

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2022

| CE Name | CE No. | Licence Type | Types of Regulated Activity |
|---------------------------------------------|--------|-------------------------|-----------------------------|
| AP Capital Limited | BRV239 | Licensed Corporation | 4, 9 |
| Bright Valley Capital Limited | BRB793 | Licensed Corporation | 9 |
| Capstone Investment Advisors (HK) Limited | BRY492 | Licensed Corporation | 9 |
| Centralex Financial Limited | BRR533 | Licensed Corporation | 1, 4, 9 |
| EBANG SECURITIES INTERNATIONAL (HK) LIMITED | BRZ843 | Licensed Corporation | 1 |
| FBG Global Assets Limited | BRW469 | Licensed Corporation | 4, 9 |
| Fiduciary International (Hong Kong) Limited | BRV500 | Licensed Corporation | 1, 4 |
| Fintech Investment Group Limited | BRG113 | Licensed Corporation | 4, 9 |
| GCO Asset Management Limited | BQO920 | Licensed Corporation | 9 |
| Grandshores Asset Management Limited | BRW833 | Licensed Corporation | 4, 9 |
| Green Renaissance Capital Limited | BRZ579 | Licensed Corporation | 4, 9 |
| Guo Jie Investment Holdings Limited | BSA416 | Licensed Corporation | 9 |
| Hisen Financial Group Limited | BRV554 | Licensed Corporation | 1, 4, 9 |
| Jin Yi Financial Group Limited | BRW210 | Licensed Corporation | 1, 2, 4, 5, 9 |
| LAV Asset Management (Hong Kong) Limited | BRT627 | Licensed Corporation | 9 |
| LSQ Capital Limited | BRQ875 | Licensed Corporation | 4, 9 |
| NeoSpective Capital Limited | BRX542 | Licensed Corporation | 4, 9 |
| Pamfleet Asset Management (HK) Limited | BRX760 | Licensed Corporation | 1, 4 |
| Pando Finance Limited | BRR710 | Licensed Corporation | 1, 4, 9 |
| Richwell Asset Management Limited | BRX238 | Licensed Corporation | 4, 9 |
| Suncorp Asset Management Limited | BRV255 | Licensed Corporation | 4, 9 |
| Syfe Hong Kong Limited | BRQ741 | Licensed Corporation | 1, 4, 9 |
| Techno Horizon Limited | BRA525 | Licensed Corporation | 4, 9 |
| AMAR Edouard Maurice | BRV741 | Licensed Representative | 9 |
| AU Man Ho Roy | BSI163 | Licensed Representative | 9 |
| AU Tze Fung | BSE602 | Licensed Representative | 1 |
| AU-YEUNG LAI CHU Doris | BSG608 | Licensed Representative | 1, 4 |
| BAN Daiki | BSJ142 | Licensed Representative | 4 |
| BASSALER Henri Clement | BSG967 | Licensed Representative | 2 |
| BASSANETS Artyom | BSI167 | Licensed Representative | 1, 9 |
| BASSERABIE Joshua Neach | BSJ361 | Licensed Representative | 1, 2 |
| BERGIS Edouard Denis | BHA016 | Licensed Representative | 1, 4, 9 |
| BHAIYA Nilesh | BSI310 | Licensed Representative | 4 |
| BI Yingyue | BSI884 | Licensed Representative | 1 |
| BIAN Wenjiao | BSJ399 | Licensed Representative | 4 |
| CAI Huaiyao | BSI361 | Licensed Representative | 1 |
| CAI Shiyuan | BSG486 | Licensed Representative | 1 |
| CAO Yiqi | BSI519 | Licensed Representative | 1, 4, 6 |
| CHAK King Hang | BSI862 | Licensed Representative | 1, 4, 9 |
| CHAN Chiu Wun Leonard | BSH113 | Licensed Representative | 1, 2 |
| CHAN CHUN YAN | BSJ089 | Licensed Representative | 4, 9 |
| CHAN Chun Yue | BSI173 | Licensed Representative | 1, 2 |
| CHAN Jonathan Daniel | BRK736 | Licensed Representative | 4, 9 |
| CHAN Junson | BMT311 | Licensed Representative | 1, 4 |
| CHAN Kwok Wai | BSJ425 | Licensed Representative | 1, 4, 9 |
| CHAN Kwong Fat, George | AFU058 | Licensed Representative | 9 |
| CHAN On Ni | BSJ082 | Licensed Representative | 1 |
| CHAN Wai | BSJ621 | Licensed Representative | 1 |
| CHAN Yik Chung | BSB828 | Licensed Representative | 1, 4 |

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2022

| CE Name | CE No. | Licence Type | Types of Regulated Activity |
|-----------------------------------|--------|-------------------------|-----------------------------|
| CHAN Ying Kit Steven | BSF686 | Licensed Representative | 9 |
| CHAN Yu Hing | BSG679 | Licensed Representative | 1, 6 |
| CHAN Yuen Lam | BSH324 | Licensed Representative | 1 |
| CHANG Yunsheng | BSE905 | Licensed Representative | 1, 6 |
| CHAU Ronson | BSL568 | Licensed Representative | 1, 6 |
| CHAVRY Charles Nicolas Christophe | BSK183 | Licensed Representative | 1, 2 |
| CHEN Chen | BSJ289 | Licensed Representative | 1, 6 |
| CHEN Hang | BQV156 | Licensed Representative | 1, 4 |
| CHEN Hanjue | BSB859 | Licensed Representative | 1, 6 |
| CHEN Jiahui | BSE903 | Licensed Representative | 1, 6 |
| CHEN Junhui | AXW582 | Licensed Representative | 9 |
| CHEN Kenny | AYA774 | Licensed Representative | 1, 4 |
| CHEN Liqiu | BSH673 | Licensed Representative | 1, 2, 4 |
| CHEN Shiming | BSG181 | Licensed Representative | 1, 6 |
| CHEN Shuyi | BSG246 | Licensed Representative | 1, 4, 6 |
| CHEN Wei | BSH221 | Licensed Representative | 1, 4, 6 |
| CHEN Xingming | BSH712 | Licensed Representative | 1, 4, 6 |
| CHEN You | BJT331 | Licensed Representative | 1, 4 |
| CHEN Youchen | BSI681 | Licensed Representative | 1, 4, 6 |
| CHEN Zhishuang | BSK017 | Licensed Representative | 1, 2, 7 |
| CHEN Zhiyi | BJU798 | Licensed Representative | 4, 9 |
| CHEN Zhuo | BSF820 | Licensed Representative | 1, 4, 6 |
| CHEN Ziyue | BSG003 | Licensed Representative | 4 |
| CHENG Loi Ming | BEW497 | Licensed Representative | 4 |
| CHENG Siyuan | AYI803 | Licensed Representative | 9 |
| CHENG Sze Ming, Rebecca | AAE443 | Licensed Representative | 1 |
| CHENG Tzu-An | BSF689 | Licensed Representative | 1 |
| CHENG Zhaohao | BSH951 | Licensed Representative | 1, 6 |
| CHEONG Jihun | AVI322 | Licensed Representative | 10 |
| CHEUNG Hetti Ho-Mun | BSJ144 | Licensed Representative | 1, 4, 9 |
| CHEUNG Ka Kiu | BSH160 | Licensed Representative | 1, 4, 9 |
| CHEUNG King Wing Raymond | BQM509 | Licensed Representative | 1, 4 |
| CHEUNG Lai Ha | BQU113 | Licensed Representative | 1, 4, 9 |
| CHEUNG Man Yi | BSI281 | Licensed Representative | 1 |
| CHEUNG So Ying | BSI338 | Licensed Representative | 1, 6 |
| CHEUNG Yik Fung | BSI873 | Licensed Representative | 1, 2, 4 |
| CHING Ngai Cheung | BSH030 | Licensed Representative | 1, 2 |
| CHIU Ka Man, Christine | ARV873 | Licensed Representative | 1 |
| CHIU Kai-ping Calvin | BSB793 | Licensed Representative | 1, 4, 9 |
| CHIU Pit Lap, Philip | ALS667 | Licensed Representative | 1, 4 |
| CHIU Te Fen | BSI525 | Licensed Representative | 1, 4, 9 |
| CHOI CHI HIN | BSB832 | Licensed Representative | 1, 4 |
| CHOI Kyuwan | BSI164 | Licensed Representative | 9 |
| CHOI Stephen | AHA284 | Licensed Representative | 1, 4 |
| CHOW An Heng | BSI011 | Licensed Representative | 6 |
| CHU Chun Ho | BSK093 | Licensed Representative | 9 |
| CHUNG KAI MAN | BSI353 | Licensed Representative | 1, 4 |
| COUGHLIN Ryan Joseph | BSA074 | Licensed Representative | 1, 4 |
| COURY Dallas Layne Maxime | BSI608 | Licensed Representative | 9 |

