

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2022**

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
Aisance Asset Management Limited	AAF157	Licensed Corporation		4
ApaH Capital Management Limited	BPS449	Licensed Corporation	4	
Ascent Financial Partners Limited	BPG349	Licensed Corporation		1
GLG Partners Hong Kong Limited	AVV378	Licensed Corporation		2
Millennium Capital Management (Hong Kong) Limited	ARE092	Licensed Corporation	1, 2	
Philippa Huckle Group Limited, The (trading as Aria Forum Wealth Management)	AHT390	Licensed Corporation		1
Sotera Asset Management Limited	AQR870	Licensed Corporation	4	
Victory Securities (Global) Limited	BRA946	Licensed Corporation	2	
Wells Fargo Securities Asia Limited	AQP592	Licensed Corporation	2	
AN Yeon Kyung	AOS355	Licensed Representative	9	1, 2, 7
AU Fai Kit, Jeffrey	BIV452	Licensed Representative		3
AU-YEUNG Lai Chu Doris	BSG608	Licensed Representative	9	
BACK Jonghyun	BSL495	Licensed Representative	9	4
BOOTH Alexander Robert	BCQ746	Licensed Representative		4, 5, 7
CAI Hongfei	BPK909	Licensed Representative	1	
CHAM Tak Fat	BIH508	Licensed Representative	2	
CHAN Cheuk Wai	BLJ862	Licensed Representative		4
CHAN Chi Pang	AWW253	Licensed Representative		2
CHAN Chiu Sze	BRT464	Licensed Representative	1	
CHAN Fong Leung	BLZ047	Licensed Representative	2	
CHAN Fuk Lun	ARG180	Licensed Representative	4	
CHAN Hiu Tung	BOU273	Licensed Representative	4, 9	
CHAN Wai Ho	AUD980	Licensed Representative	4, 5	
CHAN Wai Man	BFH414	Licensed Representative		5
CHAN Ying Kit Steven	BSF686	Licensed Representative	1, 4	
CHAN Yuen Man	APL888	Licensed Representative	1	
CHANG Moon Suk, John	AMI423	Licensed Representative		1, 4
CHAU Ka Wing	AVE765	Licensed Representative		2
CHAU Wallace Tin Lun	BIO890	Licensed Representative		1, 4
CHEN Adrien Panpan	BRR580	Licensed Representative	6	
CHEN Jianping	AUV177	Licensed Representative	1, 9	
CHEN Qi	BPD536	Licensed Representative	9	4
CHEN Shen	BQM328	Licensed Representative	1	
CHEN Yilin	BSS664	Licensed Representative		4
CHENG Kam Lun, Ronald	BLF849	Licensed Representative	4	10
CHENG Nga Man	BOS613	Licensed Representative		9
CHENG Wei Ting	BII168	Licensed Representative	9	6
CHEUNG Chun Tung Tony	BPM885	Licensed Representative	9	
CHEUNG Lai Nga	BMS690	Licensed Representative	2, 5	
CHEUNG Pak Hang, Darwin	ABQ012	Licensed Representative	9	
CHEUNG Tsz Kit	AIX574	Licensed Representative		1
CHIN Sui Ying	APR030	Licensed Representative		1
CHING Henry Chi Ho	BAR302	Licensed Representative	1	
CHOI Ka Fai	AFG493	Licensed Representative		2
CHONG Ping Leong, Peter	BJU611	Licensed Representative		1
CHOW Nga Yee, Aimee	ARH150	Licensed Representative		1
CHOW Yuen Yun	BQC280	Licensed Representative	4	
CHU Edmund Kinwin	BFD305	Licensed Representative	4, 6	
CHU Ian Kit, Frederick	ARW934	Licensed Representative		5
CHUN Ka Man	BRW426	Licensed Representative	4, 5, 9	2
CUI Tong	BDJ567	Licensed Representative	1	
CUI Yusheng	BRY379	Licensed Representative	9	
DANG Yun	BQK259	Licensed Representative	4	6
EFSTATHOPOULOS Georgios	BIR869	Licensed Representative	1	4, 9
