

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CMB International Global Markets Limited	AAF261	Licensed Corporation	4	
Eternity Growth Asset Management Limited	BQA514	Licensed Corporation	1, 4	
HPS Investment Partners (HK), Limited	BHU903	Licensed Corporation	1	
Studium Securities Limited	AXT242	Licensed Corporation	2, 3	
Tian Yuan Finance Limited	AGE667	Licensed Corporation		1
Visionary Group Capital Management Limited	BIN929	Licensed Corporation		1
Zhonghui International Securities Limited	BQS721	Licensed Corporation	9	
AN Bomee	BOC541	Licensed Representative	9	4
BAO Yuzhe	BOI293	Licensed Representative	6	
BEKOS Andreas Johannes	BHU928	Licensed Representative		2
BING Tongtong	BOX141	Licensed Representative		9
BUCKLEY John Leo	BPF684	Licensed Representative		2
CAI Daniel, Christian	ATO217	Licensed Representative	9	1, 2
CAMPBELL Shawn	ASM376	Licensed Representative		1, 4
CAO Zuoxuan	AYH497	Licensed Representative		1
CHAN Charmaine Ching Man	BBJ888	Licensed Representative	9	
CHAN Chi Ho	BCO707	Licensed Representative		3, 4
CHAN Lap Chung	AIJ099	Licensed Representative	4	
CHAN Man Bun	APG837	Licensed Representative		2
CHAN Pak Lam, Tom	ACJ830	Licensed Representative		9
CHAN Tsz Ho	BJP438	Licensed Representative	4, 5, 9	
CHAN Tung Hung, June	BQW139	Licensed Representative		4
CHAN Wah Man Carman	AQD276	Licensed Representative		1
CHAN Wai Man	BFH414	Licensed Representative	4, 5, 9	
CHAN Wai Shing	BPR535	Licensed Representative	4	
CHAN Wong Kit	BDF051	Licensed Representative	4, 9	
CHAN Yeuk Man	AZB520	Licensed Representative		9
CHAN Yu Ming	ASQ013	Licensed Representative		4
CHAN Yuen Yee	AEM854	Licensed Representative		2
CHAN Yuk Nam	AZL605	Licensed Representative	1, 4, 9	2, 5
CHANG Steve Deh-Mo	AGC467	Licensed Representative	1	
CHEANG Sio Ian	BRA095	Licensed Representative	4	
CHEN Chen	BQW769	Licensed Representative	4	
CHEN Cheng	BCI996	Licensed Representative	4	
CHEN Chia-hui	ASU227	Licensed Representative	9	
CHEN Ching Wen	BRE488	Licensed Representative	9	
CHEN Daqi	BHN986	Licensed Representative	1	
CHEN Gaoyuan	BQC120	Licensed Representative	1, 4	
CHEN Jiajing	BQG630	Licensed Representative	4, 9	
CHEN Jianjun	BKH376	Licensed Representative	4	
CHEN Ming Xuan	AYS807	Licensed Representative	4	
CHEN Mingxiao	BJV527	Licensed Representative	4	
CHEN Pengge	BKZ959	Licensed Representative	6	4
CHEN Xue	BCU613	Licensed Representative	4	
CHEN Yifun	BOF343	Licensed Representative	4	
CHEN Yiming	BQW934	Licensed Representative	9	6
CHEN Yingjie	BQO618	Licensed Representative	1, 2	9
CHEN Zhujing	BRP943	Licensed Representative	2	
CHEN Zijian	BRK717	Licensed Representative	6	
CHENG Ka Chai	BQO627	Licensed Representative	4	
CHEUNG Andrew Tsz On	AOL529	Licensed Representative	2	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHEUNG Gregory Chi-ho	BGP371	Licensed Representative	2	4
CHEUNG Hon	BRQ616	Licensed Representative	6	
CHEUNG Ngai Tat	BBH785	Licensed Representative	4	
CHEUNG Siu Keung	BFK706	Licensed Representative	2	
CHEUNG Wai On, Simon	AJK782	Licensed Representative		1
CHIANG Pei-Chia	BOL205	Licensed Representative	4	9
CHIGOT Maxime Frederic Pierre	BFU166	Licensed Representative	7	
CHIN Shih Kean	BIK477	Licensed Representative	6	1, 4
CHIN Yuan, Sef	BIN543	Licensed Representative	1	4, 9