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| CE Name | CE No. | Licence Type | Types of Regulated Activity |
|---------------------------------|--------|-------------------------|-----------------------------|
| CUI Cenjing | BSJ617 | Licensed Representative | 1 |
| CUI Xinyuan | BGI902 | Licensed Representative | 6 |
| DAI Danmiao | BSH329 | Licensed Representative | 4 |
| DARGIE Peter Clarke | BEO577 | Licensed Representative | 9 |
| DARLING Scott Lee | AYC249 | Licensed Representative | 4 |
| DAS Aniruddha | BSI893 | Licensed Representative | 1, 4 |
| DE CANIO GIROLAMO | BSL943 | Licensed Representative | 1 |
| DENG Wenzheng | BSE079 | Licensed Representative | 1, 6 |
| DING Beiyu | BSG049 | Licensed Representative | 4 |
| DING MENG | BSH286 | Licensed Representative | 4 |
| DING Xu | BSG749 | Licensed Representative | 1, 4, 6 |
| DONG Chao | BSI026 | Licensed Representative | 1, 4, 6 |
| DONG Wei | BSG242 | Licensed Representative | 4 |
| DONG Yujia | AWH332 | Licensed Representative | 1, 6 |
| DU Dequan | BSH496 | Licensed Representative | 1, 4, 6 |
| DU Yaqi | BSH298 | Licensed Representative | 1, 4, 9 |
| DU Yi | BSH963 | Licensed Representative | 1, 6 |
| DUAN Xianfeng | BSG839 | Licensed Representative | 1, 6 |
| FAN Zhuoyi | BQS689 | Licensed Representative | 4, 9 |
| FAVRE FELIX Adrien Pierre Louis | BFS983 | Licensed Representative | 1, 2 |
| FENG Yongyi | BSI609 | Licensed Representative | 1, 2 |
| FOO Yi Shi Megan | BSI228 | Licensed Representative | 1, 2 |
| FU Chi Ho Clement | BSG643 | Licensed Representative | 6 |
| FU Yu | BOE212 | Licensed Representative | 1, 4, 9 |
| FUHRER Sandrine Michele Andree | BSI208 | Licensed Representative | 1 |
| FUNG Natalie Yueching | BSJ627 | Licensed Representative | 1, 4 |
| GAO Jimmy Z | BRI087 | Licensed Representative | 1, 6 |
| GAO Jue | BSG748 | Licensed Representative | 4, 9 |
| GENEVRIER Paul Emile | BSI645 | Licensed Representative | 1, 2 |
| GENG Yao | BSG026 | Licensed Representative | 1, 4, 6 |
| GU Hongbo | BSJ415 | Licensed Representative | 1, 4, 6 |
| GUAN Jianhui | BSM263 | Licensed Representative | 2 |
| GUI Mingxing | BSE723 | Licensed Representative | 1, 4, 9 |
| GUO Ka | BSG774 | Licensed Representative | 4, 5, 9 |
| GUO Mengge | BSG708 | Licensed Representative | 1, 6 |
| GUO Weixiu | BSI157 | Licensed Representative | 1, 4 |
| GUO Yuting | BSF998 | Licensed Representative | 4 |
| HALDER Shubhroparno | BSJ025 | Licensed Representative | 1, 2 |
| HAM Tae Jin | BSI569 | Licensed Representative | 1 |
| HAN Xintong | ATT451 | Licensed Representative | 1, 2, 4 |
| HAN Zhuorui | BSG300 | Licensed Representative | 1, 4 |
| HANG Tiantian | BSG569 | Licensed Representative | 9 |
| HAO Chengbo | BSG475 | Licensed Representative | 1, 6 |
| HE Jing | BSK026 | Licensed Representative | 4, 9 |
| HE Qidi | BSH471 | Licensed Representative | 9 |
| HO Choy Mei Sarah | BNO303 | Licensed Representative | 1, 2 |
| HO Kwan Pak | AIH718 | Licensed Representative | 9 |
| HO Long Wa Jeremy | BSG635 | Licensed Representative | 1, 4, 9 |
| HO Sze Ting | BQP717 | Licensed Representative | 9 |

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| CE Name | CE No. | Licence Type | Types of Regulated Acitivity |
|-------------------------|--------|-------------------------|------------------------------|
| HO Sze Wai | BSH344 | Licensed Representative | 1, 4, 6 |
| HOW Jun-Xiong, Melvin | BSI484 | Licensed Representative | 1 |
| HSIEH Yi Sian | BRY943 | Licensed Representative | 1, 4, 9 |
| HSU Chia Yang | BSG461 | Licensed Representative | 1, 4 |
| HU Hui | BSH224 | Licensed Representative | 4 |
| HU Mengyue | BSH953 | Licensed Representative | 1, 6 |
| HU Teyi | BRY563 | Licensed Representative | 1, 6 |
| HUANG Chao | AZE532 | Licensed Representative | 1, 4 |
| HUANG Kai | BSA390 | Licensed Representative | 1, 6 |
| HUANG Qichao | BSI694 | Licensed Representative | 9 |
| HUANG Shiyi | BSG410 | Licensed Representative | 1, 4, 9 |
| HUANG Xinwen | BSH938 | Licensed Representative | 1, 4 |
| HUANG Xinyan | BSG279 | Licensed Representative | 1, 4, 6 |
| HUANG Yimin | BSI789 | Licensed Representative | 4 |
| HUI Hu | BSH867 | Licensed Representative | 1 |
| HUI Pu | BSE005 | Licensed Representative | 1, 4 |
| IP Wai Shan | AUR645 | Licensed Representative | 9 |
| JEN To | BSG797 | Licensed Representative | 4 |
| JEONG Sewon Hannah | BJM320 | Licensed Representative | 1, 4 |
| JI Xing | BSF950 | Licensed Representative | 4 |
| JIA Mengchi | BSI318 | Licensed Representative | 9 |
| JIA Xinghua | BSH462 | Licensed Representative | 1, 6 |
| JIAN Weiyong | BSH971 | Licensed Representative | 1, 4, 9 |
| JIANG Guangyao | BSJ573 | Licensed Representative | 1, 6 |
| JIANG Guo | BSH271 | Licensed Representative | 1, 6 |
| JIANG Guorong | BSE906 | Licensed Representative | 1, 6 |
| JIANG Shuang | BSF659 | Licensed Representative | 1, 4 |
| JIANG Xin | BSH011 | Licensed Representative | 4 |
| JIANG Yuhao | BSH974 | Licensed Representative | 1, 6 |
| JIANHAO MICHAEL ZENG | BSH491 | Licensed Representative | 9 |
| JIE Yujia | BSH846 | Licensed Representative | 1 |
| JIN Julia | BSL552 | Licensed Representative | 1, 6 |
| JIN Yingzi | AML454 | Licensed Representative | 1, 4 |
| JU Liyun | BSJ378 | Licensed Representative | 4 |
| KAGA Maximilian Shotaro | BIC284 | Licensed Representative | 1, 6 |
| KANG Jianhong | BEA815 | Licensed Representative | 1, 6 |
| KAWAMURA Miho | BSJ047 | Licensed Representative | 4 |
| KAYE Patrick Jon | ALG236 | Licensed Representative | 1, 4 |
| KIM Daniel Jin | BSG963 | Licensed Representative | 1, 4, 6 |
| KIM Hee Sun | BSJ362 | Licensed Representative | 10 |
| KO Kit Yan | BQN727 | Licensed Representative | 1, 4 |
| KO Mei Yin, Emily | AYA149 | Licensed Representative | 1, 4 |
| KONG Lingshu | BPJ277 | Licensed Representative | 1, 4, 6 |
| KONG Shin Yue, Jessica | BSG846 | Licensed Representative | 1, 2, 3 |
| KOO Wing Tung Stephanie | BSI162 | Licensed Representative | 1, 2 |
| KOPITSIS Nicolas | BHK145 | Licensed Representative | 1, 4 |
| KUAN Yun Pei | BSH433 | Licensed Representative | 1 |
| KUANG Jianyun | BQC208 | Licensed Representative | 1, 4, 9 |
| KUMAR Neha | BSK263 | Licensed Representative | 1 |

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| CE Name | CE No. | Licence Type | Types of Regulated Activity |
|-------------------------------|--------|-------------------------|-----------------------------|
| KWAN Long Hans | BSG332 | Licensed Representative | 4 |
| KWOK Alex Yiu Chung | BIR488 | Licensed Representative | 1, 2, 9 |
| KWOK Yuen Ting | ACD384 | Licensed Representative | 1, 4 |
| KWONG Wing Yan | BSH972 | Licensed Representative | 1, 4 |
| LAI Hiu Laam Justin | BRS678 | Licensed Representative | 1, 2 |
| LAM Ka Man | BSI857 | Licensed Representative | 2 |
| LAM Kin Kwok Ken | BSF728 | Licensed Representative | 1, 4 |
| LAM Wai Wang | BQF401 | Licensed Representative | 1, 2 |
| LAW Chun Hin | BOC701 | Licensed Representative | 9 |
| LEE Chun Man | AJE100 | Licensed Representative | 1, 4 |
| LEE Jong Hun | BSJ520 | Licensed Representative | 1, 4, 6 |
| LEE Kenneth | BGW789 | Licensed Representative | 1, 4 |
| LEE Lai Wa | BSI177 | Licensed Representative | 1 |
| LEE Man Chiu | BSI073 | Licensed Representative | 1, 2 |
| LEE Man Hon | BSI071 | Licensed Representative | 4, 9 |
| LEE Seunghyon | BSF981 | Licensed Representative | 1 |
| LEE Yuen Mei | BJM393 | Licensed Representative | 1, 2 |
| LEUNG Cheuk Hang Matthew | BSF256 | Licensed Representative | 1, 4 |
| LEUNG Kin Chung Jason | BSH700 | Licensed Representative | 1, 2 |
| LEUNG Lok Yin | BSH283 | Licensed Representative | 4 |
| LEUNG Shing Yam | BSJ756 | Licensed Representative | 4 |
| LEUNG Wing Kiu Rose Catherine | BSI824 | Licensed Representative | 1, 2, 9 |
| LI Chang | BSG368 | Licensed Representative | 1, 4, 6 |
| LI Changyu | BQT376 | Licensed Representative | 1, 6 |
| LI Chao | BSG539 | Licensed Representative | 1, 4, 6 |
| LI Chung Man | BSI138 | Licensed Representative | 1, 4 |
| LI Dan | BSI016 | Licensed Representative | 1, 4, 6 |
| LI En | BSG928 | Licensed Representative | 1, 6 |
| LI Gengyu | BSJ021 | Licensed Representative | 1, 2 |
| LI Heng | BSH276 | Licensed Representative | 1, 6 |
| LI Jiahui | BRZ815 | Licensed Representative | 4 |
| LI Jieni | BSI172 | Licensed Representative | 1 |
| LI Lin | BIO011 | Licensed Representative | 9 |
| LI Lingzhi | BSF619 | Licensed Representative | 1, 6 |
| LI Qin | BSG248 | Licensed Representative | 1, 4, 6 |
| LI Shuhao | BNO837 | Licensed Representative | 6 |
| LI Xin | ASZ582 | Licensed Representative | 4 |
| LI Yang | BSG960 | Licensed Representative | 1, 4, 6 |
| LI Yixiao | BSH178 | Licensed Representative | 1, 4, 6 |
| LIANG Yiran | BSH336 | Licensed Representative | 1, 4, 6 |
| LIAO Xian Hao Jonathan | BSL563 | Licensed Representative | 1, 4 |
| LIM Ping Wai | AUC466 | Licensed Representative | 4, 6, 9 |
| LIM Yui Sing | BGU684 | Licensed Representative | 1, 4, 9 |
| LIN Hao | BSH900 | Licensed Representative | 1, 2, 4 |
| LIN Lechen | BSG707 | Licensed Representative | 1, 6 |
| LIN Shixiao | BSH300 | Licensed Representative | 1, 2, 4 |
| LIN Xueting | BSJ143 | Licensed Representative | 4 |
| LIN Yu Hsiang | BSH230 | Licensed Representative | 1, 2 |
| LING Siu Leung | BGN434 | Licensed Representative | 10 |