FAN Liang	BCC317	Licensed Representative	6	5, 9
FANG Sai Tai, Jeffrey	AMF434	Licensed Representative	4, 6	
FONG Kam Hung	AML472	Licensed Representative	1, 4	
FONG Kok Pui	BRX718	Licensed Representative	2	
GAO Ge	BNX136	Licensed Representative	6	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
GAO Jiamin	BMV831	Licensed Representative	10	1, 4, 6
GAO Qi	BQU502	Licensed Representative	4	
HE Bao	AYM451	Licensed Representative		4
HE Chu Yu	BOZ736	Licensed Representative		6
HE Jun	AXW913	Licensed Representative	4	
HO Cheuk Hang, Ryan	APL761	Licensed Representative		2, 4, 5, 9
HO Cheuk Ming	BID232	Licensed Representative	2	
HO Hoe Kay	AZR704	Licensed Representative	1	
HO Hsuan I	BSS665	Licensed Representative	9	
HO Ka Wing	BLO676	Licensed Representative		2
HO Kit Ming	AEF856	Licensed Representative		2
HO Ting Him	BOQ826	Licensed Representative	4	1, 2, 9
HSU Ya Ting	BJY695	Licensed Representative	9	
HSU Yu-chiuan	ART649	Licensed Representative	9	
HU Yan	BRQ810	Licensed Representative	9	
HUA Zhongnan	BNV873	Licensed Representative	4	
HUANG Chao	ASF493	Licensed Representative		1
HUANG Shuyi	BRZ284	Licensed Representative		2, 4, 5
JIANG Ruo Yu	AIR858	Licensed Representative		4
JIANG Xinchan	BSW195	Licensed Representative	1	
JONES Viktoria Charlotte	BMF159	Licensed Representative	9	
KANG Kwang Soo	BMR599	Licensed Representative		9
KO Sui Ho	BRX046	Licensed Representative	4	
KO Wai Ling	AMR265	Licensed Representative	4, 9	
LAI Kin Pui	BMS565	Licensed Representative		4
LAM Kam Yuen, Ronald	BMQ757	Licensed Representative	9	
LAM Noel Yun Pui	BHD641	Licensed Representative	4	
LAM Yik Man	BNR320	Licensed Representative	4, 9	
LAN Shan	BQE747	Licensed Representative	9	4
LAU Nga Pin	BNV295	Licensed Representative	2	4
LAU Yu Leong	BNL309	Licensed Representative	4, 9	1
LAW Yau Ming	BMB562	Licensed Representative		1
LEE Hoo Wan, Owen	AOR607	Licensed Representative		2
LEE Shu Yan, Andrew	AFP239	Licensed Representative		1, 4
LEE Wee Liat	APY382	Licensed Representative		4
LEI Qiang	AOI913	Licensed Representative	9	
LEUNG Chun Chiu	AOB003	Licensed Representative		1
LEUNG Hiu Lam Kristie	BPY363	Licensed Representative		4
LEUNG Lok Kin	AWD227	Licensed Representative		9
LI Cho Kwong	AEU474	Licensed Representative		1
LI Chunyu	BOW501	Licensed Representative	9	
LI Dongming	BSX782	Licensed Representative	4	
LI Fung Wang	BRM284	Licensed Representative	2	
LI Liang	BCV179	Licensed Representative		9
LI Na	BRA667	Licensed Representative	9	1
LI Xian	BOL428	Licensed Representative	1, 4	
LI Yee Ki	BJA026	Licensed Representative	4	
LI Yiming	BIE646	Licensed Representative		1
LI Yong	BEV817	Licensed Representative	2, 5	
LI Zhenyin	ATN366	Licensed Representative	4	
LI Zhiqi	BJW556	Licensed Representative	4	6
LIANG Minfeng	BCE457	Licensed Representative	1, 4	
LIN Shan-Chih	BGY428	Licensed Representative		2, 4, 5, 9
LIN Tawei, Joseph	BFW676	Licensed Representative	10	1, 4, 6
LIU Baoshan	BRH237	Licensed Representative	9	
LIU Ruiming	BJR792	Licensed Representative	4	
LO Ming Cheung	BOB241	Licensed Representative	4	2