CHIU Ming Chi	BOW540	Licensed Representative	1	
CHOE Aram	BPX930	Licensed Representative	4	
CHONG Kenny	AOV191	Licensed Representative	9	1, 2, 4
CHONG Sue Ching	AQN999	Licensed Representative	1	
CHOU Chia-Wei	BOC939	Licensed Representative	7	
CHOW Man Ting	BNH477	Licensed Representative		2
CHOW Wing Shing, Vincent	AAB310	Licensed Representative		2
CHOW Wun Sing, Winston	AAB002	Licensed Representative		2
CHU Chun Shing	BGD147	Licensed Representative	4, 5	
CHU Hon Kit	ATJ549	Licensed Representative	9	
CHUNG Hiu Lam	BRG412	Licensed Representative	2	
CHUNG Wing Ying Wylson	AYS704	Licensed Representative		4, 5
COHEN Ian Alexander	AVB976	Licensed Representative	4	
COOLEY Ann Marlowe	AEN698	Licensed Representative		4
CUI Jiaming	BOU586	Licensed Representative		6
DAI Yihang	BLH885	Licensed Representative	9	
DAI Zhiqiang	ALZ276	Licensed Representative	1, 4	
DAL BROI David	AXY476	Licensed Representative	4	
DENG Jun	APZ630	Licensed Representative		6
DENG Xixin	BMV958	Licensed Representative		2
DENG Yuting	BJU908	Licensed Representative	4	
DHUNDASS Younes	BNQ670	Licensed Representative	7	4, 5
DING Hanying	BPM849	Licensed Representative	2	4, 9
DING Zhengning	BNW197	Licensed Representative		1
DONG Yuning	BNJ160	Licensed Representative	2	
EVANS Rhodri Lloyd	BQH313	Licensed Representative	2	
FAN Chenyu	BRV720	Licensed Representative	2, 5	
FANG Yingxue	BQC792	Licensed Representative	6	
FELDMANN Daniel Thomas	BIB605	Licensed Representative	9	
FENG Jinyi	AXZ592	Licensed Representative	4	
FENG Ziang	BNR290	Licensed Representative	1	
FISCHER Nathan David	ATX270	Licensed Representative	2	
FONG Hon Kwan	BOO886	Licensed Representative	9	
FONG Tak Yee	AFA617	Licensed Representative		9
GAN Tanya Li Phing	AOP016	Licensed Representative	4	
GAO Xibai	BQF738	Licensed Representative	1, 6	
GAO Yalin	BNS318	Licensed Representative		2
GRADY Timothy Joseph	AJC076	Licensed Representative	4	
GUO Chaofeng	BQI612	Licensed Representative		4
GUO Yunfan	BRK550	Licensed Representative	6	
HAN Jundong	AOQ780	Licensed Representative	1	
HAN Tongli	AZI771	Licensed Representative	1	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
HAU Wai Yin	BRN849	Licensed Representative	10	1, 4, 9
HE Sida	BNN764	Licensed Representative		1
HE Zirong	BNU189	Licensed Representative	9	1
HO Chun Ta	AQX476	Licensed Representative		4, 9
HOU Chia-Lung	BQM396	Licensed Representative	9	
HSIAO Roy	BCD423	Licensed Representative		4
HU Boyu	BET781	Licensed Representative	4	
HU Han Shen Frank	AYV783	Licensed Representative	4	
HU Jing	BGO555	Licensed Representative	4, 9	1
HU Saiya	BON680	Licensed Representative	2	
HU Wengqian	BAK772	Licensed Representative		1, 4
HU Yuhao	BNJ944	Licensed Representative	6	
HU Zewei	BLF173	Licensed Representative		2
HUANG Yajun	BLN440	Licensed Representative	9	
HUI Chun Him	BNU680	Licensed Representative	4, 9	6
IP Chun Ho	ACJ705	Licensed Representative		5
IP Ka Yeung	BCO240	Licensed Representative	3	
JI Hongyu	BQE209	Licensed Representative	9	
JIAN Ruixin	BRR329	Licensed Representative	4	
JIANG Yu	BAA413	Licensed Representative	9	
JIANG Yulan	BPY858	Licensed Representative	4	
JIN Weiwei	BIJ966	Licensed Representative	6	
JO Geonhee	BNF213	Licensed Representative		1
KAM Chor Wang	AYS173	Licensed Representative		1, 4
KAO Cheng Yung	AUW023	Licensed Representative	1, 9	