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| CE Name | CE No. | Licence Type | Types of Regulated Activity |
|----------------------------|--------|-------------------------|-----------------------------|
| LING Yu Ting Michael | BSI706 | Licensed Representative | 1 |
| LIU Chang | BSL546 | Licensed Representative | 1, 6 |
| LIU Chengli | BSH093 | Licensed Representative | 1, 6 |
| LIU Chuyu | BSB976 | Licensed Representative | 1, 6 |
| LIU Haochen | BSI083 | Licensed Representative | 1, 6 |
| LIU Haodong | BSG733 | Licensed Representative | 1, 6 |
| LIU Kuibo | BSH947 | Licensed Representative | 1, 6 |
| LIU Lingyun | BSJ199 | Licensed Representative | 6 |
| LIU Ruichen | BSH658 | Licensed Representative | 1, 4, 6 |
| LIU Shuyang | BSG455 | Licensed Representative | 1, 4, 6 |
| LIU Yan | BSI122 | Licensed Representative | 1, 4, 6 |
| LIU Yongze | BSG538 | Licensed Representative | 1, 4, 6 |
| LIU Yujun | BSH029 | Licensed Representative | 4, 9 |
| LIU Zhetao | BSG180 | Licensed Representative | 1, 6 |
| LIU Zuosheng | BSH964 | Licensed Representative | 1, 6 |
| LO Chun Fung | BGB598 | Licensed Representative | 1 |
| LO Kwok On | AUQ664 | Licensed Representative | 4, 9 |
| LOH Soo Peng | BSH973 | Licensed Representative | 4 |
| LOH Terence Paul Keng Ann | ART645 | Licensed Representative | 1, 4 |
| LONG Ruiwen | BSH163 | Licensed Representative | 1, 4 |
| LU Kaitlyn Danping | BSI216 | Licensed Representative | 1 |
| LU Qianming | BSB845 | Licensed Representative | 1, 4, 6 |
| LUK Chun Hong | BSH018 | Licensed Representative | 1, 2, 4, 5 |
| LUNG Ka Ming | BQM758 | Licensed Representative | 1, 4 |
| LUO Ao | BIZ162 | Licensed Representative | 4, 9 |
| LUO Jing | BSG406 | Licensed Representative | 1, 4, 6 |
| LYU Ju | BSI709 | Licensed Representative | 1, 2, 9 |
| LYU Peihang | BSE475 | Licensed Representative | 1, 4 |
| MA Chun Chi Timothy | BSE553 | Licensed Representative | 9 |
| MA Guoqin | BSH189 | Licensed Representative | 9 |
| MACDONALD Fraser Alexander | BSH384 | Licensed Representative | 1 |
| MAI Shaofeng | BSB876 | Licensed Representative | 1, 4, 6 |
| MAK Gordon Yuen Pang | BSH245 | Licensed Representative | 1 |
| MALONE Timothy Joseph | AXV753 | Licensed Representative | 4 |
| MAO Lin | BSE525 | Licensed Representative | 1, 6 |
| MAO Neng | BSG245 | Licensed Representative | 1, 4, 6 |
| MENG Zhu | BSF563 | Licensed Representative | 1, 6 |
| MIN Kyungmin | BSJ353 | Licensed Representative | 9 |
| MO Wanpin | BSI925 | Licensed Representative | 1 |
| NG Cheuk Him | BSG600 | Licensed Representative | 1, 2 |
| NG Ka Lun | BSF595 | Licensed Representative | 4, 9 |
| NG Kai Man, Edgar | AKW948 | Licensed Representative | 9 |
| NG Season Gregory | AGI713 | Licensed Representative | 4, 9 |
| NG Wai Tak | BCY955 | Licensed Representative | 1, 4 |
| NG YIN HEI Pansy | BSI825 | Licensed Representative | 1, 4, 9 |
| NIU Ziming | BOD145 | Licensed Representative | 1, 4 |
| OH Rui Juan | BSJ619 | Licensed Representative | 1, 2 |
| ONG Seah Tatt Jonah Dominc | BOH906 | Licensed Representative | 1, 4, 9 |
| ORTON Jamie | AZD119 | Licensed Representative | 1, 7 |

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| CE Name | CE No. | Licence Type | Types of Regulated Acitivity |
|------------------------|--------|-------------------------|------------------------------|
| PAN Beier | BSG482 | Licensed Representative | 1, 4, 6 |
| PAN Yang | BSJ240 | Licensed Representative | 1, 4, 6 |
| PANG Tsz Sin | BSJ589 | Licensed Representative | 1 |
| PAT Ki Yung | BSI604 | Licensed Representative | 1, 4 |
| PENG Zhu | BSI312 | Licensed Representative | 4 |
| POLONI Cedric Alain | BSH296 | Licensed Representative | 1 |
| POON Yik Tung | BSJ017 | Licensed Representative | 1, 2 |
| QIN Yunshan | BJG589 | Licensed Representative | 9 |
| RAYANE Mehdi | BSI652 | Licensed Representative | 1, 2 |
| SANG Zijing | BIX210 | Licensed Representative | 9 |
| SHAO Yiwen | BRX631 | Licensed Representative | 1, 4 |
| SHEK Lok Kan | BSI612 | Licensed Representative | 1, 4, 9 |
| SHEN Jianguo | BSK177 | Licensed Representative | 4 |
| SHEN Xu | BSG573 | Licensed Representative | 1, 4, 9 |
| SHEN Yi | BSH061 | Licensed Representative | 1, 6 |
| SI Qi | BSJ002 | Licensed Representative | 4 |
| SIN Hiu Fung | BSJ583 | Licensed Representative | 1, 2 |
| SOH Zheng Yang | BSJ383 | Licensed Representative | 1, 4, 6 |
| SOMASUNDARAM Narayanan | BSI720 | Licensed Representative | 1, 4 |
| SOMJI Alykhan Nurudeen | BSJ780 | Licensed Representative | 1, 2 |
| SONG Jiwon | BSI102 | Licensed Representative | 1, 6 |
| SOONG Jia-Shin Jessie | BSI985 | Licensed Representative | 1, 2 |
| STIRRUP Gemma Susanne | BSL783 | Licensed Representative | 1, 2 |
| SU Hong | BSH443 | Licensed Representative | 4, 5 |
| SU Ying | BSI618 | Licensed Representative | 1, 2, 4, 5 |
| SUN Kaiwen | BIH180 | Licensed Representative | 1 |
| SUN Kwun Tak, Thomas | AIP202 | Licensed Representative | 1, 4 |
| SUN Zhao | BSG544 | Licensed Representative | 1, 4, 6 |
| SUN ZI | BSI311 | Licensed Representative | 9 |
| SUN Zongwei | BSG492 | Licensed Representative | 9 |
| SZETO Mei Mui | APH267 | Licensed Representative | 1, 4 |
| TAI Yan Lam | BSJ967 | Licensed Representative | 1 |
| TAM Wai Ho, Joseph | BDJ927 | Licensed Representative | 1, 2, 9 |
| TAN Jun | BSE287 | Licensed Representative | 1, 6 |
| TAN Xiaowen | BSF481 | Licensed Representative | 1, 4, 9 |
| TANG Hiu Wing | BSL548 | Licensed Representative | 4 |
| TANG Man Lei | AVR839 | Licensed Representative | 9 |
| TANG Xiaoge | BSI290 | Licensed Representative | 4 |
| TANG Xiaojing | BSG869 | Licensed Representative | 1, 4, 6 |
| TOM Edward | ANF158 | Licensed Representative | 1, 2 |
| TONG Tan Wa | BSB827 | Licensed Representative | 1, 4 |
| TONG XING | BSF685 | Licensed Representative | 4 |
| TSANG Tsz Ching, Mia | BSH708 | Licensed Representative | 1, 4, 9 |
| TSANG Wai Ki | BSI585 | Licensed Representative | 4 |
| TSANG Yuk Yin | BCO592 | Licensed Representative | 1, 2, 4 |
| TSENG Li Chi | BSL875 | Licensed Representative | 1, 4 |
| TSUI SIU HIN | BSH955 | Licensed Representative | 1, 4 |
| TUNG Li Chen | BSI874 | Licensed Representative | 1, 2, 4 |
| WANG BO | BSI008 | Licensed Representative | 4, 9 |