LO Wing Yan	BSW937	Licensed Representative	4	
LO Ye Chun, Edwin	BOH356	Licensed Representative	2	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LUI Sung Hing	BEZ147	Licensed Representative		4, 9
LUI Yin Sing	BRS333	Licensed Representative	4, 6	
LUK Kin Long, Canon	BPJ494	Licensed Representative		4, 5
LUK Quentin	BSW376	Licensed Representative	1	
LUO Jingjin	BPB465	Licensed Representative		6
MA Sing	ALY498	Licensed Representative	1	
MACINTOSH Andrew Keith	AQI388	Licensed Representative		4
MAK Tin Sang	BGM432	Licensed Representative		1
MISHRA RISHI	BMV275	Licensed Representative		7
MO Shuhua	BPX981	Licensed Representative	1, 4, 6	9
MOH Chuang Hue	ANX823	Licensed Representative	1, 4	
MOHAN Siddharth	AQW031	Licensed Representative	4, 5	
NG Ching Man	BST962	Licensed Representative	1, 6	
NG Ka Wai	APO972	Licensed Representative		1
OR Yu Yeung	BLI759	Licensed Representative	4, 9	
PAN Jiao	BGZ937	Licensed Representative		4
PANG Shu Wai William	AWY264	Licensed Representative		1, 4
POON To Sing	AAJ268	Licensed Representative		4, 9
QIU Anhong	BLT266	Licensed Representative	5	
QIU Lin	AYI706	Licensed Representative		1
QIU Zhihan	BRS653	Licensed Representative	1	
SCHOONMAKER Jennifer Feng	ASF061	Licensed Representative	4	
SEN Tung Ning	AFI668	Licensed Representative	1	
SIT Yu Hei	BIH496	Licensed Representative	4	2
SO Chiu Fung	BFC353	Licensed Representative		1
SON Joo Rak	BGK634	Licensed Representative		4, 5, 9
SONG Yiming	BRT131	Licensed Representative	2	
SONG Yu	ANU527	Licensed Representative	1, 4	
SUEN Yiu Cho	BGB443	Licensed Representative	2, 5	
SUN Gilbert Ken Bon	AZB607	Licensed Representative	3	
SUN Tianhao	BPQ090	Licensed Representative	5	1, 4
SUNG Hon Keung, Patrick	AEV224	Licensed Representative	2	
SUZUKI Yuya	BTB242	Licensed Representative	9	
TAM Chun Wing Ray	BNJ187	Licensed Representative		4, 9
TANG Man Lei	AVR839	Licensed Representative	4	
TIAN Guanhua	BOP471	Licensed Representative	4	
TO Kwok Chien, Ronald	AEN382	Licensed Representative		4
TSANG Wing Wah	ACI994	Licensed Representative	5	
TSE Sheung Ming Darren	AXP412	Licensed Representative	1	4, 9
TSE Wai Ching Ronald	BFJ142	Licensed Representative	4, 6	
TSUI Sai On	BQG426	Licensed Representative	9	
TSUI Sing Long	BRS098	Licensed Representative	9	2
WANG Guofan	BHU040	Licensed Representative	1, 9	
WANG Ruokun	BOE567	Licensed Representative	9	
WANG Weiwei	BPY842	Licensed Representative	9	1, 6
WANG Yufei	AZP336	Licensed Representative	6	
WANG Zhi	BNY758	Licensed Representative	4	
WANG Zhihan	BQL387	Licensed Representative	9	
WANG Zining	BRZ556	Licensed Representative	9	4
WIEMER Charles Daniel	ANQ742	Licensed Representative	7	4
WONG Yiu Wai, Antainy	BRW047	Licensed Representative	8	
WONG Cheuk Kwan	BCT992	Licensed Representative	4	
WONG Fai Yeung	BQW998	Licensed Representative		4
WONG Ho Keung	BIV025	Licensed Representative	4	
WONG Hou Hon	BHB342	Licensed Representative	2, 5	
WONG Kam Lam	AXH477	Licensed Representative	6	
WONG Mei Mui	BNI479	Licensed Representative	2	
WONG Shun King	BLW758	Licensed Representative		3
WONG Siu Nam	ADM812	Licensed Representative		4

