

VARIATIONS OF REGULATED ACTIVITIES OF LICE	NSED PERSO	NS/REGISTERED INSTITUTIONS DURING 09/2021		T
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
BMO Global Asset Management (Asia) Limited	ABA410	Licensed Corporation		1
Hong Kong Broad Capital Limited	BHD619	Licensed Corporation	4	
HSZ (Hong Kong) Limited	AFM607	Licensed Corporation	1	
Solid King Securities Limited	AAF969	Licensed Corporation		4
Wisdom Bright Asset Management Limited	BNU507	Licensed Corporation	1	
ANANTHARAM Vishweshwar	ATM017	Licensed Representative	2	9
AU Chun Hong Jos	BFB210	Licensed Representative	1	
AU Hin Kwong	AZK297	Licensed Representative		1
AU Tin Wai	AJF371	Licensed Representative	2	
BAI Yanan	BOS478	Licensed Representative		4
BELL Ian Michael	AXF702	Licensed Representative		4, 6
BIAN Zhongling	BRI816	Licensed Representative	2	
BUT Kwun Ho	BLR561	Licensed Representative		4
CAI Jun	BNU312	Licensed Representative		4
CAO Yutian	BNJ876	Licensed Representative	1, 4	7
			1, 4	
CASWELL NG Anita Hoi Ying	AKX740	Licensed Representative		1
CHAI Sijun	BKN457	Licensed Representative		2, 9
CHAN Chloe	BPY111	Licensed Representative	4	
CHAN Chun Lung	BNO199	Licensed Representative	1	
CHAN Debbie Ka Yee	AJH022	Licensed Representative	1	
CHAN Hei Wai	AXE875	Licensed Representative		1
CHAN Hop Keung	AND455	Licensed Representative	2	
CHAN Ka Hong Jason	BQB615	Licensed Representative	2	
CHAN Lily	BOF423	Licensed Representative	2, 4	
CHAN Ngai Kwong	BOU778	Licensed Representative	9	10
CHAN Oi Sze, Elsie	AJG217	Licensed Representative		9
CHAN Pui Chi	BIE991	Licensed Representative		9
CHAN Sau Ying, Doris	AAE759	Licensed Representative		5
CHAN Shiu Tak, Sheldon	AYM129	Licensed Representative	1	
CHAN Siu Pong, Kevin	BGU580	Licensed Representative	9	
CHAN Su-Li	BEV766	Licensed Representative	6	
CHAN Toi Kin	BNL529	Licensed Representative	1	
CHAN Wai Yin	BOT815	Licensed Representative		9
CHAN Wing Him	BQB035	Licensed Representative		2
			_	2
CHAN Wing Ki, Nicole	BCN236	Licensed Representative	1	
CHAN Wong Kit	BDF051	Licensed Representative		4, 9
CHAN Ying Kwan	BOJ181	Licensed Representative	9	4
CHAN Yu Ka	BKC644	Licensed Representative		4
CHAN Yue Hong, Jimmy	ADB470	Licensed Representative	1	
CHANG Anqi	BQW305	Licensed Representative	2	
CHANG Yick Wai Albert	AFA799	Licensed Representative		1
CHAPMAN Daniel John	ARB931	Licensed Representative	1, 6	2, 5
CHAU Chun Sing	BBZ774	Licensed Representative		1
CHAU Kong Wai	BAA344	Licensed Representative	1	
CHEN Bingyu	BIX773	Licensed Representative	9	
CHEN Hao	BMV858	Licensed Representative		9
CHEN Jiahui	BGC177	Licensed Representative		4
CHEN Jing	ANU777	Licensed Representative	9	6
CHEN Po-Liang	BOG416	Licensed Representative	9	
CHEN Qianqian	AUV895	Licensed Representative	1	
CHEN Sida	APQ831	Licensed Representative	3	
		·		1
CHEN Weijie	BBQ154	Licensed Representative	0	1
CHEN Xiaowei	BMQ531	Licensed Representative	9	
CHEN Yilin	BQ1799	Licensed Representative		6
CHEN Zhenyu	BGN072	Licensed Representative	9	



CE Name DE No. Cancera Types Types of Regulated Activity Add Types of Regulated Activity Add CHEND, CHAPT B01907 Cented Representative 0 1,4 CHEND, CHAPT B01907 Cented Representative 3 1,4 CHEND, CHARD, Man B01908 Cented Representative 5 1,4 CHELURG Charty Yan B10504 Cented Representative 6 1,4 CHELURG Table Brang B10504 Cented Representative 4 1,4 CHELURG Table Brang B10504 Cented Representative 4 1,4 CHELURG Table Brang B105090 Cented Representative 1,4 9 CHELURG Table Brang B105090 Cented Representative 1,4 9 CHELURG Table Brang B105090 Cented Representative	NATIONS OF REGULATED ACTIVITIES OF LICENS		ED PERSON	
DEFEND Faure	lame	I Activity Added Types of Regulated Activity Re	CE No.	Types of Regulated Activity Removed
CHENN KNAIK Mary CHENN KNAIK	NG Chia-Yi		BPK718	