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|--------------------------|--------|-------------------------|-----------------------------|
| WANG Chulun | BSI866 | Licensed Representative | 1, 4, 6 |
| WANG Di | BSI359 | Licensed Representative | 1, 2 |
| WANG Hu | BSG833 | Licensed Representative | 1, 4, 9 |
| WANG Jingying | BSH014 | Licensed Representative | 1, 4, 6 |
| WANG Kaidi | BSG705 | Licensed Representative | 1, 4, 7 |
| WANG KUNYU | BSJ773 | Licensed Representative | 1, 2, 4 |
| WANG Lingzhi | BSG999 | Licensed Representative | 1, 4 |
| WANG Shaohui | BSI933 | Licensed Representative | 1, 4, 9 |
| WANG Siyu | BSG627 | Licensed Representative | 1, 4, 6 |
| WANG Teng | BSH497 | Licensed Representative | 1, 6 |
| WANG Tianming | BSB841 | Licensed Representative | 1, 6 |
| WANG Wai Ho | BSG941 | Licensed Representative | 1, 4, 9 |
| WANG Wei | BMU938 | Licensed Representative | 1, 4, 6 |
| WANG Wenbo | BSH944 | Licensed Representative | 1, 6 |
| WANG Xiaorui | BNH572 | Licensed Representative | 1 |
| WANG Xinming | BSJ461 | Licensed Representative | 1, 2 |
| WANG Xueheng | BSH225 | Licensed Representative | 4 |
| WANG Yuhang | BSH272 | Licensed Representative | 1, 6 |
| WANG Zhuangsheng | BSH338 | Licensed Representative | 1, 4, 6 |
| WEI Meng | BSH468 | Licensed Representative | 1, 6 |
| WEI Shuyong | BNF156 | Licensed Representative | 4 |
| WEN Xiao | BGL467 | Licensed Representative | 1, 6 |
| WEN Yan | BSG838 | Licensed Representative | 1, 4, 6 |
| WENG Moyue | BPX684 | Licensed Representative | 9 |
| WONG Michael Ho-yeung | BSJ586 | Licensed Representative | 4, 9 |
| WONG Chin Wai Raymond | BLE917 | Licensed Representative | 1, 4 |
| WONG Chun Fai | BSI145 | Licensed Representative | 1 |
| WONG Fiona Ka Kei | BSJ564 | Licensed Representative | 1, 4 |
| WONG KA FAI | BSJ477 | Licensed Representative | 4, 9 |
| WONG Kin Wei | BSJ228 | Licensed Representative | 1 |
| WONG Kwok Ping | BHW629 | Licensed Representative | 6 |
| WONG Lap Bon | BSH340 | Licensed Representative | 1, 4, 9 |
| WONG Leung Hang, Simpson | AIC643 | Licensed Representative | 9 |
| WONG Ming Ho Leo | BPX072 | Licensed Representative | 1, 4, 9 |
| WONG Nai Chun | AOX334 | Licensed Representative | 1 |
| WONG Wai Kong, Edman | APJ853 | Licensed Representative | 1 |
| WONG Yik Chai | BSJ964 | Licensed Representative | 1, 2 |
| WONG Yuk Ling Angel | BSI956 | Licensed Representative | 4 |
| WOO NOK YIN | BSI855 | Licensed Representative | 1 |
| WU Jiaming | BSE653 | Licensed Representative | 1, 6 |
| WU Ya Lan | AJL550 | Licensed Representative | 1, 2 |
| WU Zheren | BSH958 | Licensed Representative | 9 |
| XIA Yuanyuan | BSG962 | Licensed Representative | 1, 4, 6 |
| XIAO Jincheng | BSH246 | Licensed Representative | 1, 4 |
| XIE Ludan | BSG652 | Licensed Representative | 1, 4 |
| XIE Yuting | BSE709 | Licensed Representative | 1, 6 |
| XU Licheng | BSG625 | Licensed Representative | 1, 4, 6 |
| XU Tianyu | BSH967 | Licensed Representative | 1, 6 |
| XU Yi | BSF662 | Licensed Representative | 1, 4, 9 |

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2022

| CE Name | CE No. | Licence Type | Types of Regulated Activity |
|--------------------|--------|-------------------------|-----------------------------|
| XU Ziyao | BHU591 | Licensed Representative | 1 |
| XUE Yimin | BSG836 | Licensed Representative | 4 |
| YAM Chi Hung | BSJ134 | Licensed Representative | 1, 6 |
| YAMAKAWA Jun | BSJ365 | Licensed Representative | 9 |
| YAN Yichen | BKY607 | Licensed Representative | 1, 4, 9 |
| YANG Bing | ATX912 | Licensed Representative | 1, 6 |
| YANG BO KYUNG | BSH981 | Licensed Representative | 9 |
| YANG Bohan | AWH555 | Licensed Representative | 4, 9 |
| YANG JUE | BSM262 | Licensed Representative | 1, 4 |
| YANG Tianwen | BSJ179 | Licensed Representative | 1 |
| YANG Wenbo | BSF993 | Licensed Representative | 9 |
| YANG Xiaoqing | BRY559 | Licensed Representative | 1, 4 |
| YANG Yunfeng | BSJ590 | Licensed Representative | 1 |
| YAO Jiashan | BSH897 | Licensed Representative | 6 |
| YAO Qiyou | BSH319 | Licensed Representative | 1, 4 |
| YE XINLEI | BRC022 | Licensed Representative | 9 |
| YEO Alvin Wen Hong | BSH256 | Licensed Representative | 1, 2 |
| YIN Min | BSI017 | Licensed Representative | 1, 6 |
| YIP Chi On Charlie | BSI050 | Licensed Representative | 4, 9 |
| YIP Kwan Tai | BSI642 | Licensed Representative | 1, 4 |
| YIP Pui Man Hayley | BSI834 | Licensed Representative | 1 |
| YIU Che Man Beryl | ADH553 | Licensed Representative | 9 |
| YONEOKA Hideaki | BDX787 | Licensed Representative | 1, 2 |
| YOO Ayoung | BSJ664 | Licensed Representative | 1, 4 |
| YOON Hayoung | BSH710 | Licensed Representative | 1, 6 |
| YU Da | BSG247 | Licensed Representative | 1, 4, 6 |
| YU Guangyuan | BSJ961 | Licensed Representative | 1, 4, 6 |
| YU Hanping | BSH297 | Licensed Representative | 1, 4, 6 |
| YU Mingfei | BSI728 | Licensed Representative | 1, 4, 9 |
| YU Xingxin | BSI320 | Licensed Representative | 1, 4 |
| YU Yik Ki | ARH744 | Licensed Representative | 1, 4 |
| YUAN Yuchen | BNH355 | Licensed Representative | 2, 5 |
| YUE Lingkun | BSJ075 | Licensed Representative | 1, 4, 6 |
| YUEN Siu Fung | AFO695 | Licensed Representative | 4, 9 |
| YUEN Tin Hang Ivan | BSH970 | Licensed Representative | 1, 4 |
| YUN Sangin | BSM012 | Licensed Representative | 9 |
| ZHAN Qiwen | BSH356 | Licensed Representative | 1 |
| ZHANG Chenyu | BSH840 | Licensed Representative | 4, 9 |
| ZHANG Danni | BSG917 | Licensed Representative | 1, 4, 5, 9 |
| ZHANG Dong | BSI227 | Licensed Representative | 1, 4 |
| ZHANG Guanyu | BSH275 | Licensed Representative | 1, 6 |
| ZHANG Haohan | BSJ352 | Licensed Representative | 1, 4, 6 |
| ZHANG Jiayi | BSJ026 | Licensed Representative | 4 |
| ZHANG Jincheng | ATT807 | Licensed Representative | 1, 4, 9 |
| ZHANG Jundong | BRY570 | Licensed Representative | 1, 4 |
| ZHANG Linyue | BNE751 | Licensed Representative | 9 |
| ZHANG Peihong | BSG572 | Licensed Representative | 1, 6 |
| ZHANG Qian | BSH155 | Licensed Representative | 1, 2 |
| ZHANG WEIJING | BSH946 | Licensed Representative | 1, 6 |

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2022

| CE Name | CE No. | Licence Type | Types of Regulated Activity |
|----------------------|--------|-----------------------------------------------|-----------------------------|
| ZHANG Zheng | AMS447 | Licensed Representative | 1, 4, 6 |
| ZHANG Zhibang | BSJ772 | Licensed Representative | 4 |
| ZHANG Ziding | BSB840 | Licensed Representative | 1, 4 |
| ZHANG Zizi | BRT366 | Licensed Representative | 1, 4, 6 |
| ZHAO Yang | BSH088 | Licensed Representative | 1 |
| ZHONG Jiale | BSF844 | Licensed Representative | 1, 4 |
| ZHONG Xiaoyang | BSG750 | Licensed Representative | 1, 6 |
| ZHOU Aochen | BSH273 | Licensed Representative | 1, 6 |
| ZHOU Jiangchao | BRY035 | Licensed Representative | 1, 4 |
| ZHOU Peng | BSI036 | Licensed Representative | 1, 4 |
| ZHOU Puchan | BSH925 | Licensed Representative | 1, 6 |
| ZHOU Yang | BSF949 | Licensed Representative | 4 |
| ZHOU Yuping | BGX446 | Licensed Representative | 9 |
| ZHU Feng | BSG916 | Licensed Representative | 1, 4 |
| ZHU Gaoming | BSH919 | Licensed Representative | 1, 2, 4 |
| ZHU Lin | BSH274 | Licensed Representative | 1, 6 |
| ZHU Sihan | BSF597 | Licensed Representative | 1, 6 |
| ZHUANG Tingzhou | BQZ933 | Licensed Representative | 4 |
| ZHUANG Yiqing | BSD974 | Licensed Representative | 1, 6 |
| ZHUO Delin | BSE161 | Licensed Representative | 1, 4 |
| ZOU Jing | BRY575 | Licensed Representative | 1, 4 |
| ZOU Luoyi | BQI638 | Licensed Representative | 1, 2, 7 |
| ZUO Dingliang | BAH731 | Licensed Representative | 1, 4, 6 |
| BAUMANN Martin Peter | BRH168 | Licensed Representative - Responsible Officer | 4, 9 |
| CHAN Vivian Rivera | BNU407 | Licensed Representative - Responsible Officer | 4, 9 |
| CHEN Fei | BRM416 | Licensed Representative - Responsible Officer | 4, 9 |
| CHEN Junhui | AXW582 | Licensed Representative - Responsible Officer | 1, 4 |
| CHIANG Hsing-ti | BRX268 | Licensed Representative - Responsible Officer | 4, 9 |
| FU Zuozhen | BSB855 | Licensed Representative - Responsible Officer | 9 |
| GANERIWAL Ritesh | BRR729 | Licensed Representative - Responsible Officer | 1, 4, 9 |
| HE Yaobin | BEA163 | Licensed Representative - Responsible Officer | 1, 4 |
| HO Kwong Yu | BGN227 | Licensed Representative - Responsible Officer | 4, 9 |
| HU Jianping | BOF046 | Licensed Representative - Responsible Officer | 4, 9 |
| KIM Youngseok | BRU913 | Licensed Representative - Responsible Officer | 1 |
| LEE Mei Yi | AGH969 | Licensed Representative - Responsible Officer | 1, 4 |
| LI Hok Lai | AGJ947 | Licensed Representative - Responsible Officer | 1 |
| LI Richard | BRQ191 | Licensed Representative - Responsible Officer | 9 |
| LIU Yao | BLU642 | Licensed Representative - Responsible Officer | 1 |
| LLOYD John Bradley | BRY493 | Licensed Representative - Responsible Officer | 9 |
| LO Kan Yau Singuz | ARM126 | Licensed Representative - Responsible Officer | 1, 4 |
| MA Lei | AGM532 | Licensed Representative - Responsible Officer | 4, 9 |
| MOORE Andrew Paul | BRX768 | Licensed Representative - Responsible Officer | 1, 4 |
| TANG Hamilton Ty | ABR278 | Licensed Representative - Responsible Officer | 1, 4, 9 |
| TANG Siu Ning | BJZ669 | Licensed Representative - Responsible Officer | 1, 4, 9 |
| TAYLOR Brett Anthony | AYY647 | Licensed Representative - Responsible Officer | 1, 2 |
| WAN Lingyan | BCL741 | Licensed Representative - Responsible Officer | 9 |
| WONG Foelan | ADQ592 | Licensed Representative - Responsible Officer | 9 |
| WONG Ho Kit, Howard | AWK764 | Licensed Representative - Responsible Officer | 4, 9 |
| WONG Hoi Ki | AJO046 | Licensed Representative - Responsible Officer | 1, 4, 9 |