KAO Shao-chun	AQY209	Licensed Representative	4, 5	
KERRIGAN Daniel Burke	BIZ540	Licensed Representative	1, 2	4, 9
KIM Young Kun	ADH570	Licensed Representative	1, 9	
KO Sung Hoon	BOQ930	Licensed Representative	9	1, 4, 6
KONDO Takeshi	BMT575	Licensed Representative	4, 6	
KONG Pak Yong Andy	BLI106	Licensed Representative		5
KUNG Chin Pang	ATB596	Licensed Representative	4, 9	1, 2
KWAN Chun Fai	AQG692	Licensed Representative	9	2
KWAN Kai Hang Kevin	BEG236	Licensed Representative	6	
KWOK Chiu Ting	BEN180	Licensed Representative		1
KWOK Ka Yiu	AFG950	Licensed Representative	1, 4	1, 4
KWONG Wing Yan	BMH500	Licensed Representative	2	
LAI Cheuk Hao	BHB688	Licensed Representative		4
LAI Cheuk Wai, Nathan	BLV465	Licensed Representative	4	
LAM Josephine	AGY066	Licensed Representative		1, 4
LAM Tsz Cheung	AGF836	Licensed Representative		6
LAU Chun Wang	BQW899	Licensed Representative	1	4
LAU Hiu Ying, Virginia	BNO093	Licensed Representative	4	
LAU Joanne Yan-wah	AZI786	Licensed Representative	7	
LAU Muk Hoi	AUQ375	Licensed Representative	3	
LAU Shun Fai Andy	BRO238	Licensed Representative	2	
LAU Wing Man	BQU145	Licensed Representative	6	
LAW Tik Hong	ARE550	Licensed Representative	4	
LAW Tsz Ming	BMI035	Licensed Representative	4	
LAW Tsz Shing	BGB433	Licensed Representative	4	
LEE Sing Yu	BPK686	Licensed Representative	1, 2, 5	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LEE Sze Wan	BDY743	Licensed Representative	4, 5	
LEE Tak Shing	BLW937	Licensed Representative	4, 5	
LEE Tsz Man	BAF948	Licensed Representative	4	
LEE Wai Kin	APD151	Licensed Representative	1, 2	
LEE Wai Ling	BNM266	Licensed Representative		1
LEE Yat Tin	BOO534	Licensed Representative		1
LEE Yee Hang Bosco	BNS662	Licensed Representative		9
LEUNG Chun Yin	BMB720	Licensed Representative		2
LEUNG Man Joe, Joseph	AHS893	Licensed Representative		1, 2
LEUNG Tsz Yat	BPU176	Licensed Representative	2, 5	
LEW Kyunghyun	BOK907	Licensed Representative		9
LI Hengzhao	BOP548	Licensed Representative	6	4
LI Hoi To	BQW982	Licensed Representative	4	3
LI Jiacheng	BQU582	Licensed Representative	4	
LI Kai Wang	BFY153	Licensed Representative	3	
LI Ke	BGM281	Licensed Representative	4	
LI King Wun	BGU668	Licensed Representative	7	
LI Mengyang	BMX581	Licensed Representative		4
LI Sung Tat	AUN103	Licensed Representative	6	
LI Xiaochong	BIC137	Licensed Representative		1
LI Xinyi	BOH485	Licensed Representative	4	
LI Yuyu	BJU225	Licensed Representative		1
LIANG Cheng Chung	AVS465	Licensed Representative	4	
LIANG Jin	BEW537	Licensed Representative	4	
LIANG Shiming	BQN928	Licensed Representative	1, 4	
LIEN Cheng-Yang	BPT214	Licensed Representative	2	
LIN Fong Ki	BJH331	Licensed Representative	4, 5	
LIN Hsinyu	BNJ513	Licensed Representative		4
LIN I Ming	BHJ453	Licensed Representative		4
LIN Yingqi	BGP853	Licensed Representative	1	
LIN Yuanyuan	BQE588	Licensed Representative		4
LIN Zhe	BQE083	Licensed Representative		4, 9
LIN Zitong	BID146	Licensed Representative	9	10
LING Wai Tat, James	ADD975	Licensed Representative	9	
LIU Junxian	BFW869	Licensed Representative	4	
LIU Muqi	BIY598	Licensed Representative	4	
LIU Shangquan	BNG036	Licensed Representative	4	
LIU Wei	AUS655	Licensed Representative		2
LIU Zhetao	BQP532	Licensed Representative	1	
LO Lap Bond James	BBJ189	Licensed Representative	4	