CHENOY Yee Man BOS 143 Learned Representative 7 CHENIAN CRUTY Year ACTIONS CHERIAN FOR Puring BOSDAS Learned Representative 9 CHENIAN CRUTY Year CHERIAN FOR Puring BINIZ22 Learned Representative 4 CHECHIAN CRUTY CHERIAN FOR Long ANJUST Learned Representative 4 CHECHIAN CRUTY CHERIAN CRUTY NA BUSTOS Learned Representative 4 CHECHIAN CRUTY CHERIAN CRUTY NA BUSTOS Learned Representative 9 CHECHIAN CRUTY CHUNTAG DRI BUSTOS Learned Representative 1, 9 4 CHON YARON ANTION Learned Representative 4 4 CHON YARON ANTION Learned Representative 4 4 CHOW Shan Wall ANTION Learned Representative 1 2 9 CHOW YARON ANTION Learned Representative 1 1 1 CHOW YARON ANTION Learned Representative 1 1 1 CHUN YARON	NG Haiwen	1, 4	BIN077	1, 4
CHEURIG Churu Yan AP C555 Losseed Representative 5 Centification Centification CHEURIG Hair Tung BLOSSAR Losseed Representative 4 Centification CHEURIG Hair Lucrag ANJOSTA Losseed Representative 4 Centification CHEURIG Hair Lucrag MAJOSTA Losseed Representative 4 Centification CHEURIG Lab Nag BOMOSTA Losseed Representative 4 Centification CHO VI Haire BOSSEEV Losseed Representative 1 4 CHO VI Haire BOSSEEV Losseed Representative 4 4 CHOU KIN YU ALEGOS Losseed Representative 4 4 CHOU KIN YAN ALEGOS Losseed Representative 4 4 CHOU Washing ALEGOS Losseed Representative 4 4 CHOU Washing ALEGOS Losseed Representative 1 2 9 CHO Washing AROSTY Losseed Representative 1 1 2 CHU Kin Chause AROSTY	NG Kwok Ming		BBK183	
CHEUNG Hot Fung BCOMB Lorented Representative 0 CHEUNG Hot Tong	NG Yee Man		BGG143	
CHEUNG Hot Ting Birth142 Licensed Representative 4 ————————————————————————————————————	UNG Chung Yan		AFC555	
CHEUNG Hot Ting Birth142 Licensed Representative 4 ————————————————————————————————————	UNG Hoi Fung		BCD348	
CHELING How Lung ANUBIT Licensed Representative 4 1 CHELING Lin Yag BM-809 Licensed Representative 4 1 CHILUR Lin Yag BM-809 Licensed Representative 9 1 CHU Lining Chi BOW500 Licensed Representative 1,4,8 9 CHO Yi Hain BOW100 Licensed Representative 4 4 CHO Yi Hain ALFORD Licensed Representative 4 2,4,5 CHOW Ward Wall BOM102 Licensed Representative 4 1 CHOW Ward Man ARFARD Licensed Representative 1 1 CHOW Ward Fall AVS172 Licensed Representative 1 1 CHOW Yard Fall AVS172 Licensed Representative 1 1 CHOW Yard Fall AVS172 Licensed Representative 1 1 CHU Yard Fall AVS172 Licensed Representative 1 4 0 CHU Yard Fall AVS172 Licensed Representative 9 2 2	· · · · · · · · · · · · · · · · · · ·			
CHEUNG Kiu To				
CHILUMIC List Ngs	-	1		1
CHIU Mirry Chi				<u>'</u>
CHO YI Haisen BG08697 Licensed Representative 1,4.9 CHO Ka Yui BOW100 Locrased Representative 4 CHO Ko Yuome ALEGOS Locrased Representative 2,4,5 CHOW Year Man BOM200 Locrased Representative 4 CHOW Half Man ARM400 Locrased Representative 2,0 CHOW Wash Fung BLZ480 Locrased Representative 2,0 CHOW Yan Yu BOCAGO Locrased Representative 1 CHU Kin Chaung ARD377 Locrased Representative 1 CHU Kin Chaung ARD377 Locrased Representative 1 CHU Kin Chaung ARD377 Locrased Representative 9 2 CHU Kin Chaung ARD377 Locrased Representative 9 2 CHU Kin Chaung BNY563 Locrased Representative 9 1 2 CHUNG Ho Fial Cheek ALA688 Locrased Representative 4 4 4 DU Xia ASCAGO Locrased Representative 1 4 4				
CHOIK a Yui BGW100 Lonated Representative 4 CHOIK Syrome A JEDGE Lonated Representative 2, 4, 5 CHOUR Sheing Wel BGM025 Lonated Representative 4 CHOW Halm A SM1400 Lonated Representative 1 CHOW Wash Hung BJZ480 Lonated Representative 1 CHOW Yan YI BGC480 Lonated Representative 1 CHU Kin Chung AR3377 Lonated Representative 1 CHU Kin Chung AR3371 Lonated Representative 1 CHU To, Jonathan AR3571 Lonated Representative 0 2 CHUNG Hay Wo, Martin BN7630 Lonated Representative 0 1, 2 CHUNG Hay Wo, Martin BN7630 Lonated Representative 0 1, 2 DU Xu AZC459 Lonated Representative 1 1 PANG Chin To BPN2724 Lonated Representative 4 1 FANG Chin To BPN2732 Lonated Representative 1 4 FUNG Pic Num <t< td=""><td></td><td></td><td></td><td></td></t<>				