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2022

| CE Name | CE No. | Licence Type | Types of Regulated Activity |
|-----------------------------------|--------|-----------------------------------------------|-----------------------------|
| WONG Mun Yee | APU633 | Licensed Representative - Responsible Officer | 4, 9 |
| WONG William | AQ330 | Licensed Representative - Responsible Officer | 1, 4, 9 |
| XU Wen | APO463 | Licensed Representative - Responsible Officer | 9 |
| YE Yunping | BIG452 | Licensed Representative - Responsible Officer | 9 |
| YU Hongfei | ANM618 | Licensed Representative - Responsible Officer | 1, 4 |
| ZHAI Jin | BOS131 | Licensed Representative - Responsible Officer | 1, 4, 9 |
| ALI Mohammed Hassan Zaman | BSJ653 | Licensed Representative (Provisional Licence) | 1, 2 |
| AO Kwong Kit | BSM369 | Licensed Representative (Provisional Licence) | 1 |
| BACK Jonghyun | BSL495 | Licensed Representative (Provisional Licence) | 4 |
| BASSERABIE Joshua Neach | BSJ361 | Licensed Representative (Provisional Licence) | 1, 2 |
| BEQQAL JAWAD | BSM399 | Licensed Representative (Provisional Licence) | 1 |
| BERRY Robert William Myles | BSP596 | Licensed Representative (Provisional Licence) | 1, 2, 7 |
| BI Yanjun | BSC017 | Licensed Representative (Provisional Licence) | 1, 6 |
| BIAN Guangchen | AXZ102 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| BIGOS Ecaterina | BEV753 | Licensed Representative (Provisional Licence) | 1, 4 |
| BORGHIN Joey | BSM500 | Licensed Representative (Provisional Licence) | 9 |
| BRIGGS Jonathan Matthew | BSM357 | Licensed Representative (Provisional Licence) | 1, 2 |
| CAHN Thomas Christopher | BRA414 | Licensed Representative (Provisional Licence) | 1 |
| CAO KAIYING | BSJ535 | Licensed Representative (Provisional Licence) | 1, 2 |
| CAO Yiqi | BSI519 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| CHAKRABARTI Santanu | BSO571 | Licensed Representative (Provisional Licence) | 4 |
| CHAN Kwan Keung | BSM478 | Licensed Representative (Provisional Licence) | 1, 2 |
| CHAN Ching Yi | BSL882 | Licensed Representative (Provisional Licence) | 1, 4 |
| CHAN Judy Wing Chi | BSI527 | Licensed Representative (Provisional Licence) | 1, 2, 4, 5 |
| CHAN Ka Ho | BSL732 | Licensed Representative (Provisional Licence) | 1 |
| CHAN On Kei, Angel | ALN635 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| CHAN Sin Yan | BSL925 | Licensed Representative (Provisional Licence) | 4 |
| CHAN Yu Hing | BSG679 | Licensed Representative (Provisional Licence) | 1, 6 |
| CHAN Yuen Lam | BSH324 | Licensed Representative (Provisional Licence) | 1 |
| CHANG Yun-Chia | AQG804 | Licensed Representative (Provisional Licence) | 1, 4 |
| CHAO Yuan | BSJ584 | Licensed Representative (Provisional Licence) | 1, 6 |
| CHAU Ronson | BSL568 | Licensed Representative (Provisional Licence) | 1, 6 |
| CHAVRY Charles Nicolas Christophe | BSK183 | Licensed Representative (Provisional Licence) | 1, 2 |
| CHEN Chen | BSJ289 | Licensed Representative (Provisional Licence) | 1, 6 |
| CHEN Fangyuan | BSL835 | Licensed Representative (Provisional Licence) | 1, 2 |
| CHEN Guoxi | ARG394 | Licensed Representative (Provisional Licence) | 4, 9 |
| CHEN Xiaodong | BSJ170 | Licensed Representative (Provisional Licence) | 1, 6 |
| CHEN Yijia | BSL527 | Licensed Representative (Provisional Licence) | 1, 6 |
| CHEN Yisi | BSL543 | Licensed Representative (Provisional Licence) | 1, 2 |
| CHEN Youchen | BSI681 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| CHENG Hung Kit | BSP499 | Licensed Representative (Provisional Licence) | 1, 2 |
| CHENG Man Kit | BSO753 | Licensed Representative (Provisional Licence) | 6 |
| CHENG Yijie | BSL537 | Licensed Representative (Provisional Licence) | 4 |
| CHENG Yuyou | BSP516 | Licensed Representative (Provisional Licence) | 4 |
| CHEUNG King Wing Raymond | BQM509 | Licensed Representative (Provisional Licence) | 1, 4 |
| CHEUNG Lik Wan | BSJ375 | Licensed Representative (Provisional Licence) | 1 |
| CHEUNG Siu Shan Emily Vanessa | BSJ616 | Licensed Representative (Provisional Licence) | 1, 4 |
| CHEUNG Wing Shun | BSM329 | Licensed Representative (Provisional Licence) | 1, 6 |
| CHIU WING KI CLAIRE | BSM123 | Licensed Representative (Provisional Licence) | 1, 4 |

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2022

| CE Name | CE No. | Licence Type | Types of Regulated Activity |
|-----------------------------------|--------|-----------------------------------------------|-----------------------------|
| CHOI Wai Shan Sharon | BSJ559 | Licensed Representative (Provisional Licence) | 1, 4 |
| CHONG Man | BSO741 | Licensed Representative (Provisional Licence) | 1, 4 |
| CHU Chun Wang | BSI701 | Licensed Representative (Provisional Licence) | 1 |
| CHU Yui | BSJ843 | Licensed Representative (Provisional Licence) | 6 |
| CHUA Deng Quan | BSJ121 | Licensed Representative (Provisional Licence) | 2 |
| COHEN Ruben Reouven Rahamim | BSL945 | Licensed Representative (Provisional Licence) | 1, 2 |
| CUI Cenjing | BSJ617 | Licensed Representative (Provisional Licence) | 1 |
| DAS Aniruddha | BSI893 | Licensed Representative (Provisional Licence) | 1, 4 |
| DE SILVA Suren Mark | BSJ748 | Licensed Representative (Provisional Licence) | 4, 9 |
| DENG Ruiting | BSK046 | Licensed Representative (Provisional Licence) | 1 |
| DENG Yanwei | BSM331 | Licensed Representative (Provisional Licence) | 1, 6 |
| DING Ying | BSM108 | Licensed Representative (Provisional Licence) | 1, 6 |
| DOUGLAS Elise Marie | BSJ138 | Licensed Representative (Provisional Licence) | 1, 4 |
| DREW Dominic Francis | BSJ534 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| DU Keying | BSK014 | Licensed Representative (Provisional Licence) | 10 |
| FAN Yang | BSM416 | Licensed Representative (Provisional Licence) | 1 |
| FAVRE FELIX Adrien Pierre Louis | BFS983 | Licensed Representative (Provisional Licence) | 1, 2 |
| FRONTINI Emmanuele Maria Vittorio | BSK182 | Licensed Representative (Provisional Licence) | 9 |
| FU Han | BFM817 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| FU Lu | BSI520 | Licensed Representative (Provisional Licence) | 1, 6 |
| FU Nianping | BSJ757 | Licensed Representative (Provisional Licence) | 4 |
| FU Tak Lam, Shirley | AGF189 | Licensed Representative (Provisional Licence) | 1 |
| FUKUSHIMA Hiroki | BSL944 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| FUN Sze Wai, Silvia | AWK593 | Licensed Representative (Provisional Licence) | 9 |
| GAO Fei | BSF103 | Licensed Representative (Provisional Licence) | 6 |
| GAO Jue | BSG748 | Licensed Representative (Provisional Licence) | 4, 9 |
| GAO Manxi | BSF824 | Licensed Representative (Provisional Licence) | 1, 2 |
| GE Jiajun | BSI930 | Licensed Representative (Provisional Licence) | 1, 2 |
| GENEVRIER Paul Emile | BSI645 | Licensed Representative (Provisional Licence) | 1, 2 |
| GONG Yuanyuan | BSQ207 | Licensed Representative (Provisional Licence) | 1, 4 |
| GU Hongbo | BSJ415 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| GUAN Jianhui | BSM263 | Licensed Representative (Provisional Licence) | 2 |
| GUO Yuting | BSF998 | Licensed Representative (Provisional Licence) | 4 |
| HAMAKAWA Yugo | BSM477 | Licensed Representative (Provisional Licence) | 4 |
| HAN Wenyu | BSM553 | Licensed Representative (Provisional Licence) | 1, 6 |
| HAZAMA Tadashi | BSK250 | Licensed Representative (Provisional Licence) | 4 |
| HE Fei | BSJ377 | Licensed Representative (Provisional Licence) | 1, 6 |
| HE Jing | BSK026 | Licensed Representative (Provisional Licence) | 4, 9 |
| HE Zhiyuan | BSL760 | Licensed Representative (Provisional Licence) | 1, 6 |
| HO Chi Ho Eric | BSL930 | Licensed Representative (Provisional Licence) | 1, 2, 4, 5 |
| HO Choy Mei Sarah | BNO303 | Licensed Representative (Provisional Licence) | 1, 2 |
| HO SHEUNG YAN | BSI859 | Licensed Representative (Provisional Licence) | 9 |
| HO Yiu Sing | BSK078 | Licensed Representative (Provisional Licence) | 1, 4 |
| HODGES Simon Paul | BSL751 | Licensed Representative (Provisional Licence) | 1, 2, 9 |
| HONG Ye Won | BSL847 | Licensed Representative (Provisional Licence) | 1, 6 |
| HONG Yiqing | BSL892 | Licensed Representative (Provisional Licence) | 9 |
| HSU Chen-Chih | BEE281 | Licensed Representative (Provisional Licence) | 9 |
| HU Haifeng | BSJ650 | Licensed Representative (Provisional Licence) | 1, 6 |
| HU Hanyue | BSM502 | Licensed Representative (Provisional Licence) | 1, 2 |