LO Lok Sum	BOH647	Licensed Representative	2, 4	
LO Ngar Yee, Fanny	AEA182	Licensed Representative	3	
LO Tang To	AZQ963	Licensed Representative	1	
LO Wai Hon, Joey	AFP517	Licensed Representative	2	4
LO Wai Lun	AVM934	Licensed Representative		4
LUI Kin Chung	BCP184	Licensed Representative	1	
LUO Tianbao	BQB242	Licensed Representative	5	
LYU Zhirou	BMN434	Licensed Representative	1	
MA Hoi Wai	ADS048	Licensed Representative		1, 2, 4, 5
MA Jianzhu	BHW772	Licensed Representative	2, 4, 5	
MA Jingyu	BPF969	Licensed Representative		4, 9

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
MA Wan Ki, Karsic	BJO062	Licensed Representative	9	1, 4, 6
MA Yuen Hung	AAD743	Licensed Representative		2
MAK Pui Hang Eric	APQ674	Licensed Representative		4
MARSHALL Gavin Paul	BRI740	Licensed Representative	2	
MOK Hiu Fai	BFA432	Licensed Representative		4, 9
MUI Kay Boon	AAJ763	Licensed Representative	6	2, 4
MUKHERJI Raja	BPX956	Licensed Representative	4	
NEUKIRCH Bruno Emmanuel Alexandre	AVY572	Licensed Representative	4	
NG Chui Yi	BRF199	Licensed Representative	2	
NG Hup Shing	ADG180	Licensed Representative	1	
NG Lik Hang	AWP775	Licensed Representative	1	
NG Siu Cho	AON640	Licensed Representative		4
NG Ting Huen, Marco	BOH497	Licensed Representative	4	
NG Wai Sze	ARG815	Licensed Representative		2
NIP Yiu Chuen	ABY425	Licensed Representative		2
PAN Dengke	BIC438	Licensed Representative		1
PANG Shu Wai	BOJ969	Licensed Representative	1	4, 9
PEI Yixuan	BRI325	Licensed Representative	4	
PENG Xia	BPM757	Licensed Representative	9	
PONG Hayden Hei Ting	APO549	Licensed Representative		9
PUN Chun Fung	AXD515	Licensed Representative	2	9
PUN Tze Miu	ACX643	Licensed Representative		2
QIAN Linjuan	AYE750	Licensed Representative	2	
QIN Xuan	BBH921	Licensed Representative	4	
QU Jiabin	BNJ302	Licensed Representative	6	
SCHOLTZ Frederick David	BMT303	Licensed Representative	1	
SCULLY James Robert	AVI916	Licensed Representative	2	
SHAN Miao	AUT412	Licensed Representative	4, 9	
SHEK Ho Man Hubert	BPU784	Licensed Representative		4
SHEN Jiayi	BPU371	Licensed Representative		4
SHI Dai	BEQ696	Licensed Representative	1	
SHI Haochen	BQL601	Licensed Representative	1, 4	6
SHI Jian	BOB756	Licensed Representative	4	6
SHI Shuncheng	BEV990	Licensed Representative		1
SHIH Pui Ying	AJL962	Licensed Representative	1, 4	
SHOEN Esben Leonard Berg	AOX947	Licensed Representative	1	2, 5
SHUM Cheung	ADI246	Licensed Representative		2
SIU Pui Kay, Ruby	AGW561	Licensed Representative	4	
SO Chun Yiu, Johnny	BEL927	Licensed Representative	2, 4	
SO Kin	AID232	Licensed Representative		2
SO Pui Fung	AMY275	Licensed Representative	1	
SO Wai Pui, Herbert	BJB543	Licensed Representative	1	4, 5, 9
SONG Bohao	BMG148	Licensed Representative	9	1, 4
SONG Jiawei	BMS666	Licensed Representative	6	
SONG Jiaying	BBR202	Licensed Representative	2	
SONG Wenqian	BNP483	Licensed Representative	4	
SONG Xia	ARH870	Licensed Representative	2	
SONG Yang	BOZ961	Licensed Representative	1, 4	
SU Jiarui	BNP783	Licensed Representative	9	4
SUN Hui	BFW664	Licensed Representative		9
SUN Shu	BOJ152	Licensed Representative		1

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
SUN Yilin	BHZ452	Licensed Representative	4	
SUNG Hon Keung, Patrick	AEV224	Licensed Representative		2