CHONG Yvonne ALE056 Licensed Representative 4 CHOU Sharing Wel BOM025 Licensed Representative 4 CHOW Hel Man AR5400 Licensed Representative 2,9 CHOW Wash Hung BUZ460 Licensed Representative 1 CHOW Wing Fall AV5172 Licensed Representative 1 CHOY Yan Yi BOC450 Licensed Representative 1 CHU To, Jonathan AR6377 Licensed Representative 1 CHU TO, Jonathan AR6671 Licensed Representative 9 2 CHUNG Hay Wa, Martin BN7653 Licensed Representative 9 1,2 CHUNG Hay Wa, Martin BN7653 Licensed Representative 4 1,4 DU Xu AZC4569 Licensed Representative 4 1,4 FAN Chart Yn BPR2724 Licensed Representative 4 1,4 FAN Chart Yn BPR4725 Licensed Representative 1 1 FENO Xuan BOA790 Licensed Representative 1 4				
CHOU Sheing Wei BOM625 Licensed Representative 4 CHOW Hei Man ARN460 Licensed Representative 1 CHOW Wind Hung BJZ480 Licensed Representative 1 CHOY Wing Fal ANS172 Licensed Representative 1 CHU Kin Cheung ARC377 Licensed Representative 1 CHU Kin Cheung ARC377 Licensed Representative 1 CHU Kin Cheung ARC377 Licensed Representative 4, 9 CHU Kin Cheung ARC377 Licensed Representative 9 2 CHU Kin Cheung ARB571 Licensed Representative 9 1, 2 CHU Kin Cheung ALB8571 Licensed Representative 9 1, 2 CHU Kin Cheung ALB888 Licensed Representative 4	I Ka Yui	4	BQW100	4
CHOW He Man ARN460 Licensed Representative 2.9 CHOW Wah Hung BJZ480 Licensed Representative 1 CHOW Wah Hung BJZ480 Licensed Representative 2.9 CHOW Yan Yi BQC400 Licensed Representative 1 CHU Kin Cheung ARO377 Licensed Representative 1 CHU To, Jonathan AAB571 Licensed Representative 9 CHUNG Mini T, Zee BG6071 Licensed Representative 9 CHUNG Hay Wo, Martin BNY653 Licensed Representative 9 CHUNG Field Derek ALA688 Licensed Representative 1 DU Xu AZC459 Licensed Representative 2 FAN Chun Yin BPN274 Licensed Representative 2 FAN Chin To BPRK735 Licensed Representative 1 FENC Xuan BANTAN Licensed Representative 2 FUNG Chi Hang AMK607 Licensed Representative 4 FUNG Chi Hang AMK607 Licensed Representative 4 FUNG Chi	NG Yvonne	2, 4, 5	AJE056	2, 4, 5
CHOW Wain Hung BuX480 Licensed Representative 1 CHOY Wing Fail AVS172 Licensed Representative 1 CHOY Yan Yi BoC450 Licensed Representative 1 CHU Kin Cheung AR0571 Licensed Representative 1 CHU To, Journatian AR0571 Licensed Representative 9 2 CHUNG Min I, Zee B68071 Licensed Representative 9 2 CHUNG Hay Wo, Martin BN9583 Licensed Representative 9 1,2 CHUNG Hay Wo, Martin BN9583 Licensed Representative 4 1,4 CHUNG Hay Wo, Martin BN9583 Licensed Representative 4 1,4 DU Xu AZC459 Licensed Representative 4	U Sheng Wei		BQM925	
CHOY Wing Fal AVS172 Licensed Representative 2,9 CHOY Yan Yi BQC450 Licensed Representative 1 CHU Kin Cheung ARQ377 Licensed Representative 1 CHU Jo, Jonathan AAB571 Licensed Representative 4,9 CHUANG Mini I, Zoe BB0071 Licensed Representative 9 2 CHUNG Hoy Wo, Martin BNV563 Licensed Representative 1,2 1 CHUNG Hoy Fal, Derok ALA688 Licensed Representative 4	W Hei Man	2, 9	ARN460	2, 9
CHOY Yan YI BQC450 Licensed Representative 1 CHU Kin Cheung ARQ377 Licensed Representative 1 CHU To, Jonathan AAB571 Licensed Representative 4,9 CHU LONG Millin I, Zoe BG6971 Licensed Representative 9 2 CHUNG Ho Fail, Derek BRV563 Licensed Representative 9 1,2 CHUNG Ho Fail, Derek ALA688 Licensed Representative 4 1.4 DU Xu AZC459 Licensed Representative 4	W Wah Hung	1	BJZ480	1
CHU Kin Cheung ARG377 Licensed Representative 1 CHU To, Jonathan AAB571 Licensed Representative 4,9 CHUANG Mini I, Zoe BG8071 Licensed Representative 9 2 CHUNG Ho Fai, Derek ALA688 Licensed Representative 9 1,2 CHUNG Ho Fai, Derek ALA688 Licensed Representative 4 1,4 DU Xu AZC459 Licensed Representative 4	Y Wing Fai	2, 9	AVS172	2, 9