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2022

| CE Name | CE No. | Licence Type | Types of Regulated Activity |
|----------------------------|--------|-----------------------------------------------|-----------------------------|
| HU Huiyi | BSJ689 | Licensed Representative (Provisional Licence) | 1, 6 |
| HU Jian | BPX762 | Licensed Representative (Provisional Licence) | 4 |
| HU Ming-Hua | BSM485 | Licensed Representative (Provisional Licence) | 1, 6 |
| HU Xindan | BSM322 | Licensed Representative (Provisional Licence) | 1 |
| HUA Jinshu | BSJ533 | Licensed Representative (Provisional Licence) | 4 |
| HUANG Qian | BSM552 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| HUANG Wei-Wei | BSM451 | Licensed Representative (Provisional Licence) | 1, 4 |
| HUI Lai King | BSJ745 | Licensed Representative (Provisional Licence) | 1 |
| IWASE Hiroki | BSK244 | Licensed Representative (Provisional Licence) | 1, 2, 4 |
| JEONG Sewon Hannah | BJM320 | Licensed Representative (Provisional Licence) | 1, 4 |
| JI Lucy Li | BSM540 | Licensed Representative (Provisional Licence) | 1, 6 |
| JIANG MENG | BSL774 | Licensed Representative (Provisional Licence) | 4 |
| JIANG Vivian | BSL496 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| JIANG Wenyang | BSO557 | Licensed Representative (Provisional Licence) | 1 |
| JIANG Xin | BSH011 | Licensed Representative (Provisional Licence) | 4 |
| JIANG Yupeng | BSL991 | Licensed Representative (Provisional Licence) | 1, 2 |
| JIN Julia | BSL552 | Licensed Representative (Provisional Licence) | 1, 6 |
| JIN Yudi | BSM542 | Licensed Representative (Provisional Licence) | 2, 5 |
| JU Liyun | BSJ378 | Licensed Representative (Provisional Licence) | 4 |
| KAGA Maximilian Shotaro | BIC284 | Licensed Representative (Provisional Licence) | 1, 6 |
| KANG Jianhong | BEA815 | Licensed Representative (Provisional Licence) | 1, 6 |
| KAYE Patrick Jon | ALG236 | Licensed Representative (Provisional Licence) | 1, 4 |
| KIM Dong Jin | BSM578 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| KIM Ga Young | BSM529 | Licensed Representative (Provisional Licence) | 1, 2, 7 |
| KIM Hee Sun | BSJ362 | Licensed Representative (Provisional Licence) | 10 |
| KIM Jeyeoul | BPC706 | Licensed Representative (Provisional Licence) | 10 |
| KIM Kwangwoo | BSO572 | Licensed Representative (Provisional Licence) | 1, 6 |
| KO Hong Yu | BSH856 | Licensed Representative (Provisional Licence) | 1 |
| KO Minhyeok | BOQ944 | Licensed Representative (Provisional Licence) | 1, 6 |
| KOBAYASHI Daiki | BSJ684 | Licensed Representative (Provisional Licence) | 4 |
| KONTAR Ayoub El-Khalil | BSM237 | Licensed Representative (Provisional Licence) | 1, 2 |
| KU Ho | BCY406 | Licensed Representative (Provisional Licence) | 9 |
| KUANG Jiali | BSI877 | Licensed Representative (Provisional Licence) | 4, 5, 9 |
| KUMAR Neha | BSK263 | Licensed Representative (Provisional Licence) | 1 |
| KWOK Alex Yiu Chung | BIR488 | Licensed Representative (Provisional Licence) | 1, 2, 9 |
| LAI Wenzhe | BSK201 | Licensed Representative (Provisional Licence) | 1, 4 |
| LAM Chak Long | BSM290 | Licensed Representative (Provisional Licence) | 1, 4 |
| LAM Chak Shun, Jason | BAM915 | Licensed Representative (Provisional Licence) | 1, 4 |
| LAM Hing Fan | AEJ894 | Licensed Representative (Provisional Licence) | 1, 4 |
| LAM Kai Yan | BSJ654 | Licensed Representative (Provisional Licence) | 1, 2 |
| LAM Pung | ADW634 | Licensed Representative (Provisional Licence) | 1 |
| LAM Tze Chung, Felix | AKW952 | Licensed Representative (Provisional Licence) | 9 |
| LAM Ying Nii Christina | ASM345 | Licensed Representative (Provisional Licence) | 1, 4 |
| LAO Helen Hon Lam | BGN421 | Licensed Representative (Provisional Licence) | 9 |
| LAU Hei Tung | BSM010 | Licensed Representative (Provisional Licence) | 1, 4 |
| LAU Ning Wa, Ricky | BII203 | Licensed Representative (Provisional Licence) | 1, 2, 4 |
| LAUBIE William-Henry Louis | BSL761 | Licensed Representative (Provisional Licence) | 1, 2 |
| LEE Byung Soo | BSM241 | Licensed Representative (Provisional Licence) | 1 |
| LEE Hee Sung | BOG908 | Licensed Representative (Provisional Licence) | 1 |

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2022

| CE Name | CE No. | Licence Type | Types of Regulated Activity |
|-------------------------------|--------|-----------------------------------------------|-----------------------------|
| LEE James | ARE814 | Licensed Representative (Provisional Licence) | 6 |
| LEE Jonathan Raymond | BSM460 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| LEE Jong Hun | BSJ520 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| LEE Minjeong | BSL805 | Licensed Representative (Provisional Licence) | 10 |
| LEE Pui Shan | BSJ148 | Licensed Representative (Provisional Licence) | 1 |
| LEE Sukjoon | BCP208 | Licensed Representative (Provisional Licence) | 4 |
| LEUNG Shing Yam | BSJ756 | Licensed Representative (Provisional Licence) | 4 |
| LEUNG Wai Chung | APD897 | Licensed Representative (Provisional Licence) | 1 |
| LEUNG Wing Kiu Rose Catherine | BSI824 | Licensed Representative (Provisional Licence) | 1, 2, 9 |
| LEVEN Axel Hans Gustav | BSL498 | Licensed Representative (Provisional Licence) | 4 |
| LI Bingyang | BSO559 | Licensed Representative (Provisional Licence) | 6 |
| LI Chen | BSJ178 | Licensed Representative (Provisional Licence) | 4 |
| LI Chengning | BSM544 | Licensed Representative (Provisional Licence) | 1, 4 |
| LI Huan | BSJ167 | Licensed Representative (Provisional Licence) | 1, 4 |
| LI Jinpeng | BSK043 | Licensed Representative (Provisional Licence) | 9 |
| LI Kit Lun | BOL398 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| LI Lei | BHC504 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| LI Linxuan | BSJ618 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| LI Rui | BSM379 | Licensed Representative (Provisional Licence) | 4 |
| LI Si | BNE847 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| LI Sitong | BSO740 | Licensed Representative (Provisional Licence) | 1, 4 |
| LI Sze Nga, Libby | BET711 | Licensed Representative (Provisional Licence) | 1, 4 |
| LI Tiehejin | BBS754 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| LI Tieze | BSL540 | Licensed Representative (Provisional Licence) | 1, 6 |
| LI Tingting | BSI937 | Licensed Representative (Provisional Licence) | 4, 9 |
| LI Wenjing | BSI962 | Licensed Representative (Provisional Licence) | 1, 6 |
| LI Xiaozheng | BSI420 | Licensed Representative (Provisional Licence) | 9 |
| LI Xinzhe | BSM365 | Licensed Representative (Provisional Licence) | 1, 6 |
| LI Xuan | BSM274 | Licensed Representative (Provisional Licence) | 4 |
| LI Ying Kit | BFG544 | Licensed Representative (Provisional Licence) | 4, 9 |
| LI Yiteng | BSJ090 | Licensed Representative (Provisional Licence) | 4 |
| LI Yiwei | BSM573 | Licensed Representative (Provisional Licence) | 4 |
| LIANG Xinyi | BSM424 | Licensed Representative (Provisional Licence) | 1, 2, 4 |
| LIAO Shuli | BSM580 | Licensed Representative (Provisional Licence) | 4, 9 |
| LIAO Xian Hao Jonathan | BSL563 | Licensed Representative (Provisional Licence) | 1, 4 |
| LIAO Xinglin | BSJ022 | Licensed Representative (Provisional Licence) | 1, 6 |
| LIN Canhong | BSM446 | Licensed Representative (Provisional Licence) | 9 |
| LIN Junqin | BSJ309 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| LIN Zhiruo | BSN372 | Licensed Representative (Provisional Licence) | 4 |
| LIU Chang | BSL546 | Licensed Representative (Provisional Licence) | 1, 6 |
| LIU Jing | BQT560 | Licensed Representative (Provisional Licence) | 1, 4, 5, 9 |
| LIU Manqi | BSJ125 | Licensed Representative (Provisional Licence) | 1, 2, 4 |
| LIU Mengnan | BSJ615 | Licensed Representative (Provisional Licence) | 4 |
| LIU Taiwan | BSL881 | Licensed Representative (Provisional Licence) | 1, 2, 4 |
| LIU Xiaoyi | BSJ376 | Licensed Representative (Provisional Licence) | 1, 6 |
| LIU Zhongyi | BOA823 | Licensed Representative (Provisional Licence) | 1, 4 |
| LO Chun Fung | BGB598 | Licensed Representative (Provisional Licence) | 1 |
| LO YI FAN | BSH231 | Licensed Representative (Provisional Licence) | 4, 9 |
| LU Dongsheng | BSQ114 | Licensed Representative (Provisional Licence) | 1, 4 |