SUNG Hon Wing Edward	BHY910	Licensed Representative	9	1, 2, 4
TANG Jack	BBD024	Licensed Representative	3	
TANG Ping Sum	AEL276	Licensed Representative	1	
TANG Tian	BOZ722	Licensed Representative	4	
TANG Weiting	BRR608	Licensed Representative	4	
TANG Xinlu	BPK236	Licensed Representative		4
TAY Lingshan, Esther	BGB266	Licensed Representative	4, 9	
THYGESEN Brent George	BGG400	Licensed Representative	2	
TIAN Qi	bfd608	Licensed Representative	2	9
TO Joy Lam, Johnny	BGV236	Licensed Representative	4	
TO Kung Him	BPY503	Licensed Representative		1
TOSCANI Tyler Russel	BNP525	Licensed Representative		4, 9
TSANG Kwok Ho	ACC397	Licensed Representative	9	
TSANG Mo Chi	BFI060	Licensed Representative	2	4, 9
TSANG Wai Hang, Wayne	AOB854	Licensed Representative	1	4
TSE Hiu Yu	AUG364	Licensed Representative	2	4
TSE Lok Sang	BIR021	Licensed Representative	2	
TSOI Kwan Yee	BPX013	Licensed Representative	4	
TSOI Kwok Keung	AAD694	Licensed Representative		1
WAI Tsui Yee	BKC175	Licensed Representative		4, 9
WANG Chunguang	BEW129	Licensed Representative	1	
WANG He	BNQ521	Licensed Representative	4	
WANG Jing	BPL596	Licensed Representative	6	
WANG Jingzhi	BNK282	Licensed Representative	1	
WANG Li-Jen	AVH868	Licensed Representative		2, 5
WANG Pinyue	BIX930	Licensed Representative	6	
WANG Qi	BLP469	Licensed Representative		4
WANG Rui	BRC008	Licensed Representative		5
WANG Wei-Lung	ALI444	Licensed Representative	9	
WANG Xuan	BIN334	Licensed Representative	4	
WANG Yichao	BOX370	Licensed Representative	4	
WANG Yifang	BNI063	Licensed Representative	2, 9	
WANG Yu	BIR339	Licensed Representative		4
WANG Yue	BEO127	Licensed Representative	1, 4	
WANG Zhi Yu	BMW825	Licensed Representative		4
WANG Zhuoran	BAC306	Licensed Representative	5, 9	1
WEI Chao	BNO688	Licensed Representative	6	4, 9
WEN Fan	BIG672	Licensed Representative	5	
WONG Angus Chak Ki	BOB946	Licensed Representative	1	
WONG Chin Pang	AFU569	Licensed Representative		2
WONG Chun, Rex	BRG047	Licensed Representative	1, 4	2
WONG Joshua	BQO923	Licensed Representative	1	10
WONG Ka Hei	AVL307	Licensed Representative		4
WONG Ka Wing	AVZ613	Licensed Representative		4, 9
WONG Ming Fai	ALW838	Licensed Representative		1, 4
WONG Pan	BPX662	Licensed Representative	4	
WONG Pang Chak	BQN713	Licensed Representative	7	
WONG Tin Chun	BIN699	Licensed Representative	2	
WONG Wai Tat Terence	BPJ055	Licensed Representative	1	

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021**

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
WONG Wai Wang	ARV690	Licensed Representative		4
WONG Yiu Ming, Simon	BJC392	Licensed Representative	1	
WU Changxuan	BOC390	Licensed Representative	2	
WU Jiajun	BMQ963	Licensed Representative	6	4, 9
WU Man Yu	BIX431	Licensed Representative		9
WU Nan	BGI309	Licensed Representative	4	
WU Ruofan	BQB036	Licensed Representative	7	
WU Sheung Chi, Steven	APV971	Licensed Representative	1	
WU Stella Xueyao	BDJ451	Licensed Representative	2	4
WU Tingli	BIH711	Licensed Representative	1	
WU Xuexiao	BLV472	Licensed Representative	4, 9	
WU Yichen	BRB541	Licensed Representative	9	
WU Yizhou	BQO175	Licensed Representative	9	
WU Zhaoqian	BKO311	Licensed Representative		2, 6
XIA Chun	BGD821	Licensed Representative	9	
XIA Tian	BFX031	Licensed Representative	4	
XIA Yang	ALN489	Licensed Representative	9	1, 2, 4