CHU To, Jonathan AAB571 Licensed Representative 4,9 CHUNG Hay Wo, Martin BNV563 Licensed Representative 9 1,2 CHUNG Hay Wo, Martin BNV563 Licensed Representative 9 1,2 CHUNG Ho Fail, Derek ALA688 Licensed Representative 4 1,4 DU Xu AZC459 Licensed Representative 4 4 FAN Chun Yin BPN274 Licensed Representative 2 1 FANG Chin To BPK735 Loensed Representative 1 1 FENG Xuan BOA790 Licensed Representative 2 2 FUNG Chi Hang AMK997 Licensed Representative 4 4 FUNG Ric Chan, Rebecca AAF944 Licensed Representative 4 4 FUNG Ric Chan, Rebecca AAF944 Licensed Representative 4 4 GRUN Yiu BRX915 Licensed Representative 1 1 GRUN Edalum Julien, David, Edger BMK915 Licensed Representative 1 4 HE F	JY Yan Yi		BQC450	
CHUANG Miin I, Zoe BGB071 Licensed Representative 9 2 CHUNG Hay Wo, Martin BNV563 Licensed Representative 9 1,2 CHUNG Ho Fai, Derek ALA688 Licensed Representative 4 DU Xu AZC459 Licensed Representative 4 FAN Chin Yin BPN274 Licensed Representative 2 FANG Chin To BPK735 Licensed Representative 1 FENG Xuan BOA790 Licensed Representative 2 FOK Ka Leung ANH560 Licensed Representative 2 FUNG Pik Chun, Rebecca AAF944 Licensed Representative 4 FUNG Pik Chun, Rebecca ABE575 Licensed Representative 4 GRV Yiu, Grace ABE575 Licensed Representative 1 GUO Yue BHX273 Licensed Representative 1 HE Fangzhou BLX819 Licensed Representative 1, 4 HE Funding John Gabriel-apffel BGY322 Licensed Representative 9 HO Cho Yiu BRA184 Licensed Representati	Kin Cheung	1	ARQ377	1
CHUNG Hay Wo, Martin BNV563 Licensed Representative 9 1,2 CHUNG Ho Fai, Derek ALA688 Licensed Representative 4 DU Xu AZC459 Licensed Representative 4 FAN Chun Yin BPN274 Licensed Representative 2 FANG Chin To BFK735 Licensed Representative 1 FENG Xuan BOA790 Licensed Representative 2 FUNG Chi Hang ANH560 Licensed Representative 2 FUNG Pik Chun, Rebecca AAF944 Licensed Representative 4 FUNG Pik Chun, Rebecca ABE575 Licensed Representative 4 GAY Yiu, Grace ABE575 Licensed Representative 1 GUO Yue BHX273 Licensed Representative 1 HE Fangshou BLX819 Licensed Representative 1, 4 HE Luneng BGG873 Licensed Representative 9 HERNANDEZ John Gabriel-apffel BGY932 Licensed Representative 3 HO Cho Yiu BRA144 Licensed Representative 3	To, Jonathan	4, 9	AAB571	4, 9
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HE Juneng) Yue	4	BHX273	4
HERNANDEZ John Gabriel-apffel BGY932 Licensed Representative 6 HO Cho Yiu BRA184 Licensed Representative 4 HO Kwan Hung AMC556 Licensed Representative 3 HO Taine Ting Hin BCO501 Licensed Representative 4, 9 HU Weili BKH778 Licensed Representative 5 1 HU Xinyu BOW506 Licensed Representative 2 Licensed Representative HUA Wen APK749 Licensed Representative 1, 4 Licensed Representative HUANG Guanyu BOF489 Licensed Representative 7 4, 6	angzhou		BLX819	
HO Cho Yiu	Juneng		BGG873	
HO Kwan Hung	NANDEZ John Gabriel-apffel	6	BGY932	6
HO Taine Ting Hin BCO501 Licensed Representative 4, 9 HU Weili BKH778 Licensed Representative 5 1 HU Xinyu BOW506 Licensed Representative 2 HUA Wen APK749 Licensed Representative 1, 4 HUANG Guanyu BOF489 Licensed Representative 4, 6 HUANG Mengqin BPG455 Licensed Representative 7	Cho Yiu	4	BRA184	4
HU Weili BKH778 Licensed Representative 5 1 HU Xinyu BOW506 Licensed Representative 2 HUA Wen APK749 Licensed Representative 1, 4 HUANG Guanyu BOF489 Licensed Representative 4, 6 HUANG Mengqin BPG455 Licensed Representative 7	Kwan Hung		AMC556	
HU Xinyu BOW506 Licensed Representative 2 HUA Wen APK749 Licensed Representative 1, 4 HUANG Guanyu BOF489 Licensed Representative 4, 6 HUANG Mengqin BPG455 Licensed Representative 7	Γaine Ting Hin		BCO501	
HUA Wen APK749 Licensed Representative 1, 4 HUANG Guanyu BOF489 Licensed Representative 4, 6 HUANG Mengqin BPG455 Licensed Representative 7	Veili	1	BKH778	1
HUANG Guanyu BOF489 Licensed Representative 4, 6 HUANG Mengqin BPG455 Licensed Representative 7	Kinyu		BOW506	