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2022

| CE Name | CE No. | Licence Type | Types of Regulated Activity |
|------------------------|--------|-----------------------------------------------|-----------------------------|
| LUI Ka Wai | BSO597 | Licensed Representative (Provisional Licence) | 1, 4 |
| MA Cheuk Yui | BSK253 | Licensed Representative (Provisional Licence) | 10 |
| MAGOSHI Kazuyuki | BSJ265 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| MAK Ka Hei | BSK245 | Licensed Representative (Provisional Licence) | 1, 2 |
| MATSUBARA Hiroyuki | BSJ681 | Licensed Representative (Provisional Licence) | 4 |
| MAZUMDAR Anusmit | BSM210 | Licensed Representative (Provisional Licence) | 1 |
| MEHTA Akshay | BSJ253 | Licensed Representative (Provisional Licence) | 9 |
| MIKI Naruhito | BSJ686 | Licensed Representative (Provisional Licence) | 4 |
| MIN Kyungmin | BSJ353 | Licensed Representative (Provisional Licence) | 9 |
| NATTER Steffen Michael | BSG182 | Licensed Representative (Provisional Licence) | 9 |
| NG Cristina Yan Pui | BSL550 | Licensed Representative (Provisional Licence) | 1 |
| NG Ho Ching | BSL787 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| NG Man Hin | BSJ291 | Licensed Representative (Provisional Licence) | 1, 2, 4, 5 |
| NG Wai Tak | BCY955 | Licensed Representative (Provisional Licence) | 1, 4 |
| OH Rui Juan | BSJ619 | Licensed Representative (Provisional Licence) | 1, 2 |
| OTERA Takahito | BSL542 | Licensed Representative (Provisional Licence) | 4 |
| OUAFA Ali | BSM040 | Licensed Representative (Provisional Licence) | 1, 2 |
| OUM Jiyong | BSJ685 | Licensed Representative (Provisional Licence) | 4 |
| PARK Sejun | BOJ261 | Licensed Representative (Provisional Licence) | 1, 2 |
| PENG Rongan | BSJ671 | Licensed Representative (Provisional Licence) | 4 |
| PERIWAL Sudeep | BSP584 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| POON Chun Yin Nicholas | BSL879 | Licensed Representative (Provisional Licence) | 1, 6 |
| PUN Ki Yuk York | BSM204 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| QIAN Qi | BSJ558 | Licensed Representative (Provisional Licence) | 4 |
| QIN Shuang | BSM467 | Licensed Representative (Provisional Licence) | 1, 4, 5, 9 |
| QIN Yunshan | BJG589 | Licensed Representative (Provisional Licence) | 9 |
| QING DU | BSI830 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| QING He | BSM231 | Licensed Representative (Provisional Licence) | 1, 6 |
| REN He | BSJ168 | Licensed Representative (Provisional Licence) | 4 |
| SAKAMAKI Naruhiko | BSL536 | Licensed Representative (Provisional Licence) | 4 |
| Sanjana | BSL762 | Licensed Representative (Provisional Licence) | 1, 2 |
| SEWELL Anthony John | AIY534 | Licensed Representative (Provisional Licence) | 1 |
| SHEK Lok Kan | BSI612 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| SHEN Chen | BSJ308 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| SHEN Jianguo | BSK177 | Licensed Representative (Provisional Licence) | 4 |
| SHENG Yunyang | BSI971 | Licensed Representative (Provisional Licence) | 1, 6 |
| SHI Chi Chun | BSL838 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| SHIM Hyunju | BSH905 | Licensed Representative (Provisional Licence) | 1, 2 |
| SIN Hiu Fung | BSJ583 | Licensed Representative (Provisional Licence) | 1, 2 |
| SIN Shui Wan | BSM557 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| SOMASUNDARAM Narayanan | BSI720 | Licensed Representative (Provisional Licence) | 1, 4 |
| SOMJI Alykhan Nurudeen | BSJ780 | Licensed Representative (Provisional Licence) | 1, 2 |
| SONG Zhangjie | BSJ447 | Licensed Representative (Provisional Licence) | 1, 6 |
| STIRRUP Gemma Susanne | BSL783 | Licensed Representative (Provisional Licence) | 1, 2 |
| SU Daoqing | BSM205 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| SUEN Ting Sze | BSL559 | Licensed Representative (Provisional Licence) | 1 |
| SUN Jingtian | BSJ524 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| SUN Kwun Tak, Thomas | AIP202 | Licensed Representative (Provisional Licence) | 1, 4 |
| SUN Mingjie | BRZ431 | Licensed Representative (Provisional Licence) | 4, 9 |

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2022

| CE Name | CE No. | Licence Type | Types of Regulated Activity |
|-------------------------------|--------|-----------------------------------------------|-----------------------------|
| SUN Wei | BSJ667 | Licensed Representative (Provisional Licence) | 1, 6 |
| SUN Yingzong | BSL545 | Licensed Representative (Provisional Licence) | 1, 6 |
| SZETO Mei Mui | APH267 | Licensed Representative (Provisional Licence) | 1, 4 |
| TAKESHITA Daiki | BSJ274 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| TAM Wing Yin | BSJ758 | Licensed Representative (Provisional Licence) | 1, 2 |
| TAN Mei Yan Joanna | BSO568 | Licensed Representative (Provisional Licence) | 6 |
| TAN Yukai | BSJ670 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| TAN Yun Xin | BSM523 | Licensed Representative (Provisional Licence) | 1 |
| TANG Hiu Wing | BSL548 | Licensed Representative (Provisional Licence) | 4 |
| TANG Lucas Sau Chun | BSO786 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| TANG Sherlock Tze Lok | BSM499 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| TANG Sze Kee | AZX687 | Licensed Representative (Provisional Licence) | 1 |
| TAO Shiyu | BSM321 | Licensed Representative (Provisional Licence) | 1, 4 |
| TAO Wei | BSI890 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| THOMPSON Nicholas James Creer | ABE543 | Licensed Representative (Provisional Licence) | 1 |
| TO Kam Lung, Danny | ARA334 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| TONG Siu Kwan | BSL561 | Licensed Representative (Provisional Licence) | 1 |
| TONG Tsz Chun | BSL497 | Licensed Representative (Provisional Licence) | 4 |
| TONG XING | BSF685 | Licensed Representative (Provisional Licence) | 4 |
| TSE Man Yin, Jason | BOR763 | Licensed Representative (Provisional Licence) | 4, 9 |
| TSE Yue Hong | ANQ294 | Licensed Representative (Provisional Licence) | 1, 4 |
| TSENG Li Chi | BSL875 | Licensed Representative (Provisional Licence) | 1, 4 |
| TSO Wai Sing | AHG861 | Licensed Representative (Provisional Licence) | 1 |
| TSO Yim Bing, Catherina | AOS670 | Licensed Representative (Provisional Licence) | 1 |
| TSUI SIU HIN | BSH955 | Licensed Representative (Provisional Licence) | 1, 4 |
| TU Brendan Chiao-Yen | AYR080 | Licensed Representative (Provisional Licence) | 6 |
| UPADHYAYA Jagdish Prashad | BSL789 | Licensed Representative (Provisional Licence) | 1, 4 |
| VOLTA Gilbert | BSO676 | Licensed Representative (Provisional Licence) | 1, 2, 4 |
| WAKO Juichi | AOE002 | Licensed Representative (Provisional Licence) | 4 |
| WANG Audrey Zhiji | BOT024 | Licensed Representative (Provisional Licence) | 1 |
| WANG Bolong | BSB897 | Licensed Representative (Provisional Licence) | 4 |
| WANG Boqiong | BSJ687 | Licensed Representative (Provisional Licence) | 4 |
| WANG Jinjing | BSJ392 | Licensed Representative (Provisional Licence) | 1, 6 |
| WANG Kaidi | BSG705 | Licensed Representative (Provisional Licence) | 1, 4, 7 |
| WANG Meng | BSJ435 | Licensed Representative (Provisional Licence) | 4 |
| WANG Tianyu | BSM501 | Licensed Representative (Provisional Licence) | 1, 2 |
| WANG Wei Chun | BSK261 | Licensed Representative (Provisional Licence) | 4 |
| WANG Yang | BFE447 | Licensed Representative (Provisional Licence) | 1, 4 |
| WANG Yi Li Ally | AUC869 | Licensed Representative (Provisional Licence) | 1, 9 |
| WANG Yifei | BSM298 | Licensed Representative (Provisional Licence) | 9 |
| WANG Yimei | BMR079 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| WEI Like | AWC816 | Licensed Representative (Provisional Licence) | 1 |
| WEI Meng | BSH468 | Licensed Representative (Provisional Licence) | 1, 6 |
| WEN Hanjing | BSJ666 | Licensed Representative (Provisional Licence) | 1, 4 |
| WHITE Luke Aron Richard | BSL750 | Licensed Representative (Provisional Licence) | 1, 4 |
| WON Hyuck Jong | BSO754 | Licensed Representative (Provisional Licence) | 1 |
| WONG Chi Yui Geoffrey | BSL562 | Licensed Representative (Provisional Licence) | 1 |
| WONG Ching | BSI858 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| WONG Choi Kiu | BSK203 | Licensed Representative (Provisional Licence) | 2 |