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
WONG Suet Yi	ALH739	Licensed Representative		2
WONG Ting Ting	BSE126	Licensed Representative	2	
WONG Wai	APL830	Licensed Representative		1
WONG Wai Chow, Daren	ADM896	Licensed Representative		1, 2, 4
WONG Wai Kar	AJE109	Licensed Representative		1
WONG Wing Yun, Christopher	AFL705	Licensed Representative	4	
WU Kwok Yan, Eric	AVL147	Licensed Representative	9	7
WURFBAIN Oliver Roderick Joan	BPX674	Licensed Representative	7	
XIAO Jiajia	BOX069	Licensed Representative	10	1, 4
XIE Jingxin	BJC937	Licensed Representative	4	
XIN Yimo	BJP596	Licensed Representative	6	
XING Hechen	BSM585	Licensed Representative	4	
YANG Yi	BCU607	Licensed Representative	4, 9	1
YEUNG Shun Heung	AYY978	Licensed Representative	2	
YI Weibin	BKD231	Licensed Representative	9	6
YIP Cheuk Lai	BRO056	Licensed Representative	1, 2	6
YIP Chi Ho	APC509	Licensed Representative	5	
YIP Chun Wai	ACP491	Licensed Representative		2
YIP Hiu Tung	BPD542	Licensed Representative	9	
YOO Jihyeon	BNH154	Licensed Representative	2	
YU Ming Hui	AWP642	Licensed Representative	2, 4	
YU Ta-Wei	BPS592	Licensed Representative	9	
YU Wing Hung	AGH777	Licensed Representative		1
YUAN Runan	BRO914	Licensed Representative	9	1, 4
YUEN Tak Wai, Alexander	ASP425	Licensed Representative	9	1
YUEN Yee Bong Stephen	BGL203	Licensed Representative	4	
YUNG Wing Hung, Dominic	AFI615	Licensed Representative	2	
YUNG Yu Shing	ATB835	Licensed Representative	4	
ZANG Junyi	AZX276	Licensed Representative	4	
ZHANG Xiaoming	BJM396	Licensed Representative		1
ZHANG Yang	BNC049	Licensed Representative		1
ZHANG Zhiyun	BPH521	Licensed Representative	2	
ZHAO Haoyu	BST459	Licensed Representative	4, 9	
ZHAO Yan	BRG358	Licensed Representative	4	
ZHAO Yang	BHR796	Licensed Representative	1, 6	5, 9
ZHAO Yi	BFP699	Licensed Representative		4
ZHENG Xiaoling	BNH663	Licensed Representative	4, 9	6
ZHU Shasha	BSE369	Licensed Representative		2
ZHUGE Lianxin	BPK789	Licensed Representative	1	
ZOU Tianyu	BRS477	Licensed Representative	4, 9	1, 6
AGGARWAL Mayurh	APM135	Licensed Representative - Responsible Officer	2	
AU Chi Ying	ADJ878	Licensed Representative - Responsible Officer	1, 2, 6	
CHAN Che Hong, Bruce	AKQ590	Licensed Representative - Responsible Officer	1	
CHAN Kar Wah	ADS842	Licensed Representative - Responsible Officer	2	
CHAN Wing Fung Raymond Savio	BRK150	Licensed Representative - Responsible Officer	2	
CHAN Yuk Nam	AZL605	Licensed Representative - Responsible Officer	2, 5	
CHEN Hong	AQX058	Licensed Representative - Responsible Officer		1
CHENG Keung	ACO077	Licensed Representative - Responsible Officer	2	
CHEUNG Hei	APG437	Licensed Representative - Responsible Officer		4
CHIN Chi Kui	APQ984	Licensed Representative - Responsible Officer	4	
CHING Henry Chi Ho	BAR302	Licensed Representative - Responsible Officer	9	
CHU Huiji	AZL492	Licensed Representative - Responsible Officer	5	
FU Ming	ATI402	Licensed Representative - Responsible Officer	4, 9	
HUCKLE Philippa Jane	ADT856	Licensed Representative - Responsible Officer		1