HUANG Guanyu BOF489 Licensed Representative 4, 6 HUANG Mengqin BPG455 Licensed Representative 7	. Wen	1, 4	APK749	1, 4
HUANG Mengqin BPG455 Licensed Representative 7				
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HUANG Xiao BPJ487 Licensed Representative 4				
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HUI King Lung ANJ588 Licensed Representative 3				
HUNG Ming Xi, Rainky BFX024 Licensed Representative 3				<u> </u>
JI Tuo BAH832 Licensed Representative 4, 6				
JIANG Jihao BJG860 Licensed Representative 9 1, 6	G Jihao	1, 6	BJG860	1, 6
JIANG Pengcheng BRG669 Licensed Representative 1	G Pengcheng		BRG669	
JIANG Yuyin BPX408 Licensed Representative 10 1	IG Yuyin	1	BPX408	1
JING Shu BIC415 Licensed Representative 2	Shu	2	BIC415	2
JONES Jessica Veronica BCZ177 Licensed Representative 4	ES Jessica Veronica		BCZ177	



VARIATIONS OF REGULATED ACTIVITIES OF LICEN	NSED PERSOI	NS/REGISTERED INSTITUTIONS DURING 09/2021		
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
JONES Richard Evan	AEC527	Licensed Representative		4, 9
KAM Man Wai	BBK676	Licensed Representative		3
KIM Boeun	BIQ132	Licensed Representative	9	1, 2
KIM Joon Young	BNJ268	Licensed Representative	9	
KIM Min Jung	BNC085	Licensed Representative		7
KO Fung	AZK319	Licensed Representative	9	4
KONG Peishi	BBN890	Licensed Representative		4
KWAN Chi Sum	BPY114		4	2
		Licensed Representative		2
KWAN Man Chung	AQM760	Licensed Representative	1	
KWOK Hoi Chuen, Justin	AME366	Licensed Representative		4
KWOK Ka In	AXQ079	Licensed Representative	4	
KWOK Simon	AVQ920	Licensed Representative		2
KWOK Tsz Kiu	BET772	Licensed Representative	6	
LAI Kwok Kei	BGZ923	Licensed Representative	9	
LAM Fung	BPV203	Licensed Representative	9	1, 2, 4
LAM Kin Pong	BMT614	Licensed Representative	4, 5	
LAM Siu Yan, Cathy	BFJ754	Licensed Representative	4	2, 9
LAM Tat Shing	AUV992	Licensed Representative	3	
LAM Yat Yeung	BQM756	Licensed Representative	1, 4	
LAM Yee Kit	AYF885	Licensed Representative	9	
LAU Chun Sing	BOW446	Licensed Representative	9	
LAU Homan	AWU132	Licensed Representative	4	
LAU Ka Ho	AXB862	Licensed Representative	7	
LAU Man Kit	BHC241	Licensed Representative	1	
LAU Siu Cheung	ABV536	Licensed Representative	1	1, 2
				1
LAU Tak Wa	AUP819	Licensed Representative	_	
LAU Wai Kit	BRJ131	Licensed Representative	3	
LAU Yim Ha	BQV765	Licensed Representative		4
LEE Chun On John	BNU213	Licensed Representative	1	4
LEE Ka Wa	BOZ271	Licensed Representative	9	2
LEE Kwan Lun, Colin	BGC215	Licensed Representative		1
LEE Tsz Yan	BCK322	Licensed Representative	2	
LEE Wang Sun	ARF794	Licensed Representative	3	
LEI Lizhi	BRJ445	Licensed Representative	4	
LEONG U Weng	AXR831	Licensed Representative	9	
LEUNG Hui Hong	BMW771	Licensed Representative	1, 4, 5, 9	6
LEUNG Ka Cheong	BJL585	Licensed Representative		4
LEUNG Ka Chun	BPL750	Licensed Representative	5	
LEUNG Lai Kuen	BPY639	Licensed Representative	4, 9	
LEUNG Siu Kwong	ADR128	Licensed Representative		2
LI Botao	BKW478	Licensed Representative		1
LI Chen	BEZ042	Licensed Representative	1	
LI Gengrong	BGD432			1, 4, 5, 9
		Licensed Representative	4.0	1, 7, 0, 8
LI Gengshuo	BQQ694	Licensed Representative	4, 9	
LI Hiu Chung	BEZ340	Licensed Representative	4	2
LI Jiaheng	BOR665	Licensed Representative	6	
LI Kai Wang	BFY153	Licensed Representative		5
LI Kiu Yue	BLM118	Licensed Representative	1	
LI Pei Hang	BPE371	Licensed Representative	1	
LI Po Yi	AWQ112	Licensed Representative	9	
LI Sze Hang	AVY336	Licensed Representative	3	
LI Teng	BLI066	Licensed Representative		1
LI Weidong	BOS568	Licensed Representative	6	
LI Xiaopeng	BRG480	Licensed Representative	9	
LI Xuan	BGG514	Licensed Representative	9	1, 4
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VARIATIONS OF REGULATED ACTIVITIES OF LICEN	ISED PERSO	NS/REGISTERED INSTITUTIONS DURING 09/2021	l .	