XIE Jinchao	BEN368	Licensed Representative	1	
XU Ke	BQH323	Licensed Representative	2	
XU Menghan	BQV711	Licensed Representative	9	
XU Qiwen	BIC457	Licensed Representative	1, 4	
XU Shaobo	BHK027	Licensed Representative	6	
XU Stanford Shaozheng	BQD598	Licensed Representative	2	
XU Xinwei	AYJ955	Licensed Representative	1	
YAN Ka Ho	BGB456	Licensed Representative	3	
YAN Wenjun	BQR915	Licensed Representative	9	
YANG Haokun	BOH427	Licensed Representative		1
YANG Jieyu	BOE258	Licensed Representative	4	
YANG Peng	AUG860	Licensed Representative	1	
YANG Wai Shek	BQS085	Licensed Representative	9	
YANG Wenjing	BPT282	Licensed Representative		1
YAO Yao	BNG889	Licensed Representative	9	
YAU Fuk Yuk, Alice	APF540	Licensed Representative	2	9
YEN Yu Hung	BPJ232	Licensed Representative	1, 2	9
YEUNG Ho Shing	AJH027	Licensed Representative	2	
YEUNG Yuk Yan	BBA227	Licensed Representative	4, 5	
YIK Kwan Ho	APR487	Licensed Representative	4, 9	
YIN Hanzhang	BFX694	Licensed Representative	1	5
YIP Chin Hong	APP546	Licensed Representative	3	
YIP Mei Lai	AAK488	Licensed Representative		3
YIP Yuk Lam	ARM499	Licensed Representative		4
YIU Yan Ching	ALR855	Licensed Representative	4	
YOUNG Charles Maximilian	BKL907	Licensed Representative	2	
YOUNG Ting Kar	AEY445	Licensed Representative	2, 3, 5	
YU Hongtao	BOM317	Licensed Representative	9	
YU Jiaolong	BOE957	Licensed Representative		2
YU Qian	BLB398	Licensed Representative	1, 9	
YU Yefei	BMS680	Licensed Representative	6	9
YU Yuk Ching	BFJ165	Licensed Representative		2
YUEN Wai Chak, Wilfred	AYF330	Licensed Representative		1, 9
ZHAN Qi	BIX559	Licensed Representative	6	

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021**

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
ZHANG Chaofan	BMT613	Licensed Representative	2	
ZHANG Chunzhi	ASK859	Licensed Representative	9	
ZHANG Dongmei	ASI046	Licensed Representative	2	
ZHANG Hua	BAV650	Licensed Representative	9	
ZHANG Jingzhong	BNP233	Licensed Representative	2	
ZHANG Ke	AZU818	Licensed Representative	4	
ZHANG Lei	AZK162	Licensed Representative	9	1
ZHANG Liandong	BOC498	Licensed Representative		4
ZHANG Min	BKJ272	Licensed Representative	4, 9	
ZHANG Nan	BQG613	Licensed Representative	2	
ZHANG Shuping	BNX787	Licensed Representative	6	4
ZHANG Weixian	BEA638	Licensed Representative	4	
ZHANG Zhenyi	BPJ947	Licensed Representative	1, 4	
ZHANG Ziqing	BOI938	Licensed Representative	1	
ZHAO Jie	BMH292	Licensed Representative	4	
ZHAO Tao	AZX096	Licensed Representative		6
ZHAO Yuan	BQK009	Licensed Representative	1	
ZHENG Huide	BRS896	Licensed Representative	9	
ZHENG Zekuang	BRK334	Licensed Representative	4	
ZHOU Nanjun	BOT823	Licensed Representative		1, 4
ZHOU Yan	BGK629	Licensed Representative	4	2
ZHOU Yan	BPW935	Licensed Representative	1	
ZHU Yichen	BEG155	Licensed Representative		1
ZHU Yiqing	BNF384	Licensed Representative	9	1, 4, 6
ZOU Wuqiu	BAK936	Licensed Representative	1, 4	
CHENG I-teng	ALF767	Licensed Representative - Responsible Officer		1
CHEUNG On Ki	BJT503	Licensed Representative - Responsible Officer	4	
CHEUNG Wai Ching, Anthony	AEC908	Licensed Representative - Responsible Officer		2
CHNG Oon Jin	AIN851	Licensed Representative - Responsible Officer	1	
CUI Ran	BBA364	Licensed Representative - Responsible Officer	6	