JIA Jia	BHY707	Licensed Representative - Responsible Officer	4	
KIM Boeun	BIQ132	Licensed Representative - Responsible Officer	1, 2	
KOTECHA Vikesh	AUA341	Licensed Representative - Responsible Officer	1, 2	
KWOK Simon	AVQ920	Licensed Representative - Responsible Officer	2, 5	
LAI Wing Sze, Teresa Francesca	AEY359	Licensed Representative - Responsible Officer	1	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LALLY Harprit Singh	AHQ837	Licensed Representative - Responsible Officer		2
LAM Suen	AZQ775	Licensed Representative - Responsible Officer	4, 9	
LAU Kit Tin	BIC606	Licensed Representative - Responsible Officer	1, 4	
LAU Yan Kit	ARI646	Licensed Representative - Responsible Officer	4, 5	
LEE Chi Fung	ALH771	Licensed Representative - Responsible Officer	1	
LEE Yiu Kai, Godwin	AET319	Licensed Representative - Responsible Officer	1, 4	
LEE Yuen Tsun	BIM241	Licensed Representative - Responsible Officer	2, 5	
LI Yong	BEV817	Licensed Representative - Responsible Officer	1	
LIANG Wei Jen, William	AGU673	Licensed Representative - Responsible Officer	4	
LIU Huan Chieh, Gary	ADW756	Licensed Representative - Responsible Officer	4	
LIU Qingchuan	BDF375	Licensed Representative - Responsible Officer	4	
LU Rong	ACB322	Licensed Representative - Responsible Officer		1
LU xinzheng	BPR644	Licensed Representative - Responsible Officer		9
MA Wai Yee, Christine	AXK803	Licensed Representative - Responsible Officer		4
MALLOTT Timothy Charles	AUG689	Licensed Representative - Responsible Officer	7	
NG Siu Cho	AON640	Licensed Representative - Responsible Officer	4	
PARRILLI John C	BDX580	Licensed Representative - Responsible Officer		4
POON To Sing	AAJ268	Licensed Representative - Responsible Officer	1	
QIAN Bin	AZF076	Licensed Representative - Responsible Officer	6	
RICHDALÉ Kate Gaskell	AFZ874	Licensed Representative - Responsible Officer	9	
TANG Wa Kin	BGM127	Licensed Representative - Responsible Officer	1	
TEO Wee Meng, Calvin	ADY959	Licensed Representative - Responsible Officer	4	
TSANG Yiu Yeung	BIH886	Licensed Representative - Responsible Officer	4	
WAN Man Yi, Mandy	BBQ502	Licensed Representative - Responsible Officer	2	
WANG Vanessa	BIS581	Licensed Representative - Responsible Officer	9	
WONG Lai, Sarah	ANR234	Licensed Representative - Responsible Officer		6
WONG Wai	BAC295	Licensed Representative - Responsible Officer		6
YAM Kam Shing	AGE094	Licensed Representative - Responsible Officer	4	
YAN Kam Tong	ALT703	Licensed Representative - Responsible Officer	1, 2	
YANG Tsu-wei	AEB508	Licensed Representative - Responsible Officer		1
YE Yunping	BIG452	Licensed Representative - Responsible Officer	4	
YIP Ming Kwan	BCZ943	Licensed Representative - Responsible Officer		1
ZAMORA Aezel Ann Beltran	BRR079	Licensed Representative - Responsible Officer	2	
ZHANG Xiaomei	AKV310	Licensed Representative - Responsible Officer		9
ZHENG Long	AYA192	Licensed Representative - Responsible Officer	2	
ZHONG Xuqi	AVM870	Licensed Representative - Responsible Officer	5	
WONG Wing Chiu	BSR271	Licensed Representative (Provisional Licence)	1, 4	