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LIANG Liyun	BMS363	Licensed Representative	9	
LIANG Ruizhi	BHZ469	Licensed Representative	4	
LIANG Shiyuan	BNJ578	Licensed Representative	1, 4	
LIANG Xingjun	BKQ748	Licensed Representative	9	1, 4
LIANG Yao Quan	BMM572	Licensed Representative		4
LIANG Yizhou	BOE419	Licensed Representative	1, 2, 4, 5	9
LIAO Wen Wei	BFZ332	Licensed Representative		2, 6
LIEM Chung Wong, Jasper	APY809	Licensed Representative		1
LIM Ji Youn	BFR528	Licensed Representative		9
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LIN Haohua	BOI926	Licensed Representative		6
LIN Shaoyu	BNI916	Licensed Representative	4, 9	6
LIN Tingting	AXI337	Licensed Representative		4
LING Yue	BOH780	Licensed Representative	1, 4	
LIU Feifan	BDJ264	Licensed Representative	1, 9	
LIU Jingyi	BNO058	Licensed Representative	4	
LIU Lingyu	BOF624	Licensed Representative	4	2
LIU Muzi	BNJ935	Licensed Representative		1
LIU Nan	BNB652	Licensed Representative	9	4
LIU Ngar Yin	AXC900	Licensed Representative	2	
LIU Tianlin	BNX606	Licensed Representative	1	
LIU Tong	BCL153	Licensed Representative	1, 9	
LIU Wai Hing, William	AEQ575	Licensed Representative		1
LIU YING	BQK609	Licensed Representative	9	
LIU Yuqi	APZ538	Licensed Representative	1	
LIU Zhihan	BCY179	Licensed Representative	4	
LIU Zhipeng	BEU992	Licensed Representative	9	4
LO Chi Kai. Ivan	AXH528	Licensed Representative		5
LO Dickson Tat San	AVM658	Licensed Representative		4
LO Kam Yan, Helen	AOS365	Licensed Representative		4
LOK Wai	AEL915	Licensed Representative		2
LONG Tien Ian	ADV780	Licensed Representative		1, 4
LOU Wing Chung, Simon	ABI724	Licensed Representative	2, 3	
LOW Audrey Ying Jun	BFI437	Licensed Representative		4
LOW Chung Weng	AQY033	Licensed Representative		2
LU Jiehao	BOF154	Licensed Representative		1
LU Jingyi	BJX882	Licensed Representative	5	1
LUK Kwok San	BJW652	Licensed Representative	1	9
LUK Wai Tat	AUP822	Licensed Representative	1	
MA Fung Chiu	AVI659	Licensed Representative	2	
MA Helun	BAV678	Licensed Representative	4	
MA Junjian	BOW559	Licensed Representative	9	
MA Wing Sing	AJI838	Licensed Representative		1, 2, 5
MEI Di	BIG357	Licensed Representative	6	
MENG Yi	BOK228	Licensed Representative	4	
MOK Wing Yan, Anita	BNV037	Licensed Representative	4	
MOOIJEKIND YIN Jade Xuan	BOH827	Licensed Representative	4	3
MOTWANI Romil Ramesh	BNO709	Licensed Representative	2, 7	
NAM Chun Man	BBS202	Licensed Representative	2	
NG Chi Him, Sam	ANQ542	Licensed Representative	1	
NG Chun Mi	AYF775	Licensed Representative		4
NG Kwok Ming	AWA039	Licensed Representative		9
NG Tsang Chak	BPX615	Licensed Representative		2
NG Yuk Wai	BDK988	Licensed Representative		1
NGAI Ming Kit	BLB368	Licensed Representative	9	
NIE Wenqi	BQT663	Licensed Representative	4	



VARIATIONS OF REGULATED ACTIVITIES OF LICEN	NSED PERSO	NS/REGISTERED INSTITUTIONS DURING 09/2021		
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
O'DONNELL Gerard Thomas	BRO787	Licensed Representative	2, 5	
OU Ofra Sueh Hung	AME527	Licensed Representative	1	
PAN Jiao	BGZ937	Licensed Representative	4	
PAN Weixiong	BPI644	Licensed Representative		2
PANG Lei Kan	AXP154	Licensed Representative	2	
	BNT862	·	1	
PANG Wing Fai		Licensed Representative		4.5
PARK Seo Hye	BKA585	Licensed Representative	6	4, 5
PARK So Hyun	BEM817	Licensed Representative	2	4
PHAM John Le Hien	AXQ759	Licensed Representative	4	
PIENAAR Ross Daniel	AOO554	Licensed Representative	9	1, 4
QU Yuying	BNM223	Licensed Representative	6	1, 2
RAMZAN Vaseem	AYA947	Licensed Representative	1	
SAXTON Andrew Keith	BBL053	Licensed Representative		4
SCOTT Andrew David	AVR983	Licensed Representative	1, 4	
SHEN Xiaotong	AWU655	Licensed Representative	4	
SHEN Yi Hung	BML411	Licensed Representative	1	
SHENG Lisha	BMH847	Licensed Representative	7	
SHIMOMURA Yoshio	BBD960	Licensed Representative	2	
SHUM Chun Wai	APF965	Licensed Representative	1	
SING Allen G	ADY343	Licensed Representative	1	
	BFN382			1
SO Cheuk Hei		Licensed Representative		<u> </u>
SON Benjamin Yong	BOR491	Licensed Representative		6
SONG Jin	BOH029	Licensed Representative		1
SUEN Ho Yi	BAZ565	Licensed Representative	9	
SUN Haoting	BHX571	Licensed Representative		4
SUN Hsueh Yi	BIC120	Licensed Representative	9	
SUN Hutao	BPA258	Licensed Representative		6
SUN Shangyun	BJW317	Licensed Representative		4
SUN Yuejun	BNL060	Licensed Representative		1, 4
TAM Kong Lun	AXN158	Licensed Representative		9
TAM Oi Lun	BPV125	Licensed Representative	9	
TAN Ming Fung, Eric	AJI346	Licensed Representative	1, 4	
TAN Yat Quan	AMW935	Licensed Representative	2	
TANG Rundong	BLC422	Licensed Representative	4	