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2022

| CE Name | CE No. | Licence Type | Types of Regulated Activity |
|--------------------|--------|-----------------------------------------------|-----------------------------|
| WONG Fiona Ka Kei | BSJ564 | Licensed Representative (Provisional Licence) | 1, 4 |
| WONG Ho Lam | BOB034 | Licensed Representative (Provisional Licence) | 4, 9 |
| WONG Jensen | BEE280 | Licensed Representative (Provisional Licence) | 9 |
| WONG Ka Kit | BQR529 | Licensed Representative (Provisional Licence) | 1, 4 |
| WONG Kwok Ping | BHW629 | Licensed Representative (Provisional Licence) | 6 |
| WONG Man Hin | AMY306 | Licensed Representative (Provisional Licence) | 1, 4 |
| WONG Man Yui Kevin | BSM026 | Licensed Representative (Provisional Licence) | 1 |
| WONG Ming Ho Leo | BPX072 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| WONG Po Yee | BSL565 | Licensed Representative (Provisional Licence) | 1 |
| WONG Shu Ho Jason | BSE991 | Licensed Representative (Provisional Licence) | 4 |
| WONG Sze Wai | BNG365 | Licensed Representative (Provisional Licence) | 1, 4 |
| WONG Tin Ho | BSJ749 | Licensed Representative (Provisional Licence) | 1, 2 |
| WONG Wai Hon | AQV662 | Licensed Representative (Provisional Licence) | 1, 2, 3, 4 |
| WONG Wai Kwan | BOZ993 | Licensed Representative (Provisional Licence) | 1 |
| WONG Yat Hei | BOH637 | Licensed Representative (Provisional Licence) | 1, 2 |
| WONG Yik Chai | BSJ964 | Licensed Representative (Provisional Licence) | 1, 2 |
| WONG Yin Yeung | BRK788 | Licensed Representative (Provisional Licence) | 9 |
| WU Chao | BSP461 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| WU Ya Lan | AJL550 | Licensed Representative (Provisional Licence) | 1, 2 |
| XIA Wenqin Blair | BSJ657 | Licensed Representative (Provisional Licence) | 1, 6 |
| XIAO Chao | BSJ733 | Licensed Representative (Provisional Licence) | 1, 2 |
| XIONG Xuan | BFE598 | Licensed Representative (Provisional Licence) | 9 |
| XU Hanchao | BAV218 | Licensed Representative (Provisional Licence) | 9 |
| XU Ke | BSL887 | Licensed Representative (Provisional Licence) | 1, 4 |
| XU Roger Wang | BSL956 | Licensed Representative (Provisional Licence) | 1, 4 |
| XU Yimin | BAA731 | Licensed Representative (Provisional Licence) | 1, 6 |
| XU Zhe | BSL812 | Licensed Representative (Provisional Licence) | 1, 6 |
| XUE Bing | BHZ669 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| YAM Alvin Siu-lun | BEE593 | Licensed Representative (Provisional Licence) | 1, 2, 3, 4 |
| YAN Yichen | BKY607 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| YAN Zhi Yuan | BSL549 | Licensed Representative (Provisional Licence) | 9 |
| YANG Yanjin | BSL856 | Licensed Representative (Provisional Licence) | 1, 6 |
| YANG Yunfeng | BSJ590 | Licensed Representative (Provisional Licence) | 1 |
| YANG Yuwei | BSH952 | Licensed Representative (Provisional Licence) | 1, 6 |
| YANG Zengxi | BSK084 | Licensed Representative (Provisional Licence) | 1, 2, 4 |
| YE Xiao | AUM476 | Licensed Representative (Provisional Licence) | 6 |
| YEN William | BSM042 | Licensed Representative (Provisional Licence) | 9 |
| YIN Yuexiang | BSJ651 | Licensed Representative (Provisional Licence) | 1, 6 |
| YONEOKA Hideaki | BDX787 | Licensed Representative (Provisional Licence) | 1, 2 |
| YOO Ayoung | BSJ664 | Licensed Representative (Provisional Licence) | 1, 4 |
| YU Guangyuan | BSJ961 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| YU Qingyao | BSM498 | Licensed Representative (Provisional Licence) | 1, 6 |
| YU Shanshan | AZU832 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| YU Weimin | BSJ755 | Licensed Representative (Provisional Licence) | 4 |
| YU Xiaofan | BSK076 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| YUAN Yuchen | BNH355 | Licensed Representative (Provisional Licence) | 2, 5 |
| YUN Sangin | BSM012 | Licensed Representative (Provisional Licence) | 9 |
| ZENG Huidi | BSG996 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| ZHANG Borui | BSP634 | Licensed Representative (Provisional Licence) | 4, 9 |

ADDITIONS OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2022

| CE Name | CE No. | License Type | Types of Regulated Activity |
|-------------------------|--------|-----------------------------------------------|-----------------------------|
| ZHANG Danyun | BSI918 | Licensed Representative (Provisional Licence) | 1, 4 |
| ZHANG Haohan | BSJ352 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| ZHANG Jiao | BSL535 | Licensed Representative (Provisional Licence) | 9 |
| ZHANG Jingwen | BSL990 | Licensed Representative (Provisional Licence) | 1, 6 |
| ZHANG Liangye | BGP333 | Licensed Representative (Provisional Licence) | 1, 2, 4 |
| ZHANG Lu | BSM342 | Licensed Representative (Provisional Licence) | 1, 6 |
| ZHANG Lunke | BPX023 | Licensed Representative (Provisional Licence) | 4 |
| ZHANG Quanda | BSP514 | Licensed Representative (Provisional Licence) | 4, 9 |
| ZHANG Ruiqi | BSI860 | Licensed Representative (Provisional Licence) | 1, 4, 9 |
| ZHANG Ting | BRK719 | Licensed Representative (Provisional Licence) | 10 |
| ZHANG Xiaoran | BSJ295 | Licensed Representative (Provisional Licence) | 1, 6 |
| ZHANG Yiqi | BSJ620 | Licensed Representative (Provisional Licence) | 1 |
| ZHAO Boxuan | BSI861 | Licensed Representative (Provisional Licence) | 4 |
| ZHAO Chen | BSJ655 | Licensed Representative (Provisional Licence) | 1, 6 |
| ZHAO LIER | BSJ294 | Licensed Representative (Provisional Licence) | 1, 4 |
| ZHAO Pengliang | BSP505 | Licensed Representative (Provisional Licence) | 1, 4, 6 |
| ZHAO Yao | BSO783 | Licensed Representative (Provisional Licence) | 1, 4 |
| ZHAO Yunfei | BSM202 | Licensed Representative (Provisional Licence) | 1, 4 |
| ZHAO Zhijie | BPE393 | Licensed Representative (Provisional Licence) | 4 |
| ZHENG Xin | BQM260 | Licensed Representative (Provisional Licence) | 1, 6 |
| ZHENG Xuefeng | BSH083 | Licensed Representative (Provisional Licence) | 1, 4 |
| ZHENG Yuan | BSM230 | Licensed Representative (Provisional Licence) | 1, 4 |
| ZHOU Jiangyu | BSM043 | Licensed Representative (Provisional Licence) | 4, 9 |
| ZHOU Quan | BSI494 | Licensed Representative (Provisional Licence) | 1, 6 |
| ZHOU Xiang | BSM503 | Licensed Representative (Provisional Licence) | 1, 6 |
| ZHOU Xiaojin | BSM197 | Licensed Representative (Provisional Licence) | 1, 6 |
| ZHU Chenchang | BSL786 | Licensed Representative (Provisional Licence) | 6 |
| ZHU Jiang | AXF039 | Licensed Representative (Provisional Licence) | 1, 4 |
| ZHU Junjun | BSJ491 | Licensed Representative (Provisional Licence) | 4 |
| ZOU XIN | BPY287 | Licensed Representative (Provisional Licence) | 4, 9 |
| ZUO Wan | BSM109 | Licensed Representative (Provisional Licence) | 1, 6 |
| DU Yifan | BSM099 | Licensed Representative (Temporary Licence) | 4 |
| HARGIS Kent W | BAG066 | Licensed Representative (Temporary Licence) | 4 |
| HARTING Morgan Clothier | AXT012 | Licensed Representative (Temporary Licence) | 4 |
| HUANG Sen-Woei | BSL544 | Licensed Representative (Temporary Licence) | 4 |
| ROARTY Daniel Charles | BQU482 | Licensed Representative (Temporary Licence) | 4 |
| SUZUKI Seiichiro | AUK287 | Licensed Representative (Temporary Licence) | 4 |
| TAN Kia Kee | BSE648 | Licensed Representative (Temporary Licence) | 1, 6 |
| THAPAR Vinay | BNR482 | Licensed Representative (Temporary Licence) | 4 |
| ZHAO HongMeng | BSE543 | Licensed Representative (Temporary Licence) | 1, 2 |