FANNIN Dane Gower	AQG777	Licensed Representative - Responsible Officer		4
FOSTER Duncan John	BCN540	Licensed Representative - Responsible Officer	7	
HO Mei Yee, Cecilia	ABT552	Licensed Representative - Responsible Officer	4	
HO Wai Ling	BPN701	Licensed Representative - Responsible Officer	1, 4	
HONG Kelvin	ASX329	Licensed Representative - Responsible Officer	1, 4	
HUI Wing Kee	ALU952	Licensed Representative - Responsible Officer	4	
KO Siu Lun	AFS292	Licensed Representative - Responsible Officer	4	
KWOK Chiu Ting	BEN180	Licensed Representative - Responsible Officer	4, 9	
KWOK Simon	AVQ920	Licensed Representative - Responsible Officer	4	
LAI Kwok Leung	AJE162	Licensed Representative - Responsible Officer		4
LAU Chun Sing	ANQ367	Licensed Representative - Responsible Officer	1, 4	
LEE Kwok Cheong	AGA695	Licensed Representative - Responsible Officer	1	
LEE Wu Han	BJJ201	Licensed Representative - Responsible Officer	1	
LEUNG Chi Chung	AJE370	Licensed Representative - Responsible Officer	1	
LEUNG Chi Ming	AAF501	Licensed Representative - Responsible Officer		1
LI Kin Man, Kent	AOY339	Licensed Representative - Responsible Officer	2, 3	
LI Sing Wa	AAA829	Licensed Representative - Responsible Officer	4	
LIAO You	BCQ567	Licensed Representative - Responsible Officer	4	
LIU Leung	AFJ550	Licensed Representative - Responsible Officer	1	
LO Dickson Tat San	AVM658	Licensed Representative - Responsible Officer	2	
LO Yat On	AXI799	Licensed Representative - Responsible Officer	9	



VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2021

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LU Rong	BPJ901	Licensed Representative - Responsible Officer	1, 2, 5	
LUI Kin Wai	ANM045	Licensed Representative - Responsible Officer	4, 9	
MA Kai	ANU813	Licensed Representative - Responsible Officer	1	
NG Siu Wai	AYD102	Licensed Representative - Responsible Officer	4	
SUEN Tsz Ching	BIT861	Licensed Representative - Responsible Officer	1, 4	
SZE Yin Cheung	AJC709	Licensed Representative - Responsible Officer	9	
TAN Honghong	AQM615	Licensed Representative - Responsible Officer	2	
TANG Ping Sum	AEL276	Licensed Representative - Responsible Officer	4	
TONKINSON Warren	BBB365	Licensed Representative - Responsible Officer		4
TSANG Ming Fung	ANR264	Licensed Representative - Responsible Officer	9	
TSANG Siu Chung	AXF532	Licensed Representative - Responsible Officer	2, 3	
TSE Ming Fun	AJC830	Licensed Representative - Responsible Officer	4	
WANG Loke Kiat	AVD977	Licensed Representative - Responsible Officer	1	
WONG Chi Hui	APD168	Licensed Representative - Responsible Officer		1
WONG Cho Wai	AUG836	Licensed Representative - Responsible Officer	2	
WONG Tsun Hei	ASP993	Licensed Representative - Responsible Officer	9	
WONG Wing To, Alex	ANH516	Licensed Representative - Responsible Officer	1, 4	
XU Jinwen	AXZ879	Licensed Representative - Responsible Officer	1, 4	
XU Tiantian	BJR785	Licensed Representative - Responsible Officer	9	
YANG Qi	AXF377	Licensed Representative - Responsible Officer	5	
YAU Wai	AIU419	Licensed Representative - Responsible Officer	1	
YEUNG Yuk Yan	BBA227	Licensed Representative - Responsible Officer	2	
GU Kaiqi	BOU750	Licensed Representative (Provisional Licence)	1, 4, 5	
LI Yan	BRO855	Licensed Representative (Provisional Licence)	1	