TANG Tsun Kit George	ASW346	Licensed Representative	5	1
TANG Yi Ting	BQJ953	Licensed Representative	1	9
TO Yu Fai	AEI209	Licensed Representative	3	
TSANG Brietta Tyla	ARM894	Licensed Representative		2
TSANG Ka Wai	AYC270	Licensed Representative		2
TSE Hillary Wai Yi		Licensed Representative	4	2
	BOQ785	·		_
TSE Siu Jan	BLA984	Licensed Representative	1	
TSE Tak Wah	AIX141	Licensed Representative	1	
TUNG Bik Hei Brett	BRG755	Licensed Representative	9	
TUNG Kai Ah	BGH919	Licensed Representative		2, 5
TUNG Wing Fong, Corona	ABN060	Licensed Representative	2, 3	
VANNESSON Laurent Xavier Marie	BKL385	Licensed Representative	2	
WALKER Niamh Catriona	BPT317	Licensed Representative	9	
WAN You Ran	BRD726	Licensed Representative	9	
WANG Ao	BGT419	Licensed Representative	1	
WANG Buyun Jacob	BMT542	Licensed Representative	4	
WANG Chenyan	BNS566	Licensed Representative	9	
WANG Hang	BGG247	Licensed Representative	2	
WANG Jiali	BLE953	Licensed Representative	9	1
WANG Jiayi	BNI804	Licensed Representative	4, 9	
WANG Ke	BOB557	Licensed Representative	4	
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VARIATIONS OF REGULATED ACTIVITIES OF LICEN	NSED PERSO	NS/REGISTERED INSTITUTIONS DURING 09/2021		
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
WANG Pinyue	BIX930	Licensed Representative		4, 9
WANG Ting	BIR267	Licensed Representative	9	
WANG Wendan	BGA506	Licensed Representative	1	
WANG Yihua	AVK616	Licensed Representative		6
WANG Yiping	BOR238	Licensed Representative	6	
WANG Yuhan	BKV088	Licensed Representative	4, 6	
WANG Yumeng	BNM125	Licensed Representative	4, 5, 9	1
WANG Ziming	BPJ797	Licensed Representative	6	2, 7
WAT Chi Ming	ADU895	Licensed Representative		1
	BPL275		4	1
WEI Yu		Licensed Representative	1	
WEN Xin	BPH873	Licensed Representative	9	4
WILLIAMS Katherine Juri	ANR851	Licensed Representative	1	
WONG Chak Lam, Jeremy	AHY020	Licensed Representative		1
WONG Cheuk Hin	BRA887	Licensed Representative	9	
WONG Cheung Pui	BOA319	Licensed Representative	1, 9	6
WONG Chi Shing	BJI171	Licensed Representative	9	
WONG Chiu	BHL931	Licensed Representative	9	
WONG Ho Fung	ARN622	Licensed Representative	1	
WONG Hoi Ying	BKK545	Licensed Representative	1	2
WONG Jeffrey Chi-lert	AXV675	Licensed Representative		1
WONG Kin Chung	AJD184	Licensed Representative		1
WONG Kin Hang	BKB381	Licensed Representative		4
WONG Nga In	BNP861	Licensed Representative		1
WONG Sze Man	AQS231	Licensed Representative	1, 4	
WONG Tai Ying, Lorraine	AGF340	Licensed Representative	2	
WONG Ting Fung	BOU171	Licensed Representative	4	
WONG Yin Hing	ALZ820		9	
		Licensed Representative	9	
WU Hao	BEW105	Licensed Representative	_	1
WU Ziyu	BPX705	Licensed Representative	5	
XI Mengmeng	BMP021	Licensed Representative	1, 6	4
XIAO Xiao	BQE919	Licensed Representative	4	1, 6
XING Li	BMX389	Licensed Representative		9
XIONG Danrui	BMV931	Licensed Representative	1	
XIONG Jing	BAN219	Licensed Representative	1	5
XIONG Zhuang	BNR017	Licensed Representative		9
XU Qian	BGQ738	Licensed Representative	1, 4	
XU Qiumeng	BKZ025	Licensed Representative		1, 4
XU Wenyan	BHL202	Licensed Representative	1, 4	
XU Yongquan	BEG516	Licensed Representative		1, 4
XUE Jiayun	BMF180	Licensed Representative	4	6
YAN Chen	BNQ534	Licensed Representative		1, 9
YAN Kai	BOK933	Licensed Representative		4
YAN Yuen Cheung	BPV918	Licensed Representative		1
YANG Jufan	BNP676	Licensed Representative	1	
YANG Lu	BOZ759	Licensed Representative		4
YANG Ming, David	BPY524	Licensed Representative	4, 6	•
YANG Shuo	AQU542	Licensed Representative	1, 4	
YANG Xiaotong	BMC628	Licensed Representative	2	
YAO Zheng	BNZ078	Licensed Representative	4	
YEUNG Chun Pui	BJE036	Licensed Representative		4
YEUNG Chung Lung	AQX406	Licensed Representative		4, 9
YEUNG Fu Ngai	AOH572	Licensed Representative	9	
YEUNG Hon Ying	AQR635	Licensed Representative	3	
YEUNG Sze Nga	BNT995	Licensed Representative	4, 9	
YIP Wing Chuen	AQO150	Licensed Representative		1, 2
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VARIATIONS OF REGULATED ACTIVITIES OF LICEN	ISED PERSO	NS/REGISTERED INSTITUTIONS DURING 09/2021		
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
YOUNG Ting Kar	AEY445	Licensed Representative		9
YU Hongyi	BAC149	Licensed Representative		4
YU Man Ting Loretta	AWE558	Licensed Representative		1
YU Mao	BAN026	Licensed Representative	4	
YU Shuk Mei	AOU416	Licensed Representative	9	
YUEN Wai Man	AQG916	Licensed Representative	9	2
YUNG Wing Hung, Dominic	AFI615	Licensed Representative		2
ZHANG Jiaming	BPK476	Licensed Representative	1	6
ZHANG Jingyi	BOO999	Licensed Representative	9	1, 4, 6
ZHANG Qingyun	BQW104	Licensed Representative	9	, , -
