	Licensed Representative	4, 9	
ZHOU Yiyun	BIV852	Licensed Representative	4, 5	
ZHOU Yue	BKH772	Licensed Representative	1	
ZHOU Ziya	BEE592	Licensed Representative	2	
ZHU Jiaer	BQL306	Licensed Representative		1, 9
CHAN Cheuk Wing, Andy	ALV385	Licensed Representative - Responsible Officer		4, 9
CHAN Wang	BGO479	Licensed Representative - Responsible Officer	1	
CHOW Man Kin	BBE581	Licensed Representative - Responsible Officer	5	
DARLING Christopher Edwin	ALW790	Licensed Representative - Responsible Officer		1
DENG Zhenquan	AWI748	Licensed Representative - Responsible Officer	4	
HO Hoi Lam	AWK760	Licensed Representative - Responsible Officer	4	
HUI Sai Tsun, Peter	AHU751	Licensed Representative - Responsible Officer	1	
JONES Richard Evan	AEC527	Licensed Representative - Responsible Officer	1	
KRAEUCHI Stefan Kurt	BCN741	Licensed Representative - Responsible Officer	1	
LAM Bing Wah	ARX073	Licensed Representative - Responsible Officer	4	
LAU Ka Ho, Gordon	AVF159	Licensed Representative - Responsible Officer	1	
LAU Siu Cheung	ABV536	Licensed Representative - Responsible Officer	4	
LAU Sze Chin	ANM255	Licensed Representative - Responsible Officer	4	
LEE Suet Kau Jojo	BIQ720	Licensed Representative - Responsible Officer	1	
LEE Wai Choi, Albert	AAF947	Licensed Representative - Responsible Officer		4
LEUNG Tat Kwong	ASD138	Licensed Representative - Responsible Officer	1	
LI Ang	APF219	Licensed Representative - Responsible Officer	1	
LI Ka Ying	ANM710	Licensed Representative - Responsible Officer	4, 9	
LI Leung Wa	AAF949	Licensed Representative - Responsible Officer		4
LIANG Zhengping	BMW182	Licensed Representative - Responsible Officer	1	
LIN Tong	BHJ408	Licensed Representative - Responsible Officer	1	
LIU Bo	AQU179	Licensed Representative - Responsible Officer	1	
LIU Sing Chi	APX096	Licensed Representative - Responsible Officer	2	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 09/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LONG Weili	ARM296	Licensed Representative - Responsible Officer		1
LUI Ying	AMM444	Licensed Representative - Responsible Officer		1
LUO Xinghua	BLE053	Licensed Representative - Responsible Officer	4	
NG Wai Man	ACT918	Licensed Representative - Responsible Officer	1	
QIAN Yejun	BAF050	Licensed Representative - Responsible Officer	1	
SCHMID Hansrudolf	AFP025	Licensed Representative - Responsible Officer	1	
SZE Ka Wo	BIV051	Licensed Representative - Responsible Officer	1	
TAM Ping Chung	AWV422	Licensed Representative - Responsible Officer	1	
TANIYA Mamoru	AKS912	Licensed Representative - Responsible Officer	4	
TSANG Siu Chung	AXF532	Licensed Representative - Responsible Officer	1, 4	
WANG Binran	BAF174	Licensed Representative - Responsible Officer	1	
WANG Zhi	ARO579	Licensed Representative - Responsible Officer	5	
WONG Shing Kit, Shirley	APL786	Licensed Representative - Responsible Officer	1, 4	
WONG Yan Shun	AXV863	Licensed Representative - Responsible Officer	4	
WONG Yin Hing	ALZ820	Licensed Representative - Responsible Officer	4	
WU Di	BOG730	Licensed Representative - Responsible Officer	4	
WU Tao	BGQ271	Licensed Representative - Responsible Officer	4	
YIP Thomas Ho Fai	AXD289	Licensed Representative - Responsible Officer	4	
YIP Wing Chuen	AQO150	Licensed Representative - Responsible Officer	9	
ZHAO Wei	BAB437	Licensed Representative - Responsible Officer	4	
CHANG Hsun Fang	ANP491	Licensed Representative (Provisional Licence)	4	
DA Qi	BRM015	Licensed Representative (Provisional Licence)	4	
GE Junxiao	BRH646	Licensed Representative (Provisional Licence)	1, 6	
XIAO He	BRR464	Licensed Representative (Provisional Licence)	6	