ZHANG Tianfei	BEV696	Licensed Representative	4, 9	
			9	
ZHANG Xinxiong	ARO301	Licensed Representative		
ZHANG Xuyan	BMM382	Licensed Representative	4	6
ZHANG Yijia	BKM831	Licensed Representative	1	
ZHANG Yizhou	BLZ750	Licensed Representative		6
ZHANG Yuxuan	BQG626	Licensed Representative		4
ZHANG Zichen	BJB888	Licensed Representative	2	
ZHANG Zijun	BHF774	Licensed Representative	7	
ZHAO Wantong	BEM634	Licensed Representative		1
ZHENG Kongdong	BFA756	Licensed Representative	9	
ZHENG Yi	BNJ836	Licensed Representative		2
ZHONG Yang	BHQ208	Licensed Representative	1	
ZHONG Ye	BDP512	Licensed Representative		2
ZHOU Diao	BQN680	Licensed Representative	1	
ZHOU Huanan	BEI379	Licensed Representative	1, 9	
ZHOU Jingjing	BEJ098	Licensed Representative		4
ZHOU Yicheng	BPM195	Licensed Representative		6
ZHOU Yijia	BQZ747	Licensed Representative	4, 9	
ZHOU Yiyun	BIV852	Licensed Representative	4, 5	
ZHOU Yue	BKH772	Licensed Representative	1	
			2	
ZHOU Ziya	BEE592	Licensed Representative		4.0
ZHU Jiaer	BQL306	Licensed Representative		1, 9
CHAN Cheuk Wing, Andy	ALV385	Licensed Representative - Responsible Officer		4, 9
CHAN Wang	BGO479	Licensed Representative - Responsible Officer	1	
CHOW Man Kin	BBE581	Licensed Representative - Responsible Officer	5	
DARLING Christopher Edwin	ALW790	Licensed Representative - Responsible Officer		1
DENG Zhenquan	AWI748	Licensed Representative - Responsible Officer	4	
HO Hoi Lam	AWK760	Licensed Representative - Responsible Officer	4	
HUI Sai Tsun, Peter	AHU751	Licensed Representative - Responsible Officer	1	
JONES Richard Evan	AEC527	Licensed Representative - Responsible Officer	1	
KRAEUCHI Stefan Kurt	BCN741	Licensed Representative - Responsible Officer	1	
LAM Bing Wah	ARX073	Licensed Representative - Responsible Officer	4	
LAU Ka Ho, Gordon	AVF159	Licensed Representative - Responsible Officer	1	
LAU Siu Cheung	ABV536	Licensed Representative - Responsible Officer	4	
LAU Sze Chin	ANM255	Licensed Representative - Responsible Officer	4	
LEE Suet Kau Jojo	BIQ720	Licensed Representative - Responsible Officer	1	
LEE Wai Choi, Albert	AAF947	Licensed Representative - Responsible Officer		4
LEUNG Tat Kwong	ASD138	Licensed Representative - Responsible Officer	1	·
	ASD 136 APF219		1	
LI Ang		Licensed Representative - Responsible Officer		
LI Ka Ying	ANM710	Licensed Representative - Responsible Officer	4, 9	
LI Leung Wa	AAF949	Licensed Representative - Responsible Officer		4
LIANG Zhengping	BMW182	Licensed Representative - Responsible Officer	1	
LIN Tong	BHJ408	Licensed Representative - Responsible Officer	1	
LIU Bo	AQU179	Licensed Representative - Responsible Officer	1	
LIU Sing Chi	APX096	Licensed Representative - Responsible Officer	2	



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED FERSONS/REGISTERED INSTITUTIONS DURING 05/2021					
CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed	
LONG Weili	ARM296	Licensed Representative - Responsible Officer		1	
LUI Ying	AMM444	Licensed Representative - Responsible Officer		1	
LUO Xinghua	BLE053	Licensed Representative - Responsible Officer	4		
NG Wai Man	ACT918	Licensed Representative - Responsible Officer	1		
QIAN Yejun	BAF050	Licensed Representative - Responsible Officer	1		
SCHMID Hansrudolf	AFP025	Licensed Representative - Responsible Officer	1		
SZE Ka Wo	BIV051	Licensed Representative - Responsible Officer	1		
TAM Ping Chung	AWV422	Licensed Representative - Responsible Officer	1		
TANIYA Mamoru	AKS912	Licensed Representative - Responsible Officer	4		
TSANG Siu Chung	AXF532	Licensed Representative - Responsible Officer	1, 4		
WANG Binran	BAF174	Licensed Representative - Responsible Officer	1		
WANG Zhi	ARO579	Licensed Representative - Responsible Officer	5		
WONG Shing Kit, Shirley	APL786	Licensed Representative - Responsible Officer	1, 4		
WONG Yan Shun	AXV863	Licensed Representative - Responsible Officer	4		
WONG Yin Hing	ALZ820	Licensed Representative - Responsible Officer	4		
WU Di	BOG730	Licensed Representative - Responsible Officer	4		
WU Tao	BGQ271	Licensed Representative - Responsible Officer	4		
YIP Thomas Ho Fai	AXD289	Licensed Representative - Responsible Officer	4		
YIP Wing Chuen	AQO150	Licensed Representative - Responsible Officer	9		
ZHAO Wei	BAB437	Licensed Representative - Responsible Officer	4		
CHANG Hsun Fang	ANP491	Licensed Representative (Provisional Licence)	4		
DA Qi	BRM015	Licensed Representative (Provisional Licence)	4		
GE Junxiao	BRH646	Licensed Representative (Provisional Licence)	1, 6		
XIAO He	BRR464	Licensed Representative (Provisional Licence)	